Cytoplasm localized ARID1B promotes oncogenesis in pancreatic cancer by activating RAF-**ERK** signaling Running title: Cytoplasm localized ARID1B promotes oncogenesis Srinivas Animireddy^{1,2}, Padmavathi Kavadipula^{1*}, Viswakalyan Kotapalli^{1*}, Swarnalata Gowrishankar³, Satish Rao⁴, Murali Dharan Bashyam^{1†} ¹Laboratory of Molecular Oncology, Centre for DNA Fingerprinting and Diagnostics, Hyderabad 500039, India; ²Graduate studies, Manipal Academy of Higher Education, Manipal 576104, India; ³Apollo Hospitals, Hyderabad 500033, India; ⁴Krishna Institute of Medical Sciences, Hyderabad 500003, India. *These authors contributed equally to this work [†]Address for correspondence: Murali Dharan Bashyam, Laboratory of Molecular Oncology, Centre for DNA Fingerprinting and Diagnostics, Uppal, Hyderabad 500039, India; Phone: 91-40-27216112; Fax: 91-40-27216006; Email: bashyam@cdfd.org.in and bashyam69@gmail.com

Abstract

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The ARID1B/BAF250b subunit of the human SWI/SNF chromatin remodeling complex is a canonical nuclear tumor suppressor. Immunohistochemistry on a pancreatic cancer tissue microarray revealed significant ARID1B cytoplasmic localization that correlated with advanced tumor stage and lymph node positivity. Identification of the nuclear localization signal (NLS) using in silico prediction and subcellular localization studies facilitated evaluation of a possible cytoplasmic function for ARID1B. A cytoplasm-restricted ARID1B-NLS mutant was significantly compromised to regulate transcription activation and tumor suppression functions, as expected. Surprisingly however, cytoplasm-localized ARID1B could bind c-RAF and PPP1CA causing stimulation of RAS-RAF-ERK signaling and β-catenin transcription activity in pancreatic cancer cells. More importantly, cytoplasmic ARID1B resulted in an induction of cell growth and migration in pancreatic cancer cell lines that was dependent on ERK signaling and caused increased tumorigenesis in nude mice. NLS peptides representing mutations identified from pancreatic cancer samples exhibiting ARID1B cytoplasmic localization or curated from cancer somatic mutation database were significantly compromised to effect nuclear localization of a reporter protein. ARID1B cytoplasmic localization correlated significantly with active forms of ERK and β-catenin in primary pancreatic tumor samples. ARID1B may therefore promote oncogenesis through non-canonical cytoplasm-based gain of function mechanisms in addition to dysregulation in the nucleus.

Keywords

46 ARID1B; SWI/SNF; NLS; c-RAF; RAS-RAF-ERK signaling; Wnt/β-catenin signaling

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Introduction SWItch/Sucrose Non-Fermentable (SWI/SNF) complex, originally discovered in yeast, functions as the primary chromatin remodeler in humans during ontogeny and adult life ⁷. It is a large (~2) MDa) evolutionarily conserved multi-functional complex that uses energy from ATP hydrolysis to make nucleosomal DNA accessible for various regulatory proteins facilitating nuclear processes such as transcription, DNA repair and maintenance of chromosomal stability ²⁵. The multi subunit complex includes one of two ATPase subunits BRG1 or BRM, three core components namely INI1, BAF155 (BRG1 Associated Factor) and BAF170, four mutually exclusive DNA binding subunits namely the AT-rich interaction domain 1A (ARID1A or BAF250a), ARID1B (BAF250b)³³, ARID2 (BAF180)³⁴ or GLTSCR1/GLTSCR1L²¹, in addition to up to ten varying accessory subunits. Inactivating mutations in genes encoding one of several SWI/SNF subunits are identified in up to 20% of cancers ¹² attesting to the importance of this complex in tumor suppression. ARID1B is a ubiquitously expressed subunit of the SWI/SNF complex ⁸. ARID1B levels increase during differentiation of embryonic stem cells ¹³ while its ectopic expression induces TP53/CDKN1A causing cell cycle arrest in HeLa cells 9 suggesting a possible tumor suppressor function. ARID1B loss of function events including mutations ⁶, chromosomal rearrangements ²⁶ and DNA methylation ¹⁴ are reported in several cancer types. In our previous study, we described a tumor suppressor role for nuclear ARID1B in pancreatic cancer (PaCa) ¹⁴. Here, we report cytoplasmic localization of ARID1B in several pancreatic tumor samples that correlate significantly with advanced tumor stage and lymph node positivity. Surprisingly, an NLS-mutant

version of ARID1B localized to the cytoplasm and stimulated RAF-ERK signaling and β-catenin

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transcription activity causing increased tumorigenic features in pancreatic cancer cell lines as well as in primary tumors. **Results** ARID1B exhibits cytoplasmic localization in PaCa We previously reported loss of ARID1B expression in a significant proportion of PaCa samples by immunohistochemistry (IHC) on a tissue microarray (TMA) ¹⁴. We have now confirmed the results on a larger TMA (figures 1a and S1; Table S1). A careful analysis surprisingly revealed cytoplasmic localization of ARID1B in a significant proportion of tumor (but not normal) samples confirmed independently by two pathologists blinded for the study (figures 1a-b; Table S1). IHC performed on another nuclear protein (p53) did not reveal cytoplasmic stain in any sample (data not shown) indicating that ARID1B cytoplasmic localization was not an artefact. More importantly, ARID1B cytoplasmic localization correlated significantly with aggressive clinical features including advanced tumor stage and lymph node positivity (figure 1c). We therefore proceeded to test a possible role for cytoplasm localized ARID1B in PaCa progression. ARID1B possesses a classical bipartite NLS sequence In order to evaluate the significance of its cytoplasmic localization in pancreatic tumor samples we decided to determine the effect of restricting ARID1B to the cytoplasm in PaCa cell lines. Since this can be achieved by simply inactivating its NLS, we proceeded to define the ARID1B NLS. Based on a comparison of subcellular localization exhibited by several truncation constructs, the probable location of the ARID1B NLS appeared to be between the ARID and the BAF250 C

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status of transcriptional activation mediated by B-catenin upon ectopic expression of the

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ARID1B NLS mutant using two readouts of canonical Wnt/β-catenin signaling namely a) AXIN2 expression ¹⁷ and b) TOP/FOPFlash promoter luciferase assay ¹⁰. As expected, ectopic expression of wild type ARID1B resulted in decreased β-catenin transcriptional activation function (figures 3g-h and S4b). Surprisingly, cytoplasm-restricted ARID1B caused a significant up-regulation of β-catenin transcription activity (figures 3g-h and S4b). β-catenin nuclear entry is inhibited by a destruction complex that triggers proteasomal degradation of cytoplasmic β-catenin which in turn is initiated by phosphorylation at Ser45 position of β-catenin caused by CK1¹. Indeed, cytoplasm-restricted ARID1B caused an increase in the non-phosphorylated (active) form of βcatenin whereas there was no effect of the wild type ARID1B (figures 3i and S4c). These observations provided the first probable evidence for a gain of oncogenic function exhibited by cytoplasm-localized ARID1B supporting our initial observations in pancreatic tumor samples. Cytoplasm localized ARID1B activates RAF-ERK signaling We performed a halo tag based pull down-mass spectrometry screen and surprisingly identified components and regulators of the RAF-ERK signaling pathway including a-RAF and c-RAF as potential interactors of cytoplasm-restricted ARID1B (Table S3). RAF-ERK signaling is a major driver of cell viability and growth and is also shown to induce migratory phenotype in epithelial cells ²³. Of note, previous studies have implicated the RAF-ERK signaling cascade in direct phosphorylation of LRP6 leading to activation of canonical Wnt/β-catenin signaling ⁵ via inhibition of CK1 mediated β-catenin phosphorylation¹.

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cytoplasmic form of ARID1B in nude mice xenograft experiments (figures 4h and S6a). We next

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scrutinized the cBioPortal (cbioportal.org) cancer somatic mutation database and detected several ARID1B mutations including splice site, frame shift and truncations that could potentially inactivate the NLS. More importantly, we identified eight missense mutations located within the NLS (Table S4). Of these, one (p.D1377N) was also detected in a PaCa sample (# Panc43; Table S1) that exhibited significant ARID1B cytoplasmic localization (figure 4i). Two NLS-specific mutations p.R1361C and p.D1377N stimulated cytoplasmic retention of the Halo tag in U2OS, MIA PaCa-2 and HEK293 cells (figures 4j). Finally, IHC based evaluation performed on the PaCa TMA revealed significant correlation between ARID1B cytoplasmic localization and active ERK1/2 and β-catenin levels (figure 4k and Table S5). These results provide support for a possible clinical significance of cytoplasmic localization of ARID1B. Given that ARID1B is a ubiquitously expressed protein implicated in several cancer types, it's cytoplasmic localization was unlikely to be restricted to PaCa alone. No cytoplasmic staining was however detected based on IHC performed on TMAs generated for adenocarcinomas of the esophagus (30 tumor and 10 normal samples), colon and rectum (120 tumor and 20 normal samples) as well as squamous carcinomas of the oral tongue (60 tumor and 25 normal samples) and esophagus (80 tumor and 15 normal samples) (data not shown). However, we identified significant ARID1B cytoplasmic localization in breast cancer samples (figures S6b-c and Table S6) suggesting a wider clinical importance of our novel discovery.

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oncogenic gain of function exhibited by a component of the human SWI/SNF complex. We

detected ARID1B cytoplasmic localization in a significant proportion of breast cancer samples

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the 3100 genetic analyzer (ABI Inc.).

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Materials and methods Construction of tissue microarray (TMA), immunohistochemistry (IHC), microdissection and DNA sequencing The PaCa TMA described earlier ¹⁴ was expanded by the addition of fifteen samples to contain a total of 67 tumor and matched normal sample pairs. A Breast cancer TMA was constructed in a similar way and included 65 tumor and 30 normal samples. Details of sample collection and TMA construction are provided in supplementary methods. ARID1B ¹⁴, β-catenin ²⁴ and pERK IHC were performed using standard protocol on 4 uM sections; details are given in supplementary methods section. For ARID1B, cores exhibiting $\geq 20\%$ (nuclear or cytoplasmic) epithelial staining were classified as positive. For β-catenin, scores for stain intensity (negative (0), weak (1), moderate (2) and strong (3)) were added with those for percentage staining and summated scores of 1-3 and 4-7 were considered low and high expression, respectively. For pERK, the percent epithelial staining was converted to a numerical score (up to 25 (1), 50 (2), 75 (3) and 100% (4)) and classified as negative/low (1-2) or high (3-4) expression. Images were taken using Nikon eclipse 80i (Nikon corporations) at 20X magnification. All antibodies are listed in supplementary methods. DNA was extracted from tumor epithelium micro-dissected from Formalin Fixed Paraffin Embedded (FFPE) sections of PaCa samples by using the standard Phenol-Chloroform / ethanol method ²⁴. The NLS encoding region was amplified using specific primers at an annealing temperature of 55^oC using Amplitaq GoldTM (ABI Inc.). The PCR product was sequenced using

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Volume=(W²*L)/2, where W and L represent width and length respectively. Mice were

sacrificed 6 weeks post injection by carbon dioxide euthanasia, tumors were dissected and weight and volume were measured.

Statistical analysis

All data obtained from three independent experiments (biological replicates) were represented as mean +/- standard deviation. Student's t test was used to determine the statistical significance for all experiments while the Fisher exact test (two-tailed) was used to calculate statistical significance of IHC data.

Acknowledgements

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Conflict of interest statement The authors declare that they have no conflict of interest **Author contributions** MDB supervised the research, arranged funding and wrote the manuscript. MDB and SA conceived the project, designed the experiments and analyzed the results. SA, performed experiments involving fluorescence, functional assays and immunoblotting and contributed to manuscript writing. SA and PK performed cloning and Q-PCR experiments. PK performed luciferase assays. Experiments related to construction of the TMA, IHC and mutation screening from tumor samples were performed by VK. All authors contributed to manuscript correction.

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Figure legends Figure 1. Identification of ARID1B aberrant cytoplasmic localization in PaCa samples. a, IHC based evaluation of ARID1B intracellular localization in pancreatic primary tumors. b, Analysis of number of samples exhibiting ARID1B nuclear vs nuclear plus cytoplasmic stain in tumor and normal samples (forty tumor/normal pairs). c, Association of nuclear vs nuclear plus cytoplasmic stain with tumor stage or lymph node status (thirty seven tumor samples). ARID1B negative samples as well as chronic pancreatitis, groove pancreatitis, cystic adenoma, and gastrointestinal stromal tumor samples were excluded from the analyses depicted in panels b and c. Differences were considered significant at a p value less than 0.05 (*, p<0.05; **, p<0.01; ***, p<0.001). Nuc, nuclear staining; Nuc + Cyt, nuclear plus cytoplasmic staining; T1-T2, low tumor stage; T3-T4, advanced tumor stage; -ve, negative; +ve, positive. Figure 2. Identification and characterization of the ARID1B NLS. a, ARID1B truncations identify the putative region harboring the NLS. Diagrammatic depiction of constructs expressing GFP alone or fused with full length or various ARID1B truncations generated in pDEST-N-EGFP is shown on the left. The ARID1B full length construct is designated as FL and each C- and N-terminal deletion construct is labelled as C1-C4 and N1-N4, respectively. ARID1B domains are shown in various colors: blue, ARID domain; red, BAF250 C domain; black, LXXLL motif; yellow, B/C box motif. A rectangular gray box depicts probable location of the NLS. Intracellular localization of each GFP-tagged protein was detected in three different cell lines (indicated) using GFP fluorescence (shown in the middle)

and by immunoblotting performed separately for the nuclear and cytoplasmic fractions (only in

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HEK293; shown on the right). Immunoblotting for Histone H3 (labelled as 'H') and GAPDH (labelled as 'G') were used as controls for nuclear and cytoplasmic fractions, respectively (shown on the far right). Nuc, nuclear fraction; Cyt, cytoplasmic fraction; IB, immunoblotting, b, Left panel depicts location of five putative ARID1B NLS sequences (shown as thin green vertical bars and labelled a-e) identified using cNLS mapper. '*' indicates position of the NLS sequence selected for characterization. The right panel depicts the consensus ARID1B NLS sequence derived using WebLogo (weblogo.berkeley.edu) with default settings based on the alignment of ARID1B amino acid sequences from 42 vertebrate species (enumerated in legend to figure S2a) using Clustal Omega. Each of the two highly conserved basic amino acid clusters (Lysine-Arginine) are indicated by a red line on the top. c, Representative results from transfected cells via GFP fluorescence as well as from GFP immunoblotting performed separately on nuclear and cytoplasmic fractions (only in HEK293). WT, full length ARID1B; Mut-N, full length ARID1B harboring mutated N terminal basic amino acid cluster (AA instead of KR); Mut-C, full length ARID1B harboring mutated C terminal basic amino acid cluster (AA instead of KR); Mut-N+C, full length ARID1B harboring mutated N and C terminal basic amino acid clusters; IB, immunoblotting; Nuc, nuclear fraction; Cyt, cytoplasmic fraction; H, Histone H3 immunoblotting as a positive control for the nuclear fraction; G, GAPDH immunoblotting as a positive control for the cytoplasmic fraction. d, Evaluation of ARID1B intracellular localization following Importazole treatment on U20S cells using GFP fluorescence and ARID1B antibody based immunofluorescence analyses. The graph depicts results from fluorescence quantitation. e, ARID1B NLS peptide alone can translocate a fused reporter (Halo tag) into the nucleus. Diagrammatic representation of each NLS peptide variant expression construct is shown on the left (the basic amino acid clusters are indicated by grey shading and

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the mutant residues are indicated in red color) and fluorescence signal from representative cells expressing the particular variant is shown on the right. Scale bars are 10µm for all fluorescence images. Differences were considered significant at a p value less than 0.05 (***, p<0.001). Figure 3. Cytoplasm restricted ARID1B is compromised for transcription regulatory and tumor suppressor functions but promotes oncogenic properties a, Senescence-associated β -galactosidase assay. Left panel shows representative images of β galactosidase stain while the right panel shows quantitation. β-galactosidase positive cells are indicated by black arrowheads. **b-c**, ARID1B NLS mutant is compromised to induce transcription of canonical tumor suppressor targets CDKN1A, TP53 as well as CDKN1B measured at both transcript (b) and protein (c) levels. **d-f**, Ectopic expression of cytoplasmic version of ARID1B results in increased tumorigenic potential of pancreatic cancer cells as measured by crystal violet staining (d), MTT (e) and transwell migration (f) assays. g-i, Cytoplasm-localized ARID1B elevates β-catenin transcription activity in MIA PaCa-2 cells as evaluated by measuring AXIN2 transcript levels (g) and relative promoter activity of TOPFlash over FOPFlash (h) as well as by quantitation of active (non-phosphorylated at Ser45) β-catenin levels (i). IB, immunoblotting. Differences were considered significant at a p value less than 0.05 (*, p<0.05; **, p<0.01; ***, p<0.001; ns, not significant). Nuc, nuclear (wild type) ARID1B; Cyt, cytoplasmic (NLS mutant) ARID1B.

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Figure 4. Cytoplasm-localized ARID1B activates RAF-ERK signaling in PaCa cells. a, Interaction of ARID1B with both ectopically expressed as well as endogenous c-RAF (to evaluate ARID1B interaction with endogenous c-RAF, transfection with SFB-c-RAF was avoided). b, Activation of c-RAF (phosphorylation at Ser 338) and ERK1/2 upon ectopic expression of cytoplasmic form of ARID1B. c, Cytoplasm restricted ARID1B increases interaction between constitutively active (mutant) KRAS and c-RAF. The asterisk (*) in panels a and c indicates a non-specific band. d, interaction of cytoplasm-localized ARID1B with PPP1CA. e, cytoplasm-localized ARID1B decreases levels of phosphorylated (at Ser259) form of c-RAF. **f-g**, Oncogenic function exhibited by cytoplasm-localized ARID1B is dependent on ERK signaling. Results for MTT (panel f; performed as described in figure 3e) and quantitation of active (non-phosphorylated at Ser45) β-catenin levels (panel g; performed as described in figure 3i) upon treatment with the MEK 1/2 inhibitor PD98,059 (20µM) are shown. PD, PD98.059. h. Oncogenic role of cytoplasm localized ARID1B in mouse xenograft tumor models. A picture of all 12 excised tumors generated by MiaPaCa2 cells expressing cytoplasmic version of ARID1B or vector alone (indicated) is shown in the left. Plot for change in tumor volume (mean for 6 animals) is shown on the right. Error bars represent standard deviation. i, Evaluation of ARID1B-NLS mutations. Top panel shows location of eight missense mutations within the ARID1B-NLS identified from the cBioPortal somatic cancer mutation database. Black arrowheads indicate the amino acids targeted by each mutation; double arrow-heads indicate two distinct mutations affecting the same amino acid. The C-terminal most Aspartic acid residue mutated in pancreatic tumor sample #Panc43 (Table S1) is depicted in red colour. List of mutations is given in Table S4. Bottom panel shows ARID1B immunohistochemistry and DNA sequencing results for tumor and matched normal counterparts of #Panc43 exhibiting

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cytoplasmic localization of ARID1B. The mutant nucleotide in the electropherogram is indicated by a red arrow-head. i. Evaluation of the p.D1377N and p.R1361C ARID1B NLS mutations using the same strategy as in figure 2e. The two basic amino acid clusters are indicted by grey shading and the mutated residue is indicated by red colour. k, Oncogenic role of cytoplasm localized ARID1B in human pancreatic tumor samples. ARID1B cytoplasmic localization correlates significantly with active forms of (non-phosphorylated) \(\beta \)-catenin and (phosphorylated) ERK in pancreatic tumor samples. Differences were considered significant at a p value less than 0.05 (*, p<0.05; **, p<0.01; ***, p<0.001; ****, p<0.0001; ns, not significant). Scale bars are 10 µm for all fluorescence images. Nuc, nuclear (wild type) ARID1B; Cyt, cytoplasmic (NLS mutant) ARID1B; IB, immunoblotting. N, nuclear staining; N + C, nuclear plus cytoplasmic staining. **Supplementary Figure Legends:** Figure S1. IHC-based comparative assessment of ARID1B expression in pancreatic tumor and normal samples (total fifty five tumor/normal pairs). Differences were considered significant at a p value less than 0.05 (***, p<0.001). Figure S2. Clustal Omega based analysis of the ARID1B-NLS. a, Homology between ARID1B protein sequence of 42 metazoan species. The alignment is shown only for the region comprising the putative human ARID1B NLS. Symbols used to indicate amino acid conservation are '*', identity; ':', conserved substitution; '.', semi-conserved substitution. The two highly conserved basic amino acid clusters (Lysine-Arginine) are indicated by a thick red bar on the top. Identities of the forty two species used for Clustal Omega alignment are *Homo sapiens*, *Mus*

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musculus, Rattus norvegicus, Danio rerio, Bos taurus, Myotis lucifugus, Ornithorhynchus anatinus, Ictidomys tridecemlineatus, Ailuropoda melanoleuca, Ovis aries, Macaca mulatta, Sus scrofa, Canis lupus familiaris, Pan troglodytes, Pongo abelii, Sarcophilus harrisii, Taeniopygia guttata, Callithrix jacchus, Mustela putorius furo, Felis catus, Ficedula albicollis, Gallus gallus, Anas platyrhynchos, Anolis carolinensis, Equus caballus, Monodelphis domestica, Loxodonta africana, Oreochromis niloticus, Meleagris gallopavo, Gorilla gorilla gorilla, Nomascus leucogenys, Oryctolagus cuniculus, Papio anubis, Xenopus tropicalis, Ophiophagus hannah, Xiphophorus maculates, Chlorocebus sabaeus, Astyanax mexicanus, Poecilia formosa, Tetraodon nigroviridis, Takifugu rubripes and Alligator mississippiensis. b, Alignment of ARID1B and ARID1A protein sequence reveals significant conservation of their respective NLS sequences. Figure shows alignment of only the NLS region; the N- and C- terminal basic amino acid clusters are highlighted by grey shading. Symbols used to indicate amino acid conservation are '*', identity and ':', conserved substitution. Figure S3. Characterization of the ARID1B-NLS. a, Importazole treatment blocks ARID1B nuclear localization in HEK293 cells. Scale bars are 10µm. b, The ARID1B NLS peptide can translocate a fused GFP tag into the nucleus. Basic amino acid clusters are indicated by grey shading while the mutant residues are shown in red color. Representative images obtained separately from cells transfected with each construct are shown. Scale bars are 10µm for U2OS and HEK293 and 5µm for MIA PaCa-2.

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Figure S4. Cytoplasmic localization due to mutant NLS sequence compromises ARID1B canonical functions. a, Cytoplasm-restricted ARID1B is compromised in its ability to activate CDKN1A/B in MIA PaCa-2 cells as evaluated through CDKN1A/B promoter luciferase assays. **b**c, Cytoplasm-restricted ARID1B enhances β -catenin transcription activity in PANC-1 cells. b, Quantitation of AXIN2 relative mRNA levels. c, Quantitation of active (non-phosphorylated at Ser45) β-catenin levels. Nuc, nuclear (wild type) ARID1B; Cyt, cytoplasmic (NLS mutant) ARID1B. Differences were considered significant at a p value less than 0.05 (*, p<0.05; **, p<0.01; ***, p<0.001; ns, not significant). Figure S5. Characterization of oncogenic role of cytoplasm-localized ARID1B. a, ARID1B and c-RAF interaction was analyzed using pull down followed by immunoblotting. b. ARID1Bc-RAF interaction is shown using fluorescence based co-localization. White arrowheads indicate sites of co-localization of cytoplasm localized ARID1B with c-RAF. Scale bars are 10 µm. c, Cytoplasm-localized ARID1B causes no significant change in levels of active AKT (p-AKT). Figure shows results of densitometric quantitation of active/total ratios of AKT (from figure 4b) represented as fold induction over the levels obtained with the pDEST-N-EGFP vector. **d-e**, Oncogenic function exhibited by cytoplasm-localized ARID1B is dependent on ERK signaling. Results for AXIN2 mRNA induction (panel d; performed as described in figure 3g) and quantitation of active (non-phosphorylated at Ser45) β-catenin levels (panel e; performed as described in figure 3i) upon treatment with the MEK1/2 inhibitor PD98,059 (20µM) are shown. IB, immunoblotting. Nuc, nuclear (wild type) ARID1B; Cyt, cytoplasmic (NLS mutant) ARID1B. Differences were considered significant at a p value less than 0.05 (*, p<0.05; **, p<0.01; ns, not significant).

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