

1 **Encephalitic alphaviruses exploit caveolae-mediated transcytosis at the blood-brain**
2 **barrier for CNS entry**

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21 **Abstract**

22 Venezuelan and Western equine encephalitis viruses (VEEV and WEEV) invade the CNS early during
23 infection, via neuronal and hematogenous routes (1, 2). While viral replication mediates host-shut off,
24 including expression of type I interferons (IFN) (3, 4), few studies have addressed how alphaviruses
25 gain access to the CNS during established infection or the mechanisms of viral crossing at the blood-
26 brain barrier (BBB). Here, we show that hematogenous dissemination of VEEV and WEEV into the
27 CNS occurs via caveolin (Cav)-1-mediated transcytosis (Cav-MT) across an intact BBB, which is
28 impeded by IFN and inhibitors of RhoA GTPase. Use of reporter and non-replicative strains also
29 demonstrates that IFN signaling mediates viral restriction within cells comprising the neurovascular unit
30 (NVU), differentially rendering brain endothelial cells, pericytes and astrocytes permissive to viral
31 replication. Transmission and immunoelectron microscopy revealed early events in virus internalization
32 and Cav-1-association within brain endothelial cells. Cav-1-deficient mice exhibit diminished CNS
33 VEEV and WEEV titers during early infection, whereas viral burdens in peripheral tissues remained
34 unchanged. Our findings show that alphaviruses exploit Cav-MT to enter the CNS, and that IFN
35 differentially restricts this process at the BBB.

36

37 **Importance**

38 VEEV, WEEV and EEEV are emerging infectious diseases in the Americas, and they have caused
39 several major outbreaks in the human and horse population during the past few decades. Shortly after
40 infection, these viruses can infect the CNS, resulting in severe long-term neurological deficits or death.
41 Neuroinvasion has been associated with virus entry into the CNS directly from the blood-stream,
42 however the underlying molecular mechanisms have remained largely unknown. Here we demonstrate
43 that following peripheral infection alphavirus augments vesicular formation/trafficking at the BBB and
44 utilizes Cav-MT to cross an intact BBB, a process regulated by activators of Rho GTPases within brain
45 endothelium. *In vivo* examination of early viral entry in Cav-1-deficient mice revealed significantly lower
46 viral burdens than in similarly infected wild-type animals. These studies identify a potentially targetable
47 pathway to limit neuroinvasion by alphaviruses.

48

49

50 Introduction

51 The central nervous system (CNS) is protected from pathogens by the blood brain barrier (BBB), an
52 intercellular association of transmembrane junctional proteins between brain microvascular endothelial
53 cells (BMECs), with associated pericytes, astrocytes and neurons that together comprise the
54 neurovascular unit (NVU) (5). Neurotropic pathogens have evolved mechanisms to bypass or cross this
55 barrier, including anterograde or retrograde transport along axons, destabilization of BBB junctional
56 proteins, or passage through BMECs (6), the latter of which may involve intracellular transport within
57 leukocytes via binding to intercellular adhesion molecule (ICAM)-1 (7). An additional mechanism may
58 involve caveolae, flask-shaped plasma membrane invaginations within BMECs that are important for
59 cell metabolism, signal transduction, and the transcytosis of large proteins (8). BBB formation of
60 caveolae, which contain the major structural protein caveolin-1 (Cav-1), is limited by the major facilitator
61 superfamily domain-containing protein 2a (Mfsd2a), which is exclusively expressed on BMECs and
62 induced by pericytes (9). Stabilization of junctional proteins and caveolae within BMECs is additionally
63 regulated by the small Rho GTPases, including the Ras homolog gene family, member A (RhoA), and
64 Ras-related C3 botulinum toxin substrate (Rac)-1 (10). While many viruses have evolved to interact
65 with Rho GTPases to increase their entry and replication within target cells (11, 12), some neurotropic
66 viruses, including retroviruses and flaviviruses, may compromise BBB permeability via GTPase-
67 mediated alterations of junctional proteins (13–16). For these viruses, neuroinvasion coincides with
68 BBB instability. In contrast, encephalitic alphaviruses, including Venezuelan, Western and Eastern
69 equine encephalitis viruses (VEEV, WEEV and EEEV, respectively), can enter the CNS directly from
70 the bloodstream via unknown mechanisms (17, 18).

71 VEEV, WEEV, EEEV naturally cycle between mosquitoes and birds (EEEV and WEEV),
72 mosquitoes and rodents (VEEV enzootic cycle), or mosquitoes and horses (VEEV epizootic cycle), and

73 are all widely distributed in North, Central, and South America (19). Human infection can progress
74 rapidly to encephalitis with fatality rates of 1-75%, depending on the strain. Of the three, VEEV is
75 considered the most important zoonotic pathogen with several reported outbreaks in South and Central
76 Americas, the latter of which have spread to North America. Although the number of human cases
77 reported is small, the possibility for disease emergence is high due to expansion and spread of
78 mosquito vectors (20). Despite the epidemic potential of VEEV and the high morbidity and/or case
79 fatality rates of EEEV and WEEV, there are no approved vaccines or therapeutics for humans. Insight
80 into the cell-intrinsic and -extrinsic processes by which the host limits alphavirus infections and
81 minimizes virus- and immune-induced injury is essential for developing strategies to contain virus
82 dissemination and disease. While early studies suggested that VEEV enters the CNS via anterograde
83 transport along peripheral nerves after cutaneous inoculation (1), recent findings, however, emphasize
84 that VEEV may cross the BBB via unknown mechanisms (2, 21).

85 In this study, we show that peripherally inoculated virulent strains of VEEV and WEEV enter the
86 CNS from the blood-stream as free virions through an intact BBB. While VEEV and WEEV interact,
87 enter and traverse brain endothelium *in vivo*, virus replication within brain microvascular endothelial
88 cells (BMECs) and pericytes is inhibited by type I IFN (IFN) signaling. Consistent with this, reporter and
89 non-replicative strains of VEEV and WEEV were observed to first replicate within astrocytes and
90 neurons, respectively, suggesting that alphaviruses cross the BBB without replication in BMECs or
91 pericytes. Using an *in vitro* transcytosis assay, alphaviruses were found to utilize caveolin-mediated
92 transcytosis (Cav-MT), which is directly regulated by small Rho GTPases, and, notably, IFN.
93 Transmission and immunoelectron microscopy revealed *in vivo* virus encounter and entry at the BBB,
94 with detection of VEEV within caveolin-1-expressing BMECs. Importantly, deficiency in *Cav-1*
95 significantly delayed alphavirus neuroinvasion during early infection, highlighting the important role of

96 caveolae in CNS entry. Together, these data suggest that IFNs regulate the CNS entry of encephalitic
97 alphaviruses via direct and indirect mechanisms.
98

99 **Results**

100 **Alphavirus neuroinvasion occurs prior to BBB disruption.** To address mechanisms of alphavirus
101 neuroinvasion in susceptible hosts, we infected mice with enzootic VEEV ZPC738 (VEEV) and
102 epizootic WEEV McMillan (WEEV) strains of alphaviruses (22, 23). Wild-type mice infected with VEEV
103 and WEEV via footpad (f.p.) inoculation exhibited detectable virus replication simultaneously in both
104 fore- and hindbrain regions at 1- and 3-days post-infection (dpi), respectively (Fig. 1A and B). Similar
105 results were observed in the brainstem and spinal cord (Fig. S1A-D). Consistent with prior studies, the
106 olfactory bulb exhibited higher VEEV titers at early time-points (1). Viral titers plateaued at 10^7 to 10^8
107 pfu/g of tissue by 3-4 dpi (VEEV) and 4-5 dpi (WEEV). Significant alterations in BBB permeability
108 occurred at plateau viral loads, with VEEV infection leading to higher permeability in all brain regions as
109 compared to WEEV (Fig. 1C and D). Peak BBB permeability in the brainstem and spinal cord also
110 occurred at time-points coinciding with peak viral loads (Fig. S1C and D). We also examined direct
111 effects of virus on BBB integrity using an *in vitro* BBB model, in which primary murine BMECs are
112 cultured on transwell inserts over primary murine astrocytes in the bottom chamber (Fig. 1E). In this
113 model, barrier integrity is assessed by measuring transendothelial electrical resistance (TEER) between
114 the transwell chambers. While control cultures treated with TNF- α (100 ng/ml) displayed decreased
115 TEER at all time-points, addition of virus to either BMECs or astrocytes had no effect on TEER (Fig. 1F
116 and G). Together, our observations from *in vivo* and *in vitro* experiments suggest that alphavirus
117 neuroinvasion occurs in the presence of an intact BBB.

118

119 **Hematogenous route of neuroinvasion is not exclusive to the circumventricular organs (CVOs).**
120 Viral neuroinvasion across an intact BBB could occur via virus replication within cellular constituents of
121 the NVU. To assess viral permissivity of NVU cells, we examined *in vitro* alphavirus infection of isolated

122 murine cells and performed multistep growth curve analyses after infection with either VEEV (Fig. S2A-
123 C) or WEEV (Fig. S2E-G). While all cell types were permissive to both viruses, slopes of the curves
124 differed; thus, virus replication plateaued as early as 6-12 hours post-infection (hpi) in astrocytes, while
125 pericytes and BMECs required 12-24 hpi and 24-48 hpi, respectively, to reach plateau levels. To
126 validate these findings in murine BMECs, the experiment was performed using the human brain
127 endothelial cell line, hCMEC/D3, which displayed similar results (Fig S1H and L). These data suggest
128 that differential restriction of alphavirus replication may occur at the NVU. To examine this *in vivo* during
129 early viral neuroinvasion, we infected mice with 10^6 pfu of either VEEV-eGFP or WEEV-eGFP via
130 intravenous (i.v.) injection, followed by immunohistochemical (IHC) detection of GFP within brain
131 tissues. Given the two-day delay in detection of WEEV compared with VEEV within the CNS (Fig. 1)
132 CNS tissues of VEEV-infected mice were examined at 16 hpi, while WEEV-infected mice were
133 examined at 48 hpi. Analyses of brains revealed multiple foci of viral replication throughout the brain for
134 both viruses, suggesting hematogenous routes of CNS entry. While some of the entry sites overlapped
135 with areas consistent with CVOs (Fig. 2A and B; arrows) (2), others were located within cortical and
136 cerebellar areas that are distant from these structures (Fig. 2A and B; arrow heads). In VEEV-infected
137 mice, GFP expression was detected in both NeuN⁺ neurons and S100-β⁺ astrocytes (Fig. 2C). In
138 contrast, WEEV-infected animals exhibited GFP expression exclusively within neurons (Fig. 2D).
139 Notably, neither reporter strain led to early viral replication within BMECs or pericytes (Fig. 2C and D),
140 which is consistent with their diminished permissivity *in vitro* compared with astrocytes. Together, these
141 data suggest that cells of the NVU are differentially susceptible to alphavirus infection *in vivo* and that,
142 upon viremia, alphaviruses invade the CNS from the bloodstream through multiple entry sites that are
143 not exclusive to CVOs.

144

145 **IFNAR signaling differentially restricts alphavirus infection at the BBB.** Given our results
146 demonstrating differential *in vivo* replication of alphaviruses within cells of the NVU, we hypothesized
147 that robust post-entry restriction may be imposed by innate immune responses within BMECs and
148 pericytes. To address this, WT and *Ifnar*^{-/-} mice were infected with VEEV-eGFP (100 pfu) via f.p.
149 inoculation, and brain tissues were examined at 1 dpi for GFP expression. Notably, *Ifnar*^{-/-} mice
150 succumb to VEEV-eGFP by ~30 hr post infection (Fig. S3A), while WT animals have undetectable brain
151 infection using IHC at this time-point (Fig S3D). Thus, to allow comparisons at similar viral burdens (Fig.
152 S3C), we also evaluated brain tissues of WT mice at 3 dpi. VEEV infection was limited to S100-β⁺
153 astrocytes and NeuN⁺ neurons in WT animals (Fig. 3A and Fig. S4A), whereas similar infection in *Ifnar*^{-/-}
154 ^{-/-} animals led to GFP expression within BMECs and pericytes both in the cortex (Fig. 3B) and
155 cerebellum (Fig. S4B). Next, we assessed cellular tropism in the context of WEEV-eGFP (1000 pfu)
156 infection. Although mortality was significantly increased in WEEV-eGFP-infected *Ifnar*^{-/-} mice compared
157 with similarly infected WT animals, *Ifnar*^{-/-} mice survive WEEV infection up to 5-6 dpi (Fig. S3B), and
158 viral infection was undetectable in brain tissues of either WT or *Ifnar*^{-/-} mice at 1 dpi (Fig. S3E). Thus,
159 brain tissues were examined at 3 dpi for both genotypes. Similar to our observations with VEEV, WT
160 mice infected with WEEV exhibited no GFP expression in BMECs or pericytes, with infection detected
161 only in neurons (Fig. 3C and Fig. S4C). However, *Ifnar*^{-/-} mice additionally exhibited GFP expression
162 within cortical (Fig. 3D) and cerebellar (Fig. S4D) astrocytes.

163 As the observed changes in cellular tropism could be due a higher titer of viremia in *Ifnar*^{-/-} versus WT
164 mice, *Ifnar*^{-/-} mice were infected with 10⁶ pfu/ml of a non-replicative (NR) replicon derived from VEEV-
165 eGFP (24); a physiologically relevant dose that is equivalent to the level of viremia observed in WT
166 mice after f.p. inoculation (Fig. S4F). Following cellular entry, NR-VEEV-eGFP undergoes RNA
167 replication and produces reporter genes products, but no progeny virus, due to lack of structural genes

168 (24). Similar to our results with WT VEEV-eGFP, WT animals infected with NR-VEEV-eGFP had no
169 infection of BEMCs or pericytes (Fig. 3E), whereas *Ifnar*^{-/-} mice exhibited extensive infection of these
170 cell types in multiple brain regions, including the cortex (Fig. 3F) and cerebellum (Fig. S4E). These
171 findings support the notion that alphavirus infection is differentially restricted at the NVU by IFNAR
172 signaling, which prevents CNS entry by blocking active virus replication within BMECs.

173

174 **Ultrastructural analysis reveals alphavirus interaction and entry at the BBB.** To further
175 characterize virus-cell interactions at the BBB *in vivo*, we performed transmission electron microscopy
176 (TEM) of CNS tissues derived from VEEV-infected WT and *Ifnar*^{-/-} mice. TEM analysis of *Ifnar*^{-/-} mice,
177 which develop high level viremia (Fig. S4F), revealed 70 nm spherical structures with electron dense
178 cores, consistent with virions (25), attached to the luminal surface of cortical microvessels (Fig. 4A).
179 Remarkably, immunogold labeling using antibodies against the VEEV E2 glycoproteins detected viral
180 particles inside cortical BMECs of WT mice (Fig. 4B). Since WT BMECs do not support VEEV
181 replication *in vivo* (Fig. 3A), detection of E2 glycoproteins likely represents an intact virion rather than
182 intracellular protein expression. Based on these observations, we hypothesized that VEEV may cross
183 the BBB as free particles. To examine this, mice were infected with NR-VEEV-eGFP via *i.v.* injection. At
184 1 dpi, we detected infection of astrocyte-like cells in multiple brain regions, including cortex and
185 cerebellum (Fig. 4C and D), suggesting that VEEV can cross the BBB as free virions, likely via a
186 transcytosis mechanism.

187

188 **Alphavirus crosses brain endothelial cells via caveolae-mediated transcytosis.** Based on our
189 TEM data (Fig. 4A and B), we hypothesized that alphaviruses may exploit BMEC endocytic machinery

190 for entry across the BBB. Contrary to peripheral tissues, the rate of transcytosis and numbers of
191 endocytic vesicles are relatively low in BMECs (9). However, exposure of BMECs to VEEV or WEEV
192 (MOI 10) resulted in enhanced caveolae formation at the cell surface (Fig. 5A-D). We next quantified
193 the number of caveolae-like structures in BMECs of VEEV-infected mice. Similar to our *in vitro* data, we
194 observed increased vesiculation in cytoplasm and at the luminal surface of BMECs in infected animals
195 (Fig. 5E-G). In addition, virus infection induced membrane ruffling in BMECs of infected mice (Fig. 5H).
196 These observations suggest a possible mechanism for viral transcytosis. To address this, we utilized a
197 transcytosis assay in which virus is added to the top chambers of transwell inserts for 60 min, followed
198 by removal of inserts and assessment of infectious virions in the bottom chamber (Fig. 6A). As the
199 replication time of VEEV in BMECs is approximately 3-6 h (Fig. S5A), virus detected in the bottom
200 chamber is unlikely due to replication, which was confirmed via assessment of GFP expression in
201 bottom chamber astrocytes after transcytosis of NR-VEEV-eGFP virus (Fig. 6B). TEM evaluation of
202 virus transcytosis across BMECs additionally identified virion-like structures within endosomes,
203 characteristic of caveolae (26). Virion-containing endosomes were detected at various stages of
204 transcytosis, i.e. early endosomes, multi-vesicular bodies and exocytic vesicles (Fig. 6C-E). Together,
205 these data suggest that VEEV has the ability to cross endothelial cell barrier via a transcytosis
206 mechanism.

207 Transcytosis may utilize various pathways, including macropinocytosis, and clathrin- or
208 caveolae-mediated endocytosis (CME & Cav-ME, respectively) (10, 27), which may be interrogated via
209 use of inhibitors including amiloride hydrochloride (macropinocytosis); filipin, nystatin and cholesterol
210 oxidase (Cav-ME), and chlorpromazine (CME). Compared with untreated BMECs or hCMEC/D3, the
211 percentage of transcytosis for both VEEV and WEEV was significantly reduced in the presence of
212 inhibitors of Cav-ME, but not CME or macropinocytosis (Fig. 6F-I). Notably, none of the inhibitors

213 affected viral infectivity or barrier integrity (Fig. S5B-E). In accordance with the key role of caveolin-1 in
214 caveolae formation, *Cav-1^{-/-}* BMECs displayed diminished virus transcytosis as compared to WT cells
215 (Fig. 6J). These results were further verified using freeze fracture electron microscopy, wherein we
216 identified VEEV particles (8 nm gold particle; orange arrow) co-localized with Cav-1⁺ (16 nm gold
217 particles, white arrow) (Fig. 6K). In addition, lung endothelial cells exhibited significantly reduced virus
218 transcytosis compared to BMECs (Fig. 6L), suggesting specificity of alphavirus transcytosis for BMECs.

219 Caveolae formation is enhanced by the small Rho GTPase RhoA, which is inhibited by Rac1
220 activity (28, 29). Consistent with this, H-1152 (Rho-kinase inhibitor) significantly reduced VEEV
221 transcytosis across BMECs, while Z62954982 (Rac-1 inhibitor) had no significant effect on virus
222 transmigration (Fig. 6M). However, treatment with IFN- α or IFN- β , which has been shown to promote
223 BBB tight junction integrity via activation of Rac-1 (15), significantly reduced VEEV transcytosis,
224 suggesting direct effects of IFNAR signaling on Cav-MT (Fig. 6N). Together, these results indicate that
225 VEEV and WEEV exploit Cav-1-MT to cross an intact BBB and that this may be regulated by IFN.

226

227 **Caveolin-1 contributes to alphavirus neuroinvasion *in vivo*.** To validate the role of caveolin-1 in
228 early alphavirus neuroinvasion *in vivo*, we infected WT and *Cav-1^{-/-}* mice with either VEEV (10 pfu) or
229 WEEV (1000 pfu) via f.p. infection and examined CNS viral burdens at 1 and 3 dpi. Both VEEV and
230 WEEV-infected *Cav-1^{-/-}* mice exhibited significantly reduced viral titers in the cortex and cerebellum at 1
231 dpi and 3 dpi, respectively, as compared to similarly infected WT animals, whereas viral burden in the
232 serum and spleen were indistinguishable between the two genotypes (Fig. 7A and B). Importantly, no
233 differences in CNS viral loads were detected in intracranial alphavirus-infected WT versus *Cav-1^{-/-}* mice
234 (Fig. S6A and B), indicating no effect of Cav-1-deficiency on viral replication within the CNS. These

235 results indicate that caveolin-1 critically contributes to alphavirus neuroinvasion after peripheral
236 infection.

237 Discussion

238 Using *in vitro* and *in vivo* approaches, we examined early events during alphavirus
239 neuroinvasion. We found that VEEV and WEEV can enter the CNS via hematogenous dissemination
240 across an intact BBB, without viral replication within BMECs or pericytes, leading to productive infection
241 of CNS resident cells. Differential restriction of viral infection within cellular constituents of the NVU is
242 mediated by IFNAR signaling, with replication in BMECs and pericytes (VEEV), and astrocytes (WEEV)
243 only observed in *Ifnar*^{-/-} mice. VEEV and WEEV entry across the BBB occurs via Cav-MT, which is
244 impeded by Rho kinase inhibitor and IFN, the latter likely via activation of Rac1 (15, 16). Immuno-EM
245 demonstrated alphavirus interaction, internalization and Cav-1 association within BMECs both *in vitro*
246 and *in vivo*. Consistent with this, Cav-1-deficiency reduced alphavirus transcytosis *in vitro* and led to
247 reduced titers of VEEV or WEEV in the CNS during early infection *in vivo*. Taken together, these data
248 suggest that innate immune signaling regulates alphavirus neuroinvasion and replication at the NVU.

249 The CNS entry of alphaviruses following peripheral infection has been shown to occur via
250 multiple routes. Early studies in mice indicated that VEEV may reach the brain via retrograde transport
251 along peripheral nerves (1), whereas, more recently VEEV and other encephalitic alphaviruses have
252 been shown to enter the CNS directly from the bloodstream (2, 17, 30). In our study, peripheral
253 infection of mice with virulent strains of VEEV and WEEV resulted in detectable viral loads at time-
254 points that precede BBB disruption (Fig. 1), and neither VEEV nor WEEV induced alterations in TEER
255 across an *in vitro* BBB. These results indicate that alphaviruses cross the BBB shortly after infection
256 without affecting barrier integrity. Hematogenous routes of neuroinvasion may include viral passage
257 through the CVOs, which lack specializations that comprise the BBB (2). Our study demonstrated that
258 shortly after *i.v.* infection (16hrs), foci of alphavirus infection are observed simultaneously in cortical and
259 cerebellar locations distant from the CVOs, suggesting that CNS entry is not exclusive to these

260 structures. Notably, BBB breakdown occurs at later time-points, when viral loads have peaked, likely
261 due to induction of inflammatory responses and infiltration of immune cells into the CNS (21, 31, 32).

262 Pathogens, including viruses, may cross the BBB via direct infection of brain endothelium (33,
263 34). Use of reporter and non-replicative strains of VEEV and WEEV during *in vivo* infection, however,
264 indicated lack of infection within BMECs (Fig. 3), suggesting that active virus replication in brain
265 endothelium does not contribute to CNS entry. VEEV has a broad tissue tropism and can infect many
266 cell types, including macrophages and dendritic cells (24). Infected leukocytes may act as Trojan
267 horses and introduce viral particles into the CNS upon infiltration. However, leukocyte interaction and
268 extravasation at the BBB require elevated expression of cell adhesion molecules (CAMs) on brain
269 endothelium, which does not occur until 3dpi (21). Since we detected significant viral loads throughout
270 the CNS by 1 dpi (Fig. 1), it is unlikely that infected leukocytes are the initial source of virus in the CNS.
271 Importantly, our data using NR-VEEV-eGFP did not detect viral infection within CNS infiltrating
272 leukocytes, suggesting that virus can enter CNS as free virion and independent of leukocyte trafficking.
273 Nonetheless, additional studies are required to precisely define the role of Trojan horse in alphavirus
274 neuroinvasion.

275 Our TEM analysis demonstrated virus like particles attached to the lumen of brain endothelium.
276 Given that viremia arises as early as 8 hr post VEEV infection, BMECs are likely among the first cell
277 types exposed to virions. Such exposure may trigger innate immune responses (e.g. type I IFNs), which
278 can influence intercellular communication at the NVU (35). Indeed, in the context of IFNAR deficiency,
279 VEEV and WEEV replicate within BMECs and astrocytes, respectively. The lack of viral replication
280 within these cellular constituents of the NVU in WT animals is likely due to paracrine effects of IFN that
281 induce antiviral proteins, as has been recently reported for arthritogenic alphaviruses (36, 37). VEEV
282 attachment to and internalization within BMECs was further confirmed via immuno-TEM, in which viral

283 E2 glycoproteins were detected within these cells, supporting the notion that alphaviruses may cross
284 the BBB via a transcytosis pathway.

285 Compared to peripheral tissues, the rate of transcytosis at the BBB is unusually low. However,
286 this rate may be augmented by increased Src kinase activity, which is mediated by a group of
287 pathologic and non-pathologic stimuli, including inflammatory mediators and immune cell interactions
288 with brain endothelium (38). Viruses may also increase vesicular trafficking, as has been observed in
289 peripherally-derived endothelial cells exposed to dengue virus (39). In our study we utilized both *in vitro*
290 assays and *in vivo* viral infection models, and observed that both VEEV and WEEV induce formation of
291 caveolae in BMECs. *In vivo*, VEEV infected mice exhibited increased vesiculation and membrane
292 ruffling within brain endothelial cells (Fig. 5). *In vitro* studies have shown that alphaviruses may induce
293 dramatic structural changes in the actin cytoskeleton, leading to the formation of filopodia-like
294 extensions in infected cells (40). Notably, expression of the viral non-structural protein (nsP)-1 alone is
295 sufficient to trigger formation of short extensions, which is dependent on its palmitoylation activity (41).
296 Consistent with this, ablation of nsP1 palmitoylation sites abolishes the ability of Semliki Forest virus
297 (SFV) to infect the brain in murine models (42). Elucidating molecular mechanisms underlying
298 alphavirus induced vesiculation in BMECs may reveal therapeutic targets against viral neuroinvasion,
299 and provide insights for BBB maintenance in the context of other neurological disorders induced by
300 increased transcytosis in brain endothelium (43–45).

301 Transcytosis of macromolecules across brain endothelium predominantly occurs via
302 mechanisms that utilize caveolae. During infectious diseases, interaction with caveolae allows
303 pathogens to escape lysosomal degradation and cross endothelial cell barriers (46, 47). While different
304 families of viruses have been shown to cross epithelial and endothelial cell barriers utilizing Cav-MT *in*
305 *vitro* (48–50), *in vivo* findings to support these data have been difficult to obtain, especially within the

306 brain where these events are rare. Our study utilized multiple approaches to demonstrate that
307 neurotropic alphaviruses enter but do not replicate within brain endothelium, and that Cav-MT
308 contributes to *in vivo* viral infection of the CNS. As peripherally infected *Cav-1^{-/-}* mice exhibit a delay in
309 achievement of peak brain titers of VEEV and WEEV compared to WT animals, entry of virus in the
310 absence of caveolae may rely on slower mechanisms of viral entry, such as retrograde transport along
311 axons (1). Additionally, ablation of caveolin-1 results in upregulation of caveolin-independent pathways
312 (51), which might explain how viral loads within CNS tissues derived from *Cav-1^{-/-}* mice quickly attain
313 the levels observed in WT animals.

314 The signaling cascade underlying transcytosis was further elaborated using *in vitro* assays,
315 wherein deficiency in caveolin-1 or depletion of membrane cholesterol significantly reduced VEEV and
316 WEEV transcytosis across BMEC monolayer. Notably, virus transcytosis across brain endothelial cells
317 was blocked by Rho kinase inhibitor (Fig. 6). The Rho family of GTPases, including RhoA, Rac-1 and
318 Cdc42 are known to play a role in pathogen uptake and dissemination within the host (52–55). These
319 proteins alternate between an active GTP-bound, and an inactive GDP-bound form, which triggers
320 rearrangements in the actin cytoskeleton and cellular uptake of pathogens (56). Studies in flavivirus
321 encephalitis have shown that IFN β acts in synergy with the TAM receptor Mertk to activate of Rac-1,
322 which enhances BBB tight junction integrity, thereby preventing paracellular entry of virus (15, 16). In
323 the current study, we discovered that type I IFN also prevents alphavirus transcytosis across BMECs.
324 Thus, although the VEEV nsP2 and capsid protein inhibits IFN signaling via host-shut off (4), the lack of
325 viral replication within BMECs may allow IFN signaling to limit viral entry likely via modulation of Rho
326 GTPases (15).

327 In summary, mechanisms of encephalitic alphavirus neuroinvasion from the blood are complex
328 and may depend on individual viral tropism for olfactory sensory neurons and cellular constituents of

329 the NVU, which provide avenues of entry that cross the BBB, respectively (24, 57). Neuroinvasion may
330 also depend on innate immune mechanisms that exert virus and cell-specific effects on replication (4).
331 Ablation of the olfactory route reduces but does not eliminate CNS entry of VEEV (1), suggesting each
332 route of entry provides a relative contribution to alphavirus neuroinvasion. Further studies are needed
333 to address how each process individually contributes to viral entry, and whether viral spread within the
334 CNS relies on these pathways or on innate immune mechanisms that regulate intracellular viral
335 trafficking and replication within permissive cells. Our data highlighted the critical role of Cav-MT in
336 alphavirus neuroinvasion. Delineating molecular mechanisms involved in this process may reveal novel
337 targets for potential therapeutic interventions against CNS infection.

338

339 **MATERIALS AND METHODS**

340 **Cells.** Baby hamster kidney (BHK-21) and African green monkey kidney (Vero) cells were maintained
341 in Dulbecco's Modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (FBS) and
342 100 µg/ml of penicillin and streptomycin. Primary murine brain microvascular endothelial cells
343 (BMECs), pericytes and astrocytes were isolated from cortical brain of C57BL/6J mice, and maintained
344 in culture as described previously (15, 58). The immortalized human cerebral microvascular endothelial
345 cell line hCMEC/D3 (59) was purchased from Millipore Sigma and maintained in Endothelial Cell
346 Growth Basal Medium-2 (EBM2, Lonza). Primary human astrocytes and murine lung endothelial cells
347 were purchased from ScienCell Research Laboratories and Cell Biologics, respectively. Cells were
348 maintained in the culture medium recommended by each company.

349

350 **Antibodies and reagents.** Rabbit polyclonal anti-S100-β (ab41548), rabbit monoclonal anti-Calbindin
351 (ab108404) and rabbit polyclonal anti-RFP (ab62341) antibodies (Abs) were purchased from Abcam
352 Biotechnology. Purified rat anti-mouse CD31 (550274, clone MEC 13.3) and guinea pig anti-NeuN
353 (ABN90P) polyclonal Abs were purchased from BD Biosciences and Millipore-Sigma, respectively.
354 Goat anti-PDGFR-β (AF1042) Ab was purchased from R&D Systems. The anti-VEEV E2 monoclonal
355 antibody was a kind gift from Dr. Michael Diamond (Washington University in St Louis). Amiloride
356 hydrochloride hydrate, filipin, cholesterol oxidase, nystatin, chlorpromazine hydrochloride, Z62954982
357 and fluorescein sodium salt were purchased from Sigma-Aldrich. (S)-Glycyl-H-1152 (hydrochloride)
358 was purchased from Cayman company. Mouse IFN-α and -β were obtained from PBL Assay Science.

359

360 **Virus propagation, purification, and titration.** All viruses used in this study including the non-
361 replicative “replicon” strain of VEEV (NR-VEEV-eGFP), replication competent VEEV, McMillan (WEEV),
362 and GFP reporter viruses (60) were generously provided by Dr. William Klimstra (University of
363 Pittsburgh, Pittsburgh, PA). The parental VEEV and WEEV cDNAs were gifts to Dr. Klimstra from Dr.
364 Scott Weaver, University of Texas Medical Branch at Galveston and Dr. Kenneth Olson, Colorado State
365 University, respectively. The McMillan cDNA was modified by placing the entire virus coding region in a
366 pBR322-based plasmid under control of a T7 bacteriophage promoter. Stocks of VEEV and WEEV
367 viruses were generated as described previously (61). Briefly, BHK-21 cells were infected at a
368 multiplicity of infection (MOI) of 0.1. Culture medium was replaced with fresh medium 4 hr later. Virus-
369 containing supernatants were collected on the next day (~30 h post infection), cleared from cell debris
370 by low-speed centrifugation and filtered through 0.22 μ m filters. Virus particles were then concentrated
371 by ultracentrifugation at 100,000 g for 2 h at 4°C through a cushion of 30% (wt/wt) sucrose in PBS. The
372 virus pellet was re-suspended in PBS and stored in single use aliquots at -80°C. Titration of VEEV and
373 WEEV were performed in BHK-21 and Vero cells, respectively.

374

375 **Multi-step growth curves.** Primary murine BMECs, astrocytes and pericytes were seeded in 24-well
376 plates for 3-4 days till they reached confluence. At this point cells were exposed to MOIs of 0.01, 0.1,
377 and 1 of VEEV and WEEV viruses. Culture medium was removed one hour post infection and cells
378 were washed 4 times with 1 ml/well of PBS. The last wash was stored at -80°C, and later it was used in
379 plaque assay to determine residual unbound virus remaining in each well. Culture supernatant was
380 harvested at specified time points and virus titer was determined using plaque assay in BHK-21 (VEEV)
381 and Vero cells (WEEV).

382

383 **Mice studies.** C57BL/6J wild-type and caveoline-1 knockout mice were purchased from Jackson
384 Laboratory (Bar Harbor, ME). *Ifnar*^{-/-} mice were kindly provided by Dr. Michael Diamond (Washington
385 University School of Medicine, St. Louis, MO). Animals were housed under pathogen-free conditions,
386 and the experimental procedures were completed in accordance with the Washington University School
387 of Medicine Animal Safety Committee. Male, 8- to 9-week-old mice were used in all *in vivo*
388 experiments. Mice infections were performed by administering virus either subcutaneous (SC),
389 intravenous (IV) or intracranial (IC) injection, while the mice were under light ketamine anesthesia. For
390 subcutaneous infection, mice were injected in the footpad with VEEV (10 pfu) and WEEV (1000 pfu) in
391 50 µl PBS. Intracranial infections were performed by inoculating 10 pfu (VEEV) or 100 pfu (WEEV) of
392 virus in 10 µl of PBS into the right cerebral hemisphere via a guided 29-gauge needle. Intravenous
393 infections were achieved by administering 2*10⁶ pfu of virus in 100 µl of PBS via retro-orbital injection.
394 Mock infected animals received the same volume of PBS in each infection method and were
395 considered as controls where needed.

396

397 **Measurement of viral burden in tissues.** To monitor the kinetic of virus spread *in vivo*, peripheral
398 organs and CNS tissues were harvested from infected mice at specified time points. Prior to this, mice
399 were perfused transcardially with 30 ml PBS. Tissues were homogenized in 500 µl PBS using MagNA
400 Lyser (6000 rpm for 1 min) instrument, and virus titer in tissues homogenates was determined using
401 plaque assay in either BHK-21 or Vero cells depending on virus. Alternatively, groups of mice were
402 followed for survival assays.

403

404 ***In vivo* assessment of BBB permeability.** At given days post infection, mice received 100 μ l of 100
405 mg/ml fluorescein sodium salt (NaFL) in PBS via intraperitoneal injection. When the salt has reached
406 equilibrium (45 min), blood samples were collected, and mice were perfused transcardially with 30 ml
407 PBS prior to collection of CNS tissues. Serum samples and tissue homogenates were treated with 2%
408 trichloroacetic acid overnight at 4°C to precipitate proteins. Supernatants were clarified from cellular
409 debris by centrifugation (4000 rpm for 20 min at 4°C) and diluted in equal volumes of borate buffer, pH
410 11 (Sigma- Aldrich). Concentration of NaFL in supernatants was determined by measuring fluorescence
411 emission at 538 nm using Synergy H1 microplate reader (BioTek Instruments, Inc.). Measurement
412 values were normalized to tissue weight and to NaFL plasma concentration in each mouse.

413

414 ***In vitro* BBB model and transcytosis assay.** An *in vitro* BBB model was generated as described
415 elsewhere (61). Briefly, BMECs were seeded on the apical side of fibronectin-coated inserts (BD
416 Falcon, 24-well, 3 μ m pores). Concurrently, primary murine astrocytes were cultured in fibronectin-
417 coated 24-well plates. Two days later, when astrocytes reached confluent, BMECs inserts were moved
418 to astrocytes-containing plate. Astrocytes release growth factors that promote barrier formation
419 between BMECs. Culture medium was changed every 3 days. On day 7, hydrocortisone (550 nM),
420 CTP-cAMP (250 μ M) and RO 20-1724 (17.5 μ M) compounds were added to the cells in serum free
421 medium to further promote expression of tight junctions and barrier formation between BMECs. On the
422 following day, barrier integrity was assessed by measuring transendothelial electric resistance (TEER)
423 before cells were used for transcytosis assay. In these assays, BMECs on the top chamber are
424 exposed to different treatments for 1-2 h. Virus particles are then added at a MOI of 2 to BMECs on the
425 top chamber for an additional hour. At this point, BMEC inserts are removed and culture medium is
426 collected from the bottom chamber and is used in plaque assay to determine the number of virus

427 particles that have crossed the BMECs monolayer in the presence and absence of different inhibitors.
428 Exposure of BMECs to alphavirus is limited to one hour to prevent active virus replication and release
429 of viral progenies from the basolateral side.

430

431 **Immunohistochemistry and confocal microscopy.** Brain tissues were collected from infected mice
432 at specified time points as indicated in each figure legend. Prior to tissue collection, mice were deeply
433 anesthetized and perfused transcardially with 30 ml of PBS followed by 30 ml of 4% paraformaldehyde
434 (PFA). Tissues were stored overnight in 4% PFA in PBS at 4°C, then transferred into two exchanges
435 of 30% sucrose for 48 h, before they were embedded in O.C.T compound (Tissue-Tek). 10 µm cryostat
436 brain sections were treated with proteinase K (5 µg/ml for 30 min at RT) for antigen retrieval. After 30
437 min incubation in blocking buffer, tissues sections were stained with primary antibodies to markers for
438 astrocytes (S100 calcium-binding protein (S100)-β), pericytes (platelet-derived growth factor receptor
439 beta/PDGFR-β), BMECs (CD31) and neurons (NeuN and calbindin). Sections were then incubated with
440 appropriate secondary antibodies (Alexa Fluor 488, 555, and Dylight 650, all from Invitrogen) for 15 min
441 in blocking buffer, followed by three washes in PBS. After antibody labelling, cells were counterstained
442 with DAPI (D1306, Invitrogen). All images were obtained using confocal microscope (Carl Zeiss), and
443 processed with ImageJ software (NIH).

444

445 **Transmission- and immunogold electron microscopy.** For TEM, mice underwent cardiac perfusion
446 with 5 ml of PBS, followed by 20 ml of 1.5% glutaraldehyde and 1% PFA in 0.12 M sodium
447 pyrophosphate buffer, while for immuno-EM studies, perfusion was performed with 5 ml of PBS and 20
448 ml of 4% paraformaldehyde in 0.12 M sodium pyrophosphate buffer. Brain tissues were then collected

449 and stored in fixative buffer overnight at 4°C and processed as previously described(61). For immuno-
450 EM, ultrathin brain sections (70 nm) were stained with primary and gold-conjugated secondary
451 antibodies on carbon-coated glass. Sections were viewed on a JEM-1400 transmission microscope
452 (JEOL) at 80 KV with an AMT XR111 4k digital camera. For *in vitro* assays, monolayer of BMECs on
453 Transwell inserts was infected with alphavirus at a MOI of 200. After 30 min, culture medium was
454 replaced with fixative buffer (2% PFA and 2.5% glutaraldehyde in 100 mM sodium cacodylate buffer,
455 pH 7.2) and incubated for 1 h at room temperature. Cells were then rinsed with sodium cacodylate
456 buffer, and embedded in a thin layer of 2.5% agarose, followed by 1 h of fixation in 1% osmium
457 tetroxide (Polysciences Inc.). After extensive wash with dH₂O, cells were stained with 1% aqueous
458 uranyl acetate (Ted Pella Inc., Redding, CA) for 1 h, dehydrated through a series of ethanol
459 concentrations in distilled water, and embedded in Eponate 12 resin (Ted Pella Inc.). Ultrathin sections
460 were generated at 95 nm using UCT ultramicrotome (Leica Microsystems Inc., Bannockburn, IL), and
461 stained with uranyl acetate and lead citrate. Electron micrographs were obtained using a transmission
462 electron microscope JEOL 1200 EX (JEOL USA Inc., Peabody, MA) equipped with an AMT 8-
463 megapixel digital camera and AMT Image Capture Engine V602 software (Advanced Microscopy
464 Techniques, Woburn, MA).

465

466 **Freeze fracture deep etching electron microscopy.** Freeze fracture electron microscopy was
467 achieved as described perviously(62). Briefly, cultured BMECs were rapidly frozen by abrupt
468 application of the sample against a liquid helium-cooled copper block with a Cryopress freezing
469 machine. Samples were then moved to a liquid nitrogen-cooled Balzers 400 vacuum evaporator,
470 fractured, and etched at -104°C for 2.5 min. For immuno-freeze fracture EM, etched samples were first
471 stained with primary and gold-conjugated secondary antibodies on carbon-coated glass. These

472 samples were then rotary replicated with platinum (~2 nm), deposited from a 20° angle above the
473 horizontal plane, followed by an immediate, stabilization film of pure carbon (~10 nm) deposited from
474 an 85° angle. Replicas were floated onto bleach and transferred through multiple rinses of dH₂O before
475 placing on formvar-coated EM grids. Electron micrographs were obtained using a JEM1400
476 transmission microscope (JEOL) at 80 KV, equipped with an AMT XR111 4k digital camera.

477

478 **Statistical analysis.** Statistical analysis was performed using GraphPad Prism 7 software. A
479 probability value of $p < 0.05$ was considered statistically significant. Statistical values are indicated as *,
480 $P < 0.05$; **, $P < 0.01$; ***, $P < 0.001$.

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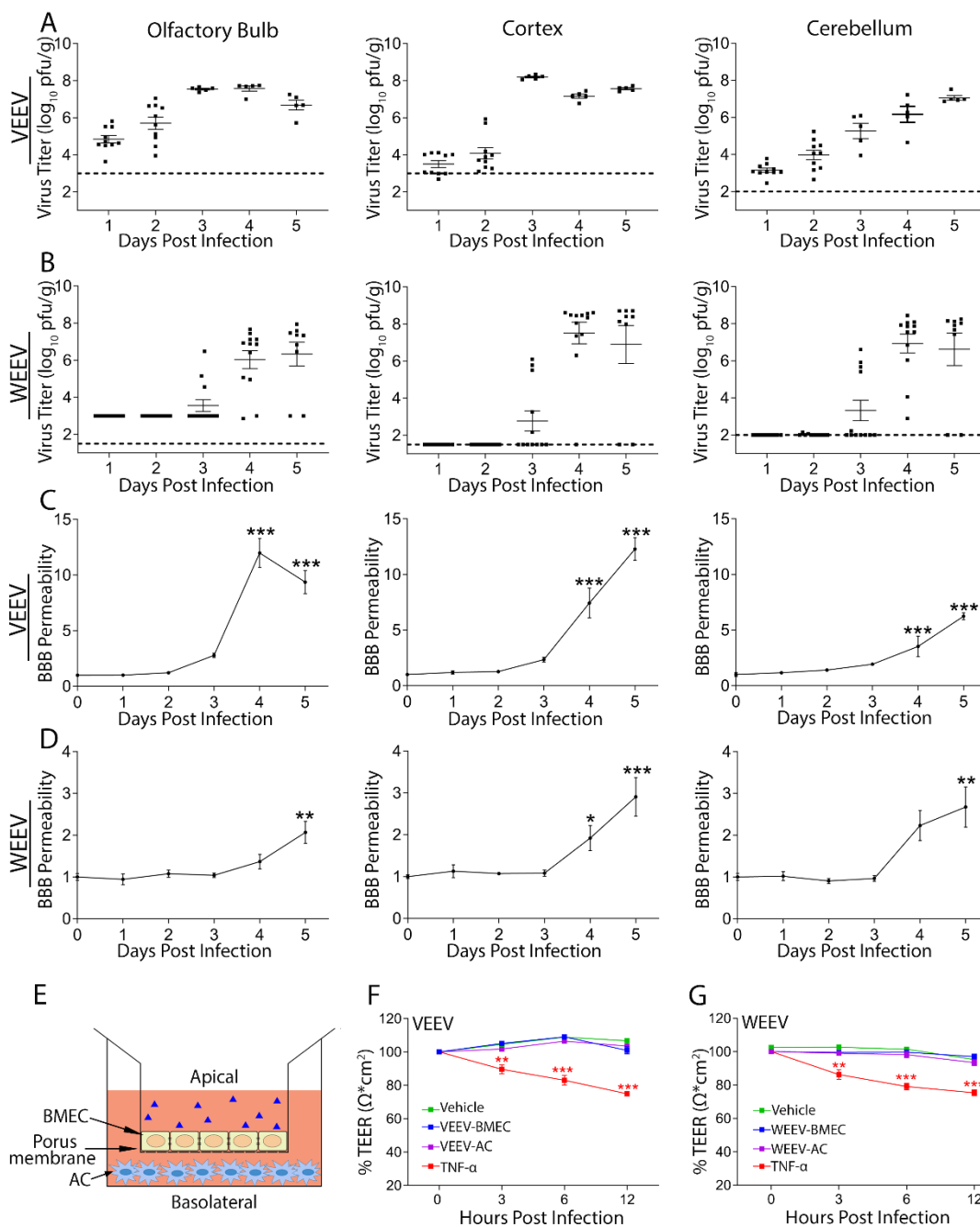
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- 649

FIG. 1



650

651 **FIG 1** Alphavirus infection of the CNS occurs prior to BBB disruption. (A and B) Viral burdens in brain
 652 tissues of C57BL/6 mice following f.p. infection with either VEEV (10 pfu) or WEEV (1000 pfu),

653 determined by plaque assay. Dashed lines indicate the limit of detection of the assay. Viral burdens
654 were measured at 1 dpi (VEEV) and 3 dpi (WEE). (C and D) BBB permeability was determined at
655 indicated dpi by measuring sodium fluorescein in CNS tissues following i.p. administration. Data
656 presented as mean viral titer or fluorescence \pm SEM for N=5-10 mice/group. *, $P < 0.05$, **, $P < 0.01$;
657 ***, $P < 0.001$, via 1-way ANOVA. (E) A schematic figure of an *in vitro* BBB model. (F and G) Neither
658 exposure of BMECs nor astrocytes (ACs) to VEEV and WEEV viruses had any effects on barrier
659 integrity. Addition of TNF- α (100 ng/ml) significantly reduced TEER over time. Experiment was
660 performed twice, each with 6 replicates. Error bars indicate mean \pm SEM. Statistically significant
661 differences were determined via 2-way ANOVA followed by Dunnett's multiple comparison test.

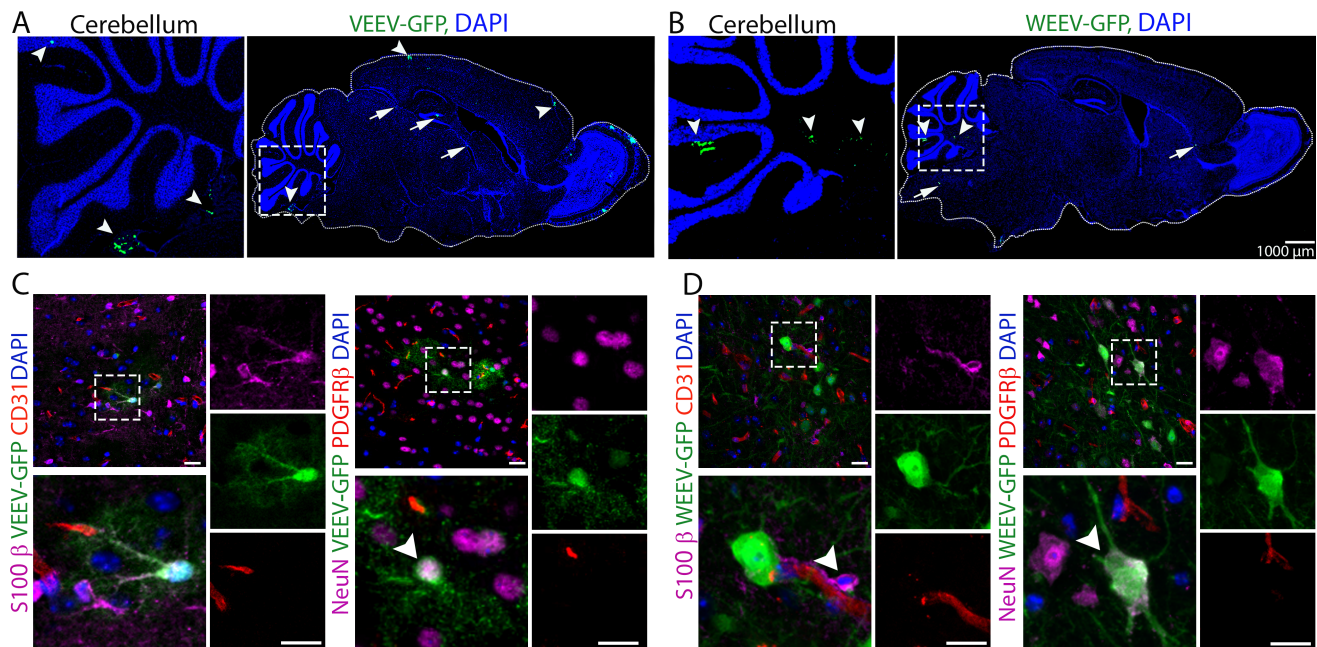
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FIG 2.

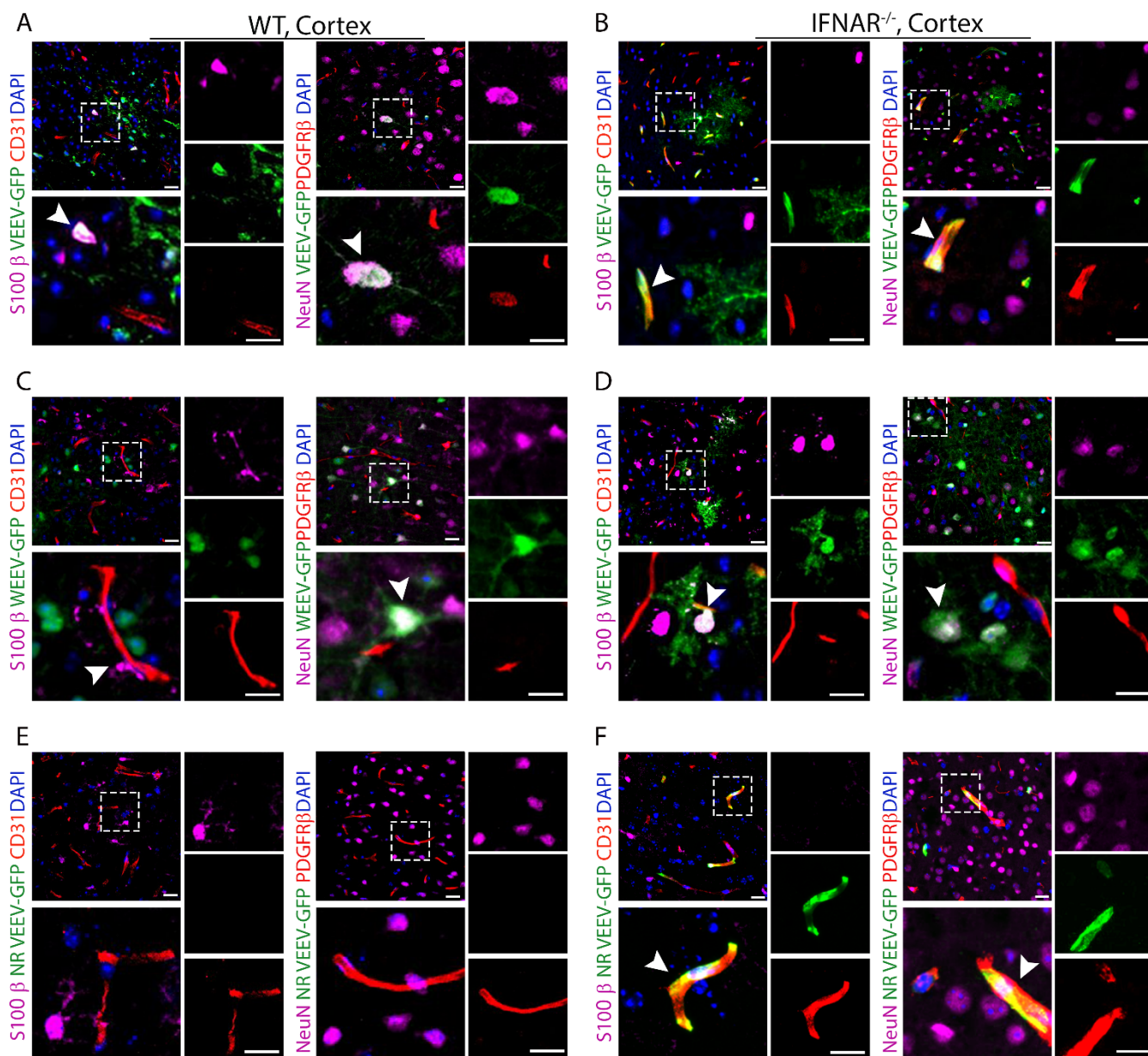


666

667 **FIG 2** Hematogenous route of alphavirus neuroinvasion is not exclusive to CVOs. (A and B) Low
668 magnification of a sagittal section of VEEV-eGFP- (A) and WEEV-eGFP (B) infected mouse brain
669 showing multiple entry sites for CNS entry. Brain tissues from VEEV-eGFP (C) and WEEV-eGFP (D)
670 infected mice were stained for makers of astrocytes (S100-β, Magenta), BMECs (CD31, Red), pericytes
671 (PDGFR-β, Red) and neurons (NeuN, Magenta). Insets are enlarged in lower left of each panel. Single
672 channels relate to the enlarged insets. Nuclei were counterstained with DAPI (blue). Magnification 40x,
673 Scale bars: 20 μm.

674

FIG. 3



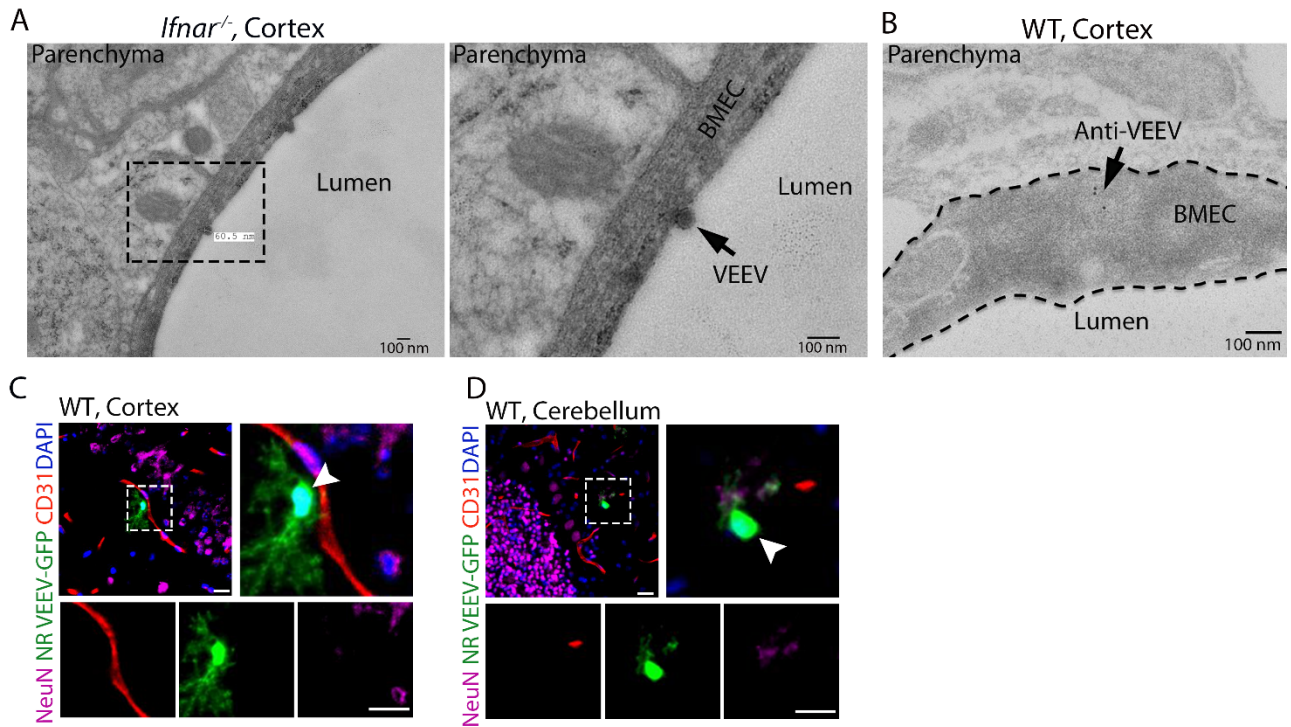
676 **FIG 3** Type I IFNs differentially restrict alphavirus replication within the NVU. IHC staining of cortical
677 brain regions of WT (A and C) and *Ifnar*^{-/-} (B and D) mice following f.p. infection with either VEEV-eGFP
678 (A and B) or WEEV-eGFP (C and D). Cell markers: astrocytes (S100-β), BMECs (CD31), pericytes
679 (PDGFR-β) and neurons (NeuN). Nuclei counterstained with DAPI (blue). (E and F) IHC staining of

680 cortical brain regions of WT and *Ifnar*^{-/-} mice following i.v. infection with NR-VEEV-eGFP. Magnification

681 40x. Scale bars: 20 μm.

682

FIG. 4

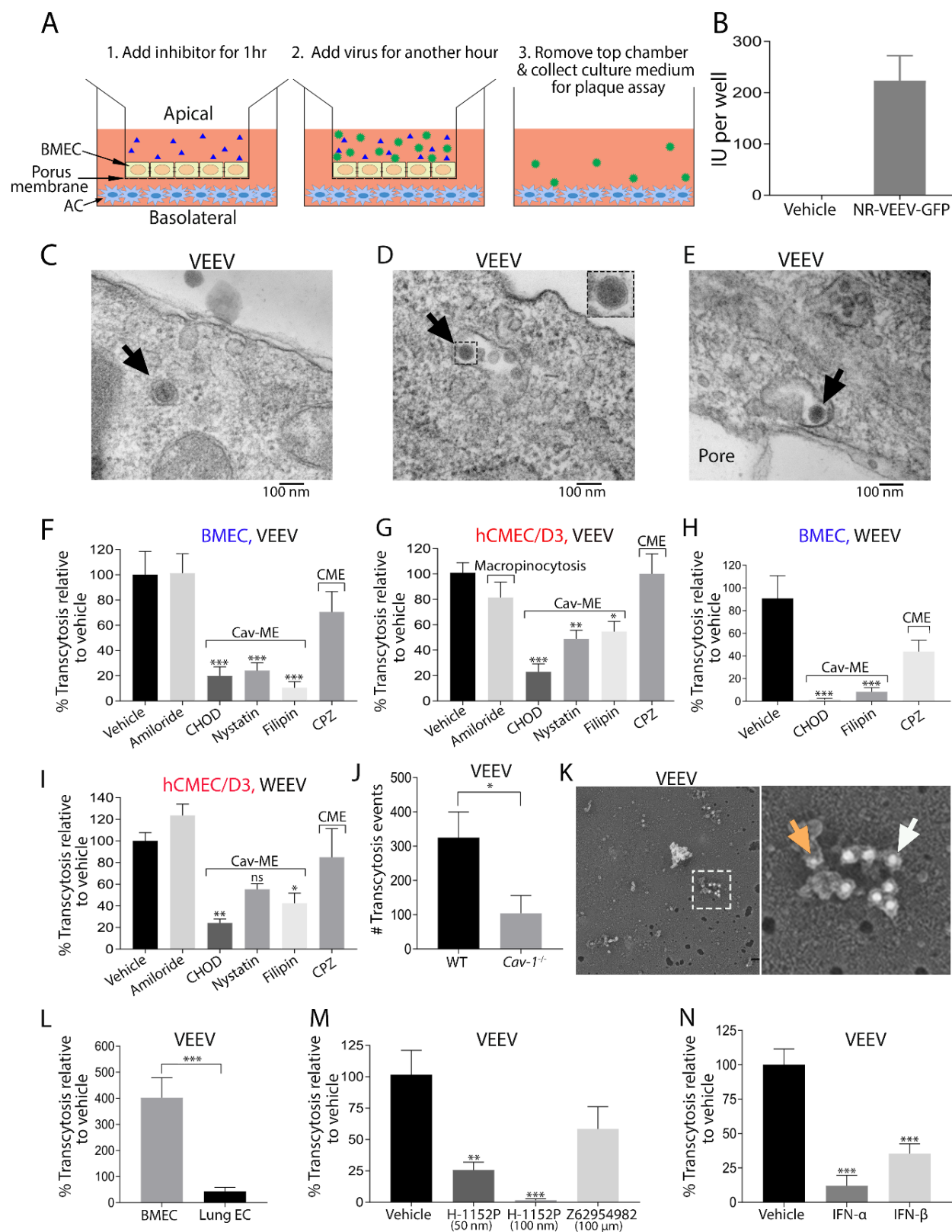


683

684 **FIG 4** Detection of alphavirus interaction and entry at the BBB. (A) TEM analysis of brain tissues from
685 VEEV infected mice at 1 dpi, identified virus like particles attached to luminal surface of microvessels.
686 (B) Viral E2 glycoproteins were detected within cortical BMECs of VEEV-eGFP infected mice (3 dpi) by
687 immune-EM using 8 nm immunogold particles. Scale bars=100 nm. (C and D) Immunostaining of brain
688 tissues collected from NR VEEV-eGFP infected WT mice at 1 day following i.v. infection. Cell markers:
689 BMECs (CD31), and neurons (NeuN). Nuclei counterstained with DAPI (blue). Magnification 40x. Scale
690 bars: 20 μm.

694 compared to vehicle. (D) Quantitation of cell surface-associated caveolae in BMECs after virus
695 exposure. Statistical differences were analyzed by 1-way ANOVA followed by Dunnett's multiple
696 comparison test. ***, $P < 0.001$. (E and F) TEM analysis of brain tissues collected from naïve and
697 VEEV-infected mice at 3 dpi. (G) Quantitation of caveolae-like structures at the cell surface and inside
698 cytoplasm of brain endothelial cells of naïve vs infected animals. Three tissue blocks were harvested
699 from cortical brain region of each mouse (N=3 in each group), and 30 images were obtained per block.
700 After manual counting, the mean vesicular density per length or volume of cytoplasm was calculated
701 using ImageJ (NIH). Statistical differences were analyzed using t-test. (H) TEM analysis demonstrating
702 membrane ruffling in BMECs of infected mice relative to control animals.

FIG. 6



704 **FIG 6** Alphavirus crosses BMECs via caveolae-mediated transcytosis. (A) A schematic figure
705 demonstrating different steps of a transcytosis assay. (B) Addition of a non-replicative strain of VEEV-
706 eGFP to hCMEC/D3 on the top chamber resulted in GFP signals in human astrocytes in bottom
707 chamber. (C-E) TEM analysis identified virus like particles (depicted by arrows) within multi-vesicular
708 bodies (D) and exotic endosomes (E) in BMECs after exposure to VEEV for 30 min. (F-I) VEEV and
709 WEEV traverse across monolayers of BMEC (F and H) and hCMEC/D3 (G and I) via caveolae-
710 mediated transcytosis. Data are presented as mean % of transcytosis relative to untreated cells.
711 Experiments were repeated 2-3 times each with 4-6 technical replicates. Error bars indicate standard
712 error of the mean (SEM). Concentration of inhibitors: Amiloride hydrochloride hydrate (50 μ m),
713 Cholesterol oxidase (CHOD, 2 U/ml), filipin (1 μ g/ml), nystatin (12 μ g/ml), chlorpromazine (CPZ, 10
714 μ g/ml). (J) Quantitation of VEEV transcytosis across WT and *Cav-1*^{-/-} BMECs. (K) Immunogold labeling
715 of virally infected BMECs revealed VEEV (8 nm immunogold particles; orange arrow) in colocalization
716 with caveoline-1 (16 nm immunogold particles; white arrow). (L) VEEV transcytosis was compared
717 between brain and lung ECs. (M and N) VEEV transcytosis across BMECs in the absence and
718 presence of Rho kinase GTPase (H-1152P) and Rac1 (Z62954982), as well as type I IFNs (100 pg/ml).
719 Results from transcytosis assays were analyzed by 1-way ANOVA (F-I, M and N), and t-test (J and L).
720 *, $P < 0.05$, **, $P < 0.01$; ***, $P < 0.001$.

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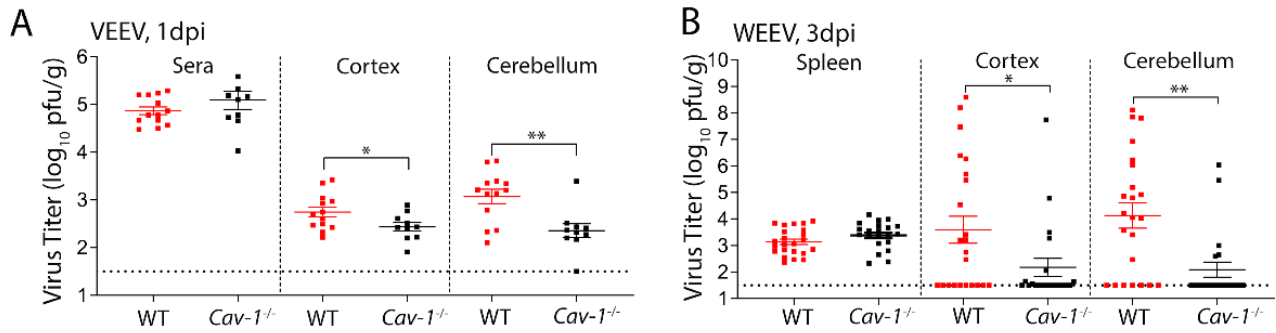
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FIG. 7



727

728 **FIG 7** Cav-1^{-/-} mice displayed reduced viral titers in the brain as compared to WT animals. (A and B)

729 Viral burdens in peripheral and brain tissues of WT vs Cav-1 KO mice after f.p. infection with VEEV

730 (10 pfu) and WEEV (1000 pfu), determined by plaque assay. Error bars indicate standard error of the

731 mean (SEM). Shown is the combined data from 4 independent experiments each with 4-6 animals.

732 Results were analyzed by unpaired t-test. *, P < 0.05; **, P < 0.01.

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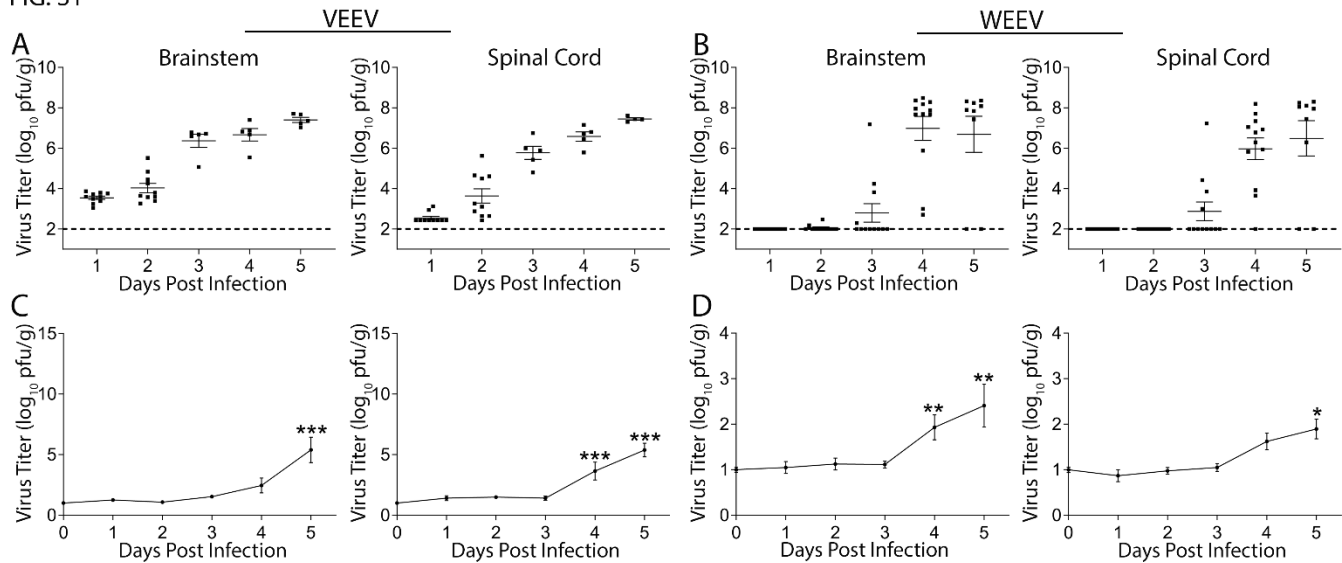
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742 **Supplementary Figures:**

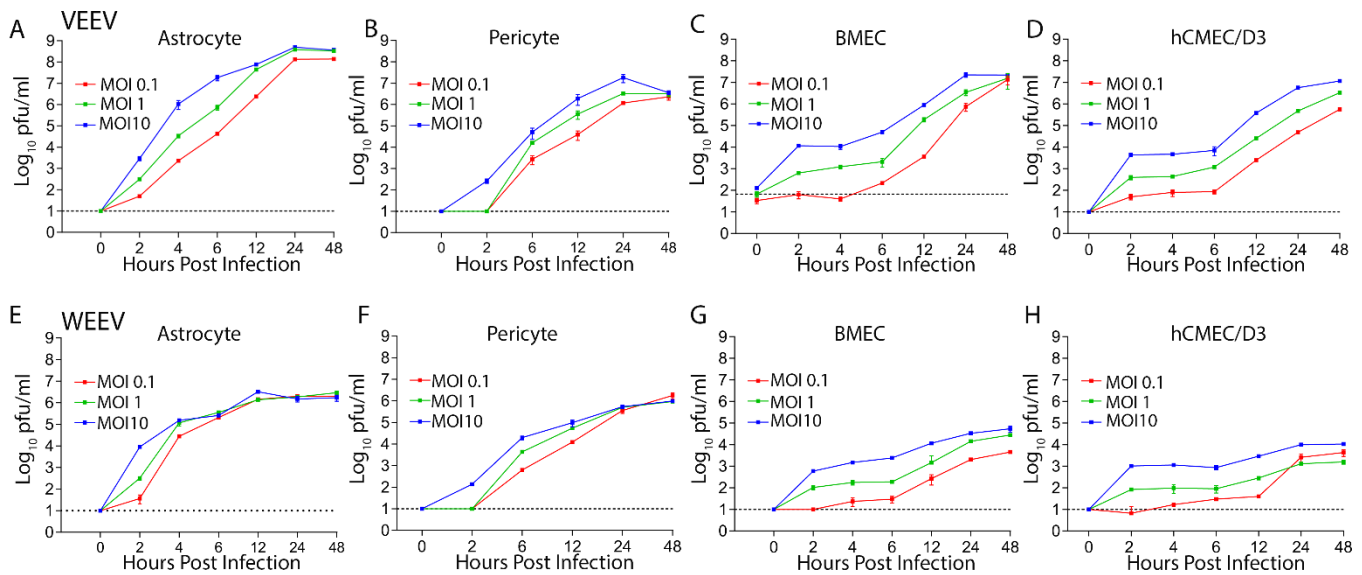
FIG. S1



743

744 **FIG S1** Alphavirus enters the CNS in the presence of an intact BBB. (A and B) Viral burdens in brain
745 tissues of C57BL/6 mice following f.p. infection with either VEEV (10 PFU) or WEEV (1000 pfu) were
746 determined via plaque assay. Dashed lines indicates detection limit of the assay. (C and D) BBB
747 permeability was evaluated at indicated dpi by measuring sodium fluorescein in CNS tissues following
748 i.p. injection. Results are the combined data of two independent experiments. Data presented as mean
749 viral titer or fluorescence \pm SEM for N=5-10 mice/group. *, $P < 0.05$, **, $P < 0.01$; ***, $P < 0.001$, via 1-
750 way ANOVA.

FIG. S2

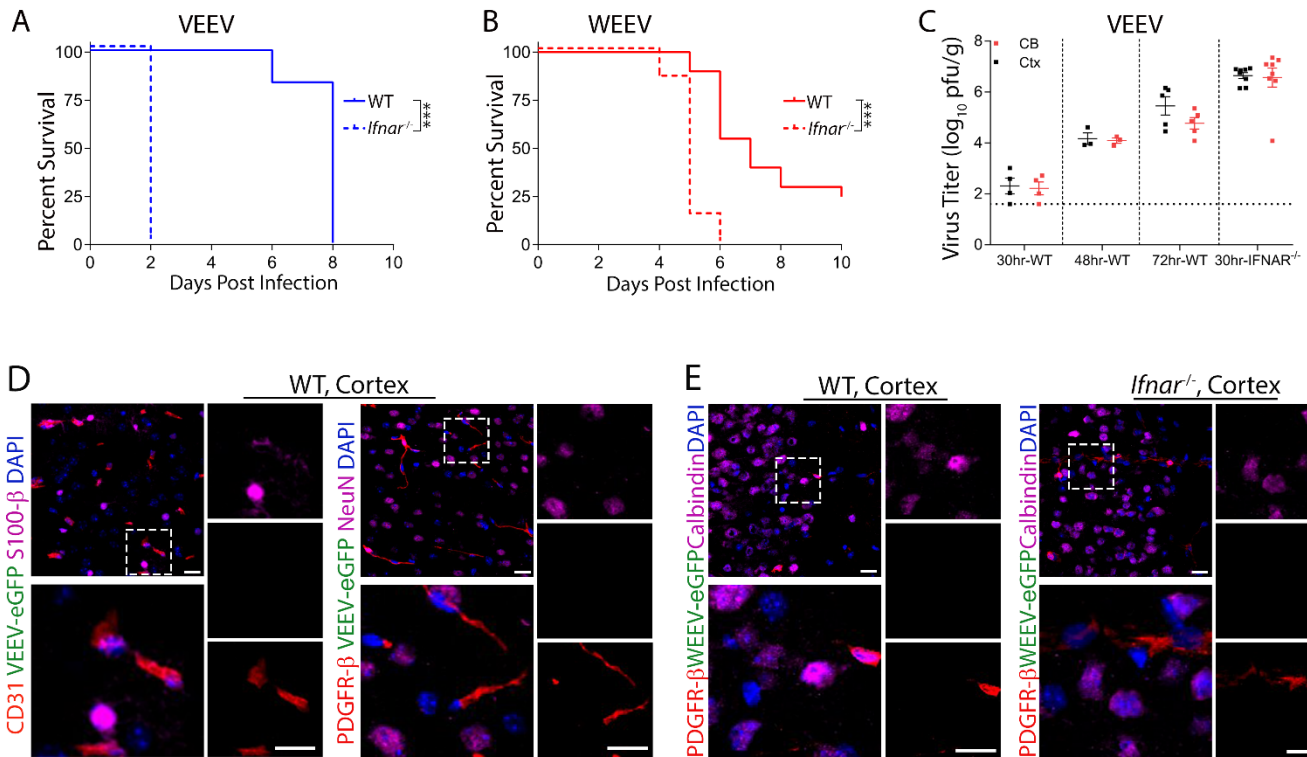


751

752 **FIG S2** Cells of the neurovascular unit are permissive to alphavirus infection *in vitro*. Replication kinetic
753 of VEEV and WEEV in murine (A-C, and E-G) and human cells (D and H) of the NVU. Multi-step growth
754 curves were generated using Graphpad Prism 7. Shown is a representative data from three
755 independent experiments each in duplicates.

756

FIG. S3



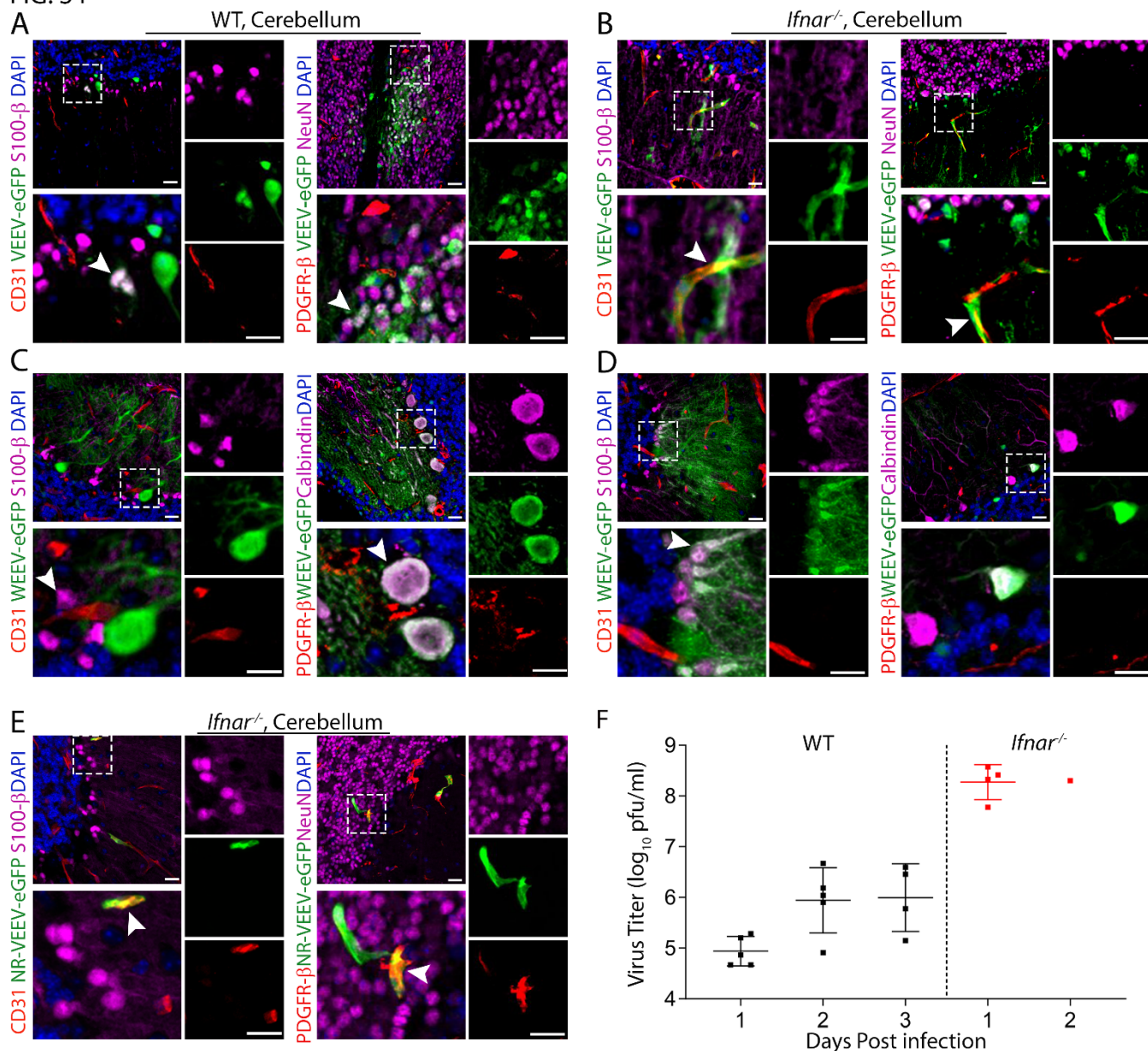
757

758 **FIG S3** Survival phenotype of WT and *Ifnar*^{-/-} mice following alphavirus infection. (A and B) WT and
759 *Ifnar*^{-/-} mice were infected with VEEV (10 pfu) and WEEV (1000 pfu) via foot-pad injection. Infected
760 animals were monitored for survival and weight loss for 20 days. Shown is the combined data from 2
761 independent experiments with 3-4 animals each time. (C) Viral titers in the brain of WT versus *Ifnar*^{-/-}
762 following infection with VEEV-eGFP (100 pfu) at indicated time-point. (D and E) IHC examining of brain
763 tissues collected from infected WT (D) and *Ifnar*^{-/-} (E) mice at 1 dpi. Cell markers: astrocytes (S100-β),
764 BMECs (CD31), pericytes (PDGFR-β) and neurons (NeuN). Nuclei counterstained with DAPI (blue).
765 Magnification 40x. Scale bar: 20 μm.

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767

FIG. S4



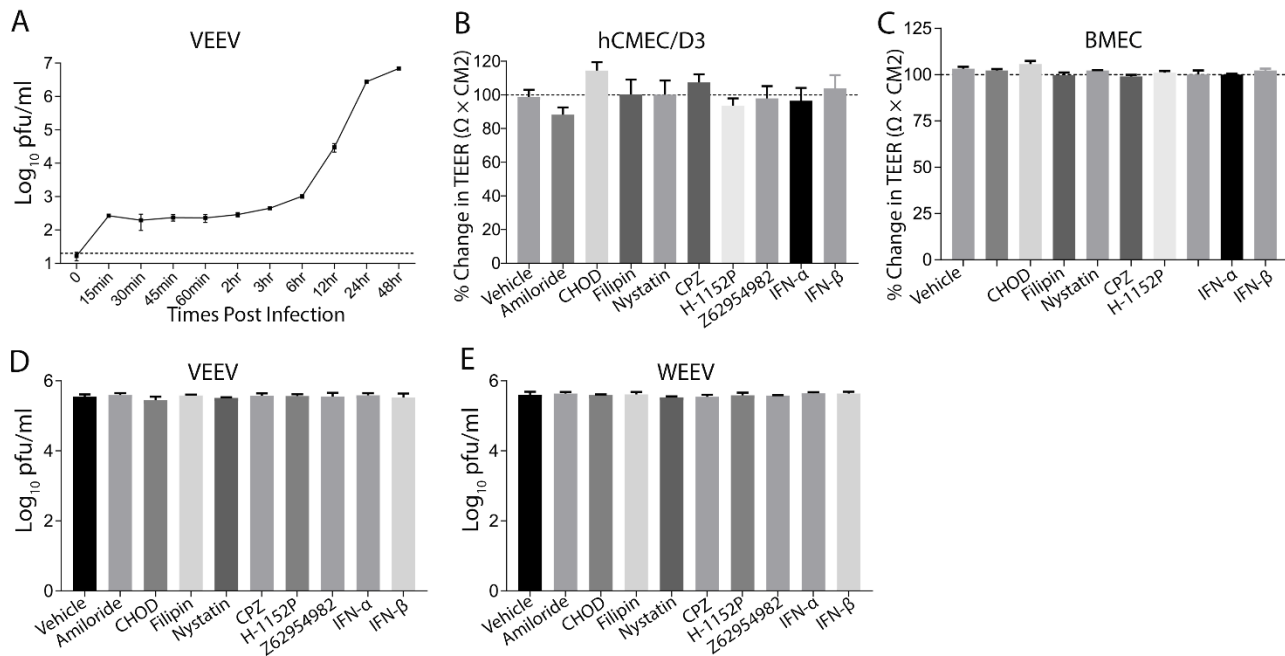
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769 **FIG S4** IFN-mediated restriction of alphavirus within NVU is uniform across the brain. IHC staining of
 770 brain tissues from WT (3dpi; A and C) and *Ifnar*^{-/-} (2 dpi; B and D) mice infected with either 100 pfu of
 771 VEEV-eGFP (A and B) or 1000 pfu of WEEV-eGFP via f.p. injection (C and D). Cerebellum sections
 772 were stained for markers of astrocytes (S100-β), BMECs (CD31), pericytes (PDGFR-β) and neurons

773 (NeuN or Calmodulin). Nuclei counterstained with DAPI (blue). Magnification 40x. Scale bars: 20 μ m.
774 (E) Infection of cerebral BMECs and pericytes in *Ifnar*^{-/-} mice following *i.v.* infection with a non-
775 replicative (NR) replicon of VEEV-eGFP. (F) Serum titers of VEEV in WT versus IFNAR^{-/-} mice following
776 f.p. infection.

777

FIG. S5



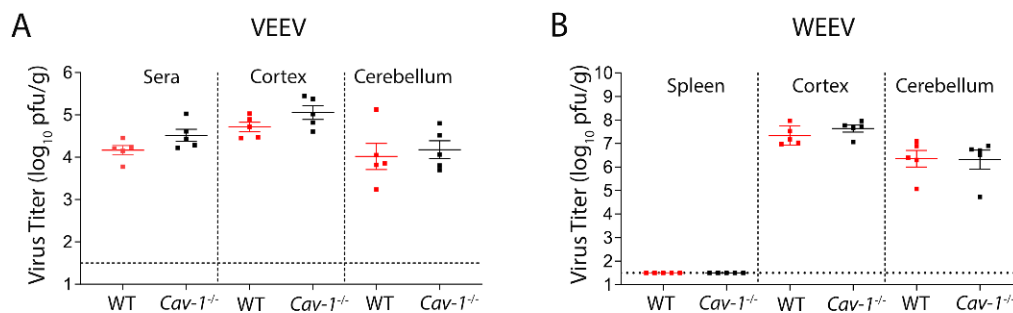
778

779 **FIG S5** Replication kinetics of VEEV in BMECs. (A) BMECs were infected with VEEV at a MOI of 2 for
780 30 min. After extensive washes, virus production was assayed in the culture medium at indicated time-
781 points using plaque assay. (B and C) Effects of inhibitors of endocytic pathways on barrier integrity,
782 which was determined by measuring TEER before and after addition of inhibitors to BMECs on the top
783 chamber of a transwell plate. (D and E) Effects of endocytosis inhibitors on virus infectivity. Viruses
784 were incubated with inhibitors or vehicle for 1 hr, followed by assessment of virus infectivity using
785 plaque assay in BHK-21 (VEEV) or Vero (WEEV) cell lines. Drug concentrations: Amiloride
786 hydrochloride hydrate (50 μm), Cholesterol oxidase (CHOD, 2 U/ml), filipin (1 $\mu\text{g}/\text{ml}$), nystatin (12
787 $\mu\text{g}/\text{ml}$), chlorpromazine (CPZ, 10 $\mu\text{g}/\text{ml}$), H-1152P (50 nm, 100 nm), Z62954982 (100 μM), IFN- α (100
788 pg/ml), IFN- β (100 pg/ml).

789

790

FIG. S6



791

792 **FIG S6** Difficiency in *Cav-1* does not affect alphavirus replication efficiency within the CNS. (A and B)

793 Viral titers in peripheral and brain tissues of WT vs *Cav-1*^{-/-} mice at 1dpi following i.c. infection with

794 VEEV (10 PFU) and WEEV (100 pfu). Error bars indicate standard error of the mean (SEM).

795

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