Endosome-Mediated Epithelial Remodeling Downstream of Hedgehog/Gli Is Required for Tracheoesophageal Separation

Talia Nasr^{1,2,7}, Pamela Mancini^{1,3,7}, Scott A. Rankin¹, Nicole A. Edwards¹, Zachary N. Agricola⁴ Alan P. Kenny⁴, Jessica L. Kinney¹, Keziah Daniels¹, Jon Vardanyan¹, Lu Han^{1,5}, Stephen L. Trisno^{1,2}, Sang-Wook Cha^{1,6}, James M. Wells^{1,2}, Matthew J. Kofron^{1,2}, Aaron M. Zorn^{1,2,8}

Affiliations: ¹Center for Stem Cell and Organoid Medicine (CuSTOM), Division of Developmental Biology, and Perinatal Institute, Cincinnati Children's Hospital Medical Center, Cincinnati, OH 45219, USA

²University of Cincinnati, College of Medicine, Department of Pediatrics, Cincinnati, OH 45219, USA

³Present address: Department of Cell, Developmental and Regenerative Biology, Icahn School of Medicine at Mount Sinai, New York, NY 10029 USA

⁴Division of Neonatology and Pulmonary Biology, Cincinnati Children's Hospital Medical Center, Cincinnati, OH 45219, USA

⁵Present address: Hollings Cancer Center, Medical College of South Carolina, Charleston, SC 29425, USA

⁶Present address: School of Natural Sciences, University of Central Missouri, Warrensburg, MO 64093, USA

⁷These authors contributed equally

⁸Lead contact

*Correspondence: aaron.zorn@cchmc.org

Keywords: foregut, trachea, esophagus, Hedgehog, Gli, morphogenesis, birth defects, esophageal atresia, tracheoesophageal cleft

Highlight bullet points:

- The Sox2+ esophagus and Nkx2-1+ trachea arise from the separation of a single foregut tube through a series of cellular events conserved in mouse and *Xenopus*
- Tracheoesophageal morphogenesis initiates with HH/Gli-dependent medial constriction of the gut tube mesenchyme at the Sox2-Nkx2-1 border
- The foregut epithelial walls fuse forming a transient septum co-expressing Sox2 and Nkx2-1
- Downstream of HH/Gli Rab11-dependent endosome-mediated epithelial remodeling and localized extracellular matrix degradation separate the esophagus and trachea
- HH/Gli mutations reveal the cellular basis of tracheoesophageal birth defects

SUMMARY

The trachea and esophagus arise from the separation of a common foregut tube during early fetal development. Mutations in key signaling pathways such as Hedgehog (HH)/Gli can disrupt tracheoesophageal (TE) morphogenesis and cause life-threatening birth defects (TEDs), however the underlying cellular mechanisms are unknown. Here we use mouse and *Xenopus* to define the HH/Gli-dependent processes orchestrating TE morphogenesis. We show that downstream of Gli the Foxf1+ splanchnic mesenchyme promotes medial constriction of the foregut at the boundary between the presumptive Sox2+ esophageal and Nkx2-1+ tracheal epithelium. We identify a unique boundary epithelium co-expressing Sox2 and Nkx2-1 that fuses to form a transient septum. Septum formation and resolution into distinct trachea and esophagus requires endosome-mediated epithelial remodeling involving the small GTPase Rab11, and localized extracellular matrix degradation. These are disrupted in Gli-deficient embryos. This work provides a new mechanistic framework for TE morphogenesis and informs the cellular basis of human TEDs.

INTRODUCTION

Between 25-35 days of human gestation, the fetal gut tube separates into the distinct trachea and esophagus (Billmyre et al., 2015; Que, 2015). Disruptions in this process result in life-threatening defects that impair neonatal respiration and feeding, including esophageal atresia (EA), tracheal atresia (TA), and/or tracheoesophageal fistula (TEF) (Brosens et al., 2014). The etiology of TEDs, occurring in ~1:3500 births, is poorly understood (Shaw-Smith, 2006). Even when patient genetics or animal models have revealed the genes involved, how mutations result in TEDs is unclear because the cellular basis of TE morphogenesis are poorly defined.

Studies in mouse and *Xenopus* have shown that TE development is initiated by a signaling cascade involving HH, WNT and other signals between the foregut endoderm and the surrounding splanchnic mesoderm. These signals pattern the epithelium into a ventral respiratory domain expressing the transcription factor Nkx2-1 and a dorsal esophageal domain expressing the transcription factor Sox2 around mouse embryonic day (E) 9.0 and *Xenopus* NF35, 50 hours post fertilization (hpf) (Hines and Sun, 2014; Rankin et al., 2016). Over the next

few days, the foregut separates into distinct TE tubes, with paired lung buds emerging from the posterior aspect of the Nkx2-1+ domain via an Fgf10-mediated mechanism that is distinct from TE separation (Hines and Sun, 2014).

Mouse mutations in these patterning genes can result in TEDs similar to those seen in patients. For example, *Sox2* and *Nkx2-1* knockouts result in EA and TA, respectively (Minoo et al., 1999; Que et al., 2007; Trisno et al., 2018), while HH pathway mutations, such as in the ligand *Shh* or the transcription factors *Gli2* and *Gli3*, can cause a spectrum of defects ranging from EA/TEF to laryngotracheoesophageal clefts (LTECs) (Litingtung et al., 1998; Motoyama et al., 1998; Rankin et al., 2016; Tabler et al., 2017). How these mutations result in TEDs is unclear since the cellular processes that HH regulates in this context are unknown. While a number of models for TE morphogenesis have been postulated (Billmyre et al., 2015; Que, 2015), the underlying cellular mechanisms remain to be elucidated.

Here we use *Xenopus* and mouse to define the conserved cellular mechanisms orchestrating TE morphogenesis. We show that HH/Gli signaling regulates multiple steps of TE separation, including dorsal-ventral (D-V) patterning, medial constriction and endosomemediated epithelial remodeling. These results provide a mechanistic foundation for TE morphogenesis to inform the genotype-phenotype basis of human TEDs.

RESULTS and DISCUSSION

TE Morphogenesis is Conserved in *Xenopus* and Mouse

To define the cell biology of TE morphogenesis, we took a comparative approach using mouse and *Xenopus*. TE development appears to be conserved with patterning of the foregut epithelium into Sox2+ dorsal and Nkx2-1+ ventral domains by NF35 in *Xenopus* and E9.5 in mouse. The foregut then separates into distinct TE tubes by NF42 (80 hpf) in *Xenopus* and E11.5 in mice (Figures 1A-C' and S1A-F') (Rankin et al., 2015). Close examination of *Xenopus* embryos over the course of TE morphogenesis allowed us to classify the process into four

major steps: 1) D-V patterning; 2) medial constriction at the Sox2-Nkx2-1 boundary; 3) epithelial fusion and remodeling of a transient septum; and 4) mesenchymal invasion separating the TE tubes. We investigated the cellular basis of each step, first using *Xenopus* where we could screen many potential mechanisms, followed by a comparison to mouse.

Foxf1+ Mesenchyme Promotes Medial Constriction at the Sox2-Nkx2-1 Boundary

In *Xenopus*, the first morphological indication of TE separation was a medial constriction of the foregut at the Sox2-Nkx2-1 boundary by NF35, with a significant narrowing of the gut tube lumen coincident with thickening of the medial Foxf1+ splanchnic mesoderm (Figure 1D). Analysis of transgenic membrane-GFP embryos revealed that the mesoderm thickening was not due to increased mesodermal cell size or cell shape changes, but rather to a condensation of Foxf1+ mesodermal cells (Figures 1E and S1K). This was accompanied by the epithelium transitioning from a thick pseudostratified layer at NF34 to a thinner columnar epithelium at NF35 (Figure 1E). Phospho-Histone H3 staining indicated that the medial mesenchyme had a slightly higher proliferation rate than the dorsal or ventral regions, which may in part explain the localized increase in mesenchymal cell numbers (Figure S1G,H).

The mouse foregut also constricted at the Sox2-Nkx2-1 boundary between E9.5 and E10.0 (Figure 1F), but there was no relative increase in medial mesoderm thickness, cell density or proliferation compared to the ventral or dorsal tissue as observed in *Xenopus* (Figures 1G, H and S1I-L). In general, the mesoderm in mouse is much thicker than in *Xenopus*; however, in both species the Foxf1+ cells were more tightly packed against the epithelium at the constriction point (Figures 1A-F and S1I), suggesting a close association of the mesonchyme with the basement membrane in this region.

Since foregut constriction occurs at the Sox2-Nkx2-1 boundary, we re-examined mouse *Nkx2-1* and *Sox2* mutants where the TE does not separate, but the underlying mechanisms have not been reported. Both *Nkx2-1* and *Sox2* mutants failed to undergo medial constriction

(Figure 1I), indicating that proper D-V patterning and the function of these two lineagedetermining transcription factors are essential to initiate TE morphogenesis. We postulate that the Sox2-Nkx2-1 boundary might also have some inherent information that regulates local mesenchyme behavior. These data help explain why human *SOX2* mutations frequently cause EA; if the SOX2+ domain is too small, foregut constriction may not occur or might initiate too dorsally, resulting in insufficient esophageal tissue.

Since mesenchymal condensations can promote bending of adjacent epithelial sheets in some contexts (Walton et al., 2012), we tested whether the mesoderm was required for medial constriction of the *Xenopus* gut tube by surgically removing the splanchnic mesenchyme from one side of the NF32 foregut. Imaging at NF35 revealed a failure of medial constriction and epithelial thinning relative to the contralateral control side (Figure 1J), suggesting that the mesoderm is required for medial constriction, possibly by exerting a pushing force on the epithelium. Together, these data indicate that medial constriction of the foregut at the Sox2-Nkx2-1 boundary is the critical first step in TE morphogenesis (Figure 1K) and that disruptions in this process can lead to TEDs.

Rab11 and Endosome-Mediated Epithelial Remodeling are Required for TE Septation

As the foregut constricts, the opposing epithelial walls come into contact, forming a transient epithelial septum (Figures 2A-C). Close examination revealed a unique cell population in the septum that co-expresses Nkx2-1 and Sox2 (Figures 2D and S2A). We examined whether modulators of epithelial behavior were differentially active at the Sox2+/Nkx2-1+ contact point. Before collapse of the medial lumen, the endoderm is a polarized epithelium with aPKC on the apical/luminal surface and Cdh1 enriched in adherens junctions and on the basolateral surface. As the epithelial walls touched, aPKC was specifically reduced at the contact point (Figure 2B-C), where the transient septum formed like a closing zipper between the presumptive esophagus and trachea. Concurrently, Cdh1 and Integrin became enriched on what was

previously the apical surface (Figure 2B-F), suggesting that cell-cell adhesion holds the two sides together.

Remodeling of polarized epithelium is regulated by endosome recycling, where aPKC and cadherins are removed from the cell surface by endocytosis and shuttled to other membrane domains (Ivanov et al., 2004; Wang et al., 2018). Immunostaining for endosomal protein Rab11 revealed abundant apical puncta where the epithelium fuses in both *Xenopus* and in mouse, coincident with loss of aPKC and Cdh1 relocalization (Figure 2E-F). To test whether endosome recycling is required for TE separation, we treated *Xenopus* embryos at NF32 with Dynasore, a dynamin inhibitor that blocks endocytosis (Macia et al., 2006). In Dynasore-treated embryos, aPKC remained aberrantly localized on the apical surface of the epithelial contact site at NF41, resulting in a tracheoesophageal cleft (TEC) at NF44 (Figure 2G-I).

To corroborate the pharmacological inhibition, we performed F0 CRISPR/Cas9-mediated indel mutation of *rab11a* in *Xenopus* (Methods), as well as Rab11a knockdown with a well-characterized Rab11a antisense morpholino oligo (MO) (Kim et al., 2012). All of these resulted in 25-30% of the embryos having gastrulation defects, as expected (Kim et al., 2012; Ossipova et al., 2015); however ~50% of the remaining embryos (which were grossly normal) exhibited a TEC at NF42-43 with a failure of the transient epithelial septum to resolve (Figures 2I,J and S2E). In the case of *rab11a*-CRISPRs and Rab11a-MO, immunostaining confirmed the reduced Rab11 protein (Figure S2B). Finally, to overcome the earlier role of Rab11 in gastrulation, we generated transgenic *Xenopus* embryos expressing a dominant negative Rab11 in the foregut upon induction with doxycycline [*Tg*(*hhex:trTA;TRE:dnRab11a-GFP*)]. These also resulted in a TEC with enrichment of the dnRab11a-GFP on the basal-lateral epithelium rather than the normal apical surface (Figure S2C-E). Together, these data demonstrate that Rab11a-dependent endosome-mediated epithelial remodeling is essential for the foregut walls to fuse properly and that disruptions in this process can lead to TEDs.

Localized ECM Degradation and Mesenchymal Invasion Resolve the TE Septum

Between NF40-42 in *Xenopus* and E10.5-11.5 in mice, the length of the Sox2-Nkx2-1 boundary decreased as the length of the separated trachea and esophagus increased (Figure 3A). The presumptive trachea and esophagus had comparable proliferation in both species (data not shown), indicating that differential growth is unlikely to account for TE separation (loannides et al., 2010). This is consistent with a "splitting and extension" model (Que, 2015), where active septation occurs along with relatively equal growth of the separated trachea and esophagus.

3D confocal wholemount staining indicate that septation occurs in a posterior to anterior wave starting at the lung buds (Figures 3A-E, S3 and Supplementary Movies). In both *Xenopus* and mouse, the anterior septum was composed of Cdh1+ columnar cells and Laminin-rich basement membrane surrounded the entire foregut epithelium. However, in more posterior optical sections, Cdh1 and Laminin levels became reduced as basement membrane broke down and epithelial cells in the septum rounded up and lost adhesion to one another (Figure 3B-E and Supplementary Movies). Cdh1+ puncta indicative of new adherens junctions were observed between opposing epithelial cells to seal the presumptive tracheal and esophageal lumens (Figures 3B-D). Inhibiting ECM remodeling matrix metalloproteinases (MMPs) (Vu and Werb, 2000) with either GM6001 or 1,10-phenanthroline impaired Laminin breakdown in *Xenopus*, resulting in a TEC and shorter trachea (Figure 3F-G). As the septum resolved, fibronectin (Fn1)-rich Foxf1+ mesoderm cells, with enriched cortical actin, were observed between the separating trachea and esophagus, suggesting that mesenchymal cells actively migrate across the midline on the Fn1+ matrix (Figure S3C, D and F).

We evaluated the possibility that the septum cells undergo apoptosis. Cleaved caspase-3 staining revealed a few dying cells in the resolving mouse septum (Figure S3G) (loannides et al., 2010), but little septum cell death in *Xenopus* (data not shown). Rather, when the septum cells lost adhesion to one another, they appeared to incorporate into the esophageal or tracheal

epithelium. This is consistent with reports in the companion paper (Kim et. al, 2019) and our observation that, immediately after TE separation in both *Xenopus* and mouse, it is not uncommon to see Nkx2-1+ cells in the ventral esophagus and Sox2+ cells in the dorsal trachea (Figure S1E). Thus, in both *Xenopus* and mouse, the transient septum is resolved by epithelial remodeling, localized ECM degradation, and mesenchymal cell invasion to separate the foregut into a distinct esophagus and trachea (Figure S3H). Disruption of this process at any point along the anterior-posterior axis could result in a TEF.

HH/Gli Activity is Required for D-V Patterning, Medial Constriction and Epithelial Remodeling

Having defined the cellular events controlling TE morphogenesis, we next asked how disruptions in the HH signaling pathway cause TEDs. HH ligands are expressed in the foregut epithelium and signal to the mesoderm to activate Gli2 and Gli3 (loannides et al., 2003; Rankin et al., 2016). In the absence of a HH signal, Gli2 is degraded and Gli3 is proteolytically cleaved into a transcriptional repressor (Gli3R). When the HH pathway is activated, Gli2 and Gli3 become transcriptional activators (Briscoe and Therond, 2013). Multiple human TEDs have been associated with mutations in *SHH*, *GLI2*, and *GLI3*, including heterozygous truncating mutations in *GLI3* (Johnston et al., 2005).

We examined an allelic series of HH/Gli mouse mutants to determine which steps in TE morphogenesis were disrupted. Consistent with previous reports (Litingtung et al., 1998), *Shh*^{-/-} embryos exhibited TA with a single hypomorphic gut tube at E15.5 (Figure 4A). *Gli2*^{-/-};*Gli3*^{+/-} mutants also failed to undergo TE separation, displaying a TEC along the length of the foregut (Figure 4A) (Motoyama et al., 1998). In contrast, *Gli2*^{+/-};*Gli3*^{-/-} and *Gli2*^{-/-} (Figure 4A and data not shown) mutants were indistinguishable from wildtype littermates, indicating a qualitative difference in Gli2 and Gli3, with a single copy of Gli2 being sufficient to support TE morphogenesis. We also examined transgenic embryos ectopically expressing a truncated

repressor form of Gli3 (Vokes et al., 2008) in the foregut mesendoderm (*Foxg1Cre;Gli3T*), which mimics the *GLI3* mutation in Pallister-Hall Syndrome (PHS) [Online Mendelian Inheritance in Man 151560] (Johnston et al., 2005). Like PHS patients, *Foxg1;Gli3T* embryos had LTECs (Figure 4A). These results indicate that increasing levels of Gli3R relative to Gli activator cause increasing severity of TEDs.

To understand the cellular basis of these defects (Figure 4B), we examined earlier developmental stages. At E10.0, $Gli2^{-/-};Gli3^{-/-}$ embryos, which lack all HH activity, failed to undergo D-V patterning, with no Nkx2-1+ respiratory progenitors and very little Foxf1+ mesenchyme (Rankin et al., 2016) (Figure 4C). In contrast, the foregut was properly patterned in all other genotypes with ventral Nkx2-1 and dorsal Sox2, although the *Shh*^{-/-} foregut was smaller with fewer Nkx2-1+ and Foxf1+ cells. Although *Shh*^{-/-} mutants were correctly patterned, they failed to medially constrict, similar to NF37 *Xenopus* embryos treated with HH antagonist cyclopamine (Figures 4C and S4B). Unlike *Shh*^{-/-} mutants, *Gli2*^{-/-};*Gli3*^{+/-} embryos had normal levels of Foxf1+ mesenchyme and initiated medial constriction. *Foxg1Cre;Gli3T* embryos also exhibited medial constriction, although these had fewer Foxf1+ cells in the ventral region (Figure 4C). These data show that high levels of HH activity are needed for D-V patterning, medial constriction and to maintain sufficient Foxf1+ mesoderm, consistent with *Foxf1* being a direct Gli transcriptional target (Mahlapuu et al., 2001).

We next assessed *Gli2^{-/-};Gli3^{+/-}* and *Foxg1Cre;Gli3T* mutants at E11.0 for defects in epithelial remodeling and septation (Figure 4D). In *Gli2^{-/-};Gli3^{+/-}* mutants the foregut lumen remained open, suggesting that although medial constriction was initiated, the process was insufficient to bring the epithelial walls into contact. Alternatively, disrupted epithelial remodeling might result in failure of cell adhesion at the constriction point, followed by a relaxation and a TEC. Indeed, in *Foxg1Cre;Gli3T* embryos, aPKC and Rab11 persisted at the anterior contact point where the epithelium touched but failed to fuse, whereas in wild type embryos aPKC, Rab11 and Cdh1 were rapidly downregulated as the opposite walls of the epithelium fused

(Figure 4F). This was similar to *Xenopus* embryos with disrupted endosome recycling, suggesting that the LTEC was caused by impaired epithelial remodeling in the septum.

Gli3 MO knockdown in *X. laevis* and CRISPR/Cas9-mediated *gli3* mutation in *X. tropicalis* resulted in phenotypes similar to *Gli2^{-/-};Gli3^{+/-}* and *Foxg1Cre;Gli3T* mouse mutants, with a delay in medial constriction and failure of TE separation resulting in TECs (Figure S4). In most cases, the transient septum formed but failed to resolve, with the lumen eventually reopening to form a cleft. CRISPR-mediated indel mutations of the *gli3* C-terminus, predicted to result in a Gli3R form like the PHS patient mutation, resulted in persistent aPKC in the septum, like *Foxg1Cre;Gli3T* mouse embryos. Immunostaining of Gli3 MO *X. laevis* embryos showed a mislocalization of Rab11, which is normally enriched at the point of apical membrane fusion (Figure 4E). These results collectively indicate that HH/Gli-regulated epithelial remodeling is required for TE morphogenesis in both *Xenopus* and mouse, and that this is compromised in *Gli3* mutant models of PHS.

Conclusion

We have defined the conserved HH/Gli-regulated cellular mechanisms orchestrating TE morphogenesis: 1) D-V foregut patterning; 2) mesenchymal medial constriction at the Sox2-Nkx2-1 boundary; 3) epithelial fusion of cells co-expressing Sox2 and Nkx2-1 to form a transient septum; and 4) Rab11-dependent endosome-mediated epithelial remodeling and localized ECM breakdown to resolve the septum. Importantly, these results provide a cellular explanation for the previous models of TE separation. The data also help explain the genotype-phenotype association of TEDs in patients with *SHH* and *GLI* mutations, as a greater loss of HH activity causes earlier and more severe TE morphogenesis disruptions. Complete loss of HH/Gli results in TA, while one copy of Gli3 appears to be sufficient to support D-V patterning and constriction, but not septation, resulting in a TEC. We predict that partial or transient disruption in epithelial remodeling would result in an interruption in progressive septation and a TEF. Our data also

suggest that a balance between Gli activator and repressor function is critical, with excessive Gli3R resulting in TEDs. Further studies will focus on identifying mesenchymal Gli targets and how these regulate epithelial remodeling and TE morphogenesis. Candidates include proteins that modulate cell adhesion, endosome-mediated epithelial remodeling, and ECM degradation. Consistent with this prediction, human TEDs have been linked to mutations in Integrin Alpha 6 (*ITGA6*), Filamin A (*FLNA*), and Fraser extracellular matrix subunit 1 (*FRAS1*) (Brosens et al., 2014).

ACKNOWLEDGMENTS

The authors would like to thank the Zorn and Wells labs for their feedback. We acknowledge the CCHMC Confocal Imaging Core. This project was funded by NIH P01HD093363 and was supported in part by NIH P30 DL0778392 to the Digestive Diseases Research Core Center in Cincinnati. T.N. is supported by NIH F30HL142201 and T32 GM063483-14 to the University of Cincinnati Medical Scientist Training Program. We are grateful to Sergei Sokol for the dnRab11 constructs.

AUTHOR CONTRIBUTIONS

T.N. and A.M.Z. wrote the manuscript. T.N., P.M., and A.M.Z. designed and performed experiments. S.A.R., N.A.E., Z.N.A., A.P.K., J.L.K., K.D., J.V., L.H., S.L.T., M.J.K., S.C., and performed experiments. J.M.W. provided critical discussion and support. All authors provided feedback on the manuscript.

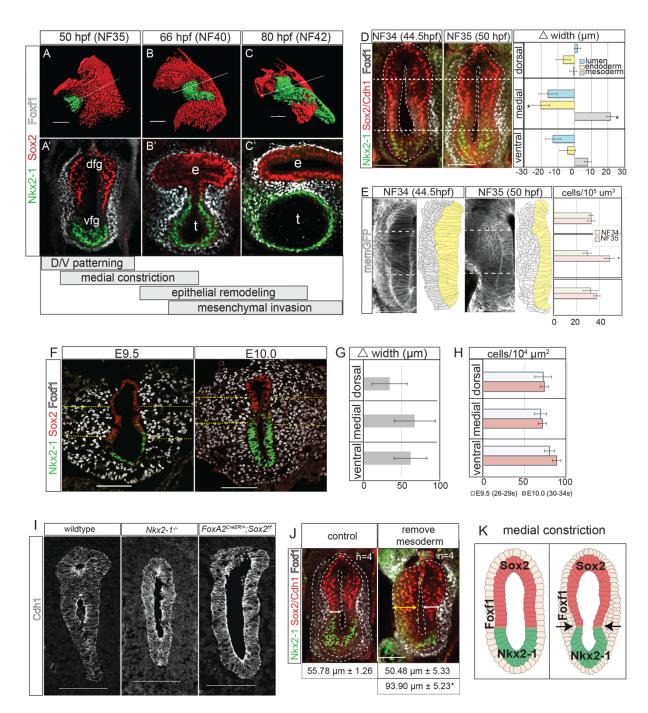
DECLARATION OF INTERESTS

There are no conflicts of interest to declare.

FIGURES

bioRxiv preprint doi: https://doi.org/10.1101/777664; this version posted September 21, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

12





Boundary

1A-C': Immunostaining showing TE morphogenesis in *Xenopus (X.) laevis*. Scale bar, 100 µm.

1D: Medial constriction in X. laevis shown by immunostaining and quantification of the

difference in foregut width between NF34 and NF35. Scale bar, 100 μ m. Difference of means test,*p<0.5.

1E: Transgenic membrane-GFP X. laevis show increased medial mesenchyme cell density.

Scale bar, 100 µm. Student's two-tailed t-test,*p<0.05.

1F: Immunostaining of mouse embryos showing medial constriction. Foxf1+ cells between

dashed yellow arrows denote medial mesoderm. Scale bar, 100 $\mu m.$

1G: Average mesoderm width was not significantly different between E9.5 and E10.0 in the

dorsal, medial, and ventral regions. Difference of means test.

1H. Average mesoderm cell density at E9.5 and E10.0 was not significantly different. Student's two-tailed t-test.

11: E11.0 *Nkx2-1* and E10.5 *Sox2* mouse mutants fail to undergo medial constriction. Scale bar,
100 μm.

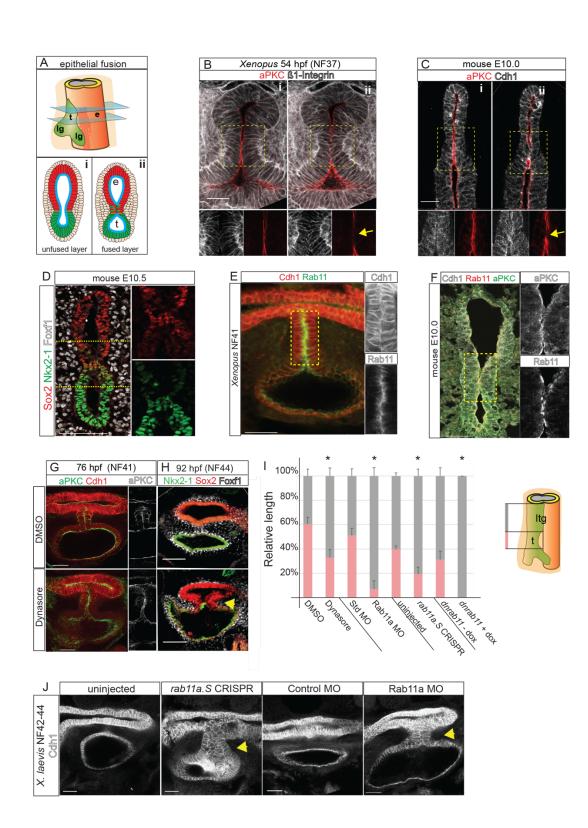
1J: Removal of the lateral plate mesoderm prevents medial constriction in X. laevis embryos.

Student's two-tailed t-test performed between control and explanted widths, and explanted and intact widths.*p<0.05. Scale bar, 100 μ m.

11: Graphical summary of medial constriction.

bioRxiv preprint doi: https://doi.org/10.1101/777664; this version posted September 21, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

14





2A: Model of epithelial fusion.

2B-C: Sequential optical sections of *X. laevis* (B) and mouse (C) embryo immunostaining showing loss of aPKC and increased Integrin or Cdh1 at the contact point (arrow, ii). Scale bar, 50 μm.

2D: A unique population of cells co-expressing of Sox2 and Nkx2-1 in the mouse foregut septum. Scale bar, 100 μm.

2E: Immunostaining of Rab11 and Cdh1 enriched in the X. laevis septum. Scale bar, 50 µm.

2F: Immunostaining of Rab11, aPKC and Cdh1 enriched at the fusion point in mouse. Scale bar, 50 μm.

2G-H: Inhibition of endosome recycling by Dynasore treatment of *X. laevis* (NF32-41) results in a failure to reduce aPKC at NF41 (G) and tracheoesophageal cleft (TEC) at NF44 (H). Scale bar, 100 μm.

2I: Quantification of reduced trachea (t) length and relative to laryngotracheal (ltg) in NF42-44 *X. laevis* embryos shows that inhibition of Rab11-mediated endocytosis results in a TEC. Student's two-tailed t-test, *p<0.05.

2J. Cdh1 immunostaining of NF42-44 *X. laevis* showing that knockdown of Rab11a via CRISPR-mediated mutation or MO knockdown results in a TEC. Scale bar, 50 µm.

bioRxiv preprint doi: https://doi.org/10.1101/777664; this version posted September 21, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

16

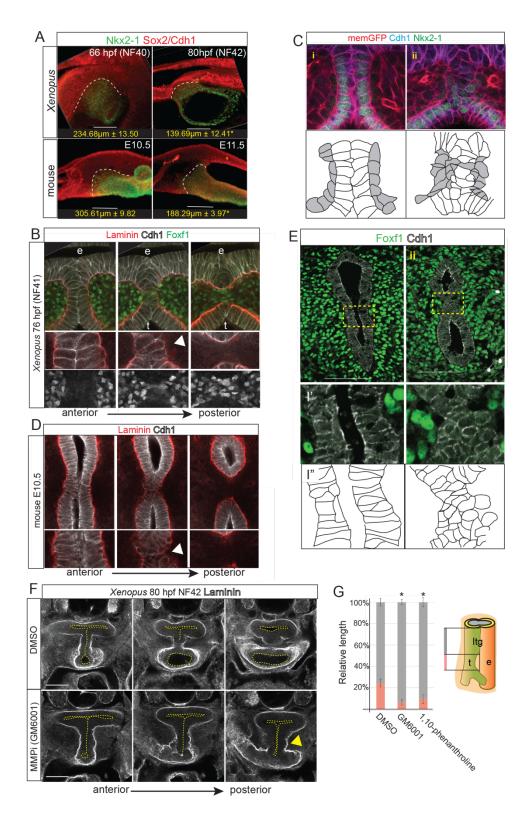


Figure 3: Localized ECM Degradation and Mesenchymal Invasion Resolve the TE Septum

3A: Wholemount immunostaining of septum resolution in *X. laevis* and mouse embryos,
quantifying the length of the Sox2+/Nkx2-1+ boundary. Student's two-tailed t-test,*p<0.05.
3B: Optical sections from wholemount immunostaining of Laminin, Cdh1 and Foxf1 during TE septum resolution in NF41 *X. laevis* embryos. Arrowhead indicates localized Laminin breakdown.

3C: Immunostaining of transgenic membrane GFP NF41 Xenopus embryo before (i) and during

(ii) TE separation showing Cdh1+ epithelial cells (white in schematic) round up as mesenchymal cells (grey) invade. Scale bar, 100 μm.

3D: Optical sections from wholemount immunostaining of Laminin and Cdh1 during TE septum resolution in E10.5 mouse embryos. Arrowhead points to localized Laminin breakdown.

3E: Immunostaining of Foxf1 and Cdh1 (schematic below) in an E10.5 mouse embryo before (i) and during (ii) TE separation. Scale bar, 100 µm.

3F: Inhibition of MMP activity in *Xenopus* with GM6001 results in impaired Laminin breakdown (arrowhead) and result in a TEC. Three optical sections from wholemount Laminin immunostaining of NF41 *X. laevis* embryos.

3G: Quantification of relative lengths of the laryngotracheal groove (ltg) and trachea (t) in DMSO, GM6001, or 1,10-phenanthroline-treated NF41 *X. laevis* embryos. One-way ANOVA, *p<0.05.

bioRxiv preprint doi: https://doi.org/10.1101/777664; this version posted September 21, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

18

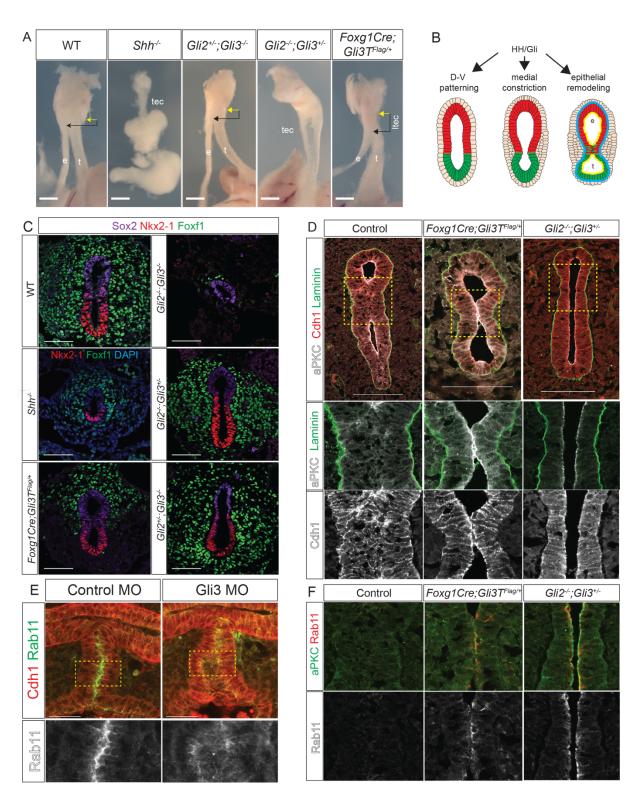


Figure 4: HH/Gli Activity Is Required for D-V Patterning, Medial Constriction and Epithelial Remodeling

4A: Wholemount images of E15.5 *Shh/Gli* mutant foreguts showing a separate esophagus (e) and trachea (t); tracheoesophageal cleft (tec), or LTEC. Arrows denote distance between cricoid cartilage (yellow) and TE septation point (black). Scale bar, 6.35 mm.

4B: Graphical summary of HH/Gli-regulated events.

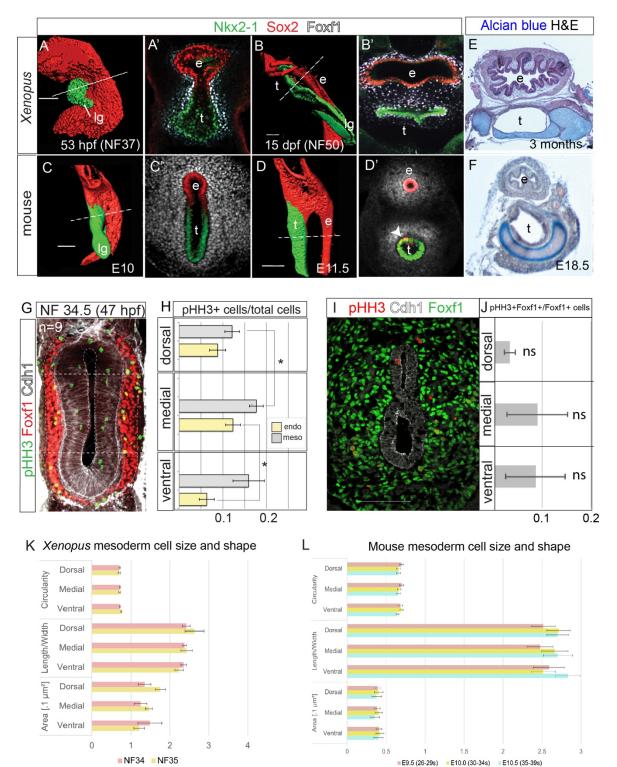
4C: Immunostaining of Nkx2-1, Foxf1 and Sox2 (or DAPI) in *Shh/Gli* mutants at E10.0. Scale bar, 50 μm.

4D: Immunostaining of aPKC, Laminin and Cdh1 in *Foxg1Cre;Gli3T* and *Gli2^{-/-};Gli3^{+/-}* E11.0

mutants showing a failure epithelial fusion and persistent aPKC. Scale bar, 100 µm.

4E: Immunostaining of control MO and Gli3 MO injected NF41 *X. laevis* embryos showing mislocalized Rab11 in Gli3 morphants. Scale bar, 50 μm.

4F: Immunostaining of aPKC and Rab11 in *Foxg1Cre;Gli3T* and *Gli2^{-/-};Gli3^{+/-}* E11.0 mutants showing a failure of Rab11 reduction compared to controls.



SUPPLEMENTAL FIGURES

Supplemental Figure S1 (Related to Figure 1) TE Morphogenesis is Conserved in *Xenopus* and Mouse.

S1A-C: Wholemount confocal immunostaining of Sox2, Nkx2-1 and Foxf1 in *X. laevis* (A-B) and mouse (C-D) foregut epithelium. Surface renderings and optical sections show conserved TE morphogenesis, with Sox2+ dorsal foregut giving rise to esophageal epithelium and Nkx2-1+ central foregut giving rise to tracheal and lung epithelium. After separation, rare Sox2+/Nkx2-1+ cells are observed in the ventral esophagus and dorsal trachea (arrowhead in D').

S1E, F: Alcian blue cartilage staining shows similar tracheal differentiation in Xenopus (E) and mouse (F). e = esophagus, t = trachea, lg = laryngeal groove

S1G: Immunostaining of phospho-histone H3-positive (pHH3+) cells, marking proliferating cells in NF34.5 *X. laevis*.

S1H: Quantification of S1G. Endo = (pHH3+Cdh1+)/Cdh1+ cells, meso = (pHH3+Foxf1+)/Foxf1+ cells. The mitotic indices of the medial *X. laevis* endoderm and mesoderm are higher than those seen in the corresponding dorsal and ventral areas. Student's two-tailed t-test, *p<0.05.

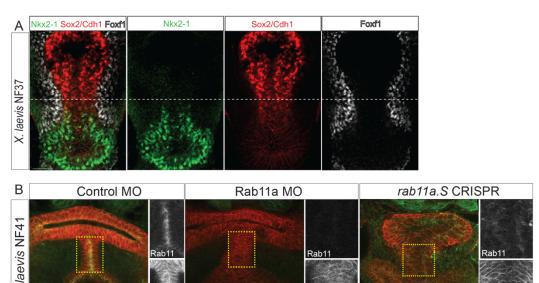
S1I: Immunostaining of pHH3, Foxf1 and Cdh1 in mouse E10.0 foregut.

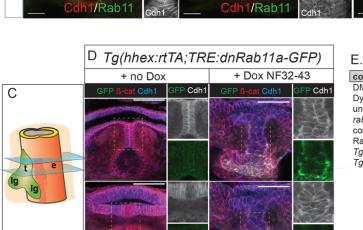
S1J: Quantification of S1G, showing no statistically significant difference in pHH3+/Foxf1+ mesoderm cell proliferation from different regions. Two-way ANOVA, *p<0.05.

S1K: Size and shape of *Xenopus* mesoderm cells. Average circularity, length/width ratio, and cell area (within $.1\mu m^2$) of NF34 and NF35 foregut mesoderm show no statistical difference between regions. Mixed-effects analysis, *p<0.05.

S1L: Size and shape of mouse mesoderm cells. Average circularity, length/width ratio, and cell area (within $.1\mu m^2$) of E9.5, E10.0 and E10.5 foregut mesoderm show no statistical difference between regions. Mixed-effects analysis, *p<0.05.

bioRxiv preprint doi: https://doi.org/10.1101/777664; this version posted September 21, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.





×

Ε.	Summary	of	Experiments
----	---------	----	-------------

condition	# TEC / total embryos
DMSO	5/46
Dynasore	35/65
uninjected wild type	4/51
rab11a F0 CRISPR	16/31
control MO	1/23
Rab11a MO	23/40
Tg(dnRab11a) -dox	0/4
Tg(dnRab11a) +dox	5/5

Rab11

Supplemental Figure S2 (Related to Figure 2) Rab11a-Dependent Epithelial Remodeling in the Transient Epithelial Septum that Co-Expresses Sox2 and Nkx2-1

S2A: Immunostaining of NF37 X. laevis embryos showing co-localization of Sox2 and Nkx2-1 in the transient epithelial septum where the foregut constricts, similar to mouse.

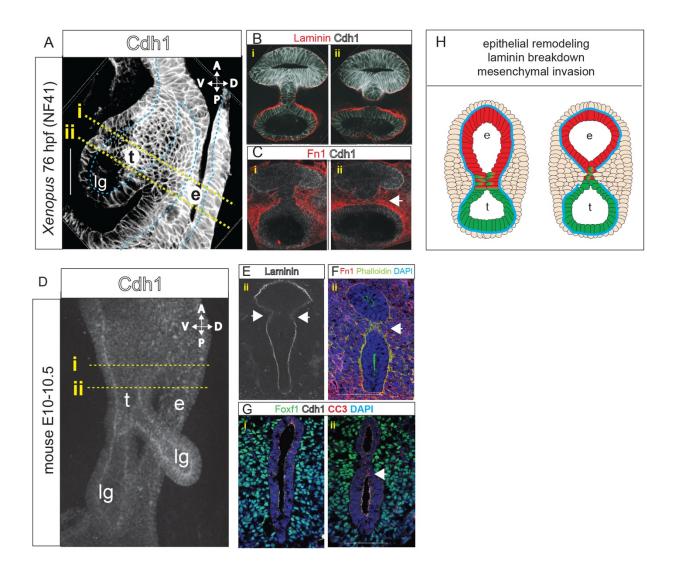
S2B: Immunostaining of Control MO, Rab11 MO and rab11.S F0 CRISPR mutant X. laevis embryos at NF41 confirming reduced Rab11 protein levels and disorganized epithelium septum in Rab11 mutants relative to control embryos. Scale bar, 50 µm.

S2C: Graphic indicating relative sections of the tracheoesophageal septum and separated trachea and esophagus seen in S2D.

S2D: Sequential optical sections from wholemount immunostaining of transgenic Tg(hhex:rtTA; TRE:dnRab11a-GFP) X. laevis embryos at NF42-43. Addition of doxycycline (dox) from NF32-43 resulted in the expression of a dominant-negative Rab11a specifically in the *hhex*+ foregut, which caused a failure of TE septation and a persistent TEC similar to Rab11 knockdown. GFP

shows that the dnRab11a protein inappropriately localized to the basal-lateral surface compared to the localization of wildtype Rab11 at the apical surface and fusion point (Fig. 2SD). This confirms that Rab11 is specifically required for TE separation, independent of possible prior requirements for Rab11 during gastrulation. Scale bar, 100 μ m.

S2E: Summary of TEC frequencies in experiments that block Rab11-dependent endosomemediated epithelial remodeling in *X. laevis* embryos.



Supplemental Figure S3 (Related to Figure 3) Resolution of the Epithelial Septum

S3A: Wholemount Cdh1 immunostaining of the NF41 *X. laevis* foregut (sagittal view) during (i) and immediately after (ii) TE septum resolution. Trachea (t) and esophagus (e). d; dorsal, v; ventral, a, anterior; p, posterior

S3B: Optical section of Cdh1 and Laminin immunostaining in a NF41 *X. laevis* foregut during (i) and after (ii) TE septum resolution. Laminin decreases around the septum as the epithelial cells downregulate Cdh1 and transition from a columnar to round morphology.

S3C: Optical section of Fibronectin (Fn1) and Cdh1 immunostaining in a NF41 *X. laevis* foregut during (i) and after (ii) TE septum resolution. Fn1-enriched mesenchymal cells are present in the midline between the nascent trachea and esophagus, suggesting cell migration.

S3D: Wholemount Cdh1 immunostaining of an E10.5 mouse embryo (i: prior to TE separation, ii: during TE separation) showing the constriction of the foregut prior to TE separation.

S3E: Immunostaining showing localized extracellular matrix degradation at the septation point (arrows) in an E11.0 mouse embryo.

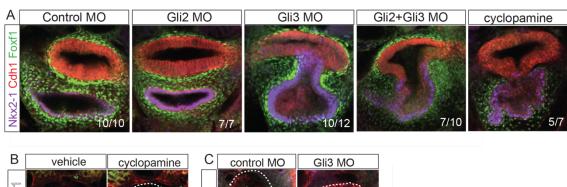
S3F: Immunostaining showing enrichment (arrow) of phalloidin and fibronectin just after TE separation in an E11.0 mouse embryo, suggesting medial mesenchymal cell movement. Scale bar, 100 μ m.

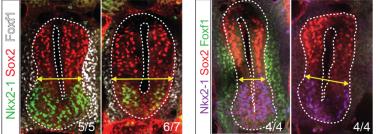
S3G: Cleaved caspase 3 (CC3)-positive cells, indicating apoptosis before (i) and during (ii) TE separation in an E10.5 mouse embryo. Presence of CC3+ cells in the endoderm during TE septum formation suggests that apoptosis may contribute to TE separation. Arrow denotes CC3 staining in the TE septum. Scale bar, 100 μ m.

S3H: Graphical summary of TE septum resolution, including epithelial remodeling at the midline fusion point, bilateral Laminin breakdown on either side of the septum, and mesenchymal invasion as the distinct trachea and esophagus form.

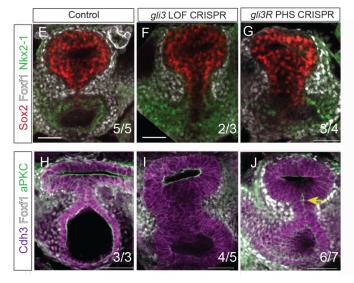
bioRxiv preprint doi: https://doi.org/10.1101/777664; this version posted September 21, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.







D	PHS mutations	Κ	condition	#TED/total embryos	% indel
human GLI3 DBD	TAs		DMSO	0/16	NA
PHS patient DBD	STOP (699aa) = GLI3R		cylopamine	15/18	NA
			control MO	0/32	NA
Xenopus gli3 DBD	TAs		Gli2 MO	2/37	NA
nguideRNA			Gi3 MO	29/38	NA
CRISPR gli3 STOP			<i>tyr</i> CRISPR	2/31	ND
LOF mutant	auida BNA		gli2 CRISPR	0/7	57%
CRISPR gli3R	nguideRNA ☐STOP		gli3 CRISPR	18/25	36%
PHS mutant			gli3R CRISPR	17/27	50%
			-		



Supplemental Figure S4 (Related to Figure 4) HH Signaling and Gli3 are Required for TE Morphogenesis in *Xenopus*

S4A: Immunostaining of Nkx2-1, Cdh1 and Foxf1 in NF42 *X. laevis* embryos injected with previously validated control MOs, Gli2 MOs *or* Gli3 MOs (5-7 ng) (Rankin et al 2016) or treated with the HH antagonist cyclopamine. Gli2 MO embryos show no defect, while Gli3 MO and Gli2+Gli3 MO fail to septate and exhibit a TE cleft. This suggests that *gli3* plays a more critical role than *gli2* during *X. laevis* TE separation. Note higher doses of Gli2+Gli3 MO (10 ng each) fail to pattern the foregut and induce Nkx2-1 as previously shown (Rankin et al 2016).

S4B: Immunostaining of Nkx2-1, Sox2 and Foxf1 in NF35 *X. laevis* embryos treated with cyclopamine exhibit delayed medial constriction as indicated by the yellow arrows and reduced Foxf1+ mesenchyme, but preserve dorsal-ventral patterning, similar to *Shh-/-* mutant mice (Figure 4).

S4C: Immunostaining of Nkx2-1, Sox2 and Foxf1 in NF35 *X. laevis* embryos injected with control MOs or Gli3 MOs, showing delayed medial constriction in Gli3 morphants.

S4D: Schematic of human and Xenopus Gli3 proteins and the truncating mutation that results in Pallister Hall Syndrome (PHS). Summary of targeting strategy for CRISPR/Cas9-mediated indel mutagenesis of *X. tropicalis gli3* in embryos. Mutation of *gli3* exon 2 is predicted to result in loss of function (LOF) mutants whereas *gli3R* PHS mutants are predicted to maintain Gli3 transcriptional repressor activity.

S4E-G. Immunostaining of Sox2, Foxf1 and Nkx2-1 in control (E) and CRISPR-mediated F0 mutant *X. tropicalis* embryos at NF41. *gli3* LOF mutants (F) and *gli3R* mutants (G) fail to septate and exhibit a persistent TEC, similar to Gli3 MO *X. laevis* embryos.

S4H-J: Immunostaining of Cdh3, Foxf1 and aPKC in control (H) and CRISPR-mediated F0 mutant *X. tropicalis* embryos at NF41. *gli3* LOF mutants (I) show an abnormally thick TE septum that fails to resolve, whereas *gli3R* PHS mutants show persistent aPKC in the septum (arrow), similar to the *Foxg1Cre;Gli3T* mouse mutants, indicating that excessive Gli3R activity prevents remodeling of apical-basal epithelium polarity in the septum and prevents septation.

S4K: Summary of the TED phenotypes in Xenopus embryos with disrupted HH/Gli3 activity. The F0 CRISPR/Cas9 mutagenesis results in embryos with mosaic mutations. The average percentage of damaging indel mutations from sequencing-TIDE genotyping (see methods) is shown.

STAR METHODS CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Aaron Zorn (aaron.zorn@cchmc.org).

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Xenopus and all mouse lines described here were housed at Cincinnati Children's Hospital Medical Center (CCHMC) and maintained according to the NIH Guidelines for the Care and Use of Laboratory Animals. All animals were housed in a 12-hour light-dark cycle with standard chow, and only healthy animals were used for experiments. Both mouse and *Xenopus* embryos were collected to analyze tracheoesophageal morphogenesis, and the sex of embryos was not recorded. All experiments were performed using guidelines approved by the CCHMC Institutional Animal Care and Use Committee (IACUC2019-0006 and IACUC2016-0059).

METHOD DETAILS

Experimental Design

All *Xenopus* experiments were performed at least three times. In the case of mouse mutants, at least three mouse mutants, age-matched by somite number if collected at E11.5 or earlier, were used for analysis in each experiment. Sample size and animal sex were not deliberately determined. For most of the analysis samples were not blinded except the determination of TEC phenotypes in *Xenopus* embryos, which were scored blind. No data was excluded from analysis.

Xenopus experiments

Xenopus laevis and *Xenopus tropicalis* were purchased from Nasco or the National Xenopus Resource Center (*X. laevis* memGFP) and housed according to established CCHMC

IACUC protocols. *X. tropicalis* "Superman" animals were generously provided by Mustafa Khokha (Yale University). Generation of *Xenopus* embryos was performed using ovulation and *in vitro* fertilization techniques previously described.

For *X*. laevis microsurgery experiments the ectoderm and lateral plate mesoderm surrounding the foregut were removed from one side of NF32/33 embryos and cultured in 0.5XMBS with gentamicin until NF35 when they were fixed along with unmanipulated siblings.

For morpholino (MO) knockdowns we injected previously validated Gli3-MOs (5 ng), Gli2-MO (5 ng) (Rankin et al., 2016) and Rab11a-MO (50 ng) (Kim et al., 2012), or equal concentrations of standard control morpholinos, into the dorsal-ventral region of 2-8 cell stage *X. laevis* embryos to target the future foregut region.

For transient F0 mosaic CRISPR/Cas9 mutagenesis, guide RNAs (gRNAs) were designed using CRISPRScan or CHOPCHOP and synthesized by IDT. For gli2 and gli3 loss of function, gRNAs targeting the X. tropicalis gli2 exon 4 or gli3 exon 2 were designed to produce truncating indels or missense mutations resulting in premature stop codon prior to the DNAbinding domains. A gRNA targeting the X. tropicalis gli3 in exon13 corresponding to the human Pallister Hall Syndrome *GLI3*^{d699} mutation was designed such that a premature stop codon would prevent translation of the Gli3 activator domain resulting in a constitutive repressor form. For the *rab11a* LOF mutations, we designed gRNAs targeting a region in exon 2 that has identical sequence identity between and X. tropicalis rab11a and X. laevis rab11a.S (X.laevis rab11a.L has a 2-nucleotide mismatch and is predicted not to be targeted). X. laevis embryos were used for rab11a CRISPR/Cas9 mutagenesis because the Rab11 antibodies (to verify loss of Rab11) work in laevis but not tropicalis. RNA-seg data in Xenbase.org indicated that rab11a.S transcripts are expressed two-fold higher than rab11a.L in X. laevis tadpoles. Consistent with the mRNA levels, Rab11 immunofluorescence showed that rab11a.S CRISPR mutants (with wildtype rab11a.L), have dramatically reduced Rab11a protein levels (Figure S2B). To generate F0 mosaic gli2, gli3, gli3R and rab11a.S mutant embryos, the gRNAs (750

pg) were then injected with Cas9 protein (1-1.5 ng; PNA Biosciences) into *X. tropicalis* or *X. laevis* embryos at the one-cell stage or the eight-cell stage targeted to the foregut endoderm. For negative controls, *tyrosinase* (*tyr*) gRNAs were injected. These resulted in a high frequency of F0 embryos lacking pigment indicating effective indel mutations, but no detectable TED.

To genotype embryos, genomic DNA was extracted from tails and the genomic regions target by the gRNAs were PCR amplified with 2X Phusion Master Mix (Thermo Fisher Scientific F531) according to manufacturer's instructions. Successful indel mutation was initially verified with a T7E1 (New England BioLabs Incorporated M0302S) digest of the PCR product, followed by, Sanger sequencing. Overall efficiency and allele frequency of specific mutations were determined by TIDE sequencing decomposition analyses (<u>https://tide.deskgen.com</u>). The table below shows the efficiency of truncating indel mutations for each gRNA.

Gene, exon (species)	Damaging indel %, n	
gli2 exon 4 (X. tropicalis)	57% (n=7)	
gli3 exon 2 (X. tropicalis)	36% (n=25)	
gli3 exon 13 PHS (X. tropicalis)	50% (n=27)	
rab11a.S exon 2 (X. laevis)	78% (n=16)	

For small molecule treatments, *Xenopus laevis* embryos were cultured at room temperature, covered in aluminum foil, in 0.1XMBS in the presence of small molecules that were refreshed once every 12-24 hours beginning at NF28/29-32/33. Control sibling embryos were treated with equal volumes of the small molecule solvent (e.g., EtOH or DMSO). Small molecule treatment concentrations were: cyclopamine (Selleck or Tocris, 80µM), Dynasore (Millipore Sigma, 50µM), GM6001 (Millipore Sigma, 150µM) and 1,10-phenanthroline (Millipore Sigma, 5 µM).

For Xenopus transgenesis, the I-Scel meganuclease method was used as described (Sterner et al., 2019). The *Tg(hhex;rtTA;TRE:dnRab11a-GFP)* construct was generated as follows. The -1.2Kb *X.laevis hhex.L* promoter (Rankin et al., 2011) and the dNRab11a-GFP

fusion coding sequence (Kim et al., 2012) were PCR amplified gel purified and TOPO-TA cloned into the pCR8 Gateway entry vectors (Thermo Scientific #K250020). Gateway LR Clonase II Plus enzyme (Thermo Scientific #12538120) was used in standard recombination reactions according to manufacturer's instructions to transfer the *hhex.L* promoter into the pDXTP and dNRab11-GFP into the pDXTR transgenesis plasmids (Sterner et al., 2019).

Transgenic embryos were generated as follows: 200pg of pDXTP-*hhex* promoter and 200pg of pDXTR-dNRab11-GFP were incubate in a 25uL reaction containing 2.5uL of I-Scel meganuclease enzyme (New England Biolabs #R0694S) in 0.5X I-Scel buffer. The reaction was incubated at 37^oC for 30 to 40 minutes and then immediately injected into 1-cell embryos near the sperm entry point within the first 45 to 60 minutes after fertilization. Embryos were cultured at 13^oC for the first two hours after injection and subsequently at 18^oC to 23^oC degrees thereafter. Transgenic embryos were selected based on GFP fluorescence in the eye, which becomes visible during early tailbud stages (Sterner et al., 2019). In half of the embryos the transgenes were activated by the addition of Doxycycline hyclate (Sigma #D9891) at a final concentration of 50ug/mL culture buffer. The addition of Dox alone has no effect on the embryos and did not cause TEDs.

All of the confocal analysis in *Xenopus* embryos was performed in wholemount. Embryos were cut transversely just anterior to the pharynx and posterior to the lung buds in 100% MeOH. After serial rehydrations into 1XPBS with 0.1% Triton X-100 (1XPBSTr), embryos underwent antigen retrieval for 45 minutes in 1X citrate buffer at 65°C. Following three washes in 1XPBSTr, embryos were blocked in a 1XPBSTr solution of 1% bovine serum albumin (BSA) and 5% DMSO overnight at 4°C on a rocker for at least sixteen hours. Primary antibodies were added to embryos for at least sixteen hours, again rocking overnight at 4°C. Following five thirtyminute washes in 1XPBSTr, secondary antibodies were added in a 1XPBSTr solution containing 0.1% BSA overnight, rocking at 4°C for at least sixteen hours. After five thirty-minute

washes in 1XPBSTr, embryos were serially dehydrated into 100% methanol, and stored at 4°C until imaging in Murray's Clear solution.

Mouse experiments

All mouse experiments performed were approved by the CCHMC Institutional Animal Care and Use Committee (IACUC). *Gli2^{tm2.1Alj}/J* mice were obtained from The Jackson Laboratory. *Foxg1^{Cre}* and *Shh^{CreGFP}* mice were obtained from Debora Sinner's lab. *Gli3T^{Flag}* mice were provided by Rolf Stottmann and Joo-Seop Park. *Gli3^{XtJ}* mice were obtained from Rolf Stottmann's lab. *Nkx2-1^{GFP}* mice were provided by Jeffrey Whitsett and John Shannon. *FoxA2^{tm2.1(cre/Esr*)Moon/J* and *Sox2^{tm1.1Lan/J}* mice were provided by James Wells (tamoxifen administered via oral gavage at E6.5). Mice were maintained in the CCHMC animal facility. Timed matings and somite counting were used to obtain embryos at the stages described. Genotyping was performed using Phusion Hot Start Flex 2x Master Mix or Quickload 2x Master Mix (New England BioLabs, Incorporated). After collection, all mouse embryos were washed in 4% paraformaldehyde, rocking overnight at 4°C, before two five-minute washes in 1XPBS.}

Mouse embryos used for cryosectioning were washed for one to two days, rocking at 4°C, in 30% sucrose in 1XPBS. Embryos were then embedded in OCT (Sakura, VWR) and stored at -80°C until sectioning at 8 µm thickness or 60 µm thickness. For section immunohistochemistry, sections were washed in 1XPBS, then 1XPBS with 0.1% Triton X-100 for permeabilization before blocking for one hour in 5% normal donkey serum in 1XPBS. After incubation in primary antibodies in 1XPBS at 4°C overnight, slides were washed in 1XPBS before incubation in secondary antibodies in 1XPBS at room temperature for one hour, followed by 1XPBS washes and mounting using ProLong Gold Antifade (Thermo Fisher Scientific).

Mouse embryos used for wholemount immunostaining were incubated in 100% methanol at -20°C until dissection and staining of the foregut. Briefly, embryos were washed for two hours at room temperature in Dent's Bleach solution before serial rehydration into 1XPBS

from methanol. Embryos were blocked in 5% normal donkey serum in 1XPBS with 0.2% Triton X-100 for two hours, rocking at room temperature. Embryos were then placed in primary antibodies in blocking solution, rocking overnight at 4°C. After a series of washes in 1XPBS with 0.1% Triton X-100, embryos were incubated with secondary antibodies in blocking solution, rocking overnight at 4°C. After three washes in 1XPBS with 0.1% Triton X-100, embryos underwent serial dehydration into 100% methanol before overnight storage at 4°C. Embryos were removed from methanol and placed into Murray's Clear for at least fifteen minutes before confocal imaging.

QUANTIFICATION AND STATISTICAL ANALYSIS

NIS Elements software was used to obtain image quantifications. For cell proliferation and cell death counts, the number of pHH3+ or CC3+/Cdh1+ and pHH3+ or CC3+/Foxf1+ cells were counted, as well as pHH3+ or CC3+/DAPI+ cells when possible. In *Xenopus* and mouse the mesoderm thickness in the dorsal, medial or ventral regions was measured as the distance between the lateral ectoderm and endoderm. Mesoderm density in *Xenopus* was calculated as the number of Foxf1+ cells within a confocal Z-stack ~10⁵ µm³, whereas the cell density in mouse was calculated based on DAPI staining from individual 60µm confocal optical sections.

For small molecule treatments, the laryngotracheal groove was calculated as the distance between the start of the groove and the distinct trachea, marked by absent cytoskeletal staining between the trachea and esophagus. The tracheal length was calculated as the distance between the start of the distinct trachea, described above, and the start of the lung buds. Data shown is from one representative experiment. Pairwise significance calculations were performed in Microsoft Excel using a Student's two-tailed t-test. All other significance calculations), two-way ANOVA (for two controls with equal numbers of conditions), or mixed effects analysis

(for two controls with unequal numbers of conditions) in Prism. p < 0.05 indicated significance

for all tests, and the average and SEM were included in all calculations.

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
Mouse monoclonal anti-Sox2	Abcam	ab79351
Goat polyclonal anti-Sox2 (Y-17)	Santa Cruz Biotechnology	sc-17320
Rabbit polyclonal anti-Nkx2-1 (H-190)	Santa Cruz Biotechnology	sc-13040X
Goat polyclonal anti-Foxf1	R&D	AF4798
Rat monoclonal anti-Cdh1	R&D	MAB7481
Rabbit polyclonal anti-laminin	Sigma-Aldrich	L9393
Mouse monoclonal anti-ß1 integrin	Developmental Studies Hybridoma Bank	8C8 (deposited to the DSHB by Hausen, P./ Gawantka, V.)
Rabbit polyclonal anti-ß-catenin (H-102)	Santa Cruz Biotechnology	sc-7199
Rabbit polyclonal anti-phospho-histone H3	Cell Signaling	9701L
Rabbit polyclonal anti-cleaved caspase 3	Cell Signaling	9661
Mouse monoclonal anti-Cdh1 (5D3)	Developmental Studies Hybridoma Bank	5D3 (deposited to the DSHB by Gumbiner, B.M.)
Mouse monoclonal anti-Cdh1 (6B6)	Developmental Studies Hybridoma Bank	6B6 (deposited to the DSHB by Gumbiner, B.M.)
Rabbit polyclonal anti-aPKC	Abcam	ab59364
Goat polyclonal anti-p-PKC ζ (C-20)	Santa Cruz Biotechnology	sc-216-G
Rabbit monoclonal anti-PKCµ (C-20)	Santa Cruz Biotechnology	sc-639
Rabbit monoclonal anti-Rab11 (3H18L5)	Invitrogen	700184
Rabbit polyclonal anti-Rab11	Cell Signaling	5589
Mouse monoclonal anti-Fibronectin 4H2	De Simone Lab	N/A
Chicken polyclonal anti-ß-galactosidase	Abcam	ab9361
Phalloidin anti-488	Life Technologies	A12379
Donkey anti-goat 488	Jackson ImmunoResearch Laboratories	705-546-147
Donkey anti-goat Cy3	Jackson ImmunoResearch Laboratories	705-166-147
Donkey anti-rabbit Cy3	Jackson ImmunoResearch Laboratories	711-165-152

bioRxiv preprint doi: https://doi.org/10.1101/777664; this version posted September 21, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

34

Donkey anti-rat 647	Jackson ImmunoResearch	712-606-133
	Laboratories	
Donkey anti-mouse Cy3	Jackson ImmunoResearch Laboratories	715-165-151
Donkey anti-mouse 647	Jackson ImmunoResearch Laboratories	715-606-151
DAPI	Thermo Fisher Scientific	D1306
Chemicals, Peptides, and Recombinant Proteins		
Cyclopamine	Tocris	1623
Cyclopamine	Selleck Chemicals	S1146
GM6001	Millipore Sigma	364205
1,10-phenanthroline	Millipore Sigma	131377-25G
Dynasore	Millipore Sigma	324414
Hydroxyurea	Alfa Aesar	A10831
T7E1	New England BioLabs, Incorporated	M0302S
Cas9	PNA Biosciences	CP01-50
2x Phusion Master Mix	Thermo Fisher Scientific	F531
Phusion Hot Start Flex 2X Master Mix	New England BioLabs, Incorporated	M0536S
QuickLoad 2X Master Mix	New England BioLabs, Incorporated	M0271L
I-Sce1	New England Biolabs	R0694S
Doxycycline hyclate	Sigma	D9891
pCR8/GW/TOPO TA Cloning Kit with One Shot TOP10 <i>E. coli</i>	Thermo Fisher Scientific	K250020
LR Clonase II Plus enzyme	Thermo Fisher Scientific	12538120
Tamoxifen	Sigma-Aldrich	T5648
Normal donkey serum ProLong Gold Antifade	Jackson ImmunoResearch Laboratories Thermo Fisher	017-000-121 P36930
	Scientific	
10X Citrate Buffer	Abcam	ab64214
Experimental Models: Organisms/Strains		
Xenopus laevis:	National Xenopus	NXR_0.0012
XIa.Tg(CMV:memGFP,cryga:mCherry) ^{NXR}	Resource	LM00531
Xenopus laevis females	NASCO	
Xenopus laevis males	NASCO	LM00715
Xenopus tropicalis females	NASCO	LM00823
Xenopus tropicalis males	NASCO	LM00822
Xenopus tropicalis Superman males	Mustafa Khokha	N/A
Xenopus tropicalis Superman females	Mustafa Khokha	N/A

Mouse: Gli2 ^{tm2.1Alj} /J	The Jackson Laboratory	JAX: 007922
Mouse; Gli3 ^{XtJ}	Rolf Stottmann	(JAX: 000026)
Mouse: Gt(ROSA)26Sor ^{tm3(Gli3)Amc/} J	Rolf Stottmann & Joo- Seop Park	(JAX: 013124)
Mouse: Shh ^{tm1(EGFP/cre)Cjt} /J	Debora Sinner	(JAX: 005622)
Mouse: Foxg1 ^{tm1(cre)Skm}	Debora Sinner	(JAX: 006084)
Mouse: Nkx2-1 ^{GFP}	John Shannon & Jeffrey Whitsett	(Longmire et al., 2012)
Mouse: FoxA2 ^{tm2.1(cre/Esr*)Moon/J}	James Wells	(JAX: 008464)
Mouse: Sox2 ^{tm1.1Lan/J}	James Wells	(JAX: 013093)
Oligonucleotides		
Control Morpholino: CCTCTTACCTCAGTTACAATTTATA	GeneTools	(Nguyen et al., 2005)
X. laevis Gli2 Morpholino: GCACAGAACGCAGGTAATGCTCCAT	GeneTools	(Nguyen et al., 2005)
X. laevis Gli3 Morpholino: TAGTGCTACGGGACTGGGCTTCCAT	GeneTools	(Nguyen et al., 2005)
<i>X. laevis</i> Rab11a Morpholino: TACCCATCGTCGCGGCACTTCTGAC	GeneTools	(Kim et al., 2012)
X. tropicalis CRISPR gRNA tyr. GGAACTGGCCCCTGCAAACATGG	IDTDNA	(Blitz et al., 2013)
X. tropicalis CRISPR gRNA gli3 exon 2 LOF: CGGTAGGGAACTGAGGGTTCAGG	IDTDNA	This study
Genotyping, <i>X. tropicalis gli</i> 3 LOF CRISPR forward: AAGAAACGCCATCACCATGC	IDTDNA	This study
Genotyping, <i>X. tropicalis gli</i> 3 LOF CRISPR reverse: TCCAAGCAGTCCCTAATAGCA	IDTDNA	This study
X. tropicalis CRISPR gRNA gli2 LOF: GGGCTACCGCTGAGAGTTGGGGG	IDTDNA	This study
Genotyping, <i>X. tropicalis gli</i> 2 LOF CRISPR forward: CTGTGCTAATAACCCACATTTCTC	IDTDNA	This study
Genotyping, <i>X. tropicalis gli</i> 2 LOF CRISPR reverse: TGCAGACCCCCACTATCCA	IDTDNA	This study

AAGAAACGCCATCACCATGC		
Genotyping, <i>X. tropicalis gli3</i> LOF CRISPR reverse:	IDTDNA	This study
		This study
X. tropicalis CRISPR gRNA gli2 LOF: GGGCTACCGCTGAGAGTTGGGGG	IDTDNA	This study
	IDTDNA	
Genotyping, <i>X. tropicalis gli2</i> LOF CRISPR forward: CTGTGCTAATAACCCACATTTCTC		This study
Genotyping, X. tropicalis gli2 LOF CRISPR reverse:	IDTDNA	This study
TGCAGACCCCCACTATCCA		
X.tropicalis CRISPR gRNA gli3R PHS:	IDTDNA	This study
GTGCTCTATGAGGTGGAACTGGG		
Genotyping, X. tropicalis gli3R CRISPR forward:	IDTDNA	This study
GCATTGAGTGCATGACATTG		
Genotyping, X. tropicalis gli3R CRISPR reverse:	IDTDNA	This study
CATTCCCATGACAACACAGC		
X. laevis CRISPR gRNA rab11.a exon 1 LOF:	IDTDNA	This study
GAGATTACTCTTCCCCACAC CGG		
Genotyping, X. laevis rab11a.S CRISPR forward:	IDTDNA	This study
ACAGTGTGCATAGAATTTGGCG		
Genotyping, X. laevis rab11a.S CRISPR forward:	IDTDNA	This study
CGTGTGTTGCCTAGAGGGAC		
X. laevis pCS2-EGFP-dnRab11a	Sergei Sokol	(Kim et al., 2012)
Amplification of EGFP-dnRab11a from provided pCS2-	IDTDNA	This study
EGFP-dnRab11, forward:		
ATGGTGAGCAAGGGCGAGGAGCT		

bioRxiv preprint doi: https://doi.org/10.1101/777664; this version posted September 21, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

36

Amplification of <i>EGFP-dnRab11a</i> from provided pCS2- EGFP-dnRab11, reverse: TTAGATGTTCTGACAGCATTGCAT	IDTDNA	This study
Amplification of <i>X. laevis hhex</i> promoter, forward: GCATACAGACCCATGCCAGTG	IDTDNA	(Rankin et al., 2011)
Amplification of <i>X. laevis hhex</i> promoter, reverse: GTCGATTCCTCTTTCCACACTCAG	IDTDNA	(Rankin et al., 2011)
Software and Algorithms		
NIS Elements	Nikon	N/A
IMARIS	Bitplane	N/A
Excel	Microsoft	N/A
Prism	GraphPad	N/A
TIDE	N/A	https://tide.deskgen.
		com
CRISPRScan	N/A	http://www.crisprsca
		n.org
СНОРСНОР	N/A	http://chopchop.cbu.
		uib.no

REFERENCES

Billmyre, K.K., Hutson, M., and Klingensmith, J. (2015). One shall become two: Separation of the esophagus and trachea from the common foregut tube. Developmental dynamics : an official publication of the American Association of Anatomists *244*, 277-288.

Blitz, I.L., Biesinger, J., Xie, X., and Cho, K.W. (2013). Biallelic genome modification in F(0) Xenopus tropicalis embryos using the CRISPR/Cas system. Genesis *51*, 827-834.

Briscoe, J., and Therond, P.P. (2013). The mechanisms of Hedgehog signalling and its roles in development and disease. Nat Rev Mol Cell Biol *14*, 416-429.

Brosens, E., Ploeg, M., van Bever, Y., Koopmans, A.E., H, I.J., Rottier, R.J., Wijnen, R., Tibboel, D., and de Klein, A. (2014). Clinical and etiological heterogeneity in patients with tracheo-esophageal malformations and associated anomalies. European journal of medical genetics *57*, 440-452.

Hines, E.A., and Sun, X. (2014). Tissue crosstalk in lung development. J Cell Biochem *115*, 1469-1477.

loannides, A.S., Henderson, D.J., Spitz, L., and Copp, A.J. (2003). Role of Sonic hedgehog in the development of the trachea and oesophagus. Journal of pediatric surgery *38*, 29-36; discussion 29-36.

loannides, A.S., Massa, V., Ferraro, E., Cecconi, F., Spitz, L., Henderson, D.J., and Copp, A.J. (2010). Foregut separation and tracheo-oesophageal malformations: the role of tracheal outgrowth, dorso-ventral patterning and programmed cell death. Developmental biology *337*, 351-362.

Ivanov, A.I., Nusrat, A., and Parkos, C.A. (2004). Endocytosis of epithelial apical junctional proteins by a clathrin-mediated pathway into a unique storage compartment. Molecular biology of the cell *15*, 176-188.

Johnston, J.J., Olivos-Glander, I., Killoran, C., Elson, E., Turner, J.T., Peters, K.F., Abbott, M.H., Aughton, D.J., Aylsworth, A.S., Bamshad, M.J., *et al.* (2005). Molecular and clinical analyses of Greig cephalopolysyndactyly and Pallister-Hall syndromes: robust phenotype prediction from the type and position of GLI3 mutations. American journal of human genetics *76*, 609-622. Kim, K., Lake, B.B., Haremaki, T., Weinstein, D.C., and Sokol, S.Y. (2012). Rab11 regulates planar polarity and migratory behavior of multiciliated cells in Xenopus embryonic epidermis. Developmental dynamics : an official publication of the American Association of Anatomists *241*, 1385-1395.

Litingtung, Y., Lei, L., Westphal, H., and Chiang, C. (1998). Sonic hedgehog is essential to foregut development. Nature genetics *20*, 58-61.

Longmire, T.A., Ikonomou, L., Hawkins, F., Christodoulou, C., Cao, Y., Jean, J.C., Kwok, L.W., Mou, H., Rajagopal, J., Shen, S.S., *et al.* (2012). Efficient derivation of purified lung and thyroid progenitors from embryonic stem cells. Cell Stem Cell *10*, 398-411.

Macia, E., Ehrlich, M., Massol, R., Boucrot, E., Brunner, C., and Kirchhausen, T. (2006). Dynasore, a cell-permeable inhibitor of dynamin. Developmental cell *10*, 839-850.

Mahlapuu, M., Enerback, S., and Carlsson, P. (2001). Haploinsufficiency of the forkhead gene Foxf1, a target for sonic hedgehog signaling, causes lung and foregut malformations. Development *128*, 2397-2406.

Minoo, P., Su, G., Drum, H., Bringas, P., and Kimura, S. (1999). Defects in tracheoesophageal and lung morphogenesis in Nkx2.1(-/-) mouse embryos. Developmental biology *209*, 60-71. Motoyama, J., Liu, J., Mo, R., Ding, Q., Post, M., and Hui, C.C. (1998). Essential function of Gli2 and Gli3 in the formation of lung, trachea and oesophagus. Nature genetics *20*, 54-57. Nguyen, V., Chokas, A.L., Stecca, B., and Ruiz i Altaba, A. (2005). Cooperative requirement of

the Gli proteins in neurogenesis. Development 132, 3267-3279.

Ossipova, O., Chuykin, I., Chu, C.W., and Sokol, S.Y. (2015). Vangl2 cooperates with Rab11 and Myosin V to regulate apical constriction during vertebrate gastrulation. Development *142*, 99-107.

Que, J. (2015). The initial establishment and epithelial morphogenesis of the esophagus: a new model of tracheal-esophageal separation and transition of simple columnar into stratified squamous epithelium in the developing esophagus. Wiley interdisciplinary reviews Developmental biology *4*, 419-430.

Que, J., Okubo, T., Goldenring, J.R., Nam, K.T., Kurotani, R., Morrisey, E.E., Taranova, O., Pevny, L.H., and Hogan, B.L. (2007). Multiple dose-dependent roles for Sox2 in the patterning and differentiation of anterior foregut endoderm. Development *134*, 2521-2531.

Rankin, S.A., Han, L., McCracken, K.W., Kenny, A.P., Anglin, C.T., Grigg, E.A., Crawford, C.M., Wells, J.M., Shannon, J.M., and Zorn, A.M. (2016). A Retinoic Acid-Hedgehog Cascade Coordinates Mesoderm-Inducing Signals and Endoderm Competence during Lung Specification. Cell reports *16*, 66-78.

Rankin, S.A., Kormish, J., Kofron, M., Jegga, A., and Zorn, A.M. (2011). A gene regulatory network controlling hhex transcription in the anterior endoderm of the organizer. Developmental biology *351*, 297-310.

Rankin, S.A., Thi Tran, H., Wlizla, M., Mancini, P., Shifley, E.T., Bloor, S.D., Han, L., Vleminckx, K., Wert, S.E., and Zorn, A.M. (2015). A Molecular atlas of Xenopus respiratory system development. Developmental dynamics : an official publication of the American Association of Anatomists *244*, 69-85.

Shaw-Smith, C. (2006). Oesophageal atresia, tracheo-oesophageal fistula, and the VACTERL association: review of genetics and epidemiology. Journal of medical genetics *43*, 545-554. Sterner, Z.R., Rankin, S.A., Wlizla, M., Choi, J.A., Luedeke, D.M., Zorn, A.M., and Buchholz, D.R. (2019). Novel vectors for functional interrogation of Xenopus ORFeome coding sequences. Genesis, e23329.

Tabler, J.M., Rigney, M.M., Berman, G.J., Gopalakrishnan, S., Heude, E., Al-Lami, H.A., Yannakoudakis, B.Z., Fitch, R.D., Carter, C., Vokes, S., *et al.* (2017). Cilia-mediated Hedgehog signaling controls form and function in the mammalian larynx. Elife *6*.

Trisno, S.L., Philo, K.E.D., McCracken, K.W., Cata, E.M., Ruiz-Torres, S., Rankin, S.A., Han, L., Nasr, T., Chaturvedi, P., Rothenberg, M.E., *et al.* (2018). Esophageal Organoids from Human Pluripotent Stem Cells Delineate Sox2 Functions during Esophageal Specification. Cell Stem Cell *23*, 501-515 e507.

Vokes, S.A., Ji, H., Wong, W.H., and McMahon, A.P. (2008). A genome-scale analysis of the cis-regulatory circuitry underlying sonic hedgehog-mediated patterning of the mammalian limb. Genes & development 22, 2651-2663.

Vu, T.H., and Werb, Z. (2000). Matrix metalloproteinases: effectors of development and normal physiology. Genes & development *14*, 2123-2133.

Walton, K.D., Kolterud, A., Czerwinski, M.J., Bell, M.J., Prakash, A., Kushwaha, J., Grosse, A.S., Schnell, S., and Gumucio, D.L. (2012). Hedgehog-responsive mesenchymal clusters direct patterning and emergence of intestinal villi. Proc Natl Acad Sci U S A *109*, 15817-15822. Wang, H., Qiu, Z., Xu, Z., Chen, S.J., Luo, J., Wang, X., and Chen, J. (2018). aPKC is a key polarity determinant in coordinating the function of three distinct cell polarities during collective migration. Development *145*.