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2	Title: Novel truncating mutations in CTNND1 cause a dominant craniofacial and cardiac syndrome
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38 Keywords. *CTNND1;* p120-catenin; craniofacial, cardiac; blepharocheilodontic syndrome; cleft lip
 39 and palate; hypodontia; neural; larynx; *Xenopus;* mouse

40

### 41 Abstract:

42 CTNND1 encodes the p120-catenin (p120) protein, which has a wide range of functions, 43 including the maintenance of cell-cell junctions, regulation of the epithelial-mesenchymal transition 44 and transcriptional signaling. Due to advances in next generation sequencing, CTNND1 has been 45 implicated in human diseases including cleft palate and blepharocheilodontic syndrome (BCD) albeit 46 only recently. In this study, we identify eight novel protein-truncating variants, six de novo, in 47 thirteen participants presenting with craniofacial dysmorphisms including cleft palate and 48 hypodontia, as well as congenital cardiac anomalies, limb dysmorphologies and neurodevelopmental 49 disorders. Using conditional deletions in mice as well as CRISPR/Cas9 approaches to target CTNND1 50 in Xenopus, we identified a subset of phenotypes that can be linked to p120-catenin in epithelial 51 integrity and turnover, and additional phenotypes that suggest mesenchymal roles of CTNND1. We 52 propose that CTNND1 variants have a wider developmental role than previously described, and that

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variations in this gene underlie not only cleft palate and BCD but may be expanded to a broader
velocardiofacial-like syndrome.

55

#### 56 Introduction

57 Genetic variation in CTNND1, which encodes for the armadillo-repeat protein p120-catenin 58 (p120), is associated with human birth defects, most notably non-syndromic cleft palate and 59 blepharocheilodontic (BCD) syndrome, which involves eyelid, lip and tooth anomalies [MIM: 617681]<sup>1-3</sup>. In contrast, CTNND1 has broader developmental roles in animal models. For example, 60 conditional deletions in mice demonstrate the importance of CTNND1 for development not only for 61 skin and teeth, but also for kidneys and other structures<sup>4-10</sup>, and complete deletion of *CTNND1* leads 62 to prenatal lethality<sup>5,9</sup>. Similarly, loss-of-function experiments in *Xenopus* implicate *CTNND1* in 63 craniofacial development<sup>11,12</sup>. Here, we describe a series of patients with *CTNND1* variants, all of 64 65 whom present with multisystem involvement that demonstrates a broad spectrum craniofacial and 66 cardiac syndrome.

67 p120-catenin is a member of the catenin superfamily of proteins studied in catenin-cadherin interactions; notably, it binds to and stabilizes E-cadherin (CDH1) at junctional complexes in 68 epithelia<sup>13-17</sup>. This binding is via the p120-catenin armadillo repeat domain, and displacement of 69 70 p120-catenin from E-cadherin is a key regulatory event at the adherens junction, that results in 71 endocytosis of E-cadherin and loss of the junction. The protein has a second function as a scaffolding protein for the GTPase RhoA and associated Rho regulatory proteins<sup>18,19</sup>. In addition, it can also 72 73 directly interact with the zinc finger transcriptional repressor Kaiso (ZBTB33), facilitating Wnt signal transduction<sup>20,21</sup>. Thus, p120-catenin appears to be a multi-functional protein, promoting epithelial 74 75 stability when in complex with E-cadherin, and regulating RhoA and transcriptional activities. p120-76 catenin is also able to associate with mesenchymal cadherins such as N-cadherin and cadherin-11<sup>17,22</sup>. In mesenchymal cells, p120-catenin associates with non-epithelial cadherins, regulating 77 78 motility and invasion via cytoskeletal events and transcription. Given its functions in both epithelia

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and mesenchyme, it is unsurprising that both loss and gain of p120-catenin have been associated
 with oncogenesis<sup>23-25</sup>.

In humans, the *CTNND1* gene is located at 11q11 and consists of 21 exons, of which exons
11, 18 and 20 are alternatively spliced. Inclusion of exon 11, which is predominantly neural, disrupts
a nuclear localization signal (NLS), while exon 20 contains a nuclear export signal (NES)<sup>26</sup>. In addition,
there are four additional isoforms of the protein, which vary in their transcriptional start sites. Of the
four major isoforms, isoform 1 is abundant in mesenchymal cells, while isoform 3 appears
preferentially expressed in epithelial cells<sup>27-30</sup>. The other two isoforms are less well characterized.

87 The p120 superfamily includes p120-catenin itself,  $\delta$ -catenin (CTNND2) and ARVCF 88 (armadillo repeat gene deleted in velocardiofacial syndrome) all of which can compete for E-89 cadherin binding. Although it is unclear whether they substitute for one another in other cellular 90 functions<sup>31,32</sup>, evidence from animal studies suggests some compensatory roles. For instance,  $\delta$ -91 catenin (CTNND2) knockdown phenotypes can be rescued with p120-catenin, and the combined 92 depletion of  $\delta$ -catenin and p120 generates more pronounced effects. However, levels of p120 are not altered by reducing  $\delta$ -catenin protein levels<sup>33</sup>. In humans, *CTNND2* variants have been associated 93 with autism spectrum disorders and other neurodevelopmental conditions<sup>34-39</sup>. Interestingly, the 94 95 other p120 family member, ARVCF, lies in 22q11. While loss of TBX1 in 22q11 is thought to cause the 96 key malformations associated with velocardiofacial (VCF) syndrome [MIM: 192430], evidence from animal models suggests that ARVCF may also play a role in craniofacial development<sup>40-43</sup>. 97

Although both p120-catenin and its binding partner E-cadherin have been proposed as causative genes in non-syndromic palatal clefting and BCD syndrome<sup>1-3</sup>, the patients that we describe here present with a multisystem condition broader than the previously described p120associated BCD cases. While our patients consistently possess palatal phenotypes (cleft or high arched palate) as well as eyelid and tooth anomalies, they also display additional features including severe hypodontia, cardiac, limb and neurodevelopmental anomalies. We hypothesize that these novel truncating variants in *CTNND1* affect both E-cadherin-dependent and -independent functions

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of p120-catenin, and, given the range of phenotypes seen in our cohort, should be considered morebroadly to cause a VCF-like syndrome.

107

108 Subjects and Methods

### 109 Recruitment, consent and sample collection

110 Participants were recruited from one of following: South Thames Cleft Unit at Guy's and St 111 Thomas Trust (GSTT), London, UK; the University of Calgary, Alberta Children's Hospital, Canada; 112 from the Children's Hospital of Philadelphia, USA; or, from the Deciphering Developmental Disorders 113 (DDD) Study, United Kingdom (www.ddduk.org). CTNND1 data access was specifically collected 114 under DDD Project CAP180, focusing on cranial neural crest anomalies (ABM/KJL). All individual 115 study protocols were approved by local Institutional Review Boards, including UK Ethics: GSTT 116 (REC16/NI/0026, Northern Ireland REC), DDD (10/H0305/83, Cambridge South REC, and GEN/284/12, 117 Republic of Ireland REC).

Medical and dental histories were taken, as well as detailed phenotyping by clinical geneticists with expertise in dysmorphology. Saliva for DNA extraction was collected from family trios using the Oragene® DNA (OG-500) kit. All patients also underwent high-resolution analysis for copy number abnormalities using array-based comparative genomic hybridization. Informed consent from all participants was obtained for publication of data and photographs in the medical literature. All families were offered genetic counseling.

124

#### 125 Exome sequencing and variant screening

Exome sequencing from trios was performed to identify gene variants. For patients recruited from DDD<sup>44</sup>, genomic DNA samples from trios were analysed at the Wellcome Trust Sanger Institute. Exome sequencing was performed using a custom Agilent SureSelect Exome bait design (Agilent Human All Exon V3 Plus with custom ELID # C0338371), 8-plex sample multiplexing and an Illumina HiSeq with 4 samples per lane and a mean depth of 50X. The exome analysis targeted 58.62 Mb of

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131 which 51.64 Mb consisted of exonic targets (39 Mb) and their flanking regions and 6.9 Mb consisted 132 of regulatory regions. Alignment was performed using BWA1. Putative de novo variants were 133 identified from trio BAM files using DeNovoGear5. Variants were annotated with the most severe 134 consequence predicted by Ensembl Variant Effect Predictor (VEP version 2.6), and minor allele 135 frequencies from a combination of the 1000 Genomes project (www.1000genomes.org), UK10K 136 (www.uk10k.org), the NHLBI Exome Sequencing Project (esp.gs.washington.edu), Scottish Family 137 Health Study (www.generationscotland.org), UK Blood Service and unaffected DDD parents. All 138 flagged variants were automatically annotated with pathogenicity scores from two variant 139 prioritisation algorithms (SIFT23 and PolyPhen24) and compared against the public Human Gene 140 Mutation Database (HGMD) and the Leiden Open Variation Database (LOVD). For selected probands, 141 Exome sequencing performed at the Yale Center for Genomic Analysis used genomic DNA isolated 142 from saliva from the probands and their parents. The exons and their flanking regions of the genome 143 were captured using IDT xGen exome capture kit followed by Illumina DNA sequencing (HiSeq 4000). 144 Paired end sequence reads were converted to FASTQ format and were aligned to the reference 145 human genome (hg19). GATK best practices were applied to identify genetic variants, and variants 146 were annotated by ANNOVAR. Probands and parents were sequenced to a mean depth of 93-123 147 independent reads per targeted base across all the samples. In an average of 94.0% of targeted 148 bases in all of the samples, the coverage was greater than 20X independent reads. Trio exome 149 sequencing analysis on variants with allele frequency of less than 1% was carried out to identify de 150 novo variants that are absent from the parents. Putative disease-causing variants were validated 151 using whole genome amplified DNA, PCR and capillary sequencing.

152

#### 153 Mouse and Xenopus husbandry

Animal work was performed in accordance with UK Home Office Project License P8D5E2773 at King's College London (KJL), University of Texas Southwestern Medical Center Institutional Animal Care and Use Committee protocols (DKM), the European *Xenopus* Resource Centre, Portsmouth UK,

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157 or the Yale University Institutional Animal Care and Use Committee protocols (MKK). Mice were 158 genotyped according to standard procedures. Gestational ages for mice were determined by the 159 observation of vaginal plugs, which was considered embryonic day 0.5 (E0.5) and further staging of animals according to Kaufman<sup>45</sup>. The following mouse strains were used: *Ctnnd1*<sup>fi/fi</sup> (MGI ID: 160 3640772)<sup>8</sup>; *B-actin::cre* (JAX strain 019099)<sup>46</sup>; and *Wnt1::cre* (JAX strain 022501)<sup>47</sup>. For each mouse 161 162 experiment, a minimum of n=3 was examined unless otherwise noted. X. tropicalis embryos were 163 produced by in vitro fertilization and raised to appropriate stages in 1/9MR + gentamycin as per standard protocols<sup>48</sup>. For *Xenopus* experiments, experimental numbers are stated in figures, with a 164 165 minimum of n=30 in all experimental conditions.

166

#### 167 Human specimens

Human embryonic and fetal material was provided by the Joint MRC/Wellcome Trust (grant # 099175/Z/12/Z) Human Developmental Biology Resource (HDBR, http://www.hdbr.org) as whole embryos (Carnegie stage 13 (C13, day 28-32)) or sectioned embryos (Carnegie stage 21 (C21, day 50-52)).

172

#### 173 Generation of CTNND1 probe and mRNA in situ hybridization

A human CTNND1 clone was identified from the Human ORFeome Collaboration<sup>49</sup> (clone 174 175 HsCD00513511), encoding CTNND1 isoform 4, including the entirety of the armadillo repeats and the 176 C-terminal domain. Probes made from this clone should recognize all four CTNND1 transcripts. 177 Digoxigenin-labeled antisense mRNA probes were produced by linearizing human CTNND1 clones 178 using BamH1 restriction enzyme, which produces a probe size of ~900 base pairs, and in vitro 179 transcription with the T7 High Yield RNA Synthesis Kit (E2040S) from New England Biolabs. In situ 180 hybridization of mRNA on whole mount and paraffin embedded tissue sections was carried out as per standard protocols<sup>50</sup>, using an anti-digoxigenin-alkaline phosphatase coupled antibody. 181

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#### 183 Immunofluorescent antibodies and staining

184 For immunostaining, mouse embryos at the indicated stages were fixed and processed 185 according to standard protocols. Antigen retrieval was carried out in Tris-EDTA (pH 9) in a 90°C 186 water-bath for 30 minutes. Primary antibodies used were: phospho-tyrosine p120-catenin clone 187 2B12, mouse mAb (1:150, Biolegend, Cat. No. 828301); delta 1 Catenin/CAS (phospho S-268) 188 antibody [EPR2380], rabbit mAB (1:150, Abcam, Cat. No. ab79545); E-Cadherin [M168], mouse mAB 189 (1:150, Abcam, Cat. No. ab76055); anti-E-cadherin (24E10), rabbit mAb (1:250, Cell Signaling 190 Technology, Cat. No. 3195); rabbit anti-Pax2 Antibody (1:100, ThermoFisher Scientific, Cat. No. 71-191 6000); and mouse anti-Collagen Type II, clone 6B3 (1:50, MERCK, Cat. No. MAB8887). Secondary 192 antibodies used were: Alexa Fluor® 488 (Invitrogen, A-11008), Alexa Fluor® 488 (Invitrogen, A-193 21204), Alexa Fluor<sup>®</sup> 546 (Invitrogen, A-11060), Alexa Fluor<sup>®</sup> 568 (Invitrogen, A-11011), Alexa Fluor<sup>®</sup> 194 594 (Invitrogen, A-21207), Alexa Fluor<sup>®</sup> 647 (Invitrogen, A-21235). All were diluted to 1:400 in 195 phosphate-buffered saline (PBS) containing 0.5% Triton® X-100 (Sigma-Aldrich) and 1% bovine serum 196 albumin. Slides were mounted in Fluoroshield Mounting Medium with DAPI (Abcam, ab104139) and 197 cover slipped. Xenopus whole mount embryos and tadpoles were incubated with Hoechst (1:5000 of 198 20mg/ml, diluted in PBST). For hematoxylin and eosin (H&E) staining, slides were fixed, sectioned 199 and stained according to standard protocols. Slides were then cover slipped with Neo-Mount (VWR, 200 Cat. No. 1.09016.0500).

201

#### 202 Image acquisition

Images for sectional *in situ* hybridization experiments and for H&E slides were captured using a brightfield microscope (Nikon ECLIPSE Ci-L), with an attached camera (Nikon digital sight DS-Fi1) or with a NanoZoomer 2.ORS Digital Slide Scanner (Hamamatsu); NDP.view2 Viewing Software (U12388-01) was used to analyze the scanned images. Whole mount images of mouse pups and embryos, *Xenopus* and human embryos were captured using a Nikon SMZ1500 stereomicroscope with a Nikon digital sight DS-Fi1 (112031) camera. Fluorescent images of mouse palates and *Xenopus* 

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209 epithelial cells were either acquired on a Leica SP5 confocal or Nikon A1R point scanning confocal; z210 stacks of whole mount *Xenopus* tadpoles were captured by mounting the tadpoles on a Cellview Cell
211 Glass Bottom Culture Dish (PS, 35/10 mm, CELLview<sup>™</sup>, Cat. No. 627860) in PBS. Image sequences
212 were processed using the FIJI (Image J) analysis software.

213

# 214 Micro-computed tomography (µCT)

215 For soft tissue scanning, mouse embryos were stained with a near isotonic 1% I2, 2% 216 potassium iodine solution for 3 days and scanned to produce 6um voxel size volumes, using X-ray 217 settings of 90kVp, 66uA and a 0.5 mm aluminium filter to attenuate harder X-rays. Camera binning 218 was used to improve signal to noise ratios. For hard tissue staining, perinatal mice were scanned to 219 produce 7.4um voxel size volumes using X-ray settings of 70kVp, 114uA and a 0.5 mm aluminium 220 filter to attenuate harder X-rays. The specimens were analysed using Parallax Microview software 221 package (Parallax Innovations Inc., Ilderton, ON Canada). Specimens were scanned using a Scanco 222 µCT50 microcomputed tomographic scanner (Scanco, Brüttisellen, Switzerland). The specimens were 223 immobilised in appropriately sized scanning tubes using cotton gauze.

224

## 225 CRISPR/Cas9 knockouts in Xenopus tropicalis

The following non-overlapping single guide RNAs (sgRNAs) were designed to target *Xenopus tropicalis ctnnd1*: sgRNA1 - CTAGCtaatacgactcactataGGAACGGGTGTGGGAGCCATgttttagagctagaa; sgRNA2 - CTAGCtaatacgactcactataGGGGTGGTATCCCACGCAAGgttttagagctagaa. sgRNA1 targets exon 3 and is thus predicted to disrupt isoform 1 only, while sgRNA2 targets exon 7 and is thus predicted to disrupt all four isoforms. Embryos were injected at the one or two cell stage and raised until indicated stages. For CRISPR/Cas9 experiments, statistical significance was defined as P<0.05 and analysed by chi-squared test or Fisher's exact test.

233

234 Results

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## 235 Identification of CTNND1 variants

236 Here, we identify 13 individuals with protein-truncating variants in CTNND1. Previously, all 237 patients had undergone an array-based comparative genome hybridization analysis with normal 238 results. A subset of patients had also been referred for other diagnostic tests, including 22q11 239 deletion, Down syndrome, CHARGE syndrome (CHD7 sequencing), Noonan syndrome (PTPN11 240 sequencing) and other conditions, but with no definitive diagnoses. Exome sequencing of the 241 patients revealed eight novel variants in CTNND1, including six confirmed de novo variants (in 7 242 patients). Two individuals inherited their variant from affected parents while two other participants 243 inherited a variant from a parent with a mild phenotype (Figure 1A). These truncating mutations 244 included nonsense, splicing and frameshift variants (Table 1).

CTNND1 variants identified could be grouped according to the overall structure of the protein (Figure 1B). One variant falling within the N-terminal regulatory region was identified in Patient 1. Patient 1 has a *de novo CTNND1* c.443\_444delTG (p.Val148Aspfs\*24) mutation in exon 6. Targeted sequencing for this variant was carried out on the affected daughter (Patient 2), which segregates with the phenotypes in the family.

250 Four variants fell within the armadillo repeats, which are predicted to be crucial for 251 interactions with E-cadherin. Two unrelated individuals (Patients 3 and 4) both had a de novo 252 mutation in CTNND1: c.1381C>T (p.Arg461\*) (Figure 1A-B). This variant results in a nonsense 253 substitution and creates a stop codon in exon 7. In addition, Patient 3 had a rare variant in CTNND1, inherited paternally c.943C>T (p.Arg315Cys), which is present at a frequency of 2x10<sup>-4</sup> in reference 254 populations<sup>51</sup>. As the parent shares none of the phenotypes with the patient, this second variant is 255 256 unlikely to be causative. Patient 5 had a CTNND1 variant c.2389C>T (p.Arg797\*) on exon 15. A 257 CTNND1 frameshift variant c.1481\_1485del (p.Leu494Argfs\*5) in exon 8 was identified in a mother 258 and child; both are affected (Patients 6 and 7, respectively). In the same exon, Patient 8 had a 259 CTNND1 variant c.1594del (p.Gly532Alafs\*6).

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We found three variants affecting the C-terminal domain, present in five patients in three families. The variant c.2598\_2601dupTGAT (p.Ser868\*) was paternally inherited in a family with two affected siblings (Patients 9 and 10). The father is fit and healthy; however, his palate is narrow and high, and his nose is prominent. Patient 11 has a *de novo CTNND1* variant at the splice acceptor site of exon 19 designated as c.2702-5A>G, which is predicted to create a cryptic splice site, leading to a premature termination codon at the start of exon 19. Finally, Patients 12 and 13 are monozygotic twins carrying a *de novo* frameshift variant in *CTNND1*: c.2737dupC (p.His913Profs\*3).

267

## 268 Clinical presentation of patients with CTNND1 variants

Clinical phenotypes are summarized in (Table 2), and further details can be found in (Table Clinical phenotypes are summarized in (Table 2), and further details can be found in (Table Photographs from participants show a number of shared craniofacial and oral features (Figure 2 and Figure 3, respectively) as well as other affected structures (eyes, ears and limbs (Figure S1)). Additional features including heart anomalies and neurodevelopmental conditions are noted in (Table 2 and Table S1).

274 Participants shared several distinctive eye features including short, up-slanted palpebral 275 fissures (9/13), hooded eyelids (8/13), telecanthus (7/13), highly arched (8/13) and thin lateral 276 eyebrows (8/13) and other eyelid anomalies such as nasolacrimal obstructions (1/13). These eye 277 anomalies were clear from a young age (Figure S1A). A subset had ectropion (drooping lower eyelids, 278 4/13) and distichiasis (double eyelashes, 4/13). Many individuals had wide nasal bridges (11/13) with 279 broad nasal tips (7/13), choanal atresia (4/13), either unilateral or bilateral atresia; malar flattening 280 (mid-face hypoplasia) (9/13); mandibular prognathism (5/13); thin upper lips (7/13) and auricular 281 abnormalities (9/13), particularly low-set ears and overfolded helices (Figure S1B).

Phenotypes with high penetrance involved oropharyngeal abnormalities including cleft lip and/or palate (CLP) (8/13), high-arched palate (7/13) or a combination of cleft and high-arched palate (Figures 3A-3D). A range of cleft sub-types was seen (Table S1). In addition, one participant

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had velopharyngeal insufficiency (VPI) and a bifid uvula. Of interest, three individuals presented with
 vocalization defects causing stridor and hoarseness or nasal speech.

Upon dental examination, all subjects were found to have intra-oral anomalies (Figure 3). In particular, congenital tooth agenesis (hypodontia) was frequently seen, with eight subjects missing between three and twelve adult teeth (Figure 3G-L; Table S2). Other anomalies included retained primary teeth and delayed eruption of the permanent teeth (6/13) (Table S1). Morphologic tooth anomalies were present, including diminutive permanent teeth/peg-shaped lateral incisors and fissured crowns of the permanent central and lateral incisors (Figures 3E-F; Table S1).

293 Beyond the craniofacial structures, the majority of the participants had limb and heart 294 anomalies. Mild limb phenotypes (9/13) were present, including shorter fifth fingers, single 295 transverse palmar crease, mild syndactyly between the 2,3 toes, sandal gaps and camptodactyly of 296 the toes (Figure S1C). Congenital cardiac defects, which have not previously been associated with 297 CTNND1 variants, consistently occurred in our cohort. Six subjects had cardiovascular anomalies 298 including tetralogy of Fallot, hypoplastic aortic arch, coarctation of the aorta, ventricular septal 299 defect, atrial septal defect, mitral valve stenosis, patent ductus arteriosus and patent foramen ovale 300 (Table 2 and Table S1). Finally, in addition to the craniofacial and cardiac anomalies, individuals 301 presented with other phenotypes that added to the complexity of their conditions. Developmental 302 delay and other neurodevelopmental problems were also observed (8/13). These often appeared 303 from early toddler and school years and included mild learning difficulties, autism spectrum disorder, 304 speech and language delay, and behavioral problems (Table S1). One individual was diagnosed with 305 ovarian dysgerminoma stage III in the left ovary at the age of 12 years, which was treated with left 306 oophorectomy followed by chemotherapy. Other infrequent anomalies included urogenital 307 problems, scoliosis and partial agenesis of the corpus callosum (Table S1).

308

309 P120 is expressed during human embryonic development

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Although *P120* mRNA expression patterns have recently been documented during human and mouse palate development<sup>3</sup>, less is known about expression in the pharyngeal arch stages, which are likely to be important given the range of patient phenotypes. Therefore, we carried out mRNA *in situ* hybridization on human embryos using a probe that binds to all four *CTNND1* mRNA transcripts.

At Carnegie stage 13 (CS13), we found expression at multiple sites within the developing head, including the frontonasal processes, the forebrain, midbrain and rhombomeres (Figure 4B-4C). Robust expression was also detected in the maxillary and mandibular processes of the first pharyngeal arch (PA1), the second and third pharyngeal arches (PA2 and PA3, respectively) as well as in the proximal domains of the upper and lower limb buds (Figure 4A-4B). Signal was also weakly detected in the somites; however, strong expression was seen in the developing heart, trigeminal ganglion and the 10<sup>th</sup> cranial nerve (Figure 4A-4B).

322 By Carnegie stage 21, CTNND1 mRNA was expressed in the brain (data not shown), tooth 323 bud (Figure 4E), the epithelial lining of the tongue and oral cavity and in the tongue mesenchyme 324 (Figure 4D). Expression was particularly strong in the intrinsic muscles of the tongue: the superior 325 longitudinal and transversal muscles and in the extrinsic genioglossus muscle (Figure 4D). Moreover, 326 expression was evident in the dorsal epithelial lining of the developing palatal shelves (Figure 4F). In 327 the heart, P120 expression was found in cardiomyocytes of the ventricular wall and interventricular 328 septum, in addition to strong expression in the endocardium (Figure 4G). Expression was also found 329 in the intrinsic epithelial lining of the stomach wall; both in the pyloric part of the stomach and in the 330 inner walls of the stomach body, the pancreatic islets, the germinal center of the spleen, the 331 epithelial lining of the bladder, hindgut and in the spinal cord and vertebral body (Figure S2).

332

## 333 Expression of phosphorylated p120-catenin predicts fusion of the palatal seam

Because all of our participants had either cleft palate or associated palatal anomalies, we also assessed p120-catenin expression during palatal fusion in the mouse, which occurs from

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336 embryonic day 12.5 (E12.5) to E15.5 (Figure 5A-5D). To examine this, we used two antibodies 337 recognizing phosphorylated forms of p120-catenin: a tyrosine-phosphorylated form, or 338 phosphorylation at serine 268 (pS-268), which is proposed to trigger disruption of epithelial cadherin-catenin complexes<sup>52,53</sup>. Neither of these forms of p120-catenin had been previously 339 340 analyzed in the palate. In palatal cross-sections at E14.5, the medial epithelial seam (MES) is evident 341 (Figure 5B), followed a few hours later with dissolution of the seam at E14.75 (Figure 5C). While Ecadherin is expressed as expected in the MES<sup>54</sup> (Figure 5F, J), the two forms of p120-catenin show 342 343 very distinctive distributions. As the seam undergoes EMT, at E14.5, pS-268 is strongly expressed as 344 predicted in cell-cell interfaces of the periderm layer along the medial seam, clearly co-localising 345 with E-cadherin (Figure 5E-5F). As the seam degrades, E-cadherin expression is lost while p120-346 catenin expression remains (Figure 5G-5H, white arrowheads). To our surprise, we find phospho-347 tyrosine p120 staining in both the mesenchymal and the epithelial cells, with a clear enrichment 348 marking the border between the epithelial and mesenchymal populations (Figure 5I-5J, pink 349 arrowheads). This distribution appears unique to this stage of palate formation consistent with 350 reports that p120-catenin is tyrosine phosphorylate in an EGFR-dependent manner<sup>55</sup>, and continues 351 during degradation of the seam while E-cadherin expression decreases (Figure 5K-5L, pink 352 arrowheads). As a control, in earlier stages (E11-12.5), the phospho-tyrosine expression is much 353 lower and nearly identical to the pS-268 staining (data not shown).

354

# 355 Heterozygous loss of p120-catenin leads to structural changes in the laryngeal apparatus

Some of our participants presented with anomalies associated with dysfunction of their velopharyngeal muscles and voice irregularities (Table S1 and Table 2), a phenotype described in patients with velocardiofacial syndrome<sup>56-58</sup>. Antibody staining confirmed presence of p120-catenin protein during development of the laryngeal and pharyngeal tissues in the mouse (Figure S3A). We then examined the laryngeal structures of mutant mice compared to their littermate controls at E16.5, P1 and P2.5 (Figure 6). To do this, we crossed a mouse carrying the ubiquitous  $\beta$ -actin::cre

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driver with *Ctnnd1<sup>fl/fl</sup>* mice in order to generate heterozygous mutants<sup>59,60</sup> (Figure 6C, 6H, 6M, 6R). Because we previously showed that the vocal ligaments originated from the neural crest<sup>61</sup>, we also generated tissue-specific *Ctnnd1* heterozygotes using the neural crest specific driver, *Wnt1::cre<sup>62</sup>* (Figure 6E, 6J, 6O). We found identical laryngeal anomalies in the heterozygous mutants in both mouse crosses, confirming the neural crest-specificity of these phenotypes.

Specifically, in control *Ctnnd1*<sup>fl/+</sup> mice, the palatopharyngeus (PLP) muscle, which elevates 367 368 the larynx, is well defined and runs uniformly perpendicular to the epiglottis thereby attaching to 369 the superior pharyngeal constrictor muscle (SPC) on either side (Figure 6A, 6B and 6D). On the other 370 hand, the PLP and the SPC were both severely disorganized in both sets of heterozygous mice with 371 an apparent increase in the cranio-caudal thickness of the PLP muscle (Figure 6C and 6E). Second, a 372 striking phenotype known as laryngeal webbing was observed (compare controls, Figure 6G, 6I, 6Q 373 to mutants Figure 6H, 6J, 6R). Typically, the bilateral vocal cords are parallel and meet at the midline 374 (Figure 6F-6G, with inset schematized and shown in 6P and 6Q). The outer layer of the vocal fold is 375 made of an epithelium that encapsulates the lamina propria comprising the vocal ligaments (Figure 376 6P and 6Q). These two layers function as the vibratory components for phonation and oscillation. 377 Instead, in heterozygous mutant mice, the vocal ligaments show only a brief contact point between 378 the opposing epithelia (Figure 6H, with inset schematized and shown in 6R and 6S). The vocal cords 379 are also thinner, lacking the lamina propria (Figure 6R). Laryngeal webbing was also seen in the 380 Wnt1::cre heterozygotes (Figure 6J) compared to their littermate controls (Figure 6I).

While the vestibular folds were well demarcated and the ligaments within them clearly defined in controls (Figure 6G), the vestibular folds in the heterozygous mice were ectopically fused and the ligaments sparse and dispersed (Figure 6H). Caudally, where the vestibular folds surrounded the normal corniculate cartilage (COC) (Figure 6 K, L); the folds have separated in the *Ctnnd1* heterozygotes, albeit hypoplastic (Figure 6M). Similarly, the COC appeared hypoplastic and devoid of the underlying lamina propria (Figure 6M). Finally, in mutants, the muscles were ectopically fused to the levator veli palatini muscles, which were then fused to the cranial base (Figure 6M). This, in turn,

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388 gave the impression of a high-arched epiglottal area; a defect also found in the *Wnt1::cre*389 heterozygous mutants (Figure 6O).

We also explored other craniofacial phenotypes in our heterozygous mouse model.
Compared to their littermate controls (Figure S3B, a-e), mutant mice did not show any cleft lip
(Figure S3B, f), face or limb dysmorphologies (Figure S3B, f-h) or cleft palate (Figure S3B, i) (n=12).
This was confirmed by micro-computed tomography (μCT) to check for associated bony defects
(n=6) (Figure S3B, j).

395

## 396 **P120-catenin isoform 1 function is required in multiple organ systems**

397 While genetic mutation of *p120-catenin* in mouse models revealed a role for the neural crest 398 in oropharyngeal development, analysis of multi-system involvement of p120-catenin was difficult due to embryonic lethality of the homozygous null mice<sup>5,9</sup>. We therefore turned to the frog *Xenopus*, 399 where *in vivo* function of p120-catenin has been well studied<sup>11,12,63</sup>. Previous analyses of p120-400 401 catenin requirements were mainly performed with antisense morpholino oligonucleotide (MO) 402 knockdowns, which transiently prevent protein translation<sup>11</sup>. Instead, to create genetic mutants, we 403 used CRISPR/Cas9 approaches, allowing us to specifically delete different p120-catenin isoforms<sup>64</sup>. 404 As noted in the introduction, isoform 1 (full length at 968 amino acids (aa)) is most abundant in mesenchymal cells, while isoform 3 (start at aa 102) is preferentially expressed in epithelial cells<sup>27-30</sup>. 405

406 Isoforms 2 and 4, which start at 55 aa and 324 aa, respectively, are less well characterized.

Embryos were injected at the one cell stage with single guide RNAs (sgRNAs) targeting either
of two coding exons, exon 3 or exon 7 (sgRNA1 and sgRNA2 respectively, Figure 7A). Disruptions in
exon 3 are predicted to only affect isoform 1, while sgRNA2 targeting exon 7 disrupt all four isoforms.
When embryos were scored at gastrula stages following sgRNA1 injections, disrupted or
delayed blastopore closure was evident (n=30/42 vs. 2/30 in the controls) (Figure 7B). Furthermore,
we noted severe early lethality (Figure 7D), especially using sgRNA2 which blocked all isoforms

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413 (Figure 7D). Notably, by neurula stages the majority of these mutants died due to a loss of integrity414 in the epithelium (data not shown).

415 Since the most well-established epithelial role for p120-catenin is in complex with E-416 cadherin at cell-cell junctions, we first examined E-cadherin localization in the neurectoderm at 417 stage 11, as gastrulation was concluding. Indeed, in uninjected controls, high levels of p120-catenin 418 and E-cadherin were found co-localized at the cell interface (Figure 7C, a-d). E-cadherin is expressed 419 throughout the cell membrane (Figure 7C, b), whereas p120-catenin, though localized to the cell 420 membrane, appears distributed in puncta (Figure 7C, a). Upon p120-catenin deletion, the expression 421 levels of endogenous E-cadherin in the epithelial cells was diminished particularly at the interface 422 between the cells, leaving only spot-like localization of both proteins at the tricellular junctions of 423 these epithelial cells (Figure 7C, e-h). The residual expression of p120-catenin may be due to 424 maternal loading of the protein, as the CRISPRs should only affect zygotic transcription, or due to 425 mosaicism of the CRISPR deletion.

426 As the sgRNA2 CRISPR was predicted to disrupt all four isoforms and led to severe lethality 427 by neurula stages, the majority of analyses were performed using the sgRNA1 CRISPR, which is 428 predicted to disrupt the predominantly mesenchymal isoform 1. A proportion of the knockout 429 animals survived past the neurula stages, possibly due to mosaicism, and were examined at stage 46 430 to determine whether craniofacial and organ development had occurred normally. We observed 431 obvious craniofacial defects in the CRISPR mutants, including a reduction in the width and height of 432 the head (Figure 7E, I-n), a hypoplastic mouth opening (Figure 7E, m), delayed breakdown of the 433 cement gland (Figure 7E, I-m), heart and gut looping anomalies (Figure 7E, n). Following on from the 434 disorganization of the laryngeal muscles seen in the mouse mutants (Figure 6), antibody staining 435 against Pax2 was used to label the muscle fibers while anti-collagen 2 (col2) antibody labelled 436 craniofacial cartilages in the mutants (Figure 8A, a-h). In control animals, the muscle fibers were 437 well-organised and straight while in the mutants, the muscle morphology appeared disorganized, 438 particularly the rectus abdominus muscle, with muscle striations being replaced by irregularly

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439	shaped fibers (Figure 8A, f-g). Consistent with previous observations (Figure 7), craniofacial
440	cartilages were hypomorphic, and compacted both in the anterior-posterior and dorsal-ventral axes
441	(Figure 8A, a and e). However, morphology of the chondrocytes appeared normal (Figure 8A, d and
442	h).

443 Finally, since the participants (6/13) had a high frequency of congenital heart defects and 444 because p120 is strongly expressed in the heart of human, mouse and frog embryos, we examined the hearts in the CRISPR-knockout tadpoles. Notably, the strong expression of p120 seen in the 445 446 different heart chambers in the control tadpoles was lost when p120 was knocked down (Figure 8B, 447 p). The majority of mutant tadpoles had heart anomalies including heart-looping defects (Figure 7E, 448 n; Figure 8B, n). Notably, E-cadherin is not expressed in the normal heart or the muscles (Figure 8B, 449 I), suggesting that the heart and muscle phenotypes may be manifestations of E-cadherin 450 independent functions of p120.

451

#### 452 Discussion

453 This work expands upon the spectrum of abnormalities associated with CTNND1 variants beyond non-syndromic cleft lip/palate (CLP) and BCD<sup>1-3</sup>. Most notably, we describe in detail 454 455 characteristic craniofacial features including choanal atresia and unusual patterns of hypodontia as 456 well as heart, limb, laryngeal and neurodevelopmental anomalies. We find expression of CTNND1 457 mRNA during development of the pharyngeal arches in human embryos and we define the profile of 458 two phosphorylated forms of p120 in the mouse palate. Finally, genetic approaches in mouse and 459 Xenopus demonstrated novel roles for CTNND1 in the oropharynx, craniofacial cartilages and in the 460 heart. Thus, our data implicate CTNND1 variants as causative of a broad-spectrum syndrome that overlaps with DiGeorge velocardiofacial syndrome as well as other disorders of craniofacial 461 development such as CHARGE and Burn McKeown syndromes<sup>65-68</sup>. All of these syndromes could be 462 463 collectively considered to be neurocristopathies. Notably, the neural crest specific disruption of 464 CTNND1 in our animal models supports this role for CTNND1 as a candidate neurocristopathy gene

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and we suggest that these newly identified variants likely highlight both epithelial and mesenchymalroles for p120-catenin.

467 Prior to our study, the majority of the participants did not have a recognizable or a 468 diagnosed condition when they were recruited. Here, we demonstrate that they collectively share 469 consistent characteristic phenotypic features that suggest that mutations in CTNND1 may lead to a much broader phenotypic spectrum than previously described<sup>1,2</sup>. For instance, low set ears were 470 reported in one case of BCD by Kievit and colleagues<sup>1</sup>; we find multiple participants with auricular 471 472 anomalies particularly the low-set ears and over-folded helices (Figure S1B, Table S1). Similarly, 473 syndactyly was reported in one of the CTNND1 patients described in Ghoumid et al.<sup>2</sup>, and 474 clinodactyly (one patient) and camptodactyly (two patients) were reported by Kievit et al<sup>1</sup>. Again, we 475 find limb anomalies consistently associated with CTNND1 variation (Figure S1C, Table S1). The 476 cardinal features of BCD include ectropion of the lower eyelids, euryblepharon and lagopthalmos<sup>69,70</sup>; while five of our patients showed these eye manifestations (Figure 2; Table 2), we 477 478 also found short up-slanting palpebral fissures, hooded eyelids, high arched eyebrows and 479 telecanthus (Figure S1A, Table 2 and Table S1). As BCD is associated with both CTNND1 and CDH1 (E-480 cadherin) variants, some of these phenotypes may represent distinctive functions of the E-cadherin-481 p120 complex; the majority of these functions could be attributed to a role for the cadherin-catenin in epithelia<sup>71</sup>. 482

Of note, eight individuals had severe hypodontia, including missing permanent canines and first permanent molars, even in those without cleft lip/palate. Thus, missing canines and molars could be classified as a microform cleft anomaly, especially when found in association with higharched palate<sup>72</sup> (Figure 3, I and K; Table S2).

Beyond the known phenotypes associated with *CTNND1* and *CDH1*, we note the novel phenotypes seen in our patients, which include the heart anomalies and behavioral disorders. These have not been reported previously in patients with a BCD diagnosis. Nevertheless, our findings suggest that both *CTNND1* and *CDH1* should be tested in patients with congenital orofacial and

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491 cardiac anomalies. A key finding was choanal atresia in four individuals; given the rarity of this 492 anomaly, both *CTNND1* and *CDH1* should be considered during genetic profiling of patients with this 493 anomaly, in addition to CHARGE and other syndromes noted above. Indeed, Nishi et al. (2016) 494 reported cleft lip, right choanal atresia, a congenital cardiac anomaly (tetralogy of Fallot), agenesis of 495 the corpus callosum, upslanted palpebral fissures and ear anomalies in a patient with *CDH1* 496 mutation<sup>73</sup>; however, at the time, this was not diagnosed as BCD.

497 While all of the variants found in the present study resulted in truncations of p120-catenin, 498 they fell broadly into three distinct groups: those falling within the N-terminal regulatory region 499 (p.Val148Aspfs\*24), those disrupting the armadillo repeat region and presumably subsequent 500 interactions with E-cadherin (e.g., p.Arg461\*, p.Arg797\*, p.Leu494Argfs\*5 and p.GLy532Alafs\*6), 501 and those falling in the C-terminal domain (p.Ser868\*, the splice variant c.2702-5A>G and 502 p.His913Profs\*3). Interestingly, those probands with C-terminal truncations had the most complete 503 cleft lip and palate phenotypes. This was consistent with previous reports by Kievit et al.<sup>1</sup> who 504 reported a nonsense mutation (p.Trp830\*) and Cox et al.<sup>3</sup> who reported p.Arg852\* and a splice site 505 mutation  $(c.2417+G>T)^3$ . As these C-terminal truncations would all be predicted to retain E-cadherin binding, but lose crucial RhoGAP interactions<sup>24</sup>, one might hypothesize that a mutation in this region 506 507 prevents p120 clearing from the epithelial complex, which is necessary for seam dissolution during 508 palate closure. Therefore, future analyses should focus on whether these C-terminal truncations are 509 acting in a dominant-negative manner, and preventing clearance of E-cadherin from the seam.

510 With regards to non-epithelial functions of p120, some of the phenotypes that this study, 511 and others, have reported, could be explained by the known interactions of p120 in the Wnt 512 signaling pathway<sup>20</sup>. Epithelial-specific knockouts of p120 (using a *keratin-14* promoter) did not show 513 tooth agenesis<sup>10</sup>, suggesting that the tooth anomalies in our patients do not arise from the epithelial 514 functions of p120. In support of this, two key genes implicated in tooth agenesis are the Wnt ligand, 515 *Wnt10A* and a Wnt target gene  $Axin2^{74-78;78-84}$ . The Wnt signaling pathway may also explain the 516 laryngeal findings (Figure 6), as knockout of the Wnt transducer  $\beta$ -catenin is also known to lead to

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517 similar vocal fold anomalies<sup>85</sup> as those seen in our neural crest specific *p120-catenin* heterozygotes 518 (Figure 6). Furthermore, knockout of the mesenchymal form of p120 (isoform 1) in *Xenopus* (Figure 7 519 and Figure 8), confirm prior studies on p120-catenin in the neural crest, where the p120-catenin 520 association with Wnt signaling is well-established<sup>32,86,87</sup>. Thus, we hypothesize that a subset of p120 521 phenotypes can also be attributed to Wnt perturbation in the neural crest (Figure 9). The heart 522 defects seen in our patients could also be attributed to a failure in neural crest development, which 523 is known to be crucial for development of the septum and valves<sup>88-92</sup>.

524 In addition to the phenotypes shared commonly across our cohort, some participants in this 525 study had scoliosis, and one family reported two deceased children, who had bifid uvula, congenital 526 cardiac disease (VSD, PDS), eye anomalies, developmental delay and chronic bowel immotility and 527 gastroesophageal reflux disease; however, no genetic testing had been carried out. One patient 528 presented at a young age with an ovarian dysgerminoma. To our knowledge, this is the first patient 529 with a *CTNND1* variant associated with an early onset cancer, though p120 has been associated with 530 cancer and tumorigenesis<sup>23-25,93,94</sup>. Finally, a number of patients reported in DECIPHER have copy 531 number variants (CNV) affecting CTNND1 (data not shown). Interestingly, both deletions and 532 duplications have been associated with partially overlapping phenotypes. For instance, two patients 533 with a deletion of less than 4MB had anomalies including bulbous nose, limb anomalies, delayed 534 speech and language development, intellectual disability, nasal speech, ventricular septal defect, 535 and cleft lip.

In summary, we demonstrate for the first time that p120 is not only involved in human conditions involving epithelial integrity, most likely caused by aberrant E-cadherin/p120 interactions, but also in other important intracellular functions (Figure 9). We conclude that *CTNND1*-related disorders span a spectrum of phenotypes ranging from multi-system involvement, to non-syndromic clefting. While further studies will be necessary to definitively understand the phenotype-genotype correlations, *CTNND1*, and perhaps *CDH1*, should be considered when patients present with characteristic craniofacial anomalies, congenital cardiac defects and neurodevelopmental disorders.

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545 **Declarations:** SAL is part owner of Qiyas Higher Health, a startup company unrelated to this work. 546

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#### 566 **FIGURE LEGENDS**:

567 Figure 1. Pedigrees and identification of CTNND1 variants. [A] Pedigrees of individuals with 568 identified variants. Filled boxes indicate affected individuals demonstrating collective phenotypes 569 described in our cohort. A blank box with a vertical black line indicates an asymptomatic carrier 570 (clinically unaffected). A box with an oblique line indicates a deceased individual. Lightly shaded 571 boxes indicate individuals affected with one or more of the conditions described. [B] Schematic 572 representation of the human p120-catenin protein structure and its domains. The variants described 573 in our cohort are shown above the protein with a dark gray arrow. The light gray arrow with the 574 (p.Arg315Cys) variant indicates the other CTNND1 mutation found in Patient 3 which was inherited 575 from the unaffected father [A]. Arrows in blue, pink and brown represent the variants and their locations reported in Ghoumid et al.<sup>2</sup>, Kievit et al.<sup>1</sup> and Cox et al.<sup>3</sup>, respectively. 576

577

578 Figure 2. Clinical presentation of individuals with a CTNND1 mutation. Facial photos (frontal and 579 profile) show craniofacial features of patients. Note the narrow up-slanting palpebral fissures in 580 Patients 3,4, 7-13; the hooded eyelids in patients 3, 4, 8-13; telecanthus in Patients 3,4,9-13; the 581 high arched eyebrows in patients 1, 2, 6-8, 11-13 and the thin lateral eyebrows in Patients 1,5-11. 582 Patients 1 and 4 had missing eyelashes medially from the inner canthus; Patients 1,2, 5 and 7 have 583 distichiasis (double row of lashes), and mild ectropion of the lower eyelids were seen in Patients 1,5 584 and 6. As evident, no patient shows signs of hair sparsity. Most patients had wide nasal bridges with 585 broad nasal tips while Patients 1,2, 8 and 11 were also diagnosed with congenital choanal atresia. 586 Patients 1,2,7-9, 11 and 12 showed thin upper lips and while mid-face hypoplasia was observed, 587 Patients 1,3,8,11 and 13 also had mandibular prognathism. Scars from cleft lip operations are seen in 588 Patients 7, 9-13. Patient 3 was born with a submucous cleft palate, a bifid uvula and velopharyngeal 589 insufficiency.

590

591 Figure Supplemental 1. Clinical presentation of individuals with a CTNND1 mutation.

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592 [A] The eye phenotypes of the narrow palpebral fissures, the hooded eyelids and highly arched, thin 593 lateral eyebrows were evident from a young age. [B] Ear anomalies included: low-set ears, 594 sometimes asymmetric and/or small; overfolded helices of the external ears; a pre-auricular pit was 595 also seen in one of the patients (data not shown). [C] Upper limb anomalies included: slightly shorter 596 5<sup>th</sup> fingers as seen in Patients 3, 12 and 13; and a single transverse palmar crease on the right hand 597 seen in both Patients 3 and 8. Lower limb anomalies included: 2,3-cutaneous syndactyly of the feet; sandal gaps and camptodactyly of the 2<sup>nd</sup> toe as seen in Patients 12 and 13; a longer 4<sup>th</sup> toe in 598 599 Patient 6 and short toes in Patient 7.

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601 Figure 3. Dental manifestations and intra-oral phenotypes of patients with a CTNND1 mutation. 602 [A-D] A high-arched palate was seen, shown are palates of Patients 1, 2, 3 and 8. [E-F] Abnormalities 603 in the morphology of the dentition included: fissured incisors in Patient 11 [E, black arrowheads] and 604 rotation of the incisors from the normal alignment shown in the non-cleft Patient 1 [F, black 605 arrowhead]. [G-H] Hypodontia (tooth agenesis) was a common phenotype, indicated by the black 606 asterisk. Black arrowheads indicate retained primary teeth. Patient 3 also has a diminutive upper left 607 lateral incisor [G, yellow arrowhead] and wide inter-dental spacing [G', white arrowheads]. [I-L] 608 Dental orthopantograms (OPGs); missing teeth are indicated by white asterisks; diminutive teeth by 609 yellow, macrodont teeth by magenta and supernumerary teeth by blue arrowheads, respectively. [I] 610 OPG of Patient 8 at age 11, shows 8 missing permanent teeth (white asterisks) and shows the 611 eruption of the second permanent molars (white arrowheads) in place of the missing first permanent molars. Also shown are diminutive upper right and left lateral incisors (peg-shaped) 612 613 (yellow arrowheads), and a macrodont lower left second primary molar (magenta arrowhead). [J] 614 OPG of Patient 11, at the age of 14, shows 3 missing permanent teeth (white asterisks), an ectopic 615 maxillary left permanent canine and rotated maxillary centrals and left lateral incisors and 616 dilacerated roots of the lower second permanent molars. [K] OPG of Patient 2, taken at 4 years, 617 shows missing teeth including a missing lower left first permanent molar (white asterisks); a

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618 reported macrodont upper left primary canine (magenta arrowhead) with an underlying missing 619 successor (white asterisk); a macrodont lower left second primary molar (magenta arrowhead) and a 620 supernumerary tooth (blue arrowhead). [L] OPG for Patient 13, taken at 7.5 years, confirms absence 621 of the upper left permanent lateral incisor and possibly the lower second permanent premolars.

622

623 Figure 4. P120-catenin is expressed during relevant stages of human embryonic development. 624 CTNND1 mRNA in situ hybridization at human Carnegie stages 13 (CS13) [A-C] and 21 [D-G]. [A] Right 625 lateral view of a CS13 human embryo, CTNND1 mRNA is strongly expressed in the head in all three 626 pharyngeal arches (PA1, PA2, PA3) and limb buds. Expression is specifically strong around the nasal 627 placode and the maxillary and mandibular prominences. [B] Left lateral view, P120 is strongly 628 expressed in the developing heart, frontonasal process, the trigeminal ganglion and the tenth cranial 629 nerve. [C] P120 is ubiquitously expressed in the developing brain region in the rhombomeres, the 630 forebrain and midbrain. [D-G] Coronal section through the head of a CS21 human embryo through a 631 mid-palatal plane. [D] Strong expression is seen in the intrinsic muscles of the tongue: the superior 632 longitudinal (magenta arrowhead), the transversal muscles of the tongue (black arrowhead) and the 633 extrinsic genioglossus muscle (blue arrowhead). [E] CTNND1 mRNA is strongly expressed in the 634 epithelium of the developing tooth bud. [F] CTNND1 is expressed on the dorsal epithelium of the 635 palatal shelf (arrowhead) and in the epithelium of the tongue. [G] Expression is seen in the 636 cardiomyocytes of the ventricular wall and the interventricular septum and in the cells of the 637 endocardium (arrowhead). Scale bars =  $100\mu$ m. Abbreviations: PA1, first pharyngeal arch; PA2, 638 second pharyngeal arch; PA3, third pharyngeal arch; Tg, trigeminal ganglion; Mx, maxillary process; 639 Md, mandibular process; CN X, tenth cranial nerve; ULB, upper limb bud; S, somites; LLB, lower limb 640 bud; NP, nasal placode; H, heart, FNP, frontonasal process; Tb, mandibular tooth bud; PS, palatal 641 shelf; T, tongue; IVS, interventricular septum; VW, ventricular wall.

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# Figure Supplemental 2. CTNND1 is expressed during relevant stages of human embryonic development.

[A] Coronal cross-section through the torso at CS21. [B] *CTNND1* is expressed in the columnar
epithelial lining of the stomach wall and continues through the pyloric part of the stomach. [C]
Expression is seen in the islet of Langerhans in the pancreas. [D] Expression in the germinal center of
the spleen. [E-G] Progressing caudally through the pelvis, *CTNND1* is expressed in the epithelial lining
of the bladder [E], the rectum/hindgut [F], the spinal cord and vertebral body (VB) [G].

650

## 651 *Figure 5. Expression of phosphorylated p120-catenin predicts fusion of the palatal seam.*

652 [A-L] All images are coronal sections of CD1 wild-type murine embryos at consecutive stages of 653 palatal development. [A-D] Hematoxylin and eosin (H&E) staining illustrates successive stages of 654 palatogenesis from embryonic day (E) 12.5 to E15.5. [B] At E14.5, following horizontal elevation, the 655 opposing palatal shelves (blue arrows) meet and adhere to form the medial epithelial seam (MES). 656 [C] EMT occurs at E14.75 when the MES breaks down, forming epithelial islands (blue arrowhead); 657 the nasal and oral epithelial triangles form (yellow arrows). [D] At E15.5 palatal shelves are fused. 658 Red box in [B] marks the regions shown in [E-F, I-J]. Red box in [C] marks the regions shown in [G-H, 659 K-L]. [E-L] Immunofluorescent staining for either pS-268 or p-tyrosine p120-catenin antibodies 660 (green) shown independently in [E, G, I, K], or in a merge with E-cadherin antibody staining (red) and 661 DNA/DAPI stain (blue) [F, H, J, L].

662 [E-F, I-J] At E14.5, both forms of p120-catenin are expressed, with pS-268 strongly expressed in the 663 periderm at the midline seam co-localizing with E-cadherin [E-F], while p-tyrosine clearly enriched in 664 the area marking the border between the epithelial and mesenchymal populations [I-J, pink 665 arrowheads].

[G-H, K-L] At E14.75, pS-268 p120-catenin is strongly expressed in the epithelial islands and the oral
and nasal epithelial triangles; this is co-localised with E-cadherin during EMT and endocytosis while
p120-catenin expression remains in some areas [H, white arrowheads]. In contrast, p-tyrosine p120-

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669	catenin expression surrounds E-cadherin positive epithelial islands, while E-cadherin expression has
670	disappeared in the intervening mesenchymal cells (L, pink arrowheads). Scale bars = $50\mu m$ .
671	Abbreviations: T, tongue; PS, palatal shelf.

672

## 673 Figure 6. Heterozygous loss of p120-catenin leads to structural changes in the laryngeal apparatus.

## 674 [A-O] Progression of the pharyngeal and laryngeal anomalies

675 [A, F, K] Schematics show the organization of the wildtype oropharynx from the more rostral (A) to 676 caudal (K) planes. Haematoxylin and eosin (H&E) staining of coronal sections through control [B, G, 677 L:  $Ctnnd1^{f/+}$ ] and heterozygous mutants [C, H, M:  $\beta$ -actin::cre/+; Ctnnd1^{f/+}] littermate at postnatal 678 stage (P1).

679 [B-C] The SPC (blue arrowhead) and PLP (red arrowhead) in mutants are disorganized with an 680 increased thickness in the PLP cranio-caudally [C] as compared to the controls [B]. [G-H] The FVC 681 (vestibular folds) are well-defined in the controls with abundant ligaments [G, red arrowhead]. The 682 FVC are fused in the mutant mice [H, black arrowhead] with ill-defined vestibular ligaments (H, red 683 arrowhead). [L-M] The muscle attachments (blue arrowheads) superior to the FVC (black arrowhead) 684 are well organized bilaterally in the controls surrounding the COC [L]. Caudally, when the FVC 685 separated in the mutants it appeared hypoplastic (black arrowhead) as did the COC. The muscles 686 (blue arrowheads) were ectopically fused to the LVP, producing an appearance of a 'high-arched' 687 epiglottal area [M, orange hollow arrowhead].

#### 688 [D-E, I-J, N-O] Neural crest specific mutants showed comparable laryngeal phenotype.

Microcomputed tomographic (μCT) soft tissue scans of E16.5 control [D, I, N: *Ctnnd1<sup>fl/+</sup>*] or neuralcrest specific [E, J, O: *Wnt1::cre/+; Ctnnd1<sup>fl/+</sup>*] heterozygous mutant littermates. [D-E] Compare the PLP in control [D] to the very thick PLP muscle seen in mutant [E, red arrowheads]. Compare the SPC in control [D] to the disorganized and hypoplastic SPC muscles seen in mutants [E, blue arrowheads].
[I-J] Laryngeal webbing was observed in mutant TVF [J, yellow arrowhead] compared to parallel TVF in control littermate [I, yellow arrowhead]. [N-O] Note aberrant muscle attachments (blue

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- arrowheads) in [O] compared to control [N]. Control [N] epiglottal region compared to the high-
- 696 arched epiglottal area observed in mutant littermate [O, orange hollow arrowhead].
- 697 [P-S] The laryngeal webbing phenotype.
- 698 [P and S] Schematic representations of the wild-type [P] and mutant [S] anatomy at the vocal folds
- 699 (TVF) from yellow-boxed insets in [G] and [H], respectively.
- 700 [Q-R] H&E staining of coronal sections through control [Q:  $Ctnnd1^{fl/+}$ ] and heterozygous mutant [R:
- 701  $\beta$ -actin::cre/+;Ctnnd1<sup>fl/+</sup>] littermate at P1.
- 702 [Q] In controls, well-defined vocal ligaments (VL) run parallel to the true vocal fold/cords (TVF).
- 703 Underlying, the vocalis muscle (VM) and the thyroarytenoid muscle (TAM) are clearly attached and
- well-organised. [R] Laryngeal webbing is seen in the heterozygous mutant mice, where the vocal
- 705 ligaments (VL) accumulate at a thin contact point (black arrowhead) thus perturbing the correct
- 706 muscle attachments of the VM and TAM.
- Scale bars = 100µm. Abbreviations: SPC, Superior Pharyngeal Constrictor; PLP, Palatopharyngeus
  Muscle; TAM, Thyroarytenoid Muscle; VM, Vocalis Muscle; LGF; HB, Hyoid Bone; Epi, Epiglottis; OB,
  Occipital Bone; LVP, Levator Veli Palatini Muscle; AEF, Aryepiglottic Fold; TVF, True Vocal Fold; VL,
  Vocal Ligament; FVC, False Vocal Cord; CC, Cricoid Cartilage; TC, Thyroid Cartilage; AC, Arytenoid
  Cartilage; COC, Corniculate Cartilage.
- 712

# Figure Supplemental 3. Mouse p120-catenin is expressed in the epithelial and mesenchymal compartments of the laryngeal and pharyngeal apparatuses.

[A] Immunohistochemistry using the anti-phosphotyrosine p120-catenin antibody on sagittal
sections through wild-type mice at E13.5 (a-a') or E15.5 (b-b'). [a, b] Positive staining is seen in the
epiglottis, esophagus and the larynx. [A] (a', b') Insets from (a and b, respectively). Muscles that
express p120-catenin in the laryngeal and pharyngeal apparatuses are shown (pink arrowheads).
Abbreviations: E, epiglottis; Oe, entrance to oesophagus; L, laryngeal auditus; H, heart; SP, soft
palate; TC, thyroid cartilage; CC, cricoid cartilage; T, tongue; Tr, trachea

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# 721 *Heterozygosity in p120-catenin leads to normal facial and oral phenotypes.*

[B] Shown are postnatal P2.5 mice. Heterozygous mutant  $\beta$ -actin::cre/+;Ctnnd1<sup>fl/+</sup> mice do not exhibit facial or lip anomalies (f-g) and are comparable to littermate controls (a-b). [B] (c, h) No limb anomalies are observed. [B] (d, i) Postnatal P1 mice. Intra-oral views of the palate of wild-type (d) and heterozygous mutant littermate (i), cleft palate defects were not observed. [B] (e, j) Microcomputed tomography ( $\mu$ CT) scans showed normal palates in P2.5 control (e) and heterozygous mutant littermate (j).

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## 730 Figure 7. Ctnnd1 knockouts in Xenopus give rise to craniofacial and heart defects.

[A] Embryos were injected at the one cell stage with single guide RNAs (sgRNA), sgRNA1 and sgRNA2
targeting exons 3 and 7, respectively. [B] Ventral view showing blastopores at stage 11. Embryos
injected with sgRNA1 had delayed blastopore closure (bottom row) compared to un-injected
controls (UIC) (top row). The bar chart shows quantitation. Scale bars = 100µm.

[C] Confocal sections through the apical surface of ectodermal cells at stage 11 of embryos injected
with sgRNA1 (e-h) and UICs (a-d). [C] (a-d) p120-catenin (a, green) is expressed in puncta at the cell
membranes. E-cadherin (b, red) is expressed more evenly through the cell membranes. Both are
colocalized at the cell-cell interface (c, d). Endogenous levels of p120-catenin and E-cadherin are
diminished at the cell-cell interface in the sgRNA1-injected embryos (e-f). Residual p120-catenin and
E-cadherin are seen in a spot-like pattern, only at the tricellular junctions (e-h, white arrowheads).
[D] p120-catenin depletion led to lethality in embryos by the neurula stage.

[E] Stage 46 tadpoles. [E] (i, l) Lateral views show a flattened profile in *p120* CRISPR tadpoles (l)
compared to UICs (i). [E] (j, m) Frontal views showing a reduction in the size of mouth opening and a
persistent cement gland (white arrowhead) in *p120* CRISPR tadpoles (m) compared to UICs (j). [E] (k,
n) Ventral views showing a reduction in the size of craniofacial cartilages, altered cardiac looping
(black-dashed outline) and altered gut coiling (yellow arrowhead) in *p120* CRISPR tadpoles (n)

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747	compared to UICs (	k). Quantification	of craniofacial	defects in UIC and	p120 deplete	ed tadpoles. Scale
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- bars = 100μm. sgRNA, single guide RNA; UIC, un-injected control; \*\*\*\*p<0.0001; \*\*\*p<0.001.
- 749

## 750 Figure 8. Ctnnd1 knockouts in Xenopus give rise to altered morphogenesis of the muscles and heart.

[A] Immunofluorescent staining for collagen 2 (col2, magenta), muscle/pax2 (white) and nuclei (DAPI,
blue); (a, anterior; p, posterior; d, dorsal; v, ventral). [A] (a, e) A lateral view of col2-positive
branchial cartilages in UIC (a) and *p120* CRISPR mutant (e) reveals hypoplasia of mutant cartilages;
however, cell morphology appears normal in *p120* CRISPR mutants (h) (d and h, white arrowheads).
[A] (b-c, f-g) Pax2-expressing muscles revealed a defect in the fibril organization of the rectus
abdominus muscle in the *p120* CRISPR tadpoles (f, white arrowhead) compared to the UIC muscles
(b, white arrowhead); note insets in (c, g).

[B] Ventral views of hearts of stage 46 tadpoles. Immunofluorescent staining for p120-catenin
(green), E-cadherin (red) and DNA (blue). [B] (i-m) Controls; (n-r) *p120* CRISPR mutant tadpoles.
Morphologic defects are evident in the size of the heart and directionality of the loops (compare
control heart (i) to mutant heart (n), yellow-dashed outlines). [B] (k, p) p120-catenin is strongly
expressed in the heart of UIC tadpoles (k) but is lost in *p120* CRISPR tadpoles (p). [B] (l, q) Note the
absence of E-cadherin in the control and mutant hearts. Scale bars = 100µm.

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765 Figure 9. Model of CTNND1 function in systemic disease.

[A] *CTNND1* mutations are not only implicated in conditions that affect epithelial structures but also systemic conditions that originate from mesenchymal roles of p120-catenin. Structures in pink circles have been described in previous publications on *CTNND1*<sup>1,2</sup>; structures in blue circles have been implicated previously in *CTNND1*-related disorders<sup>1,2</sup> and in this study; structures in yellow circles have been identified in this study. [B] Blepharocheilodontic syndrome (BCD) is primarily due to disturbances in E-cadherin/p120 interactions. The inclusion of other organ systems described here highlights the involvement of other known molecular functions of p120, such as its role in the

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- 773 WNT signaling pathway and its interactions with Rho-GTPases, demonstrating its mesenchymal roles
- in producing these systemic conditions.
- 775
- 776 TABLES INCLUDED:
- 777 Table 1: *CTNND1* variants in index patients.
- 778 Table 2: Clinical Summary of Individuals with *CTNND1 variant*.
- 779 Table S1: Clinical Details of Individuals with a *CTNND1* variant.
- 780 Table S2: Reported congenitally missing teeth.
- 781
- 782

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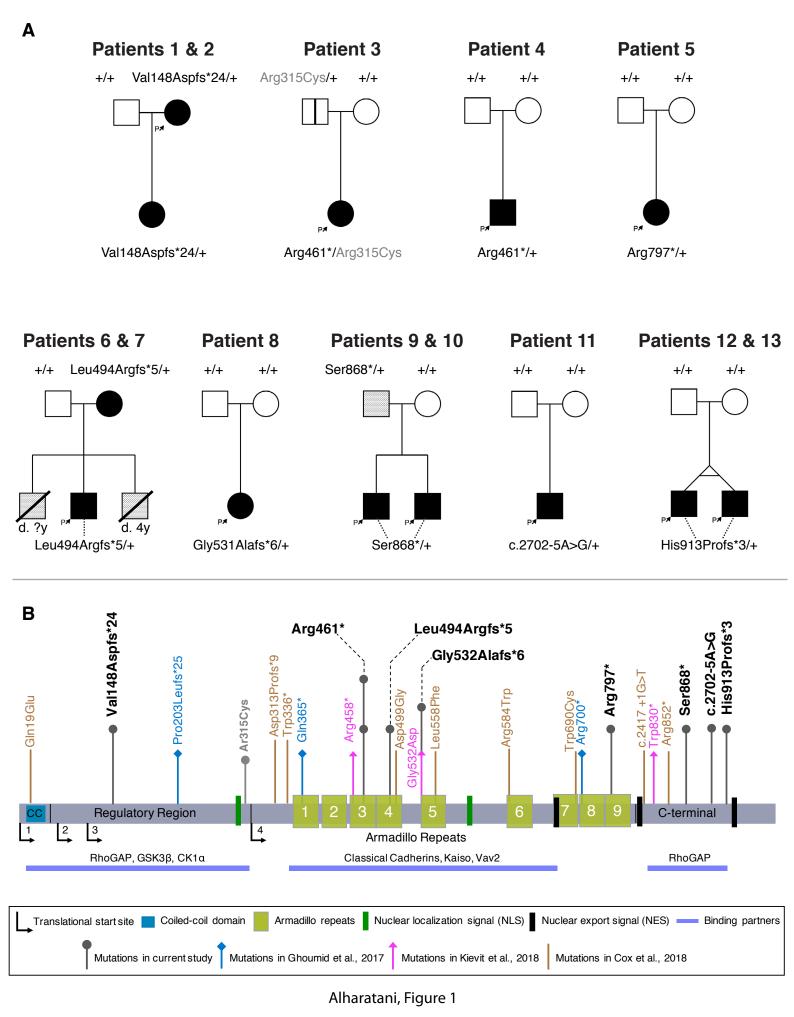
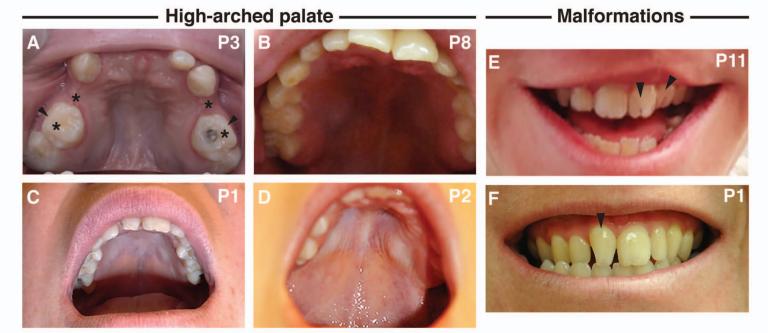
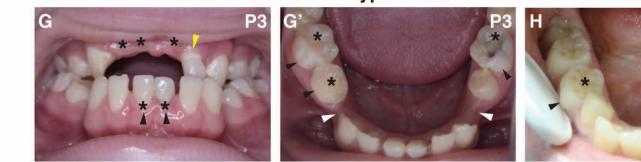


Figure 2 omitted for BIORXIV version. Figure 3 omitted for BIORXIV version.

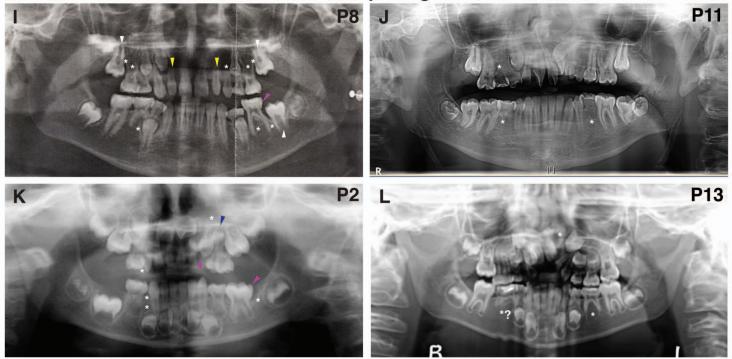


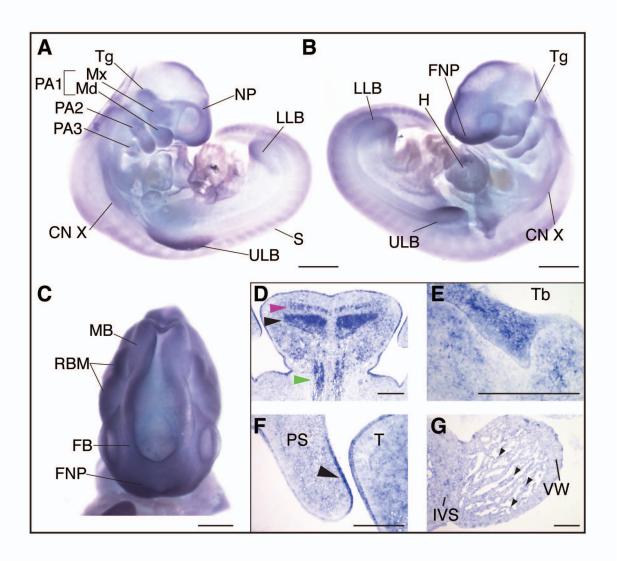
Hypodontia

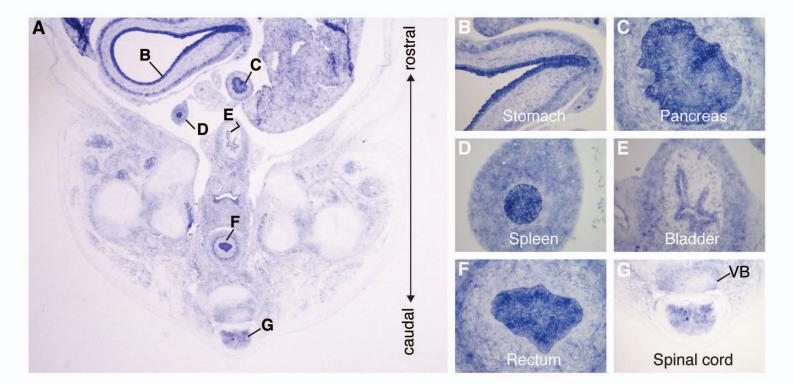
**P8** 



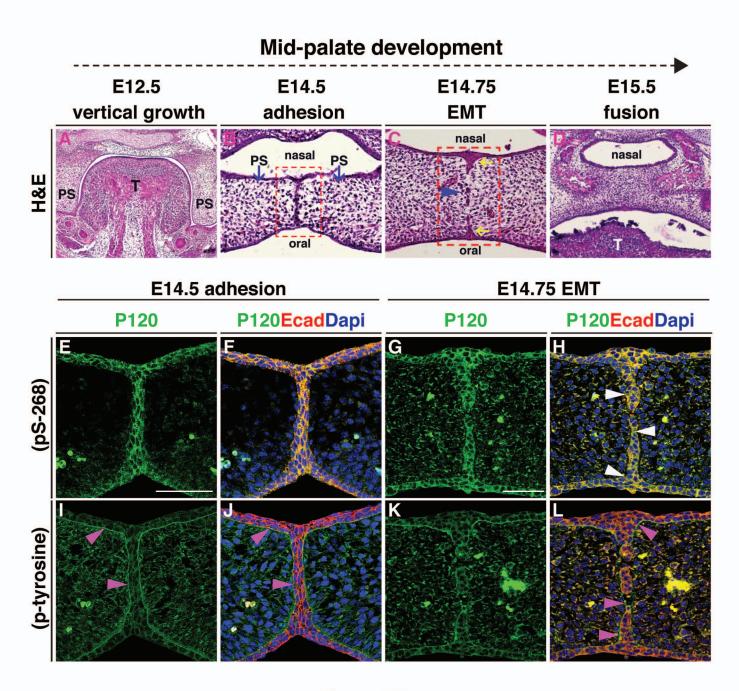
**Dental Orthopantograms** 

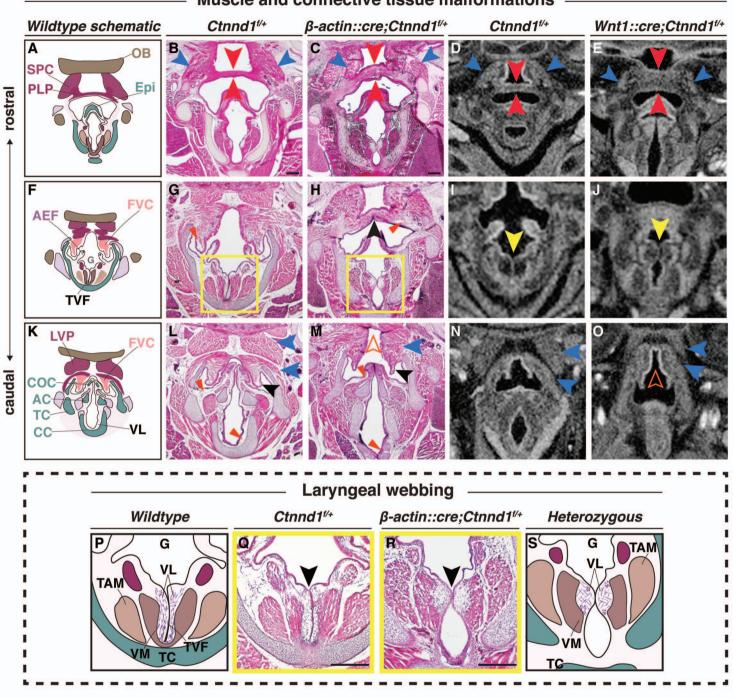




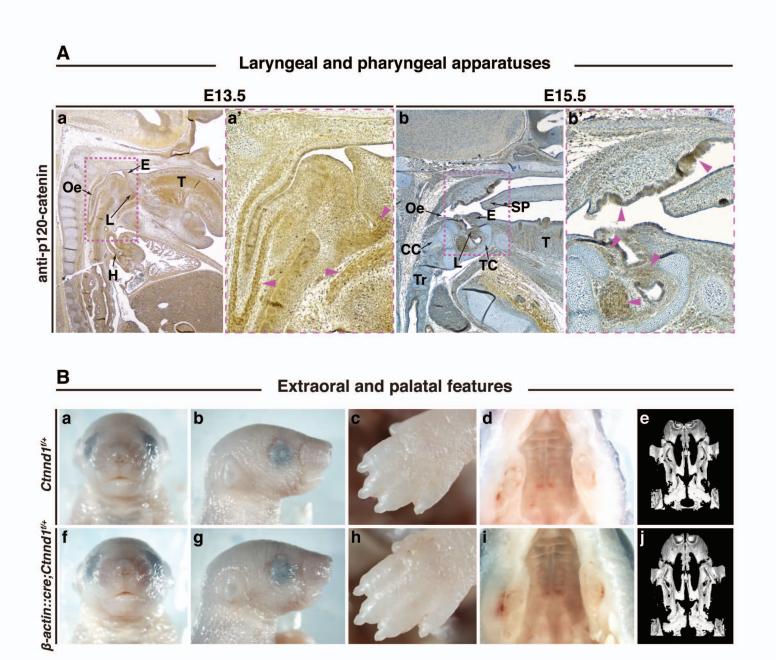


Alharatani, Figure 4 Supplemental S2

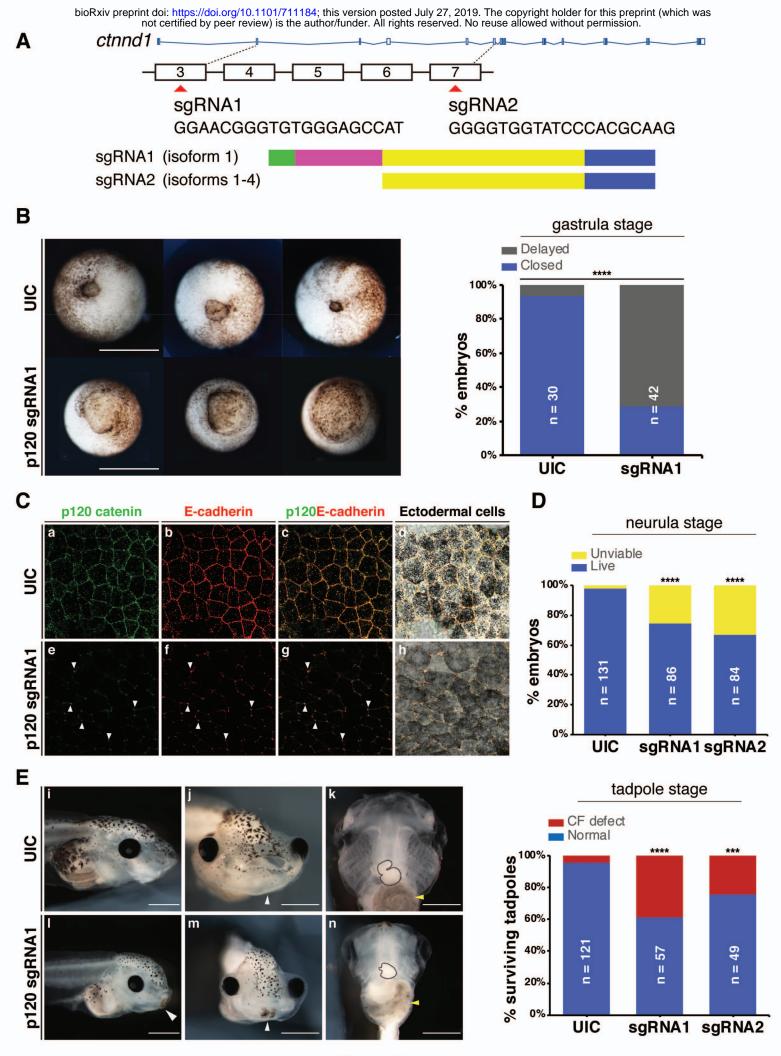




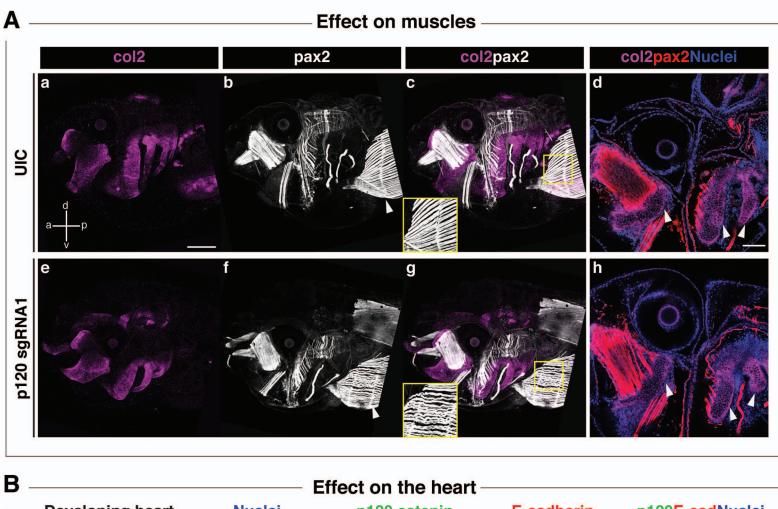
Muscle and connective tissue malformations

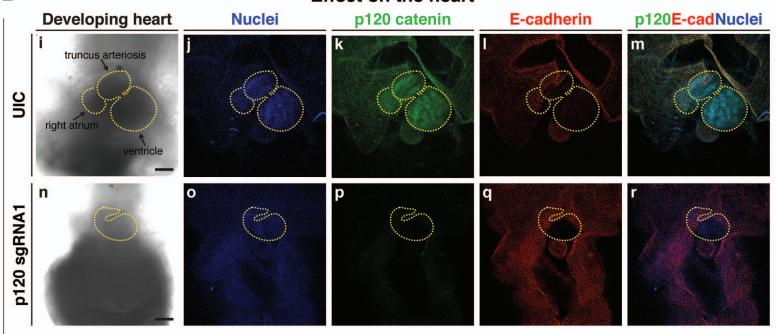


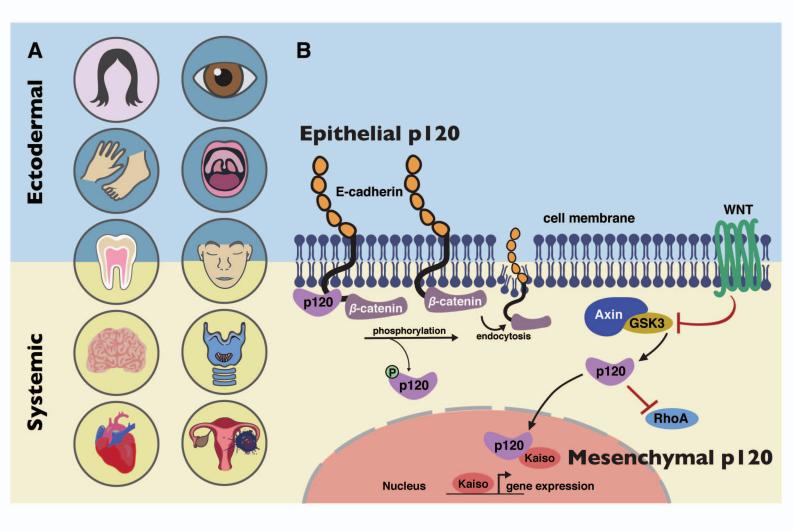
Alharatani, Figure 6 Supplemental S3



Alharatani, Figure 7







Patient ID	Mutation: NM_00108558.1	Protein: NP_001078927.1	Variant type	Exon	gnomAD
Patient 1	c.443_444delTG	p.Val148Aspfs*24	frameshift	6	novel
Patient 2	c.443_444delTG	p.Val148Aspfs*24	frameshift	6	novel
Patient 3	c.943C>T	p.Arg315Cys	missense	6	2.44e-4
ratient 5					8 FE, 39 NFE, 4 A
Patient 3	c.1381C>T	p.Arg461*	nonsense	7	novel
Patient 4	c.1381C>T	p.Arg461*	nonsense	7	novel
Patient 5	c.2389C>T	p.Arg797*	nonsense	15	novel
Patient 6	c.1481_1485del	p.Leu494Argfs*5	frameshift	8	novel
Patient 7	c.1481_1485del	p.Leu494Argfs*5	frameshift	8	novel
Patient 8	c.1595del	p.Gly532Alafs*6	frameshift	8	novel
Patient 9	c.2598_2601dupTGAT	p.Ser868*	nonsense	17	novel
Patient 10	c.2598_2601dupTGAT	p.Ser868*	nonsense	17	novel
Patient 11	c.2702-5A>G	p.?	splice site	18-19	novel
Patient 12	c.2737dupC	p.His913Profs*3	frameshift	19	novel
Patient 13	c.2737dupC	p.His913Profs*3	frameshift	19	novel

## Table 1. CTNND1 variants in index patients

The Human GRCh37 (hg19) Assembly was used to identify transcript positions. The annotations are all based on the NM\_001085458 transcript. Confirmations using https//:variantvalidator.org.

\*Denotes termination codon; FE, Finnish European; NFE, Non-Finnish European; A, African.

	Participants	1	2	3	4	5	6	7	8	9	10	11	12	13	Total
	Variant	V148Df s*24	V148D* 24	R461*	R461*	R797*	L494Rfs *5	L494Rfs *5	G531Af s*6	S868*	S868*	c.2702- 5A>G	H913Pfs *3	H913Pfs *3	-
	Sex	F	F	F	М	F	F	М	F	М	М	М	М	М	6F/7M
Craniofacial	l														
	Cleft lip/palate	-	-	+	-	-	+	+	-	+	+	+	+	+	8/13
	High-arched palate	+	+	+	-	-	-	+	+	-	ND	-	+	+	7/13
	Thin upper lip	+	+	-	-	-	-	+	+	+	-	+	+	-	7/13
	Choanal atresia	+	+	-	-	-	-	-	+	-	-	+	-	-	4/13
	Ear anomaly	-	+	+	+	-	+	+	+	+	+	+	-	-	9/13
	Wide nasal bridge	+	+	+	-	-	+	+	+	+	+	+	+	+	11/13
	Broad nasal tip	+	-	+	-	-	-	+	+	+	+	+	-	-	7/13
	Mid-facial hypoplasia	+	+	+	-	-	+	+	+	-	-	+	+	+	9/13
	Mandibular prognathism	+	-	+	-	-	-	-	+	-	-	+	-	+	5/13
	Brachycephaly	-	+	-	+	-	-	-	-	-	-	+	-	-	3/13
Eyes and eyelids															
	Narrow, upslanted palpebral fissures	-	-	+	+	-	-	+	+	+	+	+	+	+	9/13
	Hooded eyelids	-	-	+	+	-	-	-	+	+	+	+	+	+	8/13
	Telecanthus	-	-	+	+	-	-	-	-	+	+	+	+	+	7/13
	High arched eyebrows	+	+	-	-	-	+	+	+	-	-	+	+	+	8/13
	Thin lateral eyebrows	+	-	-	-	+	+	+	+	+	+	+	-	-	8/13
	Mild ectropion	+	-	-	+	+	+	-	-	-	-	-	-	-	4/13
	Distichiasis	+	+	-	-	+	-	+	-	-	-	-	-	-	4/13
	Ankyloblepahron	-	+	-	-	-	-	+	-	+	-	-	-	-	3/13
Dental anomalies															
	Hypodontia	+	+	+	+	+	-	-	+	ND	ND	+	-	+	8/13
	Delayed dentition	+	+	-	+	+	-	-	ND	ND	ND	+	-	+	6/13
	Abnormal crown form	+	+	+	-	+	-	+	+	+	ND	+	+	-	9/13

Cardiac disease															
	VSd	+	+	-	+	-	-	-	-	-	-	+	+	-	total
	TOF	-	-	-	-	-	-	-	+	-	-	-	-	-	- 6/13
	ASd or PFO	+	+	-	+	-	-	-	-	-	-	-	-	-	
	MVS	+	-	-	-	-	-	-	-	-	-	-	-	-	
	PS or COA	-	-	-	-	-	-	-	-	-	-	+	-	-	
	PDA	-	+	-	-	-	-	-	-	-	-	-	-	-	
	Hypoplastic aortic arch	+	-	-	-	-	-	-	-	-	-	+	-	-	
Neurodevel opmental															
-	ASD	-	UI	+	+	-	-	-	-	UI	-	+	-	-	total
	ADHD	-	+	+	-	-	-	-	-	-	-	+	-	-	- 8/13
	DD/LD	-	+	+	-	-	-	-	-	-	+	+	+	+	
	Speech & language delay	-	-	+	-	-	-	-	-	-	+	+	-	-	
	Aggressive behaviour	-	+	+	-	-	-	-	-	+	+	-	-	-	
Limb anomalies															total 9/13
	Hands	-	-	+	-	-	+	+	+	-	-	+	+	+	7/13
	Feet	-	+	+	+	-	+	+	-	-	-	-	+	+	7/13
Voice anomalies		-	+	-	-	ND	+	-	-	-	-	+	-	-	3/13
Other Skeletal		+	-	+	+	-	+	-	-	-	-	+	-	-	total 5/13
	Scoliosis	+	-	-	-	-	+	-	-	-	-	-	-	-	
	Short stature	-	-	-	-	-	+	-	-	-	-	+	-	-	
Cancer		-	-	-	-	-	-	-	ovarian dysgerm inoma	-	-	-	-	-	1/13
Other anomalies		restricti ve lung disease	partial agenesis of corpus callosu m	VPI, early onset puberty, bowel problem s	joint laxity	-	hypothy roid	-	macrogl ossia	-	-	cryptorc hidism	coronal hypospa dias	-	-

Abbreviation: UI, under investigation, ND; not determined because of non-availability; VSd, ventricular septal defect; ASd, atrial septal defect; TOF, tetralogy of Fallot; CoA, coarctation of the aorta; MVS, mitral valve stenosis; PDA, patent ductus arteriosus; PFO, patent foramen ovale; ASD, autism spectrum disorder; ADHD, attention deficit hyperactivity disorder; DD, developmental delay; LD, learning difficulty; VPI, velo-pharyngeal insufficiency.

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Partial and Partial and Partial and<br>Partial and Partial and Partial and Partial and<br>Partial and Partial and Partial and Partial and Partial and<br>Partial and Partial and Pa                                   |

Patient ID	Missing teeth						
Patient 1	16, 15, <b>23</b> , 25, <i>26, 36</i> , <b>35</b> , 45, <i>4</i> 6						
Patient 2	54, 84 and <b>23</b> , <i>36</i> , 44						
Patient 3	15, 14, 12, 11, 21, 24, 25, <b>35</b> , 31, 41, 44, 45						
Patient 5	23,25, 45						
Patient 8	<i>16</i> , 15, <b>23</b> , 25, <i>26</i> , <i>36</i> , <b>35</b> , 45						
Patient 11	15, <b>35</b> , 45						
Patient 13	22, <b>35</b> , 45						
Missing perm	Missing permanent canines are in bold and missing permanent first						
molars are in	italics.						

## Table S2. Reported congenitally missing teeth