

# 1 Pangenomics reveal diversification of enzyme families and niche 2 specialization in globally abundant SAR202 bacteria

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## 31 **Abstract**

32 It has been hypothesized that abundant heterotrophic ocean bacterioplankton in the  
33 SAR202 clade of the phylum *Chloroflexi* evolved specialized metabolism for the oxidation of  
34 organic compounds that are resistant to microbial degradation via common metabolic  
35 pathways. Expansions of paralogous enzymes were reported and implicated in  
36 hypothetical metabolism involving monooxygenase and dioxygenase enzymes. In the  
37 metabolic schemes proposed, the paralogs serve the purpose of diversifying the range of  
38 organic molecules that cells can utilize. To further explore this question, we reconstructed  
39 SAR202 single amplified genomes and metagenome-assembled genomes from locations  
40 around the world, including the deepest ocean trenches. In analyses of 122 SAR202  
41 genomes that included six subclades spanning SAR202 diversity, we observed additional  
42 evidence of paralog expansions that correlated with evolutionary history, and further  
43 evidence of metabolic specialization. Consistent with previous reports, families of flavin-  
44 dependent monooxygenases were observed mainly in the Group III SAR202, in the  
45 proposed class *Monstramaria* and expansions of dioxygenase enzymes were prevalent in  
46 Group IV. We found that Group I SAR202 encode expansions of racemases in the enolase  
47 superfamily, which we propose evolved for the degradation of compounds that resist  
48 biological oxidation because of chiral complexity. Supporting the conclusion that the  
49 paralog expansions indicate metabolic specialization, fragment recruitment and  
50 fluorescence *in situ* hybridization with phylogenetic probes showed that SAR202 subclades  
51 are indigenous to different ocean depths and geographical regions. Surprisingly, some of  
52 the subclades were abundant in surface waters and contained rhodopsin genes, altering  
53 our understanding of the ecological role of SAR202 in stratified water columns.

## 54 **Importance**

55 The oceans contain an estimated 662 Pg C of dissolved organic carbon (DOC). Information  
56 about microbial interactions with this vast resource is limited, despite broad recognition  
57 that DOM turnover has a major impact on the global carbon cycle. To explain patterns in  
58 the genomes of marine bacteria we propose hypothetical metabolic pathways for the  
59 oxidation of organic molecules that are resistant to oxidation via common pathways. The  
60 hypothetical schemes we propose suggest new metabolism and classes of compounds that  
61 could be important for understanding of the distribution of organic carbon throughout the  
62 biosphere. These genome-based schemes will remain hypothetical until evidence from  
63 experimental cell biology can be gathered to test them, but until then they provide a  
64 perspective that directs our attention to the biochemistry of resistant DOM metabolism.  
65 Our findings also fundamentally change our understanding of the ecology of SAR202,  
66 showing that metabolically diverse variants of these cells occupy niches spanning all  
67 depths, and are not relegated to the dark ocean.

## 68 **Introduction**

69 Some dissolved organic matter (DOM) consists of labile molecules (LDOM) that are  
70 recycled quickly by microbes in the epipelagic (0-200 m) near the point of origin, while  
71 other DOM transits marine food webs and eventually accumulates in the deep ocean in the

72 form of refractory dissolved organic matter (RDOM). RDOM has residence times of  
73 thousands of years (2) and is distributed throughout the water column, but is the main  
74 DOM type in the bathypelagic realm (>1000 m). Here we use the term *semi-labile DOM*  
75 (SLDOM) to encompass molecules that span a broad range of intermediate stabilities in the  
76 environment, including compounds that are often referred to as *recalcitrant* (3). Two  
77 general hypotheses put forward to explain SLDOM and RDOM are the *intrinsic stability*  
78 *hypothesis*, which postulates that DOM stability is due to molecular structures that are  
79 resistant to enzymatic cleavage (8), and the *molecular diversity hypothesis*, which predicts  
80 that extreme dilution of compounds can render them unusable by heterotrophs (4). Here,  
81 in genomes of the SAR202 clade of marine bacteria, we explore metabolic diversity related  
82 to both the *intrinsic stability hypothesis* and the *molecular diversity hypothesis*.

83 The first reports on SAR202 used molecular data to demonstrate their relative abundance  
84 increases dramatically at the transition between the euphotic and aphotic zones of the  
85 oceans (5). Microbes adapted to dark ocean regions (mesopelagic, 200-1000 m;  
86 bathypelagic, 1000-4000 m; abyssopelagic, 4000-6000 m; hadalpelagic, 6000-11,000 m)  
87 exploit environments where the most abundant energy resources are SLDOM. These  
88 compounds mainly are remnants from primary production in the epipelagic, which is  
89 attenuated in transit through food webs. In the dark oceans, low levels of primary  
90 production also occur locally, fueled by chemoautotrophy (6). The Microbial Carbon Pump  
91 (MCP) is a conceptual framework that captures these features of food webs, and recognizes  
92 that, in the process of transformation, a fraction of labile DOM is chemically altered to  
93 forms that resist or escape microbial degradation (7).

94 SAR202 are the most abundant lineage of bacteria in the deep oceans. This clade diversified  
95 approximately 2 billion years ago, forming six subclades, referred to as “Groups I-VI” (9,  
96 10). Early work showed that they constitute, on average, about 10% of total  
97 bacterioplankton throughout the mesopelagic of the Sargasso Sea, Central Pacific Ocean,  
98 and Eastern Pacific coastal waters (11). A subsequent study revealed that they constitute  
99 up to 5% of the total bacterioplankton community in the epipelagic and up to 30% in the  
100 meso- and bathypelagic zones in parts of the Atlantic Ocean (12).

101 SAR202 have escaped cultivation to date. Insight into their metabolism has come from field  
102 studies and comparative genomics (13). Recent studies, using both single-cell and  
103 metagenomic sequencing, have highlighted the differing roles for SAR202 groups at sites  
104 around the world. One study assembled three nearly complete SAR202 MAGs from  
105 metagenomes from oxygen minimum zones in the Gulf of Mexico and observed expression  
106 of nitrate reductase genes, suggesting these cells have the capacity for anaerobic  
107 respiration (14). Another study investigated vertical stratification and concluded that  
108 SAR202 might be sulfite oxidizers that utilize organosulfur compounds (15). An  
109 investigation of SAR202 from the Arctic Ocean described expanded families of dioxygenase  
110 enzymes that were proposed to function in aromatic compound degradation, potentially  
111 utilizing organic matter discharged from terrestrial sources (16). Freshwater relatives of  
112 SAR202 have also been discovered, shedding light on their diversity and ecology in aquatic  
113 habitats (17).

114 In a recent study of Group III SAR202, we identified expansions of paralogous protein  
115 families, including powerful oxidative enzymes that we hypothesized play a role in  
116 degrading SLDOM (10). SAR202 flavin-dependent monooxygenases (FMNOs) were  
117 hypothesized to oxidize a variety of chemically stable SLDOM molecules by introducing  
118 single oxygen atoms, for example by oxidizing sterols and hopanoids to carboxyl-rich  
119 alicyclic molecules (CRAM) (10). CRAM consists of fused aromatic and heterocyclic rings  
120 decorated with carboxyl groups (18-20).

121 In this study we investigated paralogous gene expansions and gene co-occurrence in a  
122 larger sample of SAR202 diversity. We reconstructed 10 new SAGs, isolated from  
123 mesopelagic and hadal waters from the Northwestern Pacific Ocean, and 73 new MAGs  
124 from the Bermuda Atlantic Time-series Study (BATS) site in the Sargasso Sea, and from  
125 TARA Oceans Expedition metagenomes, a total of 83 new SAR202 genomes. We also  
126 investigated the biogeography of these genomes, and their distribution as a function of  
127 depth in water columns. Interpreting this information, we hypothesize that SAR202  
128 evolved and diversified into multiple niches where they play roles in the oxidation of  
129 resistant classes of DOM.

## 130 **Results**

### 131 **Overview of genomic bins and SAGs**

132 The total number of SAGs and MAGs in this study was 122, of which 83 are new, and the  
133 remainder from previous studies (10, 14, 21-23). Ten new SAR202 SAGs were obtained  
134 from three deep ocean trench stations: Mariana, Ogasawara, and Japan trenches. Sixty-two  
135 new SAR202 MAGs were reconstructed from TARA Oceans metagenome re-assemblies in  
136 this study. TARA metagenomic samples from different depths were assembled separately  
137 to help us preserve depth information for each MAG. Eleven new SAR202 MAGs came from  
138 metagenomic samples obtained at Bermuda Atlantic Time-series Study (BATS) site. A table  
139 summarizing the origin and depth of samples from which the SAGs and MAGs were  
140 obtained is provided as Supplemental Table 1.

### 141 **SAR202 diversity revealed through phylogenomic analyses**

142 A phylogenomic tree was constructed from 36 concatenated single-copy genes that were  
143 selected based on their broad presence in genomes, suggesting core functions, and  
144 evidence of linear inheritance (Fig. 1). Using ChloNOG subset of gene clusters from the  
145 eggNOG database, we identified 639 orthologous gene clusters that are present as single  
146 copies in 141 genomes (122 SAR202, 17 other *Chloroflexi*, and 2 cyanobacteria outgroup).

147 The phylogenomic tree supported earlier findings showing that SAR202 are a deeply-  
148 branching monophyletic group that radiates from within the *Chloroflexi*, possibly  
149 associated with *Dehalococcoides* (Fig. 1). Several deeply-branching subclades, Groups IV-  
150 VI, radiate near the base of the clade. Groups III, II and I appear in that order, ascending  
151 from the root. They are separated by large evolutionary distances and are the most  
152 abundantly represented SAR202 subgroups (Supplemental Table 1). Previously, we  
153 proposed that Group III be given the rank of class and assigned the name *Candidatus*

154 Monstramaria (classis nov.). Given the separation of the subclades and the evolutionary  
155 distances between them in the phylogenomic tree, we propose the following names for the  
156 rest of SAR202 groups: Group I (*Candidatus* Umibozia, classis nov.), Group II (*Candidatus*  
157 Scyllia, classis nov.), Group IV (*Candidatus* Makaraia classis nov.), Group V (*Candidatus*  
158 Cetusia, classis nov.), and Group VI (*Candidatus* Tiamatia, classis nov.).

## 159 **Overview of paralogous enzyme superfamilies in SAR202**

160 Paralog expansions, especially diverse, ancient ones, can indicate past evolutionary events  
161 in which new enzyme activities were vehicles for niche expansions. Investigating paralog  
162 expansions across SAR202 genomes, we constructed a heatmap showing relative  
163 abundances of the top 50 most abundant COG categories (Fig. 2A). The heatmap revealed  
164 five major expansions of paralogous gene families, and many other less prominent  
165 expansions. The distributions of these groups of paralogs across the major SAR202  
166 subclades are shown in Fig. 2B. COG4948, the enolase superfamily, were mainly found in  
167 Group I and Group II (Fig. 2B); COG2141, the SAR202 FMNO paralogs were found mainly in  
168 Group II and III; and COG4638, ring-hydroxylating dioxygenase paralogs, were found in  
169 Group IV, as reported previously (16).

170 A correlation matrix of the top 50 most abundant COG categories showed that the  
171 expansions of the five major paralog families discussed above are linked to broad shifts in  
172 metabolism (Fig. 3). For example, COG3391, COG4102, and COG5267 are all  
173 uncharacterized conserved proteins. COG0747, COG0601, and COG1173 are components  
174 involved in dipeptide transport. We interpret these patterns as evidence that the ancient  
175 paralog expansions described above accompanied metabolic reorganization and  
176 specialization in the SAR202 subclades.

## 177 **The diversification of flavin-dependent monooxygenases in Group III**

178 An expansion and radiation of diverse FMNO members in Group III SAR202 was previously  
179 reported (10). We found further support for this conclusion in this broader analysis of  
180 SAR202 diversity, and also observed elevated numbers of FMNO paralogs in Groups II and  
181 IV. The number of paralogous FMNO copies ranged from 1 and 114, with members of  
182 Group IIIa encoding the highest numbers and the greatest relative abundances, up to 4%  
183 when normalized to total number of resolved genes (Fig. 2B). FMNOs were also present in  
184 other SAR202 subgroups, at lower copy numbers. Group 1 encode the fewest copies of  
185 FMNOs; in some genomes this number approaches zero. The five most abundant FMNOs  
186 were annotated as: alkanal mono-oxygenase alpha chain (23% of all annotations);  
187 limonene 1,2-monooxygenase (21%); phthiodiolone/ phenolphthiodiolone  
188 dimycocerosates ketoreductase (13.9%); F420-dependent glucose-6-phosphate  
189 dehydrogenase (13.7%); and alkanesulfonate monooxygenase (7.2%).

190 Because automatic annotation can sometimes fail to assign proper function to the genes,  
191 we built a maximum likelihood (ML) phylogenetic tree of all extant FMNOs identified in  
192 databases to better visualize the functional diversity of the FMNOs (Fig. 4A). We identified  
193 five broadly-classified functional groups: F420-dependent tetrahydromethanopterin  
194 reductases, alkanal monooxygenases, nitrilotriacetate monooxygenases, alkanesulfonate  
195 monooxygenases, and pyrimidine monooxygenases (RutA). Most fall into the alkanal and



196 F420-dependent monooxygenases. The SAR202 F420-dependent monooxygenases are  
197 highly diverse and appear to be paraphyletic. It remains to be determined whether SAR202  
198 can synthesize coenzyme F420.

199 Type II Baeyer-Villiger monooxygenases were found in Group IIIa SAR202 as described  
200 previously (10) and fall into the broad category of alkanal monooxygenases. The alkanal  
201 monooxygenases formed a monophyletic clade with deepest nodes belonging to Group IIIa  
202 genes (Fig. 4A). This pattern indicates that this sub-family of enzymes may have originated  
203 within SAR202 Group IIIa.

#### 204 **The Group I & II enolase paralog expansion, an adaptation to unlock chiral diversity** 205 **in DOM resources?**

206 We observed an expansion of diverse enolase superfamily paralogs in Groups I and II (Fig.  
207 2A, 2B, and 4B). The presence of enolase paralogs in SAR202 genomes was first noted in  
208 MAGs obtained from a northern Gulf of Mexico 'dead zone' (14). Annotations of five most  
209 abundant SAR202 enolases are: D-galactonate dehydratase (52.9% of all annotations); L-  
210 rhamnonate dehydratase (16.4%); starvation-sensing protein RspA (10%); mandelate  
211 racemase (6.8%); and L-Ala-D/L-Glu epimerase (5.4%).

212 The numbers of enolase paralogs in Group 1 ranged from 4 to 75 (1.3 to 3.5% of total genes  
213 found in each subclade); other SAR202 clades appear to encode very few copies of this  
214 enzyme (Fig. 2B), with the exception of Group II SAR202, which encode both FMNO and  
215 enolase paralogs, in roughly equal abundances (Fig. 2B). Enzymes of the enolase  
216 superfamily catalyze mechanistically diverse reactions such as racemizations,  
217 epimerizations,  $\beta$ -eliminations of hydroxyl or amino groups, and cycloisomerizations, but  
218 all the known reactions they catalyze involve abstraction of an  $\alpha$ -proton from carbons  
219 adjacent to carboxylic acid groups and stabilization of the enolate anion intermediate  
220 through a divalent metal ion, usually  $Mg^{2+}$  (24, 25).

221 Muconate cycloisomerases were also detected in SAR202, although they constitute a small  
222 fraction of the enolases found. They belong to the muconate lactonizing enzyme (MLE)  
223 family and are involved in breaking down of lignin-derived aromatic compounds, catechols,  
224 and protocatechuate to produce intermediates that are used in the citric acid cycle (26, 27).  
225 It is worth noting that, although Group I members predominantly encode a large diversity  
226 of enolase family enzymes, some Group III members also encode a few of these genes, the  
227 majority of which are mandelate racemases (Fig. 2B and 4B).

228 A phylogenetic tree was constructed to highlight the diversity and functions of enolase  
229 family enzymes found in Group I SAR202 genomes. Enzymes within this superfamily can be  
230 divided into four categories: enolases, mandelate racemases, muconate lactonizing  
231 enzymes, and methylaspartate ammonia lyases (Fig. 4B). Nearly all of the enolases in  
232 SAR202 belong to the mandelate racemase family. Enzymes within this family include  
233 mandelate racemase, galactonate dehydratase, glucarate dehydratase, idarate dehydratase  
234 and similar enzymes that can either interconvert two stereoisomers or perform  
235 dehydration reactions (24).

236 Enzymes that can interconvert between *R* and *S* forms (stereoisomers) could vastly  
237 improve the fitness of an organism by making it able to utilize both compounds. For  
238 example, organisms that encode mandelate racemase (MR) in their genomes can  
239 interconvert between (*R*)-mandelate and (*S*)-mandelate, the latter of which is the first  
240 compound in the mandelate and hydroxy-mandelate degradation pathways (28). We  
241 postulate the expansion of diverse enolase superfamily paralogs in Groups I and II is an  
242 adaptation to metabolize organic compounds that are recalcitrant to oxidation because of  
243 chiral complexity. In the discussion section, we further explore the ramifications of these  
244 observations.

#### 245 **Sulfatases in Group I and II members**

246 Sulfatases in SAR202 were first reported in a study on dead zones in Gulf of Mexico (14).  
247 We also detected a large number of genes belonging to COG3119 (AslA, Arylsulfatase A)  
248 and related enzymes classified in inorganic ion transport and metabolism predominantly in  
249 Group I and II bins (Fig. 2B). Arylsulfatases and choline sulfatases can hydrolyze sulfated  
250 polysaccharides such as fucoidan produced by marine eukaryotes (algae or fungi). These  
251 enzymes are expressed intracellularly by a species of marine fungus (29), and are also  
252 found in marine *Rhodobacteraceae* that are mutualists of marine eukaryotes (30). Marine  
253 brown algae, such as *Macrocystis*, are known to produce fucoidans, which consist of  $\alpha$ -L-  
254 fucosyl monomers (31). We speculate that SAR202 Groups 1 and 2 could be utilizing  
255 arylsulfatases to break down similar sulfated polysaccharides produced by the algae in the  
256 upper water column.

#### 257 **Ring-hydroxylating dioxygenases in Group IV, a molecular arsenal to break down** 258 **aromatic compounds**

259 One of the enzyme families that seems to be disproportionately expanded in SAR202  
260 belongs to COG4638, annotated as “phenylpropionate dioxygenases or related ring-  
261 hydroxylating dioxygenases, large terminal subunit”. Enzymes belonging to the ring-  
262 hydroxylating dioxygenases (RHDs) family occur as monomers of subunits alpha and beta  
263 ( $\alpha_2\beta_2$  or  $\alpha_3\beta_3$ ) (32). The  $\alpha$  subunit of RHDs contains a Rieske [2Fe-2S] center that transfer  
264 electrons to iron at the active site while the  $\beta$  subunit is thought to play a structural role in  
265 the enzyme complex (32). Members of SAR202 Group IV harbor a large number of these  
266 RHDs, ranging from 1 to 62 paralogous copies for subunit  $\alpha$  (COG4638) and 1 to 3 for  
267 subunit  $\beta$  (COG5517). Given that there are more  $\alpha$  than  $\beta$  subunits, it appears that most of  
268 the RHDs in Group IV function as monomeric RHDs.

269 Of the 365 RHD  $\alpha$  subunits found in SAR202, 136 copies came from Group 4. OSU\_TB11, a  
270 Group 4 SAR202, encodes the highest relative abundance of RHDs at 50 (2.64%) of all  
271 genes in its genome (Fig. 2B). A sponge symbiont member of Group IV (MPMJ01) (22)  
272 encodes the largest number of copies of RHDs (62 copies and 1.96% of its genes), but it also  
273 has one of the largest genomes, 3.22 Mbp. Most of the RHDs were annotated as: phthalate  
274 4,5-dioxygenase oxygenase subunit (38.9%), phenoxybenzoate dioxygenase subunit alpha  
275 (26%), 3-phenylpropionate/cinnamic acid dioxygenase subunit alpha (20.5%), or  
276 carbazole 1,9a-dioxygenase, terminal oxygenase component (8.2%).

277 While the vast majority of the RHDs are annotated as “phthalate 4,5-dioxygenases”, it is  
278 unlikely that phthalates are common substrates in the ocean. Most of Group IV SAGs and  
279 MAGs were recovered from euphotic zone samples; all bins originated from  $\leq 200$  m depth.  
280 We speculate these enzymes are used to metabolize other mono- or polycyclic aromatic  
281 compounds that are mainly released by phytoplankton, providing Group IV SAR202 with  
282 energy and carbon.

283 A recent paper showed that some of the SAR202 members encode large numbers of RHDs  
284 in their genomes, which were likely acquired by horizontal gene transfer (HGT), and  
285 speculated they play a role in the catabolism of resistant DOM of terrestrial origin (16). We  
286 found Group IV MAGs containing copies of RHDs predominantly in samples from coastal  
287 regions of the Indian Ocean and Red Sea, and the Southern Ocean, near Antarctica (Fig. S1).

### 288 **Rhodopsins in epipelagic Group I and II SAR202**

289 Twenty-eight genomes, all from samples obtained from water depths shallower than 150  
290 m, encoded proteorhodopsins, one of which was a heliorhodopsin. Most of the type-1  
291 rhodopsins were found in members of Group Ia, Ib, Ic, and Group II, which we report are  
292 prevalent in the euphotic zone. The single heliorhodopsin, which was found in a Group II  
293 genome, is related to a recently described group of heliorhodopsins (35). Using the  
294 backbone tree from that study (35), the SAR202 Type-1 rhodopsins were placed close to  
295 previously known proteorhodopsins and the sole heliorhodopsin was placed deep within  
296 the newly described heliorhodopsins (Fig. S2 and S3).

### 297 **Depth stratification and biogeography indicate niche specialization is correlated** 298 **with expansions of paralogous gene superfamilies in SAR202**

299 Group I genomes, including those that encoded rhodopsins, were mostly isolated from the  
300 epipelagic (0-200 m), whereas the Group III members were mainly retrieved from the  
301 mesopelagic (200-1000 m) (Fig. 2). We further analyzed a variety of data types and found  
302 that the major SAR202 Groups have different depth ranges (Fig. 5). The oceanic water  
303 column vertical gradients of light (PAR), inorganic nutrients and organic matter quality and  
304 quantity establish specialized nutritional niches. The vertical stratification of SAR202  
305 groups with the evidence described above for metabolic specialization, suggests that  
306 SAR202 diversified to specialize in resources that vary across the water column.

### 307 **Fragment recruitment analyses**

308 Metagenome fragment recruitment showed that Group I members are most abundant in  
309 the epipelagic (from surface to 200 m); Group III recruited more reads from meso, bathy,  
310 abyssal and hadalpelagic samples, and Group II recruited reads from the surface through  
311 the mesopelagic (Fig. 6, S4, and S5). In TARA Oceans metagenomes, Group I members, most  
312 notably Ib, were relatively more abundant in the epipelagic (5-80 m in the Indian Ocean, 5-  
313 60 m in the Mediterranean Sea, 100-150 m in the South Atlantic Ocean, and 115-188 m in  
314 the South Pacific Ocean) (Fig. S4). However, despite decreasing with depth, their  
315 abundance didn't reach zero, indicating populations persist in the deep ocean. In waters  
316 overlying the Japan and Mariana Trenches, Group I members (particularly Ib), were  
317 abundant only near the surface.



318 There is a noticeable absence of Group IIIa members in upper water column above 200 m  
319 in the Northwestern trenches metagenomes (Fig. 6), above 250 m in the TARA Oceans  
320 metagenomes (Fig. S4), and above 200 m in BATS metagenomes (Fig. S5). They are most  
321 abundant in deeper layers (600-1000 m in the Indian Ocean, 590-800 m in the North  
322 Atlantic Ocean, 700-800 m in the South Atlantic Ocean, 375-650 m in the North Pacific  
323 Ocean, 350-696 m in the South Pacific Ocean, and 790 m in the Southern Ocean) (Fig. S4).  
324 Group IIIa members are found almost exclusively below 200 m (200-7000 m at Japan  
325 Trench, 306-9697 m at Ogasawara Trench, and 203-10899 m at Mariana Trench). Members  
326 of Group IIIb, however appear to be more abundant in the upper water columns and less so  
327 in the deeper zones in two metagenome datasets (Fig. 6 and S4).

328 Group II members seem to occupy transitional zones between those occupied by Group I  
329 and Group III members (for example, 270-600 m in the Indian Ocean, 250m in the North  
330 Atlantic Ocean, and 40-450 m in the North Pacific Ocean). However, the zones occupied by  
331 Group II members seem to largely overlap with those of both Group I and Group III  
332 members as well (Fig. 6 and S4). Group II members are again found to occupy intermediate  
333 depths in the Northwestern Pacific Ocean trenches (200-1000 m at Japan Trench, 306-  
334 1206 at Ogasawara Trench, and 203-502 m at Mariana Trench). Some Group II members  
335 are found in wider depth ranges, with one found to be quite abundant in deepest water  
336 samples in all three trenches (Fig. 6).

### 337 **Group I, II and III Florescence in Situ Hybridization Profiles**

338 The first group-specific oligonucleotide probes for SAR202 Groups I, II and III were  
339 developed and used to count cells throughout the BATS water column to 4000 m in July  
340 2017 (Fig. 5). All three groups were detected in significant numbers throughout the water  
341 column, summing to about 5% of total bacteria near the surface and up to 10% at 4000 m.  
342 Group I SAR202 cell numbers peaked in the epipelagic and dropped off sharply below the  
343 euphotic zone (100 m), whereas both Group II and III had a broader distribution across the  
344 epipelagic, peaking sharply within the upper mesopelagic zone at ~ 250 m, as reported  
345 previously. When plotted as relative abundance (lower panels, Fig. 5), the direct cell count  
346 data was consistent with the observations from metagenome recruitment, which are also  
347 presented in relative units.

### 348 **SAR202 FMNO gene relative abundance is correlated with depth**

349 The relative abundance of all TARA FMNO genes (Fig. S8C), and SAR202 specific FMNOs,  
350 was correlated with depth (Fig. 7C), with Pearson  $r$  values for the latter of 0.87 ( $P=9.6e^{-75}$ ).  
351 From these results, it was clear that FMNOs appear to be more functionally important in  
352 the deeper oceans.

353 Because it appeared that FMNOs are abundant in SAR202 members originating from the  
354 bathy- and abyssopelagic, we checked to see if the relative abundances of FMNOs in  
355 SAR202 genomes correlated with depth. Fig. S6A shows a significant positive correlation  
356 between FMNO relative abundance vs. depth and Fig. S6B shows weak but significant  
357 negative correlation between enolase abundances vs. depth. These data indicate that  
358 FMNOs are mostly abundant SAR202 cells from deep waters, whereas the enolases are  
359 more abundant in shallow water ecotypes.

360 The analysis in Fig. 7D tests the prediction that molecules differing by the addition of a  
361 single oxygen atom, as expected from the chemical mechanism of FMNO enzymes, should  
362 be more abundant in the deep ocean. In the plot, the ratio between the number of m/z  
363 observations that differ in mass by one oxygen, to observations that differ in mass by one  
364 carbon, increases dramatically below the epipelagic. In the model we presented previously,  
365 cells are presumed to enzymatically modify resistant DOM compounds, channeling some to  
366 catabolism, while exporting from the cell molecules that cannot be further degraded (10).

### 367 **Enolase abundances show weak correlation with depth**

368 Because enolases appear to be a notable feature of SAR202 SAGs and MAGs from the upper  
369 water column, we assessed whether relative enolase abundances were also correlated with  
370 depth. Fig. S6B shows that there is a slight negative correlation between the % abundance  
371 of enolase genes in MAGS and SAGS and the depth they were recovered from, but SAR202  
372 enolases in the TARA Oceans metagenomic data show a somewhat positive correlation with  
373 depth (Pearson r value of 0.6,  $P=1.4e^{-25}$ ) (Fig. S7). This was surprising because we reasoned  
374 that the enolases might be involved in breaking down more labile compounds found in the  
375 upper water column based on the genomic data and expected higher abundances of  
376 enolases in the samples from upper water columns. One reason for this discrepancy could  
377 be biased sampling of MAGs from TARA Oceans metagenome samples. We selected 43  
378 TARA samples to re-assemble based on SAR202 abundances; some samples from deeper  
379 regions that we did not assemble could harbor uncharacterized SAR202 subgroups that  
380 encode a large number of enolases.

### 381 **Discussion**

382 Pangenome analysis confirmed earlier reports and uncovered further evidence of ancient  
383 expansions of paralogous enzymes in the SAR202 clade (Fig. 2B, 4A, 4B). The paralogous  
384 gene families were correlated with deep branches in the SAR202 genome tree, which divide  
385 the clade into six subgroups. Metagenome analyses, and cell counts made with FISH  
386 probes, showed that several of the SAR202 groups are vertically stratified through the  
387 water column, suggesting niche specialization (Fig. 6). Collectively, these patterns amount  
388 to strong evidence that the early evolutionary radiation of SAR202 into subgroups was  
389 accompanied by metabolic specialization and expansion into different ocean niches.

390 It is striking that the major paralog expansions in SAR202 suggest three different metabolic  
391 strategies, each potentially targeting a different class of semi-labile DOM compounds. In  
392 the hypothetical schemes we developed, the evolutionary diversification of paralogous  
393 enzyme families was driven by selection favoring substrate range expansion. We found  
394 support for this scheme in evidence these gene lineages arose early in evolution. While  
395 deep internal nodes for these genes in tree topologies could result from the recruitment of  
396 paralogs by horizontal gene transfer, the rarity of near gene neighbors across the tree-of-  
397 life favors the explanation that most of the paralog diversity arose within SAR202 by gene  
398 duplication during evolution. If this interpretation is correct, it implies that much of the  
399 functional diversity in two major enzyme families, the alkanal monooxygenases within the  
400 FMNO superfamily and madelate racemases within the racemase superfamily, may have

401 originated within SAR202. This is apparently not the case for the Group IV dioxygenases,  
402 for which there is evidence of acquisition by HGT (16).

403 Surprisingly, because SAR202 have the reputation of being deep ocean microbes, the  
404 ecological data we gathered revealed that Group I SAR202 are mainly epipelagic, and  
405 harbor large and diverse families of enolase paralogs. We interpret this proliferation of  
406 enolase superfamily paralogs as evidence that these organisms have evolved to metabolize  
407 organic matter that is resistant to oxidation because of chiral complexity. Enolase  
408 superfamily enzymes remove the  $\alpha$ -proton from carboxylic acids to form enolic  
409 intermediates, which can rotate on the axis of the double bond of the intermediate, with  
410 stereochemical consequences (24). These enzymes catalyze racemizations,  $\beta$ -eliminations  
411 of water,  $\beta$ -eliminations of ammonia, and cycloisomerizations. Chemical oceanographers  
412 have recognized a role for molecular chirality in diagenesis, reporting that the ratio of D- to  
413 L-aspartic acid uptake by prokaryotic plankton increases by two to three orders magnitude  
414 between surface and deep mesopelagic waters in the North Atlantic (36). This has been  
415 interpreted as evidence that mesopelagic prokaryotic plankton are using bacterial cell  
416 wall-derived organic matter because the bacterial peptidoglycan layer is the only major  
417 biotic source of significant D-amino acids in the ocean (37). However, information about  
418 D-amino utilization by marine microbes remains limited (38).

419 The possibility that SAR202 harness paralogous enzymes of the enolase superfamily to  
420 metabolize compounds that are resistant because of chirality is a powerful concept. We  
421 propose that chiral complexity defines a class of resistant compounds, and that enolases  
422 are an innovation that makes this DOM accessible to degradation by reducing the number  
423 of enzymes needed to degrade it. The number of enantiomers of a compound increases by  
424  $2^n$ , where  $n$  is the number of chiral centers. Thus, a single compound with three chiral  
425 centers might in principle require eight enzymes to recognize all stereoisomers. However,  
426 if the three chiral centers were racemized by enolases, then only four enzymes would be  
427 required – one degradative enzyme and one enzyme to racemize each of the chiral centers.  
428 Spontaneous racemization might play a role in increasing the chiral complexity of DOM and  
429 thereby transitioning it to more resistant forms, but it might also originate in biological  
430 complexity, much of which is unexplored. The role for enolases that we propose evokes the  
431 *molecular diversity hypothesis* by speculating there is a relationship between the complexity  
432 of DOM and its resistance to degradation. Most often, the *molecular diversity hypothesis* is  
433 used to explain the relationship between the dilution of DOM and its susceptibility to  
434 degradation.

435 We speculate that Group I SAR202 are specialized to harvest a fraction of DOM molecules  
436 that are semi-labile because of unusual chiral structures. Group II SAR202, which are most  
437 abundant in the mesopelagic, maintain both the enolase and FMNO enzyme families in  
438 equal abundances, suggesting they use both DOM resources – chirally complex organic  
439 matter and compounds that can be catabolized via monooxygenases - in this intermediate  
440 water column zone. Earlier studies have demonstrated that, in addition to a DOC  
441 concentration decreasing with ocean depth, the abundance of diagenetically altered DOM  
442 compounds increases below the euphotic zone (39-41). In bathypelagic, abyssopelagic and  
443 hadalpelagic regions, Group III dominate, presumably indicating that molecules susceptible  
444 to oxidation by FMNOs become one of the few remaining harvestable DOM resources at

445 these depths. In this scenario, SAR202 diversified strategically to exploit multiple different  
446 classes of resistant carbon compounds in niches distributed throughout the water column.  
447 The positions and separation of the subclades in trees, and the diversity of the enzymes  
448 involved, suggest this evolution occurred early in SAR202 history. Close examination of  
449 Fig. 6 shows that there are more finely structured patterns of congruence between tree  
450 topologies and depth range than the broad patterns we focus our discussion on. For  
451 example, some lineages of Group Ia were consistently observed in bathypelagic, and some  
452 Group II near the surface. It is apparent that more complex relationships between ecology,  
453 evolution and metabolism remain to be explored in SAR202.

454 This study confirmed previous reports of expansions of FMNO enzymes in Group III  
455 genomes recovered from the deepest ocean regions (10), and RHD enzymes in Group IV  
456 genomes from coastal sites. Both FMNO and RHD enzymes are powerful oxidases  
457 implicated in the catabolism of resistant compounds such as sterols and lignins. The  
458 expansion of these enzyme families is proposed to have enabled SAR202 to exploit new  
459 niches defined by these DOM resources. In the case of Group IV this would be lignins and  
460 other aromatic compounds of terrestrial origin, whereas Group III is proposed to partially  
461 oxidize a wide variety of recalcitrant molecules, including perhaps sulfonates and  
462 heterocyclic compounds. It has been hypothesized that the partial oxidation of these  
463 compounds might produce more recalcitrant compounds that accumulate RDOM.

464 The genome-enabled hypotheses we propose will be challenging to test, but nonetheless  
465 should be studied because the organic carbon pool in question is so large. Deep-ocean  
466 regions beyond the reach of sunlight contain an estimated 662 Pg of DOC (1), which ranges  
467 in quality between LDOM and RDOM (3, 42). If our hypotheses are correct, this pool would  
468 be much larger if cells had not evolved strategies to oxidize many forms of resistant DOM.  
469 In principle, the modern RDOM pool would become much smaller if contemporary cells  
470 evolved mechanisms to oxidize it, with catastrophic consequences for the environment.

471 The complexity of DOM presents many challenges to proving these hypotheses. Thus far,  
472 DOM chemical structures have not been resolved with sufficient accuracy to support a  
473 detailed accounting of compounds and corresponding pathways of microbial catabolism.  
474 An example of these problems is the issue of chemical enantiomers, which have identical  
475 empirical formulas, making them perhaps the most difficult challenge. In brainstorming  
476 these challenges, we encountered one success (Fig. 7D) which illustrates both the difficulty  
477 of the task and the hope for finding solutions. Future work might focus both on the  
478 composition of DOM and the activities of cells that are not yet cultured in laboratories.

## 479 **Materials and Methods**

480 Methods for metagenomic library preparation and sequencing, single-gene phylogenetic  
481 and phylogenomic analyses, direct cell counts and fluorescent in-situ hybridization of  
482 SAR202 can be found in the supplemental online document.



483 **Sample collection and sequencing of single amplified genomes and shotgun**  
484 **metagenomic sequencing from the three trench sites**

485 SAG generation was performed using fluorescence-activated cell sorting and multiple  
486 displacement amplification at Bigelow Laboratory Single Cell Genomics Center (SCGC;  
487 [scgc.bigelow.org](http://scgc.bigelow.org)), as previously described (43). Selection for genomic sequencing was  
488 aimed at representing the diverse SAR202 subgroups based on their 16S rRNA  
489 phylogenetic tree placement and 10 single-cell amplified genomes (SAGs) were selected for  
490 genomic sequencing based on the phylogenetic placement (data not shown). They originate  
491 from samples from three deep-sea trenches in the Northwestern Pacific Ocean: Mariana,  
492 Japan, and Ogasawara Trenches. Water samples from the central part of the Izu-Ogasawara  
493 (Izu-Bonin) Trench (29°9.00' N, 142°48.07' E, 9776 m below sea surface [mbs]) were  
494 obtained using Niskin-X bottles (5-liter type, General Oceanics) during a total of two dives  
495 of the *ROV ABISMO* during the Japan Agency for Marine-Earth Science & Technology  
496 (JAMSTEC) *R/V Kairei* KR11-11 cruise (Dec 2011). Water samples from the southern part  
497 of the Japan Trench (36° 5.88' N, 142° 45.91' E, 8012 mbs) was obtained by vertical  
498 hydrocasts of the CTD-CMS (Conductivity Temperature Depth profiler with Carousel  
499 Multiple Sampling system) with Niskin-X bottles (12-liter type, General Oceanics) during  
500 the JAMSTEC *R/V Kairei* KR12-19 cruise (Dec 2012). From the Challenger Deep of the  
501 Mariana Trench Water samples except for the trench bottom water were taken by Niskin-X  
502 bottles (5-liter type) on the *ROV ABISMO* and the trench bottom water was obtained by a  
503 lander system (44) during the JAMSTEC *R/V Kairei* KR14-01 cruise (Jan 2014). Samples for  
504 SAG generation were stored at -80°C with 5 % glycerol and 1 x Tris-EDTA buffer (final  
505 concentrations) (45). For the shotgun metagenomic library construction, Microbial cells in  
506 approximately 3-4 L of seawater were filtered using a cellulose acetate membrane filter  
507 (pore size of 0.22 µm, diameter of 47 mm) (Advantec, Tokyo, Japan).

508 Four SAGs were sequenced at SCGC and six SAGs were sequenced at Center for Genome  
509 Research and Biocomputing (CGRB) at Oregon State University after NexteraXT sequencing  
510 libraries were prepared at JAMSTEC. Sequencing libraries for SAGs obtained from the  
511 Mariana Trench site was directly synthesized with Nextera XT DNA Library Preparation Kit  
512 (Nextera XT) as described previously (46). The amplification cycle for the construction of  
513 these libraries was 17 except the case of AD AD-812-D07 with 12 cycles of amplification.

514 **Genome assemblies, binning, and annotation**

515 Illumina library preparation, sequencing, de novo assembly and QC of SAGs AC-409-J13,  
516 AC-647-N09, AC-647-P02 and AD-493-K16 were performed by SCGC, as previously  
517 described (43). For the remaining six SAGs, raw sequences were first quality trimmed using  
518 Trimmomatic tool (47). Four SAGs were assembled individually using SPAdes assembler  
519 version 3.9.0 (48) with “-careful and -sc” flags. Due to cross-contamination present in a  
520 second batch of 6 SAGs sequenced, they were co-assembled using metaSPAdes, then  
521 CONCOCT was used to separate the contigs from each SAG into respective bins. CheckM  
522 analysis of the bins showed that contamination levels in each identified bin were very low  
523 (below 0.2%) and the 6 SAGs are from very divergent clades, so that they can be easily  
524 separated by differential coverage binning approach.



525 Raw sequences from 17 metagenomics samples from Bermuda Atlantic Time-series Study  
526 (BATS) and 43 metagenomic samples from TARA Oceans expedition were quality trimmed  
527 using Trimmomatic and individually assembled using metaSPAdes version 3.9.0 (49). The  
528 43 TARA Oceans metagenomes chosen contain at least 1% of relative SAR202 abundance  
529 based on metagenomics tag (miTAG) sequence data (50) (Supplemental Table 2).

530 All metagenomics contigs larger than 1.5 kbp were separated using metabat (51) to gather  
531 potential SAR202 bins. Metabat requires the use of multiple samples to calculate contig  
532 abundance profile in the samples. For TARA Oceans metagenomes, in order to generate  
533 abundance profiles, contigs were mapped against a minimum of 10 TARA oceans  
534 metagenome samples chosen randomly (including the sample from which the contigs were  
535 assembled) using BBmap (<http://sourceforge.net/projects/bbmap/>). For BATS  
536 metagenomes, BBmap was also used against all 17 metagenomes to generate config  
537 abundance profiles. Identities of the resulting bins were checked for presence of 16S rRNA  
538 gene sequence matching known SAR202 sequences from Silva database release 128. In  
539 cases where there were no 16S rRNA genes in the bins, concatenated ribosomal protein  
540 phylogenies were constructed to identify members of the SAR202 clade. A total of 26 MAGs  
541 from a recent study (23) was also included in the binning process. These also were  
542 metagenomic bins from TARA metagenomes that have been assembled with megahit. The  
543 list of bins used in this study are shown in Supplemental Table 1. We also checked the bins  
544 obtained by another study using the TARA metagenomes (21) to see if there are redundant  
545 genome bins in our assemblies.

546 After potentially novel SAR202 bins were identified, average nucleotide identities between  
547 all TARA genome bins were determined with PyANI tool  
548 (<https://github.com/widdowquinn/pyani>) and a custom Python script  
549 "osu\_uniquefy\_TARA\_bins.py" was used to identify bins that share 99% ANI. When near-  
550 identical bins were matched, more complete and less contaminated genome bin was  
551 retained. In cases where bins originated from the same TARA station, near-identical bins  
552 were combined and co-assembled with Minimus2 tool (52) to improve the genome  
553 completeness. Refinement of metagenomic bins was done using Anvi'o tool (53) to identify  
554 any potentially contaminating contigs. Some genomic bins were entirely discarded if too  
555 many multiple copies of single-copy genes are present that cannot be separated by Anvi'o.  
556 Genome completeness and redundancies were estimated using the tool CheckM (54).  
557 Genomes at various levels of completion that are less than 1.1% in redundancy of single-  
558 cope marker genes and less than 5% contamination were included for further analyses.

559 All the SAGs and MAGs were annotated with Prokka version 1.11 (55) to assign functions.  
560 Coding sequences predicted by Prokka were also submitted to GhostKOALA web server  
561 (56) to assign KEGG annotations to the predicted genes. In addition, Interproscan  
562 (database version 5.28-67.0) and eggNOG-Mapper (57) searches were also carried out.  
563 Metagenome-assembled genomes (MAGs) and SAGs from previous studies were also re-  
564 annotated together with the new genomes to keep the functional assignments consistent.

## 565 **Metagenome fragment recruitment analyses**

566 Recruitment of quality-trimmed metagenomic reads from three different metagenomic  
567 databases against the SAG and MAG contigs masked to exclude ribosomal RNA-coding  
568 regions (16S, 23S, and 5S rRNA genes as predicted by barrnap) was done using FR-hit (58)  
569 with the following parameters: “-e 1e-5 -r 1 -c 80”. These parameters allowed for reads  
570 matching a given reference genome with similarity score of 80% or higher to be counted as  
571 positive matches. The metagenomic samples used for fragment recruitment were: 17  
572 samples from BATS, 43 samples from TARA, and 22 samples from (6 from Japan, 9 from  
573 Ogasawara, and 7 from Mariana Trenches) (Supplemental Table 1). Recruitment was  
574 calculated as a percentage of quality-trimmed metagenomic reads aligned against a SAG or  
575 a MAG genome size in basepairs, normalized by total base pairs of reads in a given sample.  
576 Recruitment plot was made using “osu\_plot\_recruitment\_heatmap.py” Python script (see  
577 [https://bitbucket.org/jimmysaw/sar202\\_pangenomics/src/master/](https://bitbucket.org/jimmysaw/sar202_pangenomics/src/master/)).

## 578 **Analysis of TARA Oceans metagenome SAR202 enzyme abundances**

579 A custom Kraken (59) database was first built from the 122 SAR202 genomes used in this  
580 study. All coding DNA sequences in the 243 TARA Oceans metagenomic samples were then  
581 searched against the custom Kraken database containing SAR202 genomes with rRNA  
582 regions masked to identify all coding sequences belonging to SAR202 genomes.

## 583 **Data availability**

584 All the SAGs and metagenomes are deposited to National Center for Biotechnology  
585 Information and their accession numbers are listed in the Supplemental Table 1. Prokka  
586 annotations of the genomes are available on Figshare (DOI: 10.6084/m9.figshare.8343809).  
587 All the metagenomes used for fragment recruitment analysis have been deposited to DNA  
588 Data Bank of Japan with the following submission IDs: Ogasawara Trench: DRA005790,  
589 Japan Trench: DRA005791, Mariana Trench: DRA005792. Accession numbers of each  
590 metagenomic sample are provided in the Supplemental Table 1. All code (Bash, Python, R  
591 scripts) used to analyze data and to generate figures are accessible at a Bitbucket  
592 repository ([https://bitbucket.org/jimmysaw/sar202\\_pangenomics/src](https://bitbucket.org/jimmysaw/sar202_pangenomics/src)).

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## 605 **Figure Legends**

606

607 **Figure 1.** Phylogenomic tree of SAR202 genomes, built using 36 concatenated chloNOGs.  
608 Phylogenomic inference was done using Phylobayes MPI version 1.7. Cyanobacterial  
609 sequences were used for the outgroup. Color shading identifies SAR202 groups used in  
610 subsequent figures. Detailed tree showing all tip labels are available on Figshare (DOI:  
611 10.6084/m9.figshare.8478227).

612

613 **Figure 2 (A)** Heatmap of most abundant COG categories in SAR202 genomes categorized  
614 by subgroups. The first column of color bars indicates different SAR202 subgroups and the  
615 second column of color bars indicate the depth of samples from which the SAGs or the  
616 MAGs were obtained. The number on the heatmap color gradient indicates z scores of  
617 percent abundance of total number of genes. **(B)** Distribution of the major paralog  
618 expansions among the SAR202 subgroups.

619

620 **Figure 3.** Correlations among top 50 most abundant COG functional categories,  
621 demonstrating that the major paralog expansions identified in Figure 2 are linked to other  
622 expanded families of proteins, indicating metabolic specialization.

623

624 **Figure 4. (A)** Phylogenetic tree of the FMNO superfamily of enzymes. Internal nodes  
625 marked with colored circles indicate points of attachment for SAR202 lineages. The deep  
626 positions of the SAR202 nodes suggest that a substantial part of enzyme diversity in the  
627 FMNO superfamily is found in SAR202. The cluster of Group IIIA nodes deep in the alkanal  
628 monooxygenase subclade suggest that these enzymes, in particular, may have evolved in  
629 SAR202. **(B)** Phylogenetic tree of the enolase superfamily of enzymes. SAR202 paralogs  
630 branch deeply and are confined to the madelate racemase-like enzyme sub-family of  
631 enolases. Scale bar represents the number of amino acid substitutions.

632

633 **Figure 5.** Depth profiles showing SAR202 Group I abundance (blue circle and line);  
634 SAR202 Group II abundance (green circle and line) and SAR202 Group III abundance  
635 (yellow circle and line) as determined by FISH group-specific oligonucleotide probes.  
636 Depth profiles showing SAR202 Group I percent contribution to total bacterioplankton  
637 determined by DAPI cell counts (blue triangle and line); SAR202 Group II percent  
638 contribution to total bacterioplankton (green triangle and line) and SAR202 Group III  
639 percent contribution to total bacterioplankton (yellow triangle and line).

640

641 **Figure 6.** Fragment recruitment analysis of metagenomic reads from three deep-ocean  
642 trenches against the SAR202 genomes. Arrangement of SAR202 genomes follows the  
643 branching order in the Bayesian phylogenomic tree shown in Figure 1. Recruitment is  
644 calculated as the number of bases of metagenomic reads aligned against SAGs or MAGs

645 normalized by total number of bases present in a given metagenomic sample. The intensity  
646 of shading represents the degree of recruitment.

647

648 **Figure 7. (A)** World Map showing relative abundances of SAR202-specific FMNOs in TARA  
649 Oceans metagenomes. Sample with highest relative abundance is highlighted in red circle.  
650 **(B)** SAR202-specific FMNOs relative abundances vs. depth in TARA oceans metagenomes.  
651 **(C)** Normalized FMNO abundances in SAR202 are highly correlated with depth in TARA  
652 Oceans metagenomes. Normalization of FMNO abundances was obtained by dividing total  
653 SAR202 FMNOs by total SAR202 single-copy genes found in each sample. **(D)** The ratio of  
654 observations of organic metabolites with mass : charge ratio ( $m/z$ ) that differ in mass by  
655 one oxygen, to observations that differ in mass by one carbon, in FTICR-MS data from deep  
656 ocean marine DOM samples collected from the Western Atlantic. The stations ranged from  
657  $38^{\circ}$  S (station 2) to  $10^{\circ}$  N (station 23). Across the full dataset, the most common  $m/z$   
658 difference observed corresponds to one carbon atom of mass. The data show that  
659 transformations corresponding to the addition of a single oxygen atom, as would be  
660 catalyzed by a flavin-dependent monooxygenase, become relatively more frequent in the  
661 dark ocean. Of several patterns predicted from a previous study (10), this one alone  
662 showed a consistent trend.

663

664 **Figure S1. (A)** Distribution of SAR202 SAGs and MAGs encoding Ring-Hydroxylating  
665 Dioxygenases (RHDs) and **(B)** SAR202-specific RHD abundances in TARA Oceans  
666 metagenomes. SAGs/MAGs with highest RHD abundances are located in coastal locations.  
667 Samples were normalized by dividing total SAR202 RHDs by total SAR202 single-copy  
668 genes found in each sample.

669

670 **Figure S2.** Maximum Likelihood phylogenetic tree of rhodopsins found in SAR202 groups  
671 based on a tree from a recent study (35). SAR202 rhodopsins are closely related to blue-  
672 and green-light absorbing proteorhodopsins (PR). Orange and white node circles indicate  
673 ultrafast bootstrap support values above and below 90, respectively.

674

675 **Figure S3.** Detailed phylogenetic tree of SAR202 rhodopsins from Figure S3, showing tips  
676 colored according to SAR202 subgroups. The phylogenetic tree was built using IQ-Tree  
677 with the following parameters: -m LG+C10+F+G -bb 1000.

678

679 **Figure S4.** Fragment recruitment of metagenomic reads from TARA Oceans metagenomic  
680 samples against all SAR202 SAGs and MAGs. Color boxes on the left of the heatmap  
681 represent different oceanic regions with the abbreviations of these oceanic regions shown  
682 in the boxes. Metagenomic samples are arranged according to depth and sample names and  
683 depth information are shown on the right of the heatmap. Branching order of the SAR202  
684 genomes follow the order shown in the Bayesian phylogenetic tree in Figure 1.

685

686 **Figure S5.** Fragment recruitment of metagenomic reads from BATS metagenomic samples  
687 against all SAR202 SAGs and MAGs. Color boxes on the left of the heatmap represent  
688 different depths and the depth information is shown in the box. Metagenomic samples are  
689 arranged according to depth and sample names are shown on the right of the heatmap.  
690 Branching order of the SAR202 genomes follow the order shown in the Bayesian  
691 phylogenetic tree in Figure 1.

692

693 **Figure S6.** Correlation of relative enzyme abundances vs. depth of origin of most abundant  
694 paralogous families of genes in SAR202 SAGs and MAGs. The enzyme families are, **(A)**  
695 FMNOs, **(B)** enolases, **(C)** RHDs, and **(D)** dehydrogenases.

696

697 **Figure S7. (A)** Relative abundances of SAR202-specific enolases in TARA Oceans  
698 metagenome samples. Distribution of samples are plotted in order of sampling dates and  
699 depth of origin of the samples. **(B)** Correlation of normalized SAR202-specific enolase  
700 relative abundances vs. depth of origin in TARA Oceans metagenome samples. Samples  
701 were normalized by dividing total SAR202 enolases by total SAR202 single-copy genes  
702 found in each sample.

703

704 **Figure S8. (A)** World Map showing relative abundances of all FMNOs identified in all TARA  
705 Oceans metagenomes. These include SAR202-specific FMNOs and those from other  
706 organisms. Sample with highest relative abundance is highlighted in red. Different sizes of  
707 the bubbles represent the different percentages of abundance as shown in the circles below  
708 the map. **(B)** Relative abundances of FMNOs along depth profile in all TARA Oceans  
709 metagenomes. Samples are sorted in order of sampling time (from beginning to end). **(C)**  
710 Correlation between relative abundances of all FMNOs in TARA metagenomes vs. depth.

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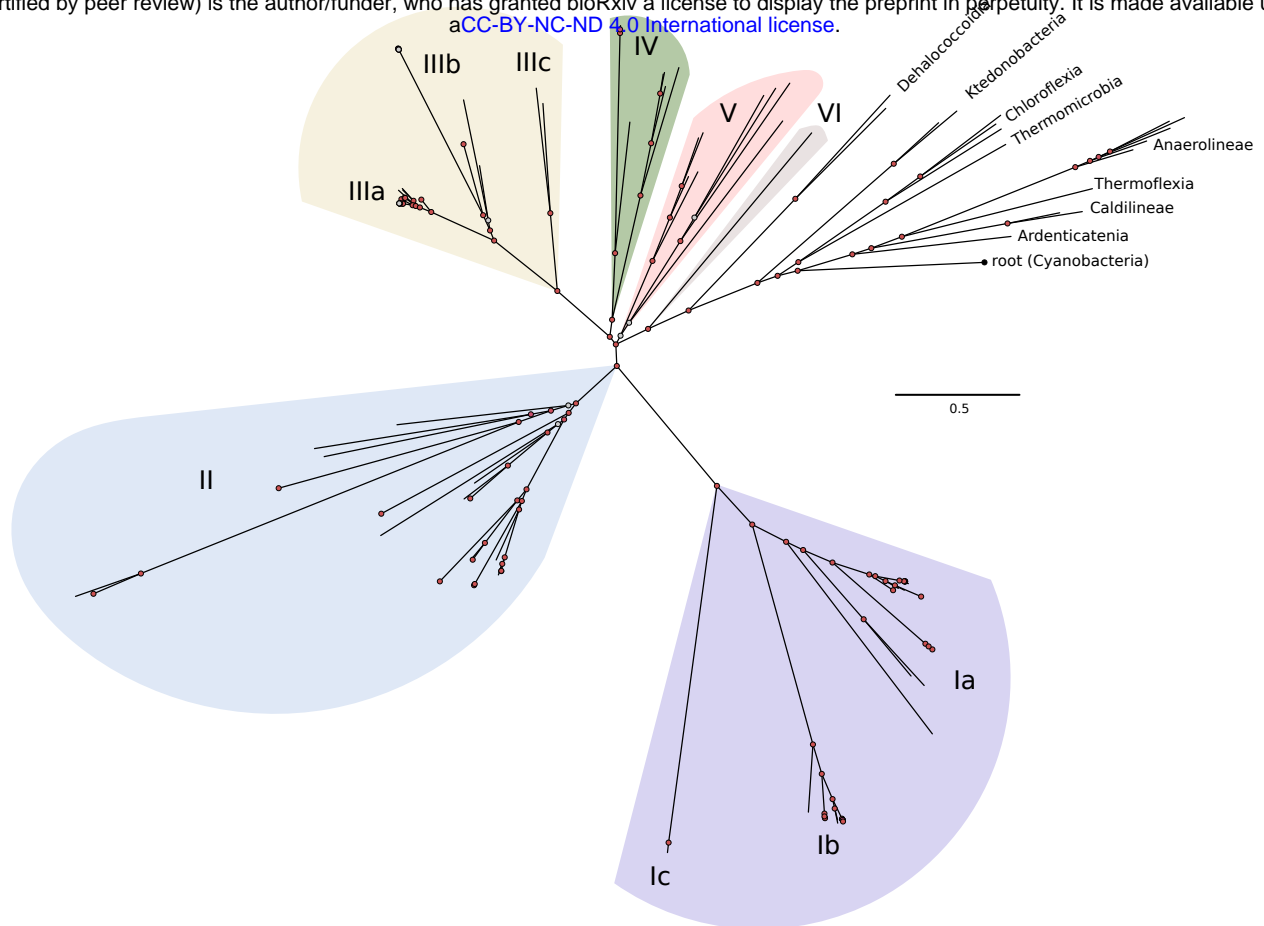


Figure 1: Phylogenomic tree of SAR202 genomes, built using 36 concatenated chloNOGs. Phylogenomic inference was done using Phylobayes MPI version 1.7. Cyanobacterial sequences were used for the outgroup. Color shading identifies SAR202 groups used in subsequent figures.



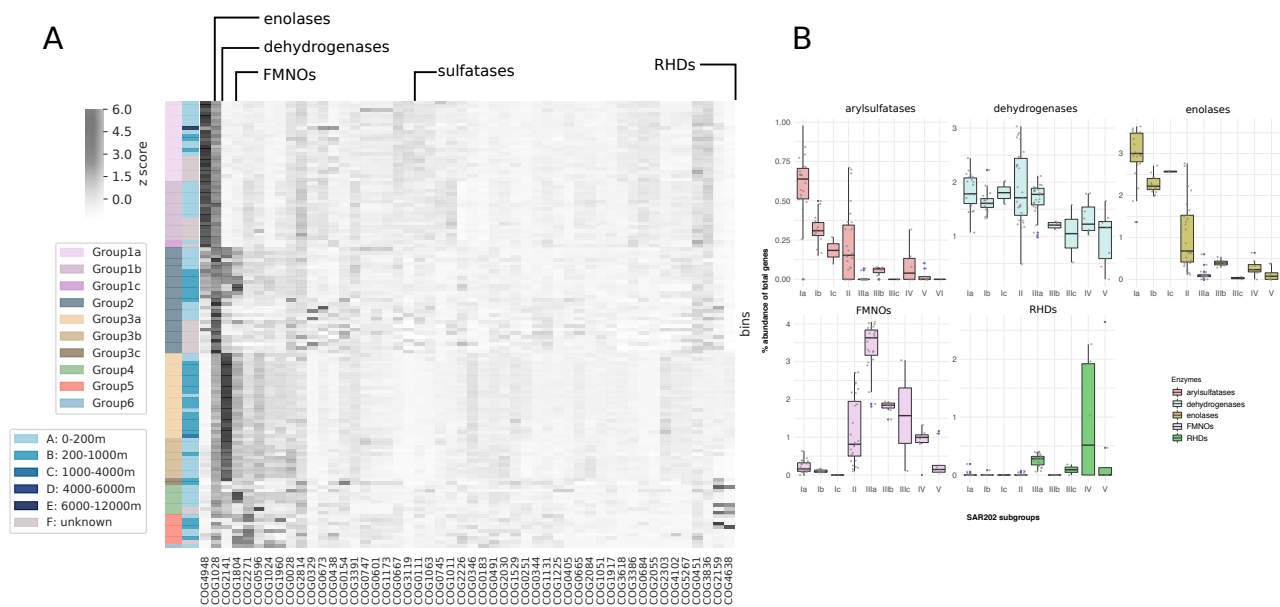


Figure 2: **(A)** Heatmap of most abundant COG categories in SAR202 genomes categorized by subgroups. The first column of color bars indicates different SAR202 subgroups and the second column of color bars indicate the depth of samples from which the SAGs or the MAGs were obtained. The number on the heatmap color gradient indicates z scores of percent abundance of total number of genes. **(B)** Distribution of the major paralogs among the SAR202 subgroups.

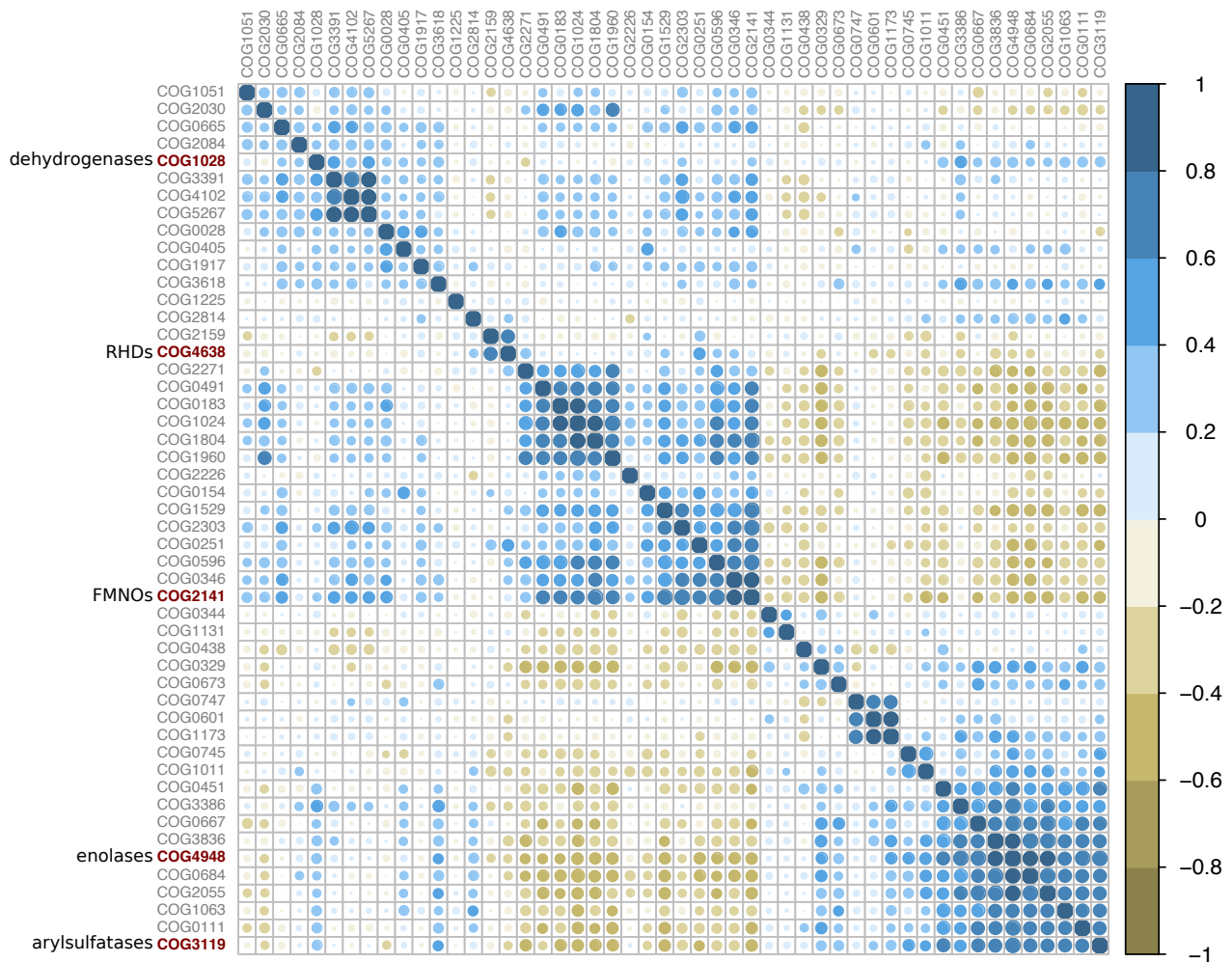


Figure 3: Correlations among top 50 most abundant COG functional categories, demonstrating that the major paralog expansions identified in Figure 2 are linked to other expanded families of proteins, indicating metabolic specialization.

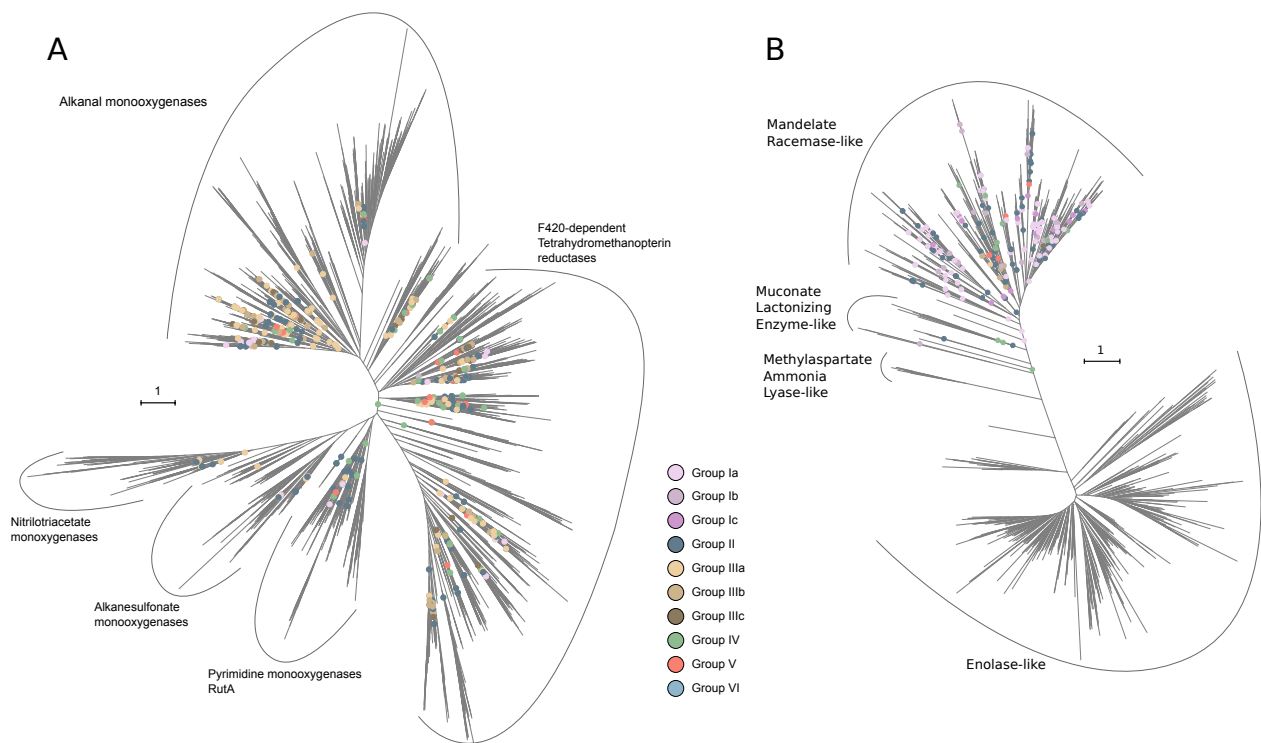


Figure 4: **(A)** Phylogenetic tree of the FMNO superfamily of enzymes. Internal nodes marked with colored circles indicate points of attachment for SAR202 lineages. The deep positions of the SAR202 nodes suggest that a substantial part of enzyme diversity in the FMNO superfamily is found in SAR202. The cluster of Group IIIA nodes deep in the alkanal monooxygenase subclade suggest that these enzymes, in particular, may have evolved in SAR202. **(B)** Phylogenetic tree of the enolase superfamily of enzymes. SAR202 paralogs branch deeply and are confined to the mandelate racemase-like enzyme sub-family of enolases. Scale bar represents the number of amino acid substitutions.

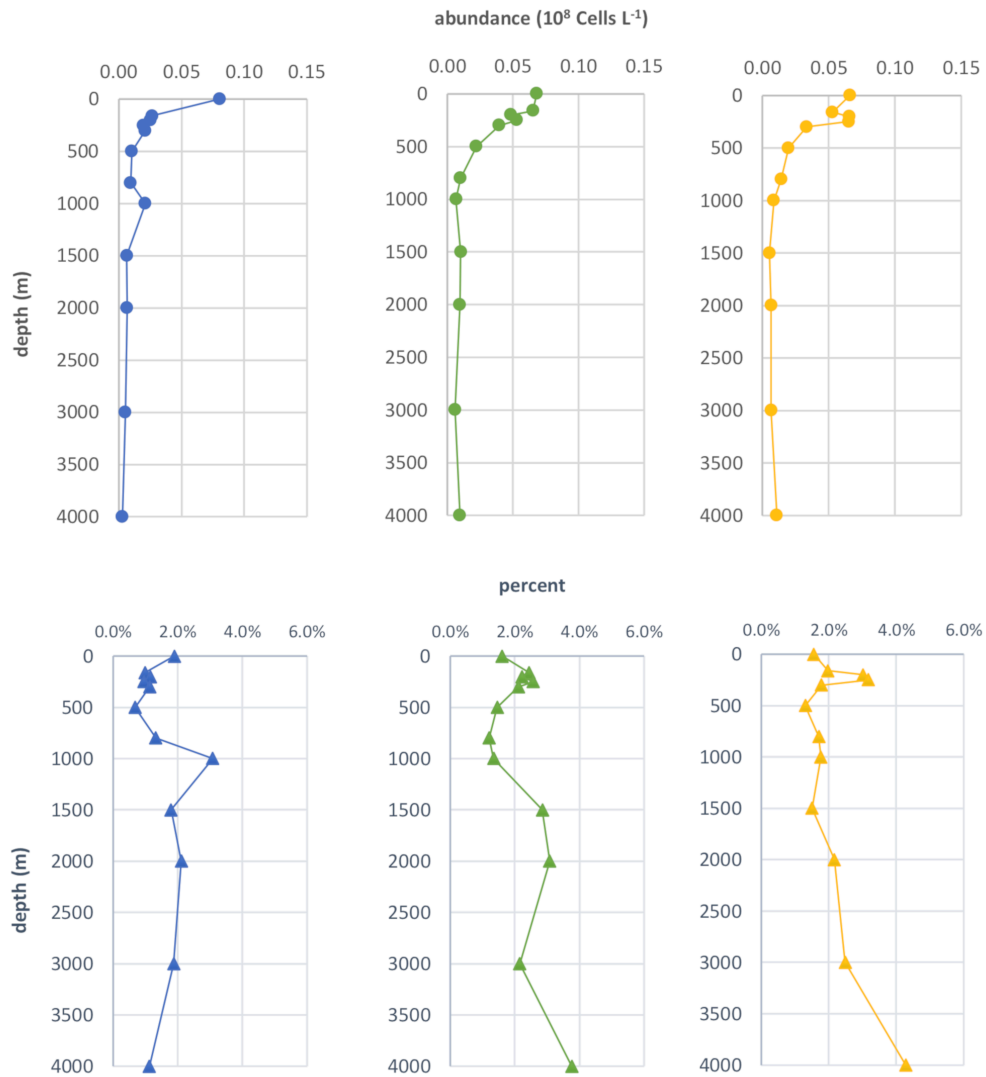


Figure 5: Depth profiles showing SAR202 Group I abundance (blue circle and line); SAR202 Group II abundance (green circle and line) and SAR202 Group III abundance (yellow circle and line) as determined by FISH group-specific oligonucleotide probes. Depth profiles showing SAR202 Group I percent contribution to total bacterioplankton determined by DAPI cell counts (blue triangle and line); SAR202 Group II percent contribution to total bacterioplankton (green triangle and line) and SAR202 Group III percent contribution to total bacterioplankton (yellow triangle and line).

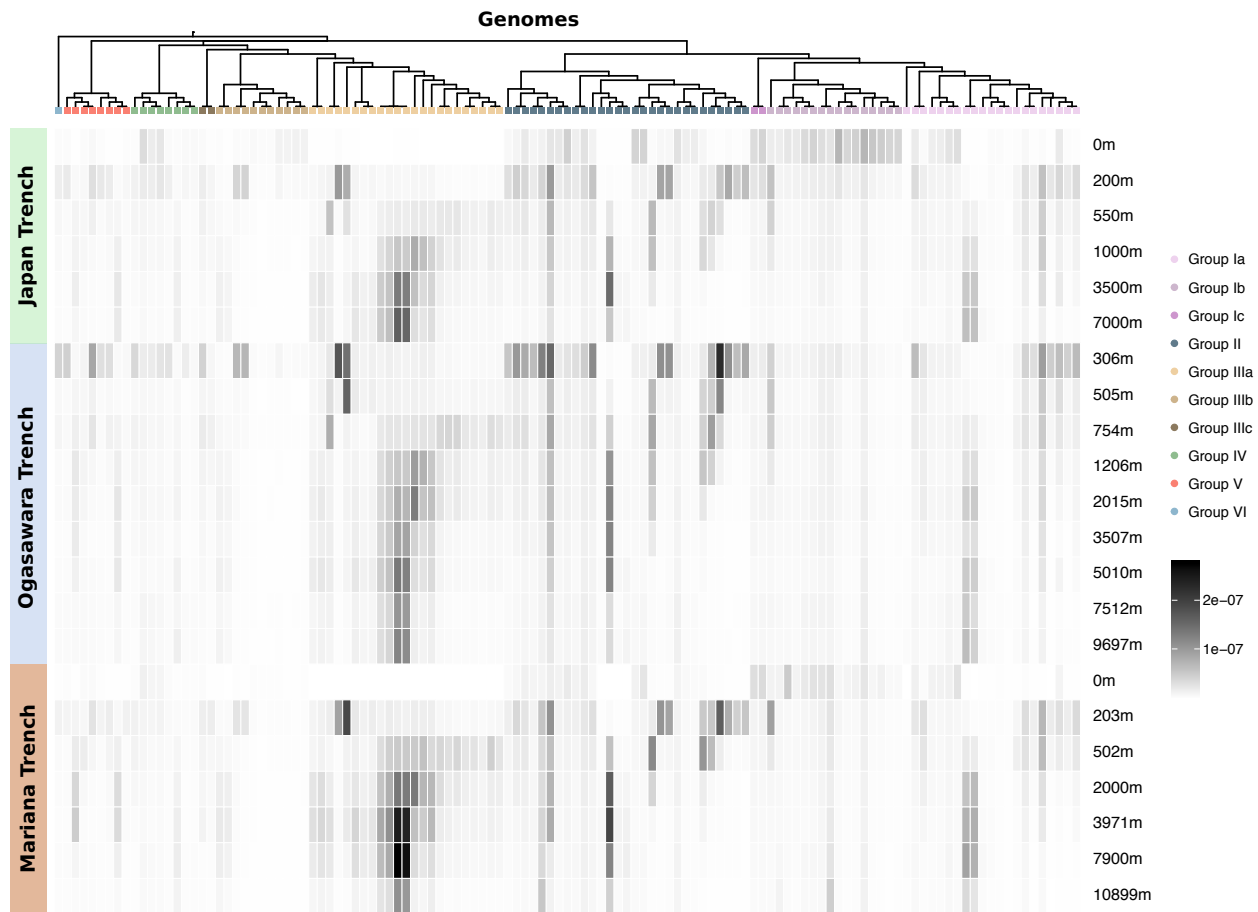


Figure 6: Fragment recruitment analysis of metagenomic reads from three deep-ocean trenches against the SAR202 genomes. Arrangement of SAR202 genomes follows the branching order in the Bayesian phylogenomic tree shown in Figure 1. Recruitment is calculated as the number of bases of metagenomic reads aligned against SAGs or MAGs normalized by total number of bases present in a given metagenomic sample. The intensity of shading represents the degree of recruitment.



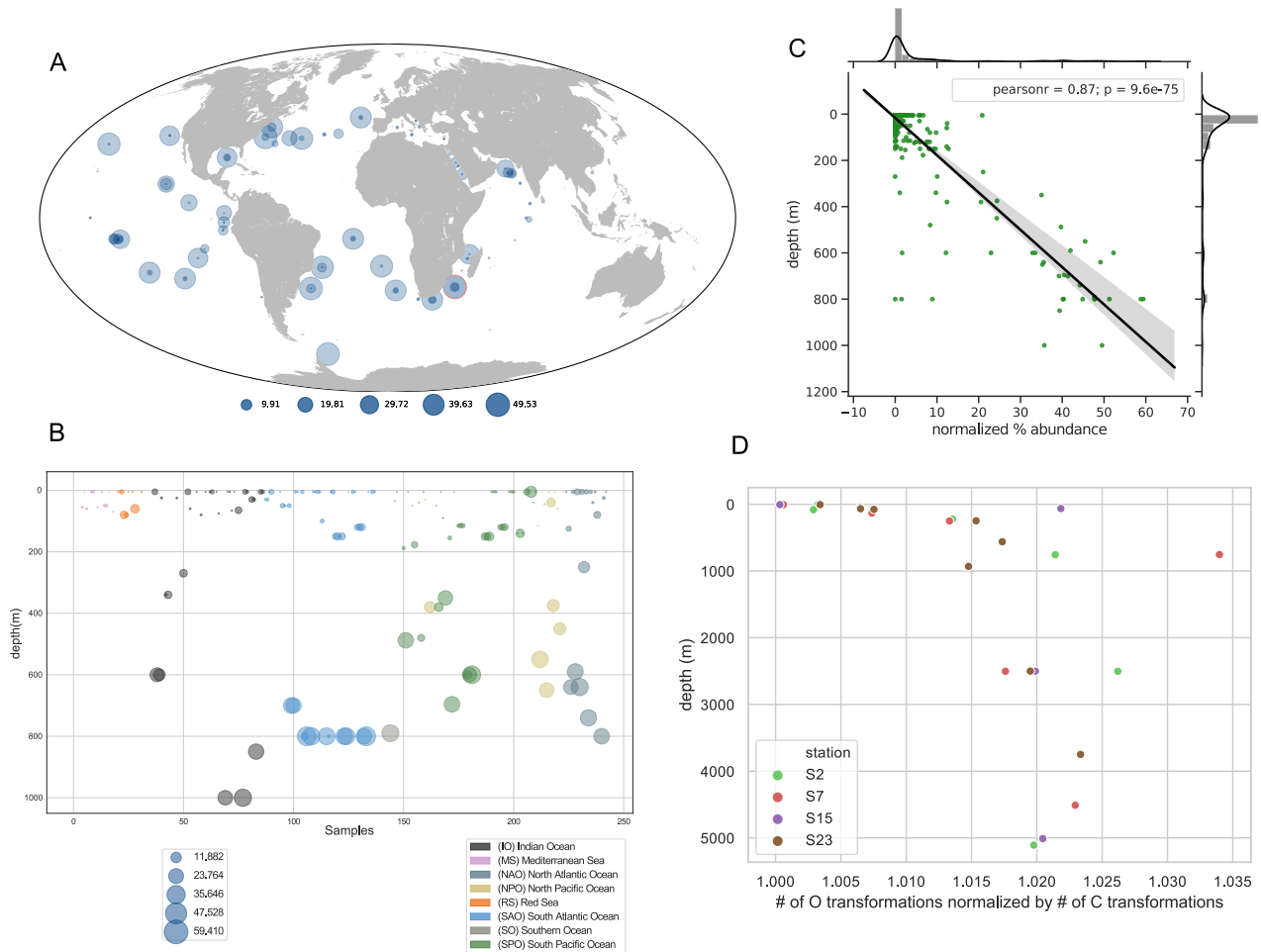


Figure 7: **(A)** World Map showing relative abundances of SAR202-specific FMNOs in TARA Oceans metagenomes. Sample with highest relative abundance is highlighted in red circle. **(B)** SAR202-specific FMNOs relative abundances vs. depth in TARA oceans metagenomes. **(C)** Normalized FMNO abundances in SAR202 are highly correlated with depth in TARA Oceans metagenomes. Normalization of FMNO abundances was obtained by dividing total SAR202 FMNOs by total SAR202 single-copy genes found in each sample. **(D)** The ratio of observations of organic metabolites with mass : charge ratio ( $m/z$ ) that differ in mass by one oxygen, to observations that differ in mass by one carbon, in FTICR-MS data from deep ocean marine DOM samples collected from the Western Atlantic. The stations ranged from 38° S (station 2) to 10° N (station 23). Across the full dataset, the most common  $m/z$  difference observed corresponds to one carbon atom of mass. The data show that transformations corresponding to the addition of a single oxygen atom, as would be catalyzed by a flavin-dependent monooxygenase, become relatively more frequent in the dark ocean. Of several patterns predicted from a previous study (10), this one alone showed a consistent trend.