1	Efficient horizontal transmission without viral super-spreaders may cause the high
2	prevalence of STLV-1 infection in Japanese macaques
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15	Running Head: The high prevalence of STLV-1 in Japanese macaques
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21 Abstracts (231 words)

23	Simian T-cell leukemia virus type-1 (STLV-1) is disseminated among various
24	non-human primate species and is closely related to human T-cell leukemia virus type-1
25	(HTLV-1), the causative agent of adult T-cell leukemia and HTLV-1-associated
26	myelopathy/tropical spastic paraparesis. Notably, the prevalence of STLV-1 infection in
27	Japanese macaques (JMs) is estimated to be much greater than that in other non-human
28	primates; however, the mechanism and mode of STLV-1 transmission remain unknown.
29	We hypothesized that a substantial proportion of infected macaques may play a critical role
30	as viral super-spreaders for efficient inter-individual transmission leading to the high
31	prevalence of infection. To address this, we examined a cohort of 280 JMs reared in a free-
32	range facility for levels of anti-STLV-1 antibody titers (ABTs) and STLV-1 proviral loads
33	(PVLs). We found that the prevalence of STLV-1 in the cohort reached up to 65%
34	(180/280), however, the ABTs and PVLs were normally distributed with mean values of
35	4076 and 0.62%, respectively, which were comparable to those of HTLV-1-infected
36	humans. Contrary to our expectations, we did not observe the macaques with abnormally
37	high PVLs and poor ABTs, and therefore, the possibility of viral super-spreaders was
38	unlikely. Results from further analyses regarding age-dependent changes in STLV-1
39	prevalence and a longitudinal follow-up of STLV-1 seroconversion strongly suggest that

- 40 frequent horizontal transmission is a major route of STLV-1 infection, probably due to the
- 41 unique social ecology of JMs associated with environmental adaptation.

Importance (143 words)

44	We investigated the cause of the high prevalence of STLV-1 infection in the studied JMs
45	cohort. Contrary to our expectations, the potential viral super-spreaders as shown by
46	abnormally high PVLs and poor ABTs were not observed among the JMs. Rather, the
47	ABTs and PVLs among the infected JMs were comparable to those of HTLV-1-infected
48	humans although the prevalence of HTLV-1 in humans is much less than the macaques.
49	Further analyses demonstrate that the prevalence drastically increased over one year of
50	age and most of these animals over 6 years of age were infected with STLV-1, and that in
51	the longitudinal follow-up study frequent seroconversion occurred in not only infants but
52	also in juvenile and adult seronegative monkeys (around 20% per year). This is the first
53	report showing that frequent horizontal transmission without viral super-spreaders may
54	cause high prevalence of STLV-1 infection in JMs.

55 Introduction

57	Simian T-cell leukemia viruses (STLVs) are classified into the Deltaretrovirus
58	genus, which includes human T-cell leukemia viruses (HTLVs). The first human retrovirus,
59	HTLV-1, was identified in 1980 (1-3), even though the disease entity of adult T-cell
60	leukemia (ATL) had been described in Japan before the identification of this virus (4).
61	Eventually, HTLV-1 was found to be the causative agent of not only ATL but also HTLV-
62	1-associated myelopathy (HAM)/tropical spastic paraparesis (TSP) (1, 2, 5-10). It is
63	estimated that 10-20 million people worldwide are infected with HTLV-1 (11). HTLV-1
64	infections are endemic in southern Japan, Africa, the Caribbean, Central and South
65	America, and intertropical Africa (12-14). An estimated one million people in Japan are
66	thought to be HTLV-1 carriers, corresponding to 1% of the total population (14-16). In
67	most cases, HTLV-1 infection remains asymptomatic, whereas 5% of carriers develop ATL
68	and/or HAM/TSP (17-24). STLVs infect a variety of non-human primates in Asia and
69	Africa but not in America (25-28). STLV-1 and STLV-2 have human counterparts, HTLV-
70	1 and HTLV-2 (29-32). A third subspecies, STLV-3, was isolated from an Eritrean sacred
71	baboon (Papio hamadryas) and a red-capped mangabey (Cercocebus torquatus) (33, 34).
72	A recent report showed that STLV-4 was isolated from gorillas and that the virus was
73	endemic to gorillas (35). It has been reported that STLVs are also associated with

74 leı	ıkemia/lym	phoma (36-4)) and that h	unting and	severe bites b	y non-human	primates are
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the likely routes of zoonotic transmission of STLVs (26, 41-45).

76	Japanese macaques (JMs: Macaca fuscata) inhabit much of Japan (except
77	Hokkaido and Okinawa). JMs are found infected with STLV-1, and their seroprevalence is
78	much greater than that of other primates (46-52). Watanabe et al. reported that the sequence
79	homology of STLV-1 to that of HTLV-1 was 90% (29). Given this genetic similarity, it
80	was suspected that zoonotic STLV transmission might be, at least in part, the cause of
81	HTLV-1 dissemination among Japanese people. However, phylogenetic analysis between
82	HTLV-1 isolated from Japanese people and STLV-1 isolated from JMs demonstrated that
83	STLV-1 was distinct from HTLV-1 (53). Furthermore, some groups have reported that the
84	geographical distribution of HTLV-1 in Japan did not correspond to the habitat of JMs (50,
85	54). From genomic and epidemiological evidence, it was concluded that Japanese HTLV-
86	1 originated from Mongoloid people moving from North Asia but not from JM STLV-1
87	(53, 55).

A high proportion (60% on average) of JMs has been reported as infected with STLV-1, whereas the prevalence of STLV in other natural hosts among non-human primates, including Asian macaques, is generally much lower than with JMs (25, 50-52, 56-62). The reason of the high prevalence remains unknown. However, it was proposed that STLV-1 in JMs may have an alternative transmission route via maternal infection (51). We hypothesized that the substantial proportion of infected macaques may play critical

94	roles as viral super-spreaders for efficient inter-individual transmission, likely due to
95	abnormally high proviral loads (PVLs) and eventual incidence of poor humoral immune
96	response against STLV-1. We recently experienced an outbreak of infectious malignant
97	thrombocytopenia in JMs by simian retrovirus type 4 (SRV-4) infection (63). Importantly,
98	some of the monkeys who developed persistent SRV-4 infection exhibited viremia without
99	an SRV-4-specific antibody response and became viral super-spreaders (64). Taking this
100	example into account, we evaluated antibody titers (ABTs) against STLV-1 and PVLs in
101	the JM cohort.

Results

104	To validate the STLV-1 prevalence in JMs, we first examined the anti-STLV-1
105	ABTs from the plasma of 280 JMs derived from five independent troops originating from
106	inhabitants of different areas. We found that 180 macaques (65%) were seropositive (Table
107	1), which was generally consistent with previous reports (47, 48, 50, 52). We then
108	determined the variation in the seroprevalence among the troops. The numbers of
109	seropositive individuals were 59, 17, 36, 34, and 34, with a frequency of 68%, 55%, 63%,
110	56%, and 77%, respectively (Table 1). The seroprevalence was generally comparable with
111	that in wild JMs as previously reported (50, 52). In addition, the rearing density in each
112	troop was not correlated with the seroprevalence, suggesting that relatively higher
113	population density may not cause the high prevalence (Table 1).
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114 115 116 117 118	We then investigated the cause of high STLV-1 prevalence. We hypothesized that a substantial proportion of infected macaques may play a critical role as viral super- spreaders for efficient inter-individual transmission, likely due to abnormally high PVLs and eventual incidence of poor humoral immune response against STLV-1. To examine this possibility, we evaluated ABTs and PVLs in the JM cohort and found that the ABTs

122	among the five troops (Fig. 1C). We also examined the STLV-1 PVLs in the JMs PBMC
123	samples and found that the PVLs among 168 macaques positive for the proviral DNA were
124	normally distributed and ranged from $0.01\%-20\%$ with a geometric mean of 0.62% and
125	PVLs of 0.64%-1.28% at the maximum number of individuals (Fig. 2A, Fig. S2). Again,
126	we observed no statistical differences in the PVLs between males and females (Fig. 2B) or
127	among the troops (Fig. 2C). The data regarding ABTs and PVLs from the 183 macaques
128	positive for either value (herein tentatively regarded as 'STLV-1-infected') were plotted as
129	shown in Figure 3. Among the JMs, 168 were positive for both values, whereas three were
130	negative for ABTs but positive for PVLs, and 12 were positive for ABTs but negative for
131	PVLs. Contrary to our expectations, we observed no monkeys with abnormally high PVLs
132	and poor ABTs (Fig. 3). It is notable that the three ABT-PVL ⁺ monkeys belonged to two
133	troops (two macaques in troop C and one in troop D), and their PVLs were comparable or
134	less than the mean PVLs. It is, therefore, unlikely that only three monkeys caused the high
135	prevalence in all the independent troops. In addition, we observed positive correlation
136	between ABTs and PVLs ($R = 0.50, p < 0.0001$) (Fig. 3), suggesting that humoral immunity
137	was properly induced in response to the increasing viral loads in these macaques.
138	In the absence of potential viral super-spreaders, we aimed to clarify the possible
139	route(s) of transmission by which this high prevalence occurred. If maternal transmission
140	were the main route of infection, the infection rate would drastically increase at around one
141	year of age, followed by a gradual increase with age. On the other hand, if horizontal

142	transmission were the main route, the infection rate would be low in younger ages, followed
143	by a steep increase with age. To verify these possibilities, we examined the age-dependent
144	change of seroprevalence in the cohort. The frequencies of seropositive individuals in each
145	age group were 19%, 33%, 58%, 79%, 95%, 100%, and 96% at age groups of 0, 1, 2, 3–5,
146	6–9, 10–11, and \geq 12 years, respectively (Fig. 4, solid line). We also analyzed the age-
147	dependent change of proviral DNA prevalence (Fig. 5). The frequencies of proviral DNA-
148	positive individuals in each age group were 13%, 33%, 55%, 75%, 91%, 100%, and 93%
149	for age groups at 0, 1, 2, 3–5, 6–9, 10–11, and \geq 12 years of age, respectively, which was
150	consistent with those shown in Fig. 4. These results indicate that the infection rate
151	drastically increased after one year of age and most of these animals over 6 years of age
152	were infected with STLV-1, which supports the latter hypothesis that horizontal
153	transmission would be the major route. Importantly, relatively large numbers of younger
154	individuals (i.e., 0-1 years of age) whose STLV-1 prevalence was relatively low,
155	apparently reduced the total prevalence to 65%. However, almost all of the adult
156	individuals (i.e., sexually mature ones of more than 6 years of age) were infected with
157	STLV-1 (Figs. 4 and 5, bar graphs). Each troop showed comparable results in both
158	parameters (data not shown).

Results described above suggest horizontal transmission as the major route of
STLV-1 infection. There still remains a possibility that the seroconversion in the offspring
of STLV-1-infected mothers, after the establishment of maternal transmission, could

162	require up to three years due to long-term latency as shown in the case of HTLV-1 (65-67).
163	If this is the case, then maternal transmission, rather than horizontal transmission, could be
164	the major route. Therefore, we conducted a longitudinal study of the STLV-1
165	seroprevalence in this cohort (Table 2). We selected 139 monkeys whose serum samples
166	in both 2011 and 2015 were available (PBMC samples in 2011 were not available). In 2011,
167	111 of 139 monkeys were seropositive, whereas 28 were seronegative. It was found that
168	among the 28 seronegative monkeys in 2011, 24 were seroconverted for the antibody
169	within four years from 2011 to 2015. Remarkably, among ten seronegative monkeys of
170	four years old and above in 2011, eight were seroconverted within four years (80%), which
171	was comparable with the monkeys of three years old and below in 2011 (16/18, 89%). The
172	fact that frequent seroconversion occurred even in the seronegative monkeys of four years
173	old and above suggests lower probability of long-term latency post-maternal transmission
174	and supports the notion that horizontal STLV-1 transmission frequently occurs among JMs,
175	which may eventually result in almost all adult monkeys infected with STLV-1.

176 Discussion

178	In this study, we aimed to investigate the cause of the high prevalence of STLV-1
179	infection in the studied JMs cohort. We initially examined the prevalence of STLV-1
180	infections in the JMs derived from five independent troops originating from inhabitants of
181	different areas and found that 65% (180/280) of the macaques were seropositive, which
182	was generally consistent with previous reports (47, 48, 50, 52) (Table 1). Contrary to our
183	expectations, we found that the ABTs and PVLs among the infected macaques were
184	normally distributed with mean values of 4076 and 0.62%, respectively (Figs. 1, 2, S1, and
185	S2). This was comparable to those of HTLV-1-infected humans. In addition, we did not
186	observe macaques with abnormally high PVLs and poor ABTs (Fig. 3). Thus, the
187	possibility of viral super-spreaders is unlikely. To further determine the possible route(s)
188	of transmission, the influence of age on frequency of STLV-1 infection in the cohort was
189	examined. We found that the frequency drastically increased over one year of age and most
190	of these animals over 6 years of age were infected with STLV-1 (Figs. 4 and 5). Moreover,
191	the longitudinal follow-up study of this cohort demonstrated that frequent seroconversion
192	occurred in not only infants but also in juvenile and adult seronegative monkeys (Table 2).
193	Taken together, our findings strongly suggest that frequent horizontal transmission is the
194	major route of STLV-1 infection in JMs, which eventually result in almost all adult
195	monkeys infected with STLV-1. These findings were unexpected considering human cases

196	of HTLV-1 infection, of which the prevalence rate is only 1% (or below) in Japan (an
197	endemic country) (16). What causes the high frequency of horizontal STLV-1 transmission
198	in JMs? It was shown that JMs genetically originate from rhesus macaques (RMs) as the
199	ancestor macaques came over from the Asian Continent to Japan around 0.5 million years
200	ago (68). It was reported that much less frequency of RMs are infected with STLV-1 than
201	the case of JMs (69). Similarly, the prevalence rate of STLV-1 in RMs bred and reared in
202	our free-ranging facility as well as JMs is less than 1% (52). It is therefore reasonable to
203	speculate that STLV-1 was broadly disseminated after ancestor macaques started
204	inhabiting Japan. As for the migrated JMs, foods such as leaves, fruits, and nuts in their
205	habitats were insufficient in the cold winter season so they probably needed to form troops
206	to keep their territories for foods and to stay warm by assembling together (70). They
207	eventually established a promiscuous mating system without having fixed partners/mates
208	to circumvent the genetic disadvantages caused by inbreeding within the troop (71). It is
209	possible that promiscuity increased the opportunity to transmit STLV-1, which led to the
210	high STLV-1 prevalence. In fact, it was reported that a relatively high prevalence of HTLV-
211	1 was occasionally observed in isolated Japanese populations (72), which is generally
212	consistent with the phenomenon observed in JMs.

Results obtained in this study indicate that less than 20% of infants (i.e., 0-yearold) were positive for either antiviral antibodies or proviral DNA (Figs. 4 and 5). This is
generally comparable with the estimated frequency of maternal transmission of HTLV-1

216	in humans (73). However, it remains to be elucidated whether long-term latent STLV-1
217	infection in infants and eventual seroconversion from latency of a couple of years after
218	birth could occur frequently. It was shown that frequency of maternal transmission was
219	associated with the PVLs of the pregnant mothers (74-77). If this is the case in JMs, this
220	suggests that mean PVLs, as well as their distribution among the macaques (Figs. 1 and 2),
221	are similar to human cases (78, 79), and this may support the possibility that frequency of
222	maternal STLV-1 transmission might be comparable to humans. It is intriguing to
223	determine the frequency of mother-to-child STLV-1 transmission as well as the period of

time required for the seroconversion in the mother-to-child transmission as done herein.

225 Materials and methods

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227 Animals
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228	JMs bred and reared in the free-range facility of the Primate Research Institute,
229	Kyoto University (KUPRI) were used in this study. All the troops were isolated and had
230	no physical connection with each other. All animal experiments were approved by the
231	Animal Welfare and Animal Care Committee of KUPRI (approval numbers: 2014-092,
232	2015-040, and 2016-135) and were conducted in accordance with the Guidelines for Care
233	and Use of Nonhuman Primates (Version 3) by the Animal Welfare and Animal Care
234	Committee of KUPRI.
235	
236	Preparation of plasma and peripheral blood mononuclear cells (PBMCs)
237	Blood samples were collected from JMs at routine health checkups under
238	ketamine anesthesia with medetomidine, followed by administration of its antagonist,
239	atipamezole, at the end of the procedure. PBMCs were separated from blood samples with
240	Ficoll-paque PLUS (GE Healthcare, Buckinghamshire, UK) by density gradient
241	centrifugation. Plasma and PBMCs were frozen at -80°C until use. Cellular DNA was
242	purified via a QIAamp DNA Blood Mini Kit (Qiagen, Hilden, Germany), according to the
243	manufacturer's instructions.
044	

245 Titration of the STLV-1-specific antibody

Plasma samples were evaluated for ABTs with a particle-agglutination assay using
Serodia-HTLV-1 (Fujirebio Inc. Tokyo, Japan) as previously described (52). The plasma
cut-off titer was a 1:16 dilution.

249

250 Quantification of STLV-1 PVLs

251 Cellular DNA collected from PBMCs was measured for STLV-1 PVLs via a 252 real-time PCR quantification of copy numbers of the STLV-1 tax gene and RAG1 gene of 253 JMs as previously described (52). PCR was performed using Thunderbird Probe qPCR mix 254 (TOYOBO, Osaka, Japan). The following primers and probes were used: RAG1-2F 255 (CCCACCTTGGGACTCAGTTCT), RAG1-2R (CACCCGGAACAGCTTAAATTTC), a 256 RAG1 probe (5'- FAM CCCCAGATGAAATTCAGCACCCATATA TAMRA -3'), 257 STLV-1 tax-F2 (CTACCCTATTCCAGCCCACTAG), STLV-1 tax-R3 258 (CGTGCCATCGGTAAATGTCC), STLV-1 probe (5'and а tax FAM 259 CACCCGCCACGCTGACAGCCTGGCAA TAMRA -3'). Copy number of STLV-1 260 proviral DNA per cell was standardized with that of the RAG1 gene. The detection limit of 261 PVLs was 0.01%.

262

263 Statistical analyses

264	We tested the normal distribution of the data and applied parametric or non-
265	parametric methods according to the experiment. Pearson's correlation coefficient was
266	employed for correlation of two parameters, and two-tailed Student's <i>t</i> -tests were employed
267	for comparison of two groups. For multiple comparisons with more than two groups, a one-
268	way ANOVA with Tukey's multiple comparison test was used.

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562		

563 Figure legends

564

565 Table 1: Seroprevalence in Japanese macaques and other parameters having the566 possibilities of affecting seroprevalence in each area.

567

Table 2 : Longitudinal study of the STLV-1 prevalence in Japanese macaques.

569

570 Figure 1. Distribution of anti-STLV-1 antibody titers (ABTs) in seropositive JMs. (A)

571 Distribution of ABTs in all seropositive cohort JMs. (B) Results of the ABT distribution

572 between male and female JMs and (C) among five troops are indicated. The dotted line

shows the detection limit of the ABT, and the horizontal line indicates the geometric meanof the ABT distribution.

575

576 Figure 2. Distribution of proviral loads (PVLs). (A) Distribution of STLV-1 PVLs in

577 proviral DNA-positive JMs. Results of the PVLs distribution between (B) male and female

- 578 JMs and (C) among five troops (C) are shown. The dotted line indicates the detection limit
- 579 of the PVL, and the horizontal line indicates the geometric mean of the PVL distribution.

580

581 Figure 3. Correlation between antibody titers (ABTs) and proviral loads (PVLs) among

individuals who were positive for either value. Among the macaques (N = 183), 168 were

583	positive for both values, whereas three were seronegative but positive for PVLs, and 12
584	were seropositive but negative for PVLs. There was a significant correlation between the
585	ABTs and the PVLs ($R = 0.50$; $p < 0.0001$).
586	
587	Figure 4. Age-dependent changes of STLV-1 seroprevalence in JMs. The left Y-axis shows
588	the percentage of seropositive individuals (solid line). The right Y-axis indicates positive
589	(closed bars) and negative (open bars) number of individuals.
590	
591	Figure 5. Age-dependent changes in the prevalence in JM positives for STLV-1 proviral
592	DNA. The left Y-axis shows the percentage of proviral DNA-positive individuals (solid
593	line). The right Y-axis indicates positive (closed bars) and negative (open bars) number of
594	individuals.
595	

	Troop A	Troop B	Troop C	Troop D	Troop E	total
Number of individuals (male/female)	87(32/55)	31(9/22)	57(24/33)	61(18/43)	44(19/25)	280(102/178)
STLV-1 seroprevalence						
Number of positive individuals	59	17	36	34	34	180
Number of negative individuals	28	14	21	27	10	100
Positivity (%)	68	55	63	56	77	65
Mean age	5.7	4.5	4.2	6.5	5.0	5.5
Area (m^2)	8500	3400	850	730	1200	14680
Area per individuals (m ²)	7.7	109.7	14.9	12.2	27.3	52.6

Table 1: Seroprevalence of STLV-1 infection among different troops of JMs.

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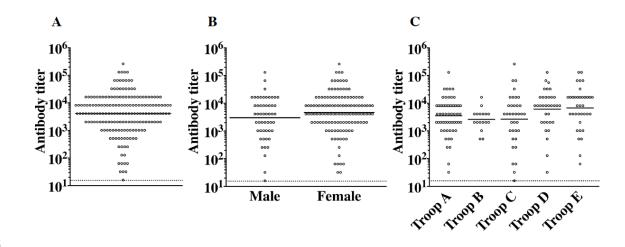
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36

0 (4) 7		
	6	
1 (5) 1	1	
2 (6) 6	6	
3 (7) 4	3	
0-3 (4-7) 18	16	16/18 (89%)
4 (8) 3	3	
5 (9) 1	1	
6 (10) 3	3	
≥7(≥11) 3	1	
≥4(≥8) 10	8	8/10 (80%)
Total 28	24	24/28 (86%)

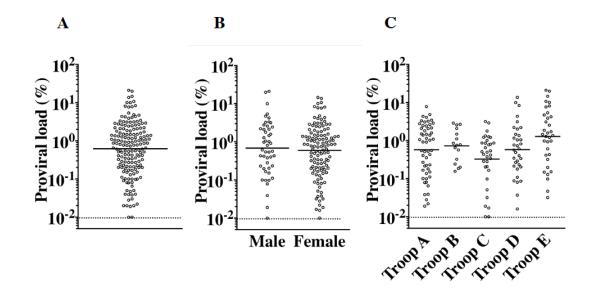
Table 2 : Longitudinal study of the STLV-1 prevalence in Japanese macaques

602 Figure 1



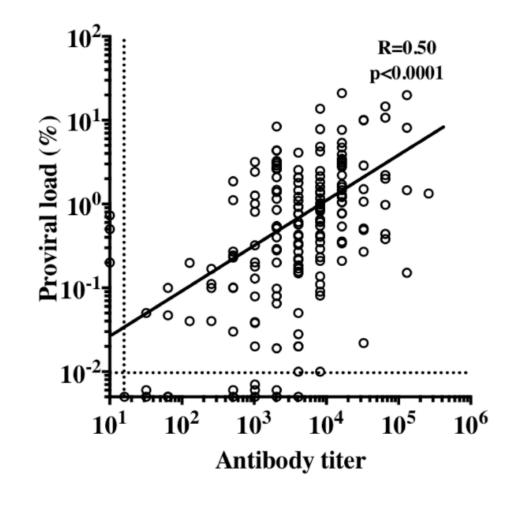
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Figure 2



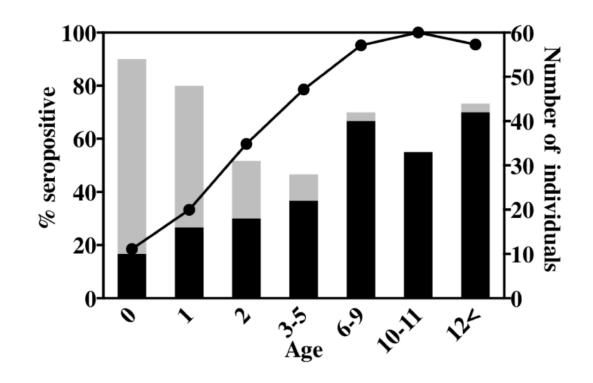
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608 Figure 3



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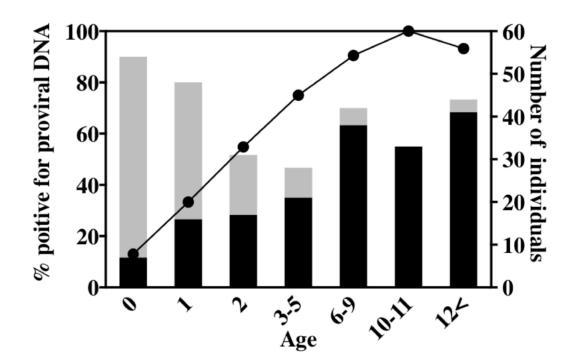
611 Figure 4



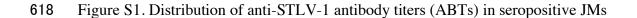
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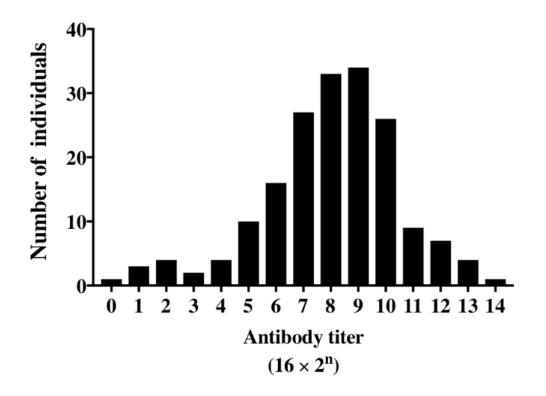
614 Figure 5

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617 Supplemental Information

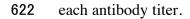




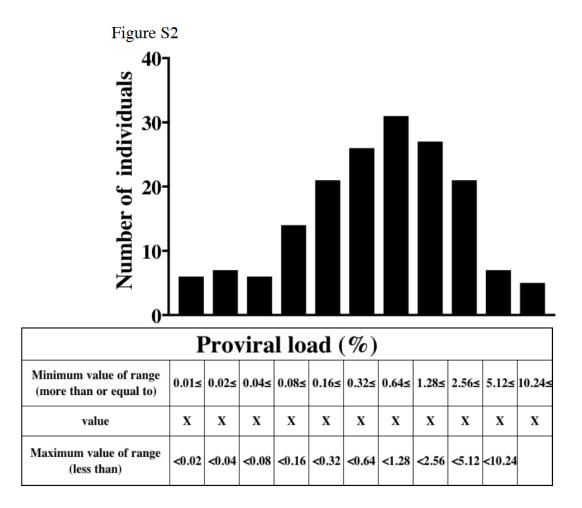
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620 The X-axis represents antibody titers ranging from 16–262144, with an ABT of 8192 at

621 the maximum number of individuals. The Y-axis represents the number of individuals in

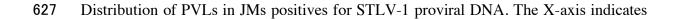


624 Figure S2. Distribution of STLV-1 proviral loads (PVLs)



625

626



628 PVLs ranging from 0.01%–20%, with PVLs of 0.64%–1.28% at the maximum number

629 of individuals. The Y-axis shows the number of individuals in each PVL group.