

1 **Projected changes of alpine grassland carbon dynamics in response to climate change and**
2 **elevated CO₂ concentrations under Representative Concentration Pathways (RCP) scenarios**

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16 **Abstract**

17 The Tibetan Plateau is an important component of the global carbon cycle due to the large
18 permafrost carbon pool and its vulnerability to climate warming. The Tibetan Plateau has
19 experienced a noticeable warming over the past few decades and is projected to continue warming
20 in the future. However, the direction and magnitude of carbon fluxes responses to climate change
21 and elevated CO₂ concentration under Representative Concentration Pathways (RCP) scenarios in
22 the Tibetan Plateau grassland are poorly known. Here, we used a calibrated and validated
23 biogeochemistry model, CENTURY, to quantify the contributions of climate change and elevated
24 CO₂ on the future carbon budget in the alpine grassland under three RCP scenarios. Though the
25 Tibetan Plateau grassland was projected a net carbon sink of 16 ~ 25 Tg C yr⁻¹ in the 21st century,
26 the capacity of carbon sequestration was predicted to decrease gradually because climate-driven
27 increases in heterotrophic respiration (Rh) (with linear slopes 0.49 ~ 1.62 g C m⁻² yr⁻¹) was greater
28 than the net primary production (NPP) (0.35 ~ 1.52 g C m⁻² yr⁻¹). However, the elevated CO₂
29 contributed more to plant growth (1.9% ~ 7.3%) than decomposition (1.7% ~ 6.1%), which could
30 offset the warming-induced carbon loss. The interannual and decadal-scale dynamics of the
31 carbon fluxes in the alpine grassland were primarily controlled by temperature, while the role of
32 precipitation became increasingly important in modulating carbon cycle. The strengthened
33 correlation between precipitation and carbon budget suggested that further research should
34 consider the performance of precipitation in evaluating carbon dynamics in a warmer climate
35 scenario.

36 **Keywords:** carbon dynamics; climate change scenarios; CO₂ fertilization; alpine grassland

37 1. Introduction

38 The distinctive geographic environment of the world highest plateau—Tibetan Plateau (with an
39 average elevation of over 4000 m above sea level) makes its carbon cycle be strongly sensitive to
40 climate variation and environmental change [1-3]. The Plateau covers a vast area of alpine
41 vegetation and has a large reservoir of organic carbon in the permafrost soil, and it has been
42 reported as a significant terrestrial carbon sink for recent decades due largely to warming and CO₂
43 fertilization [3-5]. The Plateau has undergone significant warming during the last decades.
44 Increasing temperatures have been reported to prolong growing season lengths [6], metabolic
45 rates, and in the productivity and distribution [7] of vegetation at the Plateau and high latitude
46 areas [8-10]. Furthermore, the fate of soil organic carbon (SOC) is controlled by the complex
47 processes involving accumulation of carbon input by plant production and loss through microbial
48 decomposition. Warming may also suppress plant growth by increasing evapotranspiration [11]
49 and induce the soil carbon loss through enhanced Rh [12]. Thus the carbon fluxes can be
50 amplified by increased carbon emissions from thawing permafrost [13,14]. Schaphoff et al. [15]
51 revealed that warming contributed to the net uptake of carbon in the permafrost zone in previous
52 decades because carbon uptake by vegetation increased at a faster rate than that released from soil.
53 Furthermore, increasing the atmospheric CO₂ concentrations tends to stimulate photosynthesis and
54 reduce water loss [16,17], which is likely to offset the adverse effects of climate change.

55 The temperature on the Tibetan Plateau increased with a linear trend of 0.2 °C/decade during
56 the past five decades [18,19] and is projected to continue warming in the future under different
57 representative concentration pathways (RCPs) [20,21]. Although there has been several studies on

58 the carbon cycle and climate change associated with the elevated CO₂ on the Tibetan Plateau for
59 historical research and sensitivity analysis [2,3,20,22,23], there is still a lack of studies on the
60 carbon dynamic projections under different RCPs on the Plateau. Temperature has been reported
61 as the critical determinant of carbon exchange in alpine ecosystems [24,25]. The warming had
62 prolonged the alpine plant phenology [26-28] and promoted the vegetation productivity [3,29],
63 and consequently enhanced carbon inputs into the soil, offsetting the carbon release from thawing
64 permafrost in the Tibetan Plateau [4]. The vegetation production on Tibetan Plateau was projected
65 to increase under future climate scenarios [7,30]. The results of field experiments, however, have
66 shown some opposite responses of vegetation productivity to warming, which varied by regions
67 [31-33]. Ganjurjav et al. [34] found that the aboveground net primary production (NPP) was
68 significantly stimulated in an alpine meadow under experimental warming, whereas it decreased
69 in an alpine steppe due to warming-induced drought. Furthermore, there are situations that the
70 climatic warming stimulated the ecosystem respiration more than NPP, which potentially led to
71 loss of soil carbon in the Tibetan Plateau [18,22,30]. This discrepant responses of NPP and
72 heterotrophic respiration (Rh) to the climatic warming in the Tibetan Plateau might be quite
73 different from that in the northern high-latitude permafrost zones [15], where warming increased
74 NPP more than Rh. In previous historical period modeling studies [3,5,35], a net carbon sink has
75 been estimated for the Tibetan Plateau grassland for the 20th century, albeit with variability in
76 absolute value of 11.8 ~ 29.8 Tg C yr⁻¹. Based on a field experiment in the Tibetan Plateau alpine
77 meadow, Zhu et al. [36] found that warming caused a seasonal shift in ecosystem carbon exchange
78 but had little impact on net carbon uptake. However, the direction and magnitude of carbon fluxes
79 responses to climate change and elevated CO₂ concentration under future climate scenarios in the

80 Tibetan Plateau grassland remains uncertain. Thus, quantifying the influence of the future climatic
81 change on the carbon exchange in alpine ecosystems in the Tibetan Plateau will promote our
82 understanding of the mechanisms in the climate-carbon cycle feedbacks.

83 In this study, we used an onsite calibrated and validated process-based model, CENTURY, to
84 explore the responses of three important carbon cycle indicators, namely NPP, Rh and net
85 ecosystem production (NEP), to future climate change projections on alpine grasslands of the
86 Tibetan Plateau. The representative concentration pathways (RCP) scenarios of the climate change
87 projections under the framework of the Coupled Model Intercomparison Project Phase 5 (CMIP5)
88 vary in their total radiative forcing increase by 2100 [37,38]. Three different RCP scenarios (i.e.,
89 RCP2.6, RCP4.5, and RCP8.5) were applied in this study. The objectives of this paper are: (1) to
90 predict the trends and differences in the ecosystem carbon cycle components in alpine grasslands
91 under RCP scenarios during the 21st century; and (2) to differentiate the contributions of the
92 changing climate variables (temperature and precipitation) and elevated CO₂ on the future carbon
93 budget on the Tibetan Plateau grasslands.

94 **2. Materials and methods**

95 The CENTURY model was originally developed for the U.S. Great Plains grassland ecosystem
96 [39] and has been successfully adapted to simulate carbon fluxes under climate change across a
97 wide latitudinal gradient, from tropical to temperate to high-latitude ecosystems [40-44]. A
98 detailed description of the CENTURY model has been presented by [39,41]. The observed data
99 for parameterization of the CENTURY model included the nutrient contents in vegetation and soil
100 that were derived from the Haibei research station of the Chinese Ecosystem Research Network

101 (CERN), Chinese Academy of Sciences. The performance of the CENTURY model on the
102 Tibetan Plateau grassland has been evaluated by the observed net ecosystem exchange (NEE),
103 ecosystem respiration (R_e), gross primary production (GPP) (2003 ~ 2005) obtained from the
104 Haibei flux tower observations and aboveground biomass (AGC) (2000 ~ 2012) obtained from the
105 field sampling at Haibei research station [23]. In addition, the R_e was further separated into
106 autotrophic respiration (R_a) and heterotrophic respiration (R_h) according to the study of [45], and
107 the net primary production (NPP) was then obtained by subtracting R_a from GPP. The site-level
108 verification from our previous study showed that the parameterized CENTURY model was able to
109 capture the carbon fluxes of alpine grassland ($R^2 > 0.80$, Fig 1). The simulated monthly AGC
110 exhibited a good agreement with the observed data with the slope close to 1, and the intercept and
111 the root mean square error (RMSE) were less than 25 g C m^{-2} . Compared to the eddy-covariance
112 flux data, the simulated monthly NPP and R_h were comparable to the observation with slopes
113 equal to 0.77 and 1.10, and the estimated errors of RMSE were 29.06 g C m^{-2} and 13.24 g C m^{-2} ,
114 respectively. The simulated NEE was also agreed well with the field observation ($R^2 = 0.88$,
115 $\text{RMSE} = 17.94$), while the seasonal amplitude of the simulated NEE was slightly higher than that
116 from the flux tower data with a slope of 0.64) [23]. We acknowledged that the model
117 parameterization was dependent on data from Haibei station, which could cause a certain degree
118 of uncertainty. This was due largely to the fact that most of the existed observations on the Plateau
119 were not long-term experiments and lacked necessary information for model calibration. At the
120 regional scale, our previous historical simulated results were comparable to other studies, with a
121 mean NPP and NEP of $259 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $10 \text{ g C m}^{-2} \text{ yr}^{-1}$ from 1981 to 2010, respectively [23],
122 which was within the range of $120 \sim 340 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $8 \sim 24 \text{ g C m}^{-2} \text{ yr}^{-1}$ reported by [3,5,46].

123 The spin up procedure for the CENTURY model simulation followed the published literature
124 [47,48].

125

126 Fig 1. Comparisons of observed and modeled aboveground biomass (AGC, a), and net primary
127 production (NPP, b), heterotrophic respiration (Rh, c), and net ecosystem production (NEE, d) at
128 the Haibei research station of the Tibetan Plateau.

129

130 The simulation began with an equilibrium run to generate an initial condition using long-term
131 average climate data for the period from 1901~1930, which was then followed by a spin up (1980
132 ~ 2005) to eliminate system fluctuations and to steady the transient simulation. The climate input
133 data for the history period of 1901 ~ 2005 was obtained from the Climatic Research Unit (CRU)
134 Time-Series (TS) version 3.23 (TS 3.23), School of Environmental Sciences, University of East
135 Anglia, United Kingdom [49,50]. The CENTURY model was then forced with outputs from the
136 Coordinated Regional Downscaling Experiment (CORDEX), including monthly maximum and
137 minimum temperature and precipitation under three RCPs scenarios (RCP2.6, RCP4.5 and
138 RCP8.5). The CORDEX data used in this study were dynamical downscaled at a high spatial
139 resolution of 0.5° with two regional climate models (RCMs) of RCA4 and REMO2009 driven by
140 ICHEC-EC-EARTH and MPI-M-MPI-ESM-LR global models based on the Coupled Model
141 Intercomparison Project Phase 5 (CMIP5) simulations [51]. The calibrated parameters for the
142 regional simulation were obtained from our previous studies on the Tibetan Plateau grasslands
143 [23].

144 3. Results

145 3.1 Temporal dynamics of the carbon budget on the Tibetan Plateau grasslands

146 Interannual variations in the grassland carbon budget on the Tibetan Plateau in response to
147 changes in climate and atmospheric CO₂ over the 21st century were simulated. The CENTURY
148 model predicted that the grass NPP would range from $308 \pm 13 \text{ g C m}^{-2} \text{ yr}^{-1}$ to $495 \pm 21 \text{ g C m}^{-2}$
149 yr^{-1} , with a multiyear mean NPP of $357 \pm 18 \text{ g C m}^{-2} \text{ yr}^{-1}$ for RCP2.6, $375 \pm 20 \text{ g C m}^{-2} \text{ yr}^{-1}$ for
150 RCP4.5, and $408 \pm 26 \text{ g C m}^{-2} \text{ yr}^{-1}$ for RCP8.5 on the Tibetan Plateau, respectively (Fig 2a). The
151 interannual variation in the grass NPP was projected to increase to different degrees under the
152 three RCP scenarios over the period 2006 ~ 2100, with linear slopes of $0.35 \text{ g C m}^{-2} \text{ yr}^{-1}$ ($P < 0.01$),
153 $0.85 \text{ g C m}^{-2} \text{ yr}^{-1}$ ($P < 0.01$), and $1.52 \text{ g C m}^{-2} \text{ yr}^{-1}$ ($P < 0.01$) for RCP2.6, RCP4.5, and RCP8.5,
154 respectively. The temporal dynamics of Rh were consistent with the NPP trends in all scenarios,
155 with mean absolute values of $346 \pm 9 \text{ g C m}^{-2} \text{ yr}^{-1}$, $360 \pm 12 \text{ g C m}^{-2} \text{ yr}^{-1}$, and $390 \pm 19 \text{ g C m}^{-2}$
156 yr^{-1} , whereas the magnitude of the variation rates of Rh were all greater than that of NPP, with
157 slopes of $0.49 \text{ g C m}^{-2} \text{ yr}^{-1}$ ($P < 0.01$), $0.91 \text{ g C m}^{-2} \text{ yr}^{-1}$ ($P < 0.01$), and $1.62 \text{ g C m}^{-2} \text{ yr}^{-1}$ ($P < 0.01$) for
158 RCP2.6, RCP4.5, and RCP8.5, respectively (Fig 2b). These higher rates of Rh increase in all the
159 three scenarios may suggest that Rh was more sensitive to climate change than NPP. On average,
160 the alpine grasslands of the Tibetan Plateau behaved as a carbon sink, with a simulated NEP on a
161 range of $11 \pm 16 \text{ g C m}^{-2} \text{ yr}^{-1}$, $15 \pm 16 \text{ g C m}^{-2} \text{ yr}^{-1}$, and $18 \pm 16 \text{ g C m}^{-2} \text{ yr}^{-1}$ for RCP2.6, RCP4.5,
162 and RCP8.5, respectively, for the period from 2006~2100 (Fig 2c). However, the temporal
163 dynamics of the NEP indicated relatively less variability than NPP and Rh under the three RCP
164 scenarios, and decreased continually with the slopes of $-0.14 \text{ g C m}^{-2} \text{ yr}^{-1}$ ($P < 0.01$), -0.07 g C m^{-2}

165 yr^{-1} ($P=0.13$), and $-0.10 \text{ g C m}^{-2} \text{ yr}^{-1}$ ($P<0.05$) for RCP2.6, RCP4.5, and RCP8.5, respectively.

166 This indicated that the potential capacity for carbon sequestration on the Tibetan Plateau
167 grasslands would gradually decrease under future climate change, because the magnitude of the
168 variation rate of Rh was greater than that of NPP.

169

170 Fig 2. Temporal dynamics of simulated NPP (a), Rh (b), and NEP (c) in the Tibetan Plateau
171 grassland from 2006 to 2100. Solid lines are the three climate models means under different RCP
172 scenarios, and the shading area denotes one standard deviation.

173 **3.2 The response of the interannual carbon budget to future climate variability**

174 The simulated carbon fluxes exhibited a positive correlation with precipitation across the three
175 RCP scenarios with the partial correlation coefficients (R) in the range of 0.07 ~ 0.48 (Fig 3). The
176 positive response of the carbon fluxes to annual precipitation variation became stronger with
177 increasing temperature. Higher correlations between carbon fluxes (NPP and Rh) and precipitation
178 were found in RCP8.5 with R values of 0.33 ($P < 0.05$, Fig 3c) and 0.48 ($P < 0.05$, Fig 3f) than
179 those in RCP2.6 ($R = 0.25$ and 0.34 , $P < 0.05$, Fig 3a,d), respectively. Spatially, the carbon fluxes
180 showed a strong positive relationship with precipitation in the midwestern and northeastern part of
181 the Tibetan Plateau grassland ($R > 0.3$, $P < 0.05$, Fig 3). However, an obvious negative correlation
182 between NEP and precipitation was found in the southern and middle part of the Plateau (Fig
183 3g-i). This was probably due to the fact that precipitation gradually decreased from the southeast
184 to the northwest [20]. Increased precipitation in the southeastern mountainous area could lead to
185 more cloud cover, and thus have negative effects on photosynthesis. The Rh tended to be most

186 sensitive to the precipitation variation ($R:0.34 \sim 0.48$, $P < 0.05$, Fig 3d-f) , followed by NPP
187 ($R:0.25 \sim 0.33$, $P < 0.05$, Fig 3a-c) and NEP ($R:0.07 \sim 0.08$, $P > 0.05$, Fig 3g-i).

188

189 Fig 3. Spatial distributions of the partial correlation coefficients (R) between precipitation and
190 simulated NPP (a, b, c), Rh (d, e, f), and NEP (g, h, i) in the Tibetan Plateau grassland from 2006
191 to 2100 under the three RCP scenarios. Black point signs showed significance level at $P < 0.05$.

192

193 As illustrated in Fig 4, the simulated carbon fluxes exhibited a positive correlation with
194 temperature (Tmean) ($R: 0.03 \sim 0.76$), while the R between NEP and Tmean equal to -0.09 . The
195 positive responses of the NPP and Rh to Tmean were stronger in RCP8.5 with R values of 0.54 (P
196 < 0.05 , Fig 4c) and 0.76 ($P < 0.05$, Fig 4f) than those in RCP2.6 ($R = 0.32$ and 0.30 , $P < 0.05$, Fig
197 4a,d). Spatially, the strong positive responses of simulated NPP and Rh to Tmean change were
198 found across the majority of the Plateau ($R > 0.4$, $P < 0.05$, Fig 4a-f), while the NPP and NEP
199 showed an obvious negative responses to increasing temperature in the midwestern and
200 northeastern part of the Plateau ($R < -0.2$, $P < 0.05$, Fig 4a-c,g-i). This was probably related to the
201 the fact that limited rainfall in these areas could not meet the increase in water demand under
202 warming. The magnitude of Rh increase in response to Tmean ($R:0.30 \sim 0.76$, $P < 0.05$, Fig 4d-f)
203 was larger than that of NPP ($R:0.33 \sim 0.55$, $P < 0.05$, Fig 4a-c) and NEP ($R:-0.09 \sim 0.14$, $P >$
204 0.05 , Fig 4g-i), indicating that warming stimulates respiration more than plant growth and
205 subsequently decreases carbon sink.

206

207 Fig 4. Spatial distributions of the partial correlation coefficients (R) between Tmean and simulated

208 NPP (a, b, c), Rh (d, e, f), and NEP (g, h, i) in the Tibetan Plateau grassland from 2006 to 2100
209 under the three RCP scenarios. Black point signs showed significance level at $P < 0.05$.

210 **3.3 The response of the decadal carbon budget to future climate change**

211 To quantify the carbon cycle of the Tibetan Plateau grasslands in response to the climate
212 variability, we further evaluate the decadal-scale dynamics of climate and carbon budgets during
213 three time periods (Fig 5). The 2010s ~ 2030s time period was calculated as the difference
214 between the decadal averages of the years 2010 ~ 2019 and 2030 ~ 2039, and the same was done
215 for the 2040s ~ 2060s and 2070s ~ 2090s time periods. The decadal change of carbon fluxes (e.g.
216 Δ NPP and Δ Rh) were positively linearly correlated with the Δ Tmax (Fig 5d,e) and Δ Tmin (Fig
217 5g,h), with R^2 in a range of 0.59 ~ 0.67 ($P < 0.01$). It was suggested that the increase in
218 temperature could stimulate both vegetation production and also Rh. Furthermore, the linear
219 slopes indicated that 1% increase in Tmax and Tmin caused a larger increase of Rh by 0.27%
220 (Fig 5c) and 0.24% (Fig 5h) than those of NPP by 0.23% (Fig 5d) and 0.21% (Fig 5g). This
221 suggested that Rh was more sensitive than NPP to increasing temperature. Consequently, the
222 simulated NEP exhibited a decreasing trend under a warming climate. With respect to the
223 response to the decadal change of precipitation, the dynamics of the Δ NPP and Δ Rh showed an
224 increasing trend with Δ Precipitation, while these positive responses tended to be stagnant (Fig
225 5a,b). As the decadal change of precipitation varied within comparatively small ranges (~ 5%),
226 temperature might play an important role in modulating the carbon fluxes of alpine
227 grassland. Taken together, the changes in Δ NEP suggested that the alpine grassland still behaved
228 as a carbon sink but its capacity declined over the 21st century.

229

230 Fig 5. Responses of simulated Δ NPP (a, d, g), Δ Rh (b, e, h), and Δ NEP (c, f, i) in the Tibetan
231 Plateau grassland to climate change over the 2010s ~ 2030s, 2040s ~ 2060s and 2070s ~ 2090s
232 time periods under the three RCP scenarios.

233

234 Under RCP2.6, the Δ Precipitation was 3%, 0%, and -1% (Fig 5a-c), with the Δ Tmax being
235 13%, -5%, and -8% (Fig 5d-f), and the Δ Tmin 8%, -4%, and -7% (Fig 5g-i) for 2010s ~ 2030s,
236 2040s ~ 2060s and 2070s ~ 2090s time periods, respectively. This decadal climate change led to a
237 corresponding decrease in Δ NPP from 4% to 1% and 0% for the three time periods (Fig 5a, d, g),
238 and the same decrease in Δ Rh (Fig 5b, e and h), and consequently led to a decrease in Δ NEP from
239 25% to 16% and 6% (Fig 5c, f and i). In response to the continual decrease in temperature and
240 precipitation, the changes in the decadal carbon dynamics were predicted to show a corresponding
241 downward trend in the RCP2.6 scenario. For RCP4.5, the Δ Precipitation was -1%, 1%, and 0%,
242 with the Δ Tmax 12%, 6%, and 4%, and the Δ Tmin 6%, 6%, and 4% in the 2010s ~ 2030s, 2040s ~
243 2060s and 2070s ~ 2090s time periods, respectively. In this scenario, the reduction in the
244 magnitude of the warming trend was accompanied by stable precipitation, which led to a
245 corresponding decrease in Δ NPP of 5%, 3%, and 4%, and in Δ Rh of 7%, 5%, and 4%,
246 respectively. The discrepant magnitudes of the increase in NPP and Rh across different periods,
247 resulting in the variations of the Δ NEP were -13%, -30%, and 8%, respectively. The decrease in
248 Δ NEP in the first two periods was mainly due to a larger increase in Rh than NPP. Under RCP8.5,
249 both the precipitation and temperature were projected to increase when the Δ Precipitation was 5%,
250 1%, and 7%, and the Δ Tmax was 10%, 22%, and 14%, and the Δ Tmin was 7%, 20%, and 25% for

251 2010s ~ 2030s, 2040s ~ 2060s and 2070s ~ 2090s time periods, respectively. The projected large
252 warming trend over the Tibetan Plateau would favor the growth of alpine grass, with a Δ NPP of
253 8%, 6%, and 8%, and could also exacerbate carbon decomposition with a Δ Rh of 9%, 7%, and
254 8%, respectively. Consequently, the NEP correspondingly decreased by -3%, -21%, and -3%,
255 respectively. The substantial decrease in the Δ NEP over the 2040s ~ 2060s time period was also
256 partially due to the water stress under strong warming conditions. The dynamics of NEP were
257 largely dependent on the different responses of NPP and Rh to climate change.

258

259 **3.4 The response of the carbon budget to future elevated CO₂ concentrations**

260 To quantify the effect of increased CO₂ on the carbon fluxes on alpine grasslands, we conducted
261 two model simulations: with and without CO₂ fertilization. Then, the difference between the two
262 simulated results was analyzed to evaluate the impacts of CO₂ on the carbon budget under the
263 three RCP scenarios (Fig 6). Across all scenarios, the increasing CO₂ concentration accounted for
264 1.9%, 3.6%, and 7.3% of the increase in NPP (Fig 6a), and 1.7%, 3.0%, and 6.1% of the increase
265 in Rh (Fig 6b) under RCP2.6, RCP4.5, and RCP8.5, respectively. Furthermore, the linear slopes
266 indicated that 1 ppm increase in CO₂ concentration caused a slightly larger increase of NPP by
267 0.06 ~ 0.45 g C m⁻² yr⁻¹ (Fig 6a) than those of Rh by 0.06 ~ 0.43 g C m⁻² yr⁻¹ (Fig 6b). Notably,
268 the CO₂ fertilization effect would be substantially amplified when accompanied by an increase in
269 temperature (slope_{T_{max}} = 0.6 °C decade⁻¹ and slope_{T_{min}} = 0.7 °C decade⁻¹, Fig 5d, g) and
270 precipitation (slope = 10.0 mm decade⁻¹, Fig 5a) under RCP8.5. By the end of the 21st century
271 (2090s), the Δ NPP and Δ Rh both largely increased from RCP2.6 to RCP8.5, ranging from 2.4% to

272 11.0%. However, the magnitude of the difference in NEP was relatively small, with multi-model
273 mean values of 0.9, 2.3, and 4.9 g C m⁻² yr⁻¹ for RCP2.6, RCP4.5, and RCP8.5, respectively (Fig
274 6c). The elevated CO₂ contributed more to plant growth than decomposition, indicating that the
275 Tibetan Plateau grassland was able to sequester carbon from the atmosphere due to CO₂
276 fertilization.

277

278 Fig 6. The differences between simulated NPP (a), Rh (b), and NEP (c) with and without CO₂
279 effects in the Tibetan Plateau grassland under the three RCP scenarios.

280 4. Discussion

281 . The carbon fluxes of the alpine grassland in the Tibetan Plateau were stimulated to be strongly
282 influenced by the climate change and elevated CO₂ concentrations [3,5]. Over the 21st century, the
283 Tibetan Plateau grassland NPP and Rh were projected to significantly increase, at rates of 0.35 ~
284 1.52 g C m⁻² yr⁻¹ and 0.49 ~ 1.62 g C m⁻² yr⁻¹, respectively, under the three scenarios. These
285 increases in NPP and Rh were primarily due to the effects of warming and elevated CO₂
286 concentrations, while the positive impact of precipitation became stable (Fig 5,6). The enhanced
287 vegetation production in future projections was consistent with the model estimations of Gao et al.
288 [7] and Jin et al. [30]. The dynamics of decadal carbon fluxes (Δ NPP and Δ Rh) were predicted
289 to decrease with precipitation and temperature under RCP2.6, and with temperature in a relatively
290 stable precipitation scenario under RCP4.5 (Fig 5). Across all scenarios, the partial correlation (R)
291 between the carbon fluxes (NPP and Rh) and Tmean (Fig 4a-f) were higher than those with
292 precipitation (Fig 3a-f), except for the Rh in RCP4.5. These results suggested that the carbon cycle

293 in the alpine region may be primarily controlled by the temperature increase. However, the partial
294 correlation between carbon sink (NEP) and Tmean was predicted to decrease from 0.14 in RCP2.6
295 to -0.09 in RCP8.5 under a warmer scenario (Fig 4g,i). The partial correlation of NEP with
296 precipitation were equal to 0.07 and 0.08 in RCP4.5 and RCP8.5 (Fig 3h,i), which were higher
297 than with Tmean (0.04 and -0.09, Fig 4h,i). Furthermore, the decadal carbon fluxes were predicted
298 to decrease with decreasing precipitation, albeit increasing temperature under RCP8.5 during the
299 2040s ~ 2060s (Fig 5). The role of precipitation was becoming increasingly important in carbon
300 uptake of the Tibetan Plateau grassland. The seasonal dynamics of carbon exchange in alpine
301 grassland under warming were likely to be modulated by water availability [36]. Similar results
302 were found in Inner Mongolia [52], the central and eastern United States [53], and California [54],
303 which indicated that grass production would largely decrease under warmer and drier climate
304 scenarios. The warming accelerated the evapotranspiration and then changed the soil water
305 availability [55,56]. When the soil water availability became limited, the vegetation production
306 might decrease due to suppressed photosynthesis [57] and also reduce root biomass [58]. Shen et
307 al. [6] and Shen et al. [59] further indicated that the precipitation may regulate the response of
308 vegetation phenology and soil respiration to climatic warming on the Tibetan Plateau. The water
309 availability became an increasingly important factor on carbon dynamics on the Tibetan Plateau
310 grasslands, particularly under a warmer climate scenario. These results highlight the significance
311 of accurate projection of precipitation (i.e. the amounts and distributions) under three RCP
312 scenarios, which should be considered in estimates of the carbon fluxes.

313 The Tibetan Plateau grasslands were projected to be a net carbon sink (16 ~ 25 Tg C yr⁻¹)
314 over the 21st century, which was comparable to the results of Jin et al. [30] with NEP ranging

315 from 18 to 46 Tg C yr⁻¹. However, the temporal dynamics of NEP all exhibited a slightly
316 decreasing trend, with slopes of -0.07 ~ -0.14 g C m⁻² yr⁻¹ across the three RCP scenarios. This
317 was probably due to the fact that warming induced more carbon inputs into the soil and
318 accelerated respiration rates (Rh), leading to reduced carbon uptake [60,61]. On the other side,
319 Cao and Woodward [62] found that the increasing trend in carbon uptake would be diminished by
320 the acclimated response to climate variability and CO₂ fertilization effect. Consequently, the
321 temporal dynamics of simulated SOC increased 10 ~ 15 Mg C ha⁻¹ (i.e., 1.4 ~ 2.1 Pg C for 1.4 ×
322 10⁶ km²) over the 21st century, while the changing rate showed a decreasing trend of -0.12 ~ -0.06
323 g C m⁻² yr⁻¹ (*P*<0.01) under the three RCP scenarios. These results were in line with the
324 projections from the CMIP5 [63], but inconsistent with the findings of Crowther et al. [64], due
325 largely to the high initial SOC density in high-latitude areas. Our findings suggested that the
326 carbon sequestration in the vegetation and soil was projected to increase under three RCP
327 scenarios, but the carbon sequestration rate was predicted to decrease gradually on the Tibetan
328 Plateau grasslands.

329 The carbon dynamics exhibited a large discrepancy in response to climate change with and
330 without the effect of elevated CO₂ concentrations. The carbon uptake by vegetation could be
331 strongly stimulated by CO₂ fertilization, especially in July [65]. The increasing CO₂ concentration
332 accounted for 1.9% ~ 7.3% of the increase in NPP (Fig 6a), which was less than the range of 9% ~
333 11% in the perennial grassland experiment at the Cedar Creek Ecosystem Science Reserve in
334 central Minnesota during 1998 ~ 2010 [66]. Furthermore, the slope of NPP was predicted to
335 increase by 18% ~ 29% under CO₂ fertilization among all scenarios, which was slightly less than
336 the estimates of Piao et al. [3] (~ 39%) on the Tibetan Plateau grasslands during the past five

337 decades. This was probably due to the effect of CO₂ fertilization, which tended to be saturated
338 over time, and the discrepancy that existed in different models. Furthermore, the response of Rh to
339 CO₂ was of the same magnitude (1.7% ~ 6.1%) as that in NPP during the 21st century. The
340 elevated CO₂ concentrations generally had a positive effect on soil respiration by regulating the
341 carbon uptake and allocation, and on the availability of substrate and soil water [67].
342 Consequently, the almost consistent increase in NPP and Rh caused by the elevated CO₂ on the
343 alpine grassland resulted in a relatively small difference in NEP (0.9 ~ 4.9 g C m⁻² yr⁻¹, Fig 6c).
344 However, the grassland carbon cycle was projected to be amplified by around two to three times
345 due to higher CO₂ concentrations under RCP8.5 compared to that in RCP2.6 and RCP4.5. The
346 different potential responses to elevated CO₂ levels were partly due to the different climate
347 conditions among the three RCP scenarios. For RCP2.6, the increasing CO₂ associated with a
348 decreasing trend in temperature and precipitation had a minor effect on the carbon cycle (Fig 6a).
349 Furthermore, the elevated CO₂ favored plant growth limitedly in RCP4.5, as the continually
350 warming and stable precipitation may induce water stress (Fig 6b). However, increasing
351 temperature and precipitation, together with increasing CO₂, greatly enhanced the carbon uptake
352 in the alpine ecosystem under RCP8.5 (Fig 6c).

353 Furthermore, the dynamically downscaled climate data using regional climate models
354 (RCMs) indicated an improved performance compared to the coarse output from global climate
355 models (GCMs) [51,68]. Jin et al. [30] indicated that the increased resolution of RCMs was
356 preferable for capturing the regional climate patterns, especially under the complex surface
357 characteristics of the Tibetan Plateau. There are also substantial uncertainties in projected climate
358 change for RCMs, coming from the driving GCMs and human action [69,70]. Moreover, without

359 taking the effect of nitrogen (N) into account, the quantitative attribution of future climate change
360 and elevated CO₂ concentrations on the carbon dynamics of the Tibetan Plateau grasslands should
361 be considered merely suggestive. The N is regarded as a limiting factor, controlling the carbon
362 uptake and further influencing the climate – carbon cycle feedback [71,72]. However, most of the
363 current carbon cycle models lack N dynamics [71]. Further efforts should be made to incorporate
364 N feedback to constrain the uncertainty of the carbon cycle in response to a changing environment
365 [73,74].

366 **5. Conclusions**

367 By applying a process-based biogeochemistry model, CENTURY, we quantified the carbon
368 dynamics on the Tibetan Plateau grasslands in response to climate change and elevated CO₂ under
369 the RCP scenarios. The Tibetan Plateau grasslands behaved as a net carbon sink with the NEP of
370 16 ~ 25 Tg C yr⁻¹ over the 21st century. However, the potential capacity for carbon sequestration
371 on the Tibetan Plateau grasslands was predicted to decrease gradually with the slopes ranging
372 from -0.14 to -0.07. The partial correlation between carbon fluxes and climate indicated that
373 warming stimulated respiration more than plant growth. However, the elevated CO₂ contributed
374 more to plant growth than decomposition, which could offset the warming-induced carbon loss.
375 The interannual and decadal-scale dynamics of the carbon fluxes were primarily controlled by
376 temperature, while the role of precipitation became increasingly important in modulating carbon
377 budgets in the alpine grassland. The stable precipitation accompanied by noticeable warming in
378 RCP4.5 (2010s ~ 2030s and 2040s ~ 2060s) and RCP8.5 (2040s ~ 2060s) led to a reduction of
379 carbon sink (Δ NEP) by -13%, -30% and -21%, respectively. These results highlighted the

380 importance of precipitation in regulating the contribution of CO₂ fertilization and warming effect

381 on carbon dynamics in a warmer climate scenario.

382

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389

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587 **Figures captions**

588 Fig. 1 Comparisons of observed and modeled aboveground biomass (AGC, a), and net primary
589 production (NPP, b), heterotrophic respiration (Rh, c), and net ecosystem production (NEE, d) at
590 the Haibei research station of the Tibetan Plateau.

591 Fig. 2 Temporal dynamics of simulated NPP (a), Rh (b), and NEP (c) in the Tibetan Plateau
592 grassland from 2006 to 2100. Solid lines are the three climate models means under different RCP
593 scenarios, and the shading area denotes one standard deviation.

594 Fig. 3 Spatial distributions of the partial correlation coefficients (R) between precipitation and
595 simulated NPP (a, b, c), Rh (d, e, f), and NEP (g, h, i) in the Tibetan Plateau grassland from 2006
596 to 2100 under the three RCP scenarios. Black point signs showed significance level at $P < 0.05$.

597 Fig. 4 Spatial distributions of the partial correlation coefficients (R) between Tmean and simulated
598 NPP (a, b, c), Rh (d, e, f), and NEP (g, h, i) in the Tibetan Plateau grassland from 2006 to 2100
599 under the three RCP scenarios. Black point signs showed significance level at $P < 0.05$.

600 Fig. 5 Responses of simulated Δ NPP (a, d, g), Δ Rh (b, e, h), and Δ NEP (c, f, i) in the Tibetan
601 Plateau grassland to climate change over the 2010s ~ 2030s, 2040s ~ 2060s and 2070s ~ 2090s
602 time periods under the three RCP scenarios.

603 Fig. 6 The differences between simulated NPP (a), Rh (b), and NEP (c) with and without CO₂
604 effects in the Tibetan Plateau grassland under the three RCP scenarios.

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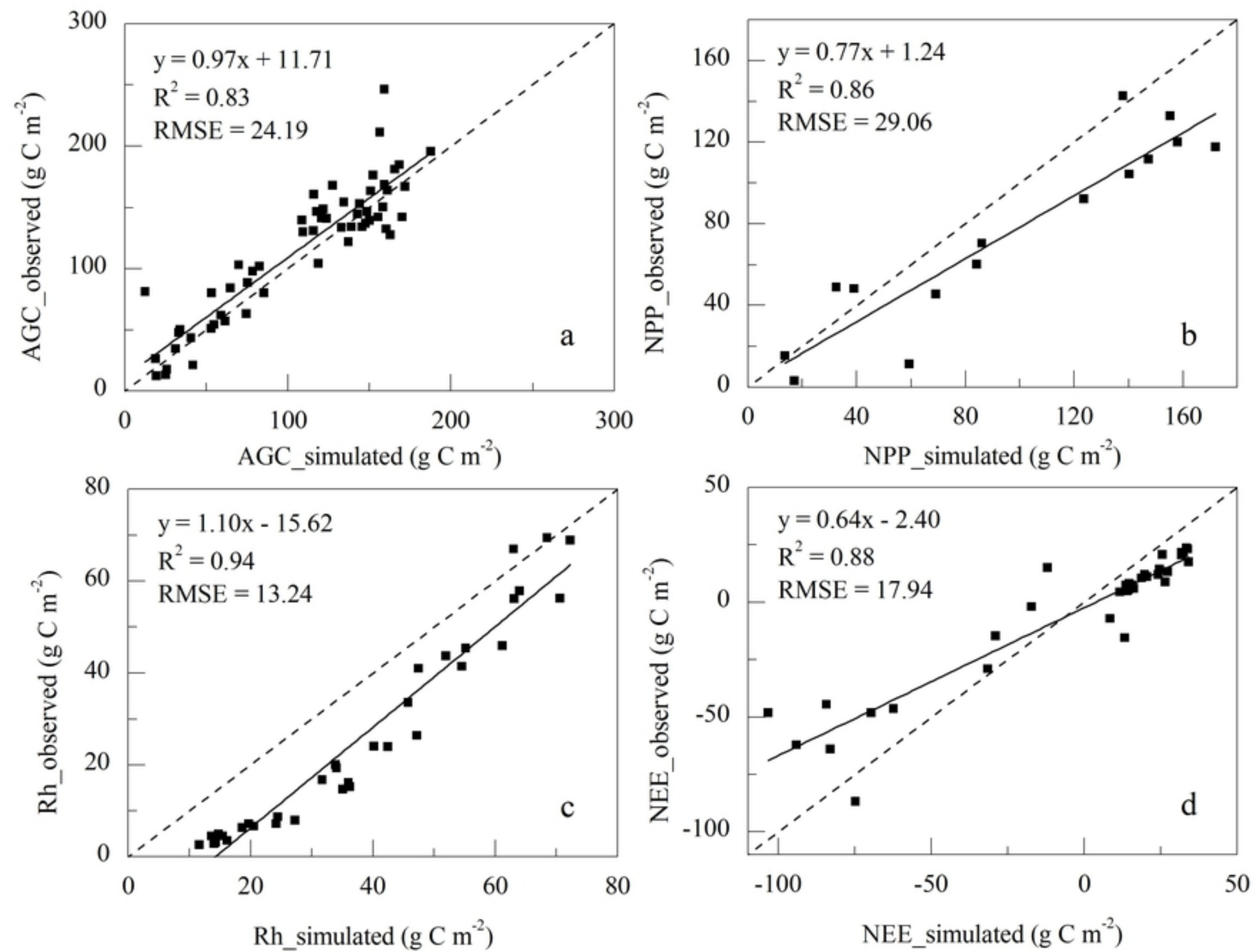


Figure 1

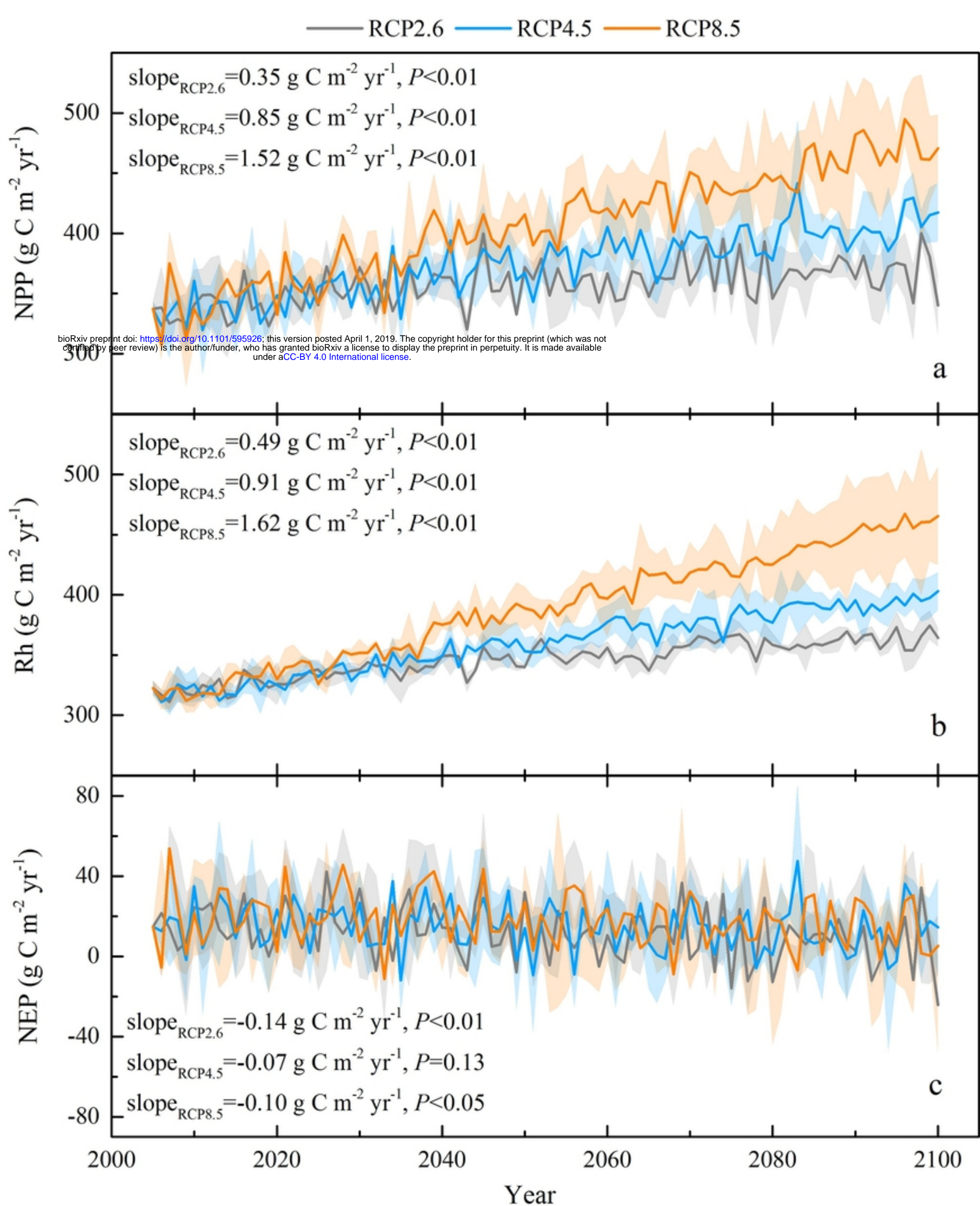


Figure2

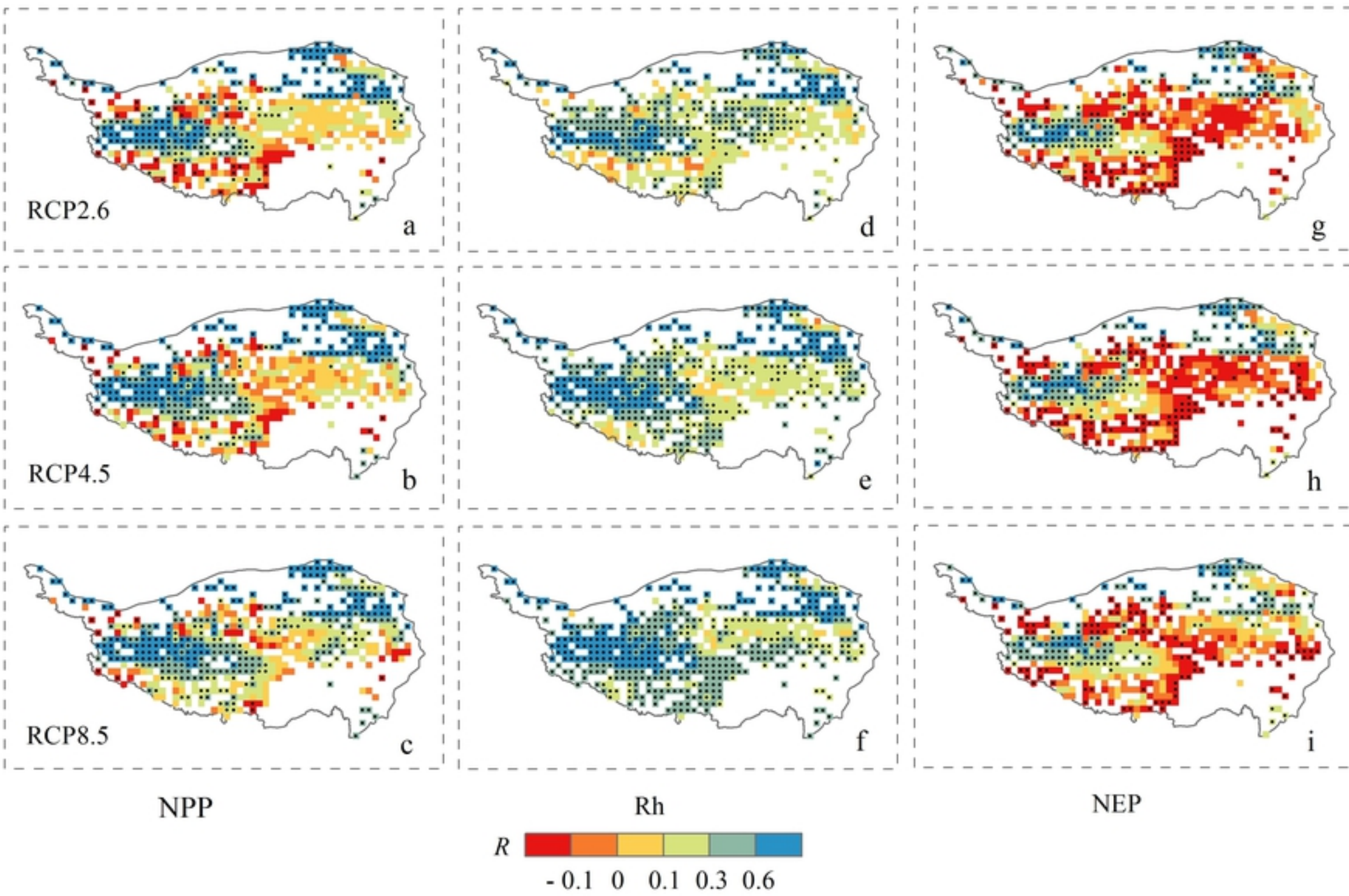


Figure3

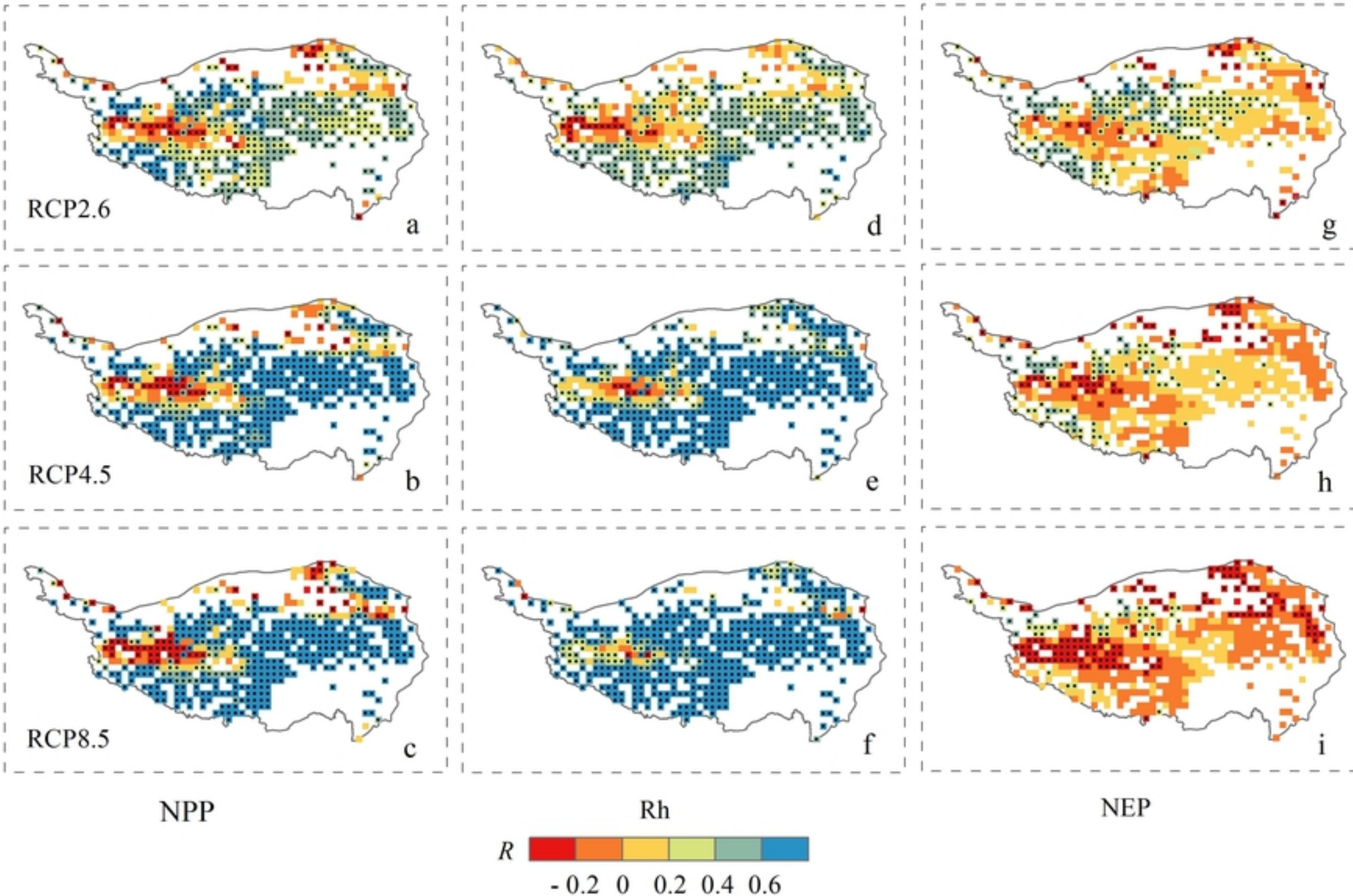


Figure4

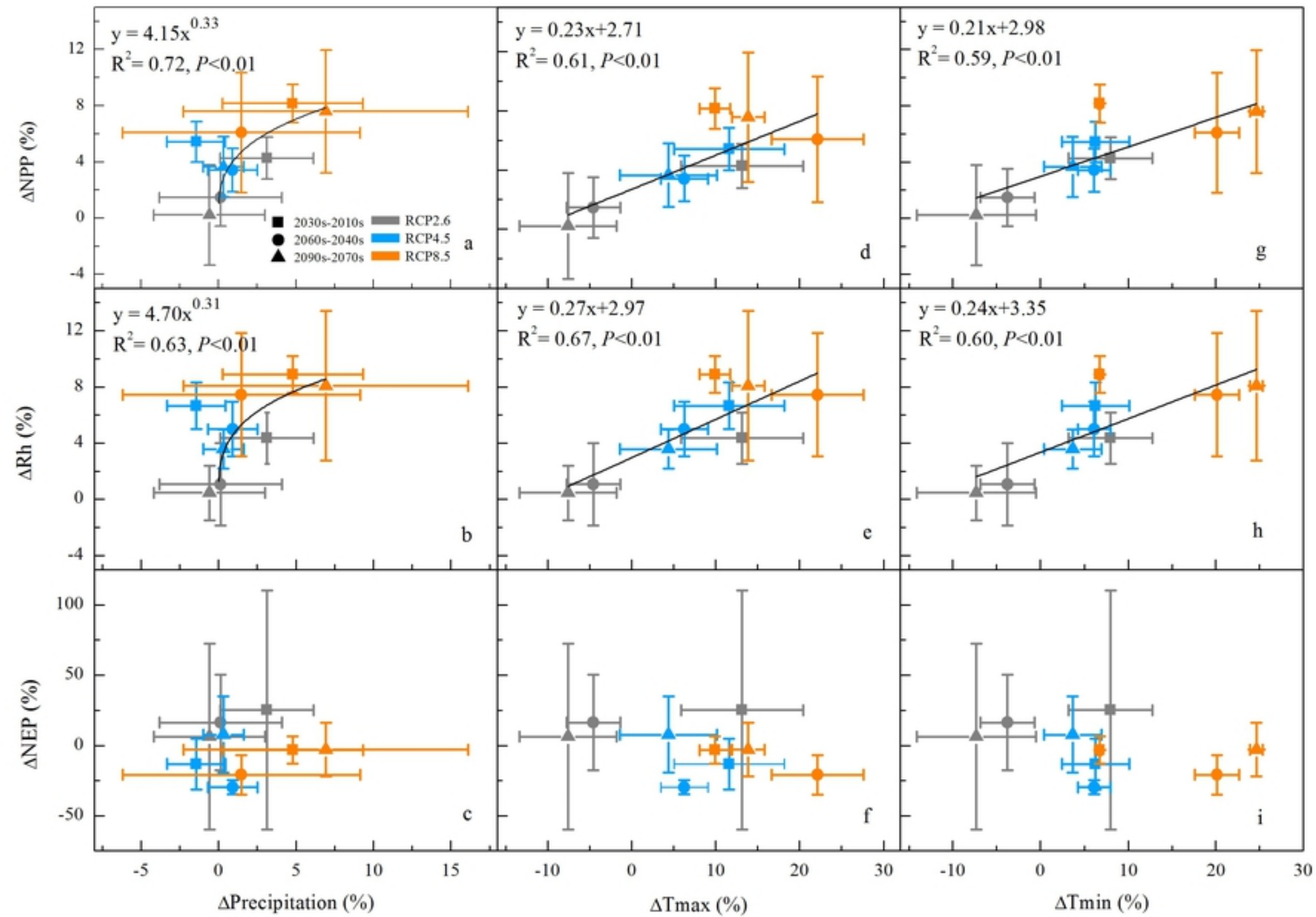


Figure5

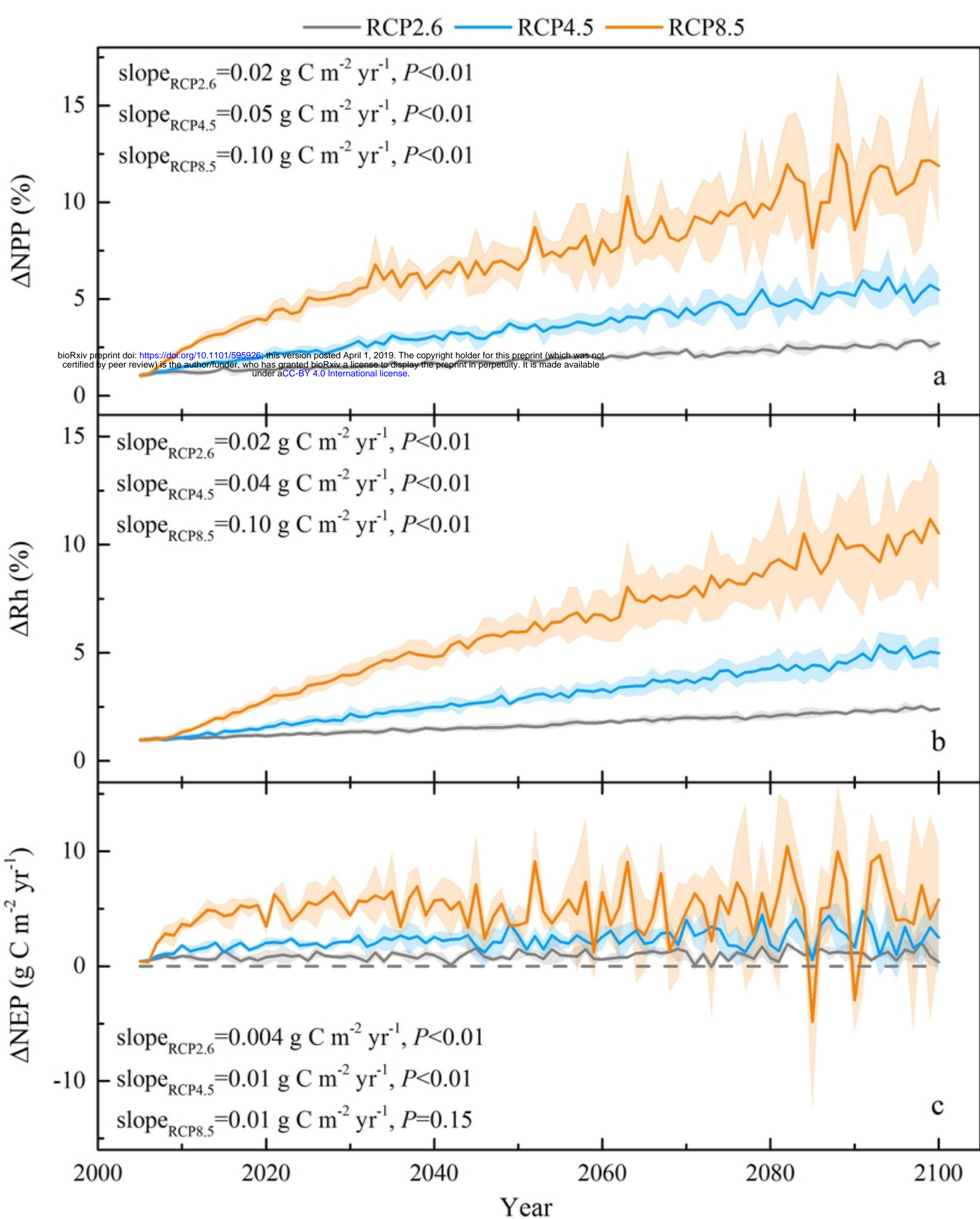


Figure6