

1 **Specific gut microbiome members are associated with distinct immune**
2 **markers in allogeneic hematopoietic stem cell transplantation**

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25

26 **Abstract**

27

28 **Background:**

29 Increasing evidence reveals the importance of the microbiome in health and disease
30 and inseparable host-microbial dependencies. Host-microbe interactions are highly
31 relevant in patients receiving allogeneic hematopoietic stem cell transplantation,
32 (HSCT), i.e. a replacement of the cellular components of the patients' immune system
33 with that of a foreign donor. HSCT is employed as curative immunotherapy for a
34 number of non-malignant and malignant hematologic conditions, including acute
35 lymphoblastic leukemia. The procedure can be accompanied by severe side effects
36 such as infections, acute graft-versus-host disease (aGvHD), and death. Here, we
37 performed a longitudinal analysis of immunological markers, immune reconstitution
38 and gut microbiota composition in relation to clinical outcomes in children undergoing
39 HSCT. Such an analysis could reveal biomarkers, e.g. at the time point prior to HSCT,
40 that in the future could be used to predict which patients are of high risk in relation
41 to side effects and clinical outcomes and guide treatment strategies accordingly.

42

43 **Results:**

44 In two multivariate analyses (sparse partial least squares regression and canonical
45 correspondence analysis), we identified three consistent clusters: (1) High
46 concentrations of the antimicrobial peptide human beta-defensin 2 (hBD2) prior to
47 the transplantation in patients with high abundances of *Lactobacillaceae*, who later
48 developed moderate or severe aGvHD and exhibited high mortality. (2) Rapid
49 reconstitution of NK and B cells in patients with high abundances of obligate
50 anaerobes such as *Ruminococcaceae*, who developed no or mild aGvHD and exhibited
51 low mortality. (3) High inflammation, indicated by high levels of C-reactive protein, in
52 patients with high abundances of facultative anaerobic bacteria such as
53 *Enterobacteriaceae*. Furthermore, we observed that antibiotic treatment influenced
54 the bacterial community state.

55

56 **Conclusions:**

57 We identify multivariate associations between specific microbial taxa, host immune
58 markers, immune cell reconstitution and clinical outcomes in relation to HSCT. Our
59 findings encourage further investigations into establishing longitudinal surveillance of
60 the intestinal microbiome and relevant immune markers, such as hBD2, in HSCT
61 patients. Profiling of the microbiome may prove useful as a prognostic tool that could
62 help identify patients at risk of poor immune reconstitution and adverse outcomes,
63 such as aGvHD and death, upon HSCT, providing actionable information in guiding
64 precision medicine.

65

66 **Keywords:**

67 Gut microbiota – pediatric cancer – HSCT – 16S rRNA gene profiling – data
68 integration - immune reconstitution - *Ruminococcaceae* - Human beta-defensin 2 –
69 acute GvHD - B cells and NK cells

70

71 **Background**

72

73 The microbiome has gained increasing attention as a crucial contributor in the course
74 of various diseases, and as target of treatment [1–3]. A number of complications in
75 allogeneic hematopoietic stem cell transplantation (HSCT) have recently been
76 associated with the gut microbiota [4, 5]. HSCT is a curative treatment for various
77 hematologic diseases including malignancies, such as acute lymphoblastic leukemia
78 (ALL), as well as non-malignant diseases, such as metabolic disorders and immune
79 deficiency syndromes [6]. One goal of HSCT is achieving a beneficial graft-versus-
80 leukemia (GvL) effect where donor-derived T lymphocytes and natural killer cells
81 target leukemic cells in the recipient [7]. Prior to allogeneic HSCT, the patients undergo
82 a preparative conditioning regimen involving combinations of chemotherapeutic
83 agents and total body irradiation (TBI) [8] to eradicate leukemic cells and induce
84 immunosuppression. Immunosuppressive treatment (both prior to and post HSCT) in
85 the stem cell recipient prevents a graft-versus-host reaction caused by cytotoxic donor
86 T lymphocytes that attack healthy cells in the recipient [8]. To limit infectious diseases
87 due to immunosuppression, the patients are administered broad-spectrum
88 antibacterial and antifungal compounds. Subsequently, the patients receive a stem
89 cell graft originating from the bone marrow, peripheral blood or umbilical cord blood
90 of a human leukocyte antigen (HLA)-matched sibling donor or an unrelated donor (i.e.,
91 allogeneic HSCT) [9, 10].

92

93 In patients undergoing HSCT, it has been previously observed that there are
94 associations between the microbiome and clinical outcomes such as acute graft-
95 versus-host disease (aGvHD) [5, 11, 12] and survival [4, 13]. GvHD after HSCT has been
96 related to an expansion of the order *Lactobacillales*, especially *Enterococcus* spp. [11]
97 and *Lactobacillus* spp. [14] and a loss of *Clostridiales* [14]. However, so far few studies
98 have monitored the microbiome longitudinally [11, 14, 15]. This indicates the need for
99 more detailed investigations that take temporal monitoring of both the host immune
100 system and the microbiome into account.

101

102 Several markers of the host immune system, including inflammatory markers (such as
103 C-reactive protein (CRP) and interleukin 6 (IL-6)) and markers of intestinal toxicity
104 (such as plasma citrulline), have been studied in HSCT [16, 17]. Potential novel
105 markers, such as antimicrobial peptides (AMPs), have also been proposed to be
106 involved in outcomes after HSCT, for example in immunomodulation and regulation
107 of microbial homeostasis [18]. AMPs, especially defensins such as human beta-
108 defensin 2 and 3 (hBD2 and hBD3), have previously been found to play a role in some
109 inflammatory diseases [19, 20]. However, to our knowledge, AMPs have not yet been
110 employed as markers in the context of HSCT. An interesting research question
111 remains: How are known and novel markers within the host immune system

112 associated with each other or with changes in the microbiome? A better
113 understanding about these associations would provide a more holistic insight into the
114 underlying mechanisms affecting clinical outcomes after HSCT.

115

116 Another crucial factor impacting on complications and clinical outcomes after HSCT is
117 immune reconstitution. Immune reconstitution involves the essential cellular
118 components of the adaptive immune system, namely T and B cells, as well as key
119 cellular components of the innate immune defense, namely natural killer (NK) cells,
120 monocytes and neutrophils [21]. A microbial influence on immune cell differentiation
121 has been observed previously, e.g., commensal *Clostridiales* were found to regulate
122 Treg cell differentiation in the colon [22]. Therefore, an influence of the intestinal
123 microbiome on immune reconstitution following HSCT is likely, but had not been
124 investigated prior to this study.

125

126 Here, we monitor both host factors and the intestinal microbiome longitudinally. We
127 included markers of inflammation (CRP and IL-6) and intestinal toxicity (plasma
128 citrulline) as well as the antimicrobial peptides (hBD2 and 3), which in the following
129 sections are collectively referred to as immune markers. To assess the prognostic
130 potential of immune markers associated with gut microbial dynamics for immune
131 reconstitution and clinical outcomes after HSCT (aGvHD and survival) in a holistic way,
132 we implemented multivariate multi-table (also referred to as multi-way) approaches.
133 This facilitated the integration of a variety of different factors that could influence the
134 patients' convalescence. We reveal distinct clusters of bacteria associated with sets of
135 immune markers and clinical outcomes. Patients with rapid NK and B cell
136 reconstitution that had no or mild aGvHD and low mortality exhibited high
137 abundances of members belonging to the family of *Ruminococcaceae*. In contrast,
138 patients with moderate to severe aGvHD and high mortality showed high plasma
139 concentrations of the antimicrobial peptide hBD2 already prior to HSCT. In these
140 patients, we observed increased *Lactobacillaceae* abundances.

141

142

143 **Results**

144

145 To assess associations between immune markers and gut microbial dynamics in the
146 context of immune reconstitution and clinical outcomes after HSCT, we monitored 37
147 children over time undergoing allogeneic HSCT (Figure 1A, Additional file 1: Table S1).
148 We gained insight into the patients' immune reconstitution by determining T, B, NK,
149 monocyte, and neutrophil cell counts in peripheral blood (Figure 1A, Additional file 1:
150 Table S1). We measured C-reactive protein (CRP) and plasma interleukin 6 (IL-6) as
151 markers of inflammation, and human beta-defensin 2 and 3 (hBD2 and 3) as markers
152 for potential innate immune activation and systemic infection (Figure 1A, Additional

153 file 1: Table S1). Plasma citrulline levels were measured as a marker of intestinal
154 toxicity, being produced selectively by functioning enterocytes. To relate immune
155 marker levels to members of the intestinal microbiota in patients undergoing HSCT,
156 we characterized the longitudinal dynamics of the human intestinal microbiome in a
157 subset of 30 patients by utilizing 16S rRNA gene profiling (Figure 1A).

158

159 **Patient cohort and outcomes**

160 At the time of HSCT, the 37 patients were on average 8.2 years old (age range 1.1 -
161 18.0 years). Twenty-five patients (68%) were diagnosed with at least one bacterial
162 infection at median 75 days post HSCT (range: day -19 to +668). Twenty-six patients
163 (70%) had no or mild aGvHD (grade 0-I) (Additional file 1: Table S1). Eleven patients
164 (30%) developed moderate to severe aGvHD (grade II, III or IV) at median 18 days
165 (range: day +9 to +45) after transplantation (Additional file 1: Table S1). Seven patients
166 (19%) died during the follow-up period at median 266 days post HSCT (range: day +9
167 to +784) (5 relapse-related and 2 treatment-related deaths) (Additional file 1: Table
168 S1). In total, six patients (16%) relapsed, four of which underwent a re-transplantation.
169 All patients received antibiotics pre- and post-transplantation (Additional file 1: Table
170 S1). Prophylactic trimethoprim-sulfamethoxazole was administered to all patients
171 from day -7 until transplantation. During the period of neutropenia or latest from day
172 -1, patients received prophylactic intravenous ceftazidime. In case of infections
173 indicated by fever or microbial culture, ceftazidime was substituted by intravenous
174 meropenem, vancomycin or other antibiotics, according to culture-based results.

175

176 **Temporal dynamics of immune markers and the intestinal microbiota in HSCT** 177 **patients**

178 Prior to assessing the interplay between clinical variables (i.e., immune markers,
179 immune reconstitution, clinical outcomes) and the intestinal microbiota, we
180 characterized these components separately. In order to provide an overview of
181 changes in immune markers and immune cell counts after HSCT in our cohort ($n=37$),
182 we assessed their temporal patterns (Figures 1B, 1C, and Additional file 2: Figure S1).
183 Of note, these supplemental univariate analyses mainly serve the purpose of visually
184 aiding our subsequent multivariate analyses approaches (Additional file 3: Figure S2).
185 We characterized hBD2 for the first time in the context of HSCT by assessing plasma
186 hBD2 concentrations over time from pre-HSCT to month +3 post HSCT in patients
187 compared to healthy controls. The hBD2 concentration differed significantly between
188 time points ($P < 0.001$, Kendall's $W = 0.6$). It increased from pre-HSCT to the day of
189 HSCT ($P < 0.001$), then slightly decreased in week +1 ($P = 0.038$) before increasing again
190 in week +3 ($P = 0.006$). HBD2 decreased again in month +2 ($P = 0.014$) (Figure 1B). CRP
191 levels differed significantly between time points ($P < 0.001$, Kendall's $W = 0.33$). They
192 were high pre-HSCT and until week +2, then decreasing significantly in week +3 ($P <$
193 0.001) with the lowest levels in weeks +4 to +6 ($P < 0.001$) (Additional file 2: Figure

194 S1A). Median plasma citrulline levels were significantly different between time points
195 ($P < 0.001$, Kendall's $W = 0.32$). They decreased from pre-HSCT to week +1 ($P < 0.001$)
196 and increased again in week +3 ($P < 0.001$) (Additional file 2: Figure S1A). B cell counts
197 (Kendall's $W = 0.5$) as well as CD4+ T cell counts (Kendall's $W = 0.46$) increased steadily
198 from month +1 to month +6 ($P < 0.001$) (Additional file 2: Figure S1B).

199

200 To gain insight into intestinal microbial dynamics before, at the time of, and after
201 HSCT, we obtained a total of 97 fecal samples from a subcohort of 30 patients. Using
202 16S rRNA gene sequence analysis, we identified 239 operational taxonomic units
203 (OTUs) (see Methods). Microbial alpha-diversity was lower at all time points post-
204 HSCT compared to pre-HSCT (Figure 1B). The median inverse Simpson index decreased
205 from 3.27 (range: 1.02 – 7.4) before HSCT to 2.89 (range: 1.04 - 10.77) on the day of
206 transplantation and further to 2.03 (range: 1.0 – 16.51) post-HSCT (median of week
207 +1 to +5). *Enterococcaceae* (*Firmicutes*) was the most abundant bacterial family
208 observed, followed by other *Firmicutes*, such as three families from the class of *Bacilli*
209 (*Lactobacillaceae*, *Streptococcaceae*, *Staphylococcaceae*), two families from the class
210 of *Clostridia* (*Lachnospiraceae*, *Ruminococcaceae*), a family within the class of
211 *Erysipelotrichia* (*Erysipelotrichaceae*) and a family within the class of gamma-
212 *Proteobacteria* (*Enterobacteriaceae*) (Figure 1C).

213

214 **Associations between immune markers and immune cell reconstitution in HSCT** 215 **patients**

216 In order to identify patient baseline parameters and clinical outcomes (e.g. aGvHD,
217 relapse, overall survival), as well as immune markers and immune cell types that might
218 be important determinants in HSCT in relation to the microbiome, we performed
219 variable assessment by permutational multivariate analysis of variance (adonis). The
220 variables that were found to be significant ($P \leq 0.05$), i.e., those that explained most
221 variation in the microbial community distance matrix, were selected for subsequent
222 analyses (Additional file 3: Figure S2, Additional file 4: Table S2). Of note, the
223 occurrence of relapse as an indication of transplantation outcome was assessed but
224 not found to be significant in adonis and was therefore not included in follow-up
225 analyses. We then assessed associations of the selected immune markers and immune
226 cells in the data set comprising 37 patients by determining Spearman's rank
227 correlations. The hBD2 concentrations pre-HSCT, on the day of HSCT and in weeks +1
228 and +2 post-HSCT were positively correlated with each other ($\rho = 0.73 - 1$, $P < 0.001$)
229 (Figure 2A). NK cell counts in month +1 exhibited a positive correlation with total B
230 cell counts ($\rho = 0.64$, $P = 0.0046$) and mature B cells counts ($\rho = 0.62$, $P = 0.0114$) in
231 month +2. When we related immune cell reconstitution to outcomes, we observed
232 significantly higher NK cell counts and total B cell counts in month +2 in patients with
233 no or mild aGvHD (grade 0-I) compared with patients with moderate to severe aGvHD
234 (grade II-IV) (Wilcoxon rank sum test, NK cells, $P = 0.011$; B cells, $P < 0.001$) (Figure 2B).

235

236 **High plasma hBD2 and monocytes prior to HSCT in patients with high**

237 ***Lactobacillaceae***

238 To gain insight into how the selected immune markers and immune cell counts co-
239 vary with gut microbial abundances in patients with distinct outcomes, we
240 implemented two multivariate multi-table approaches for the subcohort ($n=30$),
241 namely sparse partial least squares (sPLS) regression and canonical correspondence
242 analysis (CCpNA). The sPLS regression models OTU abundances as predictors and
243 clinical variables as response variables and explains the latter in an asymmetric (i.e.
244 unidirectional) way. In contrast, the CCpNA assesses relationships between
245 parameters of the immune system and microbiota bidirectionally. In the following
246 paragraphs, the results of these two analyses are reported for one observed cluster at
247 a time, respectively.

248

249 First, we performed sPLS regression to reveal multivariate correlation structures
250 between immune markers, immune cell counts, and OTU abundances, modeling the
251 latter as explanatory variables. The sPLS regression and subsequent hierarchical
252 clustering suggested that the data separated into three clusters (Figure 3A). High
253 monocyte counts and high plasma hBD2 concentration prior to HSCT, high patient age
254 at the time of transplantation, and high abundances of *Lactobacillaceae* independent
255 of time point contributed the most to the formation of cluster 1. Of note, monocyte
256 counts and hBD2 were positively correlated with each other, in agreement with the
257 correlation analysis above (Figure 2A). The *Lactobacillaceae* were represented by
258 microaerophilic *Lactobacillus* sp. OTUs (e.g., AF413523.1, GU451064.1, KF029502.1)
259 (Figure 3B, Additional file 5: Table S3). These OTUs exhibited high loading weights in
260 sPLS dimension 2 (Figure 3C), indicating that they contributed strongly to the
261 separation of clusters in dimension 2 (Figure 3A and 3B).

262

263 Second, we applied CCpNA to model the canonical relationships between OTU
264 abundances and clinical variables through the construction of common “latent”
265 variables. The CCpNA confirmed the separation of the data into three clusters as
266 observed in the sPLS regression (Figures 3A, 4, and Additional file 6: Figure S3A),
267 including the clustering of OTUs. In addition, the CCpNA facilitated the inclusion of
268 categorical variables, such as the patients’ baseline parameters (e.g., recipient sex,
269 donor type) and clinical endpoints (aGvHD grade, overall survival). Because CCpNA
270 is an unsupervised method and upheld the results of the sPLS regression, it provides
271 confidence in the cluster findings.

272

273

274 Cluster 1 in the CCpNA seemed to include patients who developed moderate to severe
275 aGvHD (grade II - IV) and who died. As suggested by both, sPLS and CCpNA, these

276 patients exhibited high levels of plasma hBD2 before HSCT (and in week +1 and +2)
277 and high monocyte counts before HSCT. OTUs within this CCpnA cluster
278 predominantly included members of the family *Lactobacillaceae* and were most
279 abundant in fecal samples of these patients (Figure 4 and Additional file 7: Figure S4).
280 OTUs that were assigned to cluster 1 by the sPLS-based hierarchical clustering were
281 congruently associated with the same clinical variables in the CCpnA (Figures 3B and
282 4).

283

284 **Temporal patterns of the *Lactobacillaceae*-dominated community state type**

285 Upon revealing an association between high plasma hBD2 concentrations and
286 monocyte counts prior to HSCT, moderate to severe aGvHD, and high mortality with
287 high abundances of *Lactobacillaceae* in multivariate analyses, we assessed in more
288 detail the importance of longitudinal changes in these components. We implemented
289 an additional approach to identify distinct bacterial community patterns by employing
290 partitioning around medoid (PAM) clustering (see Methods). In this analysis, we
291 detected four community state types (CSTs). Dominating taxa, similar to those
292 identified by the sPLS-based hierarchical clustering, were revealed in the CSTs, e.g.,
293 *Lactobacillaceae* members dominated CST 1 (Additional file 5: Table S3 and Additional
294 file 8: Figure S5). We then used this information to examine temporal community state
295 changes in individual patients, i.e., their transitions between CSTs over the course of
296 time (Figure 5). Based on clinical outcomes, the patients can be divided into four
297 groups: 1. Patients who developed no or mild aGvHD (aGvHD grade 0-I) and survived
298 compared to 2. Patients who died, and 3. Patients who developed moderate to severe
299 aGvHD (grade II-IV) and survived compared to 4. Patients who died (Figure 5). We
300 observed that 6 out of 8 patients (75%) with moderate to severe aGvHD (groups 3 and
301 4) harboured the *Lactobacillaceae*-dominated CST 1 at least once during the
302 monitored period (1x: $n=2$, 2x: $n=2$, 3x: $n=2$) (Figure 5). In comparison, only 5 out of
303 22 patients (23%) with aGvHD grade 0-I (groups 1 and 2) carried CST 1 one or two
304 times (Figure 5). Interestingly, high abundances of *Lactobacillaceae* in patients with
305 aGvHD grade II-IV (groups 3 and 4) occurred predominantly at late time points (week
306 +1 and later) (Figure 5 and Additional file 7: Figure S4).

307

308 **Temporal association of *Lactobacillaceae* with aGvHD and immune markers**

309 To relate temporal changes in immune markers to those in the bacterial community
310 composition in patients with aGvHD grade II-IV who died (group 4), we assessed their
311 individual longitudinal profiles (Figure 6). In all three patients (P24, P26, P30), a large
312 expansion of *Lactobacillaceae* abundances after the onset of aGvHD was observed.
313 The average relative abundance of *Lactobacillaceae* after aGvHD onset was 72.92%
314 (range 0.22 – 97.04%), as compared to before aGvHD (average 9.88%, range 0.25 –
315 37.83%) (Figure 6). Furthermore, bacterial alpha diversity was lower at the time point
316 after aGvHD onset compared to the time point before (Figure 6). All three patients

317 were treated with antibiotics for different durations between these two time points
318 and prior to the time point before aGvHD onset.

319

320 In agreement with the results of the multivariate analyses, two of the patients (P24
321 and P26) exhibited between 1.95 and 14.56 times higher plasma hBD2 concentrations
322 for all measured time points compared with the average of the whole data set
323 (average plasma hBD2 concentration: 10,983.9 pg/ml, range: 0-177,400.28 pg/ml).
324 The plasma hBD2 concentration was the highest at the time of HSCT in patient P26
325 and before HSCT in patient P24 (Figure 6). Of note, patient P24 received
326 corticosteroid-based GvHD prophylaxis prior to HSCT and both patients received
327 antithymocyte globulin as part of their conditioning regimen. These two patients had
328 underlying malignant diseases and their pre-HSCT CRP levels, providing insight into
329 underlying inflammation, were 1.6 times lower and 1.29 times higher compared with
330 the average of the whole data set on that time point (average plasma CRP
331 concentration before HSCT: 19.21 mg/L, range: 1 – 60.36 mg/L), respectively.
332 Monocyte counts before HSCT, i.e., recipient-derived cells, were 6.25 times higher in
333 patient P24 compared with the average of the whole data set (average monocyte
334 count before HSCT: $0.45 \times 10^9/L$, range: $0.08 - 2.83 \times 10^9/L$) and close to average in
335 patient P26. In both patients, monocyte counts were higher before (i.e., recipient-
336 derived cells) than after HSCT (i.e., donor-derived cells) (Figure 6).

337

338 In contrast to the *Lactobacillaceae* expansion after aGvHD onset in group 4, we
339 observed high *Lactobacillaceae* abundances already before aGvHD onset in three
340 survivors (P16, P22 and P29) who developed aGvHD grade II-IV (group 3) (Additional
341 file 9: Figure S6). The average relative abundance of *Lactobacillaceae* before aGvHD
342 onset was 53.18% (range 0.35 – 98.86%), as compared to after aGvHD (average
343 18.25%, range 0 – 54.78%). However, these observations were limited by a small
344 number of patients per outcome group (groups 3 and 4) (Figure 5) and therefore
345 cannot serve to provide statistical evidence.

346

347 **High NK and B cells and no or mild aGvHD in patients with high obligate anaerobes** 348 **such as *Ruminococcaceae***

349 The association between NK and total B cell counts after HSCT observed in the
350 Spearman's correlation analysis (Figure 2) was supported by the multivariate analyses
351 (Figures 3 and 4). In the sPLS regression, cluster 2 included high NK cell counts in
352 months +1 and +2, as well as high immature, mature, and total B cell counts in month
353 +2 (Figure 3A). Furthermore, NK and B cell counts were positively correlated with
354 OTUs found in cluster 2 (Figure 3A and B), in particular with members of the family
355 *Ruminococcaceae* (Order: *Clostridiales*) (Figure 3B, Additional file 5: Table S3). Strong
356 positive correlations between mature and total B cell counts in month +2 and NK cell
357 counts in month +1 were observed, for example, with *Faecalibacterium sp.*

358 (DQ804549.1) (Figures 3B and 3C, Additional file 5: Table S3). Additionally, we
359 observed positive associations of these immune cells with *Lachnospiraceae* (Order:
360 *Clostridiales*), among those two *Blautia* spp. (DQ800353.1 and DQ802363.1) (Figures
361 3B and 3C, Additional file 5: Table S3).

362

363 In support of the sPLS regression, the CCpna also revealed high NK and B cell counts
364 in cluster 2 in months +1 and +2. Based on the CCpna, we found that NK and B cell
365 reconstitution was associated with certain disease outcomes. For example, patients
366 who had no or mild aGvHD and survived were predominantly represented in cluster
367 2. Cluster 2 included OTUs mainly belonging to *Ruminococcaceae* and
368 *Lachnospiraceae*, exhibiting their highest abundances in patient samples associated
369 with this cluster. OTUs with the highest scores in dimension 2 predominantly belonged
370 to the family of *Ruminococcaceae* and matched the sPLS-based hierarchical cluster
371 assignment (Figure 4).

372

373 As all patients received antibiotic treatment prior to and post transplantation, we
374 examined the potential effect of antibiotics on the intestinal bacterial community
375 composition. A trending influence of the vancomycin treatment was revealed by
376 adonis analysis ($P = 0.055$). Using a CCpna model that also included information about
377 antibiotic treatments, we found that patients exhibited higher abundances of
378 *Ruminococcaceae* and *Lachnospiraceae* and lower abundances of *Enterobacteriaceae*
379 at time points without the vancomycin treatment compared to with vancomycin
380 (Additional file 6: Figure S3B). The same pattern was observed for treatment with
381 ciprofloxacin (Additional file 6: Figure S3B).

382

383 Community state typing also revealed a state type dominated by *Ruminococcaceae*
384 and *Lachnospiraceae* family members (CST 2) (Additional file 8: Figure S5). A total of
385 10 out of 22 patients (45%) with no or mild aGvHD were assigned to CST 2 at least at
386 one time point (1x: $n=6$, 2x: $n=3$, 3x: $n=1$) (Figure 5). Half of the patients (4 out of 8)
387 with moderate or severe aGvHD were also assigned to CST 2 once, but only at the time
388 point before transplantation (Figure 5). That is, a *Ruminococcaceae*- and
389 *Lachnospiraceae*-dominated community only persisted in patients with no or mild
390 aGvHD.

391

392 **Persistence of *Enterococcaceae*-dominated community state type**

393 A subcluster of cluster 2 comprised two facultative anaerobe *Enterococcus* spp.
394 (GQ1330038.1 and AJ272200.1), exhibiting positive correlations with high NK and B
395 cell counts (Figure 3B) and contributing to the separation of the clusters (Figure 3C).
396 *Enterococcaceae* was the most abundant family in the overall study population (Figure
397 1D), and community state typing revealed a state type predominantly characterized
398 by *Enterococcaceae* (CST 4, Additional file 8: Figure S5). A total of 9 out of 22 patients

399 (41%) with no or mild aGvHD were assigned to CST 4 at least at one time point (1x:
400 $n=2$, 2x: $n=2$, ≥ 3 x: $n=5$) and this *Enterococcaceae*-dominated CST often persisted in the
401 patient over time (Figure 5). A quarter of the patients (2 out of 8, both survivors) with
402 moderate or severe aGvHD were assigned to CST 4 at least at one time point on the
403 day of or post-HSCT (Figure 5).

404

405 **High inflammation in patients with high facultative anaerobic bacteria**

406 In multivariate analyses, OTUs belonging to facultative anaerobic *Enterobacteriaceae*
407 and *Staphylococcaceae* were characteristic for sPLS cluster 3 (Figures 3A and 3B,
408 Additional file 5: Table S3). Cluster 3 was further comprised of high plasma citrulline
409 concentrations pre-HSCT (before conditioning) and week +1, high monocyte counts in
410 week +3, high CD4+ T cell counts in month +2, high CRP levels, particularly in weeks
411 +1, +5, and +6 (Figures 3A and 3B). The projection of the variables in the sPLS
412 suggested a weak positive correlation between these clinical variables in dimension 1
413 (Figure 3A). However, a weak negative association between CRP levels and monocytes
414 (week +3) as well as citrulline and CD4+ T cell counts is indicated in dimension 2 (Figure
415 3A), which is in agreement with some results of the Spearman's rank correlation tests
416 (Figure 2).

417

418 The clinical variables in cluster 3, in particular high CRP levels post HSCT, exhibited
419 positive correlations with the OTUs predominantly affiliated with *Proteobacteria* (e.g.,
420 *Enterobacteriaceae*), *Bacteroidetes*, and *Staphylococcus* spp. (Figure 3B, Additional
421 file 5: Table S3). The strongest positive correlations occurred with several facultative
422 anaerobe bacteria, e.g., *Enterobacter* sp. LCR81 (FJ976590.1), *Escherichia coli*
423 (FJ950694.1) and *Staphylococcus* sp. (JF109069.1). The CCpNA supported observations
424 from the sPLS regression regarding cluster 3, but indicated that they were only
425 represented by a few patient samples (Figure 4 and Additional file 6: Figure S3A).
426 These samples were characterized by high CRP levels, especially in week +1, +5, and
427 +6. High CRP levels at these time points were not associated with aGvHD grade, i.e.
428 they were not higher in patients with either aGvHD grade 0-I, or grade II-IV. OTUs of
429 cluster 3, i.e., members of *Enterobacteriaceae* and *Staphylococcaceae*, exhibited their
430 highest abundances in samples of this cluster (Figure 4 and Additional file 6: Figure
431 S3A). Of note, patients represented in cluster 3 were younger compared to those in
432 the other two clusters (Figure 4).

433

434

435 **Discussion**

436 The human immune system and host-associated microorganisms are closely
437 interlinked and play central roles in health and disease. The underlying components
438 and mechanisms facilitating interactions between the immune system and
439 microorganisms are however not completely understood. Understanding these

440 associations is particularly relevant in patients that receive components of a “foreign”
441 immune system, such as in patients undergoing allogeneic HSCT. Because both the
442 patients’ immune system and microbiome appears severely affected, they potentially
443 jointly impact on clinical outcomes. Here, we perform an integrated analysis of
444 immune markers, immune reconstitution data, clinical outcomes, and microbiota, and
445 we provide evidence for the association between specific microbial taxa, host immune
446 markers, immune cells and clinical outcomes.

447

448 We observed that a predominance of *Clostridiales*, represented by *Ruminococcaceae*
449 and *Lachnospiraceae*, in the intestine did not persist after transplantation in patients
450 who either developed aGvHD grade II-IV, died, or both. *Clostridiales* are common
451 colonizers of the healthy distal gut [23], and their loss is associated with microbial
452 community disruption, reduced diversity, and GvHD [14]. A low diversity before
453 conditioning and at the time of engraftment is associated with increased mortality [4,
454 5], in line with our findings.

455 In addition, in our multivariate analyses we observed high plasma hBD2 levels before
456 HSCT and in weeks +1 and +2 post HSCT in patients who developed aGvHD grade II-IV,
457 died, or both. The reason for the high hBD2 levels before HSCT in patients with
458 increased mortality and GvHD is unknown at present. One could speculate that it
459 relates to a higher burden of inflammation before and during the first two weeks post-
460 transplantation. This was also reflected in higher counts of (recipient-derived)
461 monocytes pre-HSCT that, by secreting hBD2, might contribute to an inflammatory
462 reaction and thereby a potentially higher risk of aGvHD after HSCT. High hBD2
463 concentrations in weeks +1 and +2 could be an indicator for an innate immune
464 reaction involving donor-derived cells, for example, against opportunistic pathogens
465 that may have translocated to the bloodstream [24]. This might have been promoted
466 by the decrease in *Ruminococcaceae* and *Lachnospiraceae* abundances in these
467 patients after HSCT, indicating a microbial community disruption. Plasma hBD2
468 concentrations were characterized for the first time here in HSCT patients, and our
469 findings emphasize the importance for further investigations to exploit the potential
470 of hBD2 as a novel candidate marker for outcomes after HSCT. Importantly, our data
471 suggested that differences in hBD2 secretion levels between patients were highly
472 dependent on both, the microbial community composition and the time point relative
473 to HSCT. We suggest that further investigations take into account variations of
474 microbial community patterns and temporal changes when assessing hBD2 in the
475 HSCT context. Moreover, these findings may be refined with a sampling time point
476 homogeneity that is higher than what we provide in this study.

477

478 An interesting observation was that high pre-HSCT hBD2 levels and monocyte counts
479 were associated with high abundance of *Lactobacillaceae* independent of time point.
480 Probiotic *Lactobacillus* spp. have previously been shown to enhance hBD2-secretion

481 in immune cells, thereby contributing to the innate immune defense [25, 26]. This
482 mechanism could play a protective role during blood stream infections by
483 opportunistic pathogens in HSCT patients. The increase of *Lactobacillaceae*
484 abundances, particularly after the onset of aGvHD in patients who died, may be
485 explained by a previously proposed compensatory mechanism to reduce aGvHD
486 severity after onset in mice and humans [14]. For instance, high abundances of
487 *Lactobacillaceae* could indicate homeostasis in the gut microbiome of children and
488 thereby prevent inflammation caused by opportunistic pathogen expansion [27, 28].
489 Reduced intestinal inflammation might then benefit the outcome of aGvHD. This
490 might however also lead to a less effective graft-versus-leukemia (GvL) effect as
491 suggested by the finding that all patients, for which we observed moderate to severe
492 aGvHD and subsequent increased *Lactobacillaceae* post HSCT, overcame aGvHD, but
493 died following a relapse. Only a few patients in our study represented this
494 combination of outcomes (moderate to severe aGvHD with subsequent increase of
495 *Lactobacillaceae* and death), therefore no significant conclusion can be drawn at this
496 point and further studies are needed to address these observations in more detail.
497 We provide a discussion on survival following high *Lactobacillaceae* abundances prior
498 to the onset of aGvHD in Additional file 10: Supplementary discussion.

499
500 In contrast to patients with moderate to severe aGvHD, we observed a higher overall
501 survival in patients with no or mild aGvHD in multivariate analyses. The latter patients
502 also had increased numbers of NK and B cells. In agreement with our study, previous
503 studies have shown slower NK cell reconstitution after HSCT in patients with moderate
504 to severe aGvHD compared to those with no or mild aGvHD [29]. Moreover, our
505 results are in agreement with the previously described association of low NK cell
506 numbers after HSCT and reduced overall survival [30], in line with NK cells' crucial role
507 in the GvL effect [7]. A poor recovery of B cells has been found to pose an increased
508 risk of late infections [31], which might be an explanation for the association of high B
509 cell counts and lower survival. The lower B cell numbers we observed in patients with
510 more severe aGvHD could partially be a consequence of aGvHD or the treatment with
511 corticosteroids, which is known to reduce the number of B cell precursors [21, 29].
512 However, based on our findings, the intestinal microbiota could also play a
513 contributing role, because faster NK and B cell reconstitution as well as lower aGvHD
514 severity, and higher overall survival were associated with high abundances of obligate
515 anaerobes belonging to *Ruminococcaceae* and *Lachnospiraceae*. Indeed, decreased
516 abundances of these bacterial families have previously been associated with an overall
517 microbial disruption and decreased diversity [5, 15, 32]. In line with our observations,
518 reduced microbial diversity was shown to contribute to lower survival [4] and a
519 reduction of *Clostridiales* was observed in patients presenting aGvHD [33].
520 Furthermore, in melanoma patients, a low diversity and decreased *Ruminococcaceae*
521 abundance were associated with a poor response to immunotherapy [34]. A potential

522 explanation for this association could be that members of the order *Clostridiales* can
523 downregulate inflammation and might thereby prevent aGvHD. Anti-inflammatory
524 components produced by *Clostridiales* include, for example, urinary 3-indoxyl sulfate
525 (3-IS) [35] and butyrate [36]. Acute GvHD might also reinforce microbial community
526 disruption, as it is known to be accompanied by a reduction of Paneth cell numbers in
527 the intestine. Paneth cells are secretors of α -defensins, important modulators of gut
528 microbial homeostasis [37].

529

530 In contrast to the patient group with high abundances of obligate anaerobic bacteria
531 (e.g., *Ruminococcaceae* and *Lachnospiraceae*), patients with high abundances of
532 facultative anaerobic bacteria (e.g., *Enterobacteriaceae*, *Staphylococcus* spp., and
533 *Streptococcus* spp.), showed slow NK and B cell reconstitution. An increase in
534 facultative anaerobic bacteria has previously been observed in the gut microbiome of
535 HSCT recipients before the start of conditioning compared with donors [5]. Here, we
536 additionally observed high levels of C-reactive protein (CRP), indicating high
537 inflammation in these patients. A possible explanation for this association might
538 involve the shift to microbial growth conditions that favor facultative anaerobic
539 bacteria during intestinal inflammation, such as an increased availability of oxygen
540 caused by inflammatory products [38]. Our multivariate analyses indicated that the
541 patients in which we observed these associations were younger compared to the rest
542 of the cohort. Therefore, one could speculate that an immature intestinal microbiome
543 might exhibit a higher susceptibility to opportunistic growth of facultative anaerobic
544 bacteria. Further investigations will have to elucidate this relation and its potential
545 consequences for adjustments of monitoring and treatment by age.

546 We provide a discussion on our findings regarding associations of adverse outcomes
547 with *Enterococcus* compared with previous studies in Additional file 10:
548 Supplementary discussion.

549

550 Antimicrobial treatment of patients can significantly affect the gut microbiota,
551 especially in children [39]. Early use of antibiotics in general has been found to reduce
552 *Clostridiales* in the intestine of HSCT patients [40]. Furthermore, a link of high total
553 amounts of antibiotic in GvHD development has been observed in pediatric stem cell
554 recipients [41]. However, to our knowledge, the effects of specific antibiotics on the
555 intestinal microbiome especially in pediatric HSCT patients have not yet been
556 elucidated in detail. Here, we identified a number of specific antibiotics, including
557 vancomycin and ciprofloxacin, associated with simultaneous reduction of *Clostridiales*
558 (in particular *Ruminococcaceae* and *Lachnospiraceae*), similar to what has previously
559 been observed in adult patients [42]. Most interestingly, we found that treatment with
560 vancomycin and ciprofloxacin was not only associated with reduced *Clostridiales*, but
561 also with increased abundances of facultative anaerobic bacteria, e.g.,
562 *Enterobacteriaceae* (gamma-Proteobacteria). Vancomycin-treatment in a cohort of

563 rheumatoid arthritis patients was previously shown to be associated with an
564 expansion of *Proteobacteria* [43]. The use of a prophylactic ciprofloxacin treatment to
565 prevent chemotherapy- and transplantation-related bloodstream infections is an
566 established method [44], and it was proposed that fluoroquinolones (the antibiotic
567 class comprising ciprofloxacin) can prevent intestinal domination of *Proteobacteria* in
568 HSCT patients [15]. However, ciprofloxacin treatment in healthy subjects has been
569 associated with decreased microbial diversity and decreased *Ruminococcaceae* and
570 *Lachnospiraceae* abundances [45], indicating microbial community disruption.
571 Therefore, our findings further challenge the choice of antibiotics, such as vancomycin
572 and ciprofloxacin, in patients undergoing chemotherapy and HSCT. Interestingly, in
573 the present study a number of less frequently used antibiotic agents, e.g. ceftazidime
574 (a cephalosporin), showed positive associations with high *Clostridiales* abundances. In
575 agreement, another cephalosporin (cefepime) has previously been attributed
576 clostridial sparing effects [46]. However, ceftazidime was associated with reduced
577 bacterial alpha diversity to a similar degree as vancomycin and ciprofloxacin in a
578 previous study [42]. Elucidating the effects of antibiotic agents potentially
579 contributing to maintaining gut microbial homeostasis in HSCT therefore require
580 further investigation. Of note, we did not take the mode of application of the
581 antibiotics into account here, which might limit the strength of our conclusion. It
582 remains to be determined whether certain antibiotics modulate the patients'
583 microbiome and how this might lead to either positive or adverse clinical outcomes.

584

585 **Conclusions**

586 Our findings support the increasing evidence of microbial involvement in the context
587 of HSCT in cancer patients. We provide evidence for the association between specific
588 microbial taxa and host immune markers. In particular, we examined the prognostic
589 potential of immune markers and gut microbial community dynamics for immune
590 reconstitution and outcomes after HSCT by revealing multivariate associations. We
591 observed increased human beta-defensin 2 in patients with moderate to severe
592 aGvHD and high mortality. In those patients, NK and B cell reconstitution was slow
593 compared to patients with low mortality. These associations only applied when
594 distinct gut microbial abundance patterns were observed, namely low abundances of
595 *Ruminococcaceae* and high abundances of *Lactobacillaceae*. Therefore, hBD2, in
596 connection with longitudinal microbial community pattern surveillance, could be
597 further evaluated as a potential novel candidate marker to identify patients at risk of
598 adverse outcomes (e.g. aGvHD) and slow immune cell reconstitution after HSCT,
599 contributing to improved clinical outcomes. Of note, our cohort comprised a relatively
600 small number of patients with different primary diseases and conditioning regimens,
601 and our findings would therefore benefit from being assessed in larger, more
602 homogenous patient groups. Importantly, microbial abundances also depended on

603 antibiotic treatment. Our findings suggest that certain antimicrobial agents might
604 contribute to a shift from obligate to facultative anaerobes. This highlights the need
605 to assess the usage of specific antibiotics in more detail and to take antibiotic
606 treatment into consideration when describing microbial communities in HSCT
607 recipients.

608

609 **Methods**

610 **Patient recruitment and sample collection**

611 We recruited 37 children (age range 1.1 - 18.0 years) undergoing their first
612 myeloablative allogeneic hematopoietic stem cell transplantation at Copenhagen
613 University Hospital Rigshospitalet, Denmark, from June 2010 to September 2012.
614 Patients' clinical characteristics are listed in Additional file 1: Table S1. Further
615 information can also be found in previous studies where the cohort has been
616 examined in relation to other questions [17, 47–49]. All patients received
617 pretreatment with a myeloablative conditioning regimen, starting on day -7
618 (Additional file 1: Table S1). Four patients were re-transplanted at day +157, +518,
619 +712 and +1360 after the first transplantation, respectively.

620 Sampling time points were defined according to the following intervals: pre-HSCT
621 (collected between day -33 and day -3), at the time of HSCT, preferably before graft
622 infusion (collected between day -2 day +2) and weekly during the first six weeks after
623 transplantation (week +1: day +3 to day +10, week +2: day +11 to day +17, week +3:
624 day +18 to day +24, week +4: day +25 to day +31, week +5: day +32 to day +38, week
625 +6: day +39 to day +45) (Figure 1A). Broader intervals applied to follow-up time points:
626 Month +1 (between days +21 and +44), month +2 (between days +45 and +70), month
627 +3 (between days +77 and +105), month +6 (between days +161 and +197) and 1 year
628 post transplantation (between days +346 and +375).

629

630 **Infections and antibiotics**

631 Bacterial infections from before transplantation until 1 year post-HSCT were taken
632 into consideration for downstream analysis. For each time point, it was recorded
633 whether any bacterial infection occurred within the respective specified interval or
634 not (1/0). Antibiotic treatment from before HSCT (from day -90) until month +2 was
635 taken into consideration. We included only those time points corresponding to the
636 time points of microbiota profiling into downstream analyses.

637

638 **Analysis of T, B and NK cells in peripheral blood**

639 T, B and NK cell counts were determined in months +1, +2, +3, and +6 post
640 transplantation. Lymphocyte subsets in peripheral blood were quantified using
641 Trucount Tubes (Becton Dickinson, Albertslund, Denmark) together with the following
642 panel of conjugated monoclonal antibodies and analysed on a FC500 flow cytometer

643 (Beckman Coulter, Copenhagen, Denmark): CD3-PerCP, CD3- FITC, CD4-FITC, CD8-PE,
644 CD45-PerCP, CD16/56-PE, CD20-FITC and CD19-PE (Becton Dickinson). CD3+ T cells,
645 CD3+CD4+ T cells and CD3+CD8+ T cells were determined. NK cells were differentiated
646 by CD3-CD45+CD16+CD56+ phenotype. The following B cell phenotypes were
647 distinguished: total B cells (CD45+CD19+), mature B cells (CD45+CD19+CD20+) and
648 immature B cells (CD45+CD19+CD20-). Data of these immune cell populations have
649 been published previously in a different context [47].

650

651 **Analysis of monocytes and neutrophils**

652 Leukocyte numbers and subsets were monitored daily during hospitalization and
653 subsequently every week in the outpatient clinic using flow cytometry (Sysmex XN) or,
654 in case of very low leucocytes, counted by microscopy (CellaVision DM96 microscope).
655 Mean monocyte and neutrophil counts were calculated for further analysis per time
656 point according to the intervals specified above.

657

658 **Quantification of inflammatory markers**

659 EDTA-anticoagulated and heparinized blood was sampled and then centrifuged within
660 2 hours after collection. The plasma was isolated and cryopreserved in 0.5-ml aliquots
661 at -80°C. IL-6 levels on day +7 were determined in EDTA-anticoagulated plasma using
662 the Human Th1/Th2/Th17 Cytometric Bead Array kit (Becton, Dickinson and Co.,
663 Denmark) and a FACSCalibur flowcytometer (Becton, Dickinson and Co), according to
664 the manufacturer's instructions with a detection limit of 2.5 pg/mL. IL-6 data have
665 been published previously in another context for a larger cohort than the patients
666 included here [17, 48]. CRP levels were measured daily by Modular P Modular (normal
667 range, 0 to 10 mg/L) at the Department of Clinical Biochemistry, Copenhagen
668 University Hospital Rigshospitalet, Denmark. Mean CRP levels were calculated for
669 further analysis per time point according to the intervals specified above. Mean CRP
670 levels pre-HSCT include measurements from day -7 to day -3, i.e. the days after the
671 start of conditioning (except for day -7).

672

673 **Quantification of Citrulline**

674 Plasma citrulline concentrations pre-HSCT (before the start of conditioning (day -7))
675 and at days +7 and +21 were measured by reverse-phase high-performance liquid
676 chromatography of their phenylisothiocyanate derivatives from heparinized plasma,
677 as described previously [17, 48]. Citrulline levels have previously been described for
678 patients of this cohort in a different context [17, 48].

679

680 **Enzyme-linked immunosorbent assay (ELISA) of human beta defensins**

681 Human beta defensin 2 and 3 (hBD2 and hBD3) concentrations in heparinized plasma
682 samples of 37 patients at eight time points (pre-HSCT (before start of conditioning,
683 except for 4 patients sampled at day -6 or -5), on the day of transplantation, weeks +1

684 to +4, month +2 and +3) and 10 healthy controls (sampled once each) were quantified
685 by two-step sandwich ELISA following the manufacturer's instructions (Peprotech
686 Human BD-2 and BD-3, Standard ABTS ELISA Development Kit, cat.no. 900-K172 and
687 900-K210, respectively). Samples of 3 out of 10 healthy individuals were additionally
688 spiked to a peptide concentration of 1000 pg/ml. Two replicates were measured per
689 sample and their mean was used for further analysis. Samples were measured
690 undiluted as well as in 1:4 and 1:16 dilutions to also cover concentrations potentially
691 exceeding the upper detection limit. Samples with very high concentrations were
692 additionally measured in 1:32 and 1:128 dilutions. Detection limits were 16 – 2000
693 pg/ml for hBD2 and 31– 4000 pg/ml for hBD3. Absorbance was measured on a
694 VICTOR™ X3 Multilabel Plate Reader (Perkin Elmer, Inc., USA) at 405 nm. Wavelength
695 correction at 540 nm was used to prevent optical interference caused by the material
696 of the microtiter plate. Concentrations of hBD3 were mostly below the limit of
697 detection, except for a few exceptionally high measurements (average: 1450.69
698 pg/ml, median: 0 pg/ml, range: 0 – 279038.71 pg/ml).

699

700 **DNA isolation from fecal samples and 16S rRNA gene sequencing**

701 Fecal samples for analysis of the intestinal microbiome were collected from a subset
702 of 30 patients at 7 time points: pre-HSCT (5 patients were sampled after the start of
703 conditioning (between day -6 and day -4)), at the time of HSCT and once weekly during
704 the first five weeks after transplantation. The intestinal microbiome was characterized
705 at 1-2 time points in 8 patients (27%), at 3-4 time points in 15 patients (50%) and at 5-
706 6 time points in 8 patients (27%) (Additional file 1: Table S1). In patients who
707 underwent re-transplantation, no feces samples collected after the second
708 transplantation were included in this study. In total, 97 fecal samples were obtained.

709

710 DNA from fecal samples and a blank control were isolated with the use of the Maxwell
711 16 Instrument (Promega Corporation) following the manufacturer's instructions for
712 the low elution volume blood DNA system. Alterations to the protocol included
713 additional lysozyme treatment and bead beating with stainless steel beads for 2
714 min/20 Hz in a tissue lyser (Qiagen). In each sample including the blank control, the
715 V4-V5 region of the 16S ribosomal RNA gene were amplified in PCR using the following
716 barcoded primers: 519F (5#-CAGCAGCCGCGGTAATAC-3#) and 926R (5#-
717 CCGTCAATTCCTTTGAGTTT-3#). Amplicons were then analyzed for quantity and quality
718 in an Agilent 2100 Bioanalyzer (Agilent Technologies) with the use of an Agilent RNA
719 1000 Nano Kit. For library preparation, 50 ng of DNA from each sample was pooled
720 with multiplex identifiers for 2-region 454 sequencing on GS FLX Titanium
721 PicoTiterPlates (70675) with the use of a GS FLX Titanium Sequencing Kit XLR70 (Roche
722 Diagnostics). Library construction and 454 pyrosequencing were performed at the
723 National High-Throughput DNA Sequencing Centre, University of Copenhagen.

724

725 **16S rRNA gene sequence pre-processing**

726 Raw 454 sequence reads stored in standard flowgram format (SFF) were extracted,
727 converted to and stored in FASTA format with associated quality files (containing
728 sequence quality scores) using the *sffinfo* command of the bioinformatics software
729 tool *mothur* [50]. Trimming according to the *clipQualLeft* and *clipQualRight* values
730 provided by the sequence provider was disabled because cut-off values are opaque
731 and not customizable.

732

733 Analysis was continued in the Quantitative Insights Into Microbial Ecology (QIIME;
734 version 1.9.0) bioinformatics pipeline [51]. FASTA files were demultiplexed and quality
735 filtered using the script *split_libraries.py* (Mapping files are available from figshare
736 (<https://dx.doi.org/10.6084/m9.figshare.6508250>). As the samples were sequenced
737 bidirectional, each FASTA file was demultiplexed in two steps. Firstly, based on a
738 mapping file containing the 519F primer as the "LinkerPrimerSequence" and the 926R
739 primer as the "ReversePrimer", both in 5' to 3' orientation. Secondly, based on a
740 mapping file containing the 926R primer as the "LinkerPrimerSequence" and the 519F
741 primer as the "ReversePrimer", again both in 5' to 3' orientation.

742

743 Reads between 200 and 1000 bp length and a minimum quality score of 25 were
744 retained (default). Sequences with homopolymers longer than 200 bp were removed
745 from the data set. Removal of reverse primer sequences (*-z truncate_only* option) was
746 disabled during demultiplexing. Subsequently, the demultiplexed FASTA files that
747 were not yet primer-truncated were then used to denoise flowgrams (*.sff.txt* files also
748 generated by *mothur's sffinfo*) with QIIME's *denoise_wrapper.py* script. Reverse
749 primer-truncation had not been done yet to ensure compatibility between FASTA and
750 *.sff.txt* files. The denoised FASTA output files were then inflated, i.e., flowgram
751 similarity between cluster centroids was translated to sequence similarity, to be used
752 for OTU picking. Reverse primers and subsequent sequences in the demultiplexed and
753 denoised FASTA files were then truncated using the *truncate_reverse_primer.py*
754 script. In the following step, the orientation of the primer-truncated reads that started
755 with the 926R primer as the "LinkerPrimerSequence" was adjusted by reverse
756 complementation (with the script *adjust_seq_orientation.py*). All trimmed reads were
757 then concatenated to a single file for further analysis.

758

759 Chimeras were identified using the script *identify_chimeric_seqs.py* and method
760 *usearch61*, which performs both de novo (abundance-based) and reference-based
761 detection (by comparing the dataset to the chimera-free reference database
762 Ribosomal Database Project (RDP; training database version 15)). Only those
763 sequences that were flagged as non-chimeras from both detection methods were
764 retained (option *-non_chimeras_retention = intersection*). Operational taxonomic
765 unit (OTU) clustering was performed, using the script *pick_otus.py* (based on the SILVA

766 database, *Silva_119_rep_set97*). OTU tables in BIOM format were created with
767 *make_otu_table.py* (and subsequently converted to JSON BIOM format to be
768 compatible with analysis in R [52] with the package *phyloseq* [53]). The OTU table and
769 the taxonomy table are available from figshare
770 (<https://dx.doi.org/10.6084/m9.figshare.6508187>).

771

772 **Statistical analyses**

773 Statistical analyses and creation of graphs were performed with the program R
774 (Version 3.4.0, R Foundation for Statistical Computing, Vienna, Austria) [52]. All R
775 scripts documenting our statistical analyses are available from figshare
776 (<https://dx.doi.org/10.6084/m9.figshare.6508238>). Sequencing data and all related
777 experimental and clinical data (data sets available from figshare,
778 <https://dx.doi.org/10.6084/m9.figshare.6508232>) were integrated for analysis with
779 the R package *phyloseq* and its dependencies [53] (Additional file 11). The resulting
780 *phyloseq* objects are provided through figshare
781 (<https://dx.doi.org/10.6084/m9.figshare.6508235>). Plots were generated with the
782 packages *ggplot2* [54], *plotly* [55], and *mixOmics* [56]. Dose-response analysis of the
783 ELISA data was performed with four-parameter log-logistic models in the R package
784 *drc* [57].

785

786 Alpha diversity (measured by inverse Simpson index), levels of human beta-defensin
787 2 (hBD2) concentration, citrulline and C-reactive protein (CRP), as well as monocyte
788 counts, NK cell counts, total B cell counts, and CD4+ T cell counts at different time
789 points were compared using Friedman tests with Benjamini-Hochberg correction for
790 multiple testing (Additional files 3: Figure S2, Additional files 11 and 12). In addition,
791 Kendall's coefficient of concordance (Kendall's *W*) was calculated on ranked data for
792 each marker (Additional file 13). Like the Friedman test, the test for Kendall's *W*
793 allowed the comparison of marker levels between time points. In addition, the
794 coefficient of concordance informs about the level of agreement between patients.
795 Therefore, Kendall's *W* can be interpreted as a measure of effect size for the Friedman
796 tests. A Kendall's *W* <0.1 was considered as indicating a small effect, 0.1 – 0.5 as a
797 moderate effect, and > 0.5 as a strong effect. As an exception, hBD2 in healthy controls
798 was compared with hBD2 in patients at individual time points by using Wilcoxon rank-
799 sum tests, because hBD2 was only measured once in the healthy control individuals
800 and can therefore not be analyzed in a Friedman test designed for repeated
801 measurements. Monocyte counts in Additional file 2: Figure S1B are depicted at more
802 time points than indicated in Figure 1A because not all time points were included into
803 further analyses. The day of HSCT, week +1 and week +2 were excluded as data were
804 missing for ≥40% of the patients. Months +2, +3, and +6, as well as 1 year were chosen
805 as representative follow-up time points for further analyses as indicated in Figure 1A.

806

807 A core set of OTUs was obtained by retaining 256 OTUs (out of 756 OTUs) with ≥ 5
808 reads in ≥ 2 samples using the function *kOverA()* from R package *genefilter* [58].
809 Subsequently, 17 OTUs that were more abundant in the blank control than in the
810 majority of samples were removed as potential contaminants prior to downstream
811 analyses. The resulting count data set of 239 OTUs was transformed for subsequent
812 analyses using the function *varianceStabilizingTransformation()* in the package
813 *DESeq2* [59] (Additional file 11). The function implements a Gamma-Poisson mixture
814 model [60] to account for both library size differences and biological variability.
815 Median imputations were performed for continuous clinical and immune marker data
816 with less than 20% missing values. Variables with more than 20% missing values were
817 excluded from the analysis (Additional files 13 and 14). Central tendencies of immune
818 cell counts at single time points in relation to clinical outcomes (maximum aGvHD
819 grade 0-I vs. grade II-IV) were assessed in univariate analyses by Wilcoxon rank-sum
820 tests and displayed in boxplots (Additional file 14).

821

822 A model selection procedure was implemented to find the relevant variables to be
823 included in subsequent multivariate analyses of how microbiome patterns are
824 associated with clinical outcomes, baseline parameters and immune parameters
825 during the course of transplantation: A Manhattan distance matrix of the variance-
826 stabilized bacterial community data was calculated using the *distance()* function in
827 *phyloseq* [53]. Subsequently, permutational multivariate analysis of variance using
828 distance matrices (*adonis*) for model selection was performed by applying the
829 *adonis2()* function in the package *vegan* [61] (Additional files 3: Figure S2, Additional
830 file 15). Permutation design was set up with respect to repeated measurements within
831 the same patients and the intact chronological order of time points. Besides immune
832 marker levels and immune cell counts (pre- and post-HSCT, i.e. recipient- and donor-
833 derived cells, respectively) at the time points described above, we included clinical
834 outcomes (i.e. overall survival, aGvHD (grade 0-I vs II-IV), and relapse) after
835 transplantations, antibiotic treatment during the course of transplantation and clinical
836 patient characteristics in the model to account for possible effects of recipient age at
837 the time of transplantation, recipient sex, donor type (sibling vs. unrelated), malignant
838 vs. benign diagnosis, graft type (stem cell source: bone marrow, umbilical cord blood
839 or peripheral blood) and application of irradiation therapy (yes/no). Variables that
840 were found to be significant ($P \leq 0.05$) in the *adonis* analysis were included in
841 subsequent multivariate multi-table analyses, i.e., sparse partial least squares (sPLS)
842 regression and canonical correspondence analysis (CCpNA) (Additional file 15).
843 Choosing variables with significant effects in *adonis* for follow-up statistical testing has
844 been performed previously [62]. Even though validation of the set of selected
845 variables through a data-splitting approach might be preferable, this was not feasible
846 due to our relatively small data set. To account for this and to avoid post-selective

847 inference, we renounce calculation of p-values from the two analyses that directly
848 depend on the pre-selection (sPLS and CCpNA).

849

850 Correlations among the selected clinical variables were assessed in correlation
851 matrices based on Spearman's rank correlation tests (Additional file 3: Figure S2,
852 Additional file 14). Matrices were calculated using the *rcorr()* function of the R package
853 *Hmisc* [63] and displayed with the package *corrplot* [64]. P-values were calculated with
854 the *rcorr.adjust()* function with correction for multiple testing (method "Holm"). The
855 Spearman's rank correlation tests were performed on the set of variables selected
856 from the adonis analysis. However, here we assess correlations among those
857 variables, and not between variables and microbial abundances.

858

859 Sparse PLS regression was performed by applying the *sppls()* function in the package
860 *mixOmics* [56] (Additional file 3: Figure S2, Additional file 15). The sPLS regression
861 allows the integration of the microbial community data matrix and the clinical variable
862 matrix for multiple regression. It is robust enough to handle collinearity and noise in
863 the data and is suitable to model multiple response variables [65]. The number of
864 clinical variables to be kept in the model for each component (*keepY*) was set to 23,
865 corresponding to the number of variables pre-selected with adonis. We ran the sPLS
866 regression with a range of numbers (20-40) of OTUs to be kept for each component
867 (*keepX*). As the results were robust to this choice, *keepX* was set to 30. The number of
868 components to choose was estimated with the *perf()* function and set to *ncomp* = 2.
869 The sPLS model was run in regression mode. Thereafter hierarchical clustering was
870 performed within the *mixOmics cim()* function based on the sPLS regression model
871 with the clustering method "complete linkage" and the distance method "Pearson's
872 correlation". Coefficients of pairwise correlations between OTU abundances and
873 clinical variables were thereby obtained. Furthermore, loading plots were generated
874 with the function *plotLoadings()* (method = "mean") to visualize loading vectors of
875 specific OTUs that contribute most to the separation of variables in components 1 and
876 2.

877

878 Canonical (i.e., bidirectional) correspondence analysis (CCpNA), a multivariate
879 constrained ordination method, was performed by using the *cca()* function in the
880 package *vegan* [61] (Additional file 3: Figure S2, Additional file 15). In this method, the
881 microbial community data matrix is Chi-square transformed and weighted linear
882 regression on pre-selected constraining variables is performed. The resulting fitted
883 values are used for correspondence analysis by singular value decomposition. CCpNA
884 is a constrained method in the sense that it does not aim at depicting all variation in
885 the data, but only the variation directly explained by the constraints (i.e., the provided
886 set of pre-selected variables). The resulting triplot is not displayed as a square
887 representation, but rather corresponds to the percentage of variance explained by

888 axis 1 and 2, respectively, as previously suggested [66]. In contrast to the sPLS analysis,
889 the CCpnA was performed in canonical mode, i.e., modeling bidirectional relations
890 between OTU abundances and clinical variables. OTUs with a correlation of >0.2 / <-0.2
891 in the sPLS analysis were included in the CCpnA model.

892

893 As another approach to distinguish between microbial community states of the
894 intestinal microbiome, we assigned samples to community state types (CSTs) by
895 partitioning around medoid (PAM) clustering (function *pam()* in package *cluster* [67])
896 based on a Jensen-Shannon distance of the variance stabilized microbial count data (R
897 code modified after [68]) (Additional file 3: Figure S2, Additional file 16). The number
898 of clusters was determined by gap statistic evaluation and silhouette width quality
899 validation. We further assessed patients' transitions between CSTs over time. OTUs
900 were assigned to CST - based clusters (Additional file 4: Table S2) based on in which
901 CST they exhibited the highest average abundance over all samples (within each CST).
902 Furthermore, we showed detailed longitudinal profiles of the microbial community on
903 family-level, and selected immune markers for individual patients with aGvHD
904 (Additional file 17).

905

906 **List of abbreviations**

907 3-IS: 3-indoxyl sulfate

908 aGvHD: Acute graft-versus-host disease

909 ALL: Acute lymphoblastic leukemia

910 AML: Acute myeloid leukemia

911 AMP: Antimicrobial peptide CCpnA

912 Canonical correspondence analysis

913 CRP: C-reactive protein

914 CST: Community state typeELISA

915 Enzyme-linked immunosorbent assay

916 GvL effect: Graft-versus-leukemia effect

917 hBD2/hBD3: Human beta-defensin 2/3

918 HLA: Human leukocyte antigen

919 HSCT: Hematopoietic stem cell transplantation

920 IL-6: Interleukin-6

921 NK cell: Natural killer cell

922 OTU: Operational taxonomic unit

923 P: Patient

924 PAM clustering: Partitioning around medoid clustering

925 QIIME: Quantitative Insights Into Microbial Ecology

926 SFF: Standard flowgram format

927 sPLS regression: sparse partial least squares regression

928 TBI: Total body irradiation

929

930 **Declarations**

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938

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943

944 **Availability of data and material**

945 The 16S rRNA gene sequences are available through the European Nucleotide Archive
946 (ENA) at the European Bioinformatics Institute (EBI) under accession number
947 PRJEB25221. The datasets generated and/or analysed during the current study as well
948 as the R code used to analyze the data are available from the figshare repository at
949 [https://figshare.com/projects/Specific_gut_microbiome_members_are_associated_](https://figshare.com/projects/Specific_gut_microbiome_members_are_associated_with_distinct_immune_markers_in_allogeneic_hematopoietic_stem_cell_transplantation/35201)
950 [with_distinct_immune_markers_in_allogeneic_hematopoietic_stem_cell_transplant](https://figshare.com/projects/Specific_gut_microbiome_members_are_associated_with_distinct_immune_markers_in_allogeneic_hematopoietic_stem_cell_transplantation/35201)
951 [ation/35201](https://figshare.com/projects/Specific_gut_microbiome_members_are_associated_with_distinct_immune_markers_in_allogeneic_hematopoietic_stem_cell_transplantation/35201).

952

953 **Author's contributions**

954 A.C.M., K.K., K.G.M., and S.J.P. designed the research; A.C.M., K.K., M.S.C., and S.J.P.
955 performed the research; A.C.M., S.H., and S.J.P. contributed analytic tools; A.C.M., and
956 S.J.P. analysed the data; A.C.M. and S.J.P. wrote the manuscript; and K.K., S.H., F.M.A.,
957 O.L., and K.G.M. edited the manuscript. All authors have read and approved the
958 manuscript as submitted.

959

960 **Ethics approval and consent to participate**

961 Written informed consent was obtained from the patients and/or their legal
962 guardians. The study protocol was approved by the local ethics committee (H-1-2010-
963 009) and the Danish Data Protection Agency.

964

965 **Consent for publication**

966 Not applicable.

967

968 **Competing interests**

969 The authors declare that they have no competing interests.

970

971 **Additional files**

972 Additional file 1: **Table S1. Clinical patient characteristics.** General patient
973 characteristics, conditioning regimens, complications, and outcomes for the pediatric
974 cohort ($n=37$) and the subcohort ($n=30$) for which the intestinal microbiome was
975 characterized. Abbreviations: HLA, human leukocyte antigen; TBI, total body
976 irradiation; CY, Cyclophosphamide; VP16, Etoposide; BU, Busulfan; MEL, Melphalan;
977 GvHD, graft-versus-host disease. (PDF)

978

979 Additional file 2: **Figure S1. Temporal patterns of immune markers and immune cells**
980 **in HSCT patients.** (A) C-reactive protein (CRP) and plasma citrulline levels in HSCT
981 patients ($n = 37$) over time. CRP levels were significantly higher prior to HSCT and until
982 week +2 compared to all following time points, e.g. at the day of HSCT (median: 16.93
983 mg/L, range: 1.22 - 85.28 mg/L) compared to week +3 (median: 3.92 mg/L, range: 1.22
984 - 55.89 mg/L) ($P < 0.001$). Plasma citrulline levels were significantly lower in week +1
985 compared to pre-HSCT ($P < 0.001$) and week +3 ($P < 0.001$). (B) Immune cell counts in
986 HSCT patients over time. Monocyte counts are depicted at more time points than
987 indicated in Figure 1A, because not all time points were included into further analyses
988 (see Methods). NK cell counts were higher in months +2 to +6 compared to in month
989 +1 ($P < 0.001$). B cell counts as well as CD4+ T cell counts increased steadily from
990 month +1 to month +6 ($P < 0.001$). Y -axes in all plots, except for citrulline, were log10-
991 transformed for better visualization. Zeros were replaced with 1 to avoid undefined
992 values on the log-transformed axes. Asterisks indicate whether the component at
993 each respective time point was significantly different from any of the other time points
994 (showing the maximum significance level). * $P < 0.05$, ** $P < 0.01$ and *** $P < 0.001$.
995 (PDF)

996

997 Additional file 3: **Figure S2. Workflow of the statistical analysis approach.** The
998 diagram displays the major steps of the statistical analyses and their dependencies.
999 Multivariate analyses (blue box) constitute the main approach, especially the multi-
1000 table analyses and clustering analyses (green box). To unravel the complexity of the
1001 multivariate analyses, these were supplemented with univariate analyses (upper grey
1002 box). (PDF)

1003

1004 Additional file 4: **Table S2. Results of Permutational Multivariate Analysis of Variance**
1005 **Using Distance Matrices (adonis).** Adonis was employed for model selection to
1006 identify relevant immune markers and immune cell types to be included in
1007 downstream analyses (See Methods for details). Significant variables ($P < 0.05$) are
1008 marked in bold. Abbreviations: hBD2_sim, plasma human beta-defensin 2 levels at
1009 time points simultaneous to microbiome characterization; CRP_sim, C-reactive
1010 protein levels at time points simultaneous to microbiome characterization;

1011 Lymphocyte_count_sim, total lymphocyte counts at time points simultaneous to
1012 microbiome characterization; pIL6, plasma interleukin 6 concentration; Citr, plasma
1013 citrulline concentration; CD3+, CD3+ T cell counts; CD4+, CD3+CD4+ T cell counts;
1014 CD8+, CD3+CD8+ T cell counts; B, total B cell (CD45+CD19+) counts; mat_B, mature B
1015 cell (CD45+CD19+CD20+) counts. immat_B, immature B cell (CD45+CD19+CD20-)
1016 counts; NK, natural killer cell counts; mean_mono, mean monocyte counts at
1017 indicated time point; mean_neutro, mean neutrophil counts at indicated time point;
1018 Timepoints: pre, prior to transplantation; w0, on the day of transplantation; w1, w2,
1019 w3, w4, w5: one, two, three, four and five weeks after transplantation, respectively;
1020 m1, m2, m3, m4, m6: one, two, three, four and six months after transplantation,
1021 respectively; 1y, 1 year post transplantation. (PDF)

1022

1023 Additional file 5: **Table S3: Taxonomy and cluster affiliation of OTUs strongly**
1024 **associated with host-related variables based on sPLS analysis and community state**
1025 **typing (CST)**. List of the 57 OTUs correlated strongest with variables in the sPLS
1026 analysis ($>0.2/<-0.2$). SPLS-based clusters were determined by applying the *mixOmics*
1027 *cim()* function to the sPLS regression model (hierarchical clustering method: complete
1028 linkage, distance method: Pearson's correlation) (see Methods). Four community
1029 state types (CSTs) were defined by clustering of fecal samples with similar microbial
1030 community compositions by partitioning around medoid (PAM) clustering (see
1031 Methods). OTUs were then assigned to the CST-based clusters in which they exhibited
1032 the highest average abundance over all samples. The same taxonomic families
1033 dominated in sPLS- and CST-based clusters, respectively. Cluster 1 was dominated by
1034 *Lactobacillaceae*. Cluster 2 was characterized mainly by *Ruminococcaceae* and
1035 *Lachnospiraceae*. Cluster 3 harbored Proteobacteria (P), e. g. *Enterobacteriaceae*. CS-
1036 typing revealed one additional cluster (4), characterized by a high abundance of
1037 *Enterococcaceae* and *Staphylococcaceae*. OTU numbers refer to the SILVA database
1038 (*silva_119_rep_set97*). Phyla abbreviations: F, Firmicutes; B, Bacteroidetes; A,
1039 Actinobacteria; P, Proteobacteria; FU, Fusobacteria. (PDF)

1040

1041 Additional file 6: **Figure S3. Canonical correspondence analysis (CCpNA) of immune**
1042 **markers and intestinal bacterial taxa in patients undergoing HSCT**. Triplots showing
1043 dimension 1 and 2 of the CCpNA that includes continuous clinical variables (arrows),
1044 categorical variables (+), and OTUs (circles). Samples are depicted as triangles. OTUs
1045 with a correlation of $>0.2/<-0.2$ in the sPLS analysis were included in the CCpNA model.
1046 Only the variables and OTUs with a score $>0.2/<-0.2$ in at least one CCpNA dimension
1047 are shown. The OTUs in the CCpNA plots are colored according to the cluster they were
1048 affiliated with in the sPLS-based hierarchical clustering analysis, and the ellipses
1049 present an 80% confidence interval, assuming normal distribution. (A) Full size
1050 visualization corresponding to the CCpNA model shown in Figure 4. Plot dimensions
1051 correspond to the explained variances of each component. (B) CCpNA including

1052 antibiotic treatment at time points simultaneous to microbiome characterization.
1053 Antibiotics were added as categorical variables. Depiction of the antibiotic's name (in
1054 red) indicates administration of the particular antibiotic, and the extension “_0”
1055 indicates no administration of the respective antibiotic. Abbreviations of variables are
1056 the same as in Figure 2. Further abbreviations: graft_BM: stem cell source bone
1057 marrow; graft_UC: stem cell source umbilical cord blood. (PDF)

1058

1059 Additional file 7: **Figure S4. Clustered image map (CIM) of OTU abundances by patient**
1060 **in the first two sPLS dimensions.** Hierarchical clustering of OTU abundances (bottom)
1061 and patients' fecal samples (right) (clustering method: complete linkage, distance
1062 method: Pearson's correlation) was performed within the mixOmics *cim()* function
1063 based on the sPLS regression model. High abundance of an OTU in a sample is
1064 represented as positive correlation in the map (red) and low abundance as negative
1065 correlation (blue). The sampling time points of the fecal samples are displayed in the
1066 side bar on the left (blue gradient from pre-HSCT time point (light blue) to week +5
1067 post HSCT (dark blue)). The top side bar shows taxonomic information on family level.
1068 Sample names on the right indicate patient (P) and time point (pre: pre-HSCT, d0: day
1069 of HSCT, w: week). An “a” or “b” indicates that two samples were collected from the
1070 respective patient at the same time point, but on two different days. (PDF)

1071

1072 Additional file 8: **Figure S5. Community state types and gut microbial patterns.** Heat
1073 map of variance stabilized counts of the 50 most abundant OTUs of the intestinal
1074 microbiome over all samples, grouped into community state types (CSTs). Based on
1075 their OTU-composition, samples were assigned to community state types (CSTs) by
1076 partitioning around medoid (PAM) clustering using Bray-Curtis distance. The optimal
1077 number of clusters ($k = 4$) was estimated from the gap statistic and Silhouette width
1078 validation. Members of the *Lactobacillaceae* family dominated the abundance
1079 profiles within CST 1. CST 2 exhibited domination by *Lachnospiraceae*,
1080 *Erysipelotrichaceae* and *Ruminococcaceae* members. *Enterobacteriaceae*,
1081 *Streptococcaceae* and *Staphylococcaceae* were characteristic for CST 3. CST 4 was
1082 characterized by a high abundance of *Enterococcaceae*. Average Silhouette width was
1083 $s(i) = 0.16$ (range: -0.02 – 0.36), with CST 1 and CST 4 being the best defined clusters
1084 ($s(i) = 0.23$ and 0.36, respectively). A Silhouette coefficient $s(i)$ close to 1 indicates
1085 appropriate clustering of the respective samples. Sample names at the bottom
1086 indicate patient (P) and time point (pre: pre-HSCT, d0: day of HSCT, w: week). An “a”
1087 or “b” indicates that two samples were collected from the respective patient at the
1088 same time point, but on two different days. (PDF)

1089

1090 Additional file 9: **Figure S6. Longitudinal profiles of microbial community**
1091 **composition and immune markers in patients with aGvHD who survived.** In three
1092 representative patients with moderate to severe aGvHD who survived, high

1093 abundance of *Lactobacillaceae* was observed already before aGvHD onset. None of
1094 the depicted patients had a bacterial infection recorded during the monitored period.
1095 InvSimpson, inverse Simpson diversity index; hBD2, human beta-defensin 2. (PDF)
1096 Additional file 10: **Supplementary Discussion**. Discussion concerning survival
1097 following high *Lactobacillaceae* abundances prior to the onset of aGvHD, and
1098 associations of adverse outcomes with *Enterococcus* compared with previous studies.
1099 (PDF)

1100

1101 Additional file 11: **R data analysis report 1**. Data preparation, filtering and
1102 transformation. (HTML)

1103

1104 Additional file 12: **R data analysis report 2**. Bacterial alpha-diversity over time and
1105 rank abundance curve for the gut microbiome of HSCT patients. (HTML)

1106

1107 Additional file 13: **R data analysis report 3**. Temporal patterns of immune markers and
1108 immune cells in HSCT patients. (HTML)

1109

1110 Additional file 14: **R data analysis report 4**. Correlations between immune markers,
1111 immune cell counts, and outcomes in HSCT patients. (HTML)

1112

1113 Additional file 15: **R data analysis report 5**. Variable selection and multivariate
1114 analyses of immune parameters and intestinal bacterial taxa in HSCT patients. (HTML)

1115

1116 Additional file 16: **R data analysis report 6**. Clustering of samples into Community
1117 State Types (CSTs) based on Jenson-Shannon divergence. (HTML)

1118

1119 Additional file 17: **R data analysis report 7**. Longitudinal profiles of microbial
1120 community composition and immune markers. (HTML)

1121

1122

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1341

1342

1343 **Figure legends**

1344

1345 **Figure 1. Monitoring of the host immune system and intestinal microbiome in**
1346 **hematopoietic stem cell transplantation (HSCT).** (A) Study outline. A cohort of 37
1347 pediatric recipients of allogeneic HSCT was monitored prior to HSCT, at the time point
1348 of HSCT, and post HSCT (median follow-up time 5.2 years). A range of patient
1349 characteristics as well as disease outcomes, immune markers, immune cell counts,
1350 and intestinal patterns of microbial community composition were recorded at the
1351 noted time points (up to 12 months post HSCT). See Table S1 for details regarding the
1352 patient characteristics. (B) Plasma hBD2 concentrations over time and in comparison
1353 to healthy controls. The Y-axis was log₁₀-transformed for better visualization. Zeros
1354 were replaced with 1 to avoid undefined values on the log-transformed axis. Asterisks
1355 indicate whether the concentrations at each respective time point were significantly
1356 different from any of the other time points (showing the maximum significance level).
1357 (C) Bacterial alpha-diversity, measured by inverse Simpson index, of the intestinal
1358 microbiome shown with log₁₀-transformed y-axis. (D) Rank abundance curve
1359 displaying the 8 most abundant taxonomic families in the dataset (comprising 98 fecal
1360 samples).

1361

1362 **Figure 2. Correlations between immune markers, immune cell counts, and outcomes**
1363 **in patients undergoing HSCT.** A) Pairwise Spearman's correlation between immune
1364 markers and immune cell counts in HSCT patients ($n=37$) that were determined to be
1365 significant in a permutational multivariate analysis of variance using (microbial)
1366 distance matrices (adonis) (See Table S2). Positive and negative correlations are
1367 represented by red or blue circles, respectively, and the size of circles and intensity of
1368 color refer to the strength of the correlation. Correlations that are significant ($P \leq 0.05$)
1369 are indicated by a black outline of the circle. (B) Natural killer (NK) and total B cell
1370 (mature and immature) reconstitution in month +2 with respect to the maximum
1371 acute GvHD (aGvHD) grade (0-I vs. II-IV) in HSCT patients ($n=37$). Abbreviations:
1372 hBD2_pre, hBD2_w0, hBD2_w1, hBD2_w2: plasma human beta-defensin 2
1373 concentration pre-HSCT, on the day of HSCT, and in weeks +1 and +2, respectively;

1374 mono_pre, mono_w3: monocyte counts pre-HSCT and in week +3, respectively;
1375 neutro_m3: neutrophil count in month +3; CD8+_m1: CD8+ T cell counts in month +1;
1376 Age: Recipient age at time point of transplantation; NK_m1, NK_m2: Natural killer cell
1377 counts in months +1 and +2, respectively; B_m2, mat_B_m2, immat_B_m2: all,
1378 mature, and immature B cell counts in month +2; CD4+_m2: CD4+ T cell counts in
1379 month +2; Citr_pre, Citr_w1: plasma citrulline levels pre-HSCT and in week +1,
1380 respectively; CRP, CRP_w1, CRP_w5, CRP_w6, CRP_m3, CRP_m6: C-reactive protein
1381 levels at time points simultaneous to microbiome characterization, in weeks +1, +5,
1382 and +6, and in months +3 and +6, respectively. * $P < 0.05$, ** $P < 0.01$ and *** $P <$
1383 0.001 .

1384

1385 **Figure 3. Sparse partial least squares (sPLS) regression of immune parameters and**
1386 **intestinal bacterial taxa during HSCT.** (A) Correlation circle plot for the first two sPLS
1387 dimensions with correlations displayed for $>0.2/ <-0.2$. The two grey circles indicate
1388 correlation coefficient radii at 0.5 and 1.0. Bacterial operational taxonomic units
1389 (OTUs) are displayed as circles, and are colored according to the cluster they are
1390 affiliated with (Cluster 1: blue; Cluster 2: orange; Cluster 3: grey). Variables projected
1391 closely to each other are positively correlated. Variables projected diametrically
1392 opposite from each other are negatively correlated. Variables situated
1393 perpendicularly to each other are not correlated. (B) Clustered image map (CIM) of
1394 the first two sPLS dimensions, displaying pairwise correlations between OTUs
1395 (bottom) and clinical variables (left). Red and blue indicate positive and negative
1396 correlations, respectively. Hierarchical clustering (clustering method: complete
1397 linkage, distance method: Pearson's correlation) was performed within the *mixOmics*
1398 *cim()* function based on the sPLS regression model. An overview of the OTU
1399 abundances in the individual samples is provided in Figure S3, and a list of the
1400 individual OTUs and their cluster-affiliation is provided in Table S3. (C) Loading plots
1401 of OTUs with maximum contributions on the first (left) and second (right) component,
1402 respectively. The bars are coloured according to the cluster they are affiliated with.
1403 The family-affiliation for each respective OTU is indicated by color (for legend see B).
1404 Abbreviations of variables are the same as in Figure 2.

1405

1406 **Figure 4. Canonical correspondence analysis (CCpNA) of immune parameters and**
1407 **intestinal bacterial taxa in patients undergoing HSCT.** Triplot showing dimension 1
1408 and 2 of the CCpNA that included continuous clinical variables (arrows), categorical
1409 variables (+), and OTUs (circles). Samples are depicted as triangles. OTUs with a
1410 correlation of $>0.2/ <-0.2$ in the sPLS analysis were included in the CCpNA model. Only
1411 the variables and OTUs with a score $>0.2/ <-0.2$ in at least one CCpNA dimension are
1412 shown. The OTUs in the CCpNA plot are colored according to the cluster they were
1413 affiliated with in the sPLS-based hierarchical clustering analysis, and the ellipses
1414 present an 80% confidence interval, assuming normal distribution. For visualization

1415 purposes, this plot is a section focussing on the categorical and continuous variables
1416 contributing to the ordination. The full size version of the CCpNA triplot, including all
1417 samples and OTUs, is presented in Figure S2A. Abbreviations of variables are the same
1418 as in Figure 2.

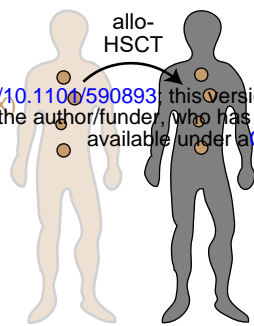
1419

1420 **Figure 5. Bacterial community state types over time in patients undergoing**
1421 **allogeneic HSCT.** Patients are grouped into four outcome groups: 1. Patients who
1422 developed no or mild aGvHD (grade 0-I) and survived vs. 2. Patients who did not
1423 survive; 3. Patients who developed moderate to severe aGvHD (grade II-IV) and
1424 survived vs. 4. Patients who did not survive. The day of commencement of aGVHD
1425 grade II-IV and the day of death post HSCT are displayed to the right. Patients with
1426 moderate to severe aGvHD (groups 3 and 4) most often harbored the
1427 *Lactobacillaceae*-dominated community state type 1 (CST 1), especially at late time
1428 points. CST2, dominated by *Ruminococcaceae* and *Lachnospiraceae*, did not persist
1429 after HSCT in any of the patients in groups 3 and 4. A detailed overview of the CSTs is
1430 provided in Figure S4, and information about individual OTUs and their cluster-
1431 affiliation is provided in Table S3.

1432

1433 **Figure 6. Longitudinal profiles of microbial community composition and immune**
1434 **markers in non-survivors with aGvHD.** Abundances of *Lactobacillaceae* increased
1435 predominantly after aGvHD onset in patients who died during the follow-up period.
1436 Patient P24 developed chronic GvHD on day +187, relapsed on day +548 and died on
1437 day +784 due to graft rejection after re-transplantation. Patient P26 died on day +602
1438 after a relapse on day +442 followed by re-transplantation on day +518. Patient P30
1439 died on day +192 after relapse on day +77. Patient P26 and P30 had no reported
1440 bacterial infections during the depicted period. Abbreviations: InvSimpson, inverse
1441 Simpson diversity index; hBD2, human beta-defensin 2; aGvHD, acute graft-versus-
1442 host-disease; inf, bacterial infection.

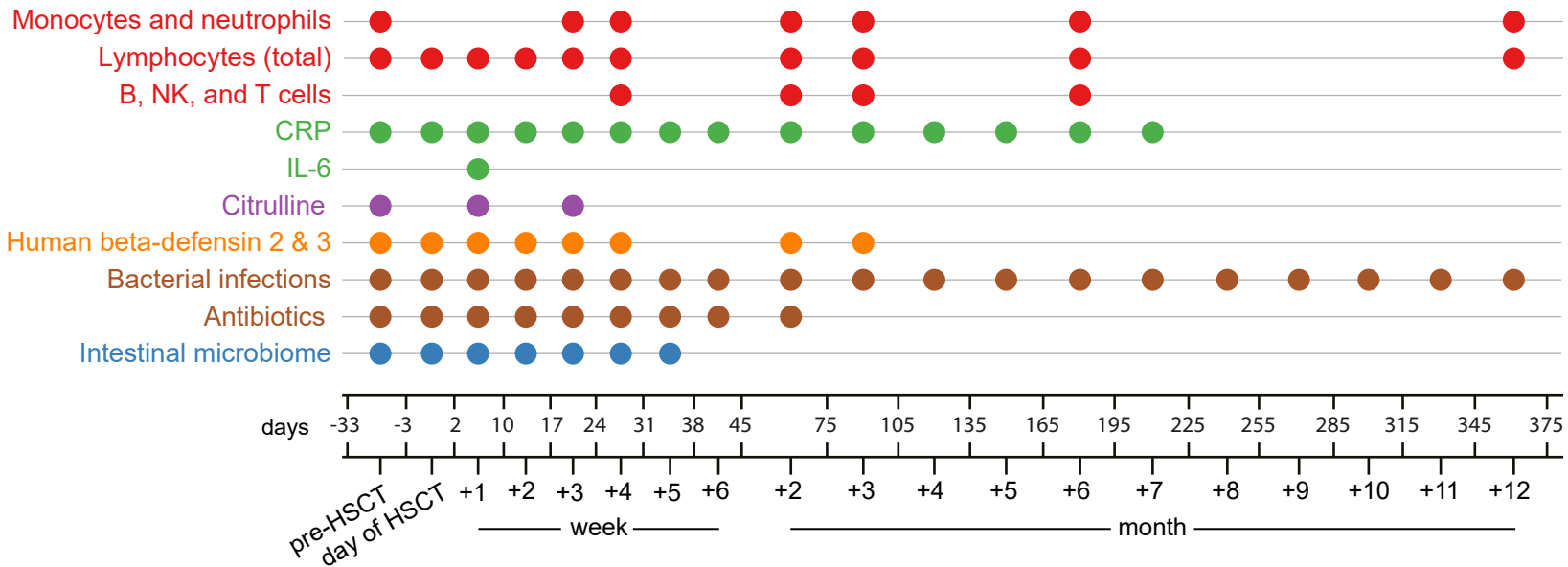
A)

Allo-Hematopoietic
Stem Cell Transplantation

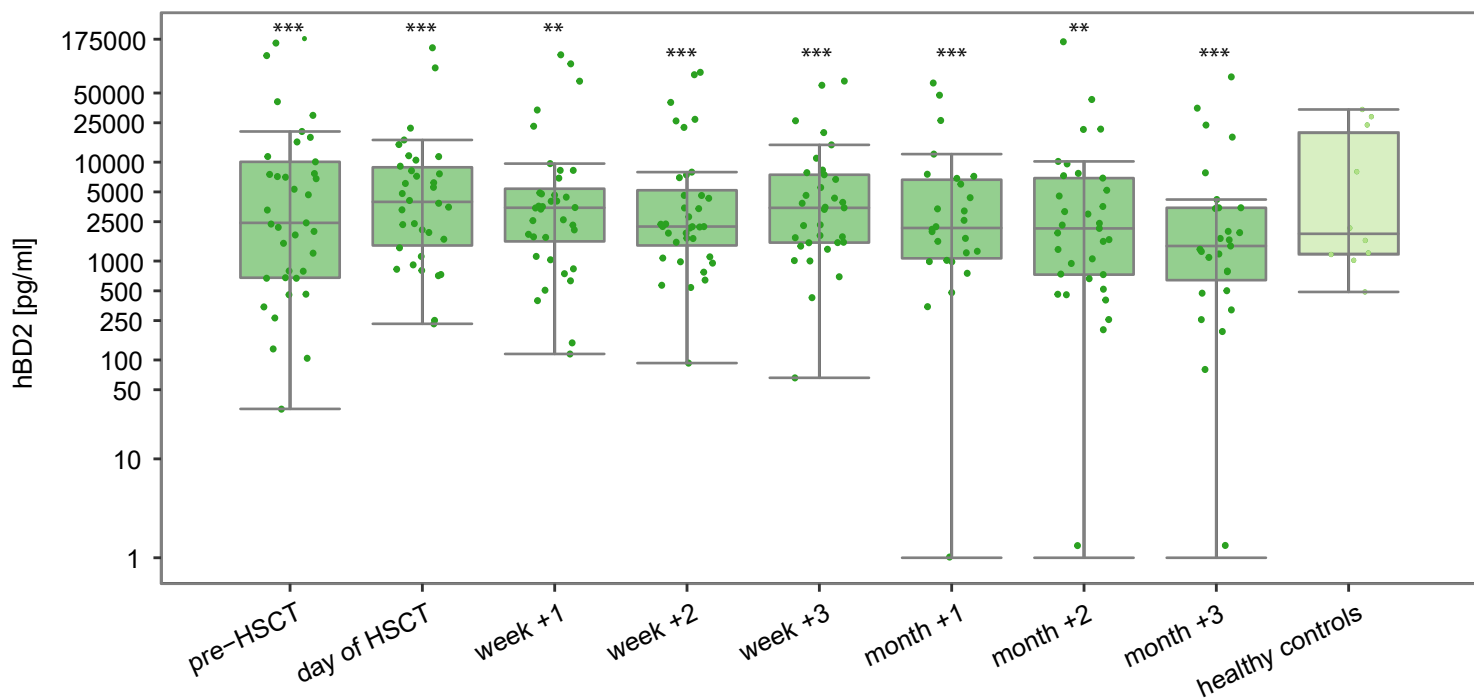
Patient characteristics:

Age
 Disease at transplantation
 Conditioning regimen
 Stem cell source
 Acute graft-versus-host-disease (aGVHD)
 Survival (death/alive at 5 yrs post HSCT)

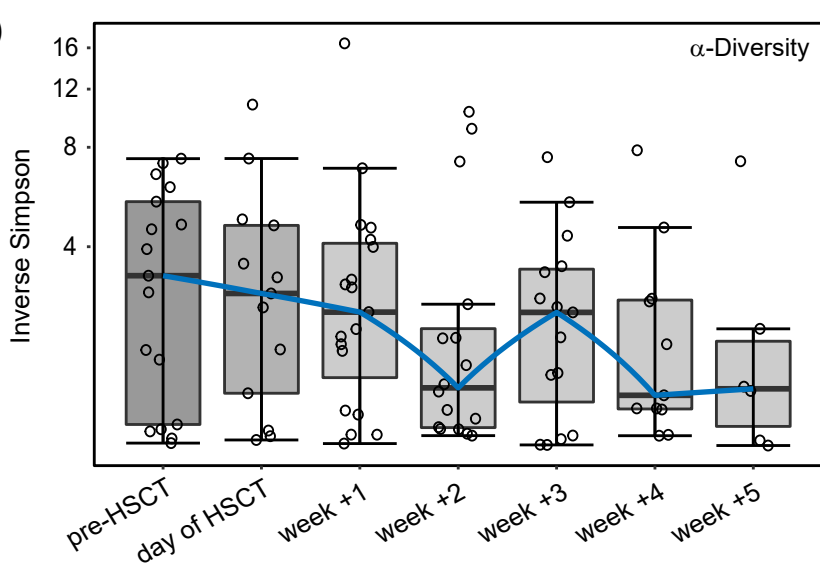
Timepoints of data availability



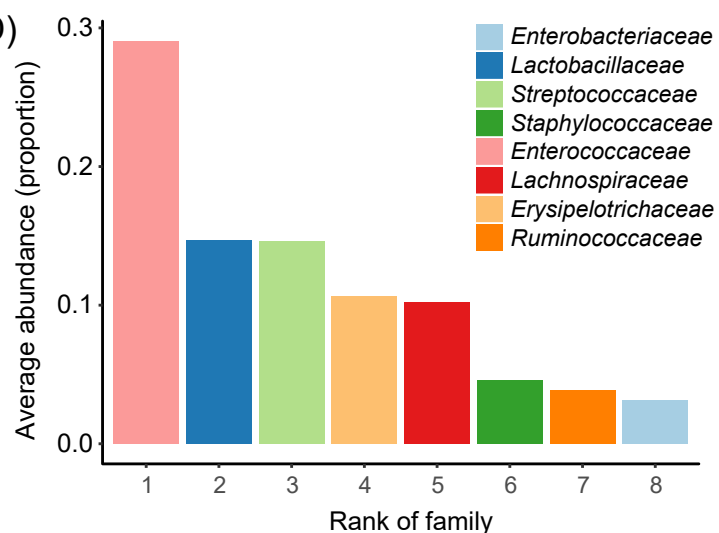
B)



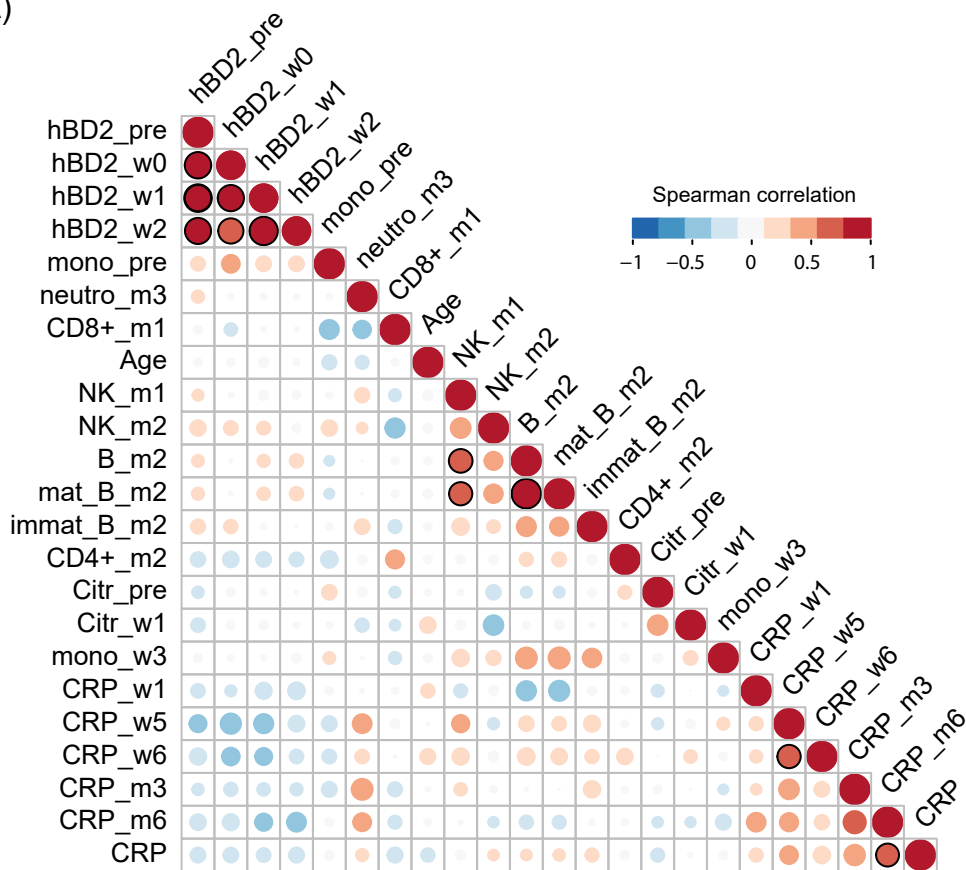
C)



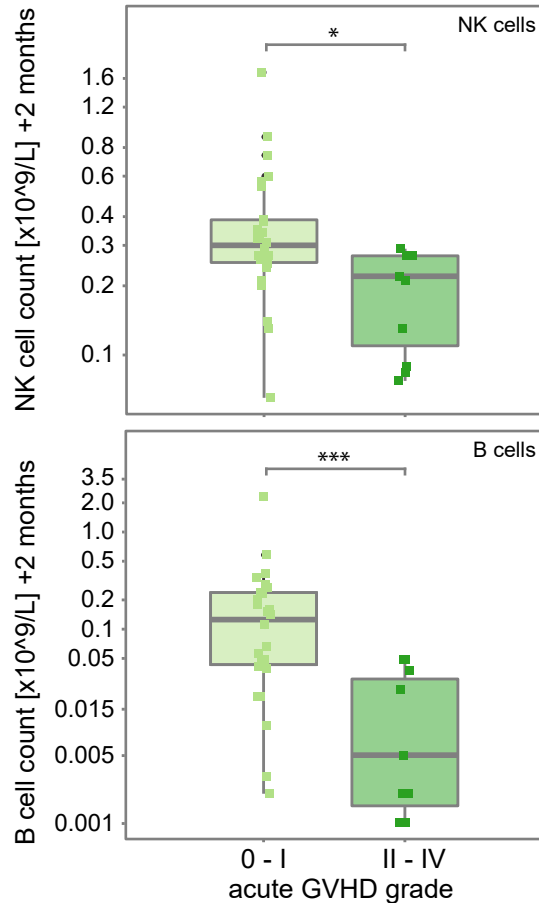
D)



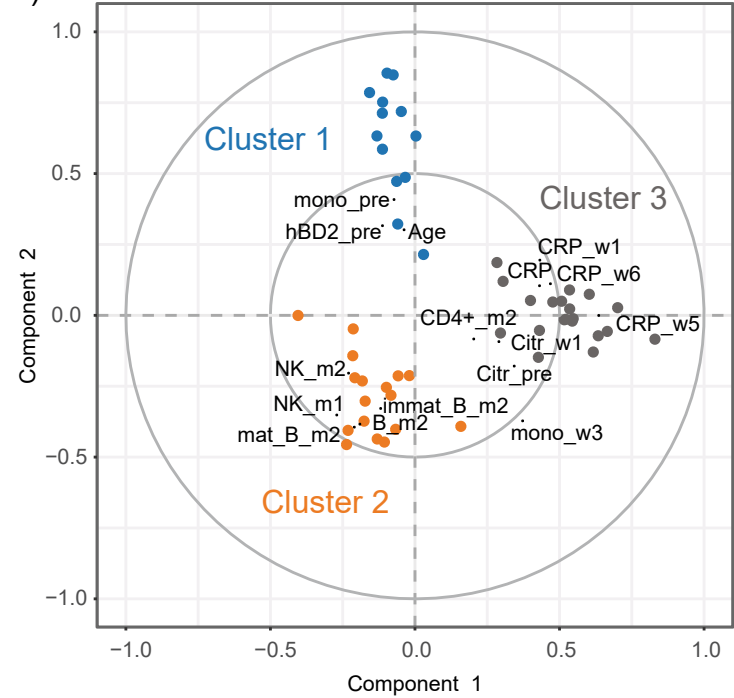
A)



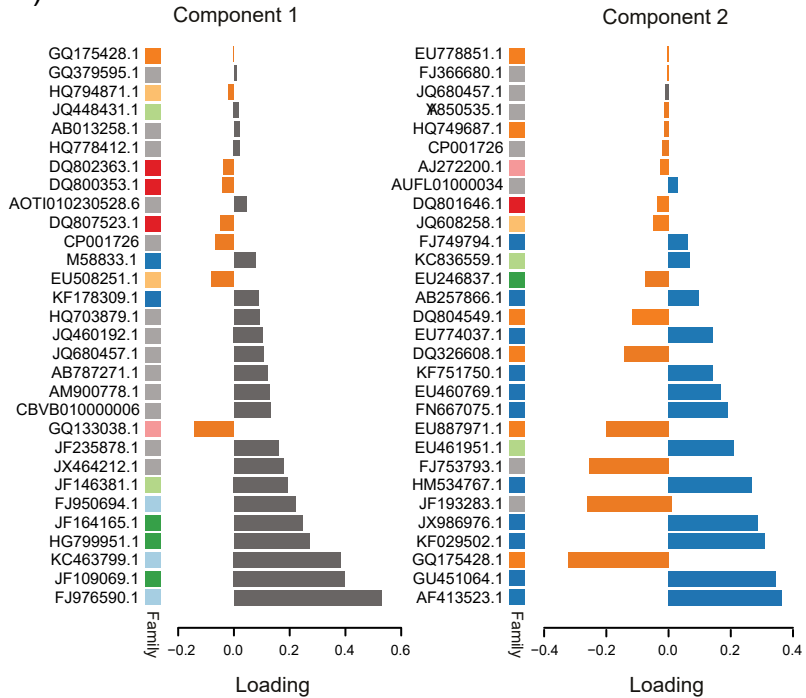
B)



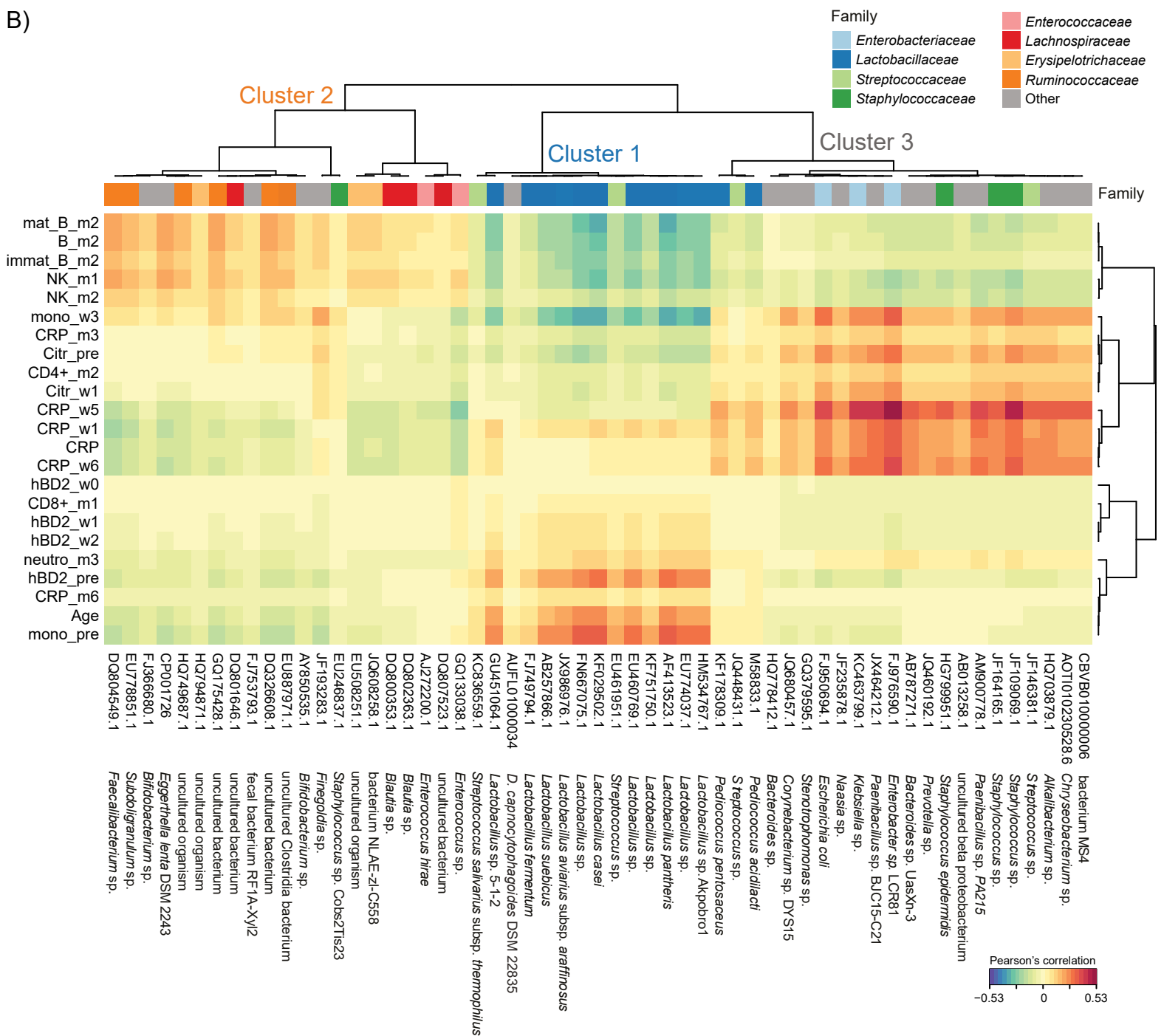
A)



G)

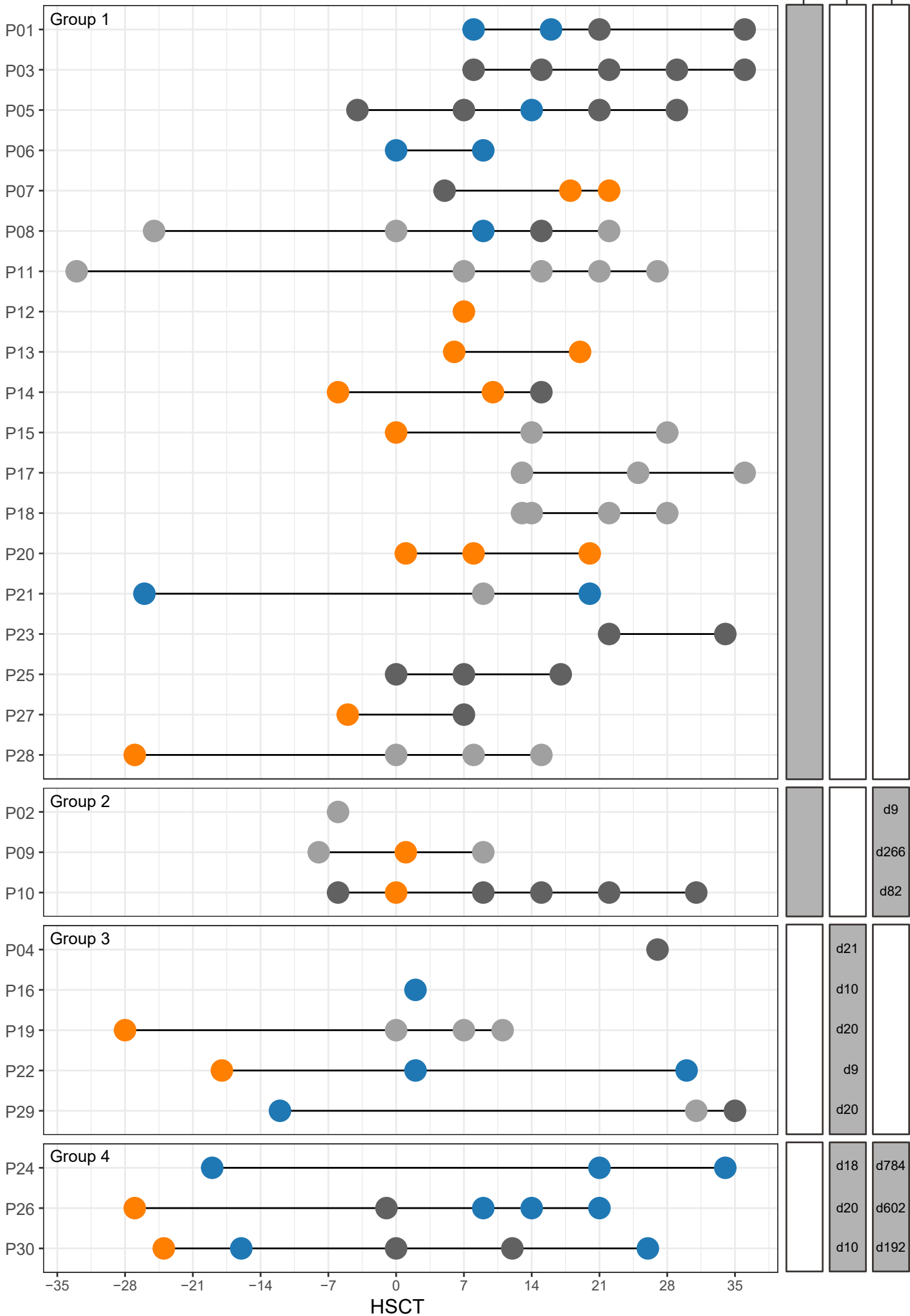


B)

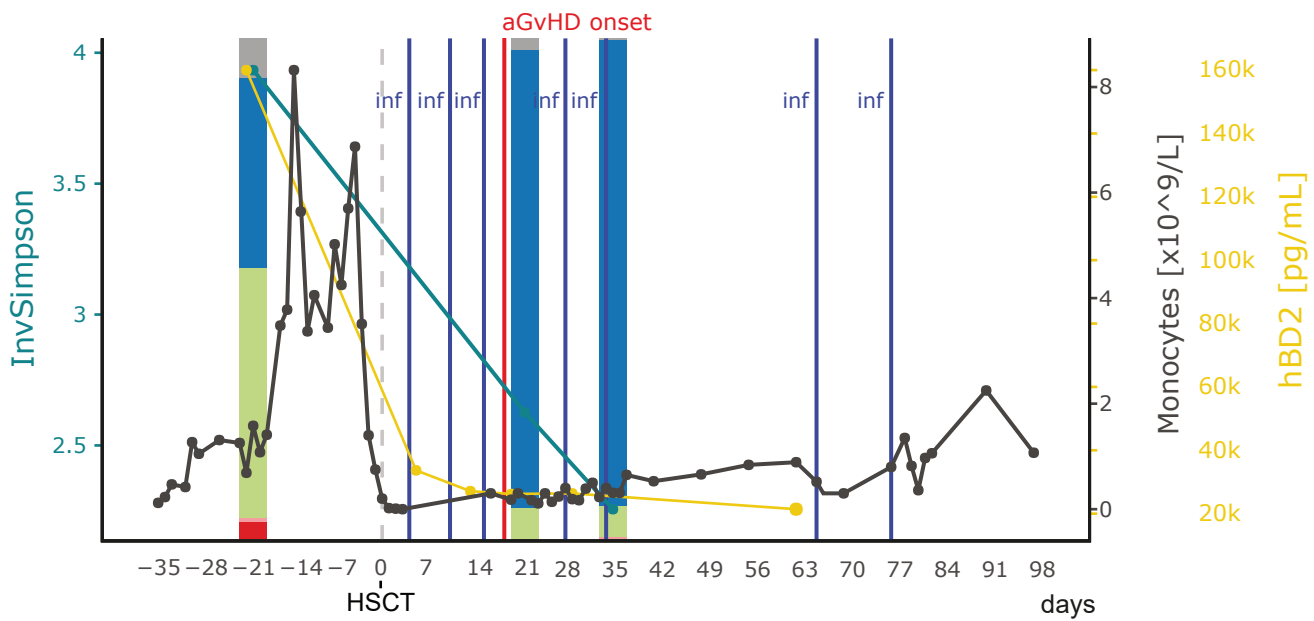


Community state type (CST) ● 1 ● 2 ● 3 ● 4

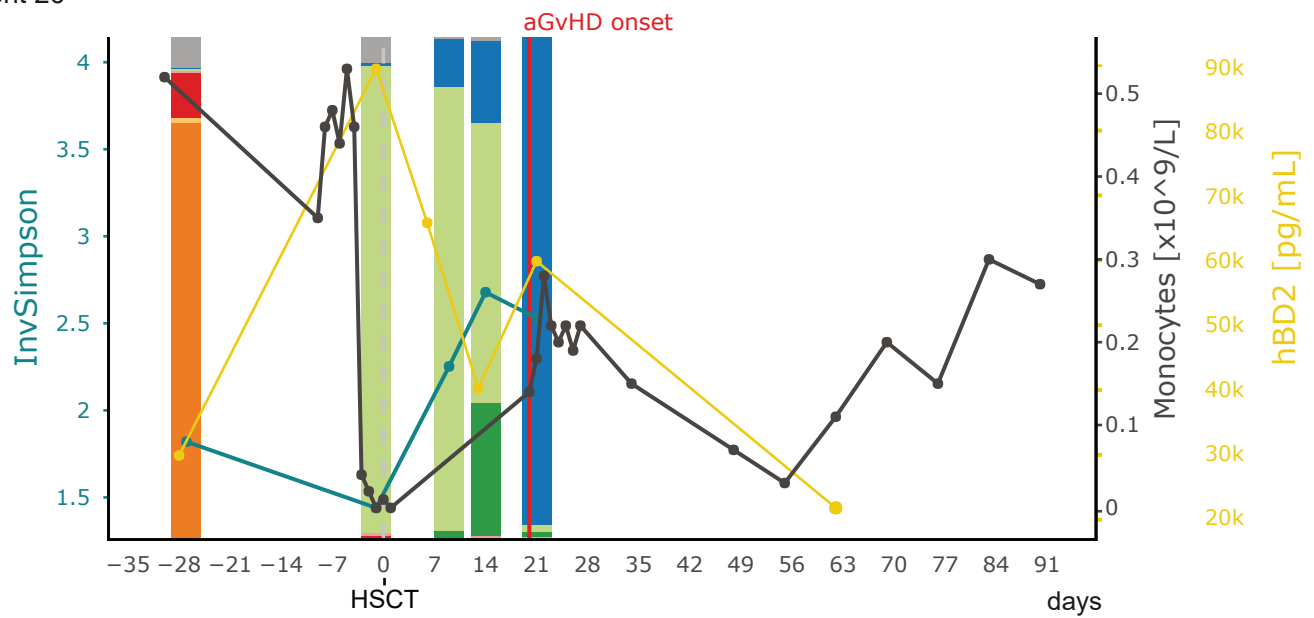
0-I II-IV Death



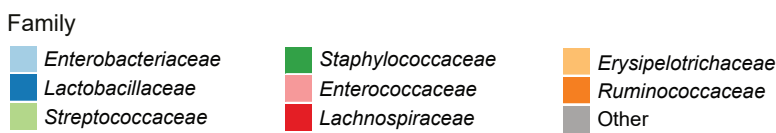
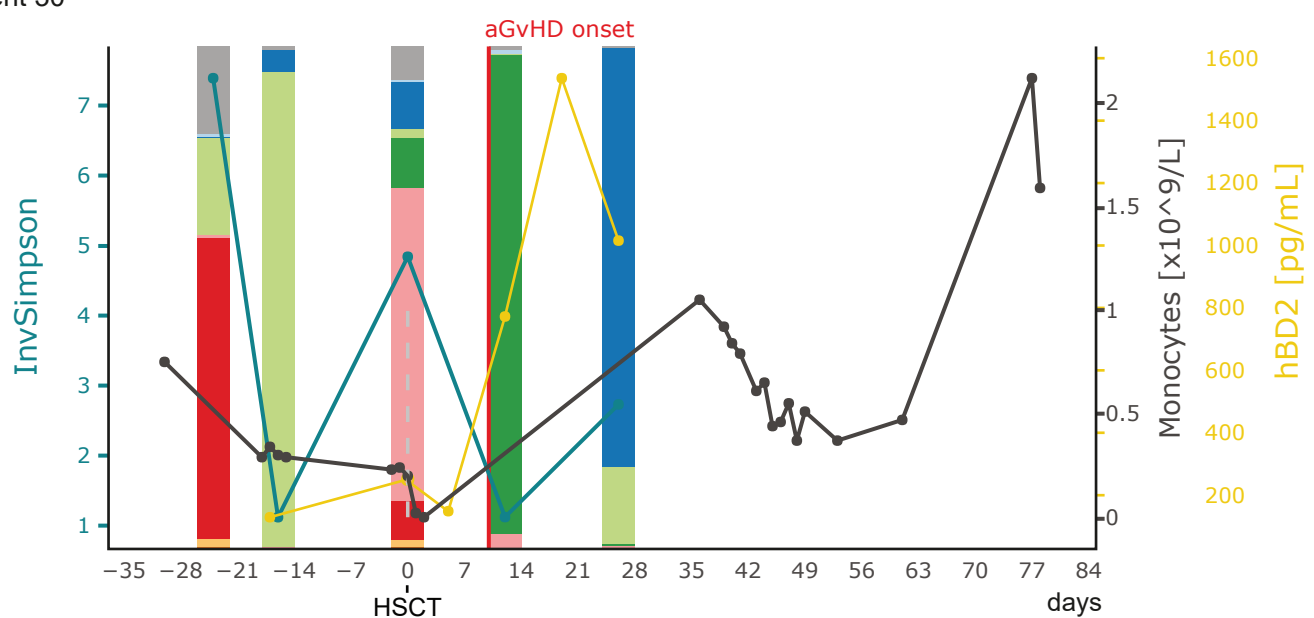
Patient 24



Patient 26



Patient 30

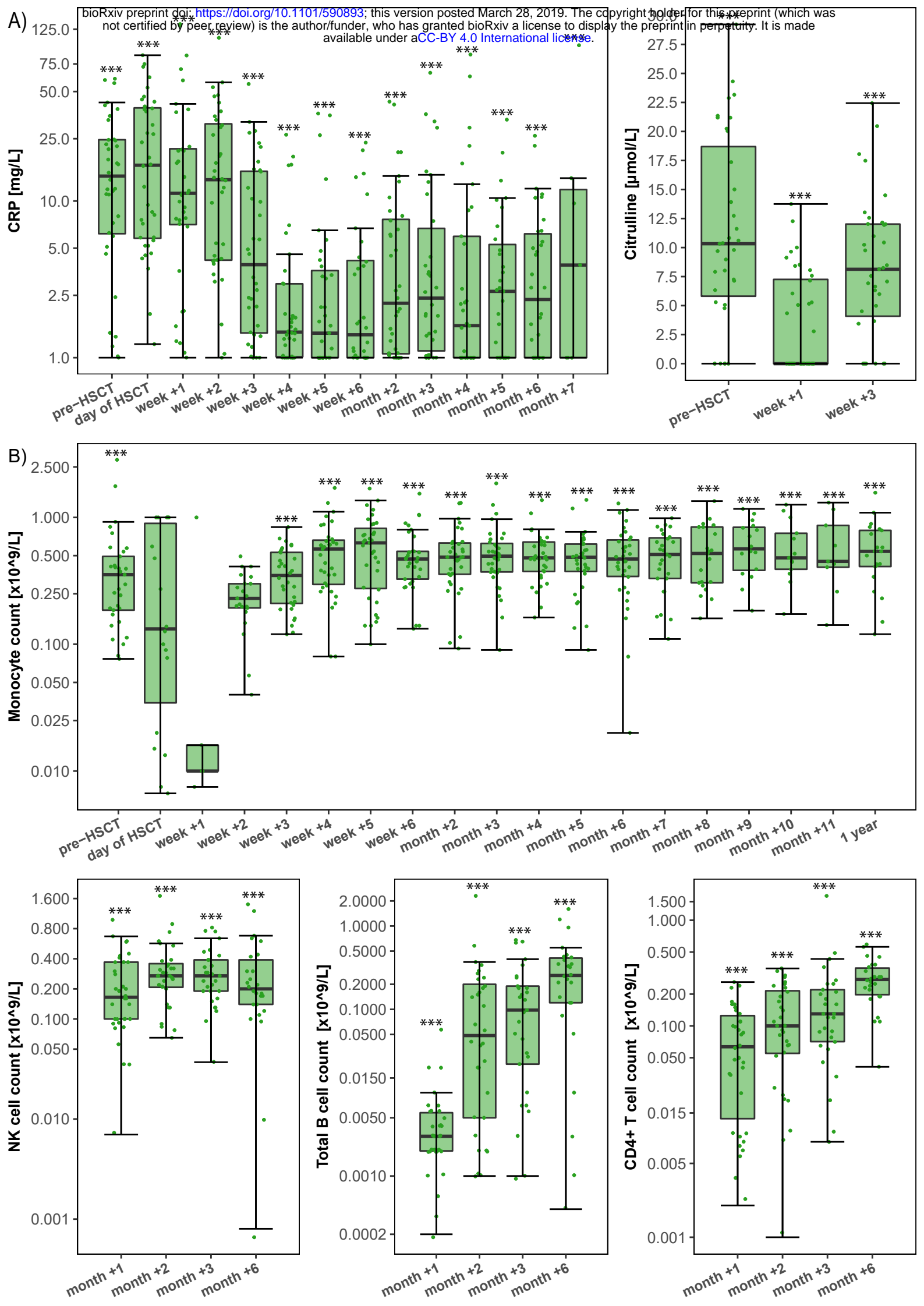


Characteristics		Number of patients (all)	Percentage of all patients (%)	Number of patients (Sub-cohort for which the microbiota was characterized)	Percentage of sub-cohort (%)
Transplant recipients		37	100	30*	81.1
Average recipient age in years		8.2 (Range: 1.1-18.0)	NA	7.8 (Range: 1.1-16.5)	NA
Intestinal microbiome characterized	At 1-2 timepoints	NA	NA	8	26.7
	At 3-4 timepoints	NA	NA	15	50
	At 5-6 timepoints	NA	NA	8	26.7
Patient sex	Female	15	40.5	12	40
	Male	22	59.5	18	60
Disease at transplantation	malignant hematologic diseases	23	62.2	17	56.7
	Severe aplastic anemia	5	13.5	5	16.7
	Other benign disorders including immunodeficiencies	9	24.3	8	26.7
Donor type	HLA-matched sibling	7	18.9	6	20
	HLA-matched unrelated donor (9/10 or 10/10 match)	27	73	22	73.3
	HLA-mismatched umbilical cord blood donor (8/10 match)	3	8.1	2	6.7
Stem cell source	Bone marrow	30	81.1	25	83.3
	Umbilical cord blood	4	10.8	3	10
	Peripheral blood	2	5.4	1	3.3
	Bone marrow and umbilical cord blood	1	2.7	1	3.3
Conditioning regimen	TBI + CY / TBI + VP16	10	27.1	10	33.3
	Combinations of BU, CY, VP16 and MEL	18	48.7	12	40
	Fludarabine-based conditioning	9	24.3	8	26.7

Anti-thymocyte globulin treatment		28	75.7	23	76.7
Antibiotics pre- and post-HSCT		37	100	30	100
Sex mismatch (female donor to male recipient)		4	10	3	10
Acute GvHD	Grade 0-I	26	70.3	22	73.3
	Grade II-IV	11	29.2	8	26.7
Chronic GvHD within 24 months		8	18.9	5	16.7
Bacterial infections	At least one registered bacterial infection	25	67.6	19	63.3
	No registered bacterial infection	12	32.4	11	36.7
Overall Survival**	Alive	30	81.1	24	80
	Dead	7	18.9	6	20
Relapse of primary malignancy		6	16.2	7	23.3
Non-relapse mortality		2	5.4	1	3.3
Re-transplantation		3	8.1	4	13.3

*29/37 +1, one patient's microbiome was characterized but for which hBD2 was not measured

** Mean follow-up time after HSCT: 62.1 months (range: 48.9 – 75.8 months)



Continuous variables → Manhattan distance matrix
Permutational multivariate analysis of variance (adonis)

Continuous variables, $P \leq 0.05$

Pairwise correlation
between continuous variables
Spearman's rank correlation tests

OTU abundances → continuous variables
Sparse partial least squares (sPLS) regression

↓ OTUs with a
correlation $>0.2 / <-0.2$

OTU abundances ↔ continuous & categorical variables
Canonical correspondence analysis (CCpNA)

Multi-table analyses & Clustering

Multivariate analyses

Changes in individual
continuous variables over time
Friedman tests & Kendall's *W*
Supplemental univariate analyses

Jensen-Shannon distance matrix:
Partitioning around medoid (PAM)
clustering into
Community state types (CSTs)
Supplemental clustering approach

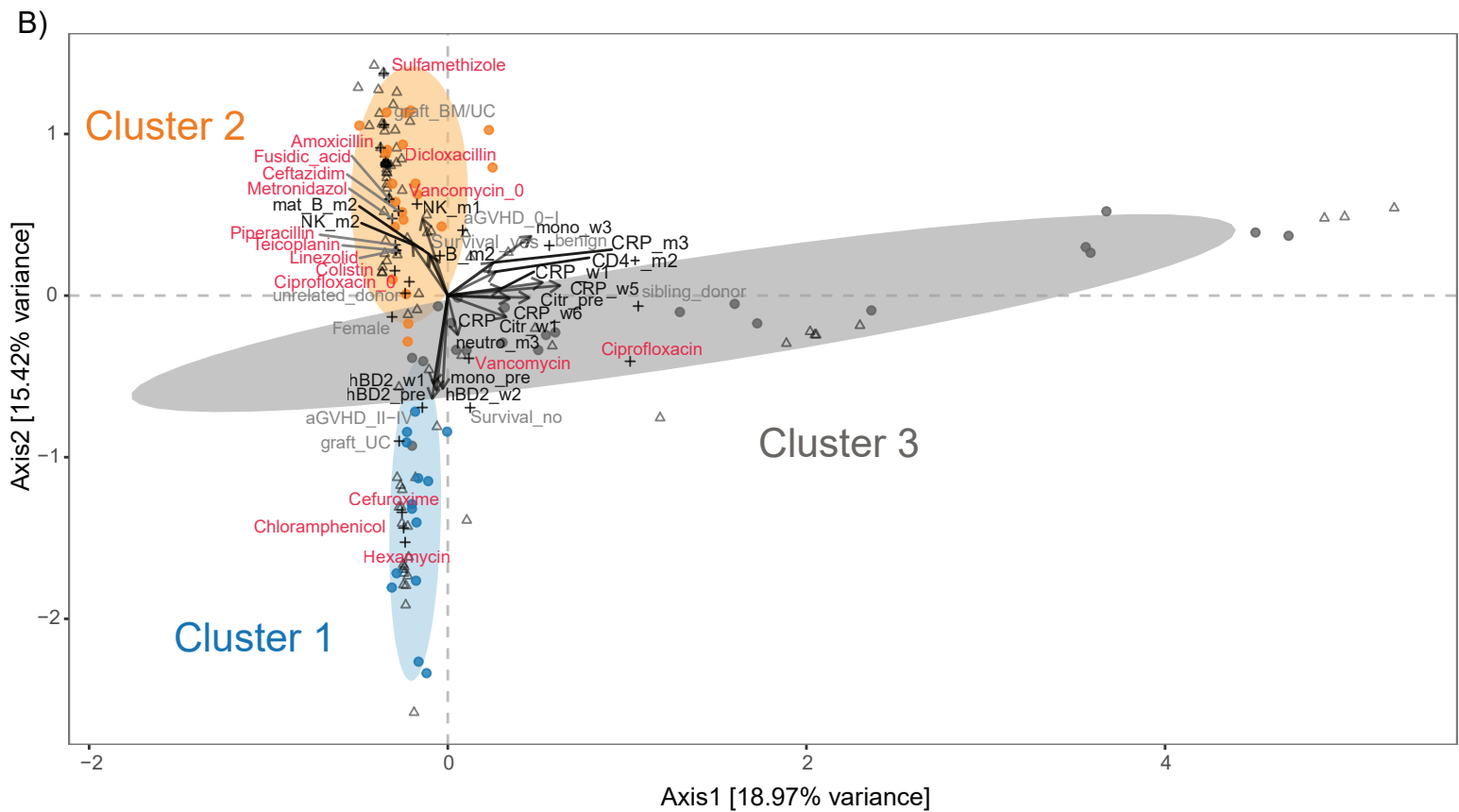
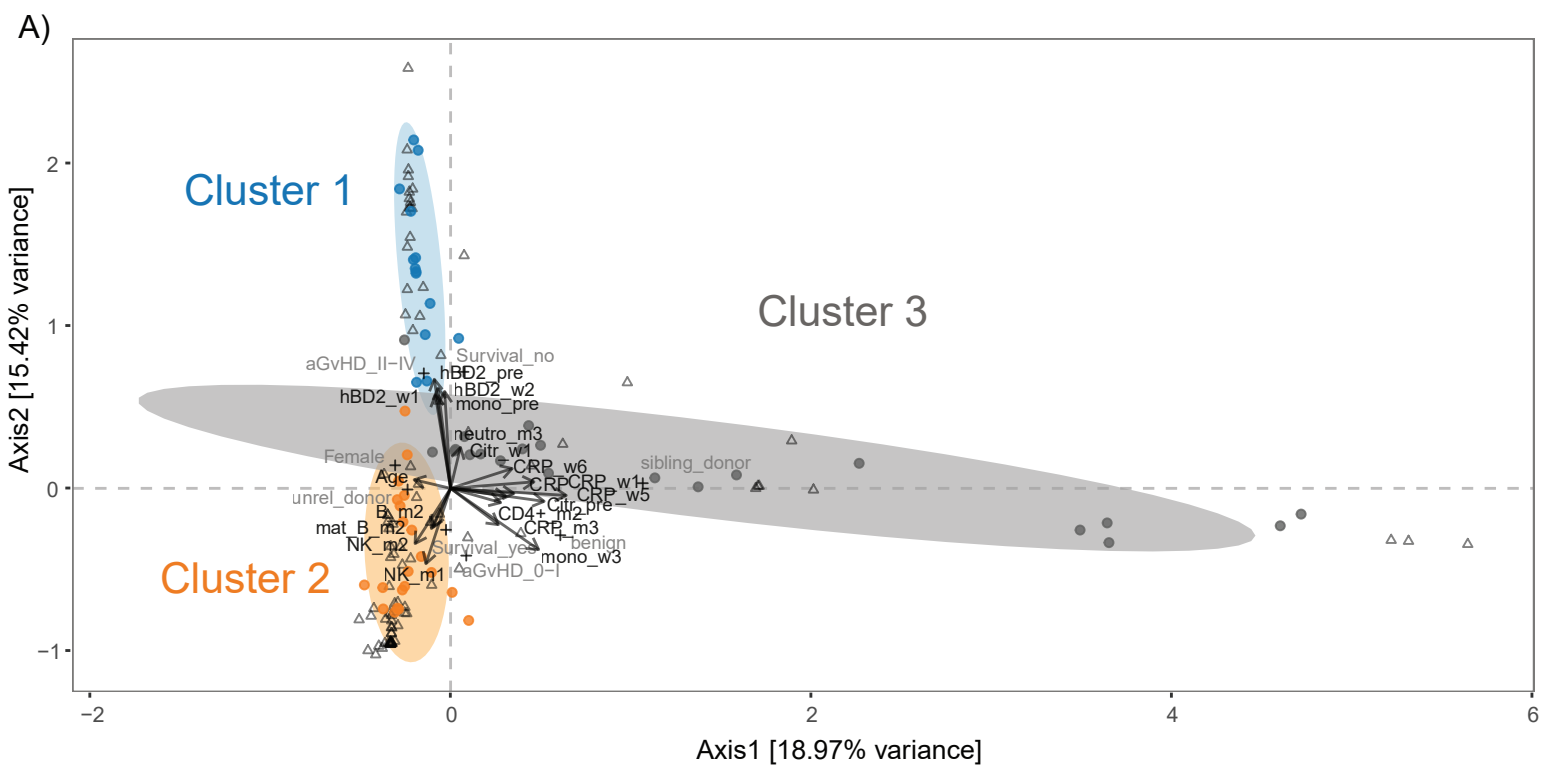
Variable	SumOfSqs	F	P-value
hBD2_sim	9763	0.4635	0.915
hbd2_pre	89958	4.3373	0.001
hbd2_w0	39432	1.9012	0.037
hbd2_w1	62425	3.0098	0.007
hbd2_w2	70010	3.3755	0.004
hbd2_w3	32106	1.5480	0.088
hbd2_m2	33806	1.6300	0.104
CRP_sim	28707	1.3629	0.015
CRP_pre	15714	0.8102	0.631
CRP_w0	22573	1.1639	0.280
CRP_w1	51055	2.6324	0.011
CRP_w2	34122	1.7593	0.098
CRP_w3	41269	2.1278	0.055
CRP_m1	27106	1.3976	0.169
CRP_w5	41965	2.1637	0.026
CRP_w6	38860	2.0036	0.029
CRP_m2	31527	1.6255	0.095
CRP_m3	39972	2.0610	0.034
CRP_m4	23961	1.2354	0.252
CRP_m6	39945	2.0596	0.024
pIL6_w1	23180	1.1431	0.311
Citr_pre	78105	3.8519	0.002
Citr_w1	42029	2.0727	0.021
Citr_w3	33872	1.6705	0.069
CD3+_m1	28654	1.4500	0.123
CD3+_m2	17891	0.9054	0.501
CD4+_m1	30266	1.5316	0.090
CD4+_m2	38132	1.9296	0.037
CD8+_m1	38615	1.9541	0.029
CD8+_m2	21275	1.0766	0.337
B_m1	26588	1.3454	0.166
B_m2	41803	2.1154	0.025
mat_B_m1	26622	1.3472	0.167
mat_B_m2	41697	2.1100	0.027
immat_B_m1	28078	1.4208	0.133
immat_B_m2	40142	2.0313	0.029
NK_m1	63891	3.2331	0.002
NK_m2	44566	2.2552	0.020
Lymphocyte_count_sim	21541	1.0227	0.610
Lymphocyte_m2	22196	1.1232	0.324

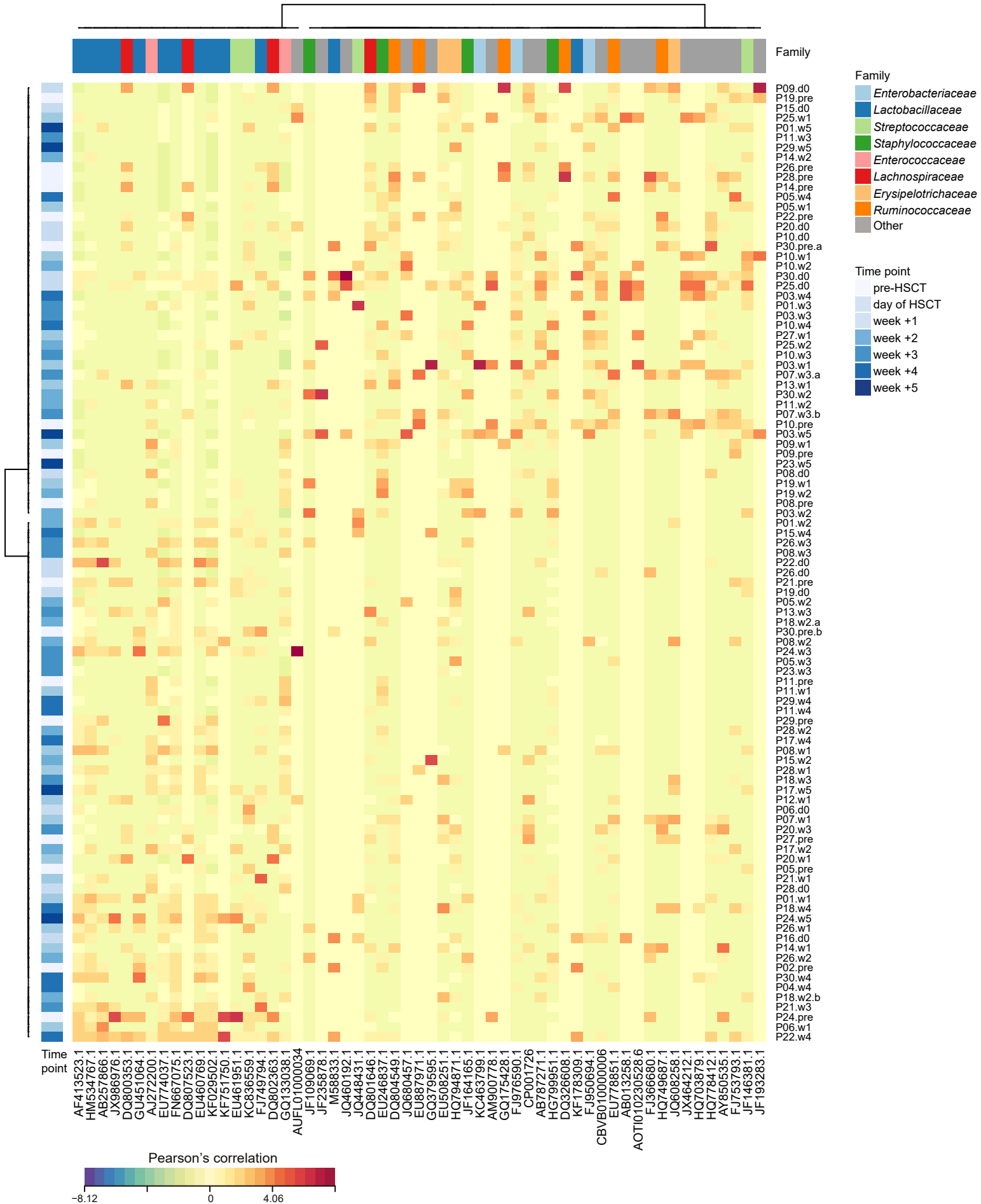
Lymphocyte_m3	24450	1.2373	0.224
Lymphocyte_m6	26278	1.3298	0.177
Lymphocyte_1y	29658	1.5008	0.114
mean_mono_pre	47349	2.3109	0.013
mean_mono_w3	64242	3.1353	0.002
mean_mono_m1	17924	0.8748	0.561
mean_mono_m2	25930	1.2655	0.187
mean_mono_m3	18269	0.8916	0.516
mean_mono_m6	1579	0.7708	0.654
mean_neutro_pre	25198	1.2298	0.225
mean_neutro_w3	31161	1.5208	0.149
mean_neutro_m1	29036	1.4171	0.137
mean_neutro_m2	16656	0.8129	0.611
mean_neutro_m3	48466	2.3653	0.010
mean_neutro_m6	15960	0.7789	0.653

OTU number	Phylum	Order	Family	Genus/Species/Description	Cluster	
					sPLS	CST
HM534767.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus sp. Akpobro1	1	1
AF413523.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus pantheris	1	1
KF751750.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus sp.	1	1
AB257866.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus suebicus	1	1
JX986976.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus aviarius subsp. araffinosus	1	1
GU451064.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus sp. 5-1-2	1	1
EU774037.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus sp.	1	1
FN667075.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus sp.	1	1
EU461951.1	F	Lactobacillales	Streptococcaceae	Streptococcus sp.	1	1
EU460769.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus sp.	1	1
KC836559.1	F	Lactobacillales	Streptococcaceae	Streptococcus salivarius subsp. thermophilus	1	1
FJ749794.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus fermentum	1	1
KF029502.1	F	Lactobacillales	Lactobacillaceae	Lactobacillus casei	1	1
AUFL01000034	B	Bacteroidales	Porphyromonadaceae	Dysgonomonas capnocytophagoide s DSM 22835	1	1
DQ801646.1	F	Clostridiales	Lachnospiraceae	uncultured bacterium	2	2
EU246837.1	F	Bacillales	Staphylococcaceae	Staphylococcus sp. Cobs2Tis23	2	4
DQ804549.1	F	Clostridiales	Ruminococcaceae	Faecalibacterium sp.	2	2
FJ366680.1	A	Bifidobacteriales	Bifidobacteriaceae	Bifidobacterium sp.	2	2

HQ749687.1	F	<i>Clostridiales</i>	<i>Ruminococcaceae</i>	uncultured bacterium	2	2
FJ753793.1	F	<i>Clostridiales</i>	<i>Peptostreptococcaceae</i>	swine fecal bacterium RF1A-Xyl2	2	2
DQ800353	F	<i>Clostridiales</i>	<i>Lachnospiraceae</i>	<i>Blautia</i> sp.	2	2
EU887971.1	F	<i>Clostridiales</i>	<i>Ruminococcaceae</i>	uncultured Clostridia bacterium	2	2
JQ608258.1	F	<i>Erysipelotrichales</i>	<i>Erysipelotrichaceae</i>	bacterium NLAE-zl-C558	2	2
AY850535.1		<i>Bifidobacteriales</i>	<i>Bifidobacteriaceae</i>	<i>Bifidobacterium</i> sp.	2	2
DQ326608.1	F	<i>Clostridiales</i>	<i>Ruminococcaceae</i>	uncultured bacterium	2	2
AJ272200.1	F	<i>Lactobacillales</i>	<i>Enterococcaceae</i>	<i>Enterococcus hirae</i>	2	4
EU508251.1	F	<i>Erysipelotrichales</i>	<i>Erysipelotrichaceae</i>	uncultured bacterium	2	2
DQ807523.1	F	<i>Clostridiales</i>	<i>Lachnospiraceae</i>	uncultured bacterium	2	2
CP001726	A	<i>Coriobacteriales</i>	<i>Coriobacteriaceae</i>	<i>Eggerthella lenta</i> DSM 2243	2	2
HQ794871.1	F	<i>Erysipelotrichales</i>	<i>Erysipelotrichaceae</i>	uncultured organism	2	2
JF193283.1	F	<i>Clostridiales</i>	Family XI	<i>Fingoldia</i> sp.	2	2
DQ802363.1	F	<i>Clostridiales</i>	<i>Lachnospiraceae</i>	<i>Blautia</i> sp.	2	2
GQ175428.1	F	<i>Clostridiales</i>	<i>Ruminococcaceae</i>	uncultured bacterium	2	2
EU778851.1	F	<i>Clostridiales</i>	<i>Ruminococcaceae</i>	<i>Subdoligranulum</i> sp.	2	2
GQ133038.1	F	<i>Lactobacillales</i>	<i>Enterococcaceae</i>	<i>Enterococcus</i> sp.	2	4
JQ448431.1	F	<i>Lactobacillales</i>	<i>Streptococcaceae</i>	<i>Streptococcus</i> sp.	3	1
JQ460192.1	B	<i>Bacteroidales</i>	<i>Prevotellaceae</i>	<i>Prevotella</i> sp.	3	3
M58833.1	F	<i>Lactobacillales</i>	<i>Lactobacillaceae</i>	<i>Pediococcus acidilactici</i>	3	1

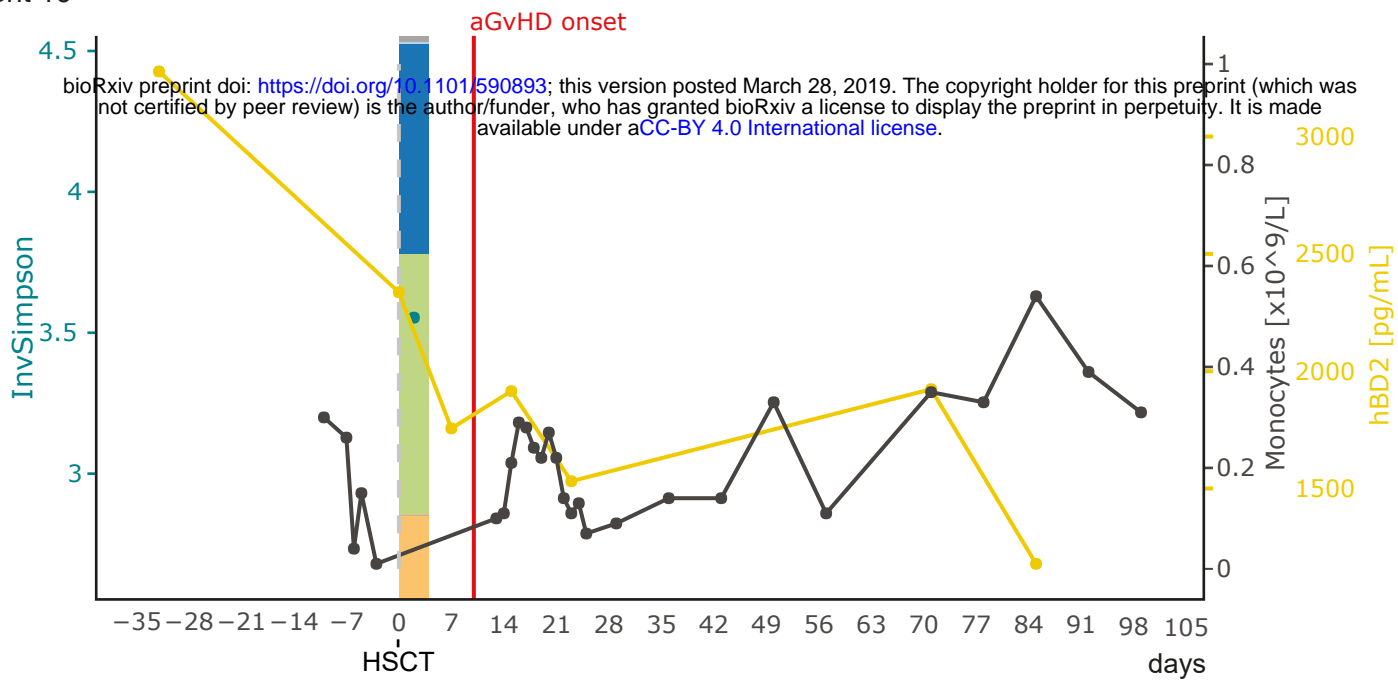
HG799951.1	F	Bacillales	Staphylococcaceae	<i>Staphylococcus epidermidis</i>	3	3
JF235878.1	A	Micrococcales	Microbacteriaceae	<i>Naasia</i> sp.	3	3
JQ680457.1	A	Corynebacteriales	Corynebacteriaceae	<i>Corynebacterium</i> sp. DYS15	3	3
GQ379595.1	P	Xanthomonadales	Xanthomonadaceae	<i>Stenotrophomonas</i> sp.	3	4
AB787271.1	B	Bacteroidales	Bacteroidaceae	<i>Bacteroides</i> sp. UasXn-3	3	3
AOTI010230528.6	B	Flavobacteriales	Flavobacteriaceae	<i>Chryseobacterium Triticum urartu</i>	3	3
JF109069.1	F	Bacillales	Staphylococcaceae	<i>Staphylococcus</i> sp.	3	3
JX464212.1	F	Bacillales	Paenibacillaceae	<i>Paenibacillus</i> sp. BJC15-C21	3	3
HQ778412.1	B	Bacteroidales	Bacteroidaceae	<i>Bacteroides</i> sp.	3	2
FJ976590.1	P	Enterobacteriales	Enterobacteriaceae	<i>Enterobacter</i> sp. LCR81	3	3
KF178309.1	F	Lactobacillales	Lactobacillaceae	<i>Pediococcus pentosaceus</i>	3	3
HQ703879.1	F	Lactobacillales	Carnobacteriaceae	<i>Alkalibacterium</i> sp.	3	3
FJ950694.1	P	Enterobacteriales	Enterobacteriaceae	<i>Escherichia coli</i>	3	3
JF164165.1	F	Bacillales	Staphylococcaceae	<i>Staphylococcus</i> sp.	3	3
KC463799.1	P	Enterobacteriales	Enterobacteriaceae	<i>Klebsiella</i> sp.	3	3
JF146381.1	F	Lactobacillales	Streptococcaceae	<i>Streptococcus</i> sp.	3	3
AM900778.1	F	Bacillales	Paenibacillaceae	<i>Paenibacillus</i> sp. PA215	3	3
CBVB010000006	B	Bacteroidales	Bacteroidaceae	bacterium MS4	3	3
AB013258.1	P	Neisseriales	Neisseriaceae	uncultured beta proteobacterium	3	3



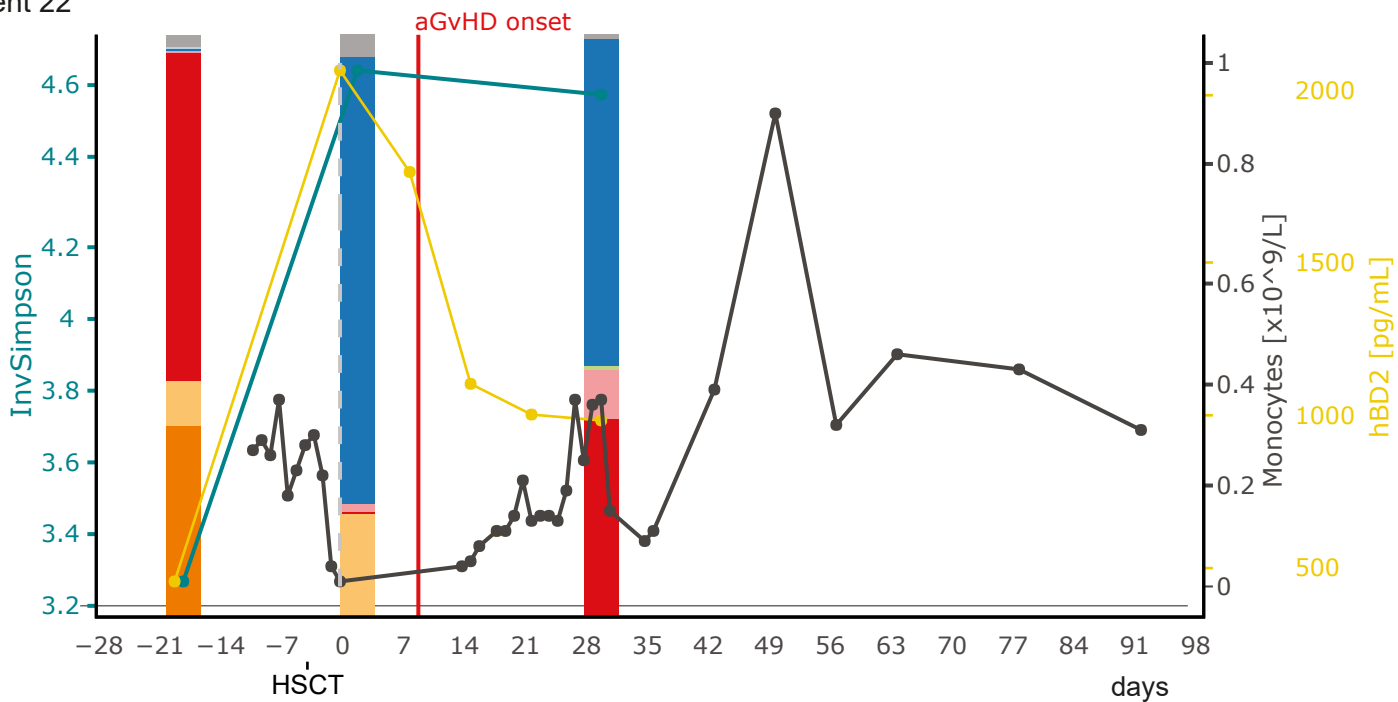




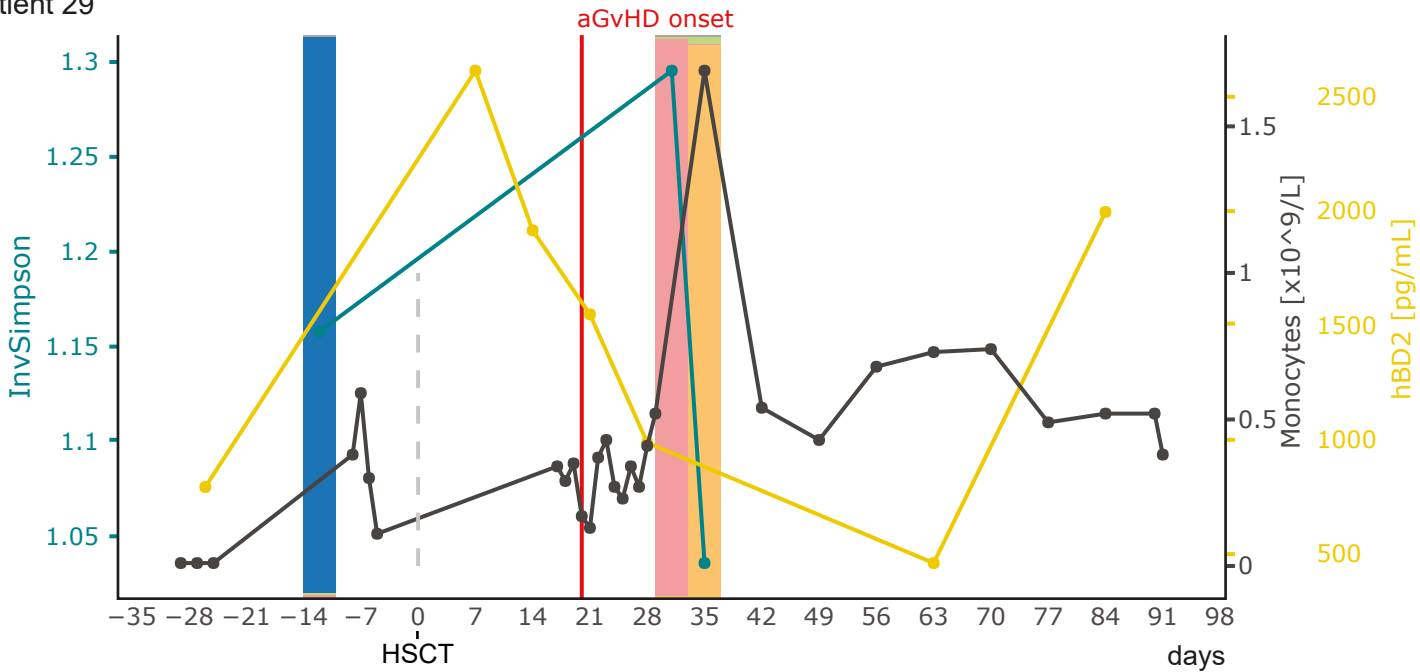
Patient 16



Patient 22



Patient 29



Family

- | | | |
|---------------------------|--------------------------|----------------------------|
| <i>Enterobacteriaceae</i> | <i>Staphylococcaceae</i> | <i>Erysipelotrichaceae</i> |
| <i>Lactobacillaceae</i> | <i>Enterococcaceae</i> | <i>Ruminococcaceae</i> |
| <i>Streptococcaceae</i> | <i>Lachnospiraceae</i> | Other |

Our results suggest that a high *Lactobacillaceae* abundance prior to the onset of aGvHD may point to a preventive effect, as these patients survived. A human clinical trial of *Lactobacillus rhamnosus* GG prebiotic gavage to HSCT patients at time of engraftment demonstrated no protection against GvHD [1]. This could mean that *Lactobacillaceae* may not play a key role in aGvHD development, at least not the particular *Lactobacillus rhamnosus* strain under the conditions used in this group of patients. However, the administered probiotic did not alter the abundance of *Lactobacillus* spp. in the patients' guts [1], suggesting that the strain was not able to establish and proliferate in the host environment in this situation. An intrinsic increase of *Lactobacillaceae* prior to aGvHD onset, as observed here, therefore might still play a role in reducing aGvHD. A recent study related to the use of a probiotic given to infants to prevent sepsis suggested that the time point of application of a specific *Lactobacillus* sp. strain as a synbiotic played a critical role in positive clinical outcomes [2]. Furthermore, a study on gut microbial immunomodulation emphasized the importance of characterizing bacteria at the strain-level, because individual strains can have different modulatory effects on the immune system [3]. Therefore, it would be of great interest to determine the identity and predicted function of the specific *Lactobacillus* spp. strains in our patients, and in particular, in those who exhibited an early high abundance of *Lactobacillus* spp., as compared with those who experienced an expansion of *Lactobacillus* spp. after aGvHD and who later died.

Interestingly, *Enterococcus* was not among the most relevant taxa identified by our multivariate analyses. Intestinal domination of *Enterococcus* spp. was not clearly associated with adverse outcomes in our subgroup of 30 patients, in contrast to previous findings [4–6]. It should be noted that these previous observations were made in adult allo-HSCT patients and were dependent on

the type and amount of antimicrobial treatment. In addition, to elucidate this discrepancy further, we are currently characterizing *Enterococcus* isolates from fecal samples of our patient group, to gain insight into bacterial strain-level differences.

Supplementary references

1. Gorshein E, Wei C, Ambrosy S, Budney S, Vivas J, Shenkerman A, et al. *Lactobacillus rhamnosus* GG probiotic enteric regimen does not appreciably alter the gut microbiome or provide protection against GVHD after allogeneic hematopoietic stem cell transplantation. *Clin Transplant*. 2017;31:e12947. doi:10.1111/ctr.12947.
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