

1 **Identification of microRNA clusters cooperatively acting on Epithelial to**
2 **Mesenchymal Transition in Triple Negative Breast Cancer**

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20 **Abstract**

21 MicroRNAs play important roles in many biological processes. Their aberrant
22 expression can have oncogenic or tumor suppressor function directly participating to
23 carcinogenesis, malignant transformation, invasiveness and metastasis. Indeed,
24 miRNA profiles can distinguish not only between normal and cancerous tissue but
25 they can also successfully classify different subtypes of a particular cancer.

26 Here, we focus on a particular class of transcripts encoding polycistronic miRNA
27 genes that yields multiple miRNA components. We describe clustered MiRNA
28 Master Regulator Analysis (ClustMMRA), a fully redesigned release of the MMRA
29 computational pipeline (MiRNA Master Regulator Analysis), developed to search for
30 clustered miRNAs potentially driving cancer molecular subtyping. Genomically
31 clustered miRNAs are frequently co-expressed to target different components of pro-
32 tumorigenic signalling pathways. By applying ClustMMRA to breast cancer patient
33 data, we identified key miRNA clusters driving the phenotype of different tumor
34 subgroups. The pipeline was applied to two independent breast cancer datasets,
35 providing statistically concordant results between the two analysis. We validated in
36 cell lines the miR-199/miR-214 as a novel cluster of miRNAs promoting the triple
37 negative subtype phenotype through its control of proliferation and EMT.

38

39 **1. Introduction**

40 MicroRNAs (miRNAs) are small RNA molecules emerged as important regulators of
41 gene expression at the post-transcriptional level. They have been shown to be
42 involved in the regulation of all essential functions of the cells from differentiation and
43 proliferation to apoptosis¹. Each miRNA possesses hundreds of target genes, and a
44 single gene can be targeted by several miRNAs², giving rise to complex interaction
45 networks, currently very partially characterized.

46 Multiple studies demonstrated the importance of miRNAs in all the cancer hallmarks
47 defined by Hanahan and Weinberg³ and indicated that they might function as
48 oncogenes or tumor suppressors⁴⁻⁷. Further experimental evidences suggested that
49 specific miRNAs may also have a role beyond the cancer onset and directly
50 participate in cancer invasiveness and metastasis^{6,8}. Indeed, miRNA profiles can
51 distinguish not only between normal and cancerous tissue but they can also
52 successfully classify different subtypes of a particular cancer^{9,10}, notably of breast
53 cancer¹¹⁻¹³.

54 In this work, we focused our attention on a particular class of transcripts
55 encoding polycistronic miRNA genes that yields multiple miRNA components. A
56 famous example of this class of transcripts is the mir-17/92 polycistronic oncogene
57 that plays a role in the development of various cancer types, especially in their most
58 aggressive form¹⁴. Genomically clustered miRNAs of mir-17/92 are simultaneously
59 expressed and target different components of the signaling cascade as well as the
60 downstream effectors of pro-tumorigenic signalling pathways¹⁵⁻¹⁷. Deep sequencing
61 of triple negative breast cancer (TNBC) samples revealed a threefold increase of
62 miR-17/92 levels¹². Other studies in breast cancer have shown that mir-106b/25
63 cluster activates TGF- β signaling and epithelial-mesenchymal transition (EMT)¹⁸ and
64 miR-221/222 cluster is a key regulator of luminal breast cancer tumor progression¹⁹.

65

66 Since more than 30% of annotated human miRNAs are organized in genomic
67 clusters, we can expect to find other oncogenic / tumour suppressor polycistronic
68 miRNAs that are co-expressed to jointly regulate molecular pathways involved in
69 cancer malignancy. Existing computational approaches for the identification of
70 master miRNA regulators involved in cancer onset and subtyping are typically
71 designed to detect the effect of a single miRNA (see review in²⁰). However, miRNAs
72 have been shown to frequently act in a combined manner, jointly regulating proteins

73 in close proximity of the protein-protein interaction network²¹ and functionally related
74 genes²²⁻²⁵. The underlying assumption of this work is that this mode of action might
75 be true also for genomically clustered miRNAs. Indeed, it has already been shown
76 that clustered miRNAs carry out pervasive cotargeting²⁶.

77 Here we present Clustered MiRNA Master Regulator Analysis (ClustMMRA), a fully
78 redesigned release of the MiRNA Master Regulator Analysis (MMRA)^{25,26} pipeline,
79 developed to search for clustered miRNAs potentially driving cancer subtyping.
80 MMRA was designed for miRNA underlying tumor subtypes, a comparison
81 characterized by much lower variation than cancer versus normal conditions. The
82 results of the MMRA pipeline were experimentally validated, proposing a set of four
83 miRNAs predicted to drive the stem-like aggressive colorectal cancer subtype²⁷.

84 ClustMMRA extends MMRA to a model in which multiple miRNAs belonging to the
85 same genomic cluster coordinately target functionally related genes driving the
86 phenotype of a particular cancer subtype. As the MMRA pipeline, ClustMMRA is a
87 multi-step workflow that requires in input miRNA/mRNA expression profiles from
88 matched tumor samples classified in different subtypes according to subtype-specific
89 gene signatures. The final output of ClustMMRA provides key miRNA clusters
90 contributing to the regulation of particular subtypes of the disease.

91 We tested this novel pipeline to search for oncogenic / tumour suppressor
92 polycistronic miRNAs driving breast cancer subtypes. ClustMMRA was applied to
93 two independent breast cancer datasets whose samples were previously classified
94 into four subtypes (luminal A, luminal B, HER2+ and triple negative). We obtained
95 statistically concordant results between the two analysis, identifying five clusters of
96 miRNAs with aberrant expression in a specific subtype of both datasets. Among
97 them, miR-199a/214 on chromosome 1 was found to be down-regulated in the triple
98 negative subtype and associated to EMT regulation. Functional validation in cell lines
99 confirms the regulatory effect of this cluster in shaping the triple negative subtype
100 phenotype through its control of proliferation and EMT. Overall, our computational
101 pipeline and experimental validations characterize a new genomic cluster of miRNAs
102 implicated in the TNBC phenotype that might be further explored in diagnosis and
103 therapeutic strategies. In addition, we evinced a cooperative mechanism for the
104 regulatory activity of genomically clustered miRNAs.

105

106 **2. Results**

107

108 **2.1 From single miRNA to clusters of miRNAs: ClustMMRA**

109 The MMRA pipeline is here extended to search for genomically co-clustered miRNAs
110 potentially driving cancer subtyping. Similar to MMRA, the workflow of ClustMMRA
111 (see Figure 1) consists of subsequent filtering steps: (i) differential expression
112 analysis of clustered miRNAs; (ii) target enrichment analysis and (iii) network
113 analysis. While a miRNA cluster is usually transcribed as a single unit²⁸⁻³², the
114 expression of mature miRNAs in the same cluster might not be highly correlated due
115 to regulatory events in the maturation processes^{28,31}.

116 Clusters of miRNAs are identified based on their genomic organization as reported in
117 Methods. In step (i), the subtype-specific expression of each miRNA is assessed by
118 Kolmogorov-Smirnov (KS) statistical test and fold change cutoff. Clusters having at
119 least two miRNAs with subtype-specific expression change in the same direction
120 (both up-regulated or down-regulated) are selected for step (ii).

121 In step (ii), we extract miRNA clusters having their predicted targets enriched for the
122 gene signature of the corresponding subtype. Only miRNAs of the cluster classified
123 as differentially expressed in step (i) are considered in step (ii). The targets of
124 individual miRNAs have been predicted using four different databases (miRTarBase
125 2.5, doRiNA-PicTar 2012, microRNA.org 2010, PITA 2007 and TargetScan 7.1),
126 requiring the prediction by at least two of them. The set of targets of a cluster has
127 been defined as the union of the targets of individual miRNAs. The objective of step
128 (i) and (ii) is to identify co-clustered and co-expressed miRNAs potentially regulating
129 a gene expression signature in a joint manner, without necessarily having a high
130 overlap in terms of target genes²³. Finally, in step (iii) a miRNA-mRNA interaction
131 network is constructed for each selected cluster using the ARACNE algorithm^{33,34}. In
132 this step, we identify modules of co-clustered miRNAs and interacting genes,
133 including indirect interactions, that are believed to participate in the phenotype of a
134 given cancer subtype (we call these modules *regulons*). Unlike the results of the
135 MMRA pipeline, in which *regulons* can include only one miRNA, the ones identified
136 by the ClustMMRA pipeline contain multiple miRNAs of the genomic cluster.
137 Interference of indirect interactions may introduce links between miRNAs and
138 spurious genes in the *regulon*. A Fisher's exact test has been performed to evaluate
139 the statistical significance of the overlap between the genes included in each *regulon*
140 and the gene signature of the associated subtype.

141

142 **2.2 Identification of regulatory miRNA clusters underlying breast cancer** 143 **subtypes**

144 We applied ClustMMRA to identify polycistronic miRNAs underlying breast cancer
145 molecular subtypes. For this study, two independent datasets were used, a first
146 paired miRNA/mRNA expression dataset from a in-house cohort of 129 breast
147 carcinoma tumour samples (which we refer to as Curie dataset^{35,36} and a second
148 dataset from The Cancer Genome Atlas project composed of 397 samples³⁷. In both
149 datasets, individual samples were assigned to four subtypes (luminal A, luminal B,
150 HER2+ and triple negative) based on the immunohistochemical staining of estrogen
151 (ER), progesterone (PR) and HER-2 (ERBB2) receptors.

152

153 **2.2.1 ClustMMRA application to Curie and TCGA datasets**

154 Expression data required for running ClustMMRA were pre-processed as described
155 in Methods and the signatures for breast cancer subtypes were defined using the
156 approach proposed in³⁸ (see Methods). We applied the ClustMMRA pipeline on
157 Curie and TCGA datasets separately. In the first step, genomically co-clustered
158 miRNAs having a subtype-specific expression were identified. In this step, 28 and 47
159 out of 131 analyzed clustered miRNAs were selected for Curie and TCGA datasets,
160 respectively (see Supplementary Table S1). Of these, 18 clusters were in common
161 between the two datasets (p -value $<7e-04$), revealing a significantly concordant
162 expression pattern of co-clustered miRNAs. Among these co-clustered and co-
163 expressed miRNAs, some are differentially expressed in multiple subtypes (18 and
164 37 clusters for Curie and TCGA respectively), with 15 out of 18 and 21 out of 37
165 differentially expressed in basal-like and luminal A with opposite sign.

166 In step (ii), 10 out of 28 (Curie) and 16 out of 47 (TCGA) subtype-specific miRNA
167 clusters were found to have their predicted targets enriched in genes belonging to
168 the corresponding gene signature. The output of step (ii) (see Supplementary Table
169 S2) has an intersection of 7 elements between the two datasets (p -value $<1e-05$). In
170 the step (iii) of ClustMMRA, a *regulon* for each miRNA cluster selected in step (ii)
171 was constructed. The *regulons* were tested for enrichment in gene signature. 7 out of
172 10 and 9 out of 16 clusters passed this last selection step in Curie and TCGA
173 datasets, respectively. These clusters constitute the final output of ClustMMRA and
174 are reported in Table 1. After this last step, the output in common between the two

175 datasets contains 5 clusters (p -value $< 8e-06$). The significant overlap between
 176 results obtained from the analysis of two independent datasets with ClustMMRA
 177 supports the reliability of this approach. Notably, the results have an intersection with
 178 increasing statistical significance at each step of the pipeline. This trend confirms the
 179 accuracy of the proposed pipeline in selecting candidate clusters underlying cancer
 180 subtypes.

181 Some results obtained with ClustMMRA in the breast cancer study have already
 182 been validated in the literature. MiR-493/136 and miR-379/656 clusters in the
 183 chromosomal region 14q32 have been reported as tumor suppressors in different
 184 types of human cancer^{39–41}, including breast cancer⁴². Silencing of multiple miRNAs
 185 encoded in these clusters was shown to increase the proliferation and invasion of
 186 ovarian⁴³, melanoma⁴⁴ or oral squamous carcinoma³⁹ cells. The X-chromosome-
 187 located miR-532/502 cluster has been previously associated to cancer. In particular,
 188 this was found up-regulated in triple-negative breast cancer cells⁴⁵ and the regulatory
 189 circuit miR-502/H4K20 methyltransferase SET8 was described as a key regulator of
 190 breast cancer pathobiology⁴⁶.

191

192 **Table 1. Clusters of miRNAs identified by ClustMMRA in breast cancer TCGA**
 193 **and/or Curie datasets.**

Cluster of miRNAs	Chromosome position	Number of deregulated miRNAs in the cluster	Cluster expression in subtypes	Gene signature expression in subtypes	Dataset results
miR-199a/214	Chr1	3	Down in Basal-like	Up in Basal-like	Curie and TCGA
miR-493/136	Chr14	8	Down in Basal-like	Up in Basal-like	Curie and TCGA
miR-379/656	Chr14	42	Down in Basal-like	Up in Basal-like	Curie and TCGA
miR-512/373	Chr19	46	Up in Basal-like	Up in Basal-like	Curie and TCGA
miR-532/502	ChrX	8	Up in Basal-like	Down in Basal-like	Curie and TCGA
miR-449a/449c	Chr5	3	Down in Basal-like	Down in Basal-like	TCGA
miR-653/489	Chr7	2	Down in Basal-like	Down in Basal-like	TCGA
miR-548aa/548d	Chr8	2	Up in Basal-like	Down in Basal-like	TCGA
miR-421/374c	ChrX	3	Up in Basal-like	Up Basal-like	TCGA
miR-99a/let-7c	Chr21	2	Down in Basal-like	Up Basal-like	Curie
miR-450b/424	ChrX	6	Down in Basal-like	Up Basal-like	Curie

194

195 **2.2.2 Comparison of ClustMMRA with the pipeline for the identification of**
196 **single master miRNA regulators (MMRA)**

197 We compared the results of ClustMMRA in the breast cancer study with those
198 obtained by applying to the same dataset the MMRA pipeline for the identification of
199 single master miRNA regulators. The goal is to investigate if the regulatory effect of a
200 cluster can be detected by studying the effect of individual miRNAs belonging to the
201 same cluster.

202 We applied MMRA to the Curie dataset, using in each step the same thresholds
203 employed for ClustMMRA. If at least two miRNAs of a given cluster are included in
204 the output of MMRA, we consider this cluster as detected in the single-miRNA
205 pipeline. Interestingly, 4 out of 7 clusters detected by ClustMMRA (miR-199a/214,
206 miR-493/136, miR-512/373 and miR-450b/424) were not detected by MMRA.

207 This difference between the output of the two pipelines is given by the target
208 enrichment analysis in step (ii) and the network analysis in step (iii). In fact, the 4
209 clusters missing in the final output of MMRA are included in the output of step (i),
210 since they have at least 2 differentially expressed miRNA genes. They are filtered
211 out in step (ii) since no miRNA gene in these clusters, when analyzed individually,
212 reaches a significant enrichment of signatures genes in its targets for a certain
213 subtype. This observation supports the hypothesis that co-clustered miRNAs
214 participate in regulating the gene expression signature of a given cancer subtype
215 without necessarily having a high overlap in terms of common target genes.

216

217 **2.2.3 Prioritization of miRNA clusters for functional validation in cell lines**

218 Before experimental validation of the ClustMMRA output, prioritization of results was
219 performed. We considered the 5 clusters identified both in TCGA and Curie datasets.
220 For the *regulons* associated to each cluster, the nodes present in both TCGA and
221 Curie datasets were kept, obtaining a network for each *regulon* with size of about
222 100 nodes. Then, biological processes and pathways associated to these *regulons*
223 were identified through Fisher's exact enrichment test, using MSigDB ⁴⁷ as reference
224 collection of signatures for pathways and biological functions. The complete list of
225 MSigDB pathways resulting from this analysis (FDR < 0.05) is reported in
226 Supplementary Table S3.

227 Overall, the network analysis shows a regulation of EMT, stemness and extracellular
228 matrix by clusters miR-493/136, miR-379/656 and miR-199a/214. Cluster miR-

229 532/502 is predicted to regulate proliferation and the cell cycle transition from G to M
230 phases. All the *regulons* have been found associated to breast cancer specific
231 signatures, with clusters miR-493/136, miR-379/656 and miR-199a/214 sharing 9 of
232 them

233 (“SCHUETZ_BREAST_CANCER_DUCTAL_INVASIVE_UP”, “FARMER_BREAST_C
234 ANCER_CLUSTER_4”, “TURASHVILI_BREAST_LOBULAR_CARCINOMA_VS_LOB
235 ULAR_NORMAL_DN”, “CHARAFE_BREAST_CANCER_LUMINAL_VS_MESENCHY
236 MAL_DN”, “LANDIS_BREAST_CANCER_PROGRESSION_DN”, “LANDIS_ERBB2_B
237 REAST_TUMORS_324_DN”, “LIEN_BREAST_CARCINOMA_METAPLASTIC”, “TUR
238 ASHVILI_BREAST_DUCTAL_CARCINOMA_VS_DUCTAL_NORMAL_UP”, “TURAS
239 HVILI_BREAST_LOBULAR_CARCINOMA_VS_DUCTAL_NORMAL_UP”, “TURASH
240 VILI_BREAST_LOBULAR_CARCINOMA_VS_LOBULAR_NORMAL_DN”). Invasive
241 and mesenchymal state signatures confirm the association of these clusters to the
242 basal-like subtype. Other general processes were found enriched in the regulons of
243 these clusters: EMT (including the
244 “HALLMARK_EPITHELIAL_MESENCHYMAL_TRANSITION” signature and multiple
245 GO terms related to the extracellular matrix), stemness
246 (“BOQUEST_STEM_CELL_UP”, “LIM_MAMMARY_STEM_CELL_UP”, “IZADPANA
247 H_STEM_CELL_ADIPOSE_VS_BONE_DN” signatures), cell cycle
248 (“IGLESIAS_E2F_TARGETS_UP”) and angiogenesis
249 (“GO_VASCULATURE_DEVELOPMENT”, “GO_CIRCULATORY_SYSTEM_DEVEL
250 OPMENT”). Finally, the regulon of cluster miR-532/502 was found enriched in some
251 breast cancer specific signatures clearly linking it to the basal-like subtype
252 (“SOTIRIOU_BREAST_CANCER_GRADE_1_VS_3_UP”, “FARMER_BREAST_CAN
253 CER_BASAL_VS_LULMINAL” and “POOLA_INVASIVE_BREAST_CANCER_UP”).
254 Also, it was observed to be strongly associated to proliferation signatures (e.g.
255 “ZHOU_CELL_CYCLE_GENES_IN_IR_RESPONSE_24HR”, “GO_MITOTIC_NUCL
256 EAR_DIVISION”, “GO_MITOTIC_CELL_CYCLE”, “GO_CHROMOSOME_SEGREGA
257 TION”, “GO_CELL_DIVISION”, “GO_CELL_CYCLE_PROCESS”, “CHANG_CYCLING
258 _GENES”).

259 We focused on EMT regulation by miR-199a/214 as an interesting phenotype to
260 validate in basal-like subtype. MiR-199a/214 is the smallest cluster that controls
261 EMT, in terms of miRNA genes. Considering the technical difficulty in producing the

262 over-expression of multiple miRNAs in cell lines, this was chosen as the best
263 candidate to study the combinatorial regulation by co-clustered miRNAs.

264 **2.2.4 MiR-199a/miR-214 cluster is underexpressed in TNBC cells**

265 Human miR-199a/miR-214 cluster is encoded by a large non-coding RNA on
266 chromosome 1q24 which produces three mature miRNAs (hsa-miR-199a-5p, hsa-
267 miR-199a-3p and hsa-miR-214). First, we examined by quantitative RT-PCR the
268 expression of the individual mature miRNAs belonging to this cluster in T47D and
269 MDA-MB-231 cells, which are luminal A and TNBC cells respectively⁴⁸. Results show
270 that the three mature miRNAs encoded by the miR-199a/miR-214 cluster are
271 significantly underexpressed in MDA-MB-231 compared to T47D cells (Fig.3).

272 **2.2.5 Upregulation of miR-199a/miR-214 cluster decreases TNBC cell** 273 **proliferation**

274 To test whether the deregulation of miR-199a/miR-214 cluster was sufficient to
275 impact TNBC cells phenotype, MDA-MB-231 cells were treated with sense (S)
276 oligonucleotides encoding for all the three miRNAs of the cluster (miR-214, miR-
277 199a-5p, miR-199-3p) or scramble negative controls. We checked the
278 overexpression of each miRNA of the cluster after transfection by RT-PCR analysis,
279 shown in Fig.4A-C. After confirming the upregulation of single miRNA or all three
280 miRNAs of the cluster in MDA-MB-231, we analyzed the effect of miRNA
281 overexpression on proliferation: individual miRNAs, except miR-199a-3p, and entire
282 miR-199a/miR-214 cluster overexpression reduce the MDA-MB-231 cell number
283 compared to scramble or untreated control (Fig. 5).

284 **2.2.6 MiR-199a/miR-214 cluster silencing is associated with EMT-like and** 285 **invasive phenotype**

286 According to bioinformatic analysis, miR-199a/miR-214 cluster is predicted to
287 modulate EMT genes and cell invasion. To investigate if the expression of this
288 cluster affects the molecular profile of the cells, we analyzed the expression levels of
289 EMT-related genes upon upregulation of a single miRNA of the cluster or the whole
290 cluster through S oligonucleotide treatment. We observed a reduction of EMT marker
291 genes upon both individual miRNAs or entire miR-199a/miR-214 cluster
292 overexpression (Fig.6), as demonstrated by the increase expression of epithelial

293 markers E-cadherin and Beta-catenin and a decrease of the expression level of the
294 mesenchymal marker Slug.

295 Finally, we used an *in vitro* culture system developed to assess mammary cell
296 propagation in non-adherent, non-differentiated culture conditions and their ability to
297 form discrete clusters of cells termed mammospheres⁴⁹. The ability of the cells to
298 form mammosphere could be considered also a marker of the stemness of the cell
299 population⁴⁹. The formation of such spheroids increases with EMT induction (PMID:
300 18485877). Our experiments on MDA-MB-231 cells show that the expression of miR-
301 199a/miR-214 cluster is sufficient to compromise mammosphere formation efficiency
302 (Fig.7). In fact, when we overexpressed either miR-214 or miR-199a-5p or miR-
303 199a-3p and the three miRNAs together, we observed a decrease efficacy in
304 mammosphere formation in respect to untreated cells.

305

306 **3. Discussion**

307 Over the last two decades there has been an explosion of research focused on
308 miRNAs involvement in cancer initiation and progression, pointing out the potential of
309 these small RNAs as biomarkers for diagnosis, prognosis and response to treatment.
310 However, the majority of computational and experimental approaches for the
311 identification of master miRNA regulators involved in cancer onset and subtyping are
312 typically designed to detect the regulatory effect of a single miRNA. This can be a
313 limitation in identifying regulation by multiple miRNA species acting cooperatively on
314 cellular pathways and pathological changes.

315 The computational pipeline here described, ClustMMRA, was specifically designed
316 to search for genomically clustered miRNAs potentially driving cancer subtyping.
317 ClustMMRA provides a computational framework to systematically investigate
318 polycistronic miRNA transcripts involved in cancer subtyping or possibly in other
319 biological contexts. In practice, the use of ClustMMRA can be generalized in order to
320 study other classes of cooperatively acting miRNAs than the case of genomic
321 clusters, such as co-expressed miRNAs from different genomic locations.

322 In our study, ClustMMRA was applied to search for oncogenic / tumour suppressor
323 polycistronic miRNAs driving breast cancer subtypes, pointing out five novel miRNA
324 clusters whose regulatory effect is potentially associated to the triple negative
325 subtype phenotype. Among them, the miR-199/miR-214 is identified as acting on
326 EMT in TNBC subtype. Our computational and experimental validation of the

327 regulatory effect of miR-199/miR-214 show that the down-regulation of this genomic
328 cluster is associated to appearance of EMT-like phenotype in the TNBC cells. The
329 upregulation of individual miRNAs belonging to the cluster or the entire cluster
330 decreases the expression of a marker of mesenchymal phenotype (i.e., Slug) and
331 increases the expression of epithelial markers (E-cadherin and Beta-catenin). These
332 changes towards an epithelial phenotype, obtained by overexpression on miR-
333 199/miR-214 cluster, diminished the capability of the stem population of MDA-MB-
334 231 lineage of forming mammospheres in suspension. The presence of cancer stem
335 cells has been linked to poor cancer patient survival, as those tumors with a high
336 percentage of cancer stem cells are capable of migrating, invading and colonizing
337 surrounding tissues, surviving in suspension, and creating a secondary tumor⁵⁰. Our
338 results suggest that this cluster of miRNAs is possibly involved in the maintenance of
339 more aggressive phenotype of breast cancer, by controlling the stemness of the
340 population, regulating EMT target genes, and cell proliferation. Finally, our study
341 supports a the hypothesis of miRNA cooperativity from a polycistronic transcript as a
342 possible mechanism of jointly targetting to act on molecular pathways involved in
343 cancer malignancy and subtyping. More accurate measurements and quantitative
344 study might improve the understanding of this cooperative effects.

345

346 **4. Methods**

347 **4.1 MiRNA cluster annotation**

348 The genomic locations of miRNAs were retrieved from miRBase v18⁵¹. Similar to
349 previous studies^{52,53}, co-clustered miRNAs are defined as miRNA genes located
350 within 10 Kb of distance on the same chromosome and in the same strand.

351

352 **4.2 Datasets preprocessing**

353 Breast cancer (BRCA) RNA-seq and miRNA-seq Level 3 expression profiles were
354 downloaded from The Cancer Genome Atlas (TCGA) in January 2016. Only those
355 primary tumors profiled for both mRNA and miRNA expression were included in the
356 analysis, obtaining a total of 397 samples. Two expression matrices (one for mRNAs
357 and the second for miRNAs) were normalized obtaining the paired mRNA/miRNA
358 expression dataset here referred to as TCGA. The Curie dataset was generated with
359 microarray technologies (Agilent miRNA microarray kit V3 for miRNAs and Affymetrix
360 U133plus2 for mRNA) and pre-processed following the procedure described in⁵⁴.

361 **4.2 Definition of a gene signature for each breast cancer subtype**

362 The ClustMMRA pipeline requires as input a gene signature for each disease
363 subtype. Available signatures for breast cancer subtypes, such as the PAM50⁵⁵,
364 were not applicable here due to their limited size in terms of number of genes. We
365 thus defined the signatures for our breast cancer study using the approach proposed
366 in³⁸. The Curie dataset was used for signature construction, while the TCGA dataset
367 was employed for signature validation. Differential gene expression for each subtype
368 vs. all the other samples was computed by Student's t-test and log fold change cutoff
369 (t-test adjusted p-value < 0.05 and absolute(log fold change) > 0.5). Moreover, to
370 increase the predictive power of the constructed signatures, those genes associated
371 to more than one class according to the previous criteria, or having a difference
372 between the first and second highest absolute(log fold changes) lower than 0.2 were
373 discarded. The choice of thresholds was optimized to maximize the gene association
374 to a unique subtype and the number of genes included in each signature (on
375 average 117 genes per signature). For each subtype, two separated signatures were
376 defined ("down" and "up"), based on the sign of the expression change of their
377 genes. The signatures constructed in this way are available in Supplementary Table
378 S4. The reliability of these signatures were tested in two ways. First, their
379 classification performances were validated on TCGA data. We classified the TCGA
380 samples using our signatures with the Nearest Template Prediction (NTP) method⁵⁶,
381 as done in^{57,58}. Only 44 out of 397 (11%) samples resulted to be misclassified. Then,
382 the significance of the intersection between our signatures and publicly available
383 ones was evaluated by a Fisher's exact test. The signatures used for this test were
384 obtained from MSigDB⁴⁷ plus a specific one derived from⁵⁹. The proliferation
385 signatures were added to test the basal-like subtype, known to be associated to a
386 strong proliferative signal. Highly significant p-values were obtained for the
387 intersection between our newly defined signatures and previously published ones for
388 the same breast cancer subtypes. The above results confirm the classification
389 performances and reliability of the breast cancer signatures here constructed.

390

391 **4.3 Cell culture and miRNA modulation**

392 For *in vitro* studies, we used two human BC epithelial cell lines: T47D and MDA-MB-
393 231 cells (ICLC-Biologic Bank and Cell Factory, Italy). These cell lines were chosen
394 as they represent a model of luminal A and TNBC cell lines, respectively⁴⁸. Following

395 the manufacturer's recommendation, we maintained the cell lines within a humidified
396 atmosphere containing 5% CO₂ at 37 °C in DMEM (for T47D cell line) or advanced
397 DMEM (for MDA-MB-231 cell line) cell culture medium (Gibco, Life Technologies),
398 with 10% fetal bovine serum (FBS), 1% penicillin-streptomycin, 2mM glutamine (all
399 from Lonza, Euroclone). Dulbecco Phosphate-Buffered Saline (D-PBS), trypsin, and
400 all the media additives were obtained by Lonza (Euroclone).

401 The sense (S) oligonucleotide sequence of each miRNA of the cluster has been
402 designed following the sequences indicated in miRbase database⁵¹. S
403 oligonucleotides were purchased from Sigma.

404 To obtain the upregulation of each miRNA, S oligonucleotides, resuspended in
405 water, were added three times a day for 3 days directly to the culture medium of the
406 cells (<50% confluency) at a final concentration of 100nM/day⁶⁰. The cells were
407 collected 24,48 or 72h of treatment and different assays were performed
408 (proliferation, mammosphere formation and real time-PCR analysis of miRNAs and
409 EMT genes).

410

411 **4.3 Proliferation assay**

412 Tumor cell proliferation was assessed by following the protocol described in⁶¹.
413 Briefly, cells were seeded at a confluency of 80000 cells/w in 24 well plates. The
414 cells were added daily with 100nM final concentration of S miR-214, -199a-3p, -
415 199a-5p. The cells were collected and counted at 24,48 or 72h of treatment. A
416 graphic representation of the cell counts was obtained by plotting the number of the
417 total cells at each time point. Experiments were performed three times in triplicate (n
418 = 9).

419

420 **4.5 Mammospheres preparation**

421 After miRNA treatment cells were collected and seeded in non adherent plastic
422 plates (100 cells/ml) in DMEM:F12 (1:1) added with 1% penicillin-streptomycin, 2mM
423 glutamine, 1% HEPES, 10ng/ml bFGF, 20ng/ml B27, 20ng/ml EGF, as described in⁶².
424 Pictures were taken after 10 days of culture in suspension.

425

426 **4.6 RNA isolation, reverse transcription and RT-PCR analysis**

427 Total RNA was isolated using TRIzol reagent (Life Technologies) following the
428 manufacturer's recommendations. To obtain cDNA from total RNA for gene

429 expression analysis, two micrograms of total RNA were reverse transcribed using
430 oligo dT primers in combination with High Capacity cDNA Reverse Transcription kit
431 (Applied Biosystem), following the manufacturer's protocol.

432 For miRNA analysis, one microgram of total RNA was reverse transcribed using
433 MystiCq microRNA cDNA synthesis kit (Sigma), following the manufacturer's
434 protocol, in order to reverse transcribe polyA-tailed miRNA into cDNA.

435 RT-PCR analysis was performed using Power Up Sybr Green Master mix (Applied
436 Biosystem, Life Technologies) in an Eco RT-PCR machine (Illumina). All the primers
437 for human mRNA and miRNA amplification were home-made and are described
438 below (Table xx). miRNA amplification was performed using primers designed on the
439 mature miRNA sequence taken from miRbase v18⁵¹. HPRT and miR-103-3p were
440 used as an internal control for gene expression and miRNA profile analysis,
441 respectively. Primers used are reported in Supp FileXXX

442 The relative expression of miRNAs and genes was calculated for both T47D and
443 MDA-MB-231 cell lines with the $2^{(-\Delta\Delta C_T)}$ method⁶³. Experiments were performed three
444 times in triplicate ($n = 9$). A *t* test was calculated.

445

446 5. Figures

447 **Figure 1. Schematic representation of the Clustered microRNA Master**
448 **Regulator Analysis (ClustMMRA) workflow.** The schema reports the data required
449 as initial input, the four analytical steps with the respective outputs, and the final
450 output of the pipeline.

451

452 **Figure 2. Pathways controlled by the deregulated miRNA clusters.** A summary
453 of the main biological functions controlled by the different miRNA clusters is here
454 reported. Y-axis of the radarplot corresponds to the sum of the absolute log(p-value)
455 of all the pathways associated to a given function. A,B,C,D correspond to miR-
456 199a/214, miR-493/136, miR-379/656 and miR-532/502, respectively.

457

458 Fig.3 RT-PCR analysis of miRNA expression in T47D vs MDA-MB-231.

459 T47D (in white) and MDA-MB-231 (in grey) were analyzed for the expression of miR-
460 214 (A, p-value<0.011), miR-199a-5p (B, p-value<0.003) and miR-199a-3p (C, p-
461 value<0.03). $2^{-\Delta\Delta C_T}$ method was used for evaluating the expression level of each

462 miRNA. Average \pm sd of three independent experiments for each cell line are shown.
463 T-test p-value<0.01(**), <0.05(*).

464

465 **Fig.4 Mirna modulation in MDA-MB-231 cells.**

466 MDA-MB-231 cells were treated for 48 hours with 100nM sense (S) oligonucleotide
467 encoding for miR-214, miR-199a-5p, miR-199a-3p or miRNA cluster, respectively.
468 The expression levels of miR-214 (A), miR-199a-5p (B) and miR-199a-3p (C) were
469 evaluated by RT-PCR analysis comparing miRNA-treated cells vs untreated cells.
470 Average \pm sd of three independent experiments for each cell line are shown. T-test p-
471 value<0.01(**), <0.05(*).

472

473 **Fig.5 In vitro analysis of miRNA modulation effect on MDA-MB-231 cells
474 proliferation.**

475 MDA-MB-231 cells were treated for 24,48,72 hours (h) with sense (S)
476 oligonucleotide encoding for miRNA cluster or single miRNA (miR-214, miR-199a-
477 5p, miR-199a-3p) or a scramble miRNA. The effect of miRNA modulation on cell
478 proliferation is shown. Average \pm sd of three independent experiments for each cell
479 line are shown. T-test p-value<0.001(***),<0.01(**), <0.05(*).

480

481 **Fig.6 Effect of miRNA modulation on EMT marker genes.**

482 MiRNA modulated MDA-MB-231 cells were used for RT-PCR analysis of EMT
483 marker genes. RT-PCR analysis shows the effect of single miRNA or miRNA cluster
484 modulation vs scramble oligonucleotide treated cells on E-cadherin (A), Beta-catenin
485 (B) and Slug (C). Average \pm sd of three independent experiments for each cell line are
486 shown. T-test p-value<0.01(**), <0.05(*).

487

488 **Fig.7 Effect of miRNA modulation on mammosphere (MM) formation ability.**

489 MiRNA-modulated MDA-MB-231 were used for MM assay. Pictures of miRNA
490 cluster-treated vs scramble oligonucleotide-treated cells were taken after 10 days of
491 MM formation.

492

493

494

495

496 **6. References**

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636

637 **7. Acknowledgments**

638 This work was partly supported by ITMO Cancer within the framework of the Plan
639 Cancer 2014–2019 and convention Biologie des Systèmes N°BIO2015–01 (M5
640 project).

641 **8. Authors' contribution**

642 Conceptualization, LC and LM; Data acquisition: LC, TD, GB and IC; Methodology,
643 LC, GB, CC, IC, MC and LM; Validation, GB, CC, IC; Resources, EB and IC;
644 Supervision, EB, IC and LM; Writing – Original Draft, LC, GB and LM; Writing –
645 Review & Editing, LC, GB, CC, IC, TD, MC, AZ, EB and LM.

646 **9. Supplementary files**

647 **Supp. File S1.** Supplementary Tables S1-S4

Initial input:

1. Paired microRNA/mRNA expression dataset
2. Classification of the samples into subtypes
3. Subtype-specific mRNA expression signatures

Procedure

Output

STEP 1:
Clustered microRNAs
differential expression
analysis

Clustered microRNAs with
subtype-specific
expression

STEP 2:
Clustered microRNAs
target enrichment analysis

Clustered microRNAs
whose predicted target
mRNAs are enriched in
subtype signature genes

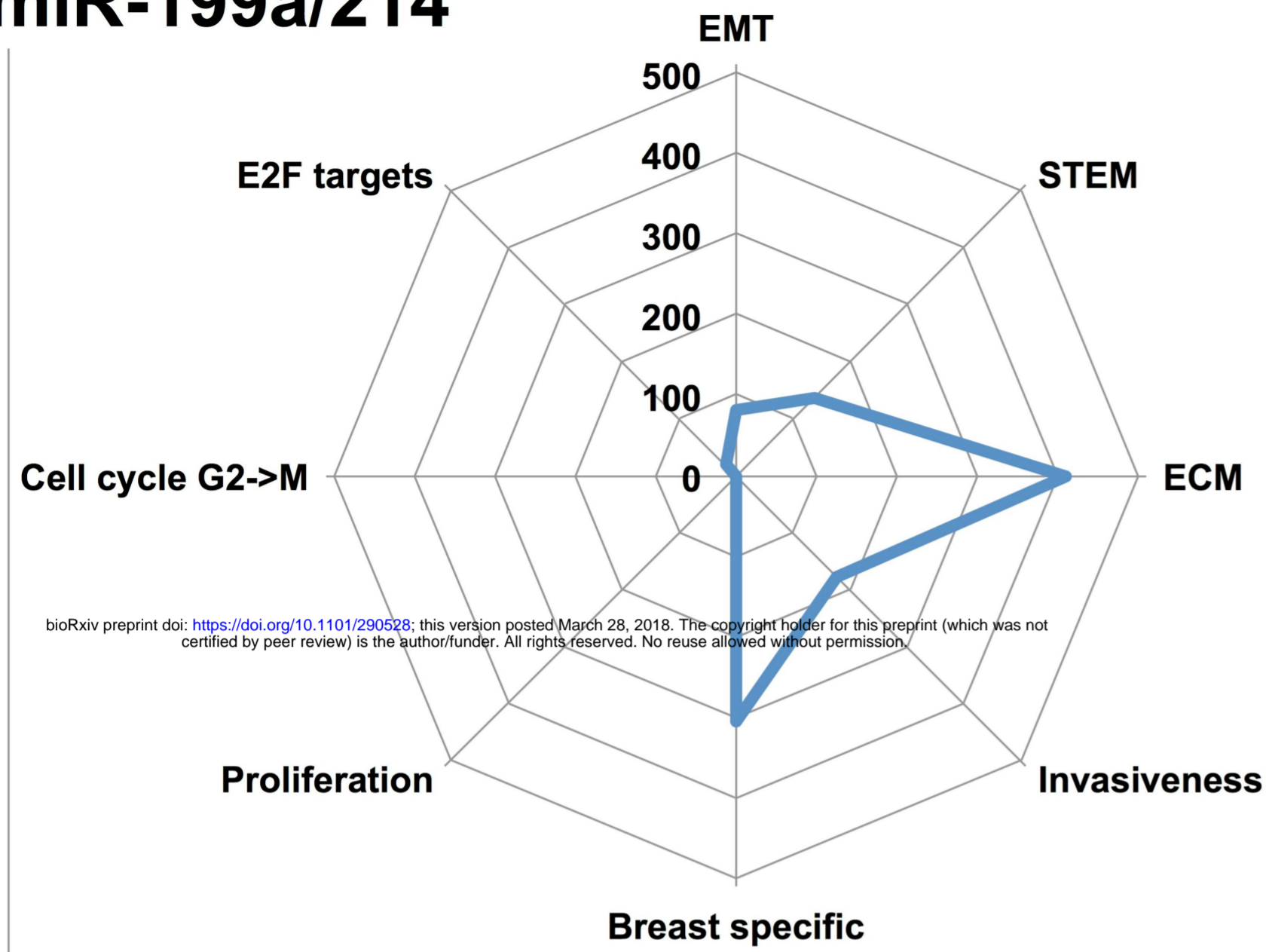
STEP 3:
network analysis

Clustered microRNAs
whose mRNA 'regulon' is
enriched in subtype
signature genes

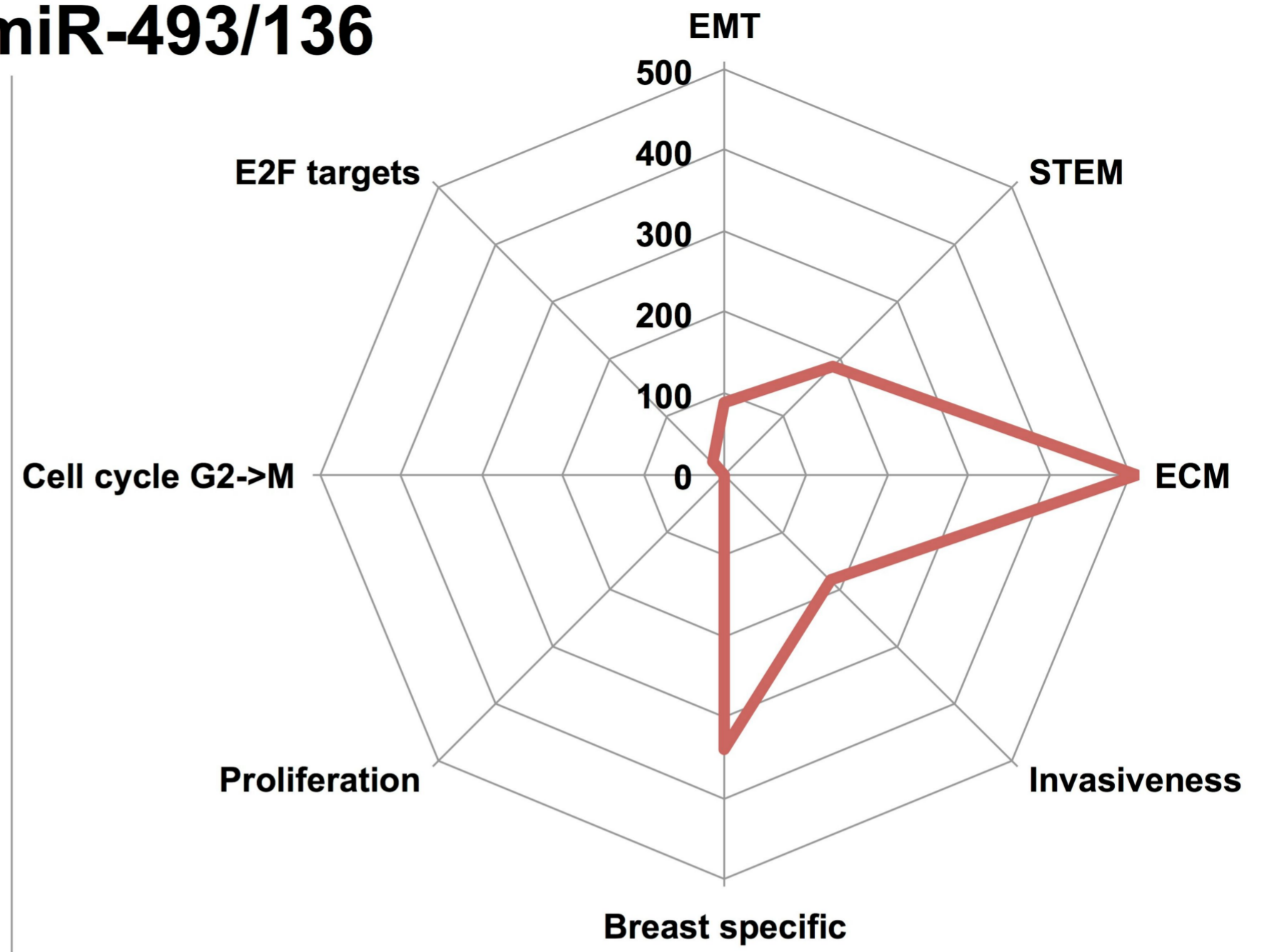
Final output:

Clustered microRNAs with subtype-specific expression
and providing a significant contribution to the
expression of subtype signature genes.

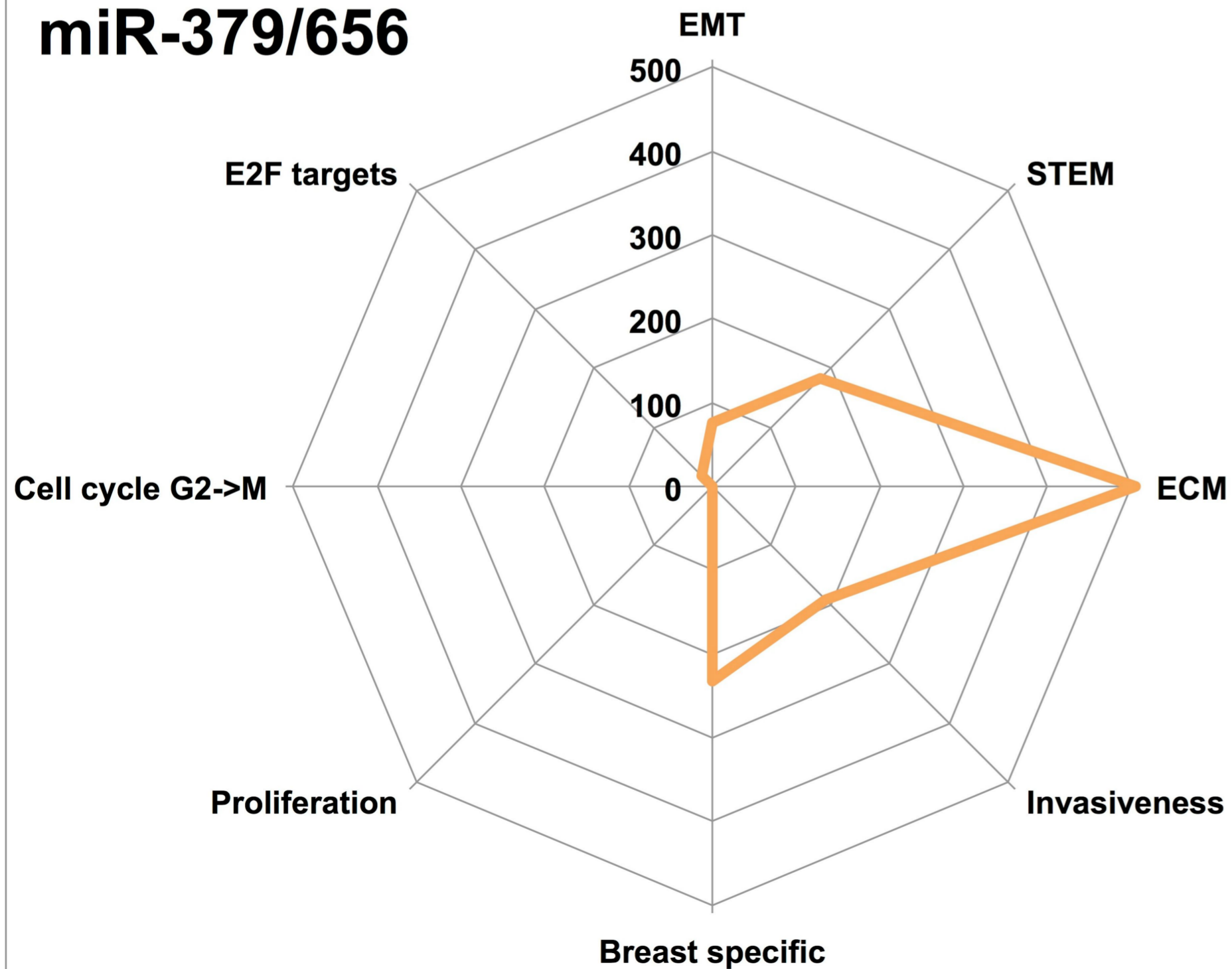
miR-199a/214



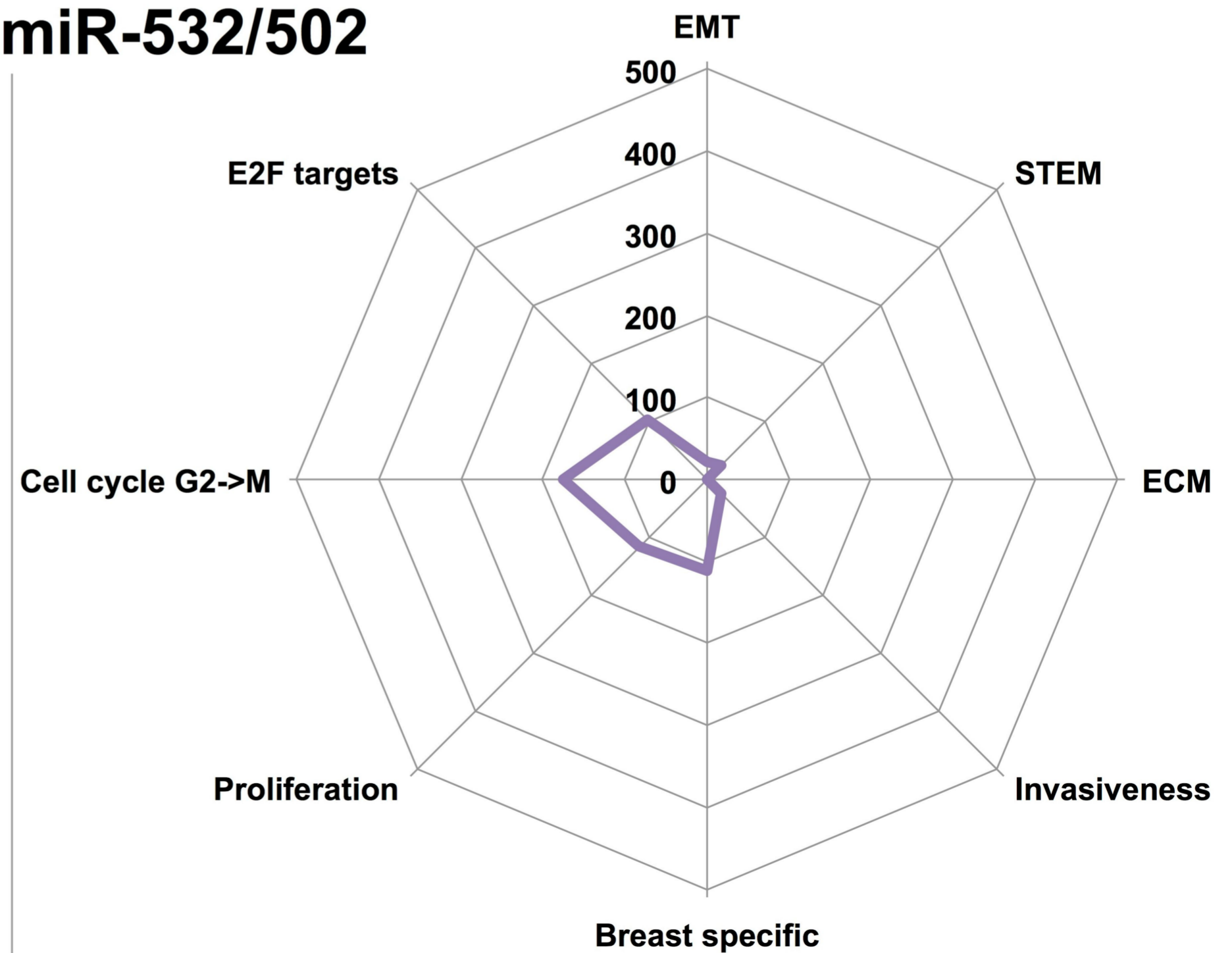
miR-493/136

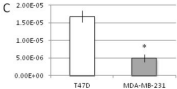
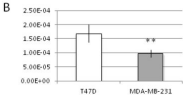
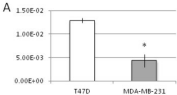


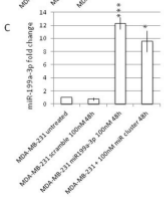
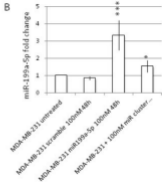
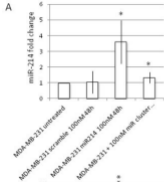
miR-379/656

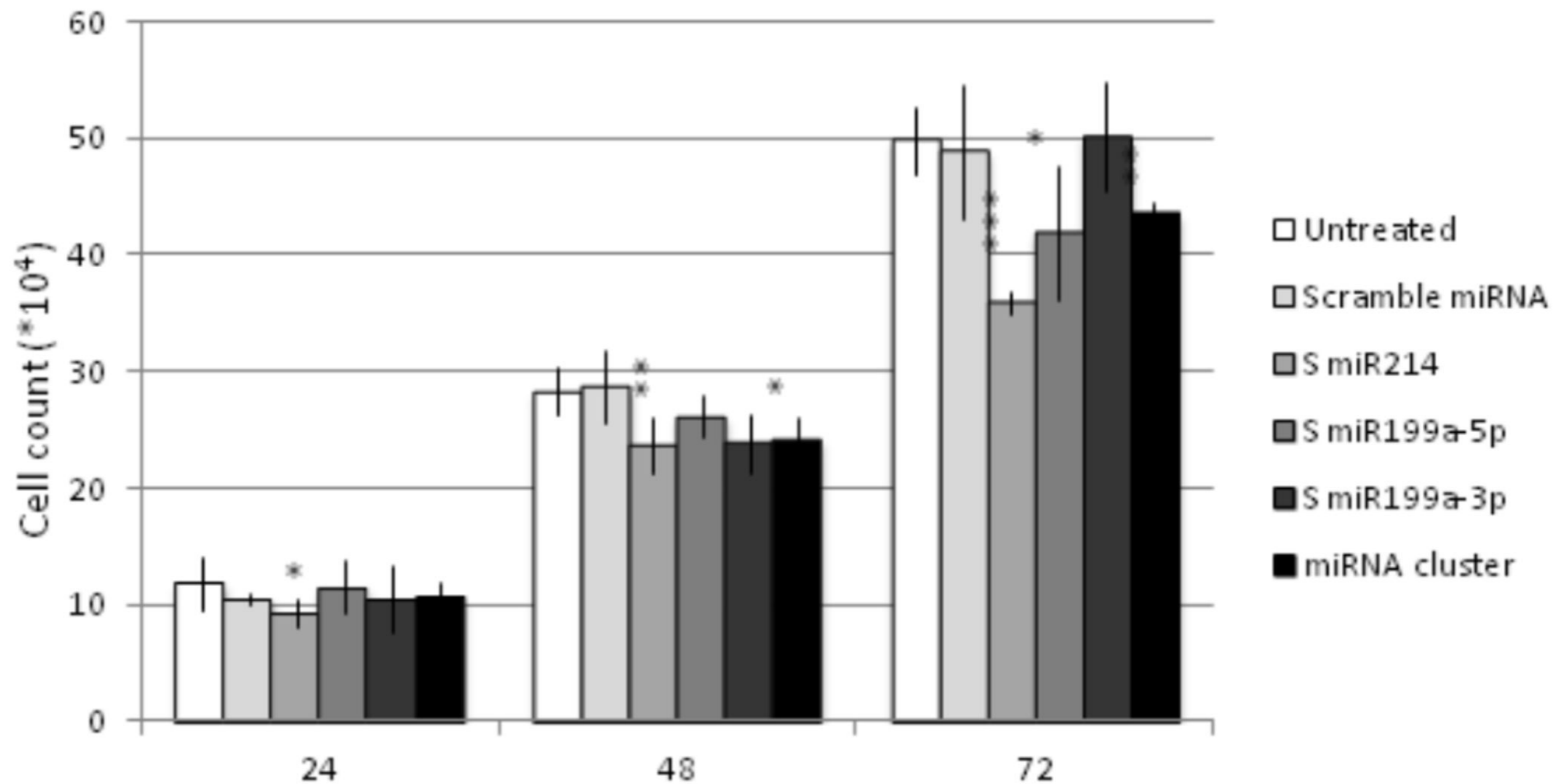


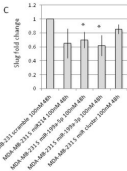
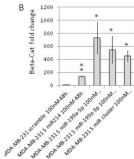
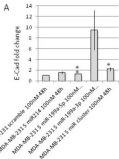
miR-532/502











Mammosphere from MDA-MB-231 (10 days)



Untreated



Scramble



5 miR214



5 miR199a-5p



5 miR199a-3p



miR cluster