1	Vibrio cholerae El Tor strains linked to global cholera are homogeneous by pulsed-field gel
2	electrophoresis
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## 26 Abstract

27 Vibrio cholerae O1 El Tor, causative agent of the ongoing seventh cholera pandemic, is native to the aquatic environment of the Ganges Delta, Bay of Bengal (GDBB). Recent studies traced 28 29 pandemic strains to the GDBB and proposed global spread of cholera had occurred via intercontinental transmission. In the research presented here, NotI-digested genomic DNA 30 extracted from V. cholerae O1 clinical and environmental strains isolated in Bangladesh during 31 2004 – 2014 was analyzed by pulsed-field gel electrophoresis (PFGE). Results of cluster analysis 32 showed 94.67% of the V. cholerae isolates belonged to clade A and included the majority of 33 clinical isolates of spatio-temporal origin and representing different cholera endemic foci. The rest 34 35 of the strains were estuarine, all environmental isolates from Mathbaria, Bangladesh, and occurred as singletons, clustered in clades B and C, or in the small clades D and E. Cluster analysis of the 36 Bangladeshi strains and including 157 El Tor strains from thirteen countries in Asia, Africa, and 37 the Americas revealed 85% of the total set of isolates belonged to clade A, indicating all were 38 related, yet did not form an homogeneous cluster. Overall, 15% of the global strains comprised 39 multiple small clades or segregated as singletons. Three sub-clades could be discerned within the 40 major clade A, reflecting distinct lineages of V. cholerae El Tor associated with cholera in Asia, 41 Africa, and the Americas. The presence in Asia and the Americas of non-pandemic V. cholerae 42 El Tor populations differing by PFGE and from strains associated with cholera globally suggests 43 different ecotypes are resident in distant geographies. 44

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46

# 47 Author Summary(words 154)

48 Cholera is a major health threat, especially in the Ganges Delta, Bay of Bengal (GDBB). Vibrio cholerae, causative agent of cholera, is native to the GDBB aquatic environment. Recent 49 genomic studies suggest GDBB is the cholera hotspot where the disease spreads globally via 50 51 human activity. Pulsed-field gel electrophoresis (PFGE) of *Not*I-digested genomic DNA from V. cholerae El Tor endemic cholera strains was done, including Bangladesh aquatic environment 52 and clinical strains from distant geographical regions representing three cholera-prone 53 continents. Results showed the majority of pandemic strains belonged to a major cluster, 54 suggesting clonal relatedness. Ecotypes were detected, indicating geographically specific 55 56 lineages. It is concluded that epidemic strains in Bangladesh and thirteen countries of Asia, Africa, and the Americas are geographically adapted, with independent evolution of the 57 bacterium in respective geographical regions. 58

59

# 60 Introduction

61 Cholera, with seven pandemics reported to date, represents a significant chapter in human history and infectious disease. The acute form of diarrhea caused by Vibrio cholerae is related to 62 63 production of a toxin that triggers the characteristic water loss and severe dehydration of cholera [1]. Cholera remains a threat in many countries, notably where access to safe drinking water is 64 limited. Bangladesh is a developing country where cholera is endemic, with two annual peaks in 65 some regions of the country [2]. The estimated global burden of cholera is 2.86 million cases and 66 95,000 deaths. In Bangladesh alone, approximately 100,000 million new cases are diagnosed each 67 year, resulting in 4,500 deaths [3]. V. cholerae strains are widely distributed globally in many 68

coastal, estuarine, and brackish water ecosystems as free-living bacterial cells or associated with
zooplankton, namely copepods [4-6]. Brackish waters in coastal areas support bacterial
populations, with environmental stimuli favorable for bacterial growth prompting cholera
outbreaks [5].

V. cholerae O1 is classified into two biotypes, classical and El Tor, based on genetic differences. 73 74 The seventh and ongoing pandemic is attributed to the El Tor biotype of V. cholerae O1 [8]. 75 Beginning in 1961 in Indonesia, the seventh pandemic of cholera included Africa in 1970, Latin America in 1991, and more recently Haiti and Yemen [9-12]. After the initial cases occurred, most 76 77 of these regions continued to suffer episodes of cholera. There is debate whether the recurrent outbreaks of cholera in Africa and the Americas are caused by distinct intercontinental introduction 78 79 or resurgence of indigenous clones. A few recent investigations investigated the genetic homogeneity of the 7<sup>th</sup> pandemic strains and connected both origin and recurrent transmission to 80 a single source, the Bay of Bengal [13]. However, the co-existence of several local lineages, along 81 with the pandemic clones and their regional evolution, has painted a very complex picture of 82 bacterial population dynamics, especially in and out of endemic settings [14]. Thus, monitoring 83 pandemic and local strains became a priority for some investigators, as a means of developing an 84 85 effective public health model and strategy for controlling the current pandemic and preventing future pandemics of cholera. 86

Whole genome typing methods, e.g. multi-locus sequence typing (MLST), multi-locus variant analysis (MLVA), ribotyping, random amplification of polymorphic DNA (RAPD), and pulsed field gel electrophoresis (PFGE), have been employed to differentiate isolates and monitor transmission routes [15-18]. PFGE is a DNA fingerprinting method that can discriminate bacterial strains. Before introduction of whole genome sequencing (WGS), epidemiological studies of 92 cholera relied on PFGE [18]. An earlier study highlighted the value of PFGE in revealing clonality
93 among isolates from two well-defined cholera outbreaks in Malaysia [19]. Intrinsic limitations
94 include restriction digestion being skewed by mobile elements, hence restricted value for
95 phylogeny. Although PFGE does not provide as high resolution as WGS, its stability and
96 reproducibility allow rudimentary, yet comprehensive, analysis of ancestry [18].

In the study reported here, the objective was to understand both regional diversity and global
distribution of *V. cholerae* El Tor representing seventh pandemic lineage. Therefore, strains in
Bangladesh isolated over a decade were compared with strains from thirteen countries across Asia,
Africa, and the Americas. Both environmental and clinical isolates were included since the local
environment can influence strains, persisting and becoming epidemic, as well as providing access
to autochthonous strains of *V. cholerae* El Tor.

103

# 104 **Results**

The *Not*I restriction enzyme digested genomic DNA of the test strains into 20 to 23 fragments
and the molecular sizes of the DNA fragments ranged from 20 to 350 kb. Digested genomic
DNAs of different spatiotemporal origin and resulting different biotype categorizations were
subjected to PFGE. The resulting band patterns were analyzed by Dice similarity coefficient and
UPGMA clustering methods to determine genetic and ancestral relatedness.

# 110 Local diversity and distribution of the Bangladesh isolates

111 In a dendogram obtained by UPGMA analysis of DNA band patterns, the Bangladesh isolates

112 comprised five different clades, A, B, C, D, and E (Figs 1 and 2). Of the 169 isolates, 160

clustered in clade A, suggesting a single lineage. A single clinical isolate, collected in 1991 from 113 Matlab, clustered with clade A, a clade of predominantly clinical isolates from endemic sites, 114 including estuary villages in Bangladesh. While a few environmental isolates were found to 115 cluster in clade A, other environmental isolates collected in 2012 from Mathbaria exhibited 116 different PFGE patterns and did not join clade A (S1 Fig). Isolates with different pulsotypes 117 118 included three singletons and a small clade [B(1); C(1); D(6); E(1)] (Fig 1). Singletons in clades B, C, and E were isolates from the aquatic environment. It should be noted that clade D included 119 isolates of both clinical and environmental origin. All clade D isolates possessed *rstR* classical 120 121 biotype, a characteristic limited to this clade (S1 Table). Most of the Chhatak isolates (27 of 31) had the same pulsotype closely related to V. cholerae isolates from Dhaka and Mathbaria (S1 122 Fig). 123

## 124 Global distribution of clones

125 When the PFGE banding patterns of V. cholerae O1 isolates from Bangladesh were compared with those of 157 isolates collected from thirteen countries across Asia, Africa, and Latin 126 America, the isolates could be differentiated into 16 clades; A through P (Fig 3). Clade A isolates 127 128 from Bangladesh clustered with 133 of the 293 strains, comprising a majority of isolates from 14 countries and three continents (Figs 3 and 4). Hence, a majority of the V. cholerae El Tor isolates 129 130 from different geographical regions revealed a similar PFGE pattern and fell into a major clade, 131 with country-specific sub-clustering, i.e., subclades within the major clade A. The sub clades of 132 A included V. cholerae El Tor strains from Vietnam (n=15), Zambia (n=9), Haiti (n=3), India 133 (n=2), Pakistan (n=3), Sri Lanka (n=1), and most of the strains from Zimbabwe (8 of 12) and Nepal (27 of 39), Fig 4. Three subclades within clade A reflected a broader spatial distinction 134 and 202 of 273 (74%) Asian and African isolates comprising subclade Ia and 60 of 273 (22%) in 135

136	subclade Ib. Latin American isolates (16 of 21) in clade A comprised subclade II. Interestingly,
137	the Latin American isolates were predominantly V. cholerae prototype ET (S1 Table). A few
138	isolates from Bangladesh, Thailand and one from Zimbabwe fell into sub-clade II (Fig 4).
139	Subclade II V. cholerae El Tor strains from Mexico, isolated during 1992 to 1999, were located
140	in a branch, separating them from isolates collected between 2004 and 2008. Three V. cholerae
141	El Tor strains isolated during the 2010 Haitian cholera outbreak comprised subclade Ia, with
142	Asian and African strains. Two V. cholerae O1 EL Tor strains isolated in Bangladesh during
143	2011 joined with V. cholerae ET strains from Peru, Brazil, and Mexico, based on PFGE, Figs 3
144	and 4.
145	Aside from clade A, the clade E isolates from Bangladesh shared PFGE pattern with an ET
146	isolate from Peru and two from Zimbabwe (Fig 5). As with the Bangladesh isolates, locally

restricted diversity was observed for isolates from Mexico, reflected by 11 distinct groups in
addition to clade A. Groups F-P comprised isolates from Mexico, notably those collected during
2000 to 2004 (Fig 4).

# 150 **Discussion**

In many epidemiological studies, pulsed-field gel electrophoresis (PFGE) is used to discern
source attributes of strains from different outbreaks. With the advent of whole genome
sequencing and comparative genomics, the once gold standard PFGE is less appreciated as a
DNA fingerprinting tool of epidemiological implication. In the study reported here, PFGE
analysis of *V. cholerae* O1 El Tor isolates associated with endemic cholera in Bangladesh and
thirteen countries of Asia, Africa and the America showed the strains to be related genetically,
but not homogenous globally. A majority of the strains comprised a major clade, with divergence

noted for non-pandemic and environmental isolates from the Bay of Bengal, Bangladesh and
strains from the Gulf of Mexico. According to a recent WGS-based study of a restricted subset of *V. cholerae* clones, those strains were responsible for epidemic cholera worldwide [20-21]. In
this study, PFGE was used to analyze clinical strains of *V. cholerae* from different geographical
locations and with different genetic and phenotypic characteristics.

163 V. cholerae El Tor biotype has dominated clinically over the classical biotype since 1961, the latter having last been isolated in Bangladesh in 1992 [22-23]. Observed co-existence of the two 164 biotypes for such a long time likely resulted in the hybrid characteristics of El Tor with classical 165 166 biotype attributes, as observed in Bangladesh [24-25]. In this study, the majority of V. cholerae El Tor isolates from clinical and environment sources comprised a major clade, suggesting 167 similarity of strains from both sources and associated with epidemics in Bangladesh. Some of the 168 environmental strains did not fall into clade A, suggesting those to be genetically divergent 169 pulsotypes present in a diverse population existing in the environment. Environmental V. 170 171 cholerae ET in our study, with a few exceptions, were similar to clinical isolates in clade A. Previous epidemiological surveillance conducted in the Bay of Bengal estuary has shown 172 pathogenic strains can be detected in aquatic habitats, either in the culturable or non-culturable 173 174 state, depending on the season [26].

A major genome-based study postulated the pandemic *V. cholerae* strain originated in Bay of Bengal villages of Asia and transmitted world-wide in three different waves [13]. It was concluded that *V. cholerae* O1 ET has the ability to travel inter-continentally and adapt to its place of introduction by sharing niches with existing microflora in coastal and estuarine regions, including the Gulf coast of Mexico [27]. Notwithstanding the fact that outbreaks occurring after introduction can be attributed to *V. cholerae* and the pathogen can be introduced repeatedly or

adapt locally, either is possible. Whole genome sequencing based studies linked epidemics in 181 Africa and the Americas to multiple introduction events, rather than preexisting pathotypes [8-9]. 182 The PFGE banding patterns observed for the majority of V. cholerae O1 included in this study 183 support an intercontinental transmission hypothesis [28], but only in a global context. The 184 observation of country-based subclades indicates an independent evolution of the pandemic 185 186 pathogen. Genetic changes were reported among initially homogeneous V. cholerae O1 ET initiating the Haitian cholera epidemic in 2010 [28]. In this context, V. cholerae ET strains 187 associated with the Haitian cholera in 2010 were observed to be closely related to isolates from 188 189 other Southeast Asian countries [28]. While cholera had not been reported in the Americas for more than a century before 1991, the 190 observed presence of V. cholerae classical biotype and diverse V. cholerae ET lineages in 191 Mexico was uncharacteristic for a region outside of Asia or Africa, suggesting a capricious 192 nature of the bacterium [29]. Most V. cholerae ET isolates in Mexico that diverged separately 193 from the pandemic clones lacked CTX phage [30] and were not related to the non-toxigenic 194 isolates from Thailand [31]. Previously, we had shown that CTX phage negative isolates 195 dominated clinical cases in Mexico during 2001-2004 [30] and studies posited the isolates to be 196 ancestors of the V. cholerae responsible for the sixth and seventh pandemics [29]. 197 While the observed relatedness of PFGE patterns of V. cholerae El Tor associated with cholera 198 epidemics in Asia, Africa, and the Americas supports the potential for global transmission of the 199 pandemic pathogen [13], the divergence of strains and their region-specific signatures also 200 support independent evolution of V. cholerae locally. Clearly the overall picture is complex and 201 202 warrants regular monitoring to assist designing effective intervention models to counter future

203 pandemics. In any case PFGE data, as presented in this study show this technology can be

204 effective for analysis and source-tracking of cholera outbreaks.

205

# 206 Materials and Methods

## 207 Geographical profile of isolates

A total of 169 strains were collected between 1991 and 2014 from four endemic sites in

Bangladesh: Mathbaria (n=99); Dhaka (n=38); Chhatak (n=31); and Matlab (n=1). Of these, 119

and 50 were of clinical and environmental origin, respectively. To investigate phylogenetic

relationships, an additional 157 strains (150 clinical and 7 environmental) were collected from 13

countries across Asia, Africa, and Latin America [Nepal 39, Thailand 32, Vietnam 15, Pakistan

3, India 2, Sri Lanka 1, Zambia 9, Zimbabwe 12, Mozambique 2, Mexico 34, Brazil 2, Peru 3,

and Haiti 3] (Table 1). All strains were confirmed as *V. cholerae* O1 El Tor biotype by culture,

and serotype and biotype specific genotype. Detailed information for the isolates is provided in

Tables 1 and 2, and S1 Table.

		Source	e	Serotype		
Country	No. of strains	Environmental	Clinical	Inaba	Ogawa	
Bangladesh	169	50	119	33	136	
Sri Lanka	1	-	1	-	1	
Pakistan	3	-	3	1	2	
India	2	-	2	2	-	
Nepal	39	6	33	-	39	
Vietnam	15	-	15	-	15	
Thailand	32	1	31	17	15	

#### 217 Table 1. Geographic source of *V. cholerae* El tor isolates included in this study.

Zambia	9	-	9	-	9
Zimbabwe	12	-	12	4	8
Mozambique	2	-	2	-	2
Mexico	34	-	34	17	17
Brazil	2	-	2	2	-
Haiti	3	-	3	-	3
Peru	3	_	3	-	3
	n= 326				

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218

## 219 Table 2. *V. cholerae* genotypes based on *ctxB*, *rstR*, *and tcpA* genes.

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Г

	ctxB			rstR				tcpA			
Countries		El			E1				E1		
	Classical	Tor	Negative	Classical	Tor	Both	Negative	Classical	Tor	Both	Negative
Bangladesh	167	2	-	7	162	-	-	-	169	-	-
Nepal	39	-	-	-	39	-	-	-	39	-	-
Thailand	27	-	5	9	18	-	5	-	32	-	-
India	2	-	-	-	2	-	-	-	2	-	-
Pakistan	3	-	-	-	3	-	-	-	3	-	-
Sri Lanka	1	-	-	-	1	-	-	-	1	-	-
Vietnam	15	-	-	-	15	-	-	-	15	-	-
Zambia	9	-	-	-	9	-	-	-	9	-	-
Mozambique	2	-	-	2	-	-	-	-	2	-	-
Zimbabwe	12	-	-	-	12	-	-	-	12	-	-
Mexico	5	16	13	-	16	5	13	1	26	4	3
Brazil	-	2	-	-	2	-	-	-	2	-	-
Peru	-	3	-	-	3	-	-	-	3	-	-
Haiti	3	-	-	_	3	-	-	-	3	-	-

# 221 Pulsed-field gel electrophoresis (PFGE)

Whole agarose-embedded genomic DNA was prepared from each isolate. PFGE was carried out 222 using a contour-clamped homogeneous electrical field (CHEF-DRII) apparatus (Bio-Rad), 223 following procedures described previously [32]. Conditions for separation were as follows: 2 to 224 225 10s for 13 h, followed by 20 to 25 s for 6 h. An electrical field of 6 V/cm was applied at an included field angle of 120°. Genomic DNA of the test strains was digested by *Not*I restriction 226 enzyme (Gibco-BRL, Gaithersburg, MD) and Salmonella enterica serovar Braenderup was 227 228 digested using XbaI, with fragments employed as molecular size markers. Restriction fragments were separated in 1% pulsed-field-certified agarose in 0.5X TBE (Tris-borate-EDTA) buffer. 229 Post-electrophoresis gel-treatment included gel staining and de-staining. DNA was visualized 230 using a UV transilluminator and images were digitized using a one-dimensional gel 231 documentation system (Bio-Rad). 232

## **Image analysis**

The fingerprint pattern in each gel was analyzed using a computer software package, Bionumeric (Applied Maths, Belgium). After background subtraction and gel normalization, the fingerprint patterns were typed according to banding similarity and dissimilarity, using the Dice similarity coefficient and unweighted-pair group method employing average linkage (UPGMA) clustering, as recommended by the manufacturer. The results were graphically represented as dendrograms.

239 **Institutional approval** 

All the experimental protocols were reviewed and approved by the Research Review Committee
(RRC), and Ethics Review Committee(ERC) of the International Centre for Diarrhoeal Disease

Research, Bangladesh (research grant: 1R01A139129-01 and protocol: PR-14017). All methods
were conducted in accordance with the guidelines of the RRC and ERC.

244

# 245 **Conflict of interest**

The research was conducted in the absence of any commercial or financial relationships thatcould be construed as a potential conflict of interest.

248

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260 Conceptualization and study design: FTJ, MA

261 Data curation: FTJ, SRB

262 Formal analysis: FTJ, SRB

263 Investigation: FTJ, SMR, MTI, SI, MS

- 264 Methodology: FTJ, SMR, MTI, SI, MA
- 265 Supervision: MA
- 266 Writing original draft: FTJ, SRB
- 267 Writing—review and editing: TA, AH, NRT, RRC, MA
- All authors contributed to the article and approved the submitted version

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360

# **361** Supporting information

- 362
- S1 Fig. Cluster analysis of isolates from Bangladesh. The area and year of isolation are shown
   in color codes.
- S1 Table. *Vibrio cholerae* El Tor strains included in this study with source and year of
   isolation.
- 367

## 368 Figure captions

## 369 Fig 1. Clonal diversity and geographical distribution of isolates from Bangladesh.

Isolates belonging to clade A were found in all four areas. Clade A contained both clinical and
environmental isolates. In addition to clade A, strains of other clades were found, but only in
Mathbaria.

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- Fig 2. PFGE analysis of the isolates collected from Bangladesh.
- A total of 169 strains were analyzed which resulted in 5 clades. Clade A contained 94.67% of the isolates. The rest 9 isolates which were only found in Mathbaria formed the other 4 groups (B, C, D and, E).
- 377 D 378

## 379 Fig 3. Comparison of band patterns between isolates from Bangladesh and other countries.

- 380 The isolates from Bangladesh were compared with 157 additional isolates collected from 13
- other countries. The resulting phylogenetic tree represents 16 groups. Clade A contained 89.88%
  of the total isolates including 160 isolates of Bangladesh.
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## Fig 4. Global phylogeny of isolates based on PFGE pattern.

- Countries are represented by color. 160 isolates of Bangladesh formed clade A, with 133 strains
- isolated from other countries. Three subclades were observed in clade A: Subclade Ia and Ib

- 387 contained strains mostly of African and Asian origin. Subclade II comprised predominantly Latin
- 388 American strains. Country subclusters were also observed.
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## 390 Fig 5. Global distribution of clones.

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# M, N, O, and P









