# The Listening Zone of Human Electrocorticographic Field Potential Recordings

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## 1 Abstract

2 Intracranial electroencephalographic (icEEG) recordings provide invaluable insights into neural dynamics in humans due to their unmatched spatiotemporal resolution. 3 4 Yet, such recordings reflect the combined activity of multiple underlying generators, 5 confounding the ability to resolve spatially distinct neural sources. To empirically quantify the listening zone of icEEG recordings, we computed the correlations 6 7 between signals as a function of distance (expressed as full width at half maximum; FWHM) between 8,752 recording sites in 71 patients implanted with either subdural 8 9 electrodes (SDE), stereo-encephalography electrodes (sEEG), or high-density sEEG 10 electrodes. As expected, for both SDE and sEEG electrodes, higher frequency signals 11 exhibited a sharper fall off relative to lower frequency signals. For broadband high gamma (BHG) activity, the mean FWHM of SDEs (6.6 ± 2.5 mm) and sEEGs in gray 12 13 matter (7.14 ± 1.7 mm) was not significantly different, however the FWHM for low frequencies recorded by sEEGs was 2.45 mm smaller than SDEs. White matter sEEG 14 15 electrodes showed much lower power for frequencies 17 to 200 Hz (q < 0.01) and a 16 much broader decay (11.3  $\pm$  3.2 mm) than gray matter electrodes (7.14  $\pm$  1.7 mm). 17 The use of a bipolar referencing scheme significantly lowered FWHM for sEEG 18 electrodes, as compared with a white matter reference or a common average 19 reference. These results outline the influence of array design, spectral bands, and 20 referencing schema on local field potential recordings and source localization in icEEG 21 recordings in humans. The metrics we derive have immediate relevance to the 22 analysis and interpretation of both cognitive and epileptic data.

#### 23 Introduction

24 Invasive neural recordings provide a unique window into human cognition. Over the last several decades, intracranial field potential recordings have yielded profound 25 insights into a variety of neural systems including speech production (Cogan et al. 26 27 2014; Pasley et al. 2012), auditory processing (Miller et al. 2021), language (Conner et al. 2019; Forseth et al. 2018), visual perception (Martin et al. 2019), motor control 28 29 (Salari et al. 2019), decision making (Bartoli et al. 2018), emotion (Guillory and Bujarski 2014), and memory (Derner et al. 2018; Foster et al. 2012). An arrav of 30 31 electrode designs and recording scales are now being implemented and ongoing 32 progress in neuroengineering is yielding rapid advances in electrode design. The gap between what recording scale is technologically possible and that which is optimal for 33 34 understanding the neurobiology of cognition, epilepsy or to provide inputs for brain machine interfaces, remains unknown (Marblestone et al. 2013; Pesaran et al. 2018). 35 Answers to these questions, especially the optimal form factor required to resolve 36 37 spatially distinct sources within the complex electric field landscape of the brain will 38 influence the design of newer recording interfaces (Cybulski et al. 2015).

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40 The uncertainty of reconstructing the spatial and temporal sources based on multielectrode field potentials - the inverse source problem (Herreras 2016; Pesaran et al. 41 42 2018) is a direct consequence of the imperfect resolution of recording electrodes and the source properties of the electric field landscape. While the complex geometry of 43 44 single neurons makes the precise modeling of even one neuron's activity in isolation difficult to model (Nunez and Srinivasan 2005), the field potential at any recording 45 46 electrode is an aggregate of quasi-synchronously active dipoles from a multitude of spatially distributed neural sources (Buzsáki et al. 2012; Łeski et al. 2013). Not all 47 neurons contribute to this electric field landscape at any given instant, and different 48 49 patterns of neural activity may generate similar field potential measures depending on the distance and the density of recording sites. The neural tissue that comprises this 50 electric field landscape is itself heterogenous, with conductivity and dielectric 51 52 constants that vary based on cell packing density and cortical location (Bingham et al. 53 2020; Howell and McIntyre 2016; Nunez and Srinivasan 2005).

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At the resolution currently provided by macroelectrodes used for human intracranial 55 electroencephalographic (icEEG) recordings, the measured field potential activity is 56 57 not a direct measure of the activity of local cell assemblies, but rather a larger-scale 58 measure of activity conducted through neural space. This volume conduction can lead 59 to linear relationships between simultaneously recorded signals at neighboring electrodes, and it is hard to disentangle whether high levels of correlated activity 60 61 between two electrodes are due to underlying neural dynamics (such as common input to both regions) or due to volume conduction of voltage from neighboring regions 62 (Kellis et al. 2016). To resolve this, we define and quantify volume conduction as the 63 instantaneous signal correlation at zero-time lag between electrode pairs, which 64 65 quantifies common activity due to volume conduction. The lower the instantaneous correlation between electrodes, the lower the signal redundancy of each electrode's 66

listening zone and the greater its uniqueness. Determining the optimal spacing and
location of electrodes to not only minimize signal redundancy, but to also capture
separable field potential recordings is a pivotal hurdle for understanding and optimizing
invasive field potential recordings in humans (Cybulski et al. 2015).

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To investigate the ability of multiple clinically used electrode types in resolving spatially distinct activity, we compared task-related cross-correlations in activity across subdural electrodes (SDE), stereo-electroencephalography electrodes (sEEG), and high-density sEEG (hdsEEG) electrodes in patients undergoing monitoring for the localization of medically intractable epilepsy. We analyzed the impact that referencing strategy, electrode location, and frequency components of the signal have on signal redundancy and the influence this could have on neural array design.

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## 80 Materials and Methods

81 <u>*Participants*</u>: 71 patients (33 female, 18-65 years) participated in this research after 82 providing written informed consent. All participants were semi-chronically implanted 83 with intracranial electrodes for the localization of pharmaco-resistant epilepsy. All 84 experimental procedures were reviewed and approved by the Committee for the 85 Protection of Human Subjects (CPHS) of the University of Texas Health Science 86 Center at Houston as Protocol Number HSC-MS-06-0385.

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88 Electrode Implantation and Data Recording: Data were acquired from either subdural grid electrodes (SDEs; 18 patients), stereotactically placed depth electrodes (sEEGs; 89 90 47 patients) or high-density depth electrodes (hdsEEGs; 6 patients) (Figure 1C,D). 91 SDEs were subdural platinum-iridium electrodes embedded in a silicone elastomer 92 sheet (PMT Corporation; top-hat design; 3mm diameter cortical contact), surgically 93 implanted via a craniotomy (Conner et al. 2011; Pieters et al. 2013; Tandon 2012; 94 Tong et al. 2020). sEEGs were implanted using a Robotic Surgical Assistant (ROSA; 95 Medtech, Montpellier, France) (Rollo et al. 2020; Tandon et al. 2019). Each sEEG 96 probe (PMT corporation, Chanhassen, Minnesota) was 0.8 mm in diameter and had 97 8-16 electrode contacts. For the standard sEEG electrodes, each contact was a 98 platinum-iridium cylinder, 2.0 mm in length and separated from the adjacent contact 99 by 1.5 - 2.43 mm. Each patient had 12 - 20 sEEG probes implanted. For hdsEEG 100 electrodes, contacts were 0.5 mm in length and separated from the adjacent contact 101 by 0.5 mm. Each patient had 1 - 4 hdsEEG probes implanted. Following surgical 102 implantation, electrodes were localized by co-registration of pre-operative anatomical 103 3T MRI and post-operative CT scans in AFNI (Cox 1996). Electrode positions were 104 projected onto a cortical surface model generated in FreeSurfer (Dale et al. 1999), and 105 displayed on the cortical surface model for visualization (Pieters et al. 2013). 106 Intracranial data were collected during research experiments starting on the first day 107 after electrode implantation for sEEGs and two days after implantation for SDEs. Data 108 were digitized at 2 kHz using the NeuroPort recording system (Blackrock 109 Microsystems, Salt Lake City, Utah), imported into Matlab, initially referenced to the 110 white matter electrode used as a reference for the clinical acquisition system and visually inspected for line noise, artifacts and epileptic activity. Electrodes with
 excessive line noise or localized to sites of seizure onset were excluded. Trials
 contaminated by inter-ictal epileptic spikes were discarded.

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115 Signal Analysis: Across all 75 patients, a total of 2,546 SDE, 8,493 sEEG, and 204 hdsEEG electrode contacts were implanted. Of these, 704 SDE, 1,736 sEEG, and 51 116 hdsEEG were excluded due to proximity to the seizure onset zone, frequent inter-ictal 117 epileptiform spikes or line noise. The remaining electrodes included were: 1,842 SDE, 118 119 6,757 sEEG, and 153 hdsEEG electrodes. Analyses were performed by bandpass filtering raw icEEG data from each electrode into 5 frequency bands (Theta, 4-8 Hz; 120 Alpha, 8-15 Hz; Beta, 15-30 Hz; Narrowband Gamma, 30-60 Hz; Broadband High 121 122 Gamma, 70-150 Hz). Following the removal of line noise (zero-phase 2<sup>nd</sup> order Butterworth band-stop filters), band-limited voltage traces were obtained (zero-phase 123 124 3<sup>rd</sup> order Butterworth bandpass filters).

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126 <u>*Referencing and Re-referencing strategy*</u>: During the recording session, a non-noisy 127 clinical hardware reference electrode located in white matter was used as the 128 reference electrode. For analysis, recordings were re-referenced using one of the 129 following schemes (Figure 1B):

- 130 *Common average reference (CAR):* Offline, raw data were visually inspected and 131 electrodes exhibiting electrical noise or epileptiform artifacts were excluded from the 132 common average. Neural data was then re-referenced to the average of all 133 remaining electrodes that were included in this CAR.
- Low-Power CAR: Broadband high gamma activity (70 150 Hz) was extracted for each time series (using the original clinical reference) using a frequency domain Hilbert transform and the percentage change in power was measured relative to a baseline time window of -500 to -100 ms before stimulus onset. If the percentage change in mean power was less than 20%, electrodes were included in the lowpower CAR signal averaging.
- White Matter referencing: We identified all sEEG and hdsEEG electrodes located in
   white matter, gray matter, and cerebrospinal fluid (CSF) based on their position
   relative to their FreeSurfer surfaces and included all white matter located electrodes.
- 143 *Bipolar referencing*: For the bipolar re-referencing, each electrode on the sEEG and 144 hdsEEG probes was re-referenced to its closest neighboring non-noisy electrode
- 145 located on the same probe. Electrodes on the end of the probe or whose nearest
- 146 neighboring electrode was noisy were excluded from the analysis.
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148 Experimental Design and Statistical Analyses:

*Experimental Task:* All patients participated in an auditory naming-to-definition task (Figure 1A) (Forseth et al. 2018), producing single word responses to an auditory presented definition. 70+ auditory stimuli (mean 87) were presented to each patient using stereo speakers (44.1 kHz, 15" MacBook Pro 2015) (Forseth et al. 2018). Stimuli

had an average duration of 1970 ± 360 ms, and an inter-stimulus interval of 5000 ms.

154 The time period of interest for this analysis was from 0 to 1000 ms following auditory 155 stimulus onset.

Full width at half-maximum (FWHM) measure: To compare correlation between 156 electrode pairs over distance, we calculated the full width at half maximum (FWHM) 157 correlation. We first identified all non-noisy pairs of electrodes that were less than 158 30mm from each other (in Euclidean distance). Pairwise Pearson's correlation was 159 calculated between the band-limited voltage traces for all electrode pairs for each trial 160 161 (Figure 1A). This correlation value was then averaged across all trials to return one 162 correlation value for each electrode pair and frequency range. A decay function was fit to the absolute values of the correlations within each individual patient (Figure 1E). 163 The decay function was defined as  $r = (1 - \beta)^d$ , where the correlation *r* decayed based 164 165 on the decay factor  $\beta$  and the distance d. The decay factor was optimized using a 166 least-squares fit. From this decay function, we extracted the distance at which the correlation equaled 0.5 - half the theoretical maximum correlation (half width at half 167 maximum; HWHM). The HWHM value was doubled to generate the FWHM value for 168 169 each condition (Figure 1E). For visualization purposes, the absolute values of these 170 correlations for each patient were binned based on Euclidean distance into 2.5mm 171 bins.

Validation on Simulated Data: Simulated timeseries data were created using the 172 173 neural digital signal processing toolbox (Cole et al. 2019). 100 unique power law timeseries were generated in each of the 5 previously described frequency bands of 174 175 interest with a power-law exponent of -2, a sampling frequency of 2,000 Hz, and a 176 simulation time of 1.5 seconds to account for the removal of filtering edge effects. The 177 Pearson's correlation coefficient was calculated between each pair of simulated signals to generate the actual correlation measurement. To calculate the 178 179 reconstructed correlation dataset, timeseries from each frequency range were first combined to generate a summed electric field signal. Analyses were performed by 180 bandpass filtering combined simulated data into the 5 previous described frequency 181 182 bands using identical methods to the main analysis. Signals were randomly re-paired to create 75 simulated trials, approximately matching experimental conditions 183 184 (Supplemental Figure 1).

Power Spectral Density (PSD) Analysis: Thomson's Slepian multitaper power
 spectral density (PSD) estimate of the signal was calculated. Significant differences
 between power in gray and white matter was calculated with Wilcoxon sign rank tests,
 corrected for multiple comparisons using a Benjamini-Hochberg false detection rate
 (FDR) threshold of q<0.01.</li>

*Linear Mixed Effects (LME) Modelling*: Linear mixed effects models were used to incorporate random and fixed-effects into a linear model. Fixed effects in our model were electrode type and frequency band. The random effect in our model was the participant. Electrode type was SDE, sEEG or hdsEEG. Data were assumed to be normal in distribution for statistical comparison.

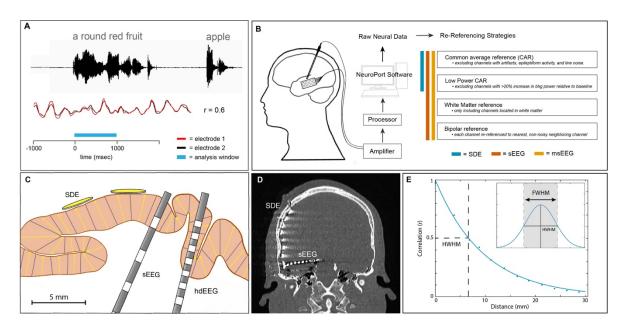
195 *Data Visualization using Raincloud plots:* Raincloud plots, incorporating raw data 196 points, probability density, and median, mean, confidence intervals, were utilized to visualize data (Allen et al. 2019). Reported values for each category are median ±
 interquartile range.

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## 200 Results

We utilized a correlation-based analysis to compute the falloff of cross-correlation as 201 202 a function of distance, between pairs of all non-noisy electrodes regardless of cortical location. We constrained our analysis to task-related neural data, based on prior 203 204 evidence that the spatial spread of correlated activity is lower during activity as 205 opposed to rest (Muller et al. 2016). Importantly, our analyses compare differences in FWHM across referencing conditions, thereby preserving inter-electrode distance as 206 a variable. By preserving inter-electrode distance in our FWHM measures, we 207 208 effectively compute a local reduction in correlation, rather than a global reduction, as 209 is captured in other distance-averaged correlation comparisons.

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213 Figure 1. Experimental Design. (A) Schematic representation of the auditory naming to definition task. 214 Colored bar indicates task-related analysis window (blue; 0 to 1000ms) during which cross-correlation 215 (r) is calculated between the waveforms of two exemplar neighboring electrodes (red and black; 216 exemplar traces). (B) Schematic representation of the neural data acquisition and re-referencing 217 strategies. (C) Schematic representation of the three electrode scales analyzed: subdural electrodes 218 (SDE) 3 mm diameter disc, stereo-electroencephalography (sEEG) electrodes 2-mm long ring, and 219 high-density sEEG (hdsEEG) electrodes 0.5-mm long ring. sEEG and hdsEEG contacts are depicted 220 in grey. Yellow arrows depict dipole orientation within pictured cortical gray matter. (D) Representative 221 computed tomography (CT) scan of a patient with concurrently implanted SDE and sEEG electrodes. 222 (E) Example of full width at half maximum (FWHM) calculation. The correlation coefficient was 223 measured using the raw voltage of every combination of electrode pairs within 30mm of each other, for 224 each frequency range. Correlation values were fit with an exponential decay function. Half width at half 225 maximum (HWHM) correlation was measured from this exponential decay function and doubled to 226 generate the FWHM value for each condition.

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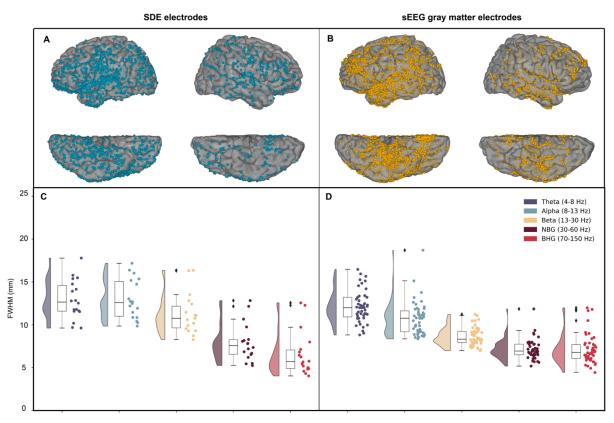
#### 230 Effect of electrode scale and signal frequency on listening zone

231 We first compared the decay function (indexed by the FWHM) for SDE vs sEEG electrodes in gray matter, to determine whether a subdural or intracortical location of 232 the icEEG electrode significantly influences the listening zone (Figure 2). To compare 233 234 differences in FWHM across frequency and electrode scale, we used a linear mixed effects (LME) model with fixed effects modeling frequency bands and electrode scale 235 (SDE or sEEG). This model explained a large proportion of the variance of FWHM 236 237 measures ( $r^2 = 0.65$ ). The electrode type had a significant effect on FWHM (t(321) = -238 4.5,  $\beta$  = -2.4, P < 0.001, 95% CI -3.5 to -1.4), which was 2.45 mm smaller for sEEG 239 electrodes than for SDE electrode pairs, when comparing across all frequency ranges. 240 The FWHM of the decay was smaller as frequency increased (LME:  $t(321) = -16.0 \beta$ 241 = -1.8, P < 0.001, 95% CI -2.0 to -1.6) and there was a significant interaction between frequency and electrode type (t(321) = 3.2,  $\beta$  = -0.42, P = 0.001, 95% CI 0.17 to 0.68) 242 243 indicating that the spatial extent of correlation is significantly dependent on frequency 244 and electrode scale.

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For BHG alone, electrode type did not have a significant effect on FWHM (t(34) = 1.42,  $\beta = 1.07$ , P = 0.17, 95% CI -0.5 to 2.6). The mean FWHM in BHG for SDE electrodes (6.6 ± 2.5 mm) was slightly lower than for gray matter located sEEG electrodes (7.14 ± 1.7 mm), however this difference was not significant.



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Figure 2. Across-electrode differences in correlation over distance. Coverage map of locations of SDE electrodes (**A**; 18 patients, 1,842 electrodes, 37,272 electrode pairs) and gray matter located sEEG electrodes (**B**; 47 patients, 2,916 electrodes, 47,522 electrode pairs). Average full width at half 255 maximum (FWHM) was calculated and plotted for each patient for SDE (**C**) and sEEG (**D**) electrode 256 pairs. Abbreviations: narrowband gamma (NBG), broadband high gamma (BHG).

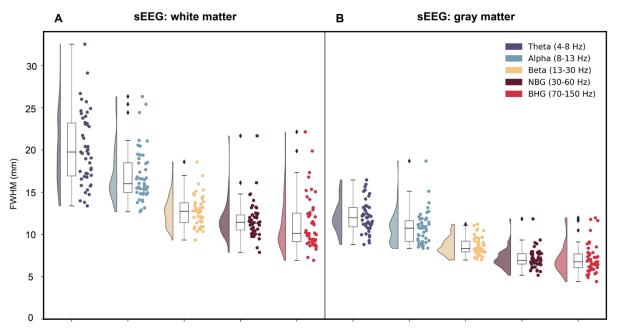
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## 258 Location dependence of sEEG electrode listening zone

259 SDEs sit on the cortical surface, proximal to local field generators, whereas many 260 individual sEEG electrodes are located within white matter, distant from the cortical 261 surface and measuring far field potentials. Thus, the physical location of sEEG electrodes could present potentially confounding correlation measures across 262 distance. An LME model with fixed effects modeling frequency and electrode location 263 (white matter or gray matter located sEEGs) explained a large proportion of the 264 variance in FWHM measures ( $r^2 = 0.78$ ). sEEG electrodes located in gray matter had 265 266 a much smaller FWHM (8.3 mm lower) compared to those located in white matter 267 (LME: t(466) = -17.3,  $\beta$  = -8.3, P < 0.001, 95% CI -9.2 to -7.3) (Figure 3, Supplementary 268 Figure 2). Additionally, the interaction between FWHM and frequency range 269 significantly depended on electrode location (t(466) = 6.6,  $\beta$  = 0.95, P < 0.001, 95% CI 0.67 to 1.2) with low frequencies showing a broader listening zone in white matter 270 271 electrodes. For theta frequencies, the mean FWHM for sEEG electrodes located in white matter was 20.2 ± 4.3 mm, whereas the mean FWHM for gray matter sEEG 272 273 electrodes was 12.1 ± 1.8 mm.

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275 When comparing the effect of electrode location on BHG activity, an LME model with 276 fixed effects modeling electrode location explained a large proportion of the variance 277 of the FWHM measures ( $r^2 = 0.85$ ). For BHG frequencies, the mean FWHM for sEEG 278 electrodes located in white matter was 11.3 ± 3.2 mm, whereas the mean FWHM for 279 sEEG electrodes located in gray matter was 7.14 ± 1.7 mm. For the BHG band, electrode location did have a significant effect on FWHM of signal correlation decay 280  $(t(92) = -13.5, \beta = -4.2, P < 0.001, 95\%$  CI -4.8 to -3.6). Of course, there is not much 281 power in white matter recordings and these correlations may be higher given these 282 283 lower amplitude signals. To assess this, we compared mean power spectral density 284 (PSD) plots for sEEG electrodes located in white matter or gray matter, demonstrating 285 the much lower power in white matter sEEG electrodes (for all frequencies 18 to 200 286 Hz; q < 0.01) (Supplementary Figure 3).



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Figure 3. Anatomical location of sEEG contacts in gray or white matter significantly influences
full width at half maximum (FWHM) correlation measures. Raincloud plots depicting FWHM values
for each patient in each frequency range for all pairs of white matter located (A; 2,649 electrodes;
43,957 electrode pairs) and gray matter located (B; 2,916 electrodes; 47,522 electrode pairs) pairs.
Abbreviations: narrowband gamma (NBG), broadband high gamma (BHG).

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## 295 **Referencing strategies for SDE and sEEG electrodes**

Next, we examined the influence of referencing schemes on measured correlation. Based on evidence that referencing strategies can eliminate or increase spurious correlation between recording electrodes (Li et al. 2018), we compared several commonly used referencing schemes; common average reference (CAR), low-power CAR, white matter referencing, and bipolar referencing, across SDE and gray matter located sEEG electrode pairs (Figure 4).

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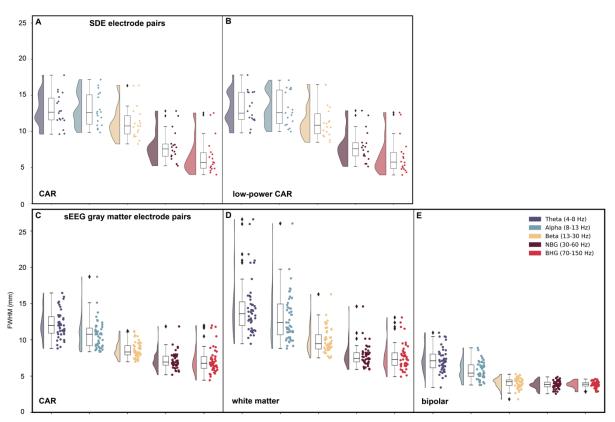
303 A two-way ANOVA was conducted comparing effects of referencing scheme and 304 frequency range on FWHM measures. For SDE electrode pairs, there was no 305 significant interaction between the referencing scheme and the frequency band on FWHM measures (F(4,170) = 0.01, P = 0.99). There was a significant effect of 306 frequency (F(4,170) = 57.96, P < 0.001) on FWHM, but no significant effect of 307 referencing scheme (F(1,170) = 0.07, P = 0.79). For BHG activity, SDEs showed a 308 correlation decay of  $6.6 \pm 2.5$  mm FWHM for the CAR scheme (Figure 4A), and  $6.6 \pm$ 309 2.6 mm FWHM for the low-power CAR scheme (Figure 4B). For BHG frequency 310 311 specifically, a two-way ANOVA showed no significant effect of referencing scheme on 312 FWHM for SDE electrodes (F(1,35) =  $5.5 \times 10^{-5}$ , P = 0.99). For theta activity, SDEs showed a correlation decay of  $13.0 \pm 2.3$  mm FWHM for the CAR scheme (Figure 4A), 313 314 and 13.1 ± 2.3 mm FWHM for the low-power CAR scheme (Figure 4B).

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For gray matter located sEEG electrode pairs, a two-way ANOVA showed a significant effect of referencing type (F(2,690) = 586.48, P < 0.001) and frequency (F(4,690) =

207.83, P < 0.001) on FWHM values. There was a significant interaction between 318 319 frequency and referencing scheme on FWHM values (F(8,690) = 9.92, P < 0.001). For BHG activity, sEEGs showed a correlation decay of 7.14 ± 1.7 mm FWHM for the CAR 320 scheme (Figure 4C),  $7.62 \pm 1.8$  mm for the white matter referencing scheme (Figure 321 4D), and 3.83 ± 0.45 mm for the bipolar referencing scheme (Figure 4E). For BHG 322 frequency specifically, a two-way ANOVA showed a significant effect of referencing 323 scheme on FWHM for sEEG electrodes (F(2, 140) = 94.4, P < 0.001). For theta activity, 324 325 sEEGs showed a correlation decay of 12.1 ± 1.8 mm FWHM for the CAR scheme 326 (Figure 4C), 14.4 ± 3.8 mm for the white matter referencing scheme (Figure 4D), and  $7.19 \pm 1.6$  mm for the bipolar referencing scheme (Figure 4E). 327

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330 Figure 4. Referencing scheme comparison across SDEs and gray matter located sEEGs. Average 331 full width at half maximum (FWHM) was calculated and plotted for each patient for SDE (A-B; n = 18 332 patients) and sEEG (C-E; n = 47 patients) electrode pairs in each frequency range of interest. For SDE 333 electrode pairs (1,842 electrodes; 37,272 electrode pairs), average FWHM was compared using either 334 common average reference (CAR) (A) or low-power CAR scheme (B). For gray matter located sEEG 335 electrode pairs (2,916 electrodes; 47,522 electrode pairs), average FWHM was compared using either 336 CAR (C), white matter (D), or bipolar referencing schemes (E). Abbreviations: narrowband gamma 337 (NBG), broadband high gamma (BHG).

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# 339 Listening zone of high-density sEEG (hdsEEG) electrodes

340 The final group analysis compared pairwise correlation between hdsEEG electrodes

- 341 (6 patients; 153 electrodes) across referencing scheme. These electrodes were
- 342 cylinders of 0.5 mm length as compared to 2 mm contacts in standard sEEGs. (Figure
- 343 5A). For broadband gamma activity, hdsEEG electrode pairs (CAR) had a mean

FWHM of 6.5 ± 1.4 mm relative to the FWHM for gray matter located sEEG electrode pairs (7.14 ± 1.7 mm) and the SDE electrode pairs (6.6 ± 2.5 mm). For BHG frequency specifically, a two-way ANOVA showed no significant effect of referencing scheme on FWHM for hdsEEG electrodes (F(2,17) = 0, P = 0.998). For theta activity, hdsEEG electrode pairs (CAR) had a mean FWHM of 17.3 ± 6.7 mm relative to FWHM for gray matter sEEG electrode pairs (12.1 ± 1.8 mm) and SDE electrode pairs (13.0 ± 2.3 mm).

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352 We compared CAR (Figure 5C), low-power CAR (Figure 5D), and white matter (Figure 5E) referencing schemes for hdsEEG electrode pairs. A two-way ANOVA was 353 conducted comparing effects of referencing scheme and frequency range on FWHM 354 355 measures. There was no significant interaction between the effects of referencing scheme and frequency range on FWHM measures (F(8,75) = 0.04, P = 1.0). Higher 356 frequencies had significantly lower FWHM than lower frequencies (F(4,75) = 19.59, P 357 < 0.001), and referencing scheme had no effect on FWHM measures (F(2,75) = 0.11, 358 359 P = 0.90). The location of hdsEEG electrodes in white or gray matter had no significant effect on correlation over distance measures (Supplementary Figure 4). 360

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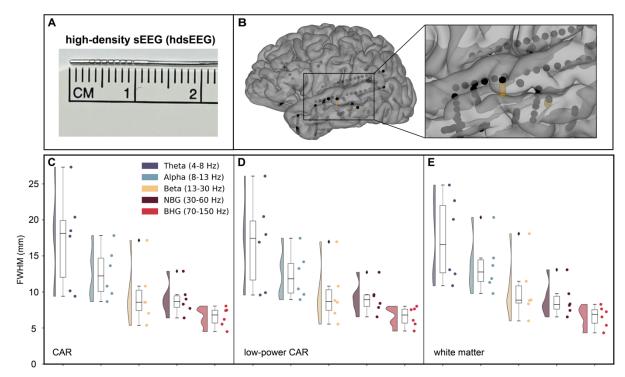




Figure 5. **Referencing scheme comparison across hdsEEG electrodes.** hdsEEG electrodes have 0.5 mm in length electrodes (**A**) and an exemplar hdsEEG electrode implanted in one patient is shown in yellow (**B**). Average full width at half maximum (FWHM) was calculated and plotted for each patient for hdsEEG electrode pairs in each frequency range of interest. For hdsEEG electrode pairs (6 patients; 153 electrodes; 1,967 electrode pairs), average FWHM was compared using either CAR (**C**), low-power CAR (**D**) or white matter referencing schemes (**E**). Abbreviations: narrowband gamma (NBG), broadband high gamma (BHG).

## 372

## 373 Methodological validation on simulated neural data

To validate our analysis pipeline, we also analyzed simulated neural time series data (Cole et al. 2019) in the known correlation values between narrowband signals (Supplementary Figure 1). We found no significant differences between the actual correlations and those reconstructed after running the data through our analysis pipeline.

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## 380 Discussion

381 We have systematically quantified the influence of electrode type, reference scheme 382 and frequency band on the ability to dissociate sources at different scales in human 383 icEEG recordings. Our work shows significant differences in the listening zone across 384 electrode type and frequency band, with SDEs exhibiting the largest listening zone on 385 average relative to sEEGs or hdsEEGs for low frequencies. When considering only high gamma activity, the listening zone was comparable across SDE and sEEG 386 387 electrodes. The location of sEEG electrodes significantly influenced FWHM measures, 388 with sEEG electrodes located in white matter exhibiting lower power and greater 389 FWHM values than those located in gray matter. There is a significant interaction between spectral band and FWHM for all electrode types, with high frequency gamma 390 391 signals exhibiting faster fall off of correlation over distance relative to lower frequency 392 signals. Referencing schema only had a significant effect on FWHM measures for sEEG electrodes, with bipolar referencing generating significantly lower FWHM 393 394 measures as compared with common average or white matter referencing.

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## 396 Influence of electrode type on FWHM measures

The location of each electrode, whether atop the cortical surface (SDEs) or intracortically located (sEEGs) led to substantive differences in the listening zone of these electrodes. Across all frequencies, SDEs had broader spread of correlation over distance, with an average FWHM 2.45 mm greater than sEEGs, indicating a more local listening zone for sEEG electrodes. Importantly, the mean FWHM for broadband high gamma alone was not significantly different between SDE (6.6 ± 2.5 mm) and sEEGs (7.14 ± 1.7 mm), indicating a preserved locality of BHG across electrode scale.

405 The hdsEEG electrodes explored in this analysis are parts of hybrid probes along with 406 traditional sEEG electrodes, and the junction between the conducting and non-407 conducting edges of the electrode are negligible, as the diameter of the probe is 408 identical across type. While SDE, sEEG, and hdsEEG electrodes have roughly similar 409 impedance, their spacing and location relative to the cortical sources varies 410 significantly. hdsEEG electrode pairs had mean FWHM of 6.5 ± 1.4 mm for BHG, exhibiting the most local listening zone for correlation over distance, albeit in a smaller 411 412 patient cohort with less electrode pairs in each patient than the SDE and sEEG 413 comparisons.

While there is no consensus on the effect that various recording electrodes have on 415 potential distribution, an electrode's surface impedance, distance from the source, and 416 source strength all affect source localization (Ellenrieder et al. 2021; Næss et al. 2021; 417 Vermaas et al. 2020). In addition to the inverse problem, a physical factor confounding 418 419 recorded signals is that electrodes act as capacitors, and their size and impedance 420 (the degree of resistance and reactance with surrounding electric potentials) impacts 421 the resolution of the data (Hnazaee et al. 2020; Moffitt and McIntyre 2005). Fitting with 422 the literature, our analyses reveal differences in the listening zone across electrode 423 types. The SDE, sEEG, and hdSEEG electrodes examined here all have varying 424 electrode size, orientation, spacing, and cortical location, which introduces distinct 425 physical differences in resolution, especially when considering activity in lower 426 frequency ranges.

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## 428 Interaction between spectral band and FWHM measures

429 When examining properties of volume conduction, we found a significant interaction 430 with the spectral band of the filtered EEG signal. SDE electrode pairs exhibited a significant falloff in FWHM as frequency band of interest increased, with mean FWHM 431 432 being  $13.0 \pm 2.3$  mm for the theta range, whereas mean FWHM for BHG was  $6.6 \pm 2.5$ mm (Figure 2C). This increased FWHM for SDEs at lower frequencies is consistent 433 434 with a larger spatial reach of lower frequency potential produced by more extensive 435 neuronal generators, which likely induces common activity across a larger region of 436 neural space than smaller generators of higher-frequency activity (Ellenrieder et al. 437 2021). This difference in FWHM across frequency range was less robust in gray matter located sEEG electrode pairs, with FWHM being 12.1 ± 1.8 mm for theta and 7.14 ± 438 1.7 mm for BHG range (Figure 2D). When comparing electrode location, white matter 439 440 located sEEG electrodes did exhibit more frequency-varying falloff of spatial source over distance relative to gray matter located sEEG electrodes (Figure 3). Across 441 electrode scale, high frequency gamma signals exhibited a faster fall off of correlation 442 443 values across distance, consistent with a smaller spatial reach of a local, weaker, and 444 less synchronous high frequency gamma signal (Dubey and Ray 2020; Ellenrieder et 445 al. 2021; Łeski et al. 2013). This is concordant with synchronous low frequency activity 446 engaging a larger neural substrate than more focal and transient high-frequency activity (Lachaux et al. 2012; Parvizi and Kastner 2018; Rouse et al. 2016; Torres et 447 448 al. 2019). Interestingly, this fall off of correlation values at lower frequencies varied 449 across electrode type. The mean FWHM for BHG for gray matter sEEG electrodes 450  $(7.14 \pm 1.7 \text{ mm})$ , SDE electrodes  $(6.6 \pm 2.5 \text{ mm})$ , and hdsEEG electrodes  $(6.5 \pm 1.4 \text{ mm})$ 451 mm) were close in value, whereas the mean FWHM for theta for hdsEEG electrodes 452  $(17.3 \pm 6.7 \text{ mm})$  was greater than FWHM for SDEs  $(13.0 \pm 2.3 \text{ mm})$  and gray matter 453 sEEGs (12.1 ± 1.8 mm).

454

## 455 **sEEG electrode location in white or gray matter influences FWHM measures**

456 Within sEEG electrode pairs, signal redundancy between electrodes in gray matter 457 was significantly decreased relative to their white matter located counterparts 458 (Supplementary Figure 2). Signal attenuation is dependent on the conductivity ratio of

the medium (Rogers et al. 2020), and white matter is considered largely anisotropic 459 (Nunez and Srinivasan 2005), especially at this scale of field potential recording 460 (Howell and McIntyre 2016). As such, white matter has been found to reflect activity 461 from distant gray matter signals as well as volume conduction from nearby gray matter, 462 463 thus increasing the likelihood of spurious correlation with activity in adjacent or distant regions (Mercier et al. 2017). While average FWHM was significantly greater for white 464 matter located sEEGs (BHG:  $11.3 \pm 3.2$  mm) than gray matter located sEEGs (BHG: 465 7.14  $\pm$  1.7 mm), the average power of activity recorded at white matter located sEEG 466 467 electrodes was significantly lower than gray matter located electrodes (Supplementary Figure 3). This is consistent with previous findings that electrodes located farther from 468 gray matter signal generators record lower amplitude signals (Mercier et al. 2017; 469 470 Young et al. 2019). As such, the current analyses comparing FWHM across electrode 471 type, referencing scheme, and frequency spectra considered only gray matter located 472 sEEG electrodes to avoid confounds in measures of correlation over distance due to 473 signal attenuation.

474

## 475 Impact of referencing schema on FWHM measures

Referencing schemes have an often-understated impact on signal detection, and how 476 the data are referenced is a critical consideration in analyses of neural data (Li et al. 477 478 2018). The process of referencing neural signals has been found to distort and 479 artificially inflate neural activation, functional connectivity and other measures (Li et al. 480 2018; Liu et al. 2015; Mercier et al. 2017). While measures of correlation should be scale-independent, the process of re-referencing likely influences correlation 481 482 measures due to a decrease in distant noise, aiding in improved signal to noise ratio between nearby electrode pairs (Hnazaee et al. 2020). In our data, referencing 483 scheme did not significantly influence FWHM measures for SDE or hdsEEG electrode 484 pairs. However, for sEEG electrode pairs, we found a significant effect of referencing 485 scheme on FWHM measures (Figure 4D,E). We found the choice of bipolar 486 referencing scheme generates significantly lower FWHM measures between proximal 487 488 sEEG electrode pairs, as compared with CAR and white matter referencing. These 489 results corroborate previous findings (Li et al. 2018) comparing the effect of referencing method on Pearson's correlation values averaged across sEEG electrode 490 491 pairs regardless of inter-electrode distance.

492

493 While common average referencing is commonly implemented in icEEG analyses, 494 there are many considerations when implementing a bipolar referencing scheme (Li 495 et al. 2018; Mercier et al. 2017). While bipolar referencing removes all signal common 496 to neighboring electrodes, this does not take into account anatomical location or dipole 497 orientation, which can distort source localization (Hu et al. 2010). Depending on the location and orientation of sEEG electrodes relative to sulci and sources, bipolar 498 499 referencing could have quite a variable effect on signal detection. Additionally, it is 500 common when analyzing icEEG datasets to combine activity recorded via SDE and 501 sEEG electrodes. In this case, the question of how to implement bipolar referencing in 502 SDE electrodes becomes geometrically complex. As such, the FWHM for sEEG

electrode pairs under the CAR scheme is found to reflect a very local listening zone (7.14  $\pm$  1.7 mm), and these data suggest referencing scheme is a critical consideration in ensuring common noise to all electrodes is eliminated and does not confound further analysis.

507

#### 508 **Comparison with previous studies**

509 From neuroscientific research to the continuing development of brain-computer 510 interfaces, decoding neural activity remains a necessary and complex goal. As neural 511 interfaces continue to develop and our ability to record electrical activity from the brain at smaller scales advances, the overlap between what is feasible and what is 512 informative remains unclear. A pivotal question of optimizing coverage, recording 513 514 scale, or inter-electrode distance when designing neural interfaces remains a critical 515 constraint. Thus, optimal balance between electrode type, size, and spacing of 516 contacts will improve comprehensive mapping of cortical activity while minimizing 517 redundancy of information.

518

519 Determining the optimal spacing and location of electrodes to not only minimize signal 520 redundancy, but to also capture separable field potential recordings represents a pivotal hurdle for invasive field potential recordings in humans (Cybulski et al. 2015). 521 522 There is currently no consensus in how to best allocate activity recorded by various 523 electrode types to regions of nearby cortical space. In the absence of a solution to this 524 problem, various methods are used to estimate the spatial extent of the neural 525 population contributing to activity recorded at an individual electrode. The current 526 methodologies implemented rely on assumptions and in vivo measurements to model the dielectric, conductive, and anisotropic aspects of neural tissue (Howell and 527 McIntyre 2016, 2017; Miceli et al. 2017). These include spatial discrimination 528 techniques (Herreras 2016), surface-based estimates of the recording zone 529 (Kadipasaoglu et al. 2015) and weighting functions based on electrode properties of 530 531 size, layout, and impedance (Dubey and Ray 2019). Computational models 532 incorporating heterogeneity and anisotropy have been found to more accurately 533 reconstruct neural response to stimulation in DBS application (Åström et al. 2012; 534 Howell and McIntyre 2017).

535

536 In non-human primates, concurrent comparison of field potential recordings with 537 single-unit (Dubey and Ray 2019) and multi-unit (Xing et al. 2009) resolution reveals 538 the estimated spatial spread of cortical field potential recordings using intracranial 539 microelectrodes (1 mm long; 400 µm pitch) to be local (roughly 3 mm) (Dubey and 540 Ray 2020). In contrast, the location and design of neural probes in humans are largely limited to clinical application, making confident parameterization difficult. 541 Despite these limitations, previous research has compared recording scale in 542 543 humans (Halgren et al. 2018; Kellis et al. 2016; Lai et al. 2018; Muller et al. 2016; 544 Trumpis et al. 2021) in order to disambiguate the uncertain properties of neural

545 activity captured by different electrodes.

546

547 Modern icEEG recordings incorporate data from varying recording scales, cortical locations, referencing strategies, and analysis approaches. There is a wealth of 548 existing data that has been gathered with a variety of tools and methodologies; the 549 550 question becomes, how can findings be integrated across this diversity of scales? 551 Bevond human neuroscience, how can direct comparisons be made with data collected from non-human primates? While icEEG recordings provide unique and 552 553 robust high spatial and temporal resolution neural data, there are such disparate 554 values of the spatial extent of LFP values reported in the literature (Kajikawa and Schroeder 2011; Kellis et al. 2016). 555

556

## 557 Conclusions

558 Our results implicate electrode spacing, location, referencing strategy, and spectral band to be pivotal considerations in the minimization of signal redundancy and other 559 confounds influencing the clarity of field potential analyses. We explored these 560 confounds in a large robust dataset to probe these intrinsic uncertainties of field 561 potential recordings. As with all aspects of scientific research, it is only through 562 563 understanding the limitations of the tools we have to observe neural phenomenon that 564 we can optimize the strengths, and get closer to understanding complex aspects of 565 human cognition.

566

## 567

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575

# 576 **Declaration of Interests**

577 The authors declare no competing interests.

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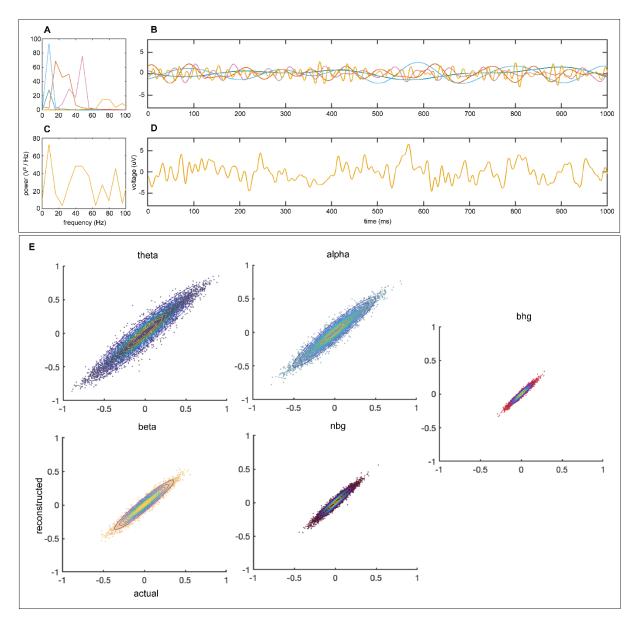
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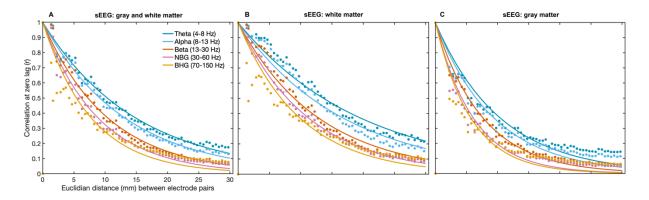
#### 725 Supplemental Figures





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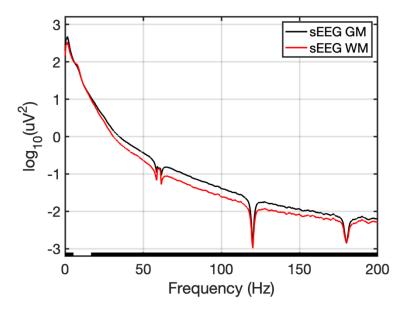
729 Supplementary Figure 1. Correlation analysis on simulated timeseries data reveals no spurious 730 correlation due to the analytic pipeline. Representative spectral power (A) and timeseries (B) of 731 simulated neural data in each frequency range of interest (Theta, 4-8 Hz; Alpha, 8-15 Hz; Beta, 15-30 732 Hz; Narrowband Gamma, 30-60 Hz; Broadband High Gamma, 70-150 Hz). Representative power 733 spectrum (C) and timeseries (D) of electric field signal comprised of summed timeseries in each 734 frequency shown in (B). Comparison of actual and reconstructed Pearson's correlation coefficient (r) 735 between every combination of simulated timeseries (E) overlayed with 2D probability density estimation 736 reveal no significant difference between actual and reconstructed correlation values on simulated data. 737



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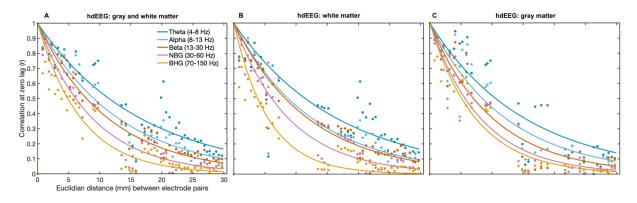
741 Supplementary Figure 2. Anatomical location of sEEG contacts in gray or white matter 742 significantly influences correlation measures over distance. Pearson's correlation coefficient was 743 measured between pairs of sEEG electrodes located in gray and white matter (A; 47 patients; 6,757 744 electrodes; 244,621 electrode pairs), white matter only (B; 2,649 electrodes; 43,957 electrode pairs), 745 or gray matter only (C; 2,916 electrodes; 47,522 electrode pairs). Each data point is binned into 0.5 mm 746 bins based on distance between electrode pairs, colored based on frequency range of interest and fit 747 with an exponential decay function shown as colored solid lines. Abbreviations: narrowband gamma 748 (NBG), broadband high gamma (BHG).







Supplementary Figure 3. **Mean power over frequency for sEEG electrodes based on location in gray or white matter.** Mean power spectral density (PSD) plots for sEEG electrodes located in white matter (WM; red; 2,649 electrodes) or gray matter (GM; black; 2,916 electrodes). Notch filters were applied at 60 Hz and harmonics. Results from Wilcoxon sign rank test with significance threshold of <0.01 denoted by black bar along the x axis.





760 Supplementary Figure 4. Impact of anatomical location of high-density sEEG (hdsEEG) electrodes 761 on correlation measures over distance. Pearson's correlation coefficient was measured between 762 pairs of hdsEEG electrodes located in gray and white matter (A; 6 patients; 153 electrodes; 1,967 763 electrode pairs), white matter only (B; 53 electrodes; 421 electrode pairs), or gray matter only (C; 59 764 electrodes; 347 electrode pairs). Each data point is the correlation values for each patient binned into 765 0.5 mm bins based on distance between electrode pairs, colored based on frequency range of interest 766 and fit with an exponential decay function shown as colored solid lines. Abbreviations: narrowband 767 gamma (NBG), broadband high gamma (BHG).