

1 **Interplay between positive and negative regulation by B3-type transcription**
2 **factors is critical for the accurate expression of the *ABA INSENSITIVE 4***
3 **gene.**

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16 **Running title:** A B3-type transcription factor circuit defines the correct *ABI4*
17 expression.

18 **Key words:** ABI4; LEC1; LEC2; ABI3; VAL1/HSI2; HSL1; seed development; early
19 seedling development; sugar responses, ABA responses.

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29 **ABSTRACT**

30 The ABA-INSENSITIVE 4 transcription factor is key for the regulation of diverse
31 aspects of plant development and environmental responses, including proper
32 perception of hormonal and nutritional signals. ABI4 activity is highly regulated at
33 the transcriptional and post-transcriptional levels leading to precise expression
34 mainly in the developing seed and early seedling development. Based on genetic
35 and molecular approaches in the current study we provide new insights into the
36 central mechanism underpinning the transcriptional regulation of *ABI4* during both
37 seed and vegetative development. We identified a complex interplay between the
38 LEC2 and ABI3 transcriptional activators and the HSI/VAL repressors that is critical
39 for proper *ABI4* expression. Interestingly, the regulation by these proteins relies on
40 the two RY *cis*-acting motifs present two kb upstream of the *ABI4* gene. Our
41 analysis also shows that the chromatin landscape of the *ABI4* loci is highly
42 dependent on the LEC2 and HSI2/VAL proteins. LEC2 regulation extends to the
43 vegetative development and the absence of this factor results in ABA- and sugar-
44 insensitive signaling in the developing plant. This regulatory circuit functions as a
45 major control module for the correct spatial-temporal expression of *ABI4* and
46 prevents its ectopic accumulation that is harmful to the plant.

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50 INTRODUCTION

51 The transcriptional factor ABA-INSENSITIVE 4 (ABI4) is a member of the
52 APETALA-2 (AP2)/ERF gene family, and is conserved in plants (Wind et al., 2013).
53 ABI4 plays essential roles integrating nutritional, hormonal, abiotic, biotic and
54 developmental signals (Chandrasekaran et al., 2020). However, ABI4 is a versatile
55 regulator, required for abscisic acid (ABA) signaling and proper interaction with
56 gibberellins (GA) and auxins during seed maturation, germination and post-
57 germinative growth (Soderman et al., 2000; Shkolnik-Inbar and Bar-Zvi, 2010;
58 Huang et al., 2017). ABI4 is also essential for sugar perception, nitrate sensitivity
59 and ABA-dependent lipid mobilization in the embryo (Arenas-Huertero et al., 2000;
60 Huijser et al., 2000; Rook et al., 2001; Signora et al., 2001; Penfield et al., 2006).
61 Mutants of ABI4 are tolerant to salt (Quesada et al., 2000) and display defects in
62 redox homeostasis (Kerchev et al., 2011). Finally, ABI4 regulate lateral root
63 initiation (Shkolnik-Inbar and Bar-Zvi, 2010), male sterility and mitochondria- and
64 chloroplast- retrograde communications (Koussevitzky et al., 2007; Giraud et al.,
65 2009).

66 ABI4 affects the expression of diverse genes, acting as both a positive and a
67 negative regulator by interacting with the CE1 (CACCG) sequence and related *cis*-
68 acting elements (Niu et al., 2002; Acevedo-Hernandez et al., 2005; Koussevitzky et
69 al., 2007; Wind et al., 2013). ABI4 induces the expression of genes such as the
70 starch branching enzyme (*SBE2*) and the transcriptional factor *ABI5* in the
71 presence of sugars (Bossi et al., 2009). In response to ABA, ABI4 also upregulates
72 the expression of genes involved in ABA biosynthesis and GA catabolism, such as
73 *NCED6* and *GA2ox7* (Shu et al., 2016b), in lipid catabolism, as oleosin and
74 dehydrin (Penfield et al., 2006; Yang et al., 2011), and the flower transition gene
75 *FLOWERING LOCUS C (FLC)* (Shu et al., 2016a) and *PHYTOCHROME A*
76 (*PHYA*) (Barros-Galvao et al., 2020). In contrast to transcriptional activation, ABI4
77 represses the expression of diverse photosynthetic-related genes (PhANGS), the
78 cytokinin response regulators (ARRs) and some genes involved in ethylene
79 biosynthesis (Koussevitzky et al., 2007; Dong et al., 2016; Huang et al., 2016a).

80 Recently, *ABI4* has been shown to downregulate the *VTC2* gene that is required
81 for plant defense responses (Yu et al., 2019).

82 The accumulation and activity of *ABI4* is tightly controlled at the
83 transcriptional and protein levels. At the protein level *ABI4* is subjected to selective
84 degradation via proteasome (Finkelstein et al., 2011; Gregorio et al., 2014) and its
85 activity is modulated through by MAP kinase phosphorylation in response to
86 sugars, ABA and salt stresses (Eisner et al., 2021). At the transcriptional level,
87 *ABI4* is expressed predominately in the developing seed during germination and in
88 the first days of the seedling development (Soderman et al., 2000; Penfield et al.,
89 2006; Bossi et al., 2009). Later in development, the expression of *ABI4* is restricted
90 to specific regions such as the vascular system of the petiole, pollen and the
91 mature zone of the root (Shkolnik-Inbar and Bar-Zvi, 2010). Finally, the expression
92 of *ABI4* is activated by environmental signals such as ABA and high sugar levels
93 (Arroyo et al., 2003).

94 A central regulator of *ABI4* is the *ABI4* protein itself, functioning as an
95 activator to maintain its correct temporal-spatial transcription during early seedling
96 development (Bossi et al., 2009). Other positive regulators that dictate the correct
97 expression of *ABI4* in the germinating seed include the transcription factors
98 MYB96, WRKY6 and the chloroplast envelope bound PTM (Sun et al., 2011; Lee
99 et al., 2015; Huang et al., 2016b). The expression of *ABI4* is also downregulated by
100 several factors including SCARECROW, WRKY18/40/60, RAV1 in the root apical
101 meristem and BASS2 in the germinating seedlings (Shang et al., 2010; Cui et al.,
102 2012; Feng et al., 2014; Zhao et al., 2016).

103 In spite that a major location of *ABI4* expression is in the developing
104 embryo, the regulators responsible for its spatial and temporal expression remain
105 elusive. The accumulation of *ABI4* overlaps with that of the LAF1 regulators of
106 seed development, which include LEAFY COTYLEDON 1 (*LEC1*), *LEC2*, *FUSCA3*
107 (*FUS3*) and the ABA-INSENSITIVE 3 (*ABI3*) transcription factors (Le et al., 2010;
108 Boulard et al., 2017; Lepiniec et al., 2018). *LEC1* shares sequence similarity with
109 the HAP3 subunit of the CCAAT-binding transcription factor and is a member of the
110 NF-YB family (Lotan et al., 1998). In contrast, *LEC2*, *ABI3* and *FUS3* belong to the

111 plant-specific B3-domain family (ALF), related to the maize VP1 protein (Stone et
112 al., 2001). The LAFL regulators work in an intricate network and are essential for
113 the regulation of key proteins required for the correct seed maturation and
114 germination, embryonic identity, somatic embryogenesis, the acquisition of
115 desiccation tolerance and dormancy, specification of the cotyledon identity and
116 hormone signaling (Meinke et al., 1994; Santos-Mendoza et al., 2008; Tao et al.,
117 2017; Lepiniec et al., 2018; Wang et al., 2020). Accordingly, mutants of the LAFL
118 genes display diverse homeotic alterations, such as the acquisition of vegetative
119 characters in the embryonic tissues (presence of trichomes in cotyledons) and
120 precocious germination (Meinke et al., 1994; Lotan et al., 1998).

121 The mechanism of action of these regulators is diverse. For example, LEC1
122 acts as a pioneer transcriptional regulator promoting an active chromatin state
123 activating transcription of the *FLC* gene (Tao et al., 2017). In contrast, LEC2 and
124 FUS3 have been shown to activate the expression of various genes by displacing
125 negative regulators, such as the *HIGH LEVEL EXPRESSION OF SUGAR*
126 *INDUCIBLE GENE 2/VIVIPAROUS1/ABI3-LIKE 1 (HSI2/VAL1)* or *HSI2-*
127 *LIKE1/VAL2 (HSL1/VAL2)* proteins, two members of the B3-type family that act as
128 central repressors of diverse seed developmental genes. These proteins interact
129 with the same *cis*-acting sequences as the ALF (Tsukagoshi et al., 2007; Tao et
130 al., 2019).

131 Previous studies showed that genetic interactions between ABI3, LEC1 and FUS3
132 with ABI4 in responses to ABA, sugar perception and development (Soderman et
133 al., 2000; Brocard-Gifford et al., 2003). However, the molecular nature underlying
134 these interactions remains unclear, since yeast two-hybrid analysis did not show
135 direct interaction, nor that the level of the *ABI4* transcript was significantly altered in
136 the *lec1* or *fus3* mutant backgrounds (Soderman et al., 2000; Brocard-Gifford et al.,
137 2003).

138 Due to the role of ABI4 as an integrator of diverse signals, understanding
139 the mechanisms that regulate its accumulation under diverse developmental and
140 environmental conditions is important. In the present study using genetic and
141 molecular analyses we show that several of the LAFL transcription factors are

142 required to maintain the level and the correct expression pattern of *ABI4*. We
143 identify LEC2 and ABI3 as critical direct activators of *ABI4* expression during seed
144 development and early vegetative growth. Furthermore, our analysis uncovered an
145 unexpected function of the HSI2/VAL1 as a major repressor of *ABI4* expression in
146 vegetative tissues. The interplay between activation and repression exerted by
147 these regulators occurs through the same *cis*-acting sequences and is essential for
148 the correct expression of *ABI4* and its response to environmental signals such as
149 sugar and ABA levels.

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152

153 **RESULTS**

154

155 **ABI4 expresses during all stages of the developing seed.**

156 During seed development, the expression of *ABI4* is restricted to the embryo
157 (Soderman et al., 2000; Bossi et al., 2009). To obtain a detailed picture of the *ABI4*
158 expression profile at different stages of the developing seed, we analyzed the b-
159 glucuronidase (GUS) activity of the *pABI4:GUS* transgenic line containing 3Kb of
160 the *ABI4* regulatory region, which was previously shown to accurately reflect the
161 expression of the endogenous transcript (Bossi et al., 2009). As shown in Figure
162 1A, we confirmed that *ABI4* expression restricts to the embryo proper and is
163 detected at the pre-globular stage and in all the following developmental stages,
164 except for the dry seeds as previously reported (Bossi et al., 2009).

165 Previous research demonstrated that ABI4 is an essential activator of its
166 own expression during germination and early seedling development (Bossi et al.,
167 2009). In this study we confirmed that ABI4 is also required for its expression
168 during seed development, as no GUS activity of the *pABI4:GUS* transgene was
169 detected in the *abi4* mutant background (Figure 1A). This data further confirms the
170 critical auto-activation function of ABI4.

171

172 **The LAFL transcription factors regulate *ABI4* expression**

173 Given the similarities in the temporal expression between ABI4 and the
174 LAFL regulators during seed development, we evaluated their impact on the
175 expression of *ABI4*. Therefore, we introduced the 3Kb *pABI4:GUS* transgene
176 (*pABI4:GUS*) into the *lec1*, *lec2*, *fus3* and *abi3* mutant backgrounds and analyzed
177 the GUS temporal and spatial expression throughout seed development. As shown
178 in Figure 1B we did not detect any major differences of *ABI4* expression in the *lec1*
179 or *fus3* mutants compared to wild-type seeds, except for an ectopic expression of
180 *ABI4* in the suspensor tissue in the *lec1* mutant that is maintained even in the dry
181 seeds (Figure 1B *lec1* panels 2-7). In the homozygous *abi3* mutant seeds we
182 observed two contrasting expression patterns where 17% of the seeds display a
183 pattern similar to wild-type, but in 83% of the seeds no GUS activity was detected

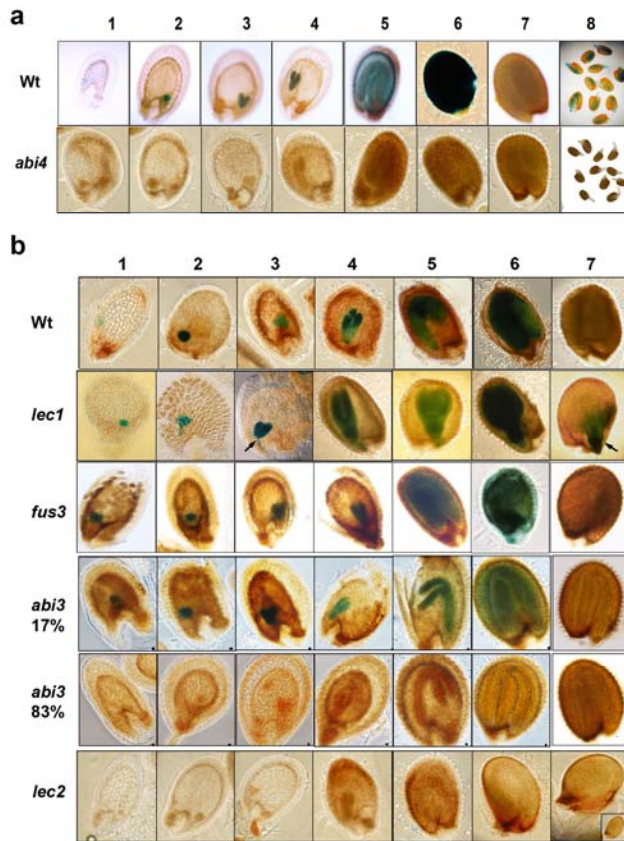


Figure 1.- ABI4 and the LAFL transcription factors regulate the expression of ABI4 during seed development. A) Expression pattern of the 3kb *ABI4*:GUS transgene monitored in wild-type (Wt) and *abi4* mutant embryos at preglobular (1), globular (2), heart (3), torpedo (4), bent cotyledon (5), mature (6) dry seeds (7) and 24h germinating seedlings (8). A representative pattern for each line is shown. B) Pattern of GUS expression in Wt, *lec1*, *fus3*, *abi3* and *lec2* mutant embryos at preglobular (1), globular (2), heart (3), torpedo (4), bent cotyledon (5), mature (6) developing seeds and dry seeds (7). The different expression patterns observed in the *abi3* mutant are shown and the percentage (%) of each is included. Arrow points to the suspensor tissue in the *lec1* mutant.

184 in any stage of the developing seed (Figure 1B *abi3* panels). Remarkably, in the
185 case of the *lec2* mutant, GUS activity was undetectable in all stages of the
186 developing seeds compared to wild-type (Figure 1B *lec2* panels). We corroborated
187 that the absence of GUS activity in the *abi3* and *lec2* mutant backgrounds was not
188 caused by mutations or silencing of the *pABI4*:GUS transgene as this reporter
189 accumulates at normal levels in the corresponding heterozygous mutant seeds
190 (Figure S1). Altogether these results provide novel insights into the regulation of
191 the *ABI4* gene during seed development, where the transcription factors ABI3 and,
192 in particularly LEC2, play central roles as positive regulators, while LEC1 has a
193 negative role restricted to the suspensor tissue.

194

195 **LEC2 but not ABI3 is essential for *ABI4* expression during early seedling**
196 **development**

197 Previous studies showed that the *ABI4* transcript accumulates during
198 germination and early seedling development (Soderman et al., 2000; Arroyo et al.,
199 2003; Bossi et al., 2009). Given that our previous analyses showed important
200 alterations in the expression of *ABI4* in the *lec2* and *abi3* mutants during seed
201 development, we were interested to determine whether these transcription factors
202 affect the temporal and/or spatial expression of *ABI4* in germinating seedlings.
203 Therefore, we analyzed the GUS activity of the *pABI4*:GUS transgene in
204 germinating seedlings of *lec1*, *lec2* and *abi3*. Since mutants of the LAFL
205 transcription factors are desiccation intolerant, we collected the homozygous *lec1*,
206 *lec2* and *abi3* and wild-type seeds prior to desiccation and transferred them to
207 media for germination. Expression of the GUS reporter was detected in the *lec1*
208 mutant in more than 98% of the germinating seeds (Figure 2E) as in the 3 day-old
209 seedlings (Figure 2F), displaying a similar pattern to the wild-type (Figure 2A and
210 2B). In the case of the *abi3* mutant we also detected GUS expression in 100% of
211 the germinating seedlings (Figure 2C) that is maintained in the 3 day-old plants
212 (Figure 2D), albeit at a lower level than in the wild-type seedlings (Figures 2A and
213 2B). These results support a role for ABI3 in the maintenance of the expression
214 level of *ABI4* during early seedling development. Interestingly, in the *lec2* mutant
215 the GUS activity was undetectable in the germinating seedlings (Figure 2G), as
216 well as in 3-day-old plants (Figure 2H). This result demonstrates that the
217 expression of the *ABI4* gene is fully dependent on the presence of the LEC2
218 regulator during germination and early seedling development.
219 To further explore the participation of LEC2 in the expression of *ABI4* during the
220 early vegetative development, the transcript levels of *ABI4* were analyzed by
221 quantitative real time PCR (RT-qPCR) in 24 h wild-type, *lec1 abi3* and *lec2*
222 germinating seedlings, a time where the expression level of this gene is high in
223 wild-type plants (Arroyo et al., 2003; Bossi et al., 2009). Our analysis showed a
224 significant reduction in the accumulation of the endogenous *ABI4* transcript to
225 approximately 60% in the *lec1* and *abi3* mutants, further supporting the role of

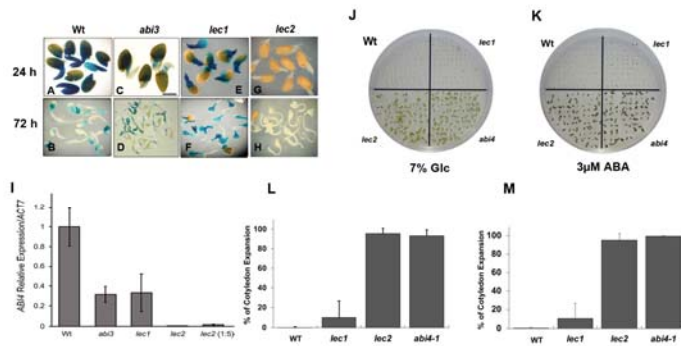


Figure 2. LEC2 is essential for the correct activation of ABI4 during early seedling development and for glucose and ABA signaling responses. Representative expression of 3KABI4::GUS germinating seedlings at 24 h (A, C, E and G) and 72h (B, D, F and H) after transferred to germinating conditions for wild-type (Wt), *abi3*, *lec1* and *lec2* mutants. (I) Analysis by RT-qPCR of *ABI4* transcript levels from Wt, *abi3*, *lec1* and *lec2* mutant seedlings 24h after transference to germinating conditions. Transcript level using five times more *lec2* cDNA (1:5) is shown. Expression is reported relative to that of *Actin 7 (ACT7)*. Bars are means \pm SE of triplicate biological experiments (each with n=2 technical replicates) and with P values $p < 0.05$ between wild-type compared to the mutants (Student's t test). Phenotypes of 14-day-old seedlings of Col-0 wild-type (Wt), *lec1*, *lec2* and *abi4* seedlings grown in the presence of media with 7% glucose (Glc) (J) or 3 µM ABA (L). (K) Percentage (%) of seedlings with expanded green cotyledons in the presence of 7% Glc (K) or 3 µM ABA (M). Error bars represent the SD of biological independent triplicate experiments.

226 these two transcription factors in the expression of this gene (Figure 2I). Moreover,
 227 similar to the GUS activity analysis, the *ABI4* endogenous transcript level in the
 228 *lec2* mutant was almost undetectable, showing at least 100-fold times lower
 229 expression than wild-type seedlings (Figure 2I), supporting the essential role of
 230 LEC2 for the expression of *ABI4*. Collectively our data demonstrate previously
 231 undescribed roles of the LEC1, ABI3 and, in particularly LEC2, in maintaining the
 232 expression levels of the *ABI4* gene during vegetative development.

233

234 **The *lec2* mutant is insensitive to ABA and sugar**

235 It is known that *ABI4* is required for proper ABA and sugar perception during early
 236 seedling development and its absence results in an ABA- (*abi*) and glucose- (Glc)
 237 (*gin*) insensitive phenotypes (Arenas-Huertero et al., 2000; Huijser et al., 2000;
 238 Finkelstein et al., 2011). To investigate whether the *ABI4* expression defects
 239 observed in the *lec1*, *abi3* and *lec2* seedlings affect the Glc and/or ABA sensitivity,
 240 we grew these mutants in the presence of 7% Glc or 3 µM ABA. These two
 241 conditions arrest greening and growth in wild-type seedlings, but not in *abi4* that
 242 behaves like the *abi* and *gin* mutants (Arenas-Huertero et al., 2000). We observed
 243 that in the presence of 7% Glc (Figure 2J and 2K) or 3 µM ABA (Figure 2L and 2M,
 244 more than 90% of the *lec1* seedlings became arrested similar to wild-type

245 seedlings, demonstrating that the lower transcript levels of *ABI4* observed in this
246 mutant do not result in *gin* or *abi* phenotypes, that is consistent with previous
247 findings (Parcy et al., 1997). As previously reported (Dekkers et al., 2008), more
248 than 90% of the *abi3* mutant seedlings displayed green cotyledons and continue
249 growing in the presence of Glc or ABA (Figure S2), a phenotype similar to the *gin*
250 and *abi* mutants. Also, this analysis showed that more than 90% of the *lec2*
251 seedlings display clear *gin* and *abi* phenotypes, comparable to the *abi3* and the
252 *abi4* seedlings, in the presence of 7% Glc (Figure 2J and 2K) or 3 μ M ABA (Figure
253 2L and 2M). These results confirm that the low levels of the *ABI4* transcript present
254 in the *lec2* mutant seedlings results leads to alterations in the Glc and ABA
255 sensitivity, further supporting a critical role of LEC2 in the regulation of *ABI4*.

256

257 **Proper *ABI4* expression depends on positive and negative *cis*-acting** 258 **elements**

259 The regulation of the *ABI4* expression by the LEC2 and ABI3 transcription factors
260 could result from direct or indirect mechanisms. To further explore these
261 possibilities, we analyzed the upstream regulatory region of the *ABI4* gene looking
262 for putative ABI3 and LEC2 binding sites. These two transcription factors bind to
263 RY DNA motifs or variants, containing the “CATG” core sequence (Braybrook et
264 al., 2006; Swaminathan et al., 2008; Baud et al., 2016). Also, the presence of
265 additional elements such as E- or G-boxes nearby can influence transcription
266 factor binding (Abraham et al., 2016). The analysis of the 3 kb *ABI4* upstream
267 sequence showed two sequences that fit the RY consensus elements. One of
268 them, here referred to as RY1 (CATGCA), localizes -2467 bp upstream from the
269 *ABI4* ATG and the other (RY2, GCATG) is at -2973 bp (Figure 3A). In addition, a
270 canonical G-box (CACGTG) is present between the two RY motifs (Figure 3A). To
271 analyze the possible participation of these RY elements in the transcriptional
272 regulation of *ABI4*, we generated constructs containing consecutive deletions of
273 the *ABI4* upstream sequence fused to the *GUS* reporter gene. The first deletion
274 includes 2570 bp upstream from the *ABI4* ATG (2.5K*ABI4*) and lacks the RY2 and
275 G-box elements (Figure 3A). The second deletion removed both RY elements as

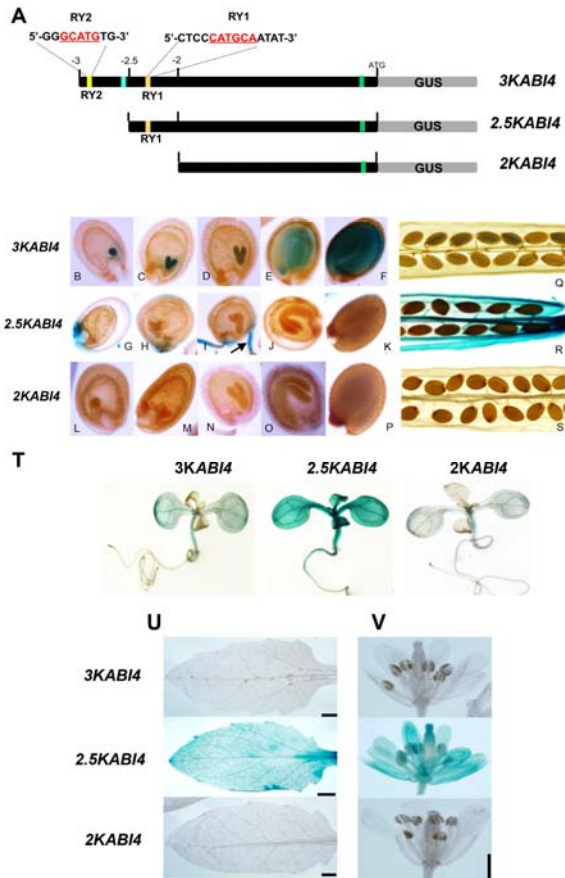


Figure 3. Analysis of the upstream regulatory region required for the *ABI4* gene expression. (A) Diagram of the upstream regulatory region of the *ABI4* gene showing the deletion fragments generated, marked in kb from the ATG. The location within the 3 kb upstream region of the two RY motifs and their corresponding sequences (yellow boxes), the putative G-box (blue box) and the CE element are indicated. Histochemical expression pattern of the GUS reporter in embryos at globular (B, G, L), heart (C, H, M), torpedo (D, I, N), bent cotyledon (E, J, O) and mature (F, K, P) stages and from siliques (Q, R, S), 14 day-old seedlings (T), rosette leaves (U) and flowers (V) tissues from representative transgenic lines expressing GUS from 3kb (*3KABI4*), 2.5 kb (*2.5KABI4*) and 2 kb (*2KABI4*) upstream sequences from the ATG of *ABI4*. The arrow points to maternal tissues. Scale bars: 500 μ m.

276 well as the G-box (*2KABI4*) leaving 1990 bp of the upstream *ABI4* sequence
 277 (Figure 3A). Both deletions retained the *ABI4* binding site (CE element), that
 278 localizes near the transcription initiation site. We generated transgenic plants
 279 carrying each deletion and the expression of GUS was analyzed in independent
 280 lines and compared to lines carrying a 3K fragment (*3KABI4*:GUS) (Soderman et
 281 al., 2000; Bossi et al., 2009). In contrast to the *3KABI4*:GUS lines (Figure 3B-F),
 282 the GUS activity in the *2.5KABI4* and *2KABI4* deletion lines was undetectable in all
 283 stages of the developing seed (Figure 3G-P). These results are consistent with the
 284 RY and/or the G-box being essential *cis*-acting elements for the trans-activation of

285 *ABI4* during embryo development. Intriguingly, this analysis also showed that the
286 2.5K*ABI4* deletion lines, ectopic GUS expression in the funicle and the valves of
287 the siliques (Figure 3I and 3R) not present in the 3K*ABI4* (Figure 3B-F and 3Q) or
288 the 2K*ABI4* (Figure 3L-P and 3S) lines. Furthermore, this ectopic expression
289 extended to other vegetative tissues in the 2.5Kp*ABI4* lines (Figures 3 and S3)
290 including the primary (Figure 3T) and rosette leaves (Figure 3U), the primary root
291 (Figure 3T) and the flowers (Fig. 3V). All these are tissues where *ABI4* expression
292 was never previously observed with the 3K*ABI4* transgene (Soderman et al.,
293 2000; Bossi et al., 2009). This ectopic *ABI4* expression was exclusive of the
294 2.5K*ABI4* deletion and was not observed in the 2K*ABI4* transgenic lines (Figures
295 3S-W and S3). These results demonstrated that within the 500 bp between -3 kb
296 and -2.5 kb of the *ABI4* upstream sequence there are essential *cis*-acting elements
297 required to activate the expression of *ABI4* in the developing seeds and young
298 seedlings and also for the repression of this gene in vegetative tissues.

299

300 **The RY motifs are essential for proper *ABI4* expression**

301 To further dissect the function of the RY motifs in the activation and/or
302 repression of the *ABI4* gene, we generated site-specific mutants in each element.
303 We replaced six bases that included the core CATG sequence in the RY motifs
304 with an AAATTT sequence using the 3K*ABI4*:GUS construct as template (Figure
305 4A) and generated transgenic lines for the single and double mutants. Interestingly,
306 the lines containing mutations in the RY1 (mRY1) or in the RY2 (mRY2) resulted in
307 undetectable GUS activity in the embryo seeds (Figure 4C and D and S4),
308 compared to the 3K*ABI4* lines (Figure 4B). On the other hand, in these mutant
309 lines we observed ectopic GUS expression in vegetative organs including leaves
310 and flowers (Figure 4C and 4D). This GUS expression pattern correlates with the
311 one observed in the 2.5K*ABI4* deletion lines (Figure 3). Finally, the GUS
312 expression pattern of the lines containing both mutations (mRY1 RY2) was
313 indistinguishable from the single mutants in the embryo and vegetative tissues
314 (Figure 4E). Altogether, these results further demonstrate RY1 or RY2 motifs as
315 essential *cis*-acting sites not only for the *ABI4* induction in the developing seed, but

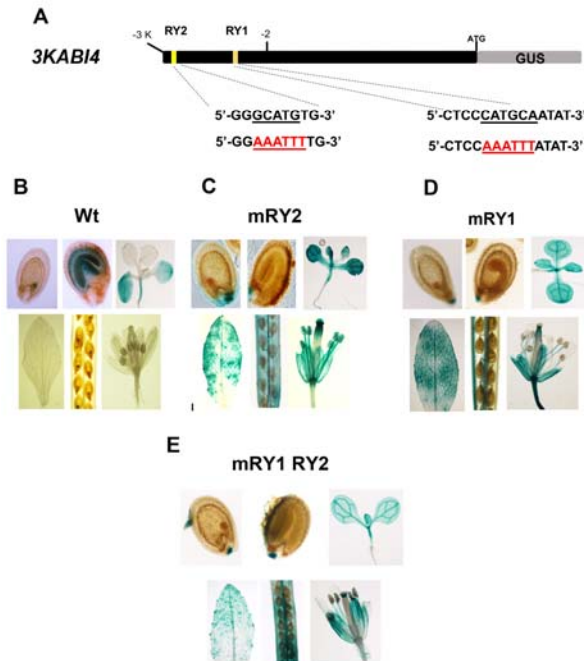


Figure 4. The RY motifs present in the regulatory region of *ABI4* are essential for the correct expression of the *ABI4* gene. (A) Diagram of the upstream region of *ABI4* showing the mutations generated in the RY elements. The changes introduced in each mutant construct are indicated in red compared to the original sequence. Histochemical expression of seeds at globular and bent cotyledon stages or in 14 day-old seedlings, rosette leaves, siliques and flowers from transgenic representative lines expressing GUS from the 3kb *ABI4* upstream sequence containing the (B) original RY motifs (Wt) or the site-specific RY mutations in the (C) RY2 (mRY2), (D) RY1 (mRY1) and (E) the double RY1 RY2 (mRY1 RY2) motifs.

316 also for its repression in vegetative tissues and indicates that both RY elements
317 are required for the correct expression of the *ABI4* gene.

318

319 **The expression of *ABI4* is repressed in vegetative tissues by the HSI2/VAL1**
320 **and HSL1/VAL2 repressors**

321 The RY motifs are known to be the binding site for the B3-domain regulators
322 including HSI2/VAL1 and HSL1/VAL2 (HSI/VAL) factors, two proteins that mediate
323 transcriptional repression of different genes through their interaction with
324 chromatin-modifying proteins (Suzuki et al., 2007; Veerappan et al., 2014; Tao et
325 al., 2019). Considering the ectopic expression observed for the *ABI4* gene in
326 vegetative tissues when the RY elements were deleted or mutated, we reasoned
327 that the HSI/VAL proteins were probably responsible for the repression of *ABI4*
328 expression in vegetative tissues. To verify this hypothesis, we determined the
329 expression level of *ABI4* by RT-qPCR in 10 day-old *hsi2 hsl1* loss-of-function

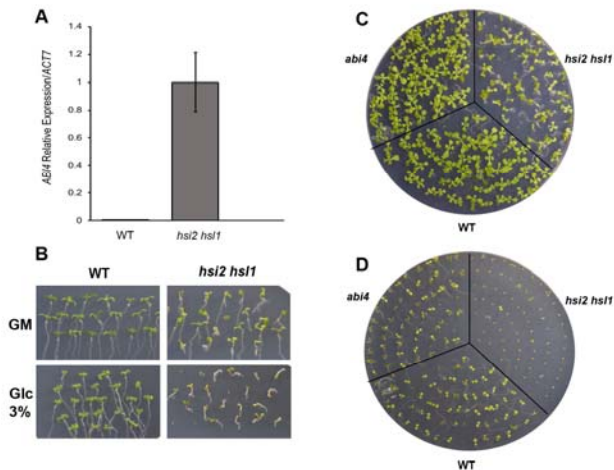


Figure 5. HSI2/VAL1 HSL1/VAL2 transcription factors are required for the correct repression of *ABI4*. (A) Analysis by RT-qPCR of the *ABI4* transcript accumulation in wild-type (Wt) or the *hsi2 hsl1* loss-of-function double mutant 10 day-old seedlings. *ABI4* expression is reported relative to that of *Actin7* (*ACT7*). Bars are means \pm SE of triplicate biological experiments (each with n=2 technical replicates). (B) Phenotypes of 10 day-old Col 0 wild-type (Wt) and *hsi2 hsl1* mutant seedlings grown in the presence of 3% glucose (Glc). (C) Phenotypes from 15 day-old Col-0 wild-type (Wt), *hsi2 hsl1* and *abi4* mutants seedlings grown on GM media or (D) GM media in the presence of 0.5 μ M ABA.

330 mutant seedlings, because at this stage the *ABI4* transcript level is almost
331 undetectable in wild-type plants (Tsukagoshi et al., 2007; Bossi et al., 2009). In
332 agreement with our hypothesis, we observed a 100-fold accumulation of the *ABI4*
333 transcript in the *hsi2 hsl1* mutant compared to wild-type seedling (Figure 5A). This
334 result is consistent with the ability of HSI/VAL proteins to repress *ABI4* expression
335 in vegetative tissues. This high *ABI4* transcript level in the *hsi2 hsl1* mutant also
336 correlates with Glc- (Figure 5B) and ABA-hypersensitive (Figure 5D) phenotypes in
337 these mutant seedlings, as previously reported for sucrose (Tsukagoshi et al.,
338 2007). This result further supports the idea that high *ABI4* transcript level in the
339 *hsi2 hsl1* mutant translates into higher *ABI4* activity.

340

341 **LEC2 and HSI2/VAL1 bind to the same RY elements resulting in changes in** 342 **chromatin accessibility**

343 Altogether our new data demonstrates that LEC2 is an essential activator of
344 *ABI4* expression, whereas *ABI3* has an important, but not essential, contribution in
345 its transcription. In addition, we provide unequivocal evidence that the HSI/VAL
346 repressors are required for silencing *ABI4* gene expression in the vegetative

347 tissues. Furthermore, our molecular analyses showed that the two RY motifs (RY1
348 and RY2) present in the *ABI4* upstream sequence are not only required for its
349 activation by LEC2 and ABI3, but for HSI/VAL-dependent repression.

350 Since the LEC2, ABI3 and HSI/VAL proteins recognize the same *cis*-acting
351 sequences, we reasoned that it was possible that these factors interact directly
352 with these motifs. To investigate this possibility we mined available public genome
353 wide chromatin immunoprecipitation coupled with high throughput sequencing
354 (ChIPseq) data recently published for the LEC2 and HSI/VAL proteins (Wang et al.,
355 2020; Yuan et al., 2021). The ChIPseq analysis for LEC2 was carried out in
356 explants where LEC2 was induced by dexamethasone from the 35S::LEC2-GR-3X
357 FLAG construct (Wang et al., 2020). Using this data, we corroborated a clear
358 binding peak between -2K to -3K of the *ABI4* upstream sequence enriched in the
359 LEC2-induced sample (DEX) compared to the control (Figure 6A). This region
360 includes the two RY motifs that we showed are required for the *ABI4 trans*-
361 activation in the developing seed (Figure 6). Thus, our data is fully consistent with
362 the direct *trans*-activation of *ABI4* by LEC2. Moreover, a recent publication using
363 ChIP-chip analysis of a p*ABI3*:ABI3-HA tagged transgene reported *ABI4* as an
364 ABI3-associated gene, also supporting a direct interaction of ABI3 to the *ABI4*
365 locus (Tian et al., 2020). Unfortunately, we were not able to identify the ABI3
366 binding region in the *ABI4* locus, but we hypothesize that the two RY elements
367 identified here are most likely the binding sites also for ABI3 since no other
368 sequences that fit the known binding element are found close to the *ABI4* gene.

369 Finally, the potential interaction of the HSI2/VAL1 and/or HSL1/VAL2
370 proteins was analyzed using the ChIPseq data available from young seedlings
371 expressing the VAL1-GFP or VAL2-GFP fusion proteins (Yuan et al., 2021). Using
372 the published data, we observed an enrichment of a HSI2/VAL1 binding peak in
373 the upstream region of *ABI4* (Figure 6B). However, due to a high basal background
374 present in this study the interaction of the HSL1/VAL2 was not clear (data not
375 shown). To further confirm this interaction chromatin immunoprecipitation (ChIP)
376 analysis was performed using a Val1-HA transgenic line (Questa et al., 2016).
377 From this analysis we confirmed an *in vivo* binding of VAL1 to the *ABI4* sequences

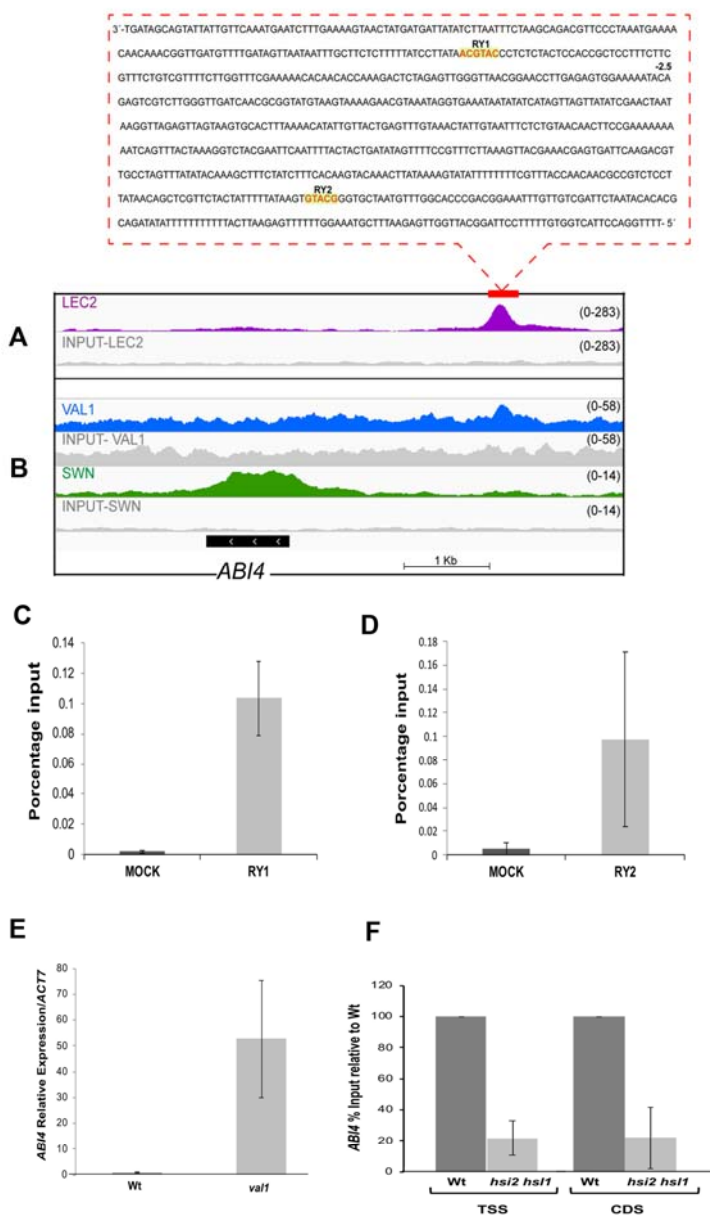


Figure 6. LEC2 and HIS/VAL factors bind to the *ABI4* upstream regulatory sequence. ChIP-seq signal for the *ABI4* loci for the (A) LEC2-GR-3xFLAG (Wang et al., 2020) and for the (B) VAL1-GFP and SWN-GFP (Yuan et al. 2021) DNA binding factors. The binding peaks in each case were detected and compared to the corresponding negative control. The location of the *ABI4* coding region is indicated by the black box. In the upper box the sequence included in the peaks that is common to the LEC2 and VAL1 regulators is shown and the location of the two RY elements is highlighted in yellow. Chromatin immunoprecipitation (ChIP) of the VAL1-HA protein binding in 10-day-old Col-0 (Wt) (dark grey) and VAL1-HA (light grey) seedlings along the *ABI4*. The qPCR from the immunoprecipitated sample was done using the pABI4-RY1-Fw/ and RY1 Chip qPCR Rv (C) or the pABI4-RY1RY2-Fw/ RY2 Chip qPCR Rv (D) primer pairs covering around the RY1 and RY2 elements. (E) Analysis by RT-qPCR of the *ABI4* transcript accumulation in wild-type (Wt) or the *val1* 10 day-old mutant seedlings. *ABI4* expression is reported relative to that of *Actin7* gene (*ACT7*). (F) ChIP analysis of the H3K27me3 in 10-day-old Col-0 (Wt) (dark grey) and *hsi2 hsl1* mutant (light grey) seedlings. The qPCR from the immunoprecipitated sample was done using the ABI4-ChIP-F and ABI4-ChIP-R primer pair covering the transcription initiation site of *ABI4* (TSS) and the primers ABI4-558Fw and ABI4-734Rv in the body of the *ABI4* gene. The bars are the mean \pm SE of triplicate independent experiments (each with technical duplicates n = 2).

378 containing the RY1 (Figure 6C) and RY2 (Figure 6D) motifs. Interestingly, the
 379 interacting peaks observed for the LEC2 and HSI2/VAL1 proteins in these
 380 independent studies overlap the region that includes the two RY *cis*-acting motifs,

381 further supporting the role of these sequences as the binding site of these two
382 proteins. To further address the role of the HSI1/VAL2 in the *ABI4* regulation we
383 analyzed by qRT-PCR the expression of *ABI4* gene in the single *val1* mutant
384 background. We observed that the absence of VAL1 alone resulted in a more than
385 50 times higher *ABI4* expression in comparison to the wild-type Col-0 background
386 demonstrating that VAL2 cannot compensate the absence of VAL1. However, the
387 upregulation observed in the *val1* mutant is lower than the one in *val1 val2* double
388 mutant (more than 100X) in comparison to the wild type (Figure 5A). This result
389 supports that VAL2 also participates in *ABI4* repression, at least in the absence of
390 VAL1.

391 Histone modifications play an important function in the gene silencing
392 mediated by HSI/VAL regulators as a result of the recruitment of the Polycomb-
393 Repressive Complex 2 (PRC2) that catalyzes the tri-methylation of K27 for histone
394 (3H3K27m3) deposition and transcription repression (Yuan et al., 2021). To
395 determine if the chromatin status of the *ABI4* gene correlates with the presence or
396 absence of the HSI/VAL regulators, we analyzed the levels of H3K27m3 mark by
397 ChIP followed by qPCR around *ABI4* transcription initiation site in 14 day-old wild-
398 type and *hsi2 hsi1* mutant seedlings. Our experimental data shows that in the *hsi2*
399 *hsi1* mutant the deposition of the H3K27me3 mark around the *ABI4* transcription
400 initiation site and in the body of the gene was significantly reduced, with only 17%
401 of the amount found in wild-type seedlings (Figure 6C). This result demonstrates
402 that the absence of the HSI/VAL repressors significantly dilutes the H3K27m3
403 mark, and that is consistent with an active chromatin state and a high *ABI4*
404 expression levels observed in this mutant (Figure 5A). Moreover, our data is also
405 consistent with a high deposition of the SWN subunit, the Arabidopsis H3K27
406 methyl-transferases of PRC2 complex, that was in ChIP-seq studies (Yuan et al.,
407 2021) across the entire of the *ABI4* gene in vegetative tissues (Figure 6B).
408 Collectively these results support a direct regulation by LEC2, ABI3 and
409 HSI2/VAL1 in the up-regulation of seed *ABI4* repression during vegetative
410 development that is critical for the correct regulation of this transcription factor
411 during plant development.

412

413

414 **DISCUSSION**

415 Evidence has accumulated demonstrating that the transcription factor *ABI4*
416 is an integrator for diverse functions during plant development (Chandrasekaran et
417 al., 2020). Consistent with the multifaceted role of *ABI4*, its accumulation and
418 activity are strictly regulated at the transcriptional and post-translational levels
419 (Chandrasekaran et al., 2020; Eisner et al., 2021; Zhou et al., 2021). *ABI4* displays
420 a restricted spatio-temporal expression pattern during plant development that is
421 also modulated by environmental stimuli, such as nutrients, hormones and abiotic
422 stresses (Chandrasekaran et al., 2020). The correct transcriptional regulation of
423 *ABI4* is critical for proper plant growth and stress responses, such as ABA and
424 sugar perception (Finkelstein, 1994; Arenas-Huertero et al., 2000; Arroyo et al.,
425 2003), and to avoid physiological harm due to its overexpression (Shkolnik-Inbar
426 and Bar-Zvi, 2010; Shu et al., 2016b). Accordingly, several transcription factors act
427 as negatively regulate *ABI4* gene expression, including various WRKYs, RAV1 and
428 SCR proteins (Shang et al., 2010; Cui et al., 2012; Feng et al., 2014). In contrast,
429 *ABI4* itself stands as a central activator of its own expression during early seedling
430 development (Bossi et al., 2009) and in this study we show that this auto-regulatory
431 mechanism extends to all stages of the developing seed.

432 Consistent with previous reports, we confirm that *ABI4* is expressed since
433 very early stages in embryogenesis (Soderman et al., 2000; Penfield et al., 2006)
434 and its transcript is maintained at high levels during all the seed development,
435 except for the dry seed. Some regulators of *ABI4* expression during the developing
436 seed have been reported (Huang et al., 2016b), but the mechanisms involved in
437 ensuring the correct spatio-temporal expression have not been identified. In this
438 study, we demonstrate that the LAFLs regulators play distinctive roles in the
439 spatial-temporal regulation of *ABI4* not only during embryogenesis but also during
440 vegetative development, except for *FUS3* which does not appear to have a major
441 contribution. In contrast, our work provides clear evidence that the rest of the LAFL
442 transcription factors have differential contributions on the *ABI4* gene expression.

443 An important contribution of this work is the demonstration that *LEC2* and
444 *ABI3* are key regulators for the *ABI4* gene expression. Specifically, our evidence

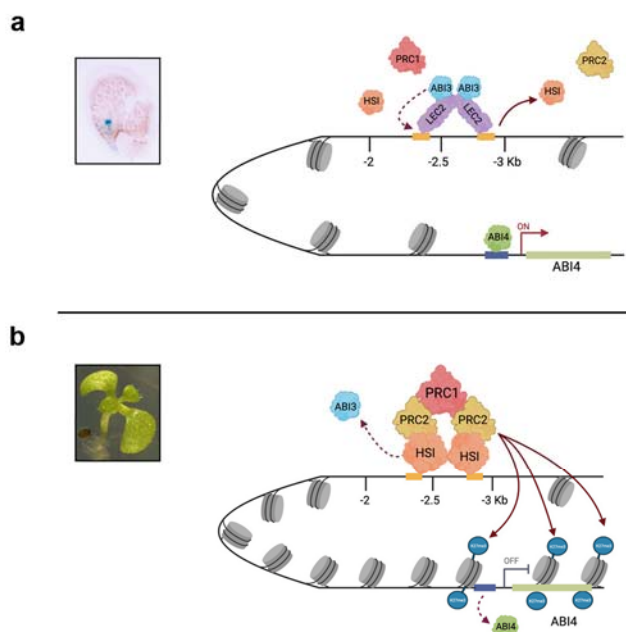


Figure 7. Model of the regulation of the *ABI4* gene expression by the LEC2, ABI3, HSI/VAL and ABI4 transcription factors. (A) The initial *ABI4* transcription activation occurs early in the of the developing seed (proembryo stage) by the initial activation by LEC2 dimer interacting with the two RY elements. This activation is critical for *ABI4* transcription initiation, probably establishing a permissive chromatin conformation schematized by a lower nucleosome number (grey cylinders) and no H3K27me3 mark. ABI3 binds to the same motifs, stabilizing LEC2 DNA as LEC2:ABI3 heterodimers or later by itself later once an open chromatin is established and the level of LEC2 decreases. The *de novo* translated ABI4 activates its expression during all developing seed stages. At these developmental stages the HSI/VAL repressors or the PRC2 complex do not interact with the *ABI4* locus due to the presence of LEC2 or ABI3. (B) During vegetative growth the absence of LEC2 and/or low ABI3 level together with the accumulation of HSI/VAL repressors allows these proteins to interact with the RY elements in the *ABI4* locus. This interaction results in the accumulation of the PRC2 complex and probably the increase in the nucleosome number (grey cylinders) and the H3K27me3 mark; the transcriptional activity of the *ABI4* decreases and consequently the gene is silenced.

445 shows that LEC2 is an essential activator of *ABI4* transcription, not only in the
 446 developing seed but is also required during early seedling development (Figure 7).
 447 Interestingly, although LEC2 is expressed mainly during early seedling
 448 development (Santos-Mendoza et al., 2008; Baud et al., 2016; Boulard et al., 2017;
 449 Lepiniec et al., 2018) the absence of LEC2 results in undetectable *ABI4* expression
 450 in the germinating seedlings and in ABA- and Glc-insensitive phenotypes,
 451 demonstrating that LEC2 is one of the critical regulators of the *ABI4* expression.
 452 Likewise, we observed that ABI3 plays an important role regulating *ABI4*
 453 expression, but in contrast to LEC2, this factor is not absolutely required as a
 454 significant proportion of embryos accumulate normal levels of the *ABI4* transcript
 455 even in its absence. Moreover, in contrast to LEC2, ABI3 regulatory function is
 456 mostly restricted to developing seeds, further supporting the unique role of LEC2 in
 457 the regulation of *ABI4* expression.

458 Additionally we observed a complex role for LEC1 in the regulation of *ABI4*.
459 LEC1 acts as a negative regulator of *ABI4* during embryogenesis in the embryo
460 suspensor tissue, but as an activator in young seedlings. Although the
461 mechanism(s) involved in these antagonistic activities will require future analysis,
462 we reasoned that they could result from indirect effects caused by the absence of
463 LEC1. Because LEC1 is required for the suspensor specification (Lotan et al.,
464 1998), and the ectopic *ABI4* expression observed in its absence might derive from
465 the identity defects in this tissue. Also based on the previous observation that
466 LEC1 activates the expression of *LEC2* and *ABI3* (To et al., 2006), the decrease of
467 *ABI4* transcript levels observed during germination could be the consequence of a
468 lower accumulation of these two transcription factors. Although has been shown
469 that LEC1 and LEC2 or *ABI3* can form complexes to regulate gene expression
470 (Boulard et al., 2018), the regulation of *ABI4* is clearly distinct.

471 Importantly, we also provide new evidence that the correct modulation of
472 *ABI4* expression relies not only on its activation in the embryo but also on its
473 repression in vegetative tissues (Figure 7). We demonstrate that the repression of
474 the *ABI4* in vegetative tissues is mediated by the HSI/VAL repressors; these
475 repressors perform critical functions in the transition from embryo to seedling
476 development (Suzuki et al., 2007; Veerappan et al., 2012). This negative regulation
477 is critical in preventing the accumulation of *ABI4* in the growing plant, and causes
478 ABA- and Glc-hypersensitivity as well as the downregulation of GA biosynthetic
479 genes that are harmful for plant growth (Tsukagoshi et al., 2007; Shu et al., 2013).

480 The fact that the activation and the repression of the *ABI4* gene depends on
481 the two RY elements located more than 2 kb upstream of this gene, supports that
482 the observed regulation results from the binding of the LEC2, *ABI3* and
483 HSI2/VAL1 transcription factors to the same two *cis*-acting motifs (Figure 7) and do
484 not support that these regulators interact with other *cis*-acting elements, as was
485 proposed for *ABI3* (Tian et al., 2020). This is further corroborated by our ChIP
486 analysis with VAL1, the published ChIP-seq data from the LEC2 and HSI2/VAL1
487 transcription factors (Tian et al., 2020; Wang et al., 2020; Yuan et al., 2021) and
488 from the ChIP-Chip data of *ABI3* (Tian et al., 2020). All of these studies identified

489 *ABI4* as a direct target. This regulatory circuit could mediate an effective
490 mechanism for fine-tuning of the correct spatial and temporal accumulation of *ABI4*
491 during plant development. Similar antagonism between the AFLs activation and
492 HSI/VALs repression has been documented for other key genes involved in the
493 ABA, GA and ethylene signaling (Braybrook et al., 2006; Stone et al., 2008), in the
494 transition between embryogenesis and vegetative development, including the
495 LAFLs (Wang and Perry, 2013; Jia et al., 2014) and in the regulation of flowering
496 (*FLC*) (Tao et al., 2019).

497 Even though our study demonstrates that both LEC2 and ABI3 are important
498 activators of *ABI4* gene expression, these two proteins clearly do not have the
499 exact same function. As previously described, the expression of *ABI4* is fully
500 dependent on LEC2, and this is not the case for ABI3. We hypothesize that the role
501 of LEC2 resembles that of the pioneer activators (Zaret, 2018), that have the
502 capacity to bind “*de novo*” to the *ABI4* locus early in embryogenesis promoting
503 chromatin accessibility and activation of *ABI4* gene expression, and could
504 potentially prevent the binding of HSI/VAL proteins (Figure 7). A similar pioneer
505 transcription function has been documented for LEC1 (Tao et al., 2017).

506 Our results also suggest that the accessible chromatin status established by
507 the initial activation by LEC2 can facilitate the recruitment of additional transcription
508 regulators that may include ABI3 and the newly synthesized *ABI4* (Figure 7). This
509 recruitment could initiate the essential *ABI4* feedback activation loop in the
510 developing seeds and also during early seedling development (Bossi et al., 2009).
511 Whether LEC2 alone or LEC2/ABI3 heterodimers could participate in this initial
512 activation mechanism remains an open question for future analyses. The
513 cooperation between LEC2 and ABI3 has been observed for the activation of the
514 *OLE1* gene, where LEC2 and ABI3 bind to multiple RY motifs with a partial
515 regulatory redundancy (Baud et al., 2016). This observation contrasts to our
516 studies for *ABI4* where the two RY motifs are essential for positive and negative
517 regulation. However, the fact that a proportion of the seeds have a normal *ABI4*
518 expression pattern even in the absence of ABI3, supports the idea that LEC2 alone
519 can fulfill the initial activation. It is possible that ABI3 participates in the *ABI4*

520 transcriptional activation by either stabilizing the binding of LEC2 or by amplifying
521 *ABI4* expression after its initial activation (Figure 7). This role might resemble that
522 of the MYC factor that works as a general amplifier of transcription in human cells
523 (Nie et al., 2020). The accessible chromatin status defined by LEC2 is likely
524 maintained later in vegetative development and perhaps also in particular cell
525 types by additional regulators in response to hormone and nutritional (Glc) levels
526 (Tang et al., 2017), similar to what was reported for the *FLC* gene (Tao et al.,
527 2019).

528 Later in development and probably as a result of the substantial decrease or
529 total absence of LEC2 protein and the accumulation of the HSI/VAL repressors,
530 chromatin remodeling of the *ABI4* locus will led to silence its expression (Figure 7);
531 similarly to what has been reported for other seed maturation genes (Tsukagoshi et
532 al., 2007; Shkolnik-Inbar and Bar-Zvi, 2011). In particularly, previous studies have
533 demonstrated that HSI2/VAL1 and HSL1/VAL2 transcriptional repressors induce
534 transcriptional silencing by promoting the trimethylation of the lysine 27 of histone 3
535 (H3K27m3) deposition as a result of their interaction with the Polycomb repressive
536 complex 2 (PRC2) that is associated with gene silencing (Veerappan et al., 2014;
537 Yuan et al., 2021). In support of this mechanism, we confirmed that *ABI4*
538 repression correlates with high deposition levels of the H3K27m3 mark in the
539 promotor region of *ABI4* and this depends on the presence of HSI2/VAL1 and
540 HSL1/VAL2. This finding is similar to what has been described for other
541 embryogenic genes including some of the LAFLs that promote the transition to
542 vegetative development (Ogas et al., 1999). Based on the ChIP-seq data analyses
543 for the HSI2/VAL1 and HSL1/VAL2 genes (Yuan et al., 2021), we observed that
544 this repression correlates with an accumulation of the H3K27 methyl-transferase
545 SWN of the PRC2 complex in the entire body of the *ABI4* gene (Figure 7).

546 In conclusion, this study describes a molecular mechanism that acts as a
547 major spatio-temporal regulator of the *ABI4* transcription. This control mechanism
548 results from the dynamic participation of multiple B3-type transcription factors that
549 bind in the same *cis*-acting DNA elements to activate or repress *ABI4* gene
550 expression. This precise control of the *ABI4* expression is mediated by changes in

551 the chromatin state of the gene locus during specific moments of the plant life
552 cycle.

553

554 **MATERIALS and METHODS**

555 **Plant Material and Growth Conditions**

556 Experiments were conducted in *Arabidopsis thaliana* L. Columbia-0 (Col-0)
557 ecotype. Seedlings were grown on 1X GM media [Murashige and Skoog (MS)
558 media with Gamborg vitamins (Phytotechnology Laboratories, Shawnee Mission,
559 KS), supplemented with 1% (w/v) sucrose, 0.5% MES and 0.8% (w/v) phytoagar]
560 and stratified at 4° C for 4 days to break dormancy. Mature plants were grown in a
561 5:3:2 mixture of Peat moss 3 (Sunshine, Sun Gro Horticulture, Agawam, USA):
562 vermiculite (Sun Gro Horticulture): perlite (Agrolita, Tlalnepantla, Mexico)
563 containing 1.7 kg/m³ of Osmocote fertilizer (Everris, Geldermalsen, The
564 Netherlands). Seedlings were grown in growth chambers (100 μmol m⁻² s⁻¹) and
565 plants in walk-in chambers (80 μmol m⁻² s⁻¹) under 16:8h light:dark photoperiod at
566 22°C. To evaluate Glc or ABA sensitivity plants were grown on 1X MS medium
567 containing 3% Glc or agar with 0.5 or 3 μM ABA and 0.5% MES.

568 The 3KABI4::GUS x *abi4* line was previously reported (Bossi et al., 2009).
569 The 3KABI4::GUS transgene was introduced into the *lec1*, *lec2*, *fus3* and *abi3*
570 mutant backgrounds by crossing the *pABI4::GUS* homozygous transgenic line
571 (Soderman et al., 2000) with heterozygous plants of each mutant. The F2 progeny
572 lines were selected for homozygosity of the transgene on 50 μg/mL kanamycin GM
573 media and later the homozygous *lec1*, *lec2*, *fus3* and *abi3* mutant seeds were
574 selected following the corresponding phenotypes. The homozygous *lec1*, *lec2*, *fus3*
575 mutants lines carrying the *pABI4::GUS* transgene were maintained by germinating
576 immature seeds on kanamycin media. The *val1* was obtained from the Arabidopsis
577 Stock Center (SALK 088606C). The VAL1-HA transgenic line (Questa et al., 2016)
578 and the *hsi2 hsl1* double mutant (Chen et al., 2020) were kindly provided by Drs.
579 Caroline Dean (John Innes Center) and Allan Randy (Oklahoma State University).

580

581 **ABI4 promoter analysis.**

582 For the deletion analysis three constructs were generated, containing 3 Kb,
583 2.5 Kb and 2 Kb upstream of the start codon of *ABI4* using the pABI4 3Kb-FW,
584 pABI4 2Kb-FW and pABI4 2.5Kb+attB1c FW 5' oligonucleotides and the pABI4 -89
585 RV or RpABI4-89+B2c as 3' oligonucleotides (Supplemental Table 1). The
586 fragments were introduced into the Gateway pMDC163 expression vector
587 (Invitrogen, USA) to generate the corresponding transgenic lines (3K*ABI4*,
588 2.5K*ABI4* and 2K*ABI4*) through *Agrobacterium tumefaciens*-mediated
589 transformation into the Col-0 ecotype (Clough and Bent, 1998). At least three
590 independent homozygous lines were selected for each construct.

591

592 **Site directed mutagenesis of the RY elements**

593 Mutants of the RY elements were generated by two step mutagenic PCR
594 (Atanassov et al., 2009) using as template the 3 Kb fragment of the *ABI4* upstream
595 region and the oligonucleotides pABI4SphI-attB1 and ABI4-mRY1-Rv for the
596 upstream region and the ABI4-mRY1-Fw and RpABI4-89+B2c for the downstream
597 region (Table S1). For the RY2 mutation (mRY2) we used the oligonucleotides
598 pABI4SphI-attB1 and ABI4-mRY2-Rv, and ABI4-mRY2-Fw and RpABI4-89+B2c
599 (Table S1). The double RY1 and RY2 mutant was generated using the mRY2
600 fragment as templated and the mRY1 oligonucleotides. The reconstitution of the
601 complete 3Kb *ABI4* fragments carrying the single or double RY mutations was
602 obtained using the oligonucleotides pABI4SphI-attB1 and RpABI4-89+B2c (Table
603 S1). The 3 Kb mutated fragments were introduced in the Gateway pMDC163
604 expression vector (Invitrogen, USA). mRY1, mRY2 or -mRY1RY2 transgenic plants
605 were generated in the Col-0 ecotype (Clough and Bent, 1998). At least three
606 independent homozygous transgenic lines were selected for each construct.

607

608 **Histochemical GUS Staining**

609 Seedlings or plants were stained using the GUS histochemical assay (Jefferson,
610 1987). The tissues were vacuum infiltrated and incubated in GUS histochemical
611 buffer (5mM of ferrous and ferricyanide) overnight. Plants were clarified according
612 to a published protocol by (Malamy and Benfey, 1997). The tissues were semi-

613 permanently mounted in a mix of 50 % glycerol and 2% DMSO and visualized
614 using a stereoscopic (Nikon SMZ1500) or light (Nikon eclipse E600) microscopes.

615

616 **Expression Analysis**

617 Total RNA was extracted from Col-0 seedlings using TRIzol (Thermo Fisher
618 Scientific, Waltham, MA, USA) as recommended by the manufacturer. For RT-
619 qPCR, RNA was treated with DNase (Promega, WI,USA) and cleaned following
620 the instructions provided by the manufacturer (RNA clean & concentrator kit, Zymo
621 Research). Complementary DNA (cDNA) was synthesized from 3µg of RNA using
622 a M-MLV Reverse Transcriptase kit (Invitrogen, Carlsbad, CA, USA) and oligo dT.
623 The RT-qPCR experiments were performed using Maxima SYBR Green/ROX
624 qPCR Master Mix (Thermo Scientific, Baltics, UAB, Lithuania) on a Light Cycler
625 480 Roche. The oligonucleotides used in this analysis (ABI4-558Fw/ABI4-784Rv,
626 for *ABI4* and ACT7-QPCR-F/ ACT7-QPCR-R, for *ACT7*) are listed in Table S1.
627 Analyses were done with three independent experiments and technical duplicates
628 were included in each case (n=2). The reference gene used in the qPCR analyses
629 was *ACT7*.

630

631 **Chromatin Immunoprecipitation assays and *in silico* analyses**

632 ChIP assays were conducted from 14 day old Col-0, *hsi2 hsl1* and VAL1-HA
633 transgenic (Questa et al., 2016) seedlings grown on 1X GM media following the
634 protocol previously reported (Johnson et al., 2002) with minor modifications. Tissue
635 was cross-linked in fixation buffer (0.4M sucrose, 10 mM Tris-HCl [pH 8], 1 mM
636 EDTA, 1mM PMSF and 1% formaldehyde) under vacuum. Samples were
637 resuspended in lysis buffer (50mM HEPES [pH 7.5], 150mM NaCl, 1mM EDTA, 1%
638 Triton X-100, 0.1% deoxycholate, 0.1% SDS, 1 mM PMSF) and chromatin was
639 sheared by sonication to approximately 500-100 bp fragments using the Bioruptor®
640 sonicator (Diagenode, Belgium). Immunoprecipitation was done with the anti-
641 H3K27me3 (Active-motif, Calsband, USA), anti-HA (Abcam, Cambridge, UK) or
642 IgG antibodies (Invitrogen, USA). DNA-protein complexes were eluted from the
643 Dynabeads (1% SDS and NaHCO₃ 0.1M) and the crosslink was reverted with 5M

644 NaCl. The RT-qPCR experiments were performed as previously described. The
645 oligonucleotides used in these analyses were pABI4-RY1-Fw/ RY1 Chip qPCR Rv,
646 pABI4-RY1RY2-Fw/ RY2 Chip qPCR Rv, ABI4-ChIP-F / ABI4-ChIP-R (Table S1).

647 For the analyses of the ChIP-seq, the raw data were downloaded from Gene
648 Expression Omnibus under accession numbers GSE119715 and GSE159499
649 (Yuan et al., 2021) and from Beijing Institute of Genomics Data Center, BioProject
650 PRJCA002620 (Wang et al., 2020). Reads were aligned using Bowtie2 v2.3.4.3
651 (Langmead and Salzberg, 2012) to the *Arabidopsis* genome (TAIR10). The
652 resulting SAM file containing mapped reads were converted to BAM format, sorted,
653 and indexed using Samtools v1.9 (Li et al., 2009). Duplicated reads were removed
654 using Picard tools (Picard Toolkit, 2019). Only perfectly and uniquely mapped
655 reads were retained for further analysis. To normalize and visualize the datasets,
656 the BAM files were converted to bigwig using bamCoverage provided by
657 deepTools v3.1.2 (Ramirez et al., 2014). Finally, the bigwig files were visualized in
658 the Integrated Genome Browser (IGV).

659

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664

665 **AUTHOR'S CONTRIBUTIONS**

666 PL, EC, MSM and AH designed the experiments; AH, EC, MSM, KAA, ADR and
667 MU conducted the experiments; MU-A performed the bioinformatics analyses; MZ
668 and PL analyzed the data; MZ and PL wrote/edited the paper. PL prepared figures.

669

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677

678 **Figure legends**

679 **Figure 1.- ABI4 and the LAFL transcription factors regulate the expression of**

680 **ABI4 during seed development.** A) Expression pattern of the 3kb *ABI4*:GUS

681 transgene monitored in wild-type (Wt) and *abi4* mutant embryos at preglobular (1),

682 globular (2), heart (3), torpedo (4), bent cotyledon (5), mature (6) dry seeds (7) and

683 24h germinating seedlings (8). A representative pattern for each line is shown. B)

684 Pattern of GUS expression in Wt, *lec1*, *fus3*, *abi3* and *lec2* mutant embryos at

685 preglobular (1), globular (2), heart (3), torpedo (4), bent cotyledon (5), mature (6)

686 developing seeds and dry seeds (7). The different expression patterns observed in

687 the *abi3* mutant are shown and the percentage (%) of each is included. Arrow

688 points to the suspensor tissue in the *lec1* mutant

689

690 **Figure 2. LEC2 is essential for the correct activation of ABI4 during early** 691 **seedling development and for glucose and ABA signaling responses.**

692 Representative expression of *3KABI4*:GUS germinating seedlings at 24 h (A, C, E

693 and G) and 72h (B, D, F and H) after transferred to germinating conditions for wild-

694 type (Wt), *abi3*, *lec1* and *lec2* mutants. (I) Analysis by RT-qPCR of *ABI4* transcript

695 levels from Wt, *abi3*, *lec1* and *lec2* mutant seedlings 24h after transference to

696 germinating conditions. Transcript level using five times more *lec2* cDNA (1:5) is

697 shown. Expression is reported relative to that of *Actin 7 (ACT7)*. Bars are means

698 \pm SE of triplicate biological experiments (each with n=2 technical replicates) and

699 with P values $p < 0.05$ between wild-type compared to the mutants (Student's t test).

700 Phenotypes of 14-day-old seedlings of Col-0 wild-type (Wt), *lec1*, *lec2* and *abi4*

701 seedlings grown in the presence of media with 7% glucose (Glc) (J) or 3 μ M ABA

702 (L). (K) Percentage (%) of seedlings with expanded green cotyledons in the

703 presence of 7% Glc (K) or 3 μ M ABA (M). Error bars represent the SD of biological

704 independent triplicate experiments.

705

706 **Figure 3. Analysis of the upstream regulatory region required for the *ABI4***
707 **gene expression.** (A) Diagram of the upstream regulatory region of the *ABI4* gene
708 showing the deletion fragments generated, marked in kb from the ATG. The
709 location within the 3 kb upstream region of the two RY motifs and their
710 corresponding sequences (yellow boxes), the putative G-box (blue box) and the
711 CE element are indicated. Histochemical expression pattern of the GUS reporter in
712 embryos at globular (B, G, L), heart (C, H, M), torpedo (D, I, N), bent cotyledon (E,
713 J, O) and mature (F, K, P) stages and from siliques (Q, R, S), 14 day-old seedlings
714 (T), rosette leaves (U) and flowers (V) tissues from representative transgenic lines
715 expressing GUS from 3kb (*3KABI4*), 2.5 kb (*2.5KABI4*) and 2 kb (*2KABI4*)
716 upstream sequences from the ATG of *ABI4*. The arrow points to maternal tissues.
717 Scale bars: 500 μ m.

718
719 **Figure 4. The RY motifs present in the regulatory region of *ABI4* are essential**
720 **for the correct expression of the *ABI4* gene.** (A) Diagram of the upstream region
721 of *ABI4* showing the mutations generated in the RY elements. The changes
722 introduced in each mutant construct are indicated in red compared to the original
723 sequence. Histochemical expression of seeds at globular and bent cotyledon
724 stages or in 14 day-old seedlings, rosette leaves, siliques and flowers from
725 transgenic representative lines expressing GUS from the 3kb *ABI4* upstream
726 sequence containing the (B) original RY motifs (Wt) or the site-specific RY
727 mutations in the (C) RY2 (mRY2), (D) RY1 (mRY1) and (E) the double RY1 RY2
728 (mRY1 RY2) motifs.

729
730 **Figure 5. *HSI2/VAL1 HSL1/VAL2* transcription factors are required for the**
731 **correct repression of *ABI4*.** (A) Analysis by RT-qPCR of the *ABI4* transcript
732 accumulation in wild-type (Wt) or the *hsi2 hsl1* loss-of-function double mutant 10
733 day-old seedlings. *ABI4* expression is reported relative to that of *Actin7 (ACT7)*.
734 Bars are means \pm SE of triplicate biological experiments (each with n=2 technical
735 replicates). (B) Phenotypes of 10 day-old Col 0 wild-type (Wt) and *hsi2 hsl1* mutant
736 seedlings grown in the presence of 3% glucose (Glc). (C) Phenotypes from 15 day-

737 old Col-0 wild-type (Wt), *hsi2 hsl1* and *abi4* mutants seedlings grown on GM media
738 or (D) GM media in the presence of 0.5 μ M ABA.

739

740 **Figure 6. LEC2 and HIS/VAL factors bind to the *ABI4* upstream regulatory**
741 **sequence.** ChIP-seq signal for the *ABI4* loci for the (A) LEC2-GR-3xFLAG (Wang
742 et al., 2020) and for the (B) VAL1-GFP and SWN-GFP (Yuan et al., 2021) DNA
743 binding factors. The binding peaks in each case were detected and compared to
744 the corresponding negative control. The location of the *ABI4* coding region is
745 indicated by the black box. In the upper box the sequence included in the peaks
746 that is common to the LEC2 and VAL1 regulators is shown and the location of the
747 two RY elements is highlighted in yellow. Chromatin immunoprecipitation (ChIP)
748 of the VAL1-HA protein binding in 10-day-old Col-0 (Wt) (dark grey) and VAL1-HA
749 (light gray) seedlings along the *ABI4*. The qPCR from the immunoprecipitated
750 sample was done using the pABI4-RY1-Fw/ and RY1 Chip qPCR Rv (C) or the
751 pABI4-RY1RY2-Fw/ RY2 Chip qPCR Rv (D) primer pairs covering around the RY1
752 and RY2 elements. (E) Analysis by RT-qPCR of the *ABI4* transcript accumulation
753 in wild-type (Wt) or the *val1* 10 day-old mutant seedlings. *ABI4* expression is
754 reported relative to that of *Actin7* gene (*ACT7*). (F) ChIP analysis of the H3K27me3
755 in 10-day-old Col-0 (Wt) (dark grey) and *hsi2 hsl1* mutant (light gray) seedlings.
756 The qPCR from the immunoprecipitated sample was done using the ABI4-ChIP-F
757 and ABI4-ChIP-R primer pair covering the transcription initiation site of *ABI4* (TSS)
758 and the primers ABI4-558Fw and ABI4-784Rv in the body of the *ABI4* gene. The
759 bars are the mean \pm SE of triplicate independent experiments (each with technical
760 duplicates n = 2).

761

762 **Figure 7. Model of the regulation of the *ABI4* gene expression by the LEC2,**
763 **ABI3, HIS/VAL and *ABI4* transcription factors.** (A) The initial *ABI4* transcription
764 activation occurs early in the of the developing seed (proembryo stage) by the
765 initial activation by LEC2 dimer interacting with the two RY elements. This
766 activation is critical for *ABI4* transcription initiation, probably establishing a
767 permissive chromatin conformation schematized by a lower nucleosome number

768 (grey cylinders) and no H3K27me3 mark. ABI3 binds to the same motifs, stabilizing
769 LEC2 DNA as LEC2:ABI3 heterodimers or later by itself later once an open
770 chromatin is established and the level of LEC2 decreases. The *de novo* translated
771 ABI4 activates its expression during all developing seed stages. At these
772 developmental stages the HSI/VAL repressors or the PRC2 complex do not
773 interact with the *ABI4* locus due to the presence of LEC2 or ABI3. (B) During
774 vegetative growth the absence of LEC2 and/or low ABI3 level together with the
775 accumulation of HSI/VAL repressors allows these proteins to interact with the RY
776 elements in the *ABI4* locus. This interaction results in the accumulation of the
777 PRC2 complex and probably the increase in the nucleosome number (grey
778 cylinders) and the H3K27me3 mark; the transcriptional activity of the *ABI4*
779 decreases and consequently the gene is silenced.

780

781 **Supplemental Figure 1. Expression of *pABI4:GUS* in germinating seedlings.**

782 GUS activity of *pABI4:GUS* transgene in the segregating heterozygous *lec2* (+/-)
783 germinating seedlings. GUS activity was detected in three out of four heterozygous
784 segregating plants.

785 **Supplemental Figure 2. *abi3* mutant displays a glucose- and ABA-insensitive**

786 **seedling phenotype.** Phenotype of 10 day-old seedlings of Col-0 wild-type (Wt),
787 *abi3* and *abi4* mutants in the presence of media containing 7% glucose (A) or 3μM
788 ABA (B).

789 **Supplemental Figure 3. Expression of *pABI4:GUS* in germinating seedlings.**

790 GUS histochemical activity in 14-day-old seedlings from independent transgenic
791 lines carrying 3 kb, 2.5 kb and 2 kb of the *ABI4* regulatory region fused to the GUS
792 reporter gene.

793 **Supplemental Figure 4. Expression of *pABI4:GUS* carrying mutation in the**

794 **RY elements.** GUS histochemical activity in 14-day-old seedlings from
795 independent transgenic lines carrying mutations in the RY1 (mRY1), RY2 (mRY2)
796 and the double mRY1 and RY2 (mRY1 RY2) elements in the *ABI4* regulatory
797 region fused to the GUS reporter.

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