Identification and quantification of chimeric sequencing reads in a highly multiplexed RAD-seq protocol

Maria Luisa Martin Cerezo^{1,2*}, Rohan Raval ¹, Bernardo de Haro Reyes ¹, Marek Kucka³,
 Frank Yingguang Chan³ and Jarosław Bryk ^{1*}

5

⁶ ¹Department of Biological and Geographical Sciences, School of Applied Sciences, Univer-⁷ sity of Huddersfield, Queensgate, Huddersfield, England, United Kingdom

⁸ ²AVIAN Behavioural Genomics and Physiology, IFM Biology (IFM), Linköping University,

9 Linköping, Sweden

¹⁰ ³Friedrich Meschier Laboratory of the Max Planck Society, Tübingen, Germany

11

¹² * E-mail: mlmcerezo@gmail.com, j.bryk@hud.ac.uk

13

14 Abstract

Highly multiplexed approaches have become a common practice in genomic studies. They have
 improved the cost-effectiveness of genotyping hundreds of individuals by using combinatorially-

¹⁷ barcoded adapters. These strategies, however, can potentially misassign reads to incorrect sam-

¹⁸ ples. Here we used a modified quaddRAD protocol to analyse the occurrence of index hopping

¹⁹ and PCR chimeras in a series of experiments with up to a 100 multiplexed samples per sequenc-

ing lane (total n = 639). We created two types of sequencing libraries: four libraries of Type A,

where PCR reactions were run on individual samples before multiplexing, and three libraries of Type B, where PCRs were run on pooled samples. We used fixed pairs of inner barcodes to iden-

²² Type B, where recks were full on pooled samples. We used fixed pairs of finite bareodes to iden-²³ tify chimeric reads. Type B libraries show a higher percentage of misassigned reads (1.15%)

²⁴ compared to Type A libraries (0.65%). We also quantify the commonly undetectable chimeric

²⁵ sequences that occur whenever multiplexed groups of samples with different outer barcodes are

²⁶ sequenced together on a single flow cell. Our results suggest that these types of chimeric se-

quences represent up to 1.56% and 1.29% of reads in Type A and B libraries, respectively. We review the source of such errors, provide recommendations for developing highly-multiplexed

²³ RAD-seq protocols and analysing the resulting data to minimise the generation of chimeric se-

³⁰ quences, allow their quantification, and provide finer control over the number of PCR cycles

³¹ necessary to generate enough input DNA for library preparation.

32 Key words

³³ Chimeras, RAD-seq, quaddRAD, index hopping, read misassignment, adapters, barcodes

1 Introduction

Development of high-throughput sequencing and reduced representation approaches, such as 35 restriction-site-associated DNA sequencing (RAD-seq), have dramatically reduced the cost of 36 generating vast amounts of sequencing data. RAD-seq (Baird et al., 2008) and its many variants, 37 including but not limited to: ddRADseq (Peterson et al., 2012), quaddRAD-seq (Franchini et 38 al., 2017) and adapterama (Glenn et al., 2019; Bayona-Vásquez et al., 2019) have been used 39 in studies of phylogenetics (Massatti et al., 2016; Lecaudey et al., 2018; Near et al., 2018), 40 phylogeography (Jeffries et al., 2016), association mapping (Nadeau et al., 2014), introgression 41 (Hohenlohe et al., 2013), population structure and genetic diversity (Rodríguez-Ezpeleta et al., 42 2016; Leone et al., 2019; Gao et al., 2017; Martin Cerezo et al., 2020). 43 Many high-throughput methods rely on multiplexing, inclusion of unique identifying se-44 quences in the adapters of each sample (barcodes or indices), pooling of samples, and subse-45 quently sequencing pools on a single sequencing lane. This approach has now become common 46 practice, and single (Poland and Rife, 2012), dual (Glenn et al., 2019; Peterson et al., 2012) 47 and quadruple barcodes (Franchini et al., 2017; Bayona-Vásquez et al., 2019) have been de-48 veloped. While these approaches greatly reduce the costs of sequencing, they can also increase 49 the number of misassigned reads (MacConaill *et al.*, 2018), where sequences from one sample 50 are incorrectly assigned to another due to sequencing errors, nucleotide misincorporations and 51 contamination of adapters during synthesis or library preparation (Van Der Valk et al., 2019), 52 amongst others. 53 PCR chimeras are reads composed of distinct parental sequences, and are one source of 54 read misidentification (Fonseca *et al.*, 2012). Spontaneous dissociation of polymerases from the 55

template molecules during amplification can occur due to low processivity, secondary structures 56 of DNA, or incomplete extension of DNA during the PCR (Smyth et al., 2010), and can lead to 57 the formation of incomplete sequences. These fragments can act as primers during subsequent 58 amplification cycles, and produce artificial PCR products containing fragments of sequences 59 containing barcodes from two different samples. Similarly, index hopping, caused by free-60 floating primers resulting from insufficient DNA purification, erroneous size selection of the 61 library, or due to fragmentation during improper storage, can prime template DNA molecules on 62 a sequencing flow cell prior to exclusion amplification (Van Der Valk *et al.*, 2019). The presence 63 of both chimeric and index-hopped sequences can lead to inflated measures of diversity, and bias 64 population genetics parameters in downstream analyses (Smyth et al., 2010; Van Der Valk et 65 al., 2019). 66

Additionally, chimeras can occur whenever a single flow cell is filled with groups of samples that were processed independently but which share inner barcodes, such as in quaddRAD (Franchini *et al.*, 2017). In this case, the chimeric sequences are impossible to differentiate from genuine samples during downstream analysis. Only when unique combinations of both inner and outer barcoded adapters are used, can such chimeric reads be identified, quantified and eliminated during analysis. While PCR-free protocols for library preparation can alleviate the problem of read misassignment, studies have found considerable read misassignment in these

⁷⁴ libraries (Costello *et al.*, 2018). Furthermore, they require a large amount of high-quality DNA,
 ⁷⁵ which is often not available.

The incidence of index-hopping during cluster generation of the Illumina HiSeq-X and No-76 vaSeq platforms has been reported as less than 1% (Van Der Valk *et al.*, 2019). However, the 77 still widely used platforms utilising exclusion amplification (ExAmp) cluster generation such as 78 the HiSeq 3000/4000 have reported misassignment rates up to 10% (Sinha et al., 2017), and up 79 to 30% in PCR reactions (Wang and Wang, 1996). We note, however, that published analyses 80 of chimeric sequences were obtained on relatively few samples, and considered formation of 81 chimeric sequences only during cluster generation or only during library preparation. 82 Here, we quantify the prevalence of chimeric sequences in two large-scale, highly multi-83

plexed experiments (86 to 100 samples multiplexed per lane of sequencing, total number of 84 samples = 639). We assessed the contribution of both PCR amplification and sequencing to the 85 generation of chimeric sequences, by preparing two types of libraries: Type A, where adapter 86 ligation and PCR amplification was carried out on each sample individually, and Type B, where 87 samples were pooled before amplification and addition of outer adapters, respectively. Our 88 design also allows for identification of chimeric sequences that are otherwise undetectable: se-89 quences formed between groups of samples that share some combinations of inner adapters, but 90 that were processed in different multiplexed groups (Figure 2, Step 2). Overall, we identify and 91 quantify four types of chimeric sequences (Type I - IV, Figure 2, Step 3 and Methods 2.4). The 92 details of the experimental design and illustration of the different types of chimeras are shown 93 in Figure 2. Based on our findings, we provide recommendations for adapter design and data 94 analysis to minimise the number of misassigned reads. 95

96 2 Material and Methods

97 2.1 Adapter design

Adapters were designed following the quaddRAD protocol (Franchini *et al.*, 2017). Restriction enzyme overhangs were modified for SbfI and MseI. 8bp-long barcodes were designed using EDITTAG (Faircloth and Glenn, 2012), with a minimum Levenshtein distance of 4 nucleotides, GC content of 40-60% and avoiding sequences that were self-complementary and containing more than two adjacent, identical bases. From 102 tags suggested by EDITTAG, sequences that reconstructed SbfI and MseI restriction sites were removed manually. 18 tags were selected for the inner adapters and 8 for the outer adapters, giving a total of 144 possible combinations.

Four random nucleotides (5'-VBBN-3') were also incorporated into the inner adapters to allow *in silico* identification of PCR duplicates (Figure 1). Inner adapters were used in fixed pairs while outer adapters have been used combinatorially. A complete list of adapter sequences can be found in Supplementary Materials Tables 1-3.



Figure 1: Elements of adapter sequences. Modified from Franchini et al. (2017)

109 2.2 Library preparation

DNA from 459 *Apodemus flavicollis* and 180 *Apodemus sylvaticus* tissue samples were extracted following Martin Cerezo *et al.* (2020). Seven libraries were prepared following a modified version of Franchini *et al.* (2017) protocol.

Four libraries (n = 164 A. *flavicollis* and 180 A.sylvaticus, 86 samples per library), hence-113 forth called Type A, were prepared with each sample individually amplified to allow for the 114 quantification of sequencing chimeras or index-hopped reads only. The three remaining li-115 braries (n = 295 A. *flavicollis* samples including 96, 99 and 100 samples per library), henceforth 116 called Type B, were multiplexed following restriction digestion and ligation of barcoded inner 117 adapters before they were amplified as a pool. This allowed for the quantification of the to-118 tal number of chimeric sequences, which originated both during sequencing and during PCR 119 amplification. 120

121 2.2.1 Type A libraries: individual PCR reactions

Inner adapters were prepared by annealing each single-stranded oligonucleotide with its complementary strand. 5 µl of each bottom and top strands at 100µM were mixed with 40 µl of annealing buffer (50 mM NaCl, 10 mM Tris-Cl, pH 8.0), heated to 98°C for 2.5 min and cooled at a rate of 1°C per minute down to 15°C. Once prepared, the adapters were kept at -20°C and used within 2 weeks.

¹²⁷ 60 ng of genomic DNA was then digested and ligated to the inner adapters in a single-step ¹²⁸ 40 μ l reaction containing 4 μ L 10x CutSmart buffer, 1.5 μ L *Mse1* (10 U/ μ L), 0.75 μ L *Sbf1* (20 ¹²⁹ U/ μ L), 4 μ L ATP (10mM), 1 μ L T4 DNA ligase (400 U/ μ L), 0.75 μ L of each quaddRAD_i5n and ¹³⁰ quaddRAD_i7n inner adapters (10 μ M), ddH₂O to 40 μ L and incubated for three hours at 30 °C ¹³¹ in a thermocycler. The reaction was stopped with 10 μ l of 50 mM EDTA. Samples were purified ¹³² and double size selected using 0.4x and 0.8x Sera-Mag SpeedBeads solution (GElifesciences, ¹³³ Marlborough, MA, USA) containing 10 mM Tris base, 1 mM EDTA, 2.5 M NaCl, 20% PEG

¹³⁴ 8000 and 0.05% Tween 20 (pH 8.0), and eluted in 30 µL 10mM Tris-HCl.

To introduce the outer barcoded adapters, an indexing PCR was carried out in a 50 μ l re-135 action containing 4 μ l of each i5 and i7 primers (5mM), 1 μ l of dNTPs (10 mM), 10.5 μ l of 136 purified water, 10 µl of 5x Q5-HF Buffer, 0.5 µl of Q5-HF DNA Polymerase (New England 137 Biolabs, Frankfurt am Main, Germany) and 20 µl of template DNA. After an initial denatura-138 tion step of 30 seconds at 98°C, the PCR reaction was carried out in 14 cycles (15 seconds at 139 98°C, 30 seconds at 67°C and 60 seconds at 72°C) and a final elongation at 72°C for 2 min-140 utes. Purification was performed using 0.8x Sera-Mag SpeedBeads solution (GElife- sciences, 141 Marlborough, MA, USA) and DNA was eluted in 22 µl Tris-HCl (10 mM). 142

Samples were multiplexed by combining 10 ng of each sample in Plates 1 and 4 and 20 ng of
 each samples in Plates 2 and 3. Libraries were then size-selected to 300-600 bp using BluePip pin (Sage Science, Beverley, MA, USA) and sequenced on Illumina HiSeq 3000 (Illumina Inc.,
 San Diego, CA, USA).

147 2.2.2 Type B libraries: multiplexed PCR reaction

Digestion and ligation reactions were performed as described for Type A libraries, except with
 an initial input of 100 ng of genomic DNA. Samples were then purified using 0.8x SPRI Sera Mag SpeedBeads solution, eluted in 30 µL of Tris-HCl (10 mM) and subsequently equimolarly
 pooled according to inner barcode combinations prior to PCR amplification.

An indexing PCR was carried out to introduce the outer barcoded adapters to each pool of 152 digested DNA and enrich the libraries in a 100 µL reaction containing 8 µL dNTP mix (2.5 153 mM), 20 μL 5x Q5-HF buffer, 4 μL quaddRAD-i5nn primer (10 μM), 4 μL quaddRAD-i7nn 154 primer (10 µM), 1 µL Q5 high-fidelity DNA polymerase (2 U/µL), 50 ng of DNA (restricted, 155 ligated and pooled) and ddH_2O to 100 µL. Reaction conditions were as described for Type 156 A libraries. Each PCR reaction was again purified using 0.8x SPRI Sera-Mag SpeedBeads 157 solution and eluted in 50 µL of tris-HCl (10 mM). 100 ng of each enriched library were then 158 pooled again and size selected to 300-600 bp using Blue Pippin (Sage Science, Beverly, MA, 159 USA) and sequenced on Illumina HiSeq 3000 (Illumina Inc., San Diego, CA, USA). 160

161 2.3 Clone removal

Sequences were demultiplexed based on the outer barcodes by the sequencing center (Genome 162 Centre at the Max Planck Institute for Developmental Biology, Tübingen, Germany). PCR du-163 plicates were identified and removed from each library using the clone_filter programme from 164 Stacks 2.41 (Catchen et al., 2011) and the random nucleotide tags in the inner adapters. Se-165 quences were then demultiplexed based on the inner barcodes, quality-filtered and truncated to 166 136bp with process_radtags, also from Stacks, removing reads with uncalled bases, low quality 167 scores, reads that were marked by Illumina's chastity/purity filter as failing and allowing for 168 barcode and RAD-tags rescue. process_radtags was run 5 times, changing the number of mis-169 matches allowed for barcode rescue from 0 to 4 at each iteration. Samples were demultiplexed 170

using not only combinations of barcodes used for library preparation, but all the possible combinations of inner barcodes, allowing for the quantification of chimeric sequences. The number
of retained reads for each barcode combination and for each one of the process_radtags runs
were recovered from the log files generated by process radtags.

175 2.4 Multiplexed groups

multiplexed groups are defined as a set of samples that share outer adapters. Typically, several 176 of such groups are prepared in parallel, pooled, and then sequenced on a single lane of a se-177 quencing machine. Each one of the four Type A libraries included 8 multiplexed groups of 9 178 samples each, 1 multiplexed group of 8 samples and 1 multiplexed group with 6 samples. Type 179 B libraries had different multiplexed schemes per library. The libraries contained 8 multiplexed 180 groups of 9 samples and 3 multiplexed groups of 8 samples. Library Type B-1 also contained 181 an additional multiplexed group with 4 samples while library Type B-2 contained a multiplexed 182 group with 3 samples. 183

2.5 Identification of chimeric sequences

Based on the combination of inner and outer barcodes used, we divided the identified chimeric
sequences into four types. Summary of the experimental design and the types of chimeric reads
are shown on Figure 2.

Type I chimeras are molecules that contain unused combinations of inner barcodes, both of which were used in the same multiplexed group. For example, inner barcodes "A", "a", "B" and "b" were present in multiplexed group 1, but not in a combination "Ab".

Chimeras of Types II-IV form between sequences from different multiplexed groups and are only detectable if multiplexed groups contain different number of combinations of inner barcodes. For example, multiplexed group 1 contains 4 combinations of inner barcodes (Aa, Bb, Cc, Dd) but multiplexed group 2 contains only 2 (Aa, Bb). These chimeras are detectable when samples are processed in several unequally-sized groups but the same set of inner barcodes is used across all groups.

Type II chimeras are reads containing one inner barcode that was used in a different multiplexed group. For example, the combination of inner barcodes "Bc" in multiplexed group 2 is a chimera type II since this multiplexed group contains barcode "B" but not barcode "c".

Type III chimeras are reads containing a *chimeric* combination of inner barcodes, neither of which was used in their multiplexed group. An example of these type of chimeras is the combination of inner barcodes "Cd" in multiplexed group 2, since this multiplexed group does not contain neither barcodes "C" nor "d". Barcode "C" was used in multiplexed group 1 and barcode "d" in multiplexed group 3.

Finally, Type IV chimeras are reads containing a correct combination of inner barcodes that were used in other multiplexed groups but not in the group where they were detected. The combination "Dd" in multiplexed group 2 is one of these chimeras since multiplexed group 2

All multiplexed groups within each library type are mixed together and loaded onto a single lane for sequencing combining multiplexed groups and sequencing Sample 2 Sample 3 d 5 Sample 4 a < 6 > Sample 5 (1) B – b (6) Sample 6 Sample 1 Typell The TypeIII T D d 6 TypeIV C 6 Sample 8 a 6 Sample 7 2 D d 6 Sample 9 Typel Correctly assigned sequencing reads 2 2 1 <1>B - b <5> 2 9 1 **Chimeric reads** Step 3: V V V multiplex PCR reactions (S) () () amplification + outer adapter ligation Library Type B 9 ₿ ₽ ₽ Ğ Groups of samples are processed either as Type A or Type B libraries Mulitiplexed group 3 (samples 7-9, outer adapters 2+6) Mulitiplexed group 1 Mulitiplexed group 2 outer adapters 1+5) (samples 5-6, outer adapters 1+6) (samples 1-4, Step 2: individual PCR reactions Library Type A 9 2 6) A-a 0-q 0-q Ì Samples are grouped based on outer adapters to be ligated in step 2 9 2. **2** DNA digestion + inner adapter ligation (on individual samples only) Sample 7 A a Step 1: A Sample 2 B Sample 1

and rectangles while outer adapters are represented by numbers and hexagons. Combinations of the same uppercase and lowercase letters represent the unique combinations of inner barcoded adapters used in the protocol. Any other combination Figure 2: Summary of the experimental design and the types of chimeric reads. Inner adapters are represented by letters of letters represent chimeric combinations of adapters. Multiplexed groups are groups of samples processed together in the protocol

7

does not contain barcodes "D" nor "d" but this combination of barcodes was used in multiplexed
 groups 1 and 3. In our protocol, it was only possible to detect Type IV chimeras in 19 out of 75
 multiplexed groups as all other groups were equally-sized.

211 2.6 Counts of sequences with chimeric adapters

For each multiplexed group, unused combinations of barcodes were used to detect chimeric sequences and were quantified as a percentage of total sequences within the multiplexed group. Each of the multiplexed groups included between 2 and 9 samples. We calculated percentage of chimeric sequences in relation to mismatches allowed for barcode rescue and the library type. This allowed comparison of the proportion of chimeras when different number of mismatches were allowed, as well as comparison of the results within and between each library type.

To compare the relative abundance of the different types of chimeras, the percentage of chimeric sequences of each type was calculated relative to the number of reads per plate sequenced. Similarly, the percentage of chimeric sequences was also calculated individually for each possible chimeric combination of barcodes.

Type IV chimeras can only be identified in very specific multiplexing schemes and bar-222 codes combinations, but it is worth emphasising that they are being generated in all multiplexed 223 groups, whether or not the experimental protocol enables their detection. We can directly quan-224 tify a fraction of Type IV chimeras in 19 of our 75 multiplexed groups, but we expect that in 225 other multiplexed groups or combinations of barcodes Type IV chimeras represent a similar 226 percentage of missassigned reads. We estimated the number of Type IV chimeras, including 227 their generation between all possible combinations of adapters that could produce them, with 228 the following formula: 229

$$est_chimIV = \frac{\frac{obs_chimIV}{total_mg_reads} \times total_reads}{\frac{pos_chimIV}{total_chimIV}}$$

230 Where:

²³¹ obs_chimIV: Number of observed type IV chimeras

²³² total_mg_reads: Number of reads in multiplexed groups with observed type IV chimeras

²³³ pos_chimIV: Total number of cases that could produce chimeras type IV

total_chimIV: Number of cases where chimeras type IV were identified

²³⁵ total_reads: Total number of reads

236

²³⁷ Calculations were performed only considering 0 mismatches for barcode rescue.

238 **3** Results

3.1 Multiplex PCR increases the proportion of sequences with chimeric adapters

Type A libraries, where indexing PCRs were conducted on each sample independently, consistently produced fewer chimeric sequences, as a percentage of total sequences, than Type B libraries, at the same number of mismatches during barcode rescue (Figure 3). Overall, demultiplexing with perfect barcodes showed a median of 0.59% (max = 1.20%, min = 0.33%, mean= 0.65%) and 1.09% (max = 2.33%, min = 0.31%, mean = 1.15%) chimeric sequences for Type A and Type B libraries, respectively.

Increasing the number of mismatches for barcode rescue from zero to four also increased the percentage of chimeric sequences detected in both library types to a median of 4.12% (max=7.03, min=2.43, mean = 4.37%) for Type A and 8.31% (max=11.90, min=3.96, mean = 7.85%) for Type B, as a greater number of reads was retained by process_radtags. In all cases, differences between Type A and Type B libraries were significant (Figure 3, Mann Whitney U test, p<0.001).



Figure 3: Percentage of chimeric sequences in Type A (grey; PCR on individual samples: includes only sequencing chimeras) and Type B libraries (black, PCR on multiplexed groups of samples, includes PCR and sequencing chimeras) for each level of barcode rescue.

3.2 Differences in percentage of chimeric sequences within Type A or Type B libraries are smaller than between the two libraries

Percentage of chimeric sequences within independently prepared libraries of the same type (four libraries of Type A and three of Type B) were more similar to one another then between libraries of different type (Figure 4). The only differences between libraries of the same type were between Type A-4 vs Type A-1 and Type A-4 vs Type A-3 ($H_3 = 13.3$, p = 0.004, post-hoc Dunn test). No other differences were detected between any other of combination libraries.



Figure 4: Percentage of chimeric sequences in independently prepared libraries of Type A and Type B for each level of barcode rescue.

3.3 The proportion of chimeric sequences increases with the number of mismatches allowed in barcode rescue

Allowing mismatches for barcode rescue enables recovery of sequences with uncalled or erroneous base calls in the barcode sequence. Therefore, any increment in the number of mismatches will increase the number of reads retained after demultiplexing with process_radtags. It will also increase the proportion of chimeric sequences.

The overall proportion of such sequences increased significantly with each increment in the number of mismatches allowed for barcode rescue, from 0 to 4 (Kruskall-Wallis test: $H_4 = 287$, p < 0.001). The closer the number of mismatches is to the distance between barcodes (in our case, 4 nucleotides), the larger the increase in the proportion of chimeric sequences (Figure 5).



Figure 5: Percentage of chimeric sequences in total number of DNA sequences recovered from both library types for each level of barcode rescue.

Importantly, the number of new reads retained during demultiplexing remain higher than the number of new chimeric sequences detected by process_radtags when we increase the number of mismatches for barcode rescue up to three (Figure 6). Past this point, when the number of mismatches equals the distance between barcodes, the number of new chimeric sequences detected overtakes the number of new retained reads, indicating that increasing the number of mismatches past three has no additional benefit.



Figure 6: Number of new sequences obtained for each iteration on the number of mismatches allowed for barcode rescue. Solid lines represent the new chimeric sequences while dashed lines represent the total number of new reads. Colour indicates library type (Black: Type A, Grey: Type B)

3.4 Quantification of different types of chimeric sequences

When the multiplexed groups are equally-sized, containing 9 samples, among all possible com-277 binations of inner barcodes (n = 81), 11,1% identify genuine reads while the remaining 88,9%278 identify chimeric sequences. In these cases, 56 out 75 multiplexed groups, only chimeras Type I 279 are detectable. Since chimeras type II-IV are only detectable in a much smaller fraction of mul-280 tiplexed groups, chimeras type I seem to be the predominant fraction of chimeras per library 281 type (Figure 7). When only chimeras type I are detectable, chimeras type II and III will be 282 identified as chimeras type I while chimeras type IV will be misidentified as genuine samples. 283 In multiplexed groups where the number of multiplexed samples was lower than 9, it is 284

possible to identify chimeras Type II, III and IV. In these cases, 19 out of the 75 multiplexed groups in our protocol, chimeras type IV are the most abundant chimeras when less than 3



²⁸⁷ mismatches were allowed for barcode rescue (Figure 8).

Figure 7: Percentage of chimeric sequences in each library type, relative to the total number of reads per plate sequenced.



Figure 8: Percentages of four different types of chimeras in each library type. Percentages have been calculated individually for each combination of barcodes.

As it was only possible to detect Type IV chimeras in 19 out of the 75 multiplexed groups, we estimated the expected total number of Type IV chimeras should the protocol had been

constructed to detect all the Type IV chimeras. In Type A libraries, 182768 type IV chimeras (0.01% of the total reads from the library) were observed, while the estimated number of type IV chimeras is 28280095 reads (1.56% of the total reads in the library). In Type B libraries, we observed 205518 type IV chimeras (0.019% of the total number of reads in the library) of the estimated 13713202 reads (1.29% of the total number of reads in the library).

295 4 Discussion

High multiplexed approaches for reduced representation libraries such as RAD-seq have considerably reduced the cost of genotyping of hundreds of samples (Bayona-Vásquez *et al.*, 2019;
Franchini *et al.*, 2017). However, library preparation methods introduce a number of artefacts
that must be considered when designing a RAD-seq study and its analysis (Andrews *et al.*, 2016). The formation of sequences with chimeric adapters, particularly the ones produced by
index hopping, is one of such artefacts, which a number of previous studies have attempted to
quantify (MacConaill *et al.*, 2018; Van Der Valk *et al.*, 2019; Costello *et al.*, 2018).

Here, we extend these analyses to a much larger (total n = 639) and highly multiplexed 303 experiment (86 to 100 samples multiplexed), such that has now become common in ecolog-304 ical and evolutionary genomics research. Additionally, we consider variation in the library 305 preparation protocol that affect the proportions of chimeric sequences by analysing chimeric 306 sequences formed during both sequencing only and during indexing PCR and amplification 307 during sequencing combined. Finally, we assess the effects of barcode rescue on the propor-308 tion of chimeric reads identified and quantify specific types of chimeric sequences (types II-IV) 309 that are impossible to detect in a typical experiment with the same number of samples in every 310 multiplexed group. As our model system *Apodemus spp* does not have the reference genome 311 available, we were not able to identify intra-individual chimeras: chimeric sequences produced 312 between different sequences from the same individual. Such sequences can typically be re-313 moved from downstream analysis by mapping to a reference genome. 314

Overall, we show that the proportion of chimeric sequences is generally low for type A libraries: mean=0.65%, median=0.59%, stdev=0.21. Pooling samples early in the protocol (prior to the indexing PCR, as in our type B libraries) roughly doubles the proportion of detectable chimeric sequences: mean=1.15%, median=1.09%, stdev=0.43, thus increasing read misassignment.

We also show that this proportion is relatively stable throughout several sequencing runs (Supplementary Materials Table 4, 4). In our case, more chimeric sequences identified in library type A-4 have likely arisen due to inclusion of degraded DNA samples. As read length negatively correlates with the frequency of index hopping in a sequencing library (Van Der Valk *et al.*, 2019), it could explain the greater proportion of chimeric reads in type A-4 library compared to other runs of libraries of type A.

Previous studies (Van Der Valk *et al.*, 2019) have identified similar percentages of chimeric reads - 0.47% - to those obtained in our type A libraries, in a similar protocol that eliminated the possibility of generating chimeras at the indexing PCR stage. In other works, higher proportions
of chimeric reads were reported, including in the PCR-free protocols (1.5%, (Ros-Freixedes *et al.*, 2018)), Illumina Guidelines (2%, (Illumina, 2017)) or 1.2% in a study by Costello *et al.*(2018). The latter study explained their proportions by low yield of the libraries and a high
proportion of free-floating primers on the flow cell.

Our experimental design, with all barcodes separated by 4 nucleotides to minimise read misassignment due to sequencing errors, demonstrated that increasing the number of allowed mismatches during barcode rescue in a demultiplexing step increases the proportion of chimeric sequences by as much as 10 fold (Figure 3). Our data shows that allowing more than 2 nucleotides difference in barcode rescue results in extremely high proportion of chimeric sequences, to the point where they become more prevalent in the data than the increase in the number of retained reads due to barcode rescue.

Our results indicate that chimeras type I are the most frequent type of chimeras (0.617%) 340 and 1.082% of the total reads for libraries of Type A and Type B respectively), having in mind 341 that our protocol was dominated by equally-sized multiplexed groups. Although the frequency 342 of non-Type I chimeric sequences detected in our protocol is much lower (0.101%, 0.005% and 343 0.07%, of the total reads for chimeras Type II, III and IV in libraries Type A; 0.112%, 0.02%344 and 0.072%, of the total reads for chimeras of Type II, III and IV in libraries Type B), most 345 chimeras of Type IV are undetectable in our protocol. If our protocol allowed for detection 346 of all Type IV chimeras, we estimate that they would constitute a 100-fold larger fraction of 347 chimeric sequences. 348

Therefore, the principal issue with Type IV chimeras is that they are misassigned as genuine 349 samples and they are impossible to detect in the analysis pipeline when multiplexed groups are 350 of the same size. Chimeras Type II and III, in contrast, are routinely classified as chimeras Type 351 I in RAD-seq protocols with equally-sized multiplexed groups and therefore can be removed 352 during the analysis. It is overall, however, difficult to assess the impact of chimeric sequences 353 on downstream analyses and simulations would be needed to estimate the effects of chimeric 354 sequences incorporated into the final genotypes. Nevertheless, we can suggest steps that would 355 minimise their impact in any highly-multiplex RAD-seq experiment. 356

In experimental designs where costs are less constrained, we would recommend use of fixed 357 pairs of inner and outer adapters for each sample and multiplexed groups, respectively. Al-358 though this increases the cost associated with development and adapter synthesis, fixed pairs 359 of barcodes will minimise the probability of biasing downstream analyses due to read misas-360 signment (Van Der Valk et al., 2019). We would also recommend performing indexing PCRs 361 on each sample individually. PCR duplicates might have little effect on genotype calls (Euclide 362 et al., 2020), however, we still recommend the inclusion of a random nucleotides to identify 363 them. The major benefit of being able to identify PCR duplicates and chimeras is the ability to 364 increase the number of PCR cycles in the samples' amplification step, increasing the amount of 365 input material available. In cases where the input materials is scarce and/or degraded, control-366 ling for chimeric sequences becomes more important, as their proportion increases with shorter 367 read length and increasing number of mismatches allowed during barcode rescue. 368

When costs are a limiting factor, we suggest adopting a hybrid approach, similar to the one described here: use of fixed pairs of inner barcodes only and pooling the samples for the indexing PCR. This approach still enables adequate control of the chimeric sequences in the data, while saving costs during library preparation. Nevertheless when using this approach, one should consider not including all combination of inner barcodes in every multiplexed group to be able to estimate the frequency of chimeras type IV that are being misassigned to genuine samples.

376 5 Acknowledgements

The authors wish to thank all collaborators that have contributed samples used in this project 377 (in alphabetical order): Dr Jan Boratyński, Mammal Research Institute, Polish Academy of 378 Sciences, Białowieża, Poland, Dr Dougie Clarke, University of Huddersfield, UK, Dr Jerry 379 Herman, National Museums Scotland, Edinburgh, UK, Dr Vladimir Jovanovic, Freie Univer-380 sität Berlin, Germany, Dr Johan Michaux, University of Liège, Belgium, Dr Joana Pauperio, 381 CIBIO, University of Porto, Portugal and Dr Karol Zub, Mammal Research Institute Polish 382 Academy of Sciences, Białowieża, Poland. MLMC, RR and JB also wish to acknowledge the 383 use of the Orion High Performance Computing cluster at the University of Huddersfield. 384

385 6 Data Accessibility

All the code and the demultiplexing information produced by process_radtags, as well as the tables constructed used to perform all the analyses described here are available on GitHub: https://github.com/Marisa89/chimeric_adapters. The data from Type A libraries is available in EBI SRA repository under accession number PRJNA554851. The data from Type B libraries will be made available upon acceptance of the manuscript.

7 Funding

This work was supported by the University of Huddersfield, the Friedrich Miescher Laboratory of the Max Planck Society and the Microsoft Azure for Research grant awarded to MLMC and JB.

References

Andrews, K.R., Good, J.M., Miller, M.R., Luikart, G., and Hohenlohe, P.A. (2016). "Harness ing the power of RADseq for ecological and evolutionary genomics". In: *Nature Reviews Genetics* 17.2, p. 81.

Baird, Nathan A, Etter, Paul D, Atwood, Tressa S, Currey, Mark C, Shiver, Anthony L, Lewis, 399 Zachary A, Selker, Eric U, Cresko, William A, and Johnson, Eric A (2008). "Rapid SNP 400 discovery and genetic mapping using sequenced RAD markers". In: *PloS one* 3.10, e3376. 401 Bayona-Vásquez, N.J., Glenn, T.C., Kieran, T.J., Pierson, T.W., Hoffberg, S.L., Scott, P.A., 402 Bentley, K.E., Finger, J.W., Louha, S., Troendle, N., Diaz-Jaimes, P., Mauricio, R., and 403 Faircloth, B.C. (2019). "Adapterama III: Quadruple-indexed, double/triple-enzyme RAD-404 seq libraries (2RAD/3RAD)". In: PeerJ 7, e7724. 405 Catchen, J.M., Amores, A., Hohenlohe, P., Cresko, W., and Postlethwait, J.H. (2011). "Stacks: 406 building and genotyping loci de novo from short-read sequences". In: G3: Genes, genomes, 407 genetics 1.3, pp. 171-182. 408 Costello, M., Fleharty, M., Abreu, J., Farjoun, Y.i, Ferriera, S., Holmes, L., Granger, B., Green, 409 L., Howd, T., Mason, T., Vicente, G., Dasilva, M., Brodeur, W., DeSmet, T., Dodge, S., 410 Lennon, N.J., and Gabriel, S. (2018). "Characterization and remediation of sample index 411 swaps by non-redundant dual indexing on massively parallel sequencing platforms". In: 412 BMC genomics 19.332. 413 Euclide, Peter T, McKinney, Garrett J, Bootsma, Matthew, Tarsa, Charlene, Meek, Mariah H, 414 and Larson, Wesley A (2020). "Attack of the PCR clones: Rates of clonality have little effect 415 on RAD-seq genotype calls". In: Molecular ecology resources 20.1, pp. 66-78. 416 Faircloth, B.C. and Glenn, T.C. (2012). "Not all sequence tags are created equal: designing and 417 validating sequence identification tags robust to indels". In: *PloS one* 7.8, e42543. 418 Fonseca, V.G, Nichols, B., Lallias, D., Quince, C., Carvalho, G.R., Power, D.M., and Creer, 419 S. (2012). "Sample richness and genetic diversity as drivers of chimera formation in nSSU 420 metagenetic analyses". In: Nucleic Acids Research 40.9, e66–e66. 421 Franchini, P., Monné Parera, D., Kautt, A.F., and Meyer, A. (2017). "quaddRAD: a new high-422 multiplexing and PCR duplicate removal ddRAD protocol produces novel evolutionary in-423 sights in a nonradiating cichlid lineage". In: Molecular Ecology 26.10, pp. 2783–2795. 424 Gao, Y., Yin, S., Wu, L., Dai, D., Wang, H., Liu, C., and Tang, L. (2017). "Genetic diversity and 425 structure of wild and cultivated Amorphophallus paeoniifolius populations in southwestern 426 China as revealed by RAD-seq". In: Scientific reports 7.1, pp. 1–10. 427 Glenn, T.C., Nilsen, R.A., Kieran, T.J., Sanders, J.G., Bayona-Vásquez, N. J, Finger, J.W., Pier-428 son, T.W., Bentley, K.E., Hoffberg, S.L., Louha, S., García-De León, F.J., Del Río-Portilla, 429 M.A., Reed, K.D., Anderson, J.L., Meece, J.K., Aggrey, S.E., Rekaya, R., Alabady, M., 430 Bélanger, M., Winker, K., and Faircloth, B.C. (2019). "Adapterama I: universal stubs and 431 primers for 384 unique dual-indexed or 147,456 combinatorially-indexed Illumina libraries 432 (iTru & iNext)". In: PeerJ 7, e7755. 433 Hohenlohe, P.A., Day, M.D., Amish, S.J., Miller, M.R., Kamps-Hughes, N., Boyer, M.C., Muhlfeld, 434 C.C., Allendorf, F.W., Johnson, E.A., and Luikart, G. (2013). "Genomic patterns of intro-435 gression in rainbow and westslope cutthroat trout illuminated by overlapping paired-end 436 RAD sequencing". In: *Molecular ecology* 22.11, pp. 3002–3013. 437 Illumina (2017). "Effects of index misassignment on multiplexing and downstream analysis". 438 In: URL: https://www.illumina.com/content/dam/illumina-marketing/ 439

documents/products/whitepapers/index-hopping-white-paper-770-2017-004.pdf.

Jeffries, D.L., Copp, G.H., Lawson Handley, L., Olsén, K.H., Sayer, C.D., and Hänfling, B.

443 (2016). "Comparing RADseq and microsatellites to infer complex phylogeographic pat-444 terns, an empirical perspective in the Crucian carp, Carassius carassius, L." In: *Molecular*

ecology 25.13, pp. 2997–3018.

Lecaudey, L.A., Schliewen, U.K, Osinov, A.G., Taylor, E.B., Bernatchez, L., and Weiss, S.J. (2018). "Inferring phylogenetic structure, hybridization and divergence times within Salmoni-

nae (Teleostei: Salmonidae) using RAD-sequencing". In: *Molecular phylogenetics and evolution* 124, pp. 82–99.

Leone, A., Álvarez, P., García, D., Saborido-Rey, F., and Rodriguez-Ezpeleta, N. (2019). "Genome-

wide SNP based population structure in European hake reveals the need for harmonizing

- ⁴⁵² biological and management units". In: *ICES Journal of Marine Science* 76.7, pp. 2260–
 ⁴⁵³ 2266.
- 454 MacConaill, L.E, Burns, R.T, Nag, A., Coleman, H. A, Slevin, M.K, Giorda, K., Light, M.,

Lai, K., Jarosz, M., McNeill, M.S, Ducar, M.D., Meyerson, M., and Thorner, A.R. (2018). "Unique, dual-indexed sequencing adapters with UMIs effectively eliminate index crosstalk and significantly improve sensitivity of massively parallel sequencing". In: *BMC genomics* 19.30.

Martin Cerezo, M.L, Kucka, M., Zub, K., Chan, Y.F, and Bryk, J. (2020). "Population structure
 of Apodemus flavicollis and comparison to Apodemus sylvaticus in northern Poland based
 on RAD-seq". In: *BMC genomics* 21.241.

462 Massatti, R., Reznicek, A. A, and Knowles, L.L. (2016). "Utilizing RADseq data for phyloge-

netic analysis of challenging taxonomic groups: A case study in Carex sect. Racemosae".
 In: American Journal of Botany 103.2, pp. 337–347.

Nadeau, N.J., Ruiz, M., Salazar, P., Counterman, B., Medina, J.A, Ortiz-Zuazaga, H., Morrison,
A., McMillan, W.O., Jiggins, C.D, and Papa, R. (2014). "Population genomics of parallel
hybrid zones in the mimetic butterflies, H. melpomene and H. erato". In: *Genome research*24.8, pp. 1316–1333.

Near, T.J., MacGuigan, D.J., Parker, E., Struthers, C.D., Jones, C.D., and Dornburg, A. (2018).
"Phylogenetic analysis of Antarctic notothenioids illuminates the utility of RADseq for resolving Cenozoic adaptive radiations". In: *Molecular phylogenetics and evolution* 129,

⁴⁷² pp. 268–279.

Peterson, B.K., Weber, J.N., Kay, E.H., Fisher, H.S., and Hoekstra, H.E. (2012). "Double digest
 RADseq: an inexpensive method for de novo SNP discovery and genotyping in model and

non-model species". In: *PloS one* 7.5, e37135.

- ⁴⁷⁶ Poland, J.A. and Rife, T.W. (2012). "Genotyping-by-sequencing for plant breeding and genet-
- 477 ics". In: *The Plant Genome* 5.3, pp. 92–102.

⁴⁷⁸ Rodríguez-Ezpeleta, N., Bradbury, I.R., Mendibil, I., Álvarez, P., Cotano, U., and Irigoien, X.

(2016). "Population structure of Atlantic mackerel inferred from RAD-seq-derived SNP

markers: Effects of sequence clustering parameters and hierarchical SNP selection". In:
 Molecular ecology resources 16.4, pp. 991–1001.

- Ros-Freixedes, R., Battagin, M., Johnsson, M., Gorjanc, G., Mileham, A.J., Rounsley, S.D.,
- and Hickey, J.M. (2018). "Impact of index hopping and bias towards the reference allele on
- accuracy of genotype calls from low-coverage sequencing". In: *Genetics Selection Evolution* 50.1, p. 64.
- ⁴⁸⁶ Sinha, R., Stanley, G., Gulati, G.S., Ezran, C., Travaglini, K.J., Wei, E., Chan, C.K.F., Nabhan,
- 487 A.N., Su, T., Morganti, R.M., Conley, S.D., Chaib, H., Red-horse, K., Longaker, M.T., Sny-
- der, M.P., and Krasnow M.A. abd Weissman, I.L. (2017). "Index switching causes "spreadingof-signal" among multiplexed samples in Illumina HiSeq 4000 DNA sequencing". In: *BioRxiv*,
- ⁴⁹⁰ p. 125724.
- ⁴⁹¹ Smyth, R.P, Schlub, T.E, Grimm, A, Venturi, V., Chopra, A., Mallal, S., Davenport, M.P, and
- ⁴⁹² Mak, J. (2010). "Reducing chimera formation during PCR amplification to ensure accurate ⁴⁹³ genotyping". In: *Gene* 469.1-2, pp. 45–51.
- ⁴⁹⁴ Van Der Valk, T., Vezzi, F., Ormestad, M., Dalen, L., and Guschanski, K. (2019). "Index hop-
- ⁴⁹⁵ ping on the Illumina HiseqX platform and its consequences for ancient DNA studies". In:
- 496 *Molecular ecology resources*.
- ⁴⁹⁷ Wang, G.C.Y. and Wang, Y. (1996). "The frequency of chimeric molecules as a consequence of
- ⁴⁹⁸ PCR co-amplification of 16S rRNA genes from different bacterial species". In: *Microbiol-*⁴⁹⁹ *ogy* 142.5, pp. 1107–1114.