1 TITLE PAGE

Gsx2 but not Gsx1 is necessary for early forebrain patterning and long-term survival in zebrafish

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14 ABSTRACT

Central nervous system (CNS) development is regulated by regionally expressed 15 transcription factors that impart initial cell identity, connectivity, and function to neural circuits 16 through complex molecular genetic cascades. genomic screen homeobox 1 and 2 (gsx1 and gsx2) 17 18 encode homeobox transcription factors expressed in the developing CNS in multiple vertebrates 19 examined to date. However, we have limited knowledge of the expression of these transcription factors and the gene networks that they regulate across developing brain regions in zebrafish. 20 The objective of this study was to comprehensively examine gsx1 and gsx2 expression 21 22 throughout neurodevelopment and characterize gsx1 and gsx2 mutants to study the essential roles 23 of these closely related transcription factors. Using RT-PCR, whole-mount in situ hybridization 24 (WISH), and fluorescence *in situ* hybridization, we examine gsx1 and gsx2 expression from early embryonic to late larval stages. gsx1 is expressed initially in the hindbrain and diencephalon and 25 26 later in the optic tectum, pretectum, and cerebellar plate. Comparatively, gsx2 is expressed in the 27 early telencephalon and later in the pallium and olfactory bulb. g_{sx1} and g_{sx2} are regionally coexpressed in the hypothalamus, preoptic area, and hindbrain, however rarely co-localize in the 28 29 same cells. To identify forebrain target genes, we utilize mutants made with Transcription 30 activator-like effector nucleases (TALEN). gsx1 mutant zebrafish exhibit stunted growth, 31 however, they survive through adulthood and are fertile. gsx2 mutant zebrafish experience swim 32 bladder inflation failure that prevents survival past larval stage. Using WISH and RT-qPCR we 33 demonstrate altered expression of genes including, distal-less homeobox genes and forkhead box gene *foxp2*. This work provides novel tools with which other target genes and functions of Gsx1 34 35 and Gsx2 can be characterized across the CNS to better understand the unique and overlapping roles of these highly conserved transcription factors. 36 37 38 39 40

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45 **INTRODUCTION**

Central nervous system (CNS) development is a complex process wherein regionally 46 47 expressed transcription factors contribute significantly in determining initial neuronal cell identity, connectivity, and function¹⁻³. Transcription factors act coordinately to activate or 48 repress target gene expression in progenitor cell domains^{4,5}. Differential gene expression 49 50 amongst neural progenitors generates distinct cell types and specifies neuronal properties, such as cell neurotransmitter content as seen in mouse^{6–8}, chicken⁹, and zebrafish^{10–12}. This process 51 ultimately imparts initial identity to mature neuronal cells and forms the basis for neural circuit 52 53 assembly and function. Thus, defining the spatiotemporal expression patterns and essential roles 54 of vertebrate transcription factors is important for elucidating the functional mechanisms 55 governing neurodevelopment. More importantly, these studies can provide fundamental insights 56 about the molecular genetic contributions to the diverse neuroanatomical and behavioral 57 phenotypes that are associated with neurodevelopmental disorders. 58 genomic screen homeobox 1 and 2 (gsx1 and gsx2, previously gsh1 and gsh2) are closely 59 related genes encoding homeobox transcription factors expressed in the CNS that were discovered in a screen for novel, non-clustered homeobox genes in mouse¹³. Homeobox genes 60 61 characteristically encode transcription factors with a conserved 60-amino acid DNA-binding homeodomain^{14,15}. Genes such as the hox genes specify cell types and body structures along the 62 63 anterior-posterior (AP) axis in many species in patterns collinear with their 5' to 3' chromosomal positions within gene clusters^{15–18}. As non-clustered and pseudo-clustered genes, gsx1 and gsx264 encode homeodomains with high (>80%) similarity to the hox genes^{4,5,19}. gsx1 and gsx2 are the 65 vertebrate homologs of Drosophila melanogaster intermediate neuroblasts defective (ind). ind 66 and the gsx genes similarly regulate dorsoventral (DV) patterning²⁰⁻²², and Ind and murine GSX2 67 elicit similar regulatory outcomes based on monomer versus homodimer DNA binding²³. 68 Interestingly, *ind* and the gsx genes are expressed in similar patterns in the fly neuroectoderm²⁰, 69 mouse neural tube²⁴, and *Xenopus* neural plate²⁵, supporting models for conserved neuroaxis 70 domain specification across species. 71 72 Expression of gsx1 and gsx2 has been described in several vertebrates in varied detail.

 g_{sx1} expression of g_{sx1} and g_{sx2} has been described in several vertebrates in varied detail. g_{sx1} expression patterns are highly conserved across species, beginning in the hindbrain during somitogenesis in mouse²⁴, *Xenopus*²⁵, medaka²⁶, and zebrafish²⁷. During early embryonic stages in mouse G_{sx1} is expressed in the diencephalon and telencephalon and expands to the

hypothalamus, thalamus, optic stalk, medulla, pons, and cerebellum²⁴. Early expression in 76 *Xenopus*, medaka²⁶, and zebrafish^{27,28} occurs in similar regions such as the hypothalamus, 77 olfactory bulb, optic tectum, and cerebellum. gsx1 is also expressed as two dorsolateral stripes in 78 the hindbrain and in the intermediate spinal cord in mice²⁴, medaka²⁶, and zebrafish¹⁰. gsx2 is 79 first detected slightly later than gsx1 in the telencephalon and mesencephalon in mice and in the 80 hindbrain in *Xenopus*^{25,29}. Throughout neurodevelopment gsx2 is expressed in the telencephalon, 81 thalamus, hypothalamus, and cerebellum in mouse²⁹, *Xenopus*²⁵, and zebrafish³⁰. Like gsx1, 82 expression of gsx2 appears similarly across species as two dorsolateral stripes in the hindbrain. 83 Gsx2 is expressed dorsal to Gsx1 in the hindbrain in $Xenopus^{25}$ and in the spinal cord of 84 zebrafish¹⁰, consistent with their roles in DV patterning. Outside of the aforementioned 85 reports^{10,27,28,30}, expression of zebrafish gsx1 and gsx2 has not been comprehensively 86 characterized and compared across all embryonic and early larval stages. Here we capitalize on 87 the zebrafish model, which allows whole brain *in vivo* examination of expression to rigorously 88 define the gsx1 and gsx2 expression profile. Defining a more complete expression profile of the 89 90 gsx genes in zebrafish is an important step forward in elucidating critical Gsx1 and Gsx2 functions. 91

92 GSX1 and GSX2 promote regional neuronal identity in the ventral telencephalon and regulate the development of cortical, striatal, and olfactory bulb interneurons in mice^{21,31-40}. 93 94 Despite having similar roles in progenitor specification, GSX1 and GSX2 differentially regulate progenitor maturation; Gsx2 maintains progenitors in an undifferentiated state while Gsx1 95 promotes maturation by downregulating $Gsx2^{22,34}$. Gsx1 is implicated in hypothalamic and 96 pituitary development, as knockout (KO) mice display a dwarf phenotype, reduced pituitary size, 97 hormonal imbalances, and only survive a few weeks post-birth⁴¹. Consistently, *Gsx1* specifies 98 multiple types of neuropeptidergic neurons in the arcuate nucleus of the hypothalamus⁴². Gsx299 100 mouse KOs do not survive more than one day following birth, exhibit disturbed forebrain and hindbrain morphology⁴³, and have expanded Gsx1 expression in the ventral telencephalon⁴⁴. 101 Interestingly, Gsx1 and Gsx2 double KO mice display more severe forebrain phenotypes than 102 103 Gsx2 single KOs, supporting a model in which GSX1 partially compensates for loss of GSX2 function^{21,44,45}. While much is known in the mouse forebrain, many key neurodevelopmental 104 105 roles for gsx1 and gsx2 remain unknown, and roles for these transcription factors across the CNS 106 have yet to be fully characterized in any vertebrate.

107 Outside of the forebrain, limited functional roles are reported for GSX1 and GSX2 in mammalian and non-mammalian model systems. Gsx1 regulates an identity switch in mouse 108 cerebellar neuronal progenitors in part through BMP/SMAD signaling^{46,47}. Through a Notch 109 signaling dependent mechanism, Gsx1 and Gsx2 regulate the temporal specification of 110 111 glutamatergic and GABAergic interneurons in the mouse spinal cord⁷. In this region Gsx1 also promotes neural stem and progenitor cell generation and decreases reactive glial scar formation 112 to facilitate recovery from injury⁴⁸. gsx1 is implicated as a molecular marker of glutamatergic 113 interneurons in the dorsal brainstem in zebrafish that regulate the acoustic startle response, and 114 zebrafish with ablated gsx1-expressing neurons and mouse Gsx1 knockouts similarly exhibit 115 disrupted responsiveness to single and paired pulse acoustic-vibrational stimuli^{28,49}. In zebrafish, 116 117 gsx2 is required for specification of neurons in the inferior olivary nuclei of the medulla³⁰. gsx1and gsx^2 mark specific progenitor domains in the spinal cord of transgenic zebrafish similarly to 118 mouse, with gsx1 domains specifying glutamatergic, GABAergic, and glycinergic fates, and gsx2 119 domains specifying glutamatergic fates only¹⁰. 120

Some GSX1 and GSX2 transcriptional target genes have been reported in the mouse 121 forebrain and other brain regions^{24,29,45,50,51}. However, target gene regulation by Gsx1 and Gsx2 122 across many brain regions has been understudied across vertebrates, including zebrafish. Several 123 zebrafish orthologs for mouse GSX1 and GSX2 target genes exist, one example being Distal-less 124 homeobox 2 (Dlx2). Two paralogs, dlx2a and dlx2b, are found in the zebrafish genome, with 125 dlx2a predicted to be the ortholog of mammalian $Dlx2^{52}$. In mouse, GSX2 promotes Dlx2126 expression in the ventral telencephalon, while DLX2 in turn represses G_{SX1} and G_{SX2}^{51} , and 127 collectively this promotes ventral identity and mediates proliferative characteristics. Removal of 128 Gsx1 or Gsx2 from a Dlx1 and Dlx2 double mutant background rescues some phenotypes 129 130 observed, demonstrating that GSX/DLX inter-regulation is required for appropriate forebrain patterning. Two other *Dlx* genes, *Dlx5* and *Dlx6*, are similarly expressed in the forebrain of mice 131 and zebrafish^{52,53}. The *Dlx* genes coordinately regulate patterning of inhibitory neurons in the 132 forebrain^{52–54}, and importantly, the *DLX*, *FOX*, and other families of forebrain transcription 133 134 factor encoding genes are implicated in aberrant neuronal signaling observed in patients with various neurodevelopmental disorders (NDDs)^{1,40,55–58}. As such, it is important to investigate 135 136 putative target genes for Gsx1 and Gsx2 to better understand their roles across brain regions

during vertebrate neurodevelopment. In fact, the zebrafish model provides a tool with which thiscan be done rapidly and from the earliest neurodevelopmental time point possible.

In this study, we comprehensively resolve the neurodevelopmental expression of gsx1and gsx2 in the zebrafish brain from early embryonic to late larval stages. Using gsx1 and gsx2zebrafish mutants made using TALEN, we also demonstrate that dlx2a, dlx2b, dlx5a, and dlx6aare differentially regulated by Gsx1 and Gsx2. We further demonstrate that *forkhead box P2* (*foxp2*), a gene that is expressed in the mammalian and zebrafish CNS^{59,60} and is implicated in

- 144 language deficits⁵⁸, is regulated by Gsx2 in the zebrafish telencephalon. These studies are
- significant in that they establish novel tools for investigating Gsx1 and Gsx2 function during

146 neurodevelopment and beyond in zebrafish across CNS regions.

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148 MATERIALS AND METHODS

149 Zebrafish husbandry

- All aspects of this study were approved by the West Virginia University IACUC. Adult zebrafish were maintained on a 14h/10h light/dark cycle at water temperature at 28-29°C.
- 152 Breeding was performed using 1-liter breeding chambers with dividers (Aquaneering). Embryos
- were raised in 90x15mm petri dishes at 28.5°C in E3 media (pH 7.4; 0.005M NaCl, 0.00017M
- 154 KCl, 0.00033M CaCl, 0.00033M MgSO4.7H₂0, 1.5mM HEPES) in an incubator operating on a
- 155 14h/10h light/dark cycle. Staging of embryos was performed using standard procedures⁶¹. The
- 156 following strain was used: TL (Tupfel long fin).
- 157

158 **Bioinformatics**

- 159 Gene and protein sequences for all genes were obtained from the NCBI database
- 160 (https://www.ncbi.nlm.nih.gov; see supplemental table S1 for accession numbers) and aligned
- 161 using Clustal Omega (https://www.ebi.ac.uk/Tools/msa/clustalo/). Geneious was used to
- 162 construct the rooted phylogenetic tree (https://www.geneious.com/academic/). The UCSF
- 163 Genome Browser (http://genome.ucsc.edu/) and Ensembl database
- 164 (http://uswest.ensembl.org/index.html) were used to evaluate exon and intron structures.
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166 Identification of zebrafish gsx1 and gsx2 mRNA transcripts using RT-PCR

167 Embryos and larvae obtained from TL crosses were raised to the desired ages (3.5 hpf-

120 hpf), euthanized, frozen in liquid nitrogen, and stored at -80°C. Total RNA was extracted 168 from 30 embryos and larvae at each age using a phenol chloroform extraction method with TRI-169 170 Reagent (Invitrogen). 1µg of total RNA was used with oligoDT to synthesize cDNA libraries (Superscript II First-Strand Synthesis kit, Invitrogen). 2µg of cDNA was used in 28 cycles of 171 172 PCR with PlatinumTaq (Invitrogen) and intron-spanning gene-specific primers (see 173 supplemental table S2). Amplicons were visualized and imaged using a FluorChemO imager 174 (ProteinSimple) on a 2% agarose gel with SYBR Safe DNA gel stain (Invitrogen) and excised using a blue light transilluminator (Clare Chemical Research). Sanger sequencing was used to 175 confirm identity with NCBI sequences. 176

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Whole-mount in situ hybridization (WISH)

179 Embryos and larvae were raised to the desired ages and supplemented with 0.003%phenylthiourea (PTU) in E3 after 6 hpf to prevent pigmentation. For embryos younger than 48 180 hpf, chorions were removed by incubating in 50µg/mL Pronase (Sigma) at 28.5°C for 15 181 minutes. Embryos and larvae were anesthetized and fixed in cold 4% paraformaldehyde (PFA) 182 overnight at 4°C. Fixed embryos were dehydrated using an increasing methanol wash series in 183 1xPBS (0%, 50%, 100% vol/vol methanol) and stored at -20°C in 100% MeOH for at least 24 184 hours and up to one year. The $gsx I^{27}$ and $dlx 2a^{62}$ probes have been previously reported and were 185 kind gifts of the Eisen and Karlstrom zebrafish labs. The probes for gsx2, dlx2b, dlx5a, dlx6a, 186 187 and *foxp2* were designed in our lab. To generate antisense mRNA probes for gsx2 and dlx2b, 1µg of age-specific total RNA was used with Invitrogen's SuperScript III One-Step RT-PCR kit and 188 189 gene-specific primers (see supplemental table S2) to amplify cDNA in 35 cycles of PCR. 190 Amplicons were separated by 1% agarose gel electrophoresis, extracted using a QIAquick Gel 191 Extraction kit (Qiagen), and subcloned into a pCR2.1 TOPO 4.0kb vector (Invitrogen). Sanger 192 sequencing was used to confirm insert identity and directionality. 5µg of each plasmid was 193 linearized with EcoR1 (gsx2) and Not1 (dlx2b) and probes were transcribed in vitro using SP6 polymerase (mMESSAGE mMACHINE kit, Ambion). To generate antisense mRNA probes for 194 195 dlx5a, dlx6a, and foxp2, 1 µg of age-specific total RNA was used to synthesize cDNA libraries with oligoDT (Superscript II First-Strand Synthesis kit, Invitrogen). 1µg of cDNA was then used 196 197 with gene-specific primers (see supplemental table S2) in 36 cycles of PCR. Amplicons were visualized using a 1% agarose gel with SYBR Safe DNA gel stain and purified using Qiagen's 198

199 QIAquick Gel Extraction kit. Probes were transcribed *in vitro* directly from purified amplicons

using T7 polymerase (*dlx5a* and *dlx6a*) and SP6 polymerase (*foxp2*) (mMESSAGE mMACHINE

201 kit, Ambion). The protocol for colorimetric WISH was adapted from Thisse and Thisse⁶³ and

202 performed essentially as in Bergeron *et al.*²⁸. Embryos were hybridized with a digoxigenin

203 (DIG)-tagged antisense mRNA probes detected by an anti-DIG antibody (Roche) and developed

in NBT/BCIP (Roche). Staining was stopped by post-fixation in cold 4% PFA overnight at 4°C.

205 Stained embryos were cleared in 75% glycerol and stored at 4°C protected from light.

206

207 Fluorescence in situ hybridization (FISH)

FISH procedures were performed according to the In Situ Hybridization Chain Reaction 208 209 v3.0 protocol (Molecular Instruments, Los Angeles, CA)⁶⁴. Embryos were simultaneously 210 hybridized with gsx1 and gsx2 probes (designed by Molecular Instruments) diluted in probe hybridization buffer overnight at 37°C. Excess probe was washed off the following day using 211 212 probe wash buffer. Embryos were incubated for 30 minutes at room temperature in amplification 213 buffer before adding the provided Alexa hairpins specific to the gsx1 (Alexa Fluor 488) and gsx2 (Alexa Fluor 546) mRNA sequences and incubating overnight at room temperature. Embryos 214 215 were washed using 5x SSCT (5x SSC + 0.1% Tween 20) and stored in 5x SSCT at 4°C protected from light. 216

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218 Generation of gsx1 and gsx2 TALEN mutants

219 TALEN were designed using the freely available TALE-NT website that was created and is maintained by labs at Cornell University^{65,66}. TALEN assembly was carried out using the 220 Golden Gate vector system⁶⁶ and separate destination vectors containing a modified FokI 221 domain⁶⁷. 100pg of *in vitro* transcribed mRNA (mMESSAGE mMACHINE kit, Ambion) was 222 223 injected into the cell of each 1-cell stage zebrafish embryo to create G0. TALEN efficacy was 224 checked by amplifying a 436bp fragment around the gsx1 target site and a 409bp fragment 225 around the gsx2 target site using gene-specific primers (see supplemental table S2), followed by 226 restriction digest of these amplicons using BtsI and EcoRI enzymes (NEB) respectively. Disruption of endonuclease cutting as evidenced by the presence of a full-length amplicon was 227 228 considered effective, and siblings of these embryos were raised to adulthood and screened by 229 crossing to wild-type (TL strain) adults to generate F1 offspring with single gsx1 and gsx2

230 mutant alleles. These alleles were sequence confirmed by DNA extraction from a subset of

pooled sibling F1 embryos and PCR using gene-specific primers (see supplemental table S2), 231

232 TOPO-TA sub cloning (Invitrogen), DH5 α transformation, and Sanger sequencing of individual

clones. F1 siblings carrying predicted loss of function mutations were raised to adulthood, 233

234 genotyped, and crossed together to produce homozygous F2 for each new allele as a first pass

mutant screen. Mutant lines are maintained by continuously crossing carriers to TL to eliminate 235

236 possible off-target mutations over time, however, no off-target sites were predicted by TALE-

- 237 NT.
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Genotyping for $gsx1\Delta 11$ and $gsx2\Delta 13a$ alleles

240 When genotyping was required to distinguish isolated gsx1 and gsx2 alleles, tissue was dissected from the most posterior end of the tail to use in DNA preparation. Tail tissue was 241 denatured at 95°C for 10 minutes in DNA lysis buffer (10mM Tris pH 7.5, 50mM KCl, 0.3% 242 Tween20, 0.3% Triton X, 1mM EDTA) and digested using 2mg/mL proteinase-K (Omega) at 243 55°C for at least 2h to overnight. Proteinase-K was heat-inactivated at 95°C for 10 minutes 244 before the DNA was used in a standard DreamTaq (Thermo) PCR reaction with gene-specific 245 246 primers (see supplemental table S2). Amplicons were visualized and imaged using a Syngene NuGenius imager with a blue light transilluminator (Clare Chemical Research) on a 4% agarose 247 248 gel with SYBR Safe DNA gel stain. $gsx I \Delta II$ wild-type individuals have one band (140bp), 249 mutants have one band (129bp), and heterozygotes have two bands at both sizes. $gsx2\Delta I3a$ wild-250 type individuals have one band (134bp), mutants have one band (121bp), and heterozygotes have two bands at both sizes. 251

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253 in silico analyses and expression quantification

254 Sequences for dlx2a, dlx2b, dlx5a, dlx6a, and foxp2 were identified in the NCBI database 255 (see supplemental table S1 for accession numbers). The 25kb region upstream of the 5' UTR of each gene was collected using Ensembl (https://useast.ensembl.org/index.html) and entered into 256 ApE (http://jorgensen.biology.utah.edu/wayned/ape/). Assuming conservation of the GSX1²⁴ and 257 GSX2²⁹ enhancer sequences identified in mouse, a 25kb region upstream of each gene 5'UTR 258 259 was scanned for enhancer sequence variants. Annotated gene body schematics for the Dlx2260 orthologs were designed in Inkscape (https://inkscape.org/) and drawn using sequence

261 information from Ensembl and ApE. Gene expression area was measured using FIJI-ImageJ by

- tracing the area of staining in the diencephalon or telencephalon. The telencephalon area was
- 263 measured and used as a proxy for head size to correct for embryo size differences.
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265 Quantification of *dlx2a* and *dlx2b* expression using RT-qPCR

Embryos derived from heterozygous $gsx1\Delta 11$ and/or $gsx2\Delta 13a$ adults were euthanized 266 267 and dissected at 30 hpf in cold RNAlater (Sigma) chilled by housing a 60x15mm petri dish on 268 ice. A dissection anterior to the spinal cord was made to separate the head from the tail. Heads 269 were stored in RNAlater at 4°C for up to one month and tails were used for DNA extraction and genotyping as previously described. 10-12 embryo heads of the same genotype were combined in 270 271 a single 1.5mL snap tube and total RNA was extracted using a phenol chloroform extraction method with TRI-Reagent. 0.5µg of total RNA was used to synthesize cDNA libraries using 272 273 oligoDT (Superscript II First-Strand Synthesis kit, Invitrogen). 1µL of cDNA was then used in a 274 standard SYBR Green (Bio-Rad) qPCR reaction using gene-specific primers for *ef1a*, *dlx2a*, and 275 *dlx2b* (see supplemental table S2). Samples were run on a Bio-Rad CFX Connect Real Time System using Bio-Rad CFX Maestro 1.1 Software. The $2^{-\Delta\Delta Ct}$ method⁶⁸ was used to analyze raw 276 Ct values and calculate gene expression changes relative to the housekeeping gene $efla^{69}$. 277

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279 Microscopy and imaging

For WISH, embryos at 12 hpf were imaged at 6.3x on a Zeiss Stereo Discovery V.8 dissecting scope with an Axiocam 105 Color camera and analyzed using the ZEN 2.3 Lite software. Embryos of the remaining ages (24 hpf-144 hpf) were dissected and mounted in 75% glycerol under glass coverslips and imaged at 20x on a compound Zeiss Observer.Z1 with an Axiocam 503 Color camera. Imaging of genotyped samples for mutant studies was done blind by using a numeric code that could be aligned with genotype afterwards.

For FISH, embryos were dissected and mounted in 1x PBS under glass coverslips and imaged on an Olympus BX61 confocal microscope with Fluoview FV100 software. Imaging objectives were interchanged depending upon the area being investigated (Olympus UPlanApo, 20x or 40x oil immersion objectives with Olympus Immoil F30CC). Fluorophores used were Alexa Fluor 488 (*gsx1*) and Alexa Fluor 546 (*gsx2*). For standard length measurements, embryos from heterozygous $gsx1\Delta 11$ adults were raised and imaged at 4 dpf, 14 dpf, 1 month, 2 months, and 3 months old. Fish were anesthetized using MS-222, embedded in 1.5% low melt agarose in E3, and imaged next to a ruler on a Zeiss Stereo Discovery V.8 dissecting scope with an Axiocam 105 Color camera. FIJI-ImageJ was

295 used to measure standard length⁷⁰.

296 For swim bladder inflation studies, embryos derived from heterozygous $gsx1\Delta 11$ or

297 $gsx2\Delta 13a$ crosses were raised under standard rearing conditions in 60x15mm petri dishes with

- 298 10mL of E3 media at a density of 30 embryos per dish. The number of larvae with and without
- 299 inflated swim bladders were counted from days 3-6, and E3 was refreshed daily. Larvae were

300 imaged on a Zeiss Stereo Discovery V.8 dissecting scope with an Axiocam 105 Color camera.

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302 Statistics

303 One-way ANOVAs with multiple comparisons and post hoc Tukey tests at $\alpha = 0.05$ were performed in SPSS to evaluate significant differences between genotypes for the gsxl growth 304 study and WISH expression analyses. For RT-qPCR studies, independent two-tailed t-tests at $\alpha =$ 305 0.05 were performed in SPSS to evaluate significant differences between $2^{-\Delta\Delta Ct}$ values calculated 306 307 from raw Ct values. A Chi-square test (Pearson's test) was performed using GraphPad to evaluate the association of genotype and swim bladder inflation at $\alpha = 0.05$. Outliers for the 308 309 growth study and WISH expression analyses were identified using GraphPad (Grubb's test) and 310 removed.

311

312 **RESULTS**

313 gsx1 and gsx2 expression in zebrafish embryos and larvae

314 To assess similarity between the Gsx1 and Gsx2 protein sequences in zebrafish, mouse, and human, we used a bioinformatics approach. We found that zebrafish Gsx1 shares 57/60 315 (95%) amino acids in the homeodomain with human and mouse GSX1 (Fig 1A). Zebrafish Gsx1 316 317 also shares 57/60 (93%) amino acids in the homeodomain with zebrafish, mouse, and human 318 Gsx2. Interestingly, the homeodomain sequence is 100% identical between zebrafish, mouse, and human Gsx2. A rooted phylogenetic tree containing published Gsx1 and Gsx2 protein 319 320 sequences reveals that zebrafish Gsx1 and Gsx2 cluster with their mammalian orthologs and also displays evolutionary divergence from Drosophila ortholog Ind (Fig 1B). 321

322 To document the neurodevelopmental time-course of gsx1 and gsx2 expression in zebrafish, we extracted total RNA from zebrafish embryos and larvae for use in RT-PCR (Fig 323 324 1C). gsx1 expression was identified at 10 hours post fertilization (hpf), consistent with a previous report²⁷, and persisted through our latest time point tested, 120 hpf (Fig 1D). Expression of 325 326 zebrafish gsx2 was first detected at 12 hpf and also persisted through 120 hpf. Interestingly, gsx1 327 expression was observed at 3.5 hpf, suggestive of maternal contributions of gsxl to early 328 embryonic development. However, analysis of maternal zygotic gsx1 TALEN-generated mutants 329 obtained through in vitro fertilization revealed that gsx1 is not an essential maternal factor as 330 maternal zygotic mutants are indistinguishable from zygotic mutants and develop identically (data provided by request). From these results, we can confirm that zebrafish gsx1 and gsx2 are 331 332 expressed in zebrafish from embryonic to larval stages, suggesting an importance in early and later brain development and function. 333

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335 Expression of gsx1 and gsx2 during early development is complementary yet distinct

Known expression of gsx1 in zebrafish is limited to select CNS regions beyond 30 336 $hpf^{10,27}$ and expression of zebrafish gsx2 is minimally reported from 48-72 hpf^{30} . Outside of a 337 transgenic analysis documenting gsx1 and gsx2 expression together in the 36-48 hpf spinal 338 $cord^{10}$, expression of gsx1 and gsx2 during neurodevelopment in zebrafish has not been 339 340 comprehensively analyzed. We first used whole-mount in situ hybridization (WISH) to 341 characterize and compare gsx1 and gsx2 expression in zebrafish embryos and larvae. Consistent with RT-PCR results, gsx2 expression was detected at 12 hpf in the presumptive forebrain in the 342 anterior neural plate (Fig 2H). At 12 hpf gsx1 is expressed in the presumptive hindbrain in 343 rhombomere 3 (Fig 2A), consistent with a previous report²⁷. From 16-24 hpf gsx2 expression is 344 345 present in the diencephalon and telencephalon (Fig 2I-J), with 24 hpf marking the first appearance of gsx2 expression in the caudal hindbrain (Fig 2K). Expression of gsx2 in the 346 zebrafish spinal cord is seen clearly in transgenic reporter lines at this time¹⁰, but we predict 347 endogenous gsx2 expression in this region is highly transient and difficult to detect by WISH. 348 349 From 16-24 hpf gsx1 expression is observed in the forebrain, midbrain, hindbrain, and spinal cord (Fig 2B-C). Conversely, gsx1 is expressed across the rostral to caudal extent of the 350 351 hindbrain at 24 hpf (Fig 2D). gsx2 expression persists in the diencephalon, telencephalon, 352 hindbrain, and spinal cord through 30 hpf (Fig 2L-M), and at this age gsx1 is expressed in the

diencephalon, midbrain, hindbrain, and spinal cord (Fig 2E-F). Dorsal views at this age reveal

that *gsx1* and *gsx2* exist in two dorsolateral columns in the hindbrain (Fig 2O and S).

Our observed gsx1 expression through 30 hpf confirms previous findings²⁷, however we continued characterizing gsx1 expression through late embryonic and larval development along with gsx2. By 48 hpf, gsx2 expression is restricted to the olfactory bulb, preoptic area,

- 358 hypothalamus, pallium, and hindbrain (Fig 2N, 2U-V). At this age, gsx1 expression is seen in the
- 359 preoptic region, hypothalamus, pretectum, optic tectum, cerebellar plate, hindbrain, and spinal
- 360 cord (Fig 2G, 2Q-R). At 72 hpf *gsx2* expression is faintly present in the pallium and olfactory
- bulb (Fig 3J-K), while *gsx1* expression persists in the pretectum, optic tectum, hypothalamus,
- and hindbrain (Fig 3A-C). Expression of *gsx2* through 4-5 days post fertilization (dpf) persists
- faintly in the pallium and hindbrain (Fig 3L-O), however *gsx1* expression strongly persists in the
- 364 pretectum, optic tectum, hypothalamus, and hindbrain (Fig 3D-I). Collectively, these WISH
- analyses provide insight to the dynamic expression patterns of gsx1 and gsx2 during embryonic
- and larval stages in zebrafish.
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368 **Co-localization of** *gsx1* and *gsx2* is minimal

369 To be more precise in assessing co-localization of gsx1 and gsx2 in cells, we turned to 370 fluorescence in situ hybridization (FISH) at embryonic and larval stages. At 24 hpf gsx1 and 371 gsx2 are regionally co-expressed at the border of the dorsal diencephalon and ventral telencephalon (Fig 4Aⁱ⁻ⁱⁱⁱ and 4Bⁱ⁻ⁱⁱⁱ; max z-projections), however they very minimally co-localize 372 in the same cells (insets in Fig $4A^{iii}$ and $4B^{iii}$; single z-stack plane). At this age gsx1 and gsx2 are 373 also regionally co-expressed in the hindbrain, with gsx2 expressed dorsal to gsx1 (Fig 4A^{iv-vi}, 374 4B^{iv-vi}). At 30 hpf, gsx1 and gsx2 are regionally co-expressed in the ventral diencephalon (Fig. 375 4Cⁱ⁻ⁱⁱⁱ and 4Dⁱ⁻ⁱⁱⁱ; max z-projections), however rarely co-localize in the same cells (insets in Fig. 376 $4C^{iii}$ and $4D^{iii}$; single z-stack plane). In the hindbrain at this age gsx1 and gsx2 remain segregated 377 dorsoventrally (Figs 4C^{iv-vi} and 4D^{iv-vi}; max z-projections) and rarely co-localize in the same 378 cells (inset in Fig 4D^{vi}; single z-stack plane). 379

By 48 hpf *gsx1* and *gsx2* are regionally co-expressed in the hypothalamus and preoptic area (Fig $5A^{i-iii}$ and $5B^{i-iii}$; max z-projections), however rarely co-localize in the same cells (insets in Fig $5A^{iii}$ and $5B^{iii}$; single z-stack plane). Distinct segregation of *gsx1* ventrally and *gsx2* dorsally in the hindbrain is still apparent at 48 hpf (Fig $5A^{iv-vi}$ and $5B^{iv-vi}$; max z-projections) however they rarely co-localize in the same cells (inset in Fig $5A^{vi}$; single z-stack plane). Interestingly, by this age *gsx1* expression appears to extend ventrally while *gsx2* expression

remains isolated dorsally (Fig $5A^{iv-vi}$, $5B^{iv-vi}$). This finding is reminiscent of reported roles for

Gsx2 and Gsx1 to regulate neuronal progenitor proliferation versus differentiation, respectively²²,

and we believe this ventral extension represents the outgrowth of projections from maturing

neuronal progenitors. At 72 hpf regional co-expression of gsx1 and gsx2 is restricted to the

390 preoptic area (Fig $5C^{i-vi}$; max z-projections), however again, co-localization in the same cells is

391 minimal (Fig 5Cⁱⁱⁱ; single z-stack plane).

392 In brains dissected from 6 dpf larvae, we observed gsx1 and gsx2 expression patterns that were not directly apparent through colorimetric WISH analyses. gsx1 expression appears in 393 394 regions reminiscent of our later stage WISH analyses including the pretectum, hypothalamus, 395 optic tectum, preoptic area, cerebellar plate, and hindbrain (Fig 6A-F, max z-projections). Dorsal views of the brain confirmed that gsx2 is expressed in the pallium at this age (Fig 6A-C), and 396 397 also revealed distinct expression in the hindbrain not clearly observed through WISH. 398 Additionally, ventral views of the brain at this age also revealed that gsx2 is regionally co-399 expressed with gsx1 in the hypothalamus (Fig 6D-F) however they rarely co-localize in the same 400 cells (Fig 6F; single z-stack plane). Combined, our WISH analyses reveal that gsx1 and gsx2 401 expression is dynamic throughout neurodevelopment, and FISH demonstrates for the first time that they largely exist in distinct cellular populations. 402

403

404 gsx1 and gsx2 TALEN mutants exhibit unique phenotypes

There is limited knowledge about how Gsx1 and Gsx2 function across several developing 405 brain regions where they are expressed in vertebrates and that we report by WISH and FISH in 406 zebrafish. In mouse, loss of Gsx1 leads to abnormal hypothalamic-pituitary signaling⁴¹, and 407 mutations in Gsx2 leads to disturbed forebrain morphology⁴³. To further examine the roles of 408 gsx1 and gsx2 in neurodevelopment, we generated zebrafish mutants using TALEN (Fig 7A). 409 For gsx1, we generated alleles with an 11 base-pair (bp) deletion ($gsx1\Delta 11$), 5bp deletion 410 411 $(gsx1\Delta 5)$, and 1bp insertion $(gsx1\Delta pl1)$. For gsx2, we generated alleles with a 13bp deletion $(gsx2\Delta I3a \text{ and } gsx1\Delta I3b)$ and 5bp deletion $(gsx2\Delta 5)$. All mutations occur in the first exon of the 412 413 zebrafish gsx1 and gsx2 genes and should result in premature stop codons and immature transcripts lacking the homeobox DNA binding domain. Phenotypes observed are thus far 414

415 consistent with a loss of function across all *gsx1* and *gsx2* alleles.

Through assessing our gsx1 mutant zebrafish, we found that these fish experience stunted 416 417 growth starting at 14 dpf. No significant differences in standard length were found across genotypes in 4 dpf larvae (Fig 7B), however by 14 dpf standard length of $gsx1\Delta 11$ -/- larvae was 418 419 significantly smaller than $gsx1\Delta 11$ +/- siblings (p=0.002). By one month $gsx1\Delta 11$ -/- larvae were significantly smaller than both $gsx1\Delta 11+/+$ and $gsx1\Delta 11+/-$ siblings (p<0.001 for both), and this 420 421 difference persisted through 2 months (p<0.001 for both) and 3 months of age (p<0.001 for both). These analyses reveal a growth-related phenotype in $gsx1\Delta 11$ -/- zebrafish similar to 422 reports in mouse⁴¹. However, unlike Gsx1 mutant mice, our gsx1 mutant zebrafish survive to 423 adulthood, allowing investigations of early and later Gsx1 function across brain regions. 424 425 Embryos derived from heterozygous $gsx2\Delta 13a$ parents are indistinct from $gsx1\Delta 11+/$ cross embryos (Fig 7C, top). However, $gsx2\Delta 13a$ -/- embryos largely fail to inflate their swim 426 bladders by 6 dpf under standard rearing conditions, preventing their survival. There was a 427 significant association between swim bladder inflation and genotype in offspring from 428 $gsx2\Delta 13a$ +/- crosses, as less $gsx2\Delta 13a$ -/- larvae had inflated swim bladders compared to 429 $gsx2\Delta 13a+/+$ and $gsx2\Delta 13a+/-$ larvae (Fig 7C, bottom; X²=22.8, p<0.001). Swim bladder 430 inflation did not differ between genotypes in $gsx1\Delta 11$ +/- crosses (X²=.32, p=.851). These results 431 432 demonstrate that swim bladder inflation failure is a result of a mutation in gsx2, and supports the important developmental role for Gsx2 in vertebrates, including zebrafish. 433

434

435 Gsx1 and Gsx2 differentially regulate *distal-less homeobox 2a* and *2b*

Enhancer sequences have been reported for murine GSX1²⁴ and GSX2²⁹, and previous 436 studies report Distal-less homeobox 2 (Dlx2) as a target gene of GSX1 and GSX2 in the mouse 437 forebrain⁵¹. Dlx2 expression overlaps with Gsx1 and Gsx2 in the medial, caudal, and lateral 438 439 ganglionic eminences (MGE, CGE, and LGE, respectively) of the mouse telencephalon where they coordinately regulate early neuronal progenitor patterning^{40,71,72}. This work shows that 440 GSX1 and GSX2 upregulate $Dlx2^{51}$. Therefore, we sought to determine if the zebrafish ortholog 441 442 dlx2a or its paralog dlx2b are Gsx1 and Gsx2 target genes. Published gene sequences for human, mouse, and zebrafish *Dlx2* were analyzed *in silico* for Gsx1 and Gsx2 enhancer sequences, 443 444 which we assume are conserved in zebrafish. We found that human DLX2, mouse Dlx2, and zebrafish *dlx2b* possess putative Gsx1 and Gsx2 enhancer sequences upstream of their 5'UTRs 445

446 (Fig 8A). Human *DLX2* and zebrafish *dlx2b* possess a putative enhancer sequence that both Gsx1

- 447 and Gsx2 could bind. Zebrafish *dlx2a* possesses putative Gsx2 enhancer sequences only.
- 448 To determine if Gsx1 or Gsx2 regulate dlx2a and/or dlx2b in zebrafish, we quantified
- 449 dlx2a and dlx2b gene expression area in 30 hpf embryos yielded from $gsx1\Delta 11+/-;gsx2\Delta 13a+/-$
- 450 crosses using WISH and RT-qPCR. We found that *dlx2a* expression is not significantly different
- 451 between $gsx1\Delta 11+/+;gsx2\Delta 13a+/+$ and $gsx1\Delta 11-/-;gsx2\Delta 13a+/+$ embryos (Fig 8B^{I-II}), however
- 452 is significantly reduced in both $gsx1\Delta 11+/+;gsx2\Delta 13-/-$ and $gsx1\Delta 11-/-;gsx2\Delta 13a-/-$ embryos in
- 453 the diencephalon (p<0.001 for both) and telencephalon (p<0.001 for both; Fig 8B^{III-IV} and
- 454 graphs). Expression of dlx2b is not different between $gsx1\Delta 11+/+;gsx2\Delta 13a+/+$ and $gsx1\Delta 11-/-$
- 455 ; $gsx2\Delta I3a + /+$ embryos (Fig 8B^{I-II}), however is significantly reduced in both
- 456 $gsx1\Delta 11+/+;gsx2\Delta 13-/-$ and $gsx1\Delta 11-/-;gsx2\Delta 13a-/-$ embryos in the diencephalon (p<0.001 for
- 457 both) and telencephalon (p < 0.001 for both; Fig 8B^{III-IV} and graphs).
- 458 Consistent with WISH, RT-qPCR revealed that *dlx2b* expression is significantly reduced
- 459 in $gsx1\Delta 11+/+;gsx2\Delta 13a-/-$ and $gsx1\Delta 11-/-;gsx2\Delta 13a-/-$ embryos compared to wild-types
- 460 (p=0.005 and 0.002, respectively; Fig 8D). Furthermore, we also observed that *dlx2b* expression
- 461 is significantly reduced in $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- embryos compared to $gsx1\Delta 11$ +/+; $gsx2\Delta 13a$ -
- 462 /- embryos (p=0.012), suggesting that Gsx1 partially sustains dlx2b expression which becomes
- 463 further reduced upon loss of both *gsx1* and *gsx2*. Unlike WISH, RT-qPCR showed that *dlx2a*
- 464 expression is only significantly reduced in $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- embryos (p=<0.001) and not
- 465 in $gsx1\Delta 11+/+;gsx2\Delta 13a-/-$ embryos (p=0.225; Fig 8D). WISH shows that in
- 466 $gsx1\Delta 11+/+;gsx2\Delta 13a-/-$ embryos, dlx2a and dlx2b expression is lost in the telencephalon, where
- 467 gsx2 is expressed, yet sustained in the diencephalon, where gsx1 is expressed. This suggests that
- 468zebrafish Gsx1 and Gsx2 differentially regulate dlx2a and dlx2b expression in the telencephalon469and diencephalon and that visible changes in dlx2a expression cannot be detected by RT-qPCR
- 470 of whole brains at 30 hpf.
- 471

472 Gsx1 and Gsx2 differentially regulate *dlx5a*, *dlx6a*, and *foxp2*

- To identify additional Gsx1 and Gsx2 target genes in the zebrafish forebrain, we applied our *in silico* and WISH approaches to assess regulation of dlx5a and dlx6a, which are closely related to dlx2a and dlx2b. Both dlx5a and dlx6a are expressed in overlapping patterns with
- 476 dlx2a and dlx2b in the zebrafish forebrain^{52,57} and coordinately regulate inhibitory neuron

477 patterning in subpallial regions with the other dlx orthologs⁷³. Published gene sequences for

- 478 zebrafish *dlx5a* and *dlx6a* were analyzed *in silico* for putative Gsx1 and Gsx2 enhancer
- 479 sequences. Zebrafish *dlx5a* possesses both Gsx1 and Gsx2 enhancer sequences in the 25kb
- 480 region upstream of the 5'UTR, while zebrafish *dlx6a* only possesses Gsx2 enhancers (Fig S1A).
- 481 To determine if Gsx1 or Gsx2 regulate *dlx5a* and *dlx6a* in the zebrafish forebrain, we
- 482 again quantified gene expression area in 30 hpf embryos yielded from $gsx1\Delta 11+/-;gsx2\Delta 13a+/-$
- 483 crosses. We found that dlx5a is significantly reduced in both $gsx1\Delta 11+/+;gsx2\Delta 13-/-$ and
- 484 $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- embryos in the telencephalon (p=<0.001), however only significantly
- 485 reduced in $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- embryos in the diencephalon (p=<0.001, Fig S1B^{i-iv}, S1C).
- 486 These results suggest that in the telencephalon, *dlx5a* is regulated by Gsx2, however in the
- 487 diencephalon both Gsx1 and Gsx2 are required for normal expression. For *dlx6a*, we observed
- 488 significant reductions in expression in in both $gsx1\Delta 11+/+;gsx2\Delta 13-/-$ and $gsx1\Delta 11-/-;gsx2\Delta 13a-$
- 489 /- embryos in the telencephalon only (p=<0.001, Fig S1D^{i-iv}, S1E). These results suggest that
- 490 Gsx2 is the main regulator of *dlx6a* in the telencephalon, and in the diencephalon neither Gsx1
- 491 nor Gsx2 are essential for *dlx6a* expression.
- We also assessed whether Gsx1 or Gsx2 regulate expression of *forkhead box P2 (foxp2)* 492 493 in zebrafish. *foxp2* is a gene belonging to the Forkhead domain transcription factors, which are an evolutionarily conserved group of proteins that have roles in early developmental patterning⁷⁴. 494 In humans, *FOXP2* is critical for speech and language development⁵⁸; mutations in *FOXP2* lead 495 496 to poor linguistic and grammatical skill development and abnormal control of facial movements⁷⁵. *foxp2* is expressed in the nervous system in zebrafish in many overlapping brain 497 regions which we report gsx1 and gsx2 expression in, including the telencephalon, diencephalon, 498 optic tectum, hindbrain, and spinal $cord^{60}$. Thus, we were interested in determining if *foxp2* is 499 500 regulated by either Gsx1 or Gsx2, particularly in the forebrain. Zebrafish foxp2 possesses 501 putative Gsx1 and Gsx2 enhancers, as well as a putative enhancer sequence that both Gsx1 and 502 Gsx2 could bind to (Fig S1A). Although *foxp2* expression was not statistically different amongst genotypes (p=0.312, Fig S1F^{i-iv}, S1G), it is reduced to some degree in $gsx1\Delta 11+/+;gsx2\Delta 13-/-$ 503 504 and $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- embryos. This indicates that Gsx2 partially regulates foxp2 expression in the telencephalon specifically at this age. 505
- 506

507 **DISCUSSION**

508 gsx1 and gsx2 expression during neurodevelopment in zebrafish embryos and larvae

509 In this study, we comprehensively document g_{sx1} and g_{sx2} expression in embryonic and 510 larval zebrafish using multiple strategies, and our analysis presents a time-course for their coexpression during neurodevelopment. In embryonic and larval stages in zebrafish, gsx1 is 511 512 expressed in the diencephalon, hypothalamus, preoptic region, hindbrain, cerebellar plate, spinal cord, optic tectum, and pretectum. Across these ages gsx^2 is expressed in the telencephalon, 513 514 hypothalamus, pallium, olfactory bulb, and hindbrain. These patterns are largely consistent with expression of Gsx1 and Gsx2 in mouse^{24,29}, medaka²⁶, Xenopus²⁵, and previous reports in 515 zebrafish^{10,27,30} with minor exceptions. In *Xenopus*, Gsx2 is first detected slightly earlier than 516 Gsx1, however we report in zebrafish that gsx1 is expressed at 10 hpf slightly earlier than gsx2 at 517 518 12 hpf. Furthermore, we report that gsx2 and not gsx1 is expressed in the olfactory bulb in 519 zebrafish, however in *Xenopus Gsx1* and not *Gsx2* is expressed in this region. 520 Prior to our study, a comprehensive knowledge of the unique and overlapping roles for Gsx1 and Gsx2 across the vertebrate brain was not attainable due to the lack of gene expression 521 data. FISH revealed that regional co-expression of gsx1 and gsx2 occurs in the hindbrain, 522 hypothalamus, and preoptic area in zebrafish, however they rarely co-localize in the same cells. 523 524 In the hindbrain gsx1 and gsx2 exist in two adjacent dorsolateral columns, with gsx2 dorsal to gsxl, consistent with previous reports and their roles in dorsoventral patterning^{24,25}. In mouse, 525 526 *Gsx1* regulates cerebellar neuronal progenitor identity through a temporally-regulated BMP/SMAD signaling gradient^{46,47}, and in zebrafish gsx2 is reported to specify neuronal fate in 527 the inferior olivary nuclei of the medulla³⁰. Outside of these studies the coordinate roles for Gsx1 528 and Gsx2 in the hindbrain remain under studied. Our findings demonstrate that expression of 529 gsx1 and gsx2 remain distinct from each other dorsoventrally, and starting at 48 hpf expression 530 531 of gsx1 begins to extend ventrally while gsx2 is restricted dorsally. These patterns may represent the outgrowth of axons from maturing neuronal progenitors, which would agree with previously 532 533 reported roles for Gsx2 and Gsx1 in regulating progenitor proliferation and differentiation, respectively²². Thus, this work provides an essential foundation for future studies to interrogate 534 535 the functional roles of Gsx1 and Gsx2 in the hindbrain. gsx1 expression in the hypothalamus has been shown in medaka, Xenopus, mice, and 536 zebrafish^{24–27,41}, however no roles for gsx2 in the hypothalamus have been reported. We show 537

that gsx1 and gsx2 are regionally co-expressed in the hypothalamus in zebrafish, which

539 necessitates further studies of Gsx2 function in this region. Zebrafish gsx2 expression in the hypothalamus begins between 24-30 hpf (Fig 2J, 2L), slightly earlier than the onset of gsx1 in 540 this region between 30-48 hpf (Fig 2E, 2G). Expression of both gsx1 and gsx2 is sustained in the 541 hypothalamus through 6 dpf (Fig 6). Functions for Gsx1 and Gsx2 in this region in zebrafish 542 543 could be similar to reports in mouse forebrain showing that Gsx2 maintains neuronal progenitor pools and Gsx1 drives neuronal differentiation²². Interestingly, one single-cell sequencing report 544 545 conducted in the adult (1-2 year) zebrafish hypothalamus reported Gsx1 as a transcription factor significantly associated with 13 genes categorized as either neuropeptide, neurotransmitter, ion 546 channel, or synaptic genes⁷⁶. Identification of Gsx1 as an important regulatory factor in the 547 mature zebrafish hypothalamus suggests prolonged requirements for Gsx1 in hypothalamic 548 549 function. As our gsx1 mutant zebrafish survive through adulthood, roles for Gsx1 in the 550 development and function of the hypothalamus along with associated growth, behavioral, and 551 metabolic changes can be investigated in the future. 552 Mutations in gsx1 and gsx2 in zebrafish disturbs early growth and development 553 We observed a reduced growth phenotype in gsx1 mutant zebrafish through adulthood. 554 555 These studies provide a detailed description of the onset of significant growth deficits as well as the basic trend and continuation of these deficits. We observed that significant deficits were not 556 557 present at 4 dpf, but appeared by 14 dpf, allowing us to determine the relative window under 558 which these deficits begin. This data is consistent with work in Gsx1 mutant mice, which were 559 the same size as their wild-type siblings at birth but began to show growth deficits as development progressed⁴¹. Unlike Gsx1 mutant mice, our gsx1 mutant zebrafish survive to 560 adulthood, permitting investigations of later Gsx1 function. The premature death of Gsx1 mutant 561 562 mice is largely attributed to defects in forebrain neurogenesis and disruptions in ascending cortical interneuron migration^{22,44,51}, thus continued examination of the impact of mutations in 563 564 gsx1 in zebrafish will further elucidate its important neurodevelopmental and later roles in

565 vertebrates.

We have additionally identified a unique swim bladder inflation failure phenotype in *gsx2* mutant zebrafish that prevents their survival under standard rearing conditions, supporting the critical role for Gsx2 in growth and development amongst vertebrates. *Gsx2* mutant mice fail to survive more than a day following birth, however also exhibit severely disrupted forebrain and

- 570 hindbrain morphology. Comprehensive knowledge of GSX1 and GSX2 function together and
- 571 separately is minimal outside of the mouse forebrain^{34,44,51} and few reports in the
- 572 cerebellum^{30,46,77} and spinal cord^{7,10,48}. As such, analysis of Gsx1 and Gsx2 function in our
- 573 zebrafish mutants in these and other CNS regions can supplement these reports.
- 574

575 Identifying Gsx1 and Gsx2 target genes in the zebrafish forebrain

576 We demonstrated differential regulation of *dlx2a*, *dlx2b*, *dlx5a*, *dlx6a*, and *foxp2* by Gsx1 and Gsx2 in the forebrain of our gsx1 and gsx2 mutant zebrafish. A complex relationship 577 between the Gsx and Dlx genes has been reported in the mouse forebrain⁵¹ that facilitates 578 579 regulation of a major transcriptional control program dictating the expression of diverse target 580 genes. The *Dlx* pathways in the forebrain also serve to regulate the differentiation of inhibitory 581 projection neurons and interneurons that migrate to mature regions like the cortex and olfactory bulb^{53–55}. Conservation of the Gsx/Dlx regulatory network in zebrafish is significant in that it 582 583 establishes initial understanding of Gsx function in neurodevelopment in zebrafish. Our embryonic and larval stage whole brain expression analyses also justify continued investigations 584 of Gsx function together and separately across brain regions to add to our knowledge of their role 585 586 in neurodevelopment across vertebrates.

587Our data suggests that in zebrafish, Gsx2 is largely responsible for regulating expression

- of dlx2a and dlx2b. Through WISH we identified significant reductions in dlx2a and dlx2b
- expression in the telencephalon of $gsx1\Delta 11+/+;gsx2\Delta 13a-/-$ and $gsx1\Delta 11-/-;gsx2\Delta 13a-/-$
- 590 embryos (Fig 8). In the diencephalon, *dlx2a* expression was reduced in both
- 591 $gsx1\Delta I1+/+;gsx2\Delta I3a-/-$ and $gsx1\Delta I1-/-;gsx2\Delta I3a-/-$ embryos, however dlx2b expression was
- only reduced in $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- embryos, suggesting that Gsx1 may be compensating
- 593 for Gsx2 and sustaining *dlx2b* expression in the diencephalon specifically. RT-qPCR analysis of
- 594 *dlx2b* expression was consistent with these results, revealing significant reductions in
- 595 $gsx1\Delta 11+/+;gsx2\Delta 13a-/-$ embryos and more significant reductions in $gsx1\Delta 11-/-;gsx2\Delta 13a-/-$
- 596 embryos. Unlike our WISH analysis, RT-qPCR shows that *dlx2a* expression is only significantly
- reduced in $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- embryos and not $gsx1\Delta 11$ +/+; $gsx2\Delta 13$ -/- embryos. One
- 598 potential explanation for this variability is the pattern in which dlx2a expression is reduced by
- 599 WISH. In $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- embryos, dlx2a expression is lost in the telencephalon, where
- gsx2 is expressed, however not in the diencephalon, where gsx1 is expressed. This variability

601 could also be related to alternative transcript detection through qPCR. However, our *in situ* probe 602 for dlx2a detects a product that overlaps completely with the transcript amplified by our dlx2a603 qPCR primers, and we predict these targets are identical for both dlx2a splice variants that exist 604 in zebrafish.

605 Expression of zebrafish dlx5a is largely regulated by Gsx2 in the telencephalon, as we identified significant reductions in $gsx1\Delta 11+/+:gsx2\Delta 13a-/-$ and $gsx1\Delta 11-/-:gsx1\Delta 11-/-$ embryos 606 607 (Fig S1B, S1C). However, in the diencephalon it appears that both Gsx1 and Gsx2 regulate dlx5aexpression, as significant reductions were only identified in $gsx1\Delta 11$ -/-; $gsx2\Delta 13$ -/- embryos. In 608 turn, this suggests that Gsx1 is in part compensating for loss of Gsx2 function in 609 $gsx1\Delta 11+/+;gsx2\Delta 13a-/-$ embryos, which were not significantly reduced compared to wild-types. 610 611 Interestingly, expression of *dlx6a* appears to be regulated most strongly by Gsx2 in the telencephalon only, agreeing with initial predictions based on enhancer sequence presence (Fig 612 613 S1A, S1D, S1E). Collectively, these results demonstrate that a complex relationship between the gsx and dlx genes exists in zebrafish that is reminiscent of reports in other vertebrates⁵¹. Future 614 615 studies will focus on confirming more Gsx1 and Gsx2 target genes in zebrafish in order to elucidate their unique and overlapping roles during CNS development. 616

617 Outside of confirming that several *dlx* orthologs are regulated by Gsx1 and Gsx2 in the 618 zebrafish forebrain, we also found that although not statistically significant, *foxp2* is weakly 619 regulated in the telencephalon by Gsx2. It is important to note that this study was conducted at 620 30 hpf only, and *foxp2* expression begins in zebrafish as early as 10 hpf in the presumptive forebrain⁶⁰ and is documented through 3 months of age⁷⁸. The onset of *foxp2* expression is 621 similar to the onset of gsx2 in the presumptive forebrain at 12 hpf (Fig 2H), however during 622 neurodevelopment *foxp2* is expressed in several overlapping regions with the gsx genes, such as 623 624 the optic tectum, hindbrain, and spinal cord. Minimal regulation by Gsx2 in the telencephalon is consistent with a requirement for Gsx2 in early forebrain patterning observed throughout these 625 626 experiments, particularly in the telencephalon. It is interesting to note that expression of *foxp2* and dlx6a minimally overlaps in dorsal subpallial regions in zebrafish⁶⁰, and dlx6a is regulated 627 628 by Gsx2 in this dorsal telencephalic region only. Collectively, our approaches for identifying and validating target genes for Gsx1 and Gsx2 during neurodevelopment provide a new in vivo 629 630 model for gaining even greater insight into regulatory roles of these and other transcription factors across CNS gene networks. 631

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849

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864

865 **CONTRIBUTIONS TO THE MANUSCRIPT**

866 SAB and RAC designed and performed experiments, wrote and edited the manuscript, analyzed

- and interpreted the results; EIS, ZAD, SNP, ARA, LCF, and RLP performed and assisted with
- 868 experiments, collected data, and ran initial analyses.
- 869
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871 FIGURE LEGENDS

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873 Fig 1. Gsx1 and Gsx2 are conserved across vertebrates and expressed in zebrafish from 874 embryonic stages through adulthood. A) Amino acid sequence alignment of zebrafish, mouse, 875 and human Gsx1 and Gsx2. Dr, Danio rerio; Mm, Mus musculus; Hs, Homo sapiens. Identical 876 amino acids are shaded in black, similar amino acids are shaded in grey, and the region encoding 877 the conserved DNA-binding homeodomain is underlined in orange. B) Rooted phylogenetic tree displaying the clustered relationship of published Gsx1 and Gsx2 protein sequences as well as a 878 879 divergence from the Drosophila ortholog Ind. Values represent distance scores. Dm, Drosophila melanogaster; Lo, Lepisosteus oculatus; Ol, Oryzias latipes; Xt, Xenopus tropicalis. C) 880 881 Schematic of the zebrafish gsx1 and gsx2 gene bodies. Blue boxes represent exons, black lines represent introns, and orange boxes represent the region encoding the homeodomain. Red 882 arrowheads represent RT-PCR primer annealing sites. **D**) Agarose gels showing full-length 883 cDNA transcripts of zebrafish gsx1 (top), gsx2 (middle), and ef1a (bottom) at specific ages. Red 884 text indicates ladder sizes. Upper bands in the gsx1 gel image represents trace genomic DNA that 885 includes the 89 bp intron. 886

887

Fig 2. Expression of gsx1 and gsx2 in embryonic zebrafish is dynamic and unique. A-G)

889 Lateral mounts showing expression of gsx1 from 12-48 hpf. H-N) Lateral mounts showing 890 expression of gsx2 from 12-48 hpf. O-R) Dorsal (O & Q) and ventral (P & R) mounts showing 891 expression of gsx1 from 30-48 hpf. S-V) Dorsal (S & U) and ventral (T & V) mounts showing expression of gsx2 from 30-48 hpf. A, B, H, and I are dissecting scope images and scale bar 892 893 represents 500µm. Remaining images are compound scope images taken at 20X with samples 894 mounted under cover glass and anterior facing left, eyes removed in lateral views. Scale bars 895 represent 100 μ m. CeP = cerebellar plate, Di = diencephalon, Fb = forebrain, Hb = hindbrain, 896 Hyp = hypothalamus, Mb = midbrain, OB = olfactory bulb, P = pallium, Po = preoptic area, Pr = 897 pretectum, Sc = spinal cord, Tel = telencephalon, TeO = optic tectum.

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899 Fig 3. Expression of gsx1 and gsx2 is restricted in late embryonic and early larval stages. A-

900 I) Expression of gsx1 from 72-120 hpf. Leftmost column are dorsal views, middle column are

ventral views, and rightmost column are lateral views. **J-O**) Expression of *gsx2* from 72-120 hpf.

902 Left column are dorsal views and rightmost column are lateral views. All images are compound scope images taken at 20X with samples mounted under cover glass and anterior facing left, eves 903

904 removed in lateral views. Scale bars represent 100µm. Insets are whole brain dissections at the

- same age mounted dorsally. Hb = hindbrain, Hyp = hypothalamus, OB = olfactory bulb, P = 905
- 906 pallium, Pr = pretectum, TeO = optic tectum.
- 907

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908 Fig 4. Fluorescence *in situ* hybridization confirms minimal co-localization of gsx1 and gsx2

during embryonic development. Aⁱ-A^{vi}) Lateral views of gsx1 and gsx2 expression at 24 hpf. **B**ⁱ-**B**ⁱⁱⁱ) Ventral view of gsx1 and gsx2 expression at 24 hpf. **B**^{iv}-**B**^{vi}) Cross section view taken at 910

the dashed line in A^{vi}. Cⁱ-C^{vi}) Lateral views of gsx1 and gsx2 expression at 30 hpf. Dⁱ-Dⁱⁱⁱ) 911

912 Ventral view of gsx1 and gsx2 expression at 30 hpf. **D^{iv}-D^{vi}**) Cross section view taken at the

dashed line in Cvi. Lateral views were taken at 20X and ventral/cross section views were taken at 913

40X, all with anterior facing left. All were pseudocolored using FIJI ImageJ and scale bars 914

represent 100µm. For lateral views, eyes were dissected off. Main images are max z-projections 915

916 and insets are single z-stack slices zoomed into the boxed region shown in the main image. Di =

- 917 diencephalon, Hb = hindbrain, Mb = midbrain, Sc = spinal cord, Tel = telencephalon.
- 918

919 Fig 5. Fluorescence in situ hybridization confirms minimal co-localization of gsx1 and gsx2 during late embryonic and early larval development. Aⁱ-A^{vi}) Lateral views showing 920 expression of gsx1 and gsx2 at 48 hpf. **Bⁱ-Bⁱⁱ**) Ventral views showing expression of gsx1 and 921 gsx2 at 48 hpf. **B**^{iv}-**B**^{vi}) Cross section view taken at the dashed line in A^{vi}. **C**ⁱ-**C**ⁱⁱⁱ) Lateral view 922 showing gsx1 and gsx2 expression at 72 hpf. C^{iv}-C^{vi}) Ventral view showing gsx1 and gsx2923 expression at 72 hpf. Lateral views were taken at 20X and ventral/cross section views were taken 924 925 at 40X, all with anterior facing left. All were pseudocolored using FIJI ImageJ and scale bars 926 represent 100µm. For lateral views, eyes were dissected off. Main images are max z-projections 927 and insets are single z-stack slices zoomed into the boxed region shown in the main image. CeP = cerebellar plate, Di = diencephalon, Hb = hindbrain, Hyp = hypothalamus, Mb = midbrain, OB 928 929 = olfactory bulb, P = pallium, Po = preoptic area, Pr = pretectum, Sc = spinal cord, Tel = 930 telencephalon, TeO = optic tectum.

932 Fig 6. gsx1 and gsx2 are expressed through 6dpf in the brain. A-C) Dorsal views showing

expression of gsx1 and gsx2 at 6 dpf. **D-F**) Ventral views showing expression of gsx1 and gsx2 at

6 dpf. Images taken at 20X with anterior facing left. All were pseudocolored using FIJI ImageJ

and scale bars represent 100µm. Main images are max z-projections and insets are single z-stack

slices zoomed into the boxed region shown in the main image. Hb = hindbrain, Hyp =

937 hypothalamus, OB = olfactory bulb, P = pallium, Po = preoptic area, Pr = pretectum, Tel =

938 telencephalon, TeO = optic tectum.

939

940 Fig 7. gsx1 TALEN mutants experience stunted growth and gsx2 TALEN mutants

experience swim bladder inflation failure. A) Schematic of the gsx1 and gsx2 gene bodies and 941 942 targeted TALEN mutation site (*). All mutations should result in a premature stop codon. Text color corresponds with gene body structure (blue = exon, black = intron, orange = homeodomain, 943 944 red = mutant sequence). Inset gel shows restriction digest of gsx1 and gsx2 amplicons in wild-945 type (W), heterozygous (H) and mutant (M) individuals; endonuclease cutting is disrupted in mutants. **B)** Comparison of the standard length (SL, red line) of $gsx1\Delta 11$ wild-type, 946 heterozygous, and mutant siblings at 30 dpf. Images are dissecting scope images and distances 947 948 between tick marks represent 1mm. Graph shows the quantification of the long-term growth 949 study data from 4 dpf to 99 dpf. Asterisks of each color indicate significant differences between 950 that group and the genotype of the same color (blue, wild-type; red, heterozygous; green,

mutant). C) Top, comparison of $gsx1\Delta 11$ and $gsx2\Delta 13a$ wild-type and mutant larvae with (+SB)

and without (-SB) swim bladders, respectively; Bottom, quantification of the percentage of

larvae of each genotype with and without swim bladders (gsx1 n=73, gsx2 n=60).

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955 Fig 8. Gsx1 and Gsx2 differentially regulate *dlx2a* and *dlx2b* expression. A) Schematic of 956 Gsx1 and 2 enhancer sequences in the Dlx2 orthologs. **B)** Left, dlx2a expression at 30 hpf in 957 wild-type, $gsx1\Delta 11$ -/-, $gsx2\Delta 13a$ -/-, and $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/- zebrafish. Images are 20X 958 compound scope images with samples mounted under cover glass, eyes dissected, and anterior 959 facing left. Scale bar = $50\mu m$. Right, FIJI-ImageJ quantification of dlx2a expression in the telencephalon (grey bars) and diencephalon (white bars). Genotypes and sample size are listed 960 961 under the X axis. Different letters represent significant differences. C) Left, dlx2b expression at 30 hpf in wild-type, $gsx1\Delta 11$ -/-, $gsx2\Delta 13a$ -/-, and $gsx1\Delta 11$ -/-/ $gsx2\Delta 13a$ -/- zebrafish; Right, 962

- quantification of dlx2b expression. **D**) RT-qPCR data showing relative expression of dlx2a (blue
- bars) and dlx2b (red bars) in wild-type, $gsx1\Delta 11$ -/-, $gsx2\Delta 13a$ -/-, and $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/-
- 965 zebrafish compared to the reference gene *ef1a*. Different letters indicate significant differences
- within each target gene. E) Schematics of *gsx1*, *gsx2*, *dlx2a*, and *dlx2b* expression at 30 hpf.
- 967
- 968 Figure S1. Regulation of *dlx5a*, *dlx6a*, and *foxp2* by Gsx1 and Gsx2. A) Schematic of Gsx1
- and 2 enhancer sequences upstream of the zebrafish dlx5a, dlx6a, and foxp2 gene bodies. **Bⁱ-B^{iv}**.
- 970 dlx5a expression at 30 hpf in wild-type, $gsx1\Delta 11$ -/-, $gsx2\Delta 13a$ -/-, and $gsx1\Delta 11$ -/-; $gsx2\Delta 13a$ -/-
- 271 zebrafish. Images are 20X compound scope images with samples mounted under cover glass,
- 972 eyes dissected, and anterior facing left. Scale bar = 50μ m. C. FIJI-ImageJ quantification of *dlx5a*
- 973 expression. Different letters represent significant differences. **Dⁱ-D^{iv}.** *dlx6a* expression at 30 hpf
- 974 in wild-type, $gsx1\Delta 11$ -/-, $gsx2\Delta 13a$ -/-, and $gsx1\Delta 11$ -/-/ $gsx2\Delta 13a$ -/- zebrafish. E. Quantification
- 975 of *dlx6a* expression. E^{i} - E^{iv} . *foxp2* expression at 30 hpf in wild-type, $gsx1\Delta 11$ -/-, $gsx2\Delta 13a$ -/-,
- and $gsx1\Delta 11$ -/-/ $gsx2\Delta 13a$ -/- zebrafish. **G.** Quantification of foxp2 expression area.
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989 Table S1. List of NCBI sequences for gene and protein alignments and analyses.

Gene sequences				
Species	Gene	Accession number		
Danio rerio	gsxl	NM_001012251.1		
Danio rerio	gsx2	NM_001025512.2		
Danio rerio	dlx2a	AF349437.2		
Danio rerio	dlx2b	NM_131297.2		
Mus musculus	Dlx2	NM_010054.2		
Homo sapiens	Dlx2	NM_004405.4		
Danio rerio	dlx5a	NM_131306.2		
Danio rerio	dlx6a	NM_131323.1		
Danio rerio	foxp2	NM_001030082.2		
Protein sequences				
Species	Protein	Accession number		
Danio rerio	Gsx1	AAI65050.1		
Mus musculus	Gsx1	AAI37770.1		
Rattus norvegicus	Gsx1	NP_001178592.1		
Homo sapiens	Gsx1	NP_663632.1		
Xenopus tropicalis	Gsx1	NP_001039254		
Oryzias latipes	Gsx1	NP_001098303		
Lepisosteus oculatus	Gsx1	XP_006627824		
Danio rerio	Gsx2	AAI64330.1		
Mus musculus	Gsx2	NP_573555.1		
Rattus norvegicus	Gsx2	NP_001131035.1		
Homo sapiens	Gsx2	NP_573574.2		
Xenopus tropicalis	Gsx2	AAI58504.1		
Oryzias latipes	Gsx2	NP_001116381		
Lepisosteus oculatus	Gsx2	XP_006630061		
Drosophila melanogaster	Ind	NP_996087.2		

992 Table S2. Table of primers, plasmids, and antibodies.

PRIMERS AND PLASMIDS					
Gene	Primer sequence	Used for	Additional information		
gsx1	FW: 5'-AGCATTTGGTACACGAGCGA-3' RV: 5'-GGTGTGGCGTACAGAGTCTT-3'				
gsx2	FW: 5'-CAAGTTCTTGGAGCATCGCC-3' RV: 5'-TCCGTTTAAAAGTGCCACGT-3'	Semi-quantitative RT-PCR			
efla	FW: 5'-TACAAATGCGGTGGAATCGAC-3' RV: 5'-TGTGCAGACTTTGTGACCTTG-3'				
gsx1	Cheesman and Eisen, 2004		Plasmid linearized with ClaI, <i>in vitro</i> transcribed with T3		
gsx2	FW: 5'-ACAACAGCCACATACAGAACG-3' RV: 5'-CACAGCTTCTCAGTAGTCTAGGA-3'		Plasmid linearized with EcoRI, <i>in vitro</i> transcribed with SP6		
dlx2a	Akimenko et al., 1994		Plasmid linearized with NcoI, <i>in vitro</i> transcribed with SP6		
dlx2b	FW: 5'-GCGCAGATTCCAGAAGACC-3' RV: 5'-ACCCGTTTGTACTTGGAATGTG-3'	Antisense mRNA in situ probes	Plasmid linearized with Not1, <i>in vitro</i> transcribed with SP6		
dlx5a	FW: 5'-ATTTAGGTGACACTATAGCC GAAGTAAGGA TGGTCAAC-3'		<i>in vitro</i> transcribed with T7 polymerase		
dlx6a	FW: 5'-ATTTAGGTGACACTATAGACAGCA GAAAACAACAGTGA-3'		<i>in vitro</i> transcribed with T7 polymerase		
foxp2	FW: 5'-GCCACACCGACAAATACTCC-3' RV:5'-ATTTAGGTGACACTATAGCTGCTG		<i>in vitro</i> transcribed with SP6 polymerase		
gsx1∆11	FW: 5'-TCCAGATCCACGACAGTTCC-3' RV: 5'-TGACTGCTGCTATTTTCTGTTGA-3'	C. A.			
gsx2∆13a	FW: 5'-TGCGTATCCTCACACATCCA-3' RV: 5'-TGTCCAGGGTGCGCTAAC-3'	Genotyping			
gsx1∆05	FW: 5'-AGCCCTCCGTTATTTCCGTA-3' RV: 5'-CGTTTGCTGCTCTGAAGTT-3'	Confirmation of			
gsx2∆05	FW: 5'-AGCAATCATGTCGAGGTCTT-3' RV: 5'-GCGCACTCACTCACCTAGAGA-3'	TALENs efficacy			
dlx2a	FW: 5'-CCTGCAGAGGAGGTTTCAGA-3' RV: 5'-GGGTGGGATCTCTCCACTTT-3'				
dlx2b	FW: 5'-TCCTATGGCGCTTATGGAAC-3' RV: 5'-GAGTAGATGGTTCGCGGTTT-3'	qPCR			
efla	FW: 5'-TGATCTACAAATGCGGTGGA-3' RV: 5'-CAATGGTGATACCACGCTCA-3'				
ANTIBODIES					
	Name	Manufacturer	Item #		
	Anti-Digoxigenin-AP, Fab fragments	Roche	#11093274910		

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gsx1						gsx2		
	Dorsal		Ventra	ıl	Lateral		Ventral	Lateral
A	TeO	Marra .	B	Sides.	C TeO Pr Ht	b	2	P
Pr	TeO	Hb	пур	72 4=6	Нур		P	OB
_		72 npt	_	72 npr	- ToO	npr -	72 npr	72 npr
D	ТеО		E Cont	1ª	F H	Ib	- Open	M Hb
Pr	A.C.	Hb	Нур	a and	Нур		P	ОВ
	TeO	96 hpf	0	96 hpf	96	hpf –	96 hpf	96 hpf
G	ТеО		HO A	A.	Pr	Ν	- Com	Р
Pr	H JANK	Hb	Нур	No.	The read	P	,	a visit in the second
	ТеО	120 hpf	6	120 hpf	Нур 120	hpf _	120 hpf	120 hpf











