1 Title: A novel analytical decoder of BOLD signals for dissociating latent

- 2 neurobehavioral processes
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35 Abstract:

Brain, as a complex cognitive system, often processes multiple dimension 36 information synchronously and integrate them to adapt dynamic environments and 37 make effective decisions.¹⁻³ How to retrieve latent neurobehavioral processes from 38 complex human neurobiological signals is an important yet previously unresolved 39 challenge.^{4,5} For instance, the previous literature has proposed two fundamental yet 40 mutually confounded processes during the decision making and affective processing, 41 i.e. valance and arousal.^{6,7} Here, we develop a novel analytical approach, orthogonal-42 Decoding multi-Cognitive Processes (DeCoP), with which we dissociate neural 43 responses in processing valence and arousal information during tests of motivational 44 and emotional function. During reward/punishment anticipation, we decode brain-wide 45 responses into spatially overlapping, yet functionally independent, evaluation and 46 readiness networks, i.e., motivational valence and arousal processing, which are 47 modulated differentially by the meso-limbic vs nigro-striatal dopamine systems. 48 Similarly, during emotional reactivity, we decompose amygdala response into 49 50 independent emotional valence and facial arousal processing features. We demonstrate that DeCoP can resolve paradoxically unexpected brain 'inactivation', and be applied 51 more generally to decode multiple latent neurobehavioral processes. Furthermore, we 52 anticipate our approach to advance both the design and hypothesis testing of cognitive 53 experimental task paradigms. 54

56 Main

The brain frequently engages parallel processing involving different latent 57 behavioural processes mediated by functionally distinct, though spatially over-lapping, 58 neural networks. Previously, human functional neuroimaging studies have been 59 unsuccessful in unravelling these processes from basal compound physiological signals. 60 It is therefore challenging to build process-specific and mechanistic models of the brain 61 or develop robust biomarkers for dysfunctional processes in psychiatric disorders. 62 63 Recent overarching frameworks propose two different cognitive processes engaged in parallel during rewarded or punished behaviour, namely evaluation (i.e. valence 64 processing, scaling signal values from reward to punishment) and response readiness 65 (subsuming arousal and attentional salience, contributing to response preparatory 66 processes) ^{3,6}. The evaluation process is essential for guiding upcoming action 67 selections⁸, for which the brain has evolved dedicated regions/circuits for evaluating 68 the value of actions ⁹⁻¹². Complementary to evaluation, both reward and punishment, as 69 highly salient events, attract higher attention than neutral stimuli, also engaging greater 70 levels of motor preparation and emotional arousal ¹³⁻¹⁵, hence contributing to response 71 (including motor) readiness. Therefore, evaluation and readiness signals are inevitably 72 confounded with each other during reward/punishment processing. Unfortunately, 73 decomposing this compound signal, for example in human fMRI studies, has proven 74 challenging because independent components cannot be identified in many 75 experimental test paradigms, although previous attempts have made to overcome this 76 problem ^{4,5,16}. However, existing approaches have failed to disentangle signals in brain 77 regions known to encode both evaluation and readiness signals, for example, in the 78 striatum and the ventromedial prefrontal cortex (vmPFC)¹⁵, and have not provided 79 convincing evidence to either prove or refute the assumption of independence of the 80 signals. To resolve this complex theoretical issue, we developed a novel approach, 81 orthogonal-Decoding multi-Cognitive Processes (DeCoP), which, for the first time, 82 allows for a brain-wide decomposition of process-specific neurobiological 83 84 representations of complex neurobehavioral processes. This technique has wide

application for decomposing compound neuroimaging signals, such as the BOLD 85

86 response.

Experiment and model designs of DeCoP 87

A monetary incentive delay (MID) task (Fig. 1a), one of the classical and widely 88 used fMRI paradigms for reward processing, was conducted in 1939 children aged 9-89 10 from the ABCD study (Extended Data Table. 1)¹⁷ to assess reward/punishment 90 processing with gain/loss conditions for small or large amounts of money and a neutral 91 92 condition (i.e., -5.0 \$, -0.2 \$, 0, 0.2 \$ or 5.0 \$). Specifically, in the second-level analyses of the BOLD signal, based on these amounts, we defined plausible orthogonal contrasts 93 [-2, -1, 0, 1, 2] and [2, 1, 0, 1, 2] that reflected putative independent hypothetical 94 processes of evaluation and readiness, respectively (i.e. a large reward and a large 95 punishment are assumed to be equally salient). Their complementary orthogonal 96 contrasts (i.e., [-1, 2, 0, -2, 1] and [1, -2, 2, -2, 1]) could explain information not 97 accounted for by the above hypothetical models (Fig. 1b). We were thus able to retrieve 98 the decomposed signal components of those confounded processes (Extended Data Fig. 99 100 1a, see Methods for more details).

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Decompose neural signals with DeCoP

The vmPFC (Brodmann area [BA] 10-11; Peak MNI: [-9, 49, -9], *t*₁₉₃₈ = 15.69, 102 Cohen's D = 0.36, $p_{uncorrected}$ = 2.55E-52) and ventral striatum (VS, Peak MNI: [7, 25, -103 3], $t_{1938} = 14.21$, Cohen's D = 0.32, $p_{\text{uncorrected}} = 1.14\text{E}-43$) were the most prominent 104 regions identified in the evaluation model (Fig. 1c upper left&1d), thus being highly 105 sensitive for tracking the entire dimension from punishment to reward. These areas 106 coincide with the terminal regions of the dopamine neuron projections from the ventral 107 tegmental area (VTA), i.e. the meso-corticolimbic dopamine system ^{8,18,19}. For the 108 readiness model, however, the signals were more widely dispersed across cortical and 109 subcortical areas, including motor-somatosensory, salience and attention networks, and 110 regions such as the dorsal striatum (DS, Peak MNI: [0, 10, 4], t1938 = 32.80, Cohen's D 111 = 0.75, $p_{uncorrected} = 4.56E-188$) and thalamus (THA, Peak MNI: [13, -6, 16], $t_{1938} =$ 112 113 24.66, Cohen's D = 0.56, $p_{\text{uncorrected}}$ = 5.23E-117) (Fig. 1c upper right &1d), consistent 114 with their engagement in processing both reward and punishment 20 .

115 Neural circuits for decomposed signals

We then investigated whether the neural representations of evaluation and 116 readiness signals were underpinned by different neural circuits, in particular those 117 modulated putatively by the midbrain dopaminergic projections originating from either 118 the substantia nigra pars compacta (SNc) or the VTA, which plays a central role in 119 reward prediction and approach ^{18,19}. We found regions of the evaluation model with 120 higher functional connectivity (FC) to VTA than to SNc (paired t-test: $t_{183} = 14.84$, 121 Cohen's D = 1.10, p < 10E-32, Fig. 2a), and regions of the readiness model with higher 122 FC to the SNc than to the VTA (*paired t*-test: $t_{183} = 3.63$, Cohen's D = 0.27, p = 0.0004, 123 Fig. 2a) based on 7T high-resolution resting-state fMRI data from the Human 124 Connectome Project (HCP)²¹. Further, we extracted the *t*-maps of the difference 125 between the seed-based FC from VTA and SNc (i.e. 'VTA > SNc') (Extended Data Fig. 126 2a-c), which was exhibited high similarities, although in opposite directions, with the 127 *t*-maps of both evaluation (r = 0.22, $p_{adj} < 10E-20$) and readiness (r = -0.12, $p_{adj} < 10E$ -128 129 12, Fig. 2b) models. Thus, the separate VTA and SNc dopamine projections could be the putative source of evaluation and readiness signals, respectively. 130

131 Independence of decomposed neural signals

We further demonstrated that the distinct underlying neural pathways of 132 decomposed cognitive processes were indeed independent, which could be directly 133 inferred from uncorrelated signal components in the proposed decoding procedure (see 134 Methods for detailed proof). Based on our simulation results, only if the compound 135 signals were indeed a combination of independent signals, the decomposed signals from 136 DeCoP could be uncorrelated ($|r_{mean}| < 0.001$, the 'Independent' model in Extended Data 137 Fig. 1b & Table 2). Otherwise, the decomposed signals were highly correlated and 138 hence inseparable (the 'One Signal' model: $r_{\text{mean}} = 0.54$; the 'Push and Pull' model: 139 $r_{\text{mean}} = -0.45$; Extended Data Fig. 1b & Table 2). Thus, DeCoP also serves as an 140 analytical tool for the statistical inference on the independence of decomposed signals. 141 142 In this study, we found that the signals attributed to evaluation and N-shape models

(dependent signals: $r_{\text{mean}} = -0.093$, $p_{bootstrap} < 0.0001$ based on 10000 bootstrap; 32.06% 143 voxels with r < -0.1) together described the sensitivity of evaluation from punishment 144 to reward. This was independent ($|r_{mean}| < 0.01$, $p_{bootstrap} > 0.3$; >99% voxels with $r \in [-$ 145 0.1,0.1]) of those attributed to the readiness and W-shape models (dependent signals: 146 $r_{\text{mean}} = -0.159$, $p_{bootstrap} < 0.0001$; 93.29% voxels with r < -0.1) that together described 147 the differentiated engagement of readiness from the neutral condition to 148 reward/punishment conditions (Fig. 2a&c; see Supplementary Information for more 149 150 details). Hence, the observed unbalanced sensitivity towards reward and punishment could be parsed into two independent and balanced signal components, i.e. a point-151 symmetric evaluation process and a line-symmetric readiness process. Moreover, the 152 paradoxical 'inactive' vmPFC during the large-win vs neutral contrast could now be 153 154 understood as a product of a trade-off between two independent processes: activation by reward stimuli (i.e. of the evaluation process) and deactivation as part of the default 155 mode network (i.e. of the readiness process). 156

157 Complementary signal components from DeCoP

158 On the other hand, the N-shape and W-shape models (Fig. 1c) account for the deviation from (or equivalently, they adjust) the shapes of the proposed evaluation and 159 readiness models, particularly the response to small reward/punishment conditions (Fig. 160 3c). This modification of the additional complementary orthogonal vectors also 161 provided an effective measurement of distance between the latent pure signal and the 162 proposed model (see Methods and Supplementary Information for more details). 163 Therefore, converging evidence indicated that most brain regions, including VS and 164 vmPFC, distinguish reward from punishment signals with their relative scales. In 165 another word, the brain simplifies the scales of the different values when processing 166 reward/punishment information, hence processing highly abstract information only. 167 However, the bilateral anterior insula (aINS) and dorsal anterior cingulate cortex 168 (dACC), commonly referred to as the salience network, were only sensitive to the large 169 reward/punishment conditions, while the small reward/punishment and neutral 170 171 conditions were undifferentiated, hence most likely tracking the parametric nature of the experimental design (i.e. [-5, -0.2, 0, 0.2, 5] Extended Data Fig. 3&4 and Extended
Data Table 3; see Supplementary Information for detailed analyses). These results were
consistent with the role of aINS and dACC in updating and maintaining subjective value
information.^{22,23}

176 Signal components affect task performance

We further implemented a weighted voxel co-activation network analysis 177 (WVCNA) on the ABCD MID task to capture the most informative brain-wide signal 178 clusters ²⁴ (see Supplementary Information for details) and identified 55 and 194 179 clusters for the evaluation and readiness models, respectively (Extended Data Fig. 5-180 6& Supplementary Table 1). Again, we observed brain-wide low correlations between 181 evaluation and readiness clusters ($r_{\text{mean}} = 0.01$, p = 0.1621, >99% pairwise $r \in [-0.1, 0.1]$; 182 Fig. 2b), hence further supporting their neural independence. We then investigated the 183 effects of decomposed signals on task performance using canonical correlation analysis 184 (CCA), and found associations between variations in the neural signal and task 185 performance across three condition categories (i.e. reward, neutral and punishment) for 186 both evaluation and readiness: accuracy (evaluation: adjusted η^2 (adj- η^2) = 0.025, p_{perm} 187 < 0.001; readiness: $adj-\eta^2 = 0.079$, $p_{perm} < 0.001$) and reaction time (evaluation: $adj-\eta^2$ 188 = 0.020, $p_{perm} < 0.001$; readiness: adj- $\eta^2 = 0.075$, $p_{perm} < 0.001$) (Supplementary Table 189 2&3). For the evaluation clusters, higher accuracy and faster reactions for both reward 190 and punishment conditions (but not the neutral condition) were mainly associated with 191 reduced sensitivity in left ventral striatum (VS), bilateral inferior temporal-occipital 192 junctions (iTOJ), and in both sub- and pre-genual anterior cingulate cortices (sgACC 193 and pgACC) (presented by the first components; r < -0.04, Supplementary Table 2&3, 194 Extended Data Fig. 7a&b). For the readiness clusters, the executive regulation related 195 to accuracy might function differently in the presence or absence of valence signals: in 196 reward/punishment trials (represented by the first component), higher accuracy was 197 associated with greater engagement of task-preparation regions, i.e. including the 198 somatosensory-motor cortices and the dorsal attention network (r > 0.04) but 199 200 suppression of the salience network, i.e. the dorsal aINS and the dorsal ACC (dACC)

(r < -0.04), whereas on neutral trials (represented by the second component) both 201 relationships were reversed, with higher accuracy now being associated with 202 suppressed task-preparation areas (r < -0.04) and greater engagement of the salience 203 network, i.e. the ventral aINS and dACC (r > 0.04, Supplementary Table 2&Extended 204 Data Fig. 7c). Nevertheless, faster reactions were uniformly associated with greater 205 engagement of task-preparation areas (r > 0.04) and lesser engagement bilaterally of 206 the anterior putamen (aPUT), left VS, dACC and the right frontoparietal network (FPN, 207 i.e. dorsal lateral prefrontal cortex (DLPFC) and intra-parietal sulcus (IPS)) (r < -0.04) 208 across all three conditions (represented by the first component, Supplementary Table 209 3&Extended Data Fig. 7d; see Supplementary Information for more information). 210

211 Decompose emotion processes with DeCoP

212 To further demonstrate the broader applicability of this unified strategy for signal decomposition, we decoded another complex cognitive process, emotional face 213 processing. Similarly to reward/punishment processing, emotional processing also 214 involves compound signals of distinct behavioural processes such as affective valence, 215 emotional arousal, and attention, especially in the amygdala²⁵. To address this issue 216 using the DeCoP method we analysed fMRI data for a modified emotional faces task 217 performed in 1091 19-year-old adolescents from the IMAGEN project ²⁶. While the 218 right amygdala was activated by all types of facial stimuli in the emotional faces task 219 (Fig. 4a, $t_{1090} > 13$, Cohen's D > 0.39), there was no significant difference in activations 220 between the angry and neutral conditions (Fig. 4b upper right), consistent with previous 221 studies ^{27,28}. Given the multidimensional role of the amygdala in several of the 222 underlying processes it is conceivable that the emotional-decoding process-specific 223 neural responses have been obscured by other processes, such as general processing of 224 facial features. Again, we hypothesized that two dissociable components are involved 225 in emotional processing, i.e. affective valance, corresponding to the neural 226 representation of the affective facial emotion information (represent as [1, 0, -1] from 227 angry to happy, Fig. 4c) and facial arousal, which was defined as the degree of 228 229 excitement or motivational reaction when a person experienced and recognized an

emotional face (represent as [1, 0, 1], Fig. 4c). By applying DeCoP, we found an independent positive reaction to affective valence and negative reaction to facial arousal (Fig. 4c, $r_{mean} = -0.041$, $p_{bootstrap} = 0.1083$; 97.78% voxels with |r| < 0.1). Hence, the right amygdala linearly tracked emotional valence on the happy through neutral to angry dimension, while the neutral faces attracted more attention (i.e. higher activation) than emotional ones. Therefore, both processes lead to an undifferentiated contrast for angry vs neutral faces.

237 Conclusion

In conclusion, we have developed and evaluated a universally applicable, novel 238 signal decomposition strategy, 'DeCoP', to disentangle behavioural processes that 239 confound the observation of functional neuroimaging signals. Through DeCoP we 240 demonstrated the independence of evaluation and readiness processing in the brain, 241 putatively modulated differentially by neural circuits targeting VTA and SNc; we also 242 demonstrated that most brain regions, including the ventral striatum, coded signals 243 based on abstract information instead of the observed exact values, except for the 244 245 salience network, i.e. pgACC/dACC and aINS. Most importantly, we demonstrated that DeCoP could help to resolve common paradoxical observations in fMRI tasks which 246 involve multiple interferential latent behavioural or cognitive processes, for example, 247 the unexpectedly 'inactive' vmPFC in the contrast of large reward vs no reward, and 248 the lack of differential amygdala activation in the contrast of angry vs neutral faces. We 249 expect DeCoP can be applied usefully in many other comparably ambiguous data-sets. 250

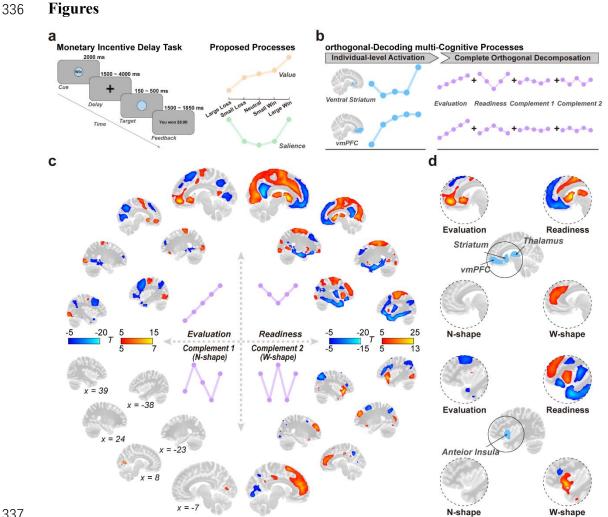
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Fig. 1. Experimental design and neural representations of the orthogonal 338 decomposition. a. Procedure of the monetary incentive delay task (MID) and the 339 proposed evaluation and readiness cognitive processes; b. An illustration of 340 Orthogonally Decoding multi-Cognitive Processes (DeCoP); c. Brain-wide T-maps of 341 decomposed signals for orthogonal contrasts. Brain-wide significance was set as |T| > 5. 342 The MNI coordinates of brain slices were inserted at the lower left; d. Decomposed 343 signals in highlighted brain regions. 344 345

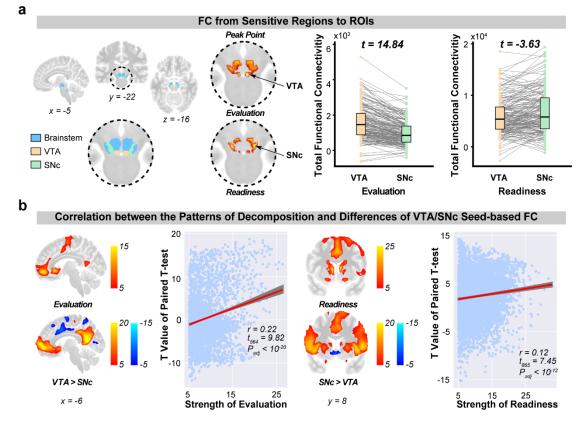


Fig. 2 The decomposed evaluation and readiness processing targets VTA and SNc 347 neural circuits respectively. a. Left: the masks of Brainstem, VTA and SNc were from 348 349 AAL3 atlas. Middle: strength of functional connectivities (FCs) to VTA and SNc from the evaluation and readiness regions identified in Fig. 1. Right: Paired t-tests between 350 FCs to VTA and SNc from evaluation and readiness; b. Brain-wide pattern correlations 351 between the strength of decomposition signals (left: evaluation; right: readiness) and 352 353 the differences of seed-based FCs from VTA and SNc. Brain-wide significance was set as |T|>5. 354 355

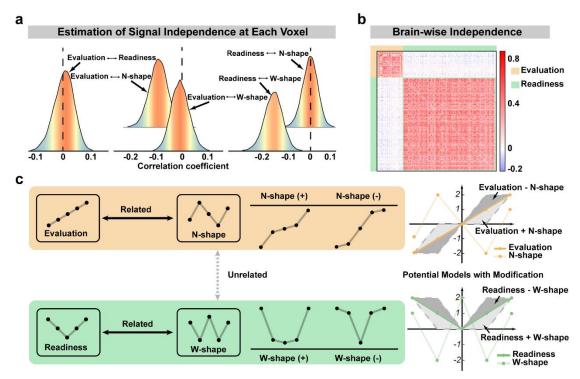
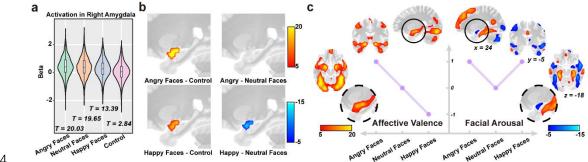


Fig. 3 Inference for independence among orthogonally decomposed signals during the reward anticipation. a. The distributions of pair-wise correlations between signals of orthogonal contrasts at each voxel. Mean correlations deviated from 0 would indicate a pair of related signals; b. The correlation matrix of signals from the evaluation and readiness clusters identified by WVCNA. c. An illustration of how related signals could describe the evaluation-related and readiness-related processing.



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Fig. 4 Decoding affective valence and facial arousal of emotional face processing in amygdala by DeCoP. a. BOLD signals of the right amygdala under different task conditions. b. Activations of the right amygdala under different contrasts. c. The neural representations of affective valence and facial arousal based on orthogonal decompositions. Brain-wide significance was set as |T|>5.

371 Methods

372 Participants

The dataset used for this study was selected from Annual Curated Data Release 373 2.01 (https://data-archive.nimh.nih.gov/abcd) of the Adolescent Brain Cognitive 374 Development (ABCD) cohort, which recruited 11,875 children between 9-11 years of 375 age from 21 sites across the United States ¹⁷. The study conforms to each site's 376 Institutional Review Board's rules and procedures, and all participants provide 377 378 informed consent (parents) or informed assent (children). More details of the subjects the collection provided ABCD 379 and data are at the website (https://abcdstudy.org/scientists/protocols) and are also described previously ¹⁷. 380

Magnetic resonance imaging (MRI) data in the ABCD study were collected from 381 382 different 3T scanner platforms (i.e. Siemens Prisma, General Electric (GE) MR750 and Philips Achieva dStream). To minimize biases introduced by multiple platforms, we 383 only included MRI data from the most frequent manufacturer Siemens Prisma, i.e. 5968 384 participants from 13 sites. By examining the similarity of brain activations across these 385 386 13 sites, we selected 2326 participants from 4 sites with consistent activation patterns. Furthermore, the data with poor registration (by visual check) and high head motion 387 (mean framework displacement (FD) > 0.5 mm) were excluded. Hence, 1939 quality-388 controlled participants were included in the following analysis and the demographic 389 390 characteristics of these participants are summarized in Extended Data Table 1.

391 Monetary incentive delay (MID) task design

A modified version of the MID task was used to examine brain activation during 392 monetary reward anticipation and receipt ²⁹, which consists of five levels of incentive: 393 large loss, small loss, neutral, small win and large win (i.e., -5.0 \$, -0.2 \$, 0\$, 0.2 \$ and 394 5.0\$ respectively). In each trial, participants were first presented with one of three cue 395 shapes (circle, square or triangle) that indicated the trial condition (of win, loss, or 396 neutral, respectively), as well as the amount of money involved. This cue presentation 397 (2,000 ms) was followed by a jittered anticipatory delay (1,500-4,000 ms) of fixation 398 399 on a black crosshair. Subsequently, a blank target cue (with the same shape as the 400 previously presented cue) emerged and required the participants to press a response button before the target disappeared to win or avoid loss. With a tracking algorithm, the 401 time of the target on the screen was dynamically manipulated (i.e., 150 ms-500 ms) to 402 maintain a 60% success rate for each participant. After a short delay, feedback of the 403 current trial (i.e., the amount of monetary gain or loss) and the accumulated reward so 404 far were presented for 1,500-1,850 ms (Fig. 1A). During the anticipation phase, 405 participants underwent 50 trails in total (i.e., 10 trails per incentive degree). Participants 406 407 had first completed a practice session outside the scanner before completing two sessions of the MID task with fMRI recording (approximately 5.5 minutes each). 408

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Image acquisition and preprocessing

Imaging protocols were harmonized across sites and scanners. 3-dimensional T1-410 weighted images (1.0 mm isotropic, TR = 2500 ms, TE = 2.88 ms) were acquired with 411 a gradient-echo sequence for anatomical localization and high spatial (2.4 mm isotropic) 412 and temporal (TR = 800 ms) resolution MID-task-based fMRI was acquired with echo-413 planar imaging (EPI) sequence in two separate runs (approximately 5.5 minutes each). 414 The detailed MRI acquisition protocol is described elsewhere ¹⁷. All functional images 415 were preprocessed with the same preprocessing procedure by suggested protocols from 416 FMRIB's Software Library (FSL v5.0.9), Advanced Normalization Tools (ANTs v1.9.2) 417 and Analysis of Functional NeuroImages (AFNI v18.3.03). Concretely, the whole 418 preprocessing procedure included the following steps: (i) brain extraction (ANTs 419 antsBrainExtraction), nonlinear registration to MNI space (ANTs antsRegistrationSyN) 420 for structural images; (ii) rigid realignment to adjust for motion (FSL MCFLIRT) and 421 field map correction (FSL TOPUP) for functional images; (iii) co-registration to a high-422 423 resolution T1 image and normalization to 3 mm isotropic MNI standard space (ANTs antsRegistrationSyN) and (iv) spatial smoothing with a 6mm full-width at half-424 maximum (FWHM) Gaussian kernel (AFNI 3dBlurToFWHM) and detrending (AFNI 425 426 3dDetrend).

427 First-level analysis of task-based fMRI

428 At the first-level analysis, we set up a general linear model to estimate the effects of the task conditions at the individual level with SPM, which contained 20 task 429 condition regressors (i.e. Target (Hit or Miss) * Phases (Anticipation, Feedback) * Task 430 Conditions (Large-Loss, Small-Loss, Neutral, Small-Win or Large-Win)) and 431 additional covariate regressors (i.e., 24 motion-related parameters: 6 rigid-body motion 432 parameters, their first temporal derivatives and 12 corresponding squared items; and 433 mean signals of both white matter and ventricles). All regressors were convolved with 434 435 a double-gamma HRF, and no orthogonalization of regressors was applied. For BOLD signals, drift was modeled with the DCT basis, and a cut-off of 128 s was applied (SPM 436 defaults). Finally, the autocorrelation was modeled as a global approximate AR(1) in 437 SPM. 438

439 Orthogonally Decoding multi-Cognitive Processes

In the present study, we propose a novel approach to decompose each participant's 440 brain activations at varied conditions (denoted as y) with a set of orthogonal basis 441 $\mathbf{x} = (x_i, \dots, x_k)$, where each vector could represent a predefined signal model, e.g. 442 evaluation or readiness. Specifically, 'orthogonal' here means that the pairwise 443 covariances of vectors were all zero, i.e. $Cov(x_i, x_j) = E(x_i, x_j) - E(x_i)E(x_j) = 0$. 444 In this way, the regression coefficients $\beta = (\beta_1, \dots, \beta_k)$ (i.e. the strength of signals for 445 446 each individual) estimated from a multiple linear model with all vectors were the same estimated univariately simple those (of linear models), 447 as i.e. $T(\beta_i | y, \mathbf{x}, \boldsymbol{\beta}_{-i}) = T(\beta_i | y, x_i)$, where $T(\cdot)$ stands for the best linear unbiased 448 estimator. 449

We propose that the above individual level orthogonal disconfounding eliminates spurious correlations of signal components (i.e. β) introduced by related vectors (i.e. X_i are correlated), thus allowing us to make meaningful inferences regarding signal independence at the population level. Here, we only describe a simple proof for the purpose of illustration, where only two orthogonal vectors were involved. Let y_i denote the activations of the *i*th individual across all conditions, and x_{1i} and x_{2i} denote the two

456 predefined independent orthogonal models of input signals, where $Cov(x_{1i}, x_{2i}) = 0$,

457 and β_{1i} and β_{2i} denotes the corresponding regression coefficients, i.e. the signal 458 strengths. We have the following linear model:

459 $y_i = \beta_{1i} x_{1i} + \beta_{2i} x_{2i} + \varepsilon$. (1)

460 We first show that orthogonal settings of x_{1i} and x_{2i} are necessary for a meaningful

461 signal decomposition. The covariance and variance conditional on x_{2i} are easily derived.

462
$$Cov(x_{1i}, y_i | x_{2i}) = Cov(x_{1i}, y_i) - \frac{Cov(x_{1i}, x_{2i}) \cdot Cov(y_i, x_{2i})}{Var(x_{2i})}$$

and

464
$$Var(x_{1i} | x_{2i}) = Var(x_{1i}) - \frac{Cov(x_{1i}, x_{2i})^2}{Var(x_{2i})}$$

465 Similar results are easily acquired for the covariance/variance conditional on x_{1i} . 466 Therefore, we establish the following relationship between the least square estimations 467 (LSEs) of β_{1i} and β_{2i} :

$$\begin{aligned} & \frac{Cov(x_{1i}, y_i)}{Var(x_{1i})} - \beta_{1i} \\ &= \frac{Cov(x_{1i}, y_i)}{Var(x_{1i})} - \frac{Cov(x_{1i}, y_i | x_{2i})}{Var(x_{1i} | x_{2i})} \\ &= \frac{Cov(x_{1i}, y_i)}{Var(x_{1i})} - \frac{Cov(x_{1i}, y_i) - \frac{Cov(x_{1i}, x_{2i}) \cdot Cov(x_{2i}, y_i)}{Var(x_{2i})}}{Var(x_{1i}) - \frac{Cov(x_{1i}, x_{2i})^2}{Var(x_{2i})}} \\ &= \frac{Cov(x_{1i}, x_{2i}) \cdot Cov(x_{2i}, y_i) \cdot Var(x_{1i}) - Cov(x_{1i}, y_i) \cdot Cov(x_{1i}, x_{2i})^2}{Var(x_{1i})^2 \cdot Var(x_{2i}) - Var(x_{1i}) \cdot Cov(x_{1i}, x_{2i})^2} \\ &= \frac{Cov(x_{1i}, x_{2i})}{Var(x_{1i})} \cdot \frac{Cov(x_{2i}, y_i) - \frac{Cov(x_{1i}, x_{2i}) \cdot Cov(x_{1i}, x_{2i})^2}{Var(x_{1i})}}{Var(x_{1i})} \\ &= \frac{Cov(x_{1i}, x_{2i})}{Var(x_{1i})} \cdot \frac{Cov(x_{2i}, y_i | x_{1i})}{Var(x_{2i}) - \frac{Cov(x_{1i}, x_{2i})^2}{Var(x_{1i})}} \\ &= \frac{Cov(x_{1i}, x_{2i})}{Var(x_{1i})} \cdot \frac{Cov(x_{2i}, y_i | x_{1i})}{Var(x_{2i} | x_{1i})} \\ &= \frac{Cov(x_{1i}, x_{2i})}{Var(x_{1i})} \cdot \beta_{2i} \end{aligned}$$

469 Clearly, unless $Cov(x_{1i}, x_{2i}) = 0$, β_{1i} could always be expressed as a function of β_{2i} , and 470 hence can never be independent of each other. The above derivations, therefore, prove 471 the necessity of using orthogonal vectors for meaningful signal decomposition.

472 Meanwhile, by setting $Cov(x_{1i}, x_{2i}) = 0$, and realizing that the LSE of β_{1i} in the 473 absence of x_{2i} from the regression model (1) (i.e. reduced to a simple linear model) is 474 $\beta'_{1i} = Cov(x_{1i}, y_i)/Var(x_{1i})$, we could immediately have $\beta'_{1i} = \beta_{1i}$. Thus with 475 orthogonal x_{1i} and x_{2i} , estimations from a multiple linear model would be the same as 476 those univariately estimated from simple linear models.

We then show that the population-level correlation analyses of β_{1i} and β_{2i} derived above provide meaningful statistical inferences of signal independence. For simplicity, assume that we rewrite β_{2i} , the signal strength of model x_{2i} , into a sum of two independent components, i.e., $\beta_{2i} = \beta'_{2i} + \gamma_i \beta_{1i}$ where β'_{2i} is independent of β_{1i} , i.e., $Cov(\beta'_2, \beta_1) = 0$, and the parameter γ_i denotes the proportion of overlapped signals with model x_{1i} , and is independent of β_{1i} , i.e., $Cov(\gamma, \beta_1) = 0$. We then calculate the population-level correlation of β_{1i} and β_{2i} as:

$$Cov(\beta_1, \beta_2) = Cov(\beta_1, \beta_2' + \gamma\beta_1)$$

= $Cov(\beta_1, \beta_2') + Cov(\beta_1, \gamma\beta_1)$
= $E(\gamma\beta_1^2) - E(\beta_1)E(\gamma\beta_1)$
= $E(\gamma\beta_1^2) - E(\gamma)E(\beta_1^2) + (E(\gamma)E(\beta_1^2) - E(\beta_1)E(\gamma)E(\beta_1))^2$
= $Cov(\gamma, \beta_1^2) + E(\gamma)Var(\beta_1)$
= $E(\gamma)Var(\beta_1)$

484

which only equals 0 if either $E(\gamma) = 0$, i.e. the population mean signals of both models are not a function of each other, or $Var(\beta_1) = 0$, i.e. one of the signals is invariant across the population, and hence again is not dependent on each other. Either way, the signals of both models are indeed independent, and thus $Cov(\beta_1, \beta_2) = 0 \Leftrightarrow \beta_1 * \beta_2$, where orthogonal decomposition at the individual level permits the expression

490 $Cov(\beta_1, \beta_2) = 0$. In conclusion, this two-stage approach can be used for statistical 491 inference concerning the signal dependence or independence of two signals.

To illustrate the above theoretical derivations, we conducted simulation analyses to 492 evaluate three models, namely the "independent-signal model", where each observed 493 signal consists of two independent inputs ($\beta_{1i} \perp \beta_{2i}$, and hence $Cov(\beta_1, \beta_2) = 0$), and 494 two dependent-signal models, i.e. the 'single signal model' with $\beta_{2i} = \gamma_i \beta_{1i}$ (assume 495 $E(\gamma) > 0$, and hence $Cov(\beta_1, \beta_2) > 0$), and the 'push-and-pull model' with $\beta_{2i} + \beta_{2i} + \beta_{$ 496 $\gamma_i \beta_{1i} = c_i$ (assume $E(\gamma) > 0$, and hence $Cov(\beta_1, \beta_2) < 0$). For simplicity, the signal 497 overlapping parameter γ_i was set as a constant (i.e. 0 for the independent-signal model 498 499 and 1 in both dependent-signal models); the observed signals (y in equation 1) were simulated from [-2, -1, 0, 1, 2] to [2, 1, 0, 1, 2] with an increment of [0.2, 0.1, 0, 0, 0] 500 at each step, i.e. [-2, -1, 0, 1, 2], [-1.8, -0.9, 0, 1, 2], ..., [1.8, 0.9, 0, 1, 2], [2, 1, 0, 1, 2]; 501 and the orthogonal vectors were fixed as $x_{1i} = [2, 1, 0, 1, 2]$ and $x_{2i} = [-2, -1, 0, 1, 2]$ 502 503 2]. Therefore, for the 'single signal model', y_i was directly set as the observed signals plus a random noise N(0,1). For the 'push-and-pull model', at the *i*th simulation step, 504 by setting $c_i = 0.05 \times (i-1) + N(0,1)$, $\beta_{1i} = c_i + N(0,1)$ and $\beta_{2i} = 1 - c_i + N(0,1)$, we 505 could then simulate $y_i = \beta_{1i} x_{1i} + \beta_{2i} x_{2i}$. Clearly, at the population-level, we have 506 $Cov(\beta_1, \beta_2) = E_i^2(c) - E_i(c^2) = -Var(c)$, and hence β_1 and β_2 are dependent. For the 507 'independent-signal model', at the *i*th simulation, by setting $c = 0.05 \times (i-1)$, 508 $\beta_{1i} = c + N(0,1)$ and $\beta_{2i} = 1 - c + N(0,1)$, we could also simulate $y_i = \beta_{1i} x_{1i} + \beta_{2i} x_{2i}$ 509 where, however, one would expect $Cov(\beta_1, \beta_2) = 0$. It is notable that while the 510 simulation models of 'push-and-pull' and 'independent-signal' are rather similar, they 511 are fundamentally different. The reason lies in the fact that the constant c in the 512 'independent-signal model' is invariant across individuals and solely determined by the 513 predefined form of observed signals, and hence the signal strengths β_{1i} and β_{2i} do 514 not dependent on each other. However, in the 'push-and-pull model', the form of 515

observed signals only determined the expectation $E_i(c)$, and hence both β_{1i} and β_{2i}

are nevertheless the functions of c_i that varies across individuals, and thus are not

518 independent.

519 For each model, we simulated with 1000 independent individuals for 1000 times, 520 and the detailed results of the simulation are shown in Extended Data Fig. 1b and 521 Extended Data Table 2.

522 Functional connectivity (FC) based on resting-state fMRI data

A total of 184 participants' preprocessed high-resolution (7T) resting-state fMRI 523 data were collected from the Human Connectome Project (HCP) dataset ²¹. Total FC 524 (Pearson Correlation) from evaluation and readiness activation activations regions to 525 the voxels in brainstem (Fig. 4A), which were masked with AAL3 atlas ³⁰ and from 526 VTA and SNc to the whole brain were examined respectively. A paired t-test was used 527 to estimate which brain region had a stronger FC with the activation region. The 528 similarity between patterns of activation and FC was represented by Pearson's 529 530 correlation coefficient r. Considering the high correlation between voxels, the degree of freedom was adjusted according to the number of components which had over 95% 531 interpretation of brain activation by principal component analysis. 532

533 Measurement of modifying effects

As N-shape and W-shape models can be considered as modifiers of evaluation and 534 readiness models, respectively, their strength (i.e. the activation level) can be used to 535 measure the deviance from the proposed model settings, i.e. the exact scale or the 536 relative scale. standardized Thus computed the 537 we mean $((t_{relative} + t_{exact})/\max(abs(t_{relative}), abs(t_{exact})))$ to evaluate the prevailing 538 settings, where $t_{relative}$ represents the t-statistics of the activation for N-shape or W-539 shape models under the relative-scale setting, and t_{exact} represents the t-statistics of 540 the activation for N-shape or W-shape models under the relative-scale setting. When 541 the observed signal falls somewhere between the relative-scale and exact-scale settings, 542 it is readily observable that $t_{relative}$ would be positive and t_{exact} would be negative. 543 Therefore, $abs(t_{relative}) > abs(t_{exact})$ would prefer the exact-scale setting, and the 544

standardized mean ranges from 0 to 1, whereas $abs(t_{relative}) < abs(t_{exact})$ would prefer the relative-scale setting, and the standardized mean ranges from -1 to 0. It is notable that meaningful settings other than this may also be possible. For instance, the response of the small stimuli could be identical to the large stimuli in the observed signal, thus $t_{exact} < t_{relative} < 0$, and the standardized mean could be well smaller than -1.

551 Weighted voxel co-activation network analysis (WVCNA)

The R package WGCNA ³¹ was implemented to conduct the WVCNA ³², which 552 identifies activation modules of both evaluation and readiness models across the brain. 553 The final dataset used for WVCNA included 1,912 participants with 3365 voxels for 554 the evaluation model and 1900 participants with 10932 voxels for the readiness model 555 after removing null data and outliers. We transferred most parameters as default settings 556 from previous studies ³³, except for the soft-threshold parameters, which were set to 557 seven based on the scale-free topology criteria (Fig. S5), incidentally identical to those 558 estimated for the MID task from a different cohort ³³. The stabilities of the generated 559 560 modules were assessed through bootstrapping.

561 Canonical correlation analysis (CCA)

CCA has been widely used to investigate the overall correlation between two sets 562 of standardized variables ³⁴. Due to high intra-correlations in both brain networks and 563 task performances, multicollinearity was a potential risk factor jeopardizing the validity 564 of the subsequent statistical inference. Therefore, we adopted the CCA proposed in a 565 previous reference ³³. Briefly, we used the eta square (n^2) to represent the proportion of 566 mutually explained variance between the two sets of variables. For each correlation, the 567 P value or significance level was determined using the permutation test, where the 568 individual IDs of task performances were randomly shuffled at each iteration to 569 generate the null distribution of the corresponding test statistics. We further included 570 an adjusted η^2 to correct for the inflation in η^2 caused by the increased number of 571 variables as: 572

573
$$\eta_{adj}^2 = 1 - \frac{1 - \eta^2}{1 - \eta_0^2}$$

where η_0^2 represents the expected η^2 under the null hypothesis that there is no relationship between the two sets of variables (that is, it acts as a measure of inflation in η^2), and can be directly estimated through the permutation test. As the effect of one task performance was significant, we also estimated the effects of each component of the behavior with the significant levels at P < 0.05.

579 Emotional faces task in the IMAGEN project

Emotional face processing was investigated using the "emotional faces task" in 580 the IMAGEN project ²⁶. Participants were exposed to a sequence of stimuli which 581 consisted of short (2-5 s) black-and-white video clips showing male and female faces 582 with varying facial expressions. Stimuli showed human faces which started with the 583 expression of a neutral expression and then either turned angry/happy or displayed a 584 585 neutral movement without a particular emotional content (for example, twitching the nose). Stimuli were arranged in 18 s blocks, each block including 4-7 video clips 586 depicting faces of the same emotion or neutral. Altogether, there were 3 blocks of 587 neutral faces and 3 blocks containing angry and happy faces respectively. In between 2 588 589 blocks of face clips, an 18 s non-biological control video clip was presented. The control stimuli consisted of expanding and contracting black-and-white concentric circles of 590 various contrasts, roughly matching the contrast and motion characteristics of the faces 591 clips. 592

The recruitment procedures employed in the IMAGEN project and demographic 593 information have been described previously ²⁶. The standard operating procedures for 594 the **IMAGEN** project available http://www.imagen-595 are at europe.com/en/Publications and SOP.php, which 596 contain details on ethics. recruitment, neuropsychological tests and preprocessing protocols of MRI data. In brief, 597 task-based functional MRI data were analyzed with SPM12 (Statistical Parametric 598 Mapping, http://www.fil.ion.ucl.ac.uk/spm). Preprocessing included: slice time 599 correction to adjust for time differences due to multi-slice imaging acquisition, 600 realignment to the first volume in line, non-linearly warping to the MNI space (based 601 602 on a custom EPI template (53x63x46 voxels) created out of an average of the mean

images of 400 adolescents), resampling at a resolution of 3x3x3mm³ and smoothing
with an isotropic Gaussian kernel of 5 mm full-width at half-maximum. And the
activations of each task condition, i.e. happy, angry, neutral faces and control stimuli,
were evaluated for each individual at the first-level analysis (The details can be found
at https://github.com/imagen2/imagen_processing). And these results were conducted
for the further DeCoP analysis.

ABCD data are available from a dedicated database: <u>https://abcdstudy.org</u>. Human

611 Connectome Projects data are available from: <u>https://www.humanconnectome.org</u>. The

- 612 IMAGEN project are available from a dedicated database: <u>https://imagen2.cea.fr</u>.
- 613 Code availability

614 Custom code that supports the findings of this study is available from the 615 corresponding author upon request. All data needed to evaluate the conclusions in the 616 paper are present in the paper and/or the Supplementary Information. Additional data 617 related to this paper may be requested from the authors.

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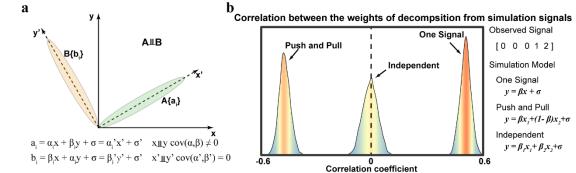
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649 Author Contributions:

Conception or Design of the Study: T.J., T.W.R. and J.F.. Manuscript Writing and 650 Editing: S.X. and T.J. wrote the manuscript; T.W.R. and J.F. edited the first draft; all 651 authors critically reviewed the manuscript. Imaging Data Preprocessing: S.X., C.X. and 652 W.C.. Visualization: S.X., C.X. and T.J.. Data Analysis: S.X., C.X., Z.Z., J.K. and G.S. 653 conducted all the statistical analyses, under the instruction of T.J. and J.F.. Results 654 655 Interpretation: T.J., T.W.R. and J.F.. Supervision of the Study: T.J. and J.F.. Funding Acquisition: T.J. and J.F.. 656 **Competing Interests:** 657

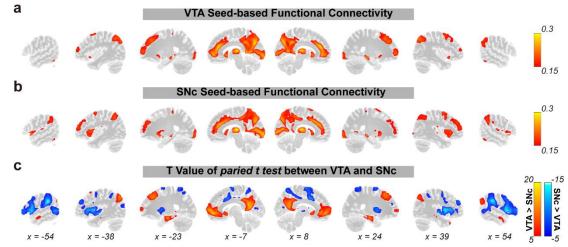
- The authors declare no competing interests.
- 659 Additional Information
- 660 Supplementary Information is available for this paper.
- 661

662 Extended Data figures and tables

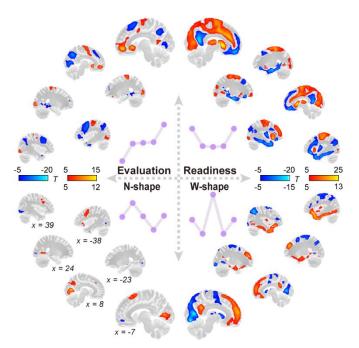


664 Extended Data Fig. 1. a. Only the "correct" orthogonal vectors could retrieve latent

- 665 independent signals. **d.** Correlations between decomposed signals based on different
- simulation models. Also see Extended Data Table 2.
- 667

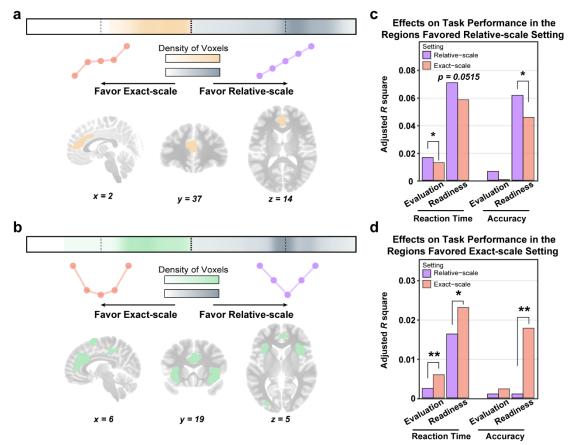


668x = -54x = -38x = -23x = -7x = 8x = 24x = 39x = 54 5^{-1} 669**Extended Data Fig. 2** The brain-wide patterns of seed-based functional connectivity670(FC) strength from regions of interest (ROIs): **a.** VTA seed-based; **b.** SNc seed-based;671**c.** The T-map of the differences between seed-based FCs patterns from VTA and SNc.672



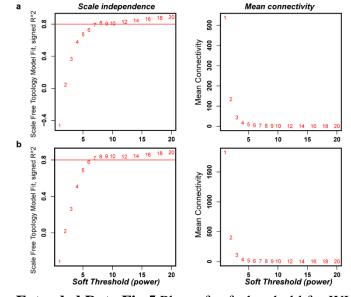
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Extended Data Fig. 3 Brain-wide neural representations of signal decomposition with the exact-scale setting (e.g. the evaluation contrast takes the form [-5, -0.2, 0, 0.2, 5] from large-loss to large-win, consistent with the parametric nature of the exact monetary magnitude in the experimental design).



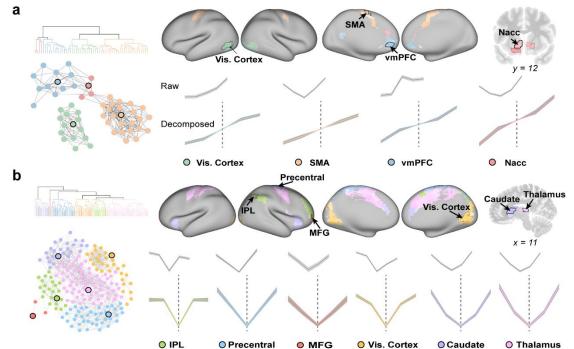
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Extended Data Fig. 4 The bimodal distribution of voxels favoring the exact-scale or relative-scale settings in evaluation (a) and readiness (b) processing. The typical regions favoring the exact-scale setting were illustrated in the corresponding lower subplots. c&d. The favored neural representations (i.e. relative-scale vs exact-scale) demonstrated better predictions for task performance. *significant at level 0.05, ** significant at level 0.01.

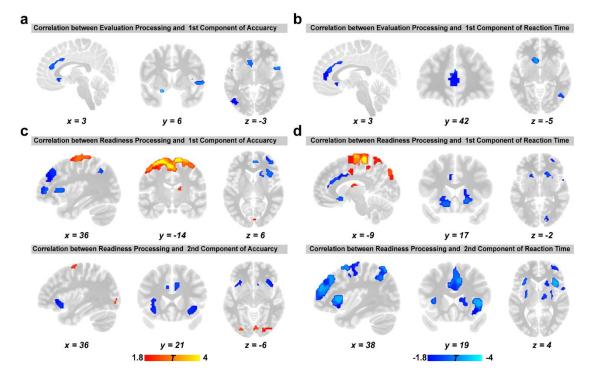


688 Extended Data Fig.5 Plots of soft-threshold for WVCNA.

689



Precentral • MFG O Vis. Cortex O Caudate O Thalamus 690 691 Extended Data Fig. 6 The brain clusters and subnetworks of evaluation (a) and readiness (d) processing. Also see Extended Data Table 3. The core brain region of 692 693 each subnetwork is marked by a black bound and illustrated in both the networks (left) and brain templates (right). Raw and decomposed signals of the core brain regions are 694 695 presented below the brain.



Extended Data Fig. 7 The T-maps of evaluation and readiness processing's impacts
 on the task performances. The canonical correlation analysis (CCA) was implemented
 to further segregate signal components that demonstrated differential associations with
 task performances under different experimental conditions.

702

703 Ext	nded Data	1 Table 1	l Demographic	characteristics	of the sam	ples in this study.
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characteristics	Total (n=1939)	Site1 (n= 415)	Site2 (n= 362)	Site3 (n= 396)	Site4 (n= 766)
Gender (male)	984 (50.75%)	219 (52.77%)	169 (46.69%)	191 (48.23%)	405 (52.87%)
Race/ethnicity					
White	1473 (75.97%)	282 (67.95%)***	246 (67.96%)**	313 (79.04%)	632 (82.51%)***
Black	39 (2.01%)	3 (0.72%)	10 (2.76%)	18 (4.55%)**	8 (1.04%)
Hispanic	233 (12.02%)	84 (20.24%)***	52 (14.36%)	24 (6.06%)***	73 (9.53%)
Asian	20 (1.03%)	2 (0.48%)	13 (3.59%)***	4 (1.01%)	1 (0.13%) [*]
Other/Missing	174 (8.97%)	44 (10.6%)	41 (11.33%)	37 (9.34%)	52 (6.79%)

0.01, *** p < 0.001 by Fisher's Exact Tests.

705 **Extended Data Table 2** Simulation results of the correlations between the weights of

706 decomposition.

Observerd – Signals	One Signal Model				Push and Pull I	Nodel	Independent Model		
		т	т		т	Т		Т	Т
	r	(Readiness)	(Evaluation)	r	(Readiness)	(Evaluation)	r	(Readiness)	(Evaluation
21012	-0.001	30.232	0.032	-0.448	21.868	0.024	0.010	30.185	0.025
1.8 0.9 0 1 2	0.080	30.033	2.669	-0.449	20.768	1.033	0.000	28.696	1.353
1.6 0.8 0 1 2	0.155	29.875	5.245	-0.449	19.667	2.086	0.000	27.154	2.751
1.4 0.7 0 1 2	0.230	29.652	7.735	-0.449	18.620	3.113	-0.002	25.624	4.087
1.2 0.6 0 1 2	0.296	29.433	10.073	-0.449	17.437	4.138	0.000	24.137	5.484
1 0.5 0 1 2	0.355	29.158	12.230	-0.450	16.356	5.174	-0.001	22.665	6.785
0.8 0.4 0 1 2	0.410	28.779	14.196	-0.449	15.333	6.195	0.001	21.067	8.178
0.6 0.3 0 1 2	0.454	28.442	15.998	-0.450	14.230	7.275	-0.002	19.631	9.527
0.4 0.2 0 1 2	0.492	28.067	17.658	-0.449	13.133	8.253	-0.001	18.060	10.893
0.2 0.1 0 1 2	0.522	27.449	19.051	-0.449	12.062	9.255	0.000	16.603	12.216
00012	0.542	26.735	20.315	-0.450	10.937	10.321	0.001	15.109	13.553
-0.2 -0.1 0 1 2	0.555	25.962	21.468	-0.449	9.817	11.328	0.000	13.565	14.977
-0.4 -0.2 0 1 2	0.555	24.847	22.457	-0.451	8.789	12.310	0.000	12.072	16.320
-0.6 -0.3 0 1 2	0.547	23.471	23.307	-0.450	7.645	13.390	-0.001	10.559	17.724
-0.8 -0.4 0 1 2	0.523	21.816	24.111	-0.449	6.563	14.409	-0.001	9.154	19.057
-1 -0.5 0 1 2	0.485	19.635	24.733	-0.450	5.440	15.470	0.001	7.570	20.393
-1.2 -0.6 0 1 2	0.429	16.942	25.369	-0.450	4.382	16.495	0.001	6.033	21.723
-1.4 -0.7 0 1 2	0.351	13.595	25.931	-0.450	3.230	17.528	-0.001	4.541	23.125
-1.6 -0.8 0 1 2	0.252	9.561	26.360	-0.448	2.225	18.543	-0.001	3.031	24.491
-1.8 -0.9 0 1 2	0.132	4.959	26.780	-0.449	1.097	19.576	0.000	1.490	25.792
-2 -1 0 1 2	0.001	0.014	27.205	-0.449	-0.028	20.638	-0.001	0.000	27.234

708 Extended Data Table 3 Coincidence degree of both evaluation and readiness

		Reaction Time				Accuracy			
Models			Р	Adj. R² diff.	P _{adj} .*	Adj. R²	Р	Adj. R² diff.	P _{adj.} *
All Modules									
Relative-scale Models	Readiness (control W-shape)	0.0849	0.0000	0.0119	0.0476	0.0617	0.0000	0.0123	0.050
	Evaluation (control N-shape)	0.0252	0.0138	0.0087	0.0646	0.0055	0.1607	0.0044	0.057
Exact-scale Models	- Readiness (control W-shape)	0.0730	0.0000			0.0494	0.0000		
	Evaluation (control N-shape)	0.0165	0.0044			0.0011	0.5570		
Modules Favored Relativ	ve-scale Models			•					
Relative-scale Models	Readiness (control W-shape)	0.0706	0.0000	0.0124	0.0349	0.0614	0.0000	0.0159	0.019
	Evaluation (control N-shape)	0.0164	0.0037	0.0037	0.0515	0.0063	0.1245	0.0058	0.036
Exact-scale Models	- Readiness (control W-shape)	0.0582	0.0000			0.0455	0.0000		
	Evaluation (control N-shape)	0.0127	0.0080			0.0005	0.4398		
Modules Favored Exact-	scale Models								
Relative-scale Models	Readiness (control W-shape)	0.0163	0.0004	-0.0068	0.0463	0.0010	0.3637	-0.0168	0.002
	Evaluation (control N-shape)	0.0024	0.0108	-0.0035	0.0073	0.0010	0.0949	-0.0013	0.164
Exact-scale Models	- Readiness (control W-shape)	0.0231	0.0000			0.0178	0.0002		
	Evaluation (control N-shape)	0.0059	0.0041			0.0023	0.0767		

709 processing for the task performance under the different model settings.