

# 1 Characterizing enterotypes in human metagenomics: a viral perspective

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## 8 Keywords: Gut metagenomics, Virome, Enterotype, Biomarker, Perspective

#### 9 Abstract

The diversity and high genomic mutation rates of viral species hinder our understanding of viruses 10 11 and their contributions to human health. Here we investigated the human fecal virome using 12 previously published sequencing data of 2,690 metagenomes from seven countries. We found that the 13 virome was dominated by double-stranded DNA viruses, and young children and adults showed 14 dramatic differences in their fecal enterovirus composition. Beta diversity showed there were 15 significantly higher distances to centroids in individuals with severe phenotypes, such as cirrhosis. In 16 contrast, there were no significant differences in lengths to centroids or viral components between patients with mild phenotypes, such as hypertension. Enterotypes showed the same specific viruses 17 and enrichment direction after independent determination of enterotypes in various projects. 18 19 Confounding factors, such as different sequencing platforms and library construction, did not result 20 in a batch effect to confuse enterotype assignment. The gut virome composition pattern could be 21 described by two viral enterotypes, which supported a discrete, rather than a gradient, distribution. 22 Compared with enterotype 2, enterotype 1 had a higher viral count and Shannon index, but a lower 23 beta diversity, indicating more resistance to the external environment's harmful effects. Disease was 24 usually accompanied by a viral enterotype disorder. However, a sample outside of the enterotyping 25 mathematical space of enterotype database did not necessarily indicate sickness. Therefore, the 26 background context must be carefully considered when using a viral enterotype as a biomarker for 27 disease prediction. The disease, second only to the enterotype, explains significant variation in viral 28 community composition, implying that double-stranded DNA is relevant to human health. Our results 29 of investigating a baseline viral database highlight important insights into the virome composition of 30 human ecosystems, and provide an alternate biomarker for early disease screening.

### 31 **1 Background**

32 In recent years, many studies have shown that viral colonization in the human body is highly related

to human health and life. Cross-species virus transmission poses an extraordinary threat to human

- 34 and animal health (Daszak et al., 2000). With advanced sequencing technology, the primary material
- 35 for viral research has become viral genomes (virome), which enable viral identification and
- 36 classification at the molecular level (Fujimoto et al., 2020; Gregory et al., 2020). The success of
- 37 virome studies greatly relies on high-quality viral genomes (Minot et al., 2011). However, viruses are
- 38 highly diverse and individual-specific (ref) and traditional purification strategies, culture, and

39 sequencing are labor-intensive and inefficient (Reddy et al., 2015), thus severely preventing the 40 comprehensive and intensive study of viruses.

- 41 The strategy of assembling the viral genome involves a comprehensive and in-depth analysis of the
- 42 virome. David and colleagues launched the "Uncovering Earth's virome" project to build The
- 43 Integrated Microbial Genome/Virus (IMG/VR) database in 2016(Paez-Espino et al., 2016, 2017,
- 44 2019; Roux et al., 2021). Recently, data of 28,060 metagenomes were used to mine 142,809 human
- 45 gut viruses, and Gubaphage was found to be the second common virus branch in the human
- 46 gut(Camarillo-Guerrero et al., 2021). These projects opened the prelude to the construction of viral
- 47 genome database and laid the foundation for a comprehensive analysis of the human gut
- 48 virome(Gregory et al., 2020). Disease is associated with the gut virome, but studies have ignored the
- 49 importance of viral sequencing information in massive metagenome sequencing data. The
- 50 construction of the viral genome database has enabled detailed research on the human gut virome.
- 51 An enterotype is a cluster of microbes in the human gut and it describes the distributional of the
- 52 human gut microbial community(Arumugam et al., 2011). Multiple studies have reported that there
- 53 are two dominant enterotypes, which correspond to the individuals' preference for digesting plant
- 54 fiber or animal meat (Costea et al., 2017). The gut is an ecosystem, and the enterotype summarizes its
- 55 microbial characteristics using mathematical methods (Arumugam et al., 2011; Holmes et al., 2012),
- 56 but such knowledge is insufficient (Jeffery et al., 2012). Research on the composition patterns and
- 57 function of the gut microbiome will significantly improve our understanding of its relationship with
- 58 health and disease(Knights et al., 2014). Enterotypes can be used for gut microbial analysis, to 59 inform disease treatment and prevention strategies, and may also provide a theoretical basis for diet
- 60
- therapy. The relationship between viral enterotypes and the human disease status is still largely
- 61 unknown. Whether enterotypes can be used as biomarkers for predicting the disease status requires
- 62 further research.
- 63 In this study, we collected previously published human metagenomic sequencing data, conducted
- 64 sample quality control through a fast pipeline, identified virus species, and determined viral
- abundance. Furthermore, we established a baseline database of the human gut virome based on 2,690 65
- 66 metagenomes. We demonstrated the relationship between virus species and abundance in various
- ethnicities, countries, and diseases using different DNA library construction methods and sequencing 67
- 68 platforms, and analyzed the association between viral community diversity and disease. Viral
- 69 enterotypes were assigned by the Dirichlet multinomial mixture model (DMM). We independently 70
- identified enterotype-specific viral operational taxonomic units (vOTUs) for each dataset and 71 resolved the inter-relationships among enterotypes from different projects by comparing the
- 72 abundance of enterotype-specific viruses. Further, we compared the ecological diversity of viruses
- 73 between different enterotypes, and evaluated the correlation of viral enterotype disorders and their
- 74 diversity with diseases. The results of study elucidate the relationship between enteroviruses and
- 75 human health in a large population and highlight the decisive role of viruses as molecular markers in
- 76 identifying high-risk individuals. Viral research is likely to make an indispensable contribution to
- 77 improving human health.

#### 78 2 Materials and methods

#### 79 Choosing an alignment method 2.1

- Alignment and assembly methods are used to detect viruses and estimate their abundance. 80
- 81 MetaPhlAn(Segata et al., 2012) and its upgraded version, MetaPhlAn2(Truong et al., 2015), are
- 82 alignment tools that use marker genes for alignment and have achieved great success in bacterial

83 genome alignment. However, many viral genomes do not have marker genes, and therefore, this

- strategy is not useful for viral classification and abundance estimation. Virome(Eric Wommack et al.,
   2012), VirSorter(Roux et al., 2015), and VirFinder(Ren et al., 2017) use assembly methods to
- classify viruses and calculate abundance, but these tools require a large amount of computing
- 87 resources and time and therefore cannot be applied to large projects. Some recently developed
- alignment tools, such as ViromeScan(Rampelli et al., 2016), VIP(Li et al., 2016), and HoloVir(Laffy
- 89 et al., 2016) have been shown to perform well for bacterial genomes. However, they are impractical
- 90 for aligning viral reads to genomes. Moreover, many software tools are for online use, which means
- 91 that they are unsuitable for large-scale projects. VirMap(Ajami et al., 2018) software developed for
- 92 processing protein and genome data can provide good results. It can be accurately identify the virus
- 93 species regardless of the sequencing depth. However, this software involves substantial computing
- 94 resources. After comparing the advantages and disadvantages of different software(Ajami et al.,
- 95 2018), we finally chose FastViromeExplorer(Tithi et al., 2018), a software based on k-mer alignment
- 96 used by Kallisto(Bray et al., 2016). This software maps all reads to the reference and then uses the
- 97 expectation-maximization algorithm to estimate the virus species and their corresponding abundance.

# 98 2.2 Data collection and processing

99 We downloaded all data from the National Center for Biotechnology Information (NCBI) sequence

100 read archive (SRA). The SRA numbers for each project are shown in Supplementary Table 1. We

101 only chose pair-end data from projects sequenced by the Illumina HiSeq 2000 or 2500 platforms.

102 After processing the original data sample (Supplementary Figure 1) using Trimmomatics(Bolger et

al., 2014) to remove the raw data and adapters of low-quality reads, we detected and removed

104 contamination from the host's DNA and RNA data, and discarded the unpaired reads. Finally, we

105 used FastViromeExplorer software to align reads to IMG/VR v2.

# 106 2.3 Viral contig taxonomic annotation

107 We used Glimmer3 toolkit Version 3.02b(Delcher et al., 2007) to predict and extract the open 108 reading frame of viral contigs with a minimum length threshold of 100 amino acids. The protein 109 sequences were aligned to the UniProt TrEMBL database as of February 2021(Bateman et al., 2021) 110 using BLASTX(Boratyn et al., 2012). The major voting system was then used as described 111 previously to ascertain the family of a viral contig. A contig needed to be supported by five proteins 112 to be considered as successful assignment; otherwise, the assignment was considered a failure. When 113 a virus sequence was annotated to multiple families in taxonomic assignment, we choose the family 114 with the largest proteins. When multiple families have the same number of proteins, the size of the

accumulated E-value (BLASTX alignment) of all proteins was compared.

# 116 2.4 Calculation of ecological diversity

We first used Tximport(Soneson et al., 2015) R package to read the original abundance information of the virus (the output of Kallisto) from each project. The "betadiver" in the Vegan R package was

used for calculating alpha and beta diversity. For alpha diversity, we first transformed the abundance

120 information into integers and then used the "rrarefy" function to normalize abundance. We then used

121 the "estimate," "diversity," and "specnumber" functions to obtain various measurement values of

- alpha diversity. We used the "RLE" method embedded in "calcNormFactors" to normalize raw
- abundance for beta diversity. We used the "Hellinger" method in "Decostand" to transform the data
- 124 and eliminate false similarities caused by many viruses whose abundance was 0. When the
- abundance of many viruses in the two samples were 0, some algorithms might consider them to have
- 126 similar abundance distribution and conclude that they were close to each other. The real reason may

be that many viruses have not been detected. We used the "betadiver" and "betadisper" functions to

128 obtain beta diversity, and then used Adonis2 to analyze the viral ecological differences between cases

and controls, and corrected them with raw data size. The Kruskal test was used to determine whether

there was a significant difference in the distance from the centroid between cases and controls.

131 Tukey's honestly significant difference test was used to determine differences in variance within and 132 between groups.

## 133 2.5 Enterotyping and MaAsLin2 analysis

We used the DMM method to determine viral enterotypes in each project independently. Enterotypes were assigned using the "DirichletMultinomial" R package, with predetermined parameters of 1 to 10 enterotypes, and enterotype data from each project were run 10 times. The smallest Laplace value

137 corresponding to the number of enterotypes was considered as the optimal result. MaAsLin2

138 (huttenhower.sph.harvard.edu/maaslin2) analysis was used to determine the specific vOTUs

associated with enterotypes, with correlations considered significant at the 5% level (after multiple

140 testing correction). We also applied the envfit function in Vegan to estimate the effect size of the

141 structural variance explained by factors such as enterotype and disease.

## 142 **3 Results**

## 143 **3.1 Sequencing data and summarization**

144 We collected 12.36 TB of metagenomic sequencing data from 18 previously published projects

145 (Supplementary Tables 1 and 2). We selected data from 2,690 metagenome samples of high quality

146 for the subsequent analysis (Supplementary Figure 1 and Supplementary Table 1), of which 1,092

147 were samples were from women, 859 were from men, and 739 were from unknown sex. The length

of sequencing reads from each sample were 2.26 to 8.55 G (Supplementary Table 1), and

approximately 10% of strictly filtered reads were aligned against IMG/VR v2 viral sequences

150 (Supplementary Table 3). We obtained 2,690 metagenome samples by choosing paired-end

sequencing data from the Illumina HiSeq 2000 and 2500 platforms and excluding projects with a

- 152 small data size (< 1 G).
- 153 [insert figure 1 here]

154 We annotated the geographic locations of the included projects on the basis of their predominant

samples (Figure 1A). Because there were no specific sampling coordinates, each project was located

by country. We annotated the viral taxonomy at the family level based on the protein sequence

157 similarities (Minot et al., 2013; Hannigan et al., 2015). Approximately 50% of the viral genomes

158 failed taxonomic assignment (Figure 1B), and double-stranded (ds) DNA viruses, such as

159 Siphoviridae, Myoviridae, and Podoviridae, were the dominant enteroviruses as previously reported

160 (Zuo et al., 2020). The density peak was close to zero, which indicated that the viruses were rarely

shared among individuals (Supplementary Figure 2). The samples from Finland were outliers in the
 PCoA and tSNE plots (Figure 1C and D) because of the low viral diversity (Supplementary Figure

162 PCOA and ISINE plots (Figure 1C and D) because of the low viral diversity (Supplementary Figure 1633). This finding might be explained by age. The average age of individuals in the Finland project was

164 1.5, and their gut communities did not reach stable states. Although the samples from the other six

165 countries showed substantial variability in the PCoA and tSNE plots (Figure 1C and D), they

166 belonged to the same cluster, especially the samples from the studies conducted in China. The studies

167 from China had the most individuals, and the samples were spread over almost the entire plot. In the

168 tSNE plot, we found that the samples from the USA and Peru were clustered in a local region, which

169 indicated that the gut virome showed characteristics of geographical distribution.

#### 170 [insert figure 2 here]

171 To study the distribution characteristics of the viral species in samples with different phenotypes, we 172 divided all samples from studies with a case-control design into three categories. These categories of 173 controls, cases, and all represented healthy people, patients with various diseases, and all individuals, 174 respectively. As more samples were included, the number of viral species showed exponential 175 growth, with no significant difference between cases and controls until samples from ~100 176 individuals were included (Figure 2A). After including ~100 individuals, the "case" curve showed a 177 steep increased viral count. As expected, a significant increment in the number of viral species was 178 observed when the number of samples was increased in the "all" curve. However, the three growth 179 curves were essentially parallel (Figure 2A), which suggested that the overall number of viruses in 180 the patient population after viral community disruption was limited. More interestingly, the "case" 181 and "all" curves overlapped with each other after  $\sim 1000$  samples. The reason for this finding could be 182 that the case population contained all species of viruses in the control population. When we 183 compared the growth curves of different projects, we found that the curves for Finland, Peru, and 184 Chinese populations with cirrhosis had significant differences (Figure 2B). The samples from the 185 Finland project were obtained from only 1.5-year-old children, at which age the enterovirus 186 community is not well established. It is unclear why the number of viral species in Peru samples were 187 small at the beginning of the curve. The dramatic increase in the number of viruses in the Chinese 188 population with cirrhosis may be due to severe disruption of the enterovirus community. We used 189 unique species in cases and controls to define group-specific viruses and compared the change in the 190 proportion of unique viral species between cases and controls (Supplementary Table 4). We found 191 that the mean proportion of viruses in case samples was 26% and in control samples was 14%. 192 Among all samples, the proportion of viruses that were unique to cases was 23%. Each case 193 individual had an average of 10.99 viruses, and the ratio of viruses that were unique to controls was 194 4%, and each control individual had an average of 2.43 viruses. Overall, there was an enrichment of

195 viruses in cases.

Project	Adonis2 for disease	Adonis2 for raw data	ANOVA	Kruskal test
Sweden T2D*	5.00E-03	1.00E-03	6.34E-03	1.62E-03
China cirrhosis	1.00E-03	1.00E-03	3.91E-09	8.41E-14
China rheumatoid arthritis	2.40E-02	1.50E-02	0.86	0.48
Austria carcinoma	1.00E-03	0.55	5.29E-04	7.89E-05
China colorectal cancer	4.00E-03	3.10E-02	0.12	9.71E-03
China hypertension	0.08	0.25	0.13	0.08

Table 1. Beta diversity for measuring the sample distance in projects with a case–control design.

China coronary heart disease	1.00E-03	0.81	4.15E-02	1.79E-02
China T2D discovery	2.60E-02	3.50E-02	1.75E-02	0.15
China T2D validation	1.00E-03	2.90E-02	0.38	0.45
China obesity	0.06	0.37	0.78	0.78

\*Type 2 diabetes (T2D). 196

#### 197 3.2 Relationship of ecological diversity of viruses and disease

198 The beta diversity of a microbial community is usually used to evaluate dynamic changes in an 199 ecosystem (Koleff et al., 2003). A comparison of the results of projects with a case–control design revealed that the degree of imbalance in the viral community composition was related to the severity 200 201 of the disease phenotype. An example of this finding is that the viral community in patients with cirrhosis (Figure 3A) was significantly different from that in healthy people (Adonis2, p = 0.001, 202 203 adjusted for raw data size). Comparison of the distance to the centroid between patients and healthy 204 individuals by the Mann–Whitney U test showed a significant dissimilarity (Figure 3B). Specifically, 205 patients had a significantly larger distance than healthy individuals, which indicated that patients had 206 a considerably disordered viral community. In contrast, we did not detect a significant difference between patients and healthy individuals in the hypertension project (Adonis2, p = 0.08, Figure 3C). 207 We also compared the distance to the centroid for each pair of three cohorts (Figure 3D), and a 208 209 significant difference was found only between patients with hypertension and healthy individuals (Wilcoxon, p = 0.036). 210

211 We further investigated statistical differences in gut viral composition between case and control

212 samples from various aspects to investigate changes in the viral community across different

213 phenotypes. Using Adonis2, we found a significant difference in enteroviruses between cases and controls expect hypertension and obesity (Table 1), which suggested that their gut viral community 214

- was less affected by the disease state. Consistently, in cases with relatively mild phenotypes, such as 215
- 216 hypertension or obesity, there was no noticeable differences in body metabolism compared with the
- 217 controls. An analysis of variance (ANOVA) was used to determine whether there was a significant

218 difference between two centroids (to test the component of viruses) between cases and controls. We

219 found that the cirrhosis and cancer cohorts showed a substantial difference between two centroids

220 (Table 1). The Kruskal-Wallis test was performed to determine whether the distance to the centroid

in principal coordinates analysis was significantly different between the case and control groups, and 221 222

the results were consistent with those of ANOVA. Compared with the controls, cases with more

223 severe phenotypes, such as cirrhosis and cancer, showed substantial differences in gut viral 224 composition (Table 1), whereas cases with relatively mild phenotypes, such as hypertension, showed

- 225 no significant differences.
- 226 [insert figure 3 here]

#### 227 3.3 **Characterizing viral enterotypes**

228 The characteristics of enterotypes of the gut virome were the focus of this study. Data on enterotypes 229 are generally used to help adjust population stratification in Metagenome-wide association studies

230 (MWAS) analysis (Wang et al., 2012). The correlation between enterotypes and disease phenotypes 231 has received much attention in this field. The DMM method is commonly used for determining 232 enterotypes of the gut microbiome and is more effective than the partitioning around medoids (Ding 233 and Schloss, 2014). Different library construction methods, sequencing platforms, and other factors 234 may lead to false-positive assignment of enterotypes. To avoid this situation, we adopted a project-235 independent strategy for determining enterotypes. There were two or three enterotypes in most 236 projects, while some projects only had one enterotype (Figure 1A, Table 2, Supplementary Figure 4). 237 Enterotypes with the same intrinsic composition pattern were considered as the same. We used 238 Maaslin2 to discover enterotype-specific vOTUs and then determined their enrichment direction on 239 the basis of mean abundance. Similar enterotypes had the same specific vOTUs and the same 240 enrichment trend. We manually classified enterotypes in all of the projects into three groups (Table 2, 241 Supplementary Table 5). Enterotypes 1 and 2, which are the two major types, were widely distributed 242 in all projects, which indicated that these two types of enterotypes were common across the project 243 populations. However, enterotype 3 was rare. Unclassified individuals were not able to be

confidently assigned to enterotype 1 or 2.

245 A permutation test was performed to demonstrate the validity of manual classification, which 246 involved randomly paired enterotypes from different projects. We assumed that paired enterotypes 247 had the same specific vOTUs and enrichment directions. We assigned a lower error rate to paired 248 enterotypes if they had more identical vOTUs and similar enrichment trends. We repeated pairing 5 249 million times to obtain the distribution of pairing scores. These scores showed that our manually 250 classified enterotypes had the lowest error rate (Figure 4A). Moreover, random pairing supported the 251 three major enterotypes. Enterotypes 1- and 2-specific vOTUs were dominant (Figure 4B). The same 252 enterotype-specific vOTUs with highly consistent enrichment trends indicated that the enterotypes 253 from different projects had a similar pattern of virome composition (Figure 4B). Different DNA 254 processing methods, sequencing platforms, ethics, age, and other confounding factors did not affect 255 the identification of viral enterotypes. The vOTUs that were specific to unclassified enterotypes 256 appeared complex. They intersected with either enterotype 1 or 2. Enterotype 3-specific vOTUs in 257 different projects were less concordant than enterotypes 1- and 2-specific vOTUs.

258 [insert figure 4 here]

259 The microbiome is an ecosystem, the stability of which is reflected by the diversity of species in the 260 system. As a species becomes more prosperous and uniform, the system's diversity increases and it becomes more resistant to the effects of the external environment(Keesing et al., 2010). There are 261 262 two dominant enterotypes in the viral community (Zuo et al., 2020), one of which has a high alpha 263 diversity. The results of our study are remarkably close to expected results. Although the viral count 264 varied among samples from different projects, enterotype 1 across the samples had more viruses than 265 enterotype 2 (Figure 4C). A higher value of the Shannon index and a smaller sample distance in 266 enterotype 1, compared with enterotype 2, indicated its more stable composition pattern. We found 267 that more individuals were categorized as enterotype 1 than enterotype 2 (1204 vs. 716). By 268 comparing the proportion of healthy samples with the two enterotypes, we found that individuals 269 who were categorized as enterotype 2 had a higher risk of being sick than those who were 270 categorized as enterotype 1 (odds ratio: 1.38, Fisher's exact test, p = 0.01). We observed an 271 interesting finding when we compared samples from the cirrhosis project and the Sweden mother-272 child project. The third enterotype had the most discrete sample distribution in the cirrhosis project, 273 and a higher viral count and Shannon index compared with the Sweden mother-child project (Figure 274 3C). In contrast, the third enterotype had a large sample distance and the lowest viral count and 275 Shannon Index in the Sweden mother-child project. The cases in these two projects had

276 diverse medical conditions. Specifically, the case cohort in the cirrhosis project had disordered gut

virome due to the disease, which explains why the number of viruses in the samples did not decrease.

278 In contrast, children in the Swedish mother-child project lacked a stable gut virome and had a lower

viral count, which suggested that enterotype 3 in the samples of this project was not caused by any

disease.

281 The viral enterotype may play a dominant role in influencing the structural variance of the gut virome

via a variety of factors. The Adonis test was used to determine the significance of viral enterotypes.

283 The results were significant in all projects. Our results explain most of the structural variance in the

gut virome (Figure 4D). In the Peru and cirrhosis projects, the Adonis R squared values were 0.62
 and 0.57, respectively. Age, disease, BMI, raw data, and sex were not significant factors affecting

viral enterotypes in most projects, but Adonis p values reached significance in several projects.

287 Disease was the second most significant factor in the projects, which suggested that illness had a

higher ability to reshape the gut microbiome than other factors. Characterizing the interaction

between the gut virome and external stimuli was complex. Whether a single factor has a particular

contribution requires consideration of the context of this factor. An example of this situation is that,

in liver cirrhosis, the association between the gut virome and age was strong, but it was not

292 significant for diabetes.

293 Table 2: Manually categorized results for each project.

Enterotype	Enterotype 1	Enterotype 2	Enterotype 3
Denmark no phenotype	GP1	GP2	-
China cirrhotic	GP2	GP1	GP3
Sweden mother-offspring pair	GP3	GP2	GP1
China rheumatoid arthritis	GP1	GP2	-
Austria carcinoma	GP1	-	GP2
UK no phenotype	GP1	GP2	-
China colorectal cancer	GP1	GP2	-
China hypertension	GP2	GP3	-
China coronary heart disease	GP1	GP2	-
China T2D discovery	GP1	GP2	-
China T2D validation	GP1	GP2	-
China healthy Mongolian	GP1	GP2	-
China ankylosing spondylitis	GP1	GP2	-

#### 294 Groups in the same column were considered to belong to one enterotype.

#### 295 [insert figure 5 here]

296 Enterotypes are useful for describing the gut microbial community, and determining the association 297 between diseases and enterotype is important to detect high risk individual in population. In the liver 298 cirrhosis project, individuals could be broadly divided into three categories (Figure 5A). Enterotypes 299 1 and 3 were enriched in healthy individuals and patients, respectively (69 controls/16 cases vs. 2 300 controls/64 cases, Supplementary Table 6), and enterotype 2 accounted for half of them (43 controls, 301 43 cases, Supplementary Table 6). We found that the viral enterotype was significantly related to 302 liver cirrhosis (Fisher's exact test, p = 5.99E-24, Supplementary Table 7). Enterotype 3 was loosely 303 distributed in individuals (Figure 5A). However, enterotypes 1 and 2 showed a closer relationship. 304 These three groups did not have discrete clustering boundaries and demonstrated some overlap with 305 one another in the PCoA plot. There was no apparent clustering of samples enriched locally due to 306 the viral count or the Shannon index (Figure 5B). In the hypertension project, the clustering 307 boundaries of enterotypes 1 and 3 were more pronounced than those for enterotype 2 (Figure 5C), 308 and there was no overlapping area between the two clusters. This finding was surprising because 309 individuals in enterotype 2 had a smaller viral count and a lower Shannon index (Figure 5D). Some 310 of them were close to enterotype 1, while others had clusters of enterotype 3. However, the specific 311 vOTUs and enrichment direction of individuals in enterotype 2 showed a high consistency (Figure 312 4B), indicating that enterotype 2 was real. We found no significant association between the viral 313 enterotype and hypertension (Fisher's exact test, p = 0.3, Supplementary Table 7). Gut virome 314 community disorders showed significant differences in the cirrhosis and hypertension projects, which 315 indicated that not all diseases caused evident ecological perturbation in the human gut. Thus, 316 applying viral enterotypes as biomarkers for predicting clinical disease requires specific

317 consideration.

#### 318 4 Discussion

319 Recent investigations have shown that enterotypes of the human gut can be divided into two 320 categories based on their predominant flora (Bacteroidetes/Prevotella). Their functions correspond to 321 the digestion of meat and vegetarian food (Arumugam et al., 2011; Costea et al., 2017). However, 322 some researchers consider that the distribution of enterotypes is not discrete, but rather gradient. This 323 viewpoint suggests that those two enterotypes are the two endpoints of the gradient distribution of 324 Bacteroidetes/Prevotella(Jeffery et al., 2012). This study used the DMM method to assign viral 325 enterotypes and showed that there were two enterotypes in most projects. Viral enterotypes did not 326 have an apparent dominant virus. An explanation for this finding may be that most human 327 enteroviruses are dsDNA viruses. As previously reported, dsDNA viruses are less harmful than RNA 328 virus to the human body(Dutilh et al., 2014; Camarillo-Guerrero et al., 2021). These viruses do not 329 undergo strong selection when colonizing the human gut, and there is no dominant viral strain that 330 can occupy the whole human intestine. Recent studies have shown two common and harmless 331 dsDNA virus branches in the human intestine, namely crAssphage(Dutilh et al., 2014) and 332 Gubaphage(Camarillo-Guerrero et al., 2021). These two dominant virus branches may correlate with 333 the two main viral enterotypes observed in this study. We analyzed the abundance of vOTUs 334 corresponding to each enterotype and found that in different projects, the OTUs and enrichment 335 direction of a specific virus in the same viral enterotype were consistent. Therefore, existing evidence 336 and our findings support the view of two discrete viral enterotypes.

337 There was a third enterotype in several projects, but because of limited evidence, we could not 338 conclude that it is ubiquitous in the human gut. In the hypertension project, researchers found that all 339 specific vOTUs in the enterotype were shared with enterotypes 1 and 2. PCoA analysis also showed 340 that individuals were located in the interconnection area, which is likely to explain a gradient distribution. In the liver cirrhosis project, 64 of the 66 samples were from patients, and the third 341 342 enterotype was significantly related to patients. Unlike the hypertension project, the third enterotype 343 in patients with liver cirrhosis was not related to the number of viruses. In other projects, viral 344 enterotypes 1 and 2 had more specific viruses and a higher consistent enrichment direction in contrast 345 to the rare specific viruses of viral enterotype 3 and an inconsistent enrichment direction. This result 346 suggests that a third viral enterotype in various projects may not have belonged to the same cluster. 347 Therefore, we cannot conclude that there was a stable presence of viral enterotype 3 in the 348 population. We speculate that interaction between emergence of a disease and disorder of the gut 349 virome may contribute to emergence of viral enterotype 3. Our results are in agreement with existing 350 studies on the disruption of the human gut community that accompanies disease(Wang and Jia, 2016;

351 Yu et al., 2017; Nakatsu et al., 2018).

352 In this study, enterotype 1 had a higher viral count and Shannon index compared with enterotype 2. 353 In addition to having a smaller sample distance, we speculate that enterotype 1 might have more 354 stable viral ecological communities than enterotype 2. We found enterotype 2 had 1.38 times more 355 patients than the one in enterotype 1. This result suggests that a stable microbial community has a 356 higher ability to resist the influence of external stimulations. In the cirrhosis project, enterotype 3 was 357 characterized as being enriched in patients and having an extremely disordered gut virome. 358 Enterotype 3 had the largest sample distance and highest number of virus species. A possible reason 359 for this finding is that bile acid secretion in patients with liver cirrhosis is obstructed, which leads to 360 drastic changes in the gut microbiome of the patients. This may have resulted in large-scale 361 replacement of the virome and reduced similarity of virus species in this patient population. It is also possible that the microenvironment of viral evolution in the human body is disturbed owing to 362 363 disease progression or the similarity of virus species is decreased due to a shift in the distribution of the ecological gradient. We also found a large distance in samples from the Sweden mother-child pair 364 365 project, with the viral count being significantly lower than the global average level. A possible 366 explanation for this finding is that the gut microbiome of young children is developing and has yet to 367 reach a stable state(Derrien et al., 2019).

368 We determined enterotypes at the bacterial and viral levels in the China diabetes(Wang et al., 2012), 369 and found a strong correlation between enterotypes at these two levels (China T2D discovery: p =370 1.70E-07; China T2D validation: p = 1.58E-11, Fisher's exact test, Supplementary Table 8). We 371 found that enterotypes (bacterial and viral levels) were not randomly distributed and that the bacterial 372 community had a strong selection effect on the viral community. However, bacterial- and viral-level 373 enterotypes were not correlated or were weakly correlated with sex, age, BMI, and disease 374 (Supplementary Table 9). This finding may be explained by the use of high-abundance bacterial and 375 viral species for determining enterotypes. A high abundance of bacteria or viruses in the intestine is 376 significantly related to disease. Such a high abundance directly and severely affects the human body, 377 That is a microbial infection, not a harmonious symbiosis, which is in contrast to the current 378 understanding of the gut community and health. Wang et al. used enterotype as a covariate in their 379 study and proposed a useful method to stratify human gut microbiomes in MWAS, which effectively 380 improved the power of hypothesis testing(Wang et al., 2012). Although we found a strong correlation 381 between bacterial and viral enterotypes, they were not proven to be equivalent. Therefore, we suggest

382 using bacterial and viral enterotypes as independent covariates in MWAS. More in-depth

383 investigations are warranted to determine whether this strategy can efficiently reduce false-positive 384 and false-negative rates of investigating pathogenic microbiomes.

- 385 We used MaAsLin2 to identify viruses that were specific to enterotypes and disease. We found that
- the number of vOTUs that were specific to disease was significantly lower when we simultaneously
- entered these two factors into the software program than when we entered only disease. In the
- cirrhosis project, 56 vOTUs were specific to the disease state (q-value  $\leq 0.05$ ), when the enterotype
- 389 was excluded. In contrast, we found 241 and 7 vOTUs were specific to the enterotype and disease,
- 390 respectively, when we included these two factors simultaneously. There were 21 vOTUs tested as
- 391 disease-related became enterotype-associated. These results suggest that viral enterotypes need to be
- taken into account is MWAS. Although much of the literature suggests that most dsDNA viruses are
- 393 not strongly associated with disease, we cannot rule out the contribution of dsDNA viruses to illness.
- To better determine the efficacy of using enterotypes to identify the disease state, a standardized method is first required for determining enterotypes. One such standardized pipeline for enterotypes
- was previously reported by Costea et al. (2017). Researchers have also established an enterotype
- database of the gut microbiome community on the basis of the MetaHIT dataset (Oin et al., 2010; le
- 398 Chatelier et al., 2013). They then built a machine learning model and trained it by applying the
- 399 MetaHIT dataset and the corresponding enterotypes. Finally, this model was used to predict the
- 400 enterotypes of testing samples on the basis of their bacterial abundance matrix. We independently
- 401 assigned enterotypes in different projects and found that the two manually adjusted categories shared
- 402 the most specific viruses and similar enrichment directions. This consistency masked the batch
- 403 effects among different datasets, and demonstrates the ability of viral enterotypes to identify
- 404 individuals with disease. The construction of a large-scale viral enterotype database to define the
- 405 enterotyping mathematical space of healthy individuals might be helpful to detect individuals with
   406 disease outside the mathematical space. Therefore, we believe that using viral enterotypes of the gut
- 407 virome community as a feature for disease prediction will significantly improve the accuracy of
- 408 disease prediction.

### 409 **5** Author Contributions

410 XF conceived this study. LS, LZ, and XF analyzed data, prepared the figures, and drafted the 411 manuscript.

# 412 6 Funding

This work was financially supported by the Science Technology and Innovation Committee ofShenzhen Municipality, China (SGDX20190919142801722).

# 415 **7** Conflict of Interest Statement

The authors declare that the research was conducted in the absence of any commercial or financialrelationships that could be construed as a potential conflict of interest.

# 418 8 Acknowledgments

The authors thank many interns and former colleagues for collecting data, and their colleague YufenHuang for discussing the analysis strategy.

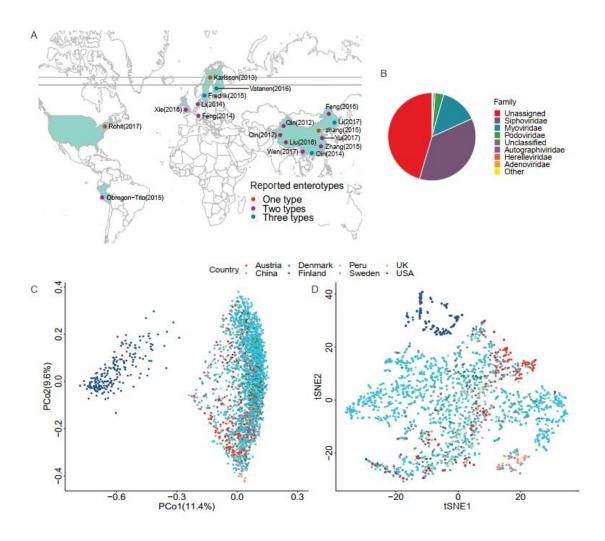
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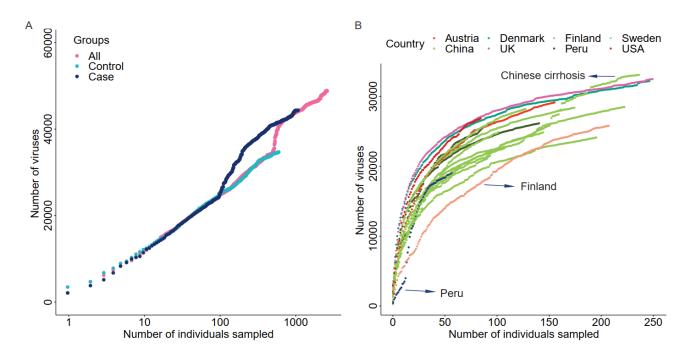


552 Figure 1: Location, taxonomic assignment, and abundance of the 2,690 samples. A: Geographic

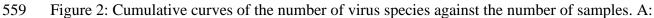
boations of the 18 projects, with classification by the number of enterotypes. B: Pie chart shows viral

taxonomic assignment at the family level by protein alignment. C: Principal Coordinates Analysis
 (PCoA) plot based on the Bray–Curtis distance and the relative abundance of viruses. D: t-

556 Distributed Stochastic Neighbor Embedding (tSNE) plot based on the relative abundance of viruses.

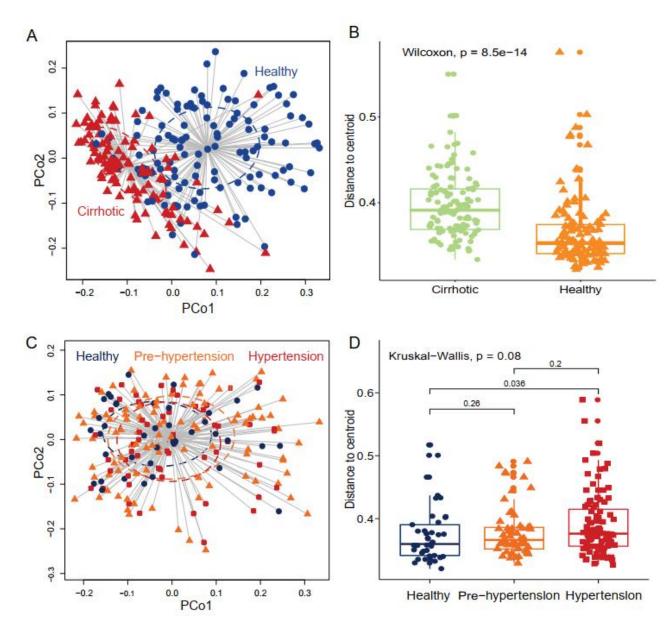






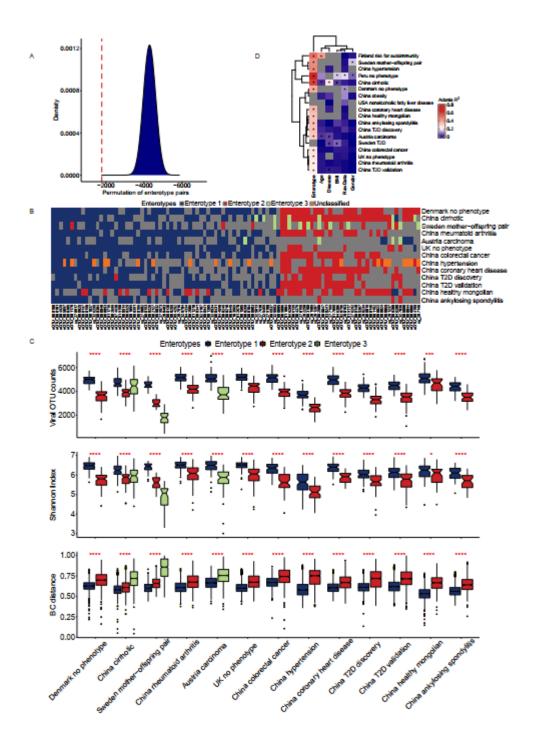
560 Cumulative curves of cases, controls, and all samples. Only samples from studies with a case–control

561 design were included. B: Cumulative curves of sample data divided into seven countries.



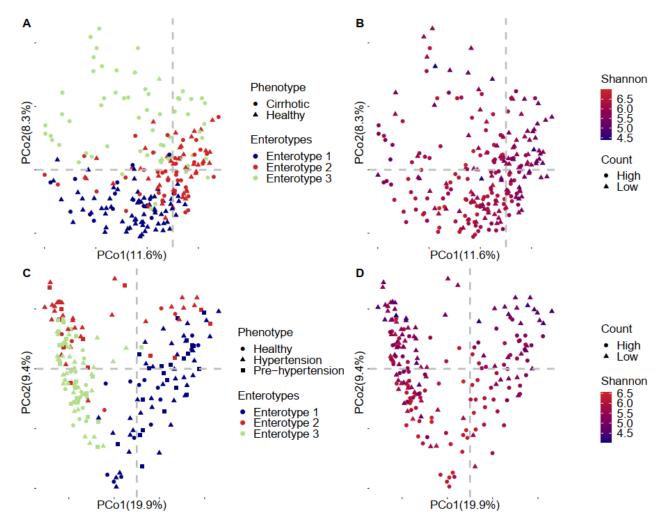
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Figure 3: Gut virome characterized by beta diversity in the included projects. (A) Principal
coordinates analysis plot of the cirrhosis project. Each ellipse represents a cohort, and the point
connected by the straight gray lines represents the centroid. (B) Boxplot of the distance to the
centroid. A significant difference in the distance to the centroid was found between the two groups.
(C) Principal coordinates analysis plot of the hypertension project. (D) Boxplot of the hypertension
project with comparison for each pair of the three groups.



571

- 572 Figure 4: Characterization of viral enterotypes in all projects. A: We used the random pairing method
- 573 to confirm the accuracy of artificial enterotype classification. The density map shows the score
- 574 distribution of 5 million permutations, and the red line indicates the score of the manual category. B:
- 575 The categories of manual enterotypes in different projects show a high concordance of their specific
- 576 vOTUs and enrichment direction. C: Ecological diversity of different viral enterotype populations. D:
- 577 Effect of different covariates on the structural variance of the gut virome community.



579

580 Figure 5: Detailed PCoA map of liver cirrhosis and hypertension. A: Samples of liver cirrhosis were

581 plotted in relation to their phenotype and enterotypes. B: Samples of liver cirrhosis were plotted in

relation to their viral count and Shannon index. C: Samples of hypertension were plotted in relation

to their phenotype and enterotype. D: Samples of hypertension were plotted in relation to their viral count and Shannon index.