

1 **Comparative Genome Analysis Reveals Human Pathogenic**
2 **Potential of ESBL-*Escherichia coli* Isolated from Swine**
3 **Microbiomes**

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37 **Abstract**

38 **Background:** Extended-spectrum β -lactamase-producing *E. coli* (ESBL-Ec) harbouring
39 virulence genes in the microbiome of food animals could likely threaten human health but is
40 poorly understood in Cameroon and South Africa. Here, we assessed the resistome, virulome and
41 mobilome of ESBL-Ec isolated from swine microbiomes in these countries, using whole genome
42 sequencing (WGS).

43 **Materials/methods:** Eleven clonally-related phenotypic ESBL-Ec isolates were subjected to
44 WGS. The isolates were *de novo* assembled using the CLC Genomics Workbench and SPAdes
45 while RAST and PROKKA were used for annotation of the assembled contigs. Prediction of
46 antibiotic resistance genes, virulence factors and plasmids was performed using ResFinder,
47 VirulenceFinder and PlasmidFinder, respectively.

48 **Results:** Diverse STs were detected with sequence types ST2144 and ST88 predominating and
49 *bla*_{CTX-M-15} (55%) as principal ESBL genes. Although the isolates belonged mainly to commensal
50 phylogroups A/B1 (45/28.3%) and C (18.18%), all harboured at least three extraintestinal
51 pathogenic *E. coli* (ExPEC) VFs with one isolate harbouring up to 18 ExPEC VFs.

52 **Conclusion:** The resistance and pathogenic potential of ESBL-Ec colonizing the gut microbiota
53 of swine in both countries demonstrate the urgent need to implement effective strategies to
54 contain the dissemination of virulent ESBL-Ec through the food chain in Cameroon and South
55 Africa.

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64 **Introduction**

65 Antibiotic resistance (ABR) is a global public health issue that has severe multi-dimensional
66 repercussions not only in humans, where decades of improvements in healthcare outcomes are
67 threatened, but also in the food production industry. The causal relationship between the
68 extensive usage of antibiotics in food animal production and increasing antibiotic resistance in
69 bacteria affecting humans and animals is widely acknowledged. Antibiotics are used for a variety
70 of purposes, including therapeutic and non-therapeutic uses as metaphylactics, prophylactics, and
71 growth-promoters (FAO, 2015, 2016; O'Neill, 2016). Hence, the emergence and spread of ABR
72 across the farm-to-plate continuum puts occupationally-exposed workers (viz. farmers,
73 agricultural practitioners, abattoir workers, food handlers, etc.), their close contacts and
74 consumers at the end of the food chain at risk of contamination or infection by antibiotic resistant
75 bacteria (ARB) and/or antibiotic resistance genes (ARGs). ABR prevention and containment
76 measures should focus not only on humans, but also on animals and their associated
77 environments (Zhang et al., 2017).

78 *Escherichia coli* is a recognized commensal bacterium of the gastrointestinal tract of humans and
79 animals. The genomic plasticity of *E. coli* strains allows their adaptation to different
80 environments, hence their wide implication in intestinal and extraintestinal infections in both
81 humans and animals worldwide (Sarowska et al., 2019). *E. coli* displays a clonal population
82 structure delineating four main phylogenetic groups (A, B1, B2, and D) with pathogenic strains
83 belonging to group B2 and D while commensal strains belong to group A and B1.

84 *E. coli* has been suggested as putative reservoir for extended-spectrum β -lactamase (ESBL)
85 resistance and it has been demonstrated that substantial resistance emerges in commensal
86 bacteria especially those present in the gastrointestinal tract where horizontal gene transfer

87 prevails and does occur within and between species and genera (Founou et al, 2016; 2019).
88 ESBL production in *E. coli* is associated with different resistance genes but its most frequently
89 caused by the production of ESBLs encoded by the *bla*_{TEM}, *bla*_{SHV} and *bla*_{CTX-M} families, with
90 the latter being the predominant type (Perovic et al., 2014). ESBL-producing *E. coli* have been
91 detected across the animal, human, and environmental interface worldwide, with the emergence
92 of specific clones able to acquire ARGs and virulence genes via mobile genetic elements
93 (MGEs) such as plasmids, transposons, gene cassettes, and other integrative genetic elements
94 (Founou et al., 2016).

95 Despite the evidence of increasing prevalence of ESBL-producing *E. coli* in food animals, there
96 is still limited information regarding the genetic structure, diversity and relationship of ESBL-*E.*
97 *coli* isolates from food animals, especially in the pig industry in Sub-Saharan African countries
98 such as Cameroon and South Africa. The objectives of this study were thus to use whole genome
99 sequencing (WGS) and bioinformatics tools to investigate the current population structure,
100 pathogenicity, genetic diversity and resistomes, virulomes and mobilomes, of ESBL-producing
101 *E. coli* isolates from swine microbiomes in Cameroon and South Africa in order to ascertain their
102 pathogenic potential in human health.

103 **Materials and Methods**

104 **1. Ethical Considerations**

105 Ethical approvals were obtained from the National Ethics Committee for Research in Human
106 Health of Cameroon (**Ref. 2016/01/684/CE/CNERSH/SP**) as well as from the Biomedical
107 Research Ethics Committee (**Ref. BE365/15**) and Animal Research Ethics Committee (**Ref.**
108 **AREC/091/015D**) of the University of KwaZulu-Natal. Approvals were additionally obtained
109 from the Cameroonian Ministry of Livestock, Fisheries and Animal Industries (**Ref.**

110 **061/L/MINEPIA/SG/DREPIA/CE)** and Ministry of Scientific Research and Innovation (**Ref.**
111 **015/MINRESI/B00/C00/C10/C14**). This study was further placed on record with the South
112 African National Department of Agriculture, Forestry and Fisheries [**Ref.** 12/11/1/5 (878)].

113 **2. Study Design and Bacterial Isolates**

114 The study sample consisted of eleven putative ESBL-positive *E. coli* isolates that were collected
115 between March and October 2016 as part of a larger study where ESBL-producing
116 *Enterobacteriales*, were collected from three abattoirs in Cameroon and two in South Africa
117 (n=2). These isolates originating from nasal (n=6) and rectal swabs (n=5) from healthy pigs
118 processed at abattoirs, were identified as putative, closely related ESBL producers via VITEK 2
119 system and enterobacterial-repetitive-polymerase chain reaction (ERIC-PCR) analysis,
120 respectively (Founou et al., 2018).

121 **3. Identification, ESBL Screening and Antimicrobial Susceptibility Testing**

122 All samples were cultured on MacConkey agar supplemented with 2 mg/L cefotaxime and
123 incubated for 18-24 h at 37⁰C in normal atmosphere (Founou et al., 2019). All putative ESBL-
124 producers, were phenotypically characterized to the genus level using Gram staining and
125 biochemical tests (catalase and oxidase tests). The isolates were thereafter phenotypically
126 confirmed using the VITEK 2 system.

127 The VITEK 2 system was further used for ESBL screening along with the double disk synergy
128 test as previously described (Founou et al., 2019). A series of 18 antibiotics encompassed in the
129 Vitek[®] 2 Gram Negative Susceptibility card (AST-N255) were tested using Vitek[®] 2 System and
130 (BioMérieux, Marcy l'Etoile, France). Breakpoints of the CLSI guidelines (CLSI, 2016) were
131 used except for the colistin, amoxicillin + clavulanic acid, piperacillin/tazobactam, amikacin for

132 which EUCAST breakpoints (EUCAST, 2016) were considered with *E. coli* ATCC 25922 and *K.*
133 *pneumoniae* ATCC700603 being used as controls.

134 **4. Whole genome sequencing and data analysis**

135 **4.1.Purification, Sequencing and Pre-Processing of Genomic Data**

136 GenElute® bacterial genomic DNA kit (Sigma-Aldrich, St. Louis, MO, USA) was used for
137 genomic DNA (gDNA) extraction with the concentration and purity assessed using agarose gel
138 electrophoresis, NanoDrop 8000 spectrophotometer (Thermo Scientific, Waltham, MA, USA),
139 and fluorometric analysis Qubit® (Thermo Scientific, Waltham, MA, USA). Libraries were
140 constructed using the Nextera XT DNA Library Preparation kit (Illumina Inc., San Diego, CA,
141 USA) and subjected to paired-end (2×300 bp) sequencing on an Illumina MiSeq (Illumina Inc.,
142 San Diego, CA, USA) machine with 100× coverage. The generated paired-end reads were
143 merged, checked for quality, trimmed, and *de novo* assembled into contigs with the Qiagen CLC
144 Genomics Workbench version 10.1 (CLC, Bio-QIAGEN, Aarhus, Denmark) and SPAdes
145 version 3.11 (Bankevich et al., 2012) to overrule any inherent shortfalls from both assemblers.

146 **4.2.WGS-based molecular typing**

147 WGS data was used to predict *in silico* multi-locus sequence type (MLST) based on the Achtman
148 scheme which considers allelic variation amongst seven housekeeping genes (*adk*, *fumC*, *gyrB*,
149 *icd*, *mdh*, *purA* and *recA*) to assign STs (Larsen et al., 2012). In addition to generating an *E. coli*
150 MLST assignment for each isolate, core-genome MLST (cgMLST) was assigned based on a
151 scheme from EnteroBase server (<http://enterobase.warwick.ac.uk/species/ecoli>) that uses 2 513
152 loci (Alikhan et al., 2018). EnteroBase was further used for *in silico* phylotype predictions
153 following the Clermont scheme (Clermont et al., 2013) as well as for *fimH* allelic designations

154 (Alikhan et al., 2018). Ribosomal MLST, hierarchical cgMLST clustering, wgMLST were
155 further performed using core genome data in EnteroBase.

156 **4.3. *In Silico* Resistome and Virulome Profiling**

157 ARGs of the *E. coli* genomes were annotated and identified with ResFinder (Zankari et al., 2012)
158 through the bacterial analysis online platform of GoSeqIt tool. The Comprehensive Antibiotic
159 Resistance Database (CARD) platform was concomitantly used for prediction of ARGs and
160 detection of chromosomal mutation (SNPs) in quinolones ARGs *gyrA*, *gyrB*, *parC* and *parE*.
161 The selected threshold and the minimum percentage of the gene length detected were set to 90%
162 identity for a positive match between the reference database and a target genome.
163 VirulenceFinder (Joensen et al., 2014) available from the GoSeqIt tools server along with the
164 comparative pathogenomics platform VFalyzer from Virulence Factor Database (VFDB) (Liu
165 et al., 2019) were similarly used to predict and annotate virulence factors (VFs), respectively,
166 with a threshold of 90% identity. ExPEC virulence genes including ferric aerobactin receptor
167 (*iutA*), increased serum survival (*iss*); heat-resistant agglutinin (*hra*), temperature sensitive
168 haemagglutinin (*tsh*); P fimbrial adhesin (*papC*); colicin V (*cvaC*) and capsular polysialic acid
169 virulence factor group 2 (*kpsII*) and invasive factor of brain endothelial cells locus A (*ibeA*) of *E.*
170 *coli* strains responsible for neonatal meningitis in humans were investigated *in silico*. Moreover,
171 the pathogenicity prediction web-server PathogenFinder (Cosentino et al., 2013) was used to
172 predict bacteria pathogenic potential towards human hosts.

173 **4.4. Detection of mobile genetic elements**

174 The RAST SEED viewer (Overbeek et al., 2014) and Artemis Comparison Tool (ACT) were
175 used to identify the presence of transposases and integrons flanking resistance and virulence
176 genes. MGEFinder of the Center for Genomic and Epidemiology was used for the *in-silico*

177 detection of insertion sequences (IS), conjugative genetic elements and transposons allowing
178 investigation of synteny of mobile genetic elements with VFs and antibiotic resistance genes
179 (Durrant et al., 2020). PHAge Search Tool Enhanced Release (PHASTER) server was used for
180 the identification, annotation and visualization of prophage sequences (Zhou et al., 2011). The
181 profile of bacterial plasmid replicons and plasmid incompatibility groups was assessed through
182 PlasmidFinder 2.1 (<https://cge.cbs.dtu.dk/services/PlasmidFinder/>) and pMLST 2.0
183 (<https://cge.cbs.dtu.dk/services/pMLST/>) (Carattoli et al., 2014). Putative CRISP system and Cas
184 cluster were assessed through CRISPRCasFinder ([https://crisprcas.i2bc.paris-](https://crisprcas.i2bc.paris-saclay.fr/CrisprCasFinder/Index)
185 [saclay.fr/CrisprCasFinder/Index](https://crisprcas.i2bc.paris-saclay.fr/CrisprCasFinder/Index)).

186 **4.5. Genome visualization and gene annotation**

187 The *de novo* assembled raw reads were annotated using the Rapid Prokaryotic Genome
188 (PROKKA) version 1.12 beta available from EnteroBase, the NCBI Prokaryotic Genome
189 Annotation Pipeline (PGAP) and RAST 2.0 server (<http://rast.nmpdr.org>) (Aziz et al., 2008)
190 which identified encoding proteins, rRNA and tRNA, assigned functions to the genes and
191 predicted subsystem represented in the genome. The size, GC content, average coverage, length,
192 N50, L50, RNAs and protein coding sequences were obtained for each isolate. The annotated *in*
193 *silico* predicted proteins and regions were visualized via the JSBrowser of EnteroBase server and
194 RAST. The genomes of the isolates were visualized using the CG Viewer Server (Grant et al.,
195 2012). In addition, the contigs were mapped against the complete genome of *E. coli Ecol_AZI55*
196 (NZ_CP019005.1) for visualization of the genomic organization.

197 **4.6. Comparative phylogenomic analyses**

198 The whole genome phylogenetic relationship was assessed within the study isolates and with a
199 collection of international *E. coli* genomes ($n = 118$) available at the Enterobase *E. coli* genomes

200 repository as of 12 November 2020. The international isolates were closely related *E. coli* strains
201 of similar STs isolated from various sources (humans, livestock and environment).
202 The *E. coli* isolate YA00194039 (ERS4920643) was used as reference genome with all
203 assembled contigs being aligned against it to determine SNP locations. The phylogeny of the *E.*
204 *coli* isolates was characterised using the whole genome MLST (wgMLST), core genome MLST
205 (cgMLST) and accessory genome MLST. Phylogenetic relationships among study isolates and
206 between study and international isolates were assessed based on nucleotide alignments of all the
207 genes in the entire genome (wgMLST) and core genome content (core genes that are present in
208 most genomes with $\geq 95\%$ of nucleotide identity; cgMLST). Moreover, the accessory gene
209 including ARGs, plasmid replicons and phages content was analysed using the Enterobase server
210 which scans the genome against the core ResFinder and PlasmidFinder databases based on a
211 percentage identity of $\geq 90\%$ and coverage of $\geq 70\%$ in order to generate a customized
212 phylogenetic tree to infer the evolutionary relationship within the study isolates and between the
213 study and international isolates. GrapeTree minimum spanning and phylogenetic trees were
214 further built to describe the relatedness among the study isolates and between the study and
215 international isolates. The generated phylogenomic trees were downloaded, and subsequently
216 visualized and edited using MicroReact (www.microreact.org).

217 **Results**

218 **1. Baseline characteristics and phenotypic analyses**

219 All the *E. coli* isolates were ESBL producers and high level of resistance to amoxicillin,
220 cefuroxime, cefuroxime axetil, as well as to third (cefotaxime, ceftazidime) and fourth
221 generation (cefepime) cephalosporins were observed. All isolates were resistant to trimethoprim-
222 sulfamethoxazole and susceptible to cefoxitin, ertapenem, meropenem, imipenem and

223 tigeicycline. Altogether, three resistance patterns were identified with the pattern
224 AMP.AMC.TZP.CXM.CXM-A.CTX.CAZ.FEP.TMP/SXT (82%) being the most prevalent one.
225 Two isolates displayed multidrug resistance (MDR; resistance to three or more antibiotic
226 families) with one isolate, PN256E8, being resistant to colistin with a minimum inhibitory
227 concentration (MIC) of 8 mg/L. All but two isolates were susceptible to nitrofurantoin. Relevant
228 population data, specimen source, phenotypic and genotypic characteristics for these isolates are
229 summarized in Supplementary Table 1.

230 **2. Genomic features**

231 Table 1 and Table S1 depict all the genomic characteristics including length, GC content, N50,
232 coverage, coding sequences, RNAs, rMLST, phylotype, serotype, CRISPR arrays, etc. of the
233 isolates. The genome size of the isolates ranged from 4.5 Mb to 5.3 Mb with a GC content of
234 50.5 to 50.9 and coverage of 111 to 188.

235 **3. Antimicrobial resistance phenotypes and genotypes**

236 Whole genome-based resistomes corroborated the observed phenotypic profiles. All isolates
237 evidenced relatively similar combinations of resistance genes encoding target modification,
238 antibiotic inactivation, antibiotic efflux pumps and regulators. All *E. coli* isolates harboured
239 *bla*_{CTX-M} with *bla*_{CTX-M-15} (n=6; 54.54%) being the most frequently identified. The *bla*_{CTX-M-14}
240 (PR209E1, PR246B1C) was detected in two isolates as was the *bla*_{CTX-M-1} (PN256E1, PN256E2).
241 Three isolates simultaneously harboured the *bla*_{CTX-M-15} and *bla*_{TEM-1B} whilst one isolate,
242 PN256E8 harboured concomitantly the *bla*_{CTX-M-15}, *bla*_{TEM-1B}, *bla*_{TEM-141} and *bla*_{TEM-206} (Table 2).
243 Although only two isolates (PR010E3, PN256E8) displayed phenotypic resistance to
244 aminoglycosides, all except two isolates (PR256E1, PN256E2) harboured aminoglycoside
245 resistance genes including *str*, *aac*, *aad* and *aph*. Specifically, *aph* was identified in six isolates:

246 *aph(3'')-Ib* (6/11, 55%) and *aph(6)-Id* (6/11, 55%) genes (Table 2). Eight (73%) isolates
247 harboured different *aad* genes; including *aadA5* (3/11; 27%), *aadA1* (3/11; 27%) and
248 *aadA2*(2/11; 18.18) (Tables 1). Four (36%) isolates harboured *aac* genes including *aac(3)-IIa*
249 (1/11; 9%), and *aac(6)-Ib* (3/11; 27%).

250 Several types of plasmid-mediated quinolone resistance (PMQR) genes were identified in the
251 isolates (Table 2). Specifically, the *QnrS1* gene was present in four (36%) isolates, whilst the
252 *aac(6')Ib-cr* and *OqxAB* genes were identified in three isolates (27%) each. Mutations in the
253 *gyrA* quinolone resistance-determining region (*QRDR*) genes was observed in two isolates
254 (PR010E3, PN091E1II). *gyrA* had three mutations with two (**S83L**, **D87N**) occurring within
255 PR010E3 and one in PN091E1II (**S83A**) (Table 2). All isolates, except for PN256E8 and
256 PR010E3, for which PMQR gene was identified in both and additionally QRDR in the latter,
257 were susceptible to ciprofloxacin.

258 All isolates displayed concomitant resistance to trimethoprim and sulfamethoxazole with all
259 harbouring *sul* genes. Specifically, *sul2* gene was identified in nine (82%) isolates alone and in
260 combination with *sul1* gene in one (PR010E3). The rarely evidenced *sul3* gene, was identified in
261 two isolates (PR246B1C, PR209E1). Similarly, the *dfr* gene was identified in 7/11 (64%)
262 isolates, specifically *dfrA17* (n=3), *dfrA14* (n=3) and *dfrA1* (n=1). Diverse permutations of *dfr*
263 and *sul* genes occurred in the isolates. For instance, *sul2* and *dfrA14* were identified in 3/11
264 (27%) while *sul2* and *dfrA17* were detected in two isolates. One isolate, PR010E3 harboured
265 *sul2*, *sul1* and *dfrA17* concomitantly. In the two isolates harbouring the *sul3* gene, the
266 fosfomycin resistance gene *fosA3* was also detected along with the chloramphenicol resistance
267 gene *cmlA1*. Likewise, in the two isolates harbouring *dfrA17*, the florfenicol resistance gene *floR*
268 was also detected (Table 2). One isolate (9%) harboured the *mcr-1* gene in a full-length copy of a

269 colistin resistance gene showing 100% nucleotide similarity to the reference database sequence
270 (Table 2).

271 **4. Whole-genome virulome profiling and pathogenicity**

272 The virulomes of all *E. coli* displayed high level of pathogenicity (Table 3). The ESBL-*E. coli*
273 isolates showed a 93.6% mean probability (P score) of being human pathogens. The pathogenic
274 species with the highest linkage (100% identity) were the *E. coli* APEC O1 (Accession numbers:
275 DQ517526, DQ381420), *E. coli* UMN026 (Accession number: CU928163) and *E. coli* UTI89
276 (Accession number: CP000243) which are all extraintestinal pathogenic strains in animals
277 (poultry) and humans belonging to the pathogenic phylogroup B2.

278 Altogether, over 470 putative virulence factors (VFs) were detected in all isolates. The VFs
279 belonged to major functional categories including: adhesins, toxins, protectins and invasins, iron
280 uptake/siderophores, anti-phagocytosis, secretion systems and autotransporters (Table 3). The
281 isolate PR85E1 harboured the highest number (133) of VFs, followed by the isolates PN256E2
282 and PR010E3 with 76 and 63 VFs, respectively. All except PR246B1C, PN256E8 and
283 PN027E6II isolates had more than 20 VFs. Analysis of the virulence genotype *fimH* showed that
284 it was present in 64% of the isolates. Among putative VFs, autotransporter adhesin *ehaB*, invasin
285 of brain endothelial cells locus B (*ibeB*) and invasin of brain endothelial cells locus locus C
286 (*ibeC*), belonging to autotransporter protein and invasins, were the most prevalent (>73%, 8/11)
287 VFs across the isolates (Tables 3-4). The episomal *iss* gene was detected in 55% of isolates
288 whilst *papC*, periplasmic iron-binding protein *sitA* (*sitA*), siderophore yersiniabactin receptor
289 (*fyuA*) genes were identified in 27% (3/11) isolates. Similarly, the prevalence of protectin genes
290 including the complement resistance protein *traT* was the same as that of iron acquisition genes
291 *iroN* and *iutA* (Tables 3-4). Interestingly, the avian hemolysin gene F (*hlyF*) that enhance

292 production of outer membrane vesicles (OMVs) and lead to autophagy of eukaryotic cells was
293 detected in one isolate while the hemolysin E (*hlyE*) a pore-forming toxin was observed in 34%
294 (4/11) isolates.

295 Our findings showed that rectal *E. coli* isolates harboured more VFs than nasal isolates with
296 prevalence of VFs ranging from 6 to 133 against 4 to 76 in nasal isolates. Putative VFs for
297 invasion, such as the outer membrane protein T (OmpT) and the *traT* genes were most prevalent
298 in rectal than nasal isolates. However, the polysialic acid transport protein group 3 (*KpsMIII*)
299 gene encoding for group 3 capsule, was detected only in nasal isolates as were the unique *vat* and
300 *astA*. Specifically, all *E. coli* isolates harboured at least one ExPEC VF from each of the major
301 functional categories and up to 18 ExPEC VFs (Table 3).

302 **5. Phylogenetic groups and multilocus sequence typing, serotyping and phylotyping**

303 Based on *in silico* MLST results, four *E. coli* isolates were assigned to the pandemic ST88 (n=2)
304 and ST2144 (n=2) clones, while the remaining isolates were assigned to six single-locus variants,
305 namely, ST10, ST69, ST226, ST944, ST4450 and ST44. Interestingly, the two *E. coli* ST88
306 strains, PN256E2 and PR256E1, were detected in nasal and rectal pools of the same pig while
307 the *E. coli* ST2144 were both isolated from two rectal pools of swine originating from the same
308 farm and processed within the same abattoir (SH004).

309 The majority of the isolates were assigned to commensal phylogroups A (45%), B1 (28%) and C
310 (18%) but one belonged to the virulence phylogroup D (9%). The serotype O:-H49 (18.18%) and
311 O:-H18 (18.18%) were the principal serotypes detected while the *fimH1250* (18.18%) and
312 *fimH87* (18.18%) were the predominant *fimH* gene observed.

313 **6. Mobile genetic elements**

314 WGS analysis identified 15 different plasmid replicons in all the isolates, which further all
315 harboured multiple plasmid replicons concomitantly. Ten types of incompatibility (Inc) plasmid
316 replicons were identified with different frequencies including IncY, IncFIA, IncFIB (AP001918),
317 IncFIC(FII), IncFII, IncN, IncHI2, IncHI2A, IncI1, IncI2 and IncX (Tables 2 and 5). The
318 majority of isolates (9/11; 82%) harboured the IncF (FII, FIB, FIC, FIA) and IncY (4/11; 36%).
319 Four isolates harbouring the IncF incompatibility group also harboured the IncH (n=2) and IncI
320 (n=2) groups.

321 *In silico* plasmid MLST-analyses assigned the IncF plasmid incompatibility group to STs K:-A-
322 :B1 and K89:A:-B57 while IncH and IncI plasmids were assigned to ST3. Additionally, nine
323 (82%) isolates harboured an array of insertion sequences (IS) with IS26, IS421, Isec1 being the
324 most frequent with a 55% prevalence. An array of three IS (IS26, ISVsa3, ISEc9) were
325 harboured on the plasmid IncI that also encoded the sulphonamide resistance gene (*sul2*) and
326 virulence factor (*cib*) in the two *E. coli* ST88 (PR256E1 and PN256E2). Similarly, three isolates
327 harboured transposons (Tn) including Tn6082 (18%) and Tn7 (9%), with the trimethoprim
328 resistance gene *dfrA1* being encoded in transposon Tn7 (Table 5).

329 **7. Phylogenetic analysis**

330 The contigs of the ESBL-*E. coli* harbouring the most VFs were mapped against the complete
331 genome of *E. coli* Ecol_AZ155 (NZ_CP019005.1) for visualization of the genomic organisation
332 (Figure 1). Results of comparative genomic analyses revealed specific similarities and
333 dissimilarities, i.e., isolates had similar and dissimilar arrangements of genomic regions towards
334 representative and reference genomes (Figure 1).

335 Whole genome phylogenetic analysis grouped the study *E. coli* isolates (n= 11) into two major
336 clusters (Figure 2). The first one grouped six isolates including two *E. coli* ST2144 (PR209E1,

337 PR246B1C), two ST88 strains (PR256E1, PN256E2), one ST940 (PN091E1II) and one ST4450
338 (PR085E3). The two *E. coli* ST2144 isolates identified from the same abattoir (SH004) in South
339 Africa had 100% identity and shared a close common ancestor with *E. coli* ST940 (PN091E3)
340 and *E. coli* ST4450 (PR085E3) which both originated from one Cameroonian abattoir (SH002).
341 Similarly, the two ST88 isolates (PR256E1 and PN256E2) displayed 100% identity and were
342 found to share common ancestor with the *E. coli* ST2144, ST940 and ST4450. The second clade
343 included four isolates belonging to various STs (i.e., PN256E8: ST9440; PR010E3: ST44;
344 PN017E1: ST10; PN027E1II: ST226) of which *E. coli* ST10 (PN017E1) and ST44 (PR010E3)
345 isolated in the same abattoir Cameroon were closely related and shared common ancestor with *E.*
346 *coli* ST9440 originating from South Africa.

347 The phylogenomic analyses of the isolates with international strains revealed that they were
348 more closely related to strains from Africa including Kenya, Ghana, Egypt and Morocco than to
349 any other country. None were phylogenetically related to any strain from United States and
350 United Kingdom. The genomes from livestock and humans clustered together at some level.
351 Specifically, PR246B1C and PR209E1 (ST2144), described above to have the same virulome,
352 resistome and mobilome, as well as PN091E1II (ST940), were in the same cluster and shared
353 common ancestors with strains isolated from humans and livestock in Kenya, Uganda, South
354 Africa, Mozambique and Ghana (Figure 3). Similarly, hierarchical clustering analyses provided
355 evidence of genomic relationship between strains originating from livestock and humans with the
356 sole *E. coli* ST10 strain (PN017E2) sharing common ancestor with *E. coli* ST10 isolated from
357 human in Spain and livestock in Luxembourg. Intriguingly, the ST9440 isolate (PN256E8) was
358 closest to a ST10 isolate (Ec416) from livestock in Vietnam. Phylogenetic tree based on

359 accessory genome within the study isolates and between the international isolates revealed
360 similar findings (Figure 4).

361 **Discussion**

362 ESBL-*E. coli* is responsible of severe infections worldwide. However, genotypic and pathogenic
363 characteristics of isolates from food-producing animals are not well documented in Cameroon
364 and South Africa. In this study, the population structure of ESBL-*E. coli* isolated from swine
365 microbiomes collected at Cameroonian and South African abattoirs were investigated using
366 WGS. The swine microbiomes from these settings harboured a diverse population of *E. coli*
367 strains that encode an extensive repertoire of resistance genes and plethora of virulence factors,
368 and harboured mainly in IncF, IncH and IncY plasmid replicons.

369 The antimicrobial resistance data clearly indicates high resistance level of ESBL-*E. coli* isolates
370 to ampicillin, cefuroxime, cefotaxime, ceftazidime and trimethoprim-sulfamethoxazole.
371 Antibiotic use should be limited in agricultural practices and food production systems in order to
372 curtail the emergence of resistance. Each genome of ESBL-*E. coli* isolated from both countries
373 harboured chromosomal and plasmid-mediated ARGs. The ESBL phenotype of the isolates has
374 been confirmed by the presence of ESBL genes (*bla*_{CTX-M}, *bla*_{OXA-1} and *bla*_{TEM-1B}) supporting
375 other contemporary studies which showed that blaCTX-M-15 is the most prevalent ESBL
376 variants among *E. coli*, especially in the highly virulent clone ST131 (Mathers et al., 2015; Rafai
377 et al., 2015). In fact, Rafai et al. (2015) detected 63.7% of ESBL producers in surgical site
378 infections in Central African Republic. The authors showed that blaCTX-M-15 was present in all
379 isolates along with aac(6')-Ib-cr. Similar finding were also evidenced by Mbelle et al. (2019)
380 who reported a 70% of blaCTX-M-15 among hospitalized patients in South Africa.

381 A major observation was the phenotypic multi-drug resistance (MDR) of the isolates PR010E3
382 and PN256E8 although all isolates, except PR256E1 and PN256E2, harboured concomitantly
383 resistance genes encoding for resistance to aminoglycosides, tetracyclines and fluoroquinolones.
384 This finding is in contrast with data readily available from the literature which suggest that
385 machine or deep learning can be used to predict adequately AMR and MIC of antimicrobial
386 based on genome sequence data (Hendriksen et al., 2019). While transcription analyses could not
387 be undertaken to assess the expression of these genes, these observations led us to posit that the
388 PMQR and QRDR genes as well as aminoglycoside resistance genes present in these isolates
389 might not have been expressed or be silent. Similar discrepancies regarding the phenomes and
390 genomes of isolates harbouring resistance genes but not expressing associated phenotypic
391 resistance were reported elsewhere (Mbelle et al., 2019) and can be further observed in Tables 2
392 and S2. Our finding further reveals that application of machine or deep learning as well as
393 comparison between phenome and genome are still needed at a large-scale from various
394 environments and sources.

395 All isolates were seen as human pathogens with over 93% of pathogenicity score and *E. coli*
396 APEC-O1-ColBM (DQ381420), *E. coli* UTI89 (CP000243) and *E. coli* K12 DH10B (CP000948)
397 being the closest related strains. There is increasing evidence that food producing animals and
398 food products or animal origin may contribute to the spread of ExPEC in the community
399 (Hammad et al., 2019). In our study, all ESBL-*E. coli* harboured at least three VFs associated
400 with ExPEC such as *iss*, *iutA*, *traT*, *ompT*, *hlyA*, *iroN*, *papC* and *fimH*. Of great concern is that
401 the isolate PR085EE3 carried over to 100 VFs with the majority having been identified in
402 clinical ExPEC. This suggests that commensal bacteria prevailing in the microbiome of food
403 animals are not only reservoir of resistance genes, but more so, of virulence factors which might

404 be transmitted via HGT and spread to humans through the food chain. It reemphasizes the need
405 to ensure adequate food safety measures throughout the farm-to-plate continuum along with
406 effective infection prevention and control measures in hospitals.

407 Biofilm formation, essential in colonization and resistance to antibiotics, is an important
408 virulence factor in bacteria. Although biofilm-associated genes have been found to be associated
409 to phylogroup B2 and D strains, biofilm-associated genes such as *fim* and *pap* clusters were
410 detected in our isolates despite being mainly of phylogroup A and B1. We posit that this might
411 again be due to HGT of genetic factors which might have allowed our isolates, though
412 commensals, to acquire these virulence genes horizontally and become putatively virulent in case
413 of extra-intestinal infections. Moreover, the detection of the heat stable enterotoxin 1 (*astA*)
414 gene, encoding for the enteroaggregative *E. coli* heat-stable toxin 1 (EAST1), in the sole ST9440
415 strain (PN256E8), as well as the avian hemolysin (*hlyF*) in PR256E1 (ST88) gives credence to
416 the fact that commensal *E. coli* prevailing in the gut microbiome have a propensity to acquire
417 various virulence genes which might therefore evolve as progenitor lineages from which
418 heteropathogenic *E. coli* including uropathogenic *E. coli* (UPEC), neonatal meningitis-associated
419 *E. coli* (NMEC) and enteroaggregative *E. coli* (EAEC) strains will emerge.

420 The majority of commensal *E. coli* strains belong to the phylogenetic groups A and B1, whereas
421 the most common virulent ExPEC are associated with group B2 and D. Our results revealed that
422 the majority of ESBL-*E. coli* isolates belonged to group A (45%) and B1 (28%). Several reports
423 confirmed that phylogroups A and B1 are the leading phylogroups among *E. coli* isolates
424 especially in the gut microbiome (Li et al., 2010; Stoppe et al., 2017). A study from Nigeria
425 showed that 62% of *E. coli* isolates tended towards the commensal phylogroup B1 and A (Olowe
426 et al., 2019). The relationship between phylogenetic groups and ABR has been established

427 previously (Olowe et al., 2019) and studies have shown that the group B2 strains are mainly
428 MDR. However, our study revealed that isolates from other phylogroups such as group A and B1
429 could also display MDR and high level of virulence certainly as a result of HGT.

430 Despite the limited sample size (11 *E. coli* isolated from 8 pooled samples), our findings reflect
431 the rich diversity existing within *E. coli* population structure with nine sequence types being
432 detected. The ESBL- *E. coli* isolates were mainly circulating in two clonal lineages since four
433 out of seven isolated strains belong to the ST2144 (n=2) and ST88 (n=2). In addition, the MDR-
434 high-risk clone ST69 and the ST10 were also detected. The ST10 complex, including ST10,
435 commonly associated with spread of CTX-M-1, CTX-M-2 and CTX-M-9 groups, is highly
436 distributed among humans and various livestock species and has been linked with intestinal and
437 extraintestinal infections in several African countries (Rafai et al., 2015). *E. coli* ST10 was the
438 main ST along with ST131 identified in surgical site infection in Central African Republic (Rafai
439 et al., 2015). Like other high-risk clones, *E. coli* ST69 possesses biological factors such as *usp*,
440 *ompT*, secreted autotransporter toxin (*sat*) and *iutA* genes corresponding specifically to ST131
441 (Mathers et al., 2015), that increases bacterial fitness allowing these strains to out-compete other
442 bacterial strains and become the principal part of the bacterial population in the gut (Mathers et
443 al., 2015). The detection of *mcr-1* gene in one isolate suggests that colistin resistant
444 *Enterobacterales* are also emerging among food-producing animals in Africa, and demonstrates
445 the urgent need of antimicrobial usage stewardship in food production systems and
446 implementation of effective monitoring programmes to curb the spread of MDR-*E. coli*.

447 Comparative hierarchical clustering suggested that the majority of our strains belong to two
448 clusters. It further confirmed that ST10 complex is common in African livestock as all our ST10
449 complex belong to a unique cgMLST cluster containing closely related isolates from

450 Cameroonian (PR010E3, PN027E1II) and South African swine (PN256E8). The comparative
451 phylogenomic analysis further confirms that our ESBL-*E. coli* ST10 demonstrated overlap with
452 ST10 strains isolated from livestock and human populations in Africa (Mozambique, Egypt,
453 Morocco), Asia (Vietnam), Europe (United Kingdom, Denmark, France) and Oceania (Australia)
454 and displaying the same phylogroup A. Similarly, our ESBL-*E. coli* ST69 share high level of
455 similarity with an UPEC ST69 phylogroup D that was involved in pyelonephritis in France
456 (unpublished data). This gives credence to the hypothesis that commensal *E. coli* of the gut
457 microbiome might be the reservoir of virulence and resistance genes that allow the emergence of
458 hetero-pathogenic *E. coli* strains.

459 Mobile genetic elements (MGEs) play an essential role in the mobility of ARGs and VFs
460 between different bacterial species. The plasmids of the Inc-family are commonly associated
461 with MDR and VFs in *E. coli* (Rafaï et al., 2015). Ten (91%) of our ESBL-*E. coli* harboured Inc-
462 related replicons with IncF (9/11; 81%) especially the replicon *FIB* (AP001918; 46%) being the
463 leading, followed by IncY (4/11, 36%) and IncH (3/11; 21%) plasmids. Similar plasmid
464 replicons associated with blaCTX-M-group were reported in humans and livestock in Africa and
465 across the world (Patil, Chen, Lian, & Wen, 2019).

466 Our *E. coli* isolates harboured multiple plasmids belonging to major replicon types and encoding
467 multiple ARGs and VFs. Given the presence of ESBL-*E. coli* in clinically healthy animals and
468 humans, it is likely that the presence of these plasmids could contribute to the long-term
469 persistence of resistance traits in animal and environmental microbiome. Though our study was
470 limited by the isolates numbers and geographic area, our results sufficiently reinforce the need to
471 closely monitor pathogenic and commensal bacteria prevailing in the food production systems on
472 the continent (Patil et al., 2019).

473 **Conclusion**

474 Our study demonstrates that the population structure of ESBLs-*E. coli* in swine microbiome is
475 highly diverse with the *bla*_{CTX-M-15} gene being the leading CTX-M variant. Although the
476 phylogenetic diversity observed precludes any suggestion for clonal dissemination, the resistance
477 and high human pathogenic potential demonstrate the urgent need to implement effective
478 strategies to contain the dissemination of antibiotic resistant bacteria in Cameroon and South
479 Africa. Our study underlines the necessity of long-term and stringent monitoring and molecular
480 studies investigating population structure of commensal and pathogenic bacteria in (food)
481 animals, food products and associated environments, not only to preserve antibiotics for future
482 generations, but also to gain new insights into the diversity, evolutionary history and emergence
483 of ESBL-ExPEC. The Africa Pathogen Genomics Initiative (Africa PGI,
484 <https://africacdc.org/download/africa-pathogen-genomics-initiative-factsheet/>) recently launched,
485 and aiming at integrating pathogenomics and bioinformatics into outbreak investigations and
486 public health surveillance is expected to advance molecular-based studies and improved
487 infection control and prevention on the continent.

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502 **Conflict of interest**

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506 **Author contributions**

507 **L.L.F** co-conceptualized the study, undertook sample collection, microbiological laboratory and
508 data analyses, prepared tables and figures, interpreted results, performed bioinformatics analysis,
509 and drafted the manuscript. **R.C.F** undertook sample collection, microbiological laboratory
510 analyses, contributed to bioinformatics analysis, vetting of the results and writing of the
511 manuscript. **M.A.** contributed to and vetted bioinformatics analyses. **A.I.** performed whole
512 genome sequencing analysis. **S.Y.E** co-conceptualized the study and undertook critical revision
513 of the manuscript. All authors read and approve the final manuscript.

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614

615 **Figure Legends**

616 **Figure 1. Circular genome representation of selected ESBL-*E. coli* aligned with reference**
617 **genome and closely related strains.**

618 **Figure 2. Comparative genome analysis based on the core genome MLST of *E. coli* isolates.**

619 **Figure 3. Comparative genome analysis based on the core genome MLST compared with**
620 **international *E. coli* isolates.**

621 **Figure 4. Comparative genome analysis based on the accessory genome of ESBL-*E. coli***
622 **isolates**

623
624

Table 1. Genotypic characteristics of ESBL-producing *E. coli* isolates (Bioproject PRJNA412434)

Isolate	Accession Number	Country	Sample type	Abattoir	MLST*	Clonal Complex	FimH sub-typing	Phylogroup	Serotype
PN017E2II	VMKK00000000	Cameroon	Nasal swab	SH001	10	ST10 Cplx	FimH215	A	O9:H:9
PR010E3I	VKOQ00000000	Cameroon	Rectal swab	SH001	44	ST10 Cplx	FimH54	A	O89:H4
PN027E6IIB	VKOV00000000	Cameroon	Nasal swab	SH001	69	ST69 Cplx	FimH27	D	O-:H18
PR256E1	VKOS00000000	South Africa	Rectal swab	SH005	88	ST23 Cplx	FimH1250	C	O: Uncertain H9
PN256E2	VKOT00000000	South Africa	Nasal swab	SH005	88	ST23 Cplx	FimH1250	C	O-:H9
PN027E1II	VKOW00000000	Cameroon	Nasal swab	SH001	226	ST226 Cplx	FimH43	A	O-:H19
PN091E1II	VKOU00000000	Cameroon	Nasal swab	SH002	940	ST448 Cplx	Unknown	B1	O-:H33
PN256E8	QJRZ00000000	South Africa	Nasal swab	SH005	9440	ST10 Cplx	FimH23	A	O-:H52
PR209E1	VKOO00000000	South Africa	Rectal swab	SH004	2144	-	FimH87	B1	O-:H49
PR246B1C	WHRW00000000	South Africa	Rectal swab	SH004	2144	-	FimH87	B1	O-:H49
PR085E3	VKOP00000000	Cameroon	Rectal swab	SH002	4450	-	FimH566	A	O-:H18

Table 2. Overview of resistome and mobilome in ESBL-producing *E. coli* isolates

Isolate	Country	Sample type	Abattoir	MLST*	β-Lactamase resistance genes			Fluoroquinolone resistance genes		Other resistance genes	Plasmids	pMLST*
					<i>BlaCTX-M</i>	<i>BlaTEM</i>	<i>BlaOXA</i>	QRDR	PMQR			
PN017E2II	Cameroon	Nasal swab	SH001	10	<i>CTX-M-15</i>	<i>TEM-1B</i>	-	-	<i>QnrS1</i>	<i>aph(6)-Ib</i> , <i>aph(3'')-Ib</i> , <i>tet(A)</i> , <i>mph(A)</i> , <i>sul2</i> , <i>dfrA14</i> ,	IncY, Col(MG828), Col440I, rep21	-
PR010E3I	Cameroon	Rectal swab	SH001	44	<i>CTX-M-15</i>	-	<i>OXA-1</i>	<i>gyrA</i> (p.S83L) <i>gyrA</i> (p.D87N)	<i>aac(6')-Ib-cr</i>	<i>aac(3)-IIa</i> , <i>aph(3'')-Ib</i> , <i>aadA5</i> , <i>aph(6)-Id</i> , <i>tet(B)</i> , <i>tet(A)</i> , <i>sul1</i> , <i>sul2</i> , <i>dfrA17</i> , <i>floR</i> , <i>catB3</i>	IncFIA, Col440I, IncFII, IncFIB, Col(MG828), rep21	IncF [F36:A20:B1]
PN027E6IIB	Cameroon	Nasal swab	SH001	69	<i>CTX-M-15</i>	<i>TEM-1B</i>	-	-	<i>QnrS1</i>	<i>strA</i> , <i>strB</i> , <i>sul2</i> , <i>tet(A)</i> , <i>dfrA14</i>	IncY, Col(MG828)	-
PR256E1	South Africa	Rectal swab	SH005	88	<i>CTX-M-1</i>	-	-	-	-	<i>tet(A)</i> , <i>sul2</i> ,	IncI1 ^{&} , IncI2, Col(MG828), ColPVC, IncFIB,	IncF [K:-A-B1]; IncI1[ST3]
PN256E2	South Africa	Nasal swab	SH005	88	<i>CTX-M-1</i>	-	-	-	-	<i>tet(A)</i> , <i>sul2</i> ,	IncI1*, IncFIB, Col(MG828), Col440I, rep10	IncF [K:-A-B1]; IncI1[ST3]
PN027E1II	Cameroon	Nasal swab	SH001	226	<i>CTX-M-15</i>	<i>TEM-1B</i>	-	-	<i>QnrS1</i>	<i>aph(3'')-Ib</i> , <i>aph(6)-Id</i> , <i>tet(A)</i> , <i>mdf(A)</i> , <i>sul2</i> , <i>dfrA14</i> ,	IncY, Col440I, colRNAI, Col(MG828)	-
PN091E1II	Cameroon	Nasal swab	SH002	940	<i>CTX-M-15</i>	<i>TEM-1B</i>	-	<i>gyrA</i> (p.S83A),	-	<i>aph(3'')-Ib</i> , <i>aph(6)-Id</i> , <i>aadA1</i> , <i>16S_rrsC</i> (g.926_926del), <i>tet(B)</i> , <i>mph(A)</i> , <i>sul2</i> , <i>dfrA1</i> ,	IncX, Col440I	-
PN256E8	South Africa	Nasal swab	SH005	944	<i>CTX-M-55</i>	<i>TEM-1B</i> <i>TEM-141</i> <i>TEM-206</i>	-	-	<i>oqxA</i> , <i>oqxB</i> <i>aac(6')-Ib-cr</i>	<i>aac(6')-Ib3</i> , <i>aadA5</i> , <i>tet(A)</i> , <i>sul2</i> , <i>dfrA17</i> , <i>floR</i> , <i>mcr-1.1</i> , <i>fosA3</i>	IncN, IncHI2A, IncHI2	IncN [ST1]; IncHI2 [ST3-like]
PR209E1	South Africa	Rectal swab	SH004	2144	<i>CTX-M-14</i>	-	-	-	<i>oqxB</i> , <i>oqxA</i>	<i>aph(3'')-Ib</i> , <i>aph(6)-Id</i> , <i>aadA2b</i> , <i>aadA1</i> , <i>sul3</i> , <i>cmlA1</i> , <i>fosA3</i>	IncFIC(FII), IncFIB, IncHI2A, IncHI2 rep21	IncF [K89:A-B57]; IncHI2[ST3]
PR246B1C	South Africa	Rectal swab	SH004	2144	<i>CTX-M-14</i>	-	-	-	<i>oqxA</i> , <i>oqxB</i>	<i>aph(3'')-Ib</i> , <i>aadA2b</i> , <i>aph(6)-Id</i> , <i>aadA1</i> , <i>aph(3'')-Ib</i> , <i>sul3</i> , <i>fosA3</i> , <i>cmlA1</i>	IncFIC(FII), Col440II, IncHI2A, IncHI2, IncFIB	IncF [K89:A-B57]; IncHI2 [ST3]
PR085E3	Cameroon	Rectal swab	SH002	4450	<i>CTX-M-15</i>	-	-	-	<i>QnrS1</i>	<i>AadA5</i> , <i>sul2</i> , <i>dfrA17</i>	IncY	-

Table 3. *In silico* identification of human pathogenicity and virulence factors in the ESBL-*E. coli* isolates

Pathogenicity feature	Nasal Isolates						Rectal isolates				
	PN017E2II	PN027E6IIB	PN027E1II	PN091E1II	PN256E2	PN256E8	PR010E3I	PR209E1	PR246B1C	PR256E1	PR085E3
Pathogenicity Score (No. of Pathogenic Families)	0.934 (615)	0.937 (889)	0.94 (526)	0.941 (665)	0.927 (735)	0.932 (625)	0.94 (677)	0.939 (710)	0.937 (682)	0.929 (729)	0.939 (666)
Human Pathogenicity	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Virulence factors											
Adherence	<i>ecpC, ecpD, ecpE, elfC, elfG, eaeH, hcpB, hcpC, fimD, fimH</i>	<i>lpfA</i>	<i>elfC, elfG, hcpB, hcpC, fimD, fimH</i>	<i>cfaC, cfaD/cfaE, ecpC, ecpD, ecpE, elfC, elfG, eaeH, hcpB, hcpC, lpfA</i>	<i>hra, lpfA, air, tsh, cfaC, cfaD/cfaE, ecpC, ecpD, ecpE, elfC, elfG, eaeH, focD, focH, hcpB, hcpC, papC, papD, fimD, fimH, pilQ, pilR, pilV</i>	-	<i>hra, papA_F19, ecpA, ecpB, ecpC, ecpD, ecpE, elfA, elfC, elfD, elfG, eaeH, hcpA, hcpB, hcpC, papI, fimA, fimC, fimD, fimE, fimF, fimG, fimH,</i>	<i>lpfA, cfaA, cfaB, cfaD/cfaE, ecpA, ecpB, ecpC, ecpD, ecpE, elfA, elfC, elfD, elfG, eaeH, hcpA, hcpB, hcpC, papI, fimA, fimC, fimD, fimE, fimF, fimG, fimH, fimI</i>	<i>lpfA,</i>	<i>papC, focCsjaE, focF, focI, lpfA, tsh,</i>	<i>hra, lpfA, air, papC, tsh, cfaC, cfaD/cfaE, ecpC, ecpD, ecpE, elfC, elfG, eaeH, focC, hcpB, hcpC, papC, fimC, fimD, fimH, lfhA, prl/gapA, cgsG, pilW, staB, staC, stfC, stfD, stgB</i>
Autotransporter	<i>EhaB</i>	-	<i>aatA, ehaB, upaG/ehaG</i>	<i>ehaB, upaG/ehaG</i>	<i>agn43, ehaB, upaG/ehaG,</i>	-	<i>cah, ehaB</i>	<i>air/eahX, ehaA, ehaB, upaG/ehaG,</i>	-	-	<i>cah, ehaA, ehaB, upaG/ehaG,</i>
Iron Uptake	-	<i>fyuA, irp2, sitA,</i>	-	<i>fyuA, irp1, irp2, ybtA, ybtE, ybtP, ybtS, ybtT, ybtU, ybtX</i>	<i>iucA, iucB, iucC, iucD, iutA, sitA, sitB, sitC, iroB, iroC, iroE, iroN, fyuA, irp1, irp2, ybtA, ybtE, ybtP, ybtS, ybtT, ybtU, ybtX</i>	-	<i>iutA, iucC, iucA, iucB, iucC, iucD, sitA, sitB, sitC, sitD</i>	-	-	<i>irp2, iucC, iutA, iroN, fyuA,</i>	<i>iroN, ccmF, entA, entB, entC, entE, entF, fepB, fepC, fepD, fepG, hemC, hemE, hemH, hemL, hemN</i>

Table 3. Continued

Pathogenicity feature	Nasal Isolates						Rectal isolates				
	PN017E2II	PN027E6IIB	PN027E1II	PN091E1III	PN256E2	PN256E8	PR010E3I	PR209E1	PR246B1C	PR256E1	PR085E3
Secretion system	<i>aec15, aec18, aec22, aec23, aec25, aec26, aec27/clpV, aec29, aec30, aec31</i>	-	-	<i>aec15, aec18, aec22, aec23, aec25, aec26, aec27/clpV, aec29, aec30, aec31</i>	<i>aec15, aec18, aec22, aec23, aec25, aec26, aec27/clpV, aec28, aec29, aec30, aec31</i>	-	<i>aec15, aec18, aec22, aec23, aec25, aec26, aec27/clpV, aec28, aec29, aec30, aec31</i>	<i>aec15, aec18, aec22, aec23, aec25, aec26, aec27/clpV, aec28, aec29, aec30, aec31, aec32</i>	-	<i>etsC,</i>	<i>aec15, aec18, aec22, aec23, aec25, aec26, aec27/clpV, aec28, aec29, aec30, aec31, aec32, flgD, flgE, flgF, flgI, flgJ, flgK, flgL, flhA, flhB, flhA, fliF, flil, fliM, fliR, ipaH, gspC, gspD, gspE, gspF, gspK, gspL, clpB, rmkB, wbjD/wecB, wecC, galF, ugd, wcaI, wzc</i>
Antiphagocytosis	<i>wzc, wzi</i>	-	-	<i>wzc, wzi, wbaZ</i>	-	-	<i>Wzi</i>	-	-	-	-
Toxins	<i>hlyE/clyA</i>	-	-	-	<i>HlyF, astA, vat</i>	<i>astA</i>	<i>hlyE/clyA,</i>	<i>hlyE/clyA,</i>	-	<i>hlyF,</i>	<i>hlyE/clyA, hlyA</i>
Protectins and invasins	<i>ibeB, ibeC</i>	<i>KpsE, kpsMIII_K96; iss, ompT</i>	<i>ibeB, ibeC</i>	<i>iss ibeB, ibeC</i>	<i>KpsE, kpsMIII_K96, iss, ompT, traT, ibeB, ibeC</i>	<i>ompT,</i>	<i>traT, ibeB, ibeC, tia</i>	<i>iss, ompT, ibeB, ibeC,</i>	<i>traT, ompT, iss,</i>	<i>Iss, ompT, traT,</i>	<i>ibeB, ibeC, cheB, cheR, motA,</i>
Miscellaneous	<i>espL1, espL4, espX1, espY1, galE, rmlD, gad, terC</i>	<i>air, terC, gad, chuA, eilA,</i>	<i>espL1, espL4, espX1, espX4, espL1, espL4, espX1, espX5, rmlD,</i>	<i>espL1, espL4, espX4, terC, gad,</i>	<i>mchB, mchC, mchF, mcmA, terC, gad, eilA</i>	<i>terC, gad,</i>	<i>espL1, espL4, espX1, espX4, espX5, espY1, gad, terC, rmlD, galE, cea</i>	<i>espL1, espL4, espX1, espX4, espX5, terC, gad, adeG</i>	<i>gad, terC,</i>	<i>cea, cib, mchB, mchC, mcmA, terC,</i>	<i>espL1, espL4, espR1, espX5, galE, galU, mrsA/glmM, pgi, acpXL, rmlA, rmlD, rpoS, phoQ, glnA1, narH, sugC, acrB, farB, icl, mgtB, motB, bioB, katG, gmhA/lpcA, htrB, kdsA, kdtA, lpxA, lpxB, lpxK, msbA, opsX/rfaC, rfaD, rfaE, rfaF, wecA</i>

Table 4. Virulence-associated-traits of nasal and rectal ESBL-*E. coli*

Functional categories traits	Virulence factors	Overall, n=11 (%)	Nasal Isolates (n=5)	Rectal Isolates (n=6)
Adhesins				
<i>papACEFG</i>	P fimbriae	4 (36)	1	3
<i>fimH</i>	Type 1 fimbriae	7 (64)	3	4
<i>hra</i>	Heat-resistant agglutinin	1 (9)	0	1
<i>tsh</i>	Temperature sensitive hemagglutinin	2 (18)	1	1
<i>Sfa/foc</i>	S or F1C fimbriae	1 (9)	0	1
Toxins				
<i>hlyD</i>	α -hemolysin	1 (9)	0	1
<i>hlyE</i>	hemolysin E	4 (36)	1	3
<i>hlyA</i>	Hemolysin A	1 (9)	0	1
<i>hlyF</i>	putative avian hemolysin	2 (18)	1	1
<i>astA</i>	Enteroaggregative <i>E. coli</i> toxin	1 (9)	1	0
<i>vat</i>	Vacuolating toxin	1 (9)	1	0
Siderophore				

<i>iroN</i>	Salmochelins receptor	2 (18)	1	1
<i>fyuA</i>	Yersiniabactin receptor	3 (27)	3	0
<i>iutA</i>	Aerobactin receptor	2 (18)	1	1
<i>sitA</i>	Periplasmic iron binding protein	3 (27)	2	1
Protectins and Invasins				
<i>kpsM_III</i>	Group 3 capsule	2 (18)	2	0
<i>kpsE</i>	Group 2 capsule	2 (18)	2	0
<i>iss</i>	Increased serum survival	6 (55)	3	3
<i>traT</i>	Conjugal transfer surface exclusion protein	2 (18)	0	2
<i>ompT</i>	Outer membrane protease T	6 (55)	2	4
<i>ibeB</i>	Invasin of brain endothelial cells, IbeA	8 (73)	4	4
<i>ibeC</i>	Invasin of brain endothelial cells, IbeC	8 (73)	4	4
<i>UpaG/ehaG</i>	Autotransporter adhesin UpaG and EhaG	6 (55)	3	3
	Autotransporter proteins			
<i>ehaB</i>	Autotransporter adhesin	8 (73)	4	4

Table 5. Mobile genetic elements detected in the ESBL-*E. coli* isolates

Isolate (ST)	Plasmids	Insertion sequence	Transposons	Phages	CRISPR array (Cas system)	TR
PN017E2II (10)	IncY, Col(MG828), Col440I, rep21	-	-	-	6 (Cas1)	54
PR010E3I (44)	IncFIA, Col440I, IncFII, IncFIB, Col(MG828), rep21	-	-	-	8 (Cas1, Cas3)	48
PN027E6IIB (69)	IncY, Col(MG828)	ISKpn19, ISEc1, ISEc31, IS4, ISSfl10, IS911, cn_5813_IS911, MITEEc1, ISEc1, ISEc38, IS629, ISEc46, IS5075	-	PHAGE_EnteromEp460_NC_019716	5 (Cas1)	54
PR256E1 (88)	IncII ^{&} , IncI2, Col(MG828), ColPVC, IncFIB,	IS26, ISVsa3, ISSbo1, cn_3792_ISSbo1, ISEc9, ISEc40, ISEc38, ISEc13	-	PHAGE_EnterofiAA91_ss_NC_022750 PHAGE_ShigelSfII_NC_021857(34) PHAGE_EnteromHK544_NC_019767	6 (Cas2)	51
PN256E2 (88)	IncII [*] , IncFIB, Col(MG828), Col440I, rep10	IS26, ISVsa3, ISEc9	-	-	10 (Cas3)	101
PN027E1II (226)	IncY, Col440I, colRNAI, Col(MG828)	ISKpn19, ISEsa1, IS5075, MITEEc1, IS100, ISEc30, IS5, ISEc26, ISKpn8, IS421, IS609, ISEc38, IS30, IS903	-	-	11 (Cas3, Cas1)	55
PN091E1II (940)	IncX, Col440I	IS6100, MITEEc1, IS421, ISEc30, ISSfl10, IS30, ISEc38, ISEc1, IS100, ISKpn8	Tn7 [#]	PHAGE_EnteromBP_4795_NC_004813	5 (Cas2)	40
PN256E8 (944)	IncN, IncHI2A, IncHI2	ISVsa3, IS640, IS100, ISEam1, IS30, MITEEc1, ISEc1, ISKpn26, IS421, ISVsa5, IS609	-	PHAGE_ShigelSfII_NC_021857(34)	8 (Cas2)	87
PR209E1 (2144)	IncFIC(FII), IncFIB, IncHI2A, IncHI2 rep21	IS102, IS629, MITEEc1, ISKpn8, ISVsa5, IS421, IS3, IS26	Tn6082	PHAGE_ShigelSf6_NC_005344 PHAGE_ShigelSf6_NC_005344 PHAGE_ShigelSfII_NC_021857(34)	6 (Cas2)	44
PR246B1C (2144)	IncFIC(FII), Col440II, IncHI2A, IncHI2, IncFIB	IS102, IS3, IS629, IS26, ISEc1, ISKpn8, ISVsa5, IS421, MITEEc1	Tn6082	PHAGE_ShigelSf6_NC_005344 PHAGE_ShigelSfII_NC_021857 PHAGE_EnterofiAA91_ss_NC_022750	8	41
PR085E3 (4450)	IncY	ISVsa3, ISEc9, IS421, ISKpn26, IS3, ISEc1, ISEc38, MITEEc1, IS26, IS102	-	PHAGE_EnteromEp460_NC_019716 PHAGE_Pseudo_phiPSA1_NC_024365 PHAGE_EnterofiAA91_ss_NC_022750	4 (Cas3)	39

TR: Tandem Repeat

Syntenly of resistance and virulence genes and MGEs

[&]IncII (harbours 3 MGEs i.e IS26, ISVsa3, ISEc9 and encoded *sul2* and *cib*)

^{*}IncII (harbours 3 MGEs i.e IS26, ISVsa3, ISEc9 and encoded *sul2* and *cib*)

[#]Tn7 (harbouring *dfrA1*)

Table 6. Virulence factors commonly present in ExPEC

Type	Associated virulence genes/proteins/enzyme
Toxins	hlyD (α -hemolysin), hlyA (hemolysin A), sat (secreted autotransporter toxin), pic (serine protease), vat (vacuolating toxin), astA (enteroaggregative <i>E. coli</i> toxin), cnf1 (cytotoxic necrotizing factor), cdt1 (cytolethal distending toxin), clb (colibactin)
Adhesins	papACEFG (P fimbriae), sfa/foc (S or F1C fimbriae), focG (F1C fimbriae adhesin), iha (adhesion siderophore), fimH (type 1 fimbriae), tsh (temperature sensitive hemagglutinin), hra (heat-resistant agglutinin), afa/draBC (D-binding adhesins), gaf (N-acetyl-D-glucosamine-specific fimbriae), bmaE (M fimbriae), iha (bifunctional enterobactin receptor/adhesion), ecpA (<i>E. coli</i> common pilus)
Siderophore	iroN (salmochelins receptor), fyuA (yersiniabactin receptor), ireA (siderophore receptor), iutA (aerobactin receptor), sitA (periplasmic iron binding protein)
Protectins and invasins	kpsM II (group 2 capsule), K1 (K1 group 2 capsule variants), K2 (K2 group 2 capsule variants), K5 (K5 group 2 capsule variants); KpsMT III (group 3 capsule), ibeA (invasion of brain endothelium), Cva (colicin V), traT (conjugal transfer surface exclusion protein), iss (increased serum survival), ompT (outer membrane protease T)
Others	Usp (uropathogenic-specific protein), uidA (β -glucuronidase), H7 filC (flagellin variant), malX (pathogenicity island marker), dsdA (D-serine deaminase)

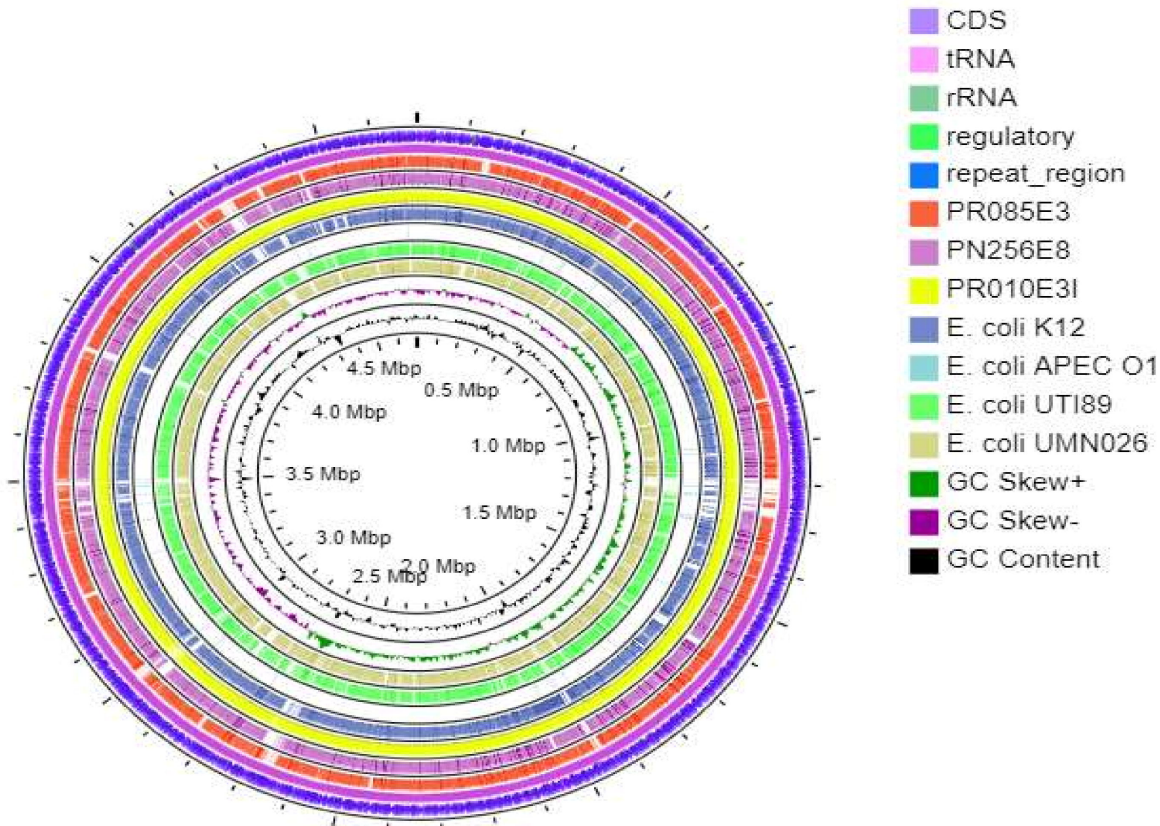


Figure 1. Circular genome representation of selected ESBL-*E. coli* aligned with reference genome and closely related strains. Circular map of selected ESBL-*E. coli* (PR010E3, PN256E8 and PR085E3) and comparative alignment with closely related strains (*E. coli* K12, *E. coli* APEC_O1, *E. coli* UTI89, *E. coli* UMN026), generated using CGView Server V1.0. Coloured arrows in the outer ring represent different gene families of the reference genome. A key of the coloured arrows representing different gene families is presented in the inset. The inner coloured circles representing different strains are also listed in the inset. Innermost circles show GC content indicated in black and GC Skew, with green and purple indicating positive and negative values, respectively.

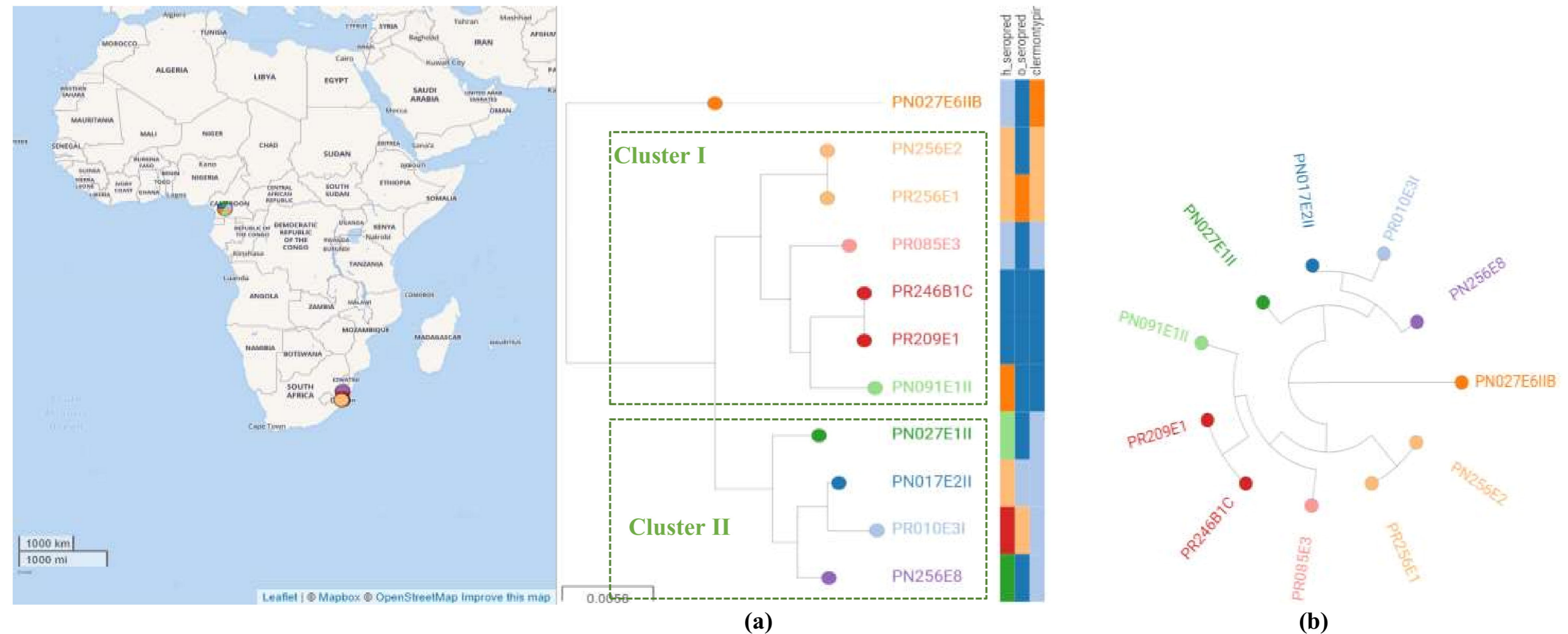


Figure 2. Comparative genome analysis based on the core genome MLST of *Escherichia coli* isolates. Each node represents an isolate, each of which is coloured according to its sequence type, as defined using the core genome. Clusters of isolates belonging to the same sequence cluster are encircled and annotated. Serotype and phylogroup are also indicated via a heatmap (a) Core-genome phylogenetic tree based on comparison of conserved clusters of orthologous genes (COGs). (b) Minimum spanning tree based on cgMLST. Interactive map of geographic locations and genetic attributes can be visualized within Microreact at <https://microreact.org/project/tYENaUrCix7jMS7RBrFeBi/09dce9ac>



(a)

(b)

Figure 3. Comparative genome analysis based on the core genome MLST of the ESBL-*E. coli* with international *E. coli* isolates. Isolates of the same STs share the same colour. (a) Minimum spanning tree generated using cgMLST. (b) GrapeTree generated using MSTree V2 tool. Interactive map of geographic locations and genetic attributes can be visualized within Microreact at <https://microreact.org/project/2kL6oNgm6VPKnUrrffkUD5/81a2cc99>

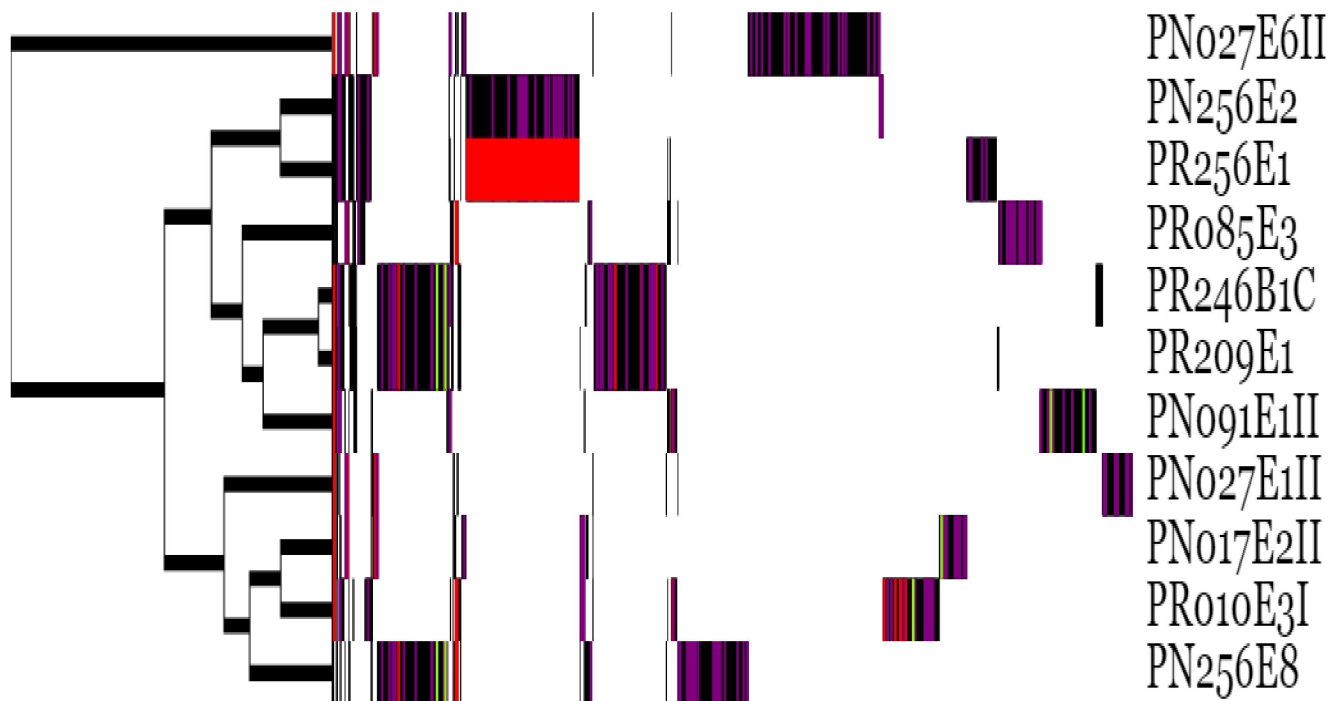


Figure 4. Comparative genome analysis based on the accessory genome of the ESBL-*E. coli* isolates. AMR genes are coloured in red, phages genes (VOGs) in purple and plasmids in are represented in green.