

1 **Development and Application of Cas13a-based Diagnostic Assay for *Neisseria***
2 ***Gonorrhoeae* Detection and Identification of Azithromycin Resistance**

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13 **Running title:** *SHERLOCK for Neisseria Gonorrhoeae molecular diagnosis*

14

15 **Keywords:**

16 *Neisseria Gonorrhoeae*, SHERLOCK, CRISPR/Cas13a, molecular diagnosis,

17 azithromycin resistance identification

18 **Abstract**

19 Gonorrhea caused by *Neisseria gonorrhoeae* has spread world-wide.
20 Antimicrobial-resistant strains have emerged to an alarming level to most antibiotics,
21 including to the ceftriaxone-azithromycin combination, currently recommended as
22 first-line dual therapy. Rapid testing for antimicrobial resistance will contribute to clinical
23 decision-making for rational drug use and will slow this trend. Herein, we developed a
24 Cas13a-based assay for *N. gonorrhoeae* detection (*porA* target) and azithromycin
25 resistance identification (A2059G and C2611T point mutations). We evaluated the
26 sensitivity and specificity of this method, and 10 copies per reaction can be achieved in
27 *porA* detection and C2611T identification, with no cross-reactions. Comparison of the
28 Cas13a-based assay (*porA* target) with Roche Cobas 4800 assay (n=23 urine samples)
29 revealed 100% concordance. Isolated *N. gonorrhoeae* strains were used to validate the
30 identification of A2059G and C2611T resistance mutations. All tested strains (8 A2059G
31 strains, 8 C2611T strains, and 8 wild-type strains) were successfully distinguished by our
32 assay and verified by testing MIC for azithromycin and sequencing the 23S rRNA gene.
33 We adopted lateral flow for the SHERLOCK assay readout, which showed a visible
34 difference between test group and NC group results. To further evaluate the capability of
35 our assay, we tested 27 urethral swabs from patients with urethritis for *N. gonorrhoeae*
36 detection and azithromycin-resistance identification. Of these, 62.96% (17/27) strains

37 were detected with no mutant strains and confirmed by sequencing. In conclusion, the
38 novel Cas13a-based assay for rapid and accurate *N. gonorrhoeae* detection combined
39 with azithromycin drug resistance testing is a promising assay for application in clinical
40 practice.

41

42 **Introduction**

43 Gonorrhea is a common bacterial sexually transmitted infection (STI) in the world,
44 caused by *Neisseria gonorrhoeae* [1]. As estimated by the World Health Organization
45 (WHO), there were 78 million global cases in 2012 and 86.9 million cases in 2016
46 worldwide [2, 3]. The prevalence of *N. gonorrhoeae* has increased rapidly and remains a
47 public health concern. In the absence of an effective vaccine, antibiotic treatment is
48 critical to cure and slow the spread of *N. gonorrhoeae* infections [4, 5]. However, due to
49 the use and abuse of antibiotics, antimicrobial resistance (AMR) of *N. gonorrhoeae* has
50 emerged to all first-line therapeutic drugs used to date [4-7]. In particular, AMR to
51 azithromycin and ceftriaxone currently used as first-line dual therapy has been reported
52 as a cause of treatment failure in both the United Kingdom and Australia [8, 9], and the
53 resistance has shown a gradual increasing trend according to the gonococcal surveillance
54 program data from Europe and the United States [10, 11]. There is, therefore, a need for
55 clinicians to rapidly acquire resistance data for antibiotics, which could help manage

56 rational drug use and further slow the development of drug resistance.

57

58 Traditional antimicrobial resistance detection methods are mainly culture-based. The

59 quantitative agar dilution method which can determine the minimum inhibitory

60 concentration (MIC) of antimicrobials is recognized as the ‘gold standard’ method, but

61 complicated protocol steps and long turnaround times hinder its development to satisfy

62 clinical requirements [1, 6]. To achieve this goal, non-culture-based nucleic acid

63 amplification tests (NAATs) have been introduced and are being developed. Sequencing

64 technology has been widely used to identify plasmid-mediated or

65 chromosomally-mediated drug resistance to discover antimicrobial resistance towards

66 penicillin, ciprofloxacin, tetracycline, azithromycin, extended-spectrum cephalosporin,

67 and multidrug resistance [6, 12]. With the discovery of a strong correlation between

68 single nucleotide polymorphisms (SNP) and drug resistance in *N. gonorrhoeae*, more

69 convenient assays have been established [13-17]. These methods generally use PCR to

70 amplify target genes, and combine with specific probes, high resolution melting (HRM)

71 analysis, or mass spectrometry to differentiate specific point mutations. The protocol is

72 time-saving, however, large precision instruments are necessary to ensure accurate

73 temperature control and results also require skilled evaluation.

74

75 Cas13a was first described by Zhang et al. and exploits endonuclease activity of target
76 RNA and collateral cleavage activity of the target sequence [18]. Based on this principle,
77 the SHERLOCK (specific high-sensitivity enzymatic reporter unlocking) was developed,
78 which combines recombinase polymerase amplification (RPA) and Cas13a in an
79 isothermal system with single molecule sensitivity, high specificity, single-base
80 resolution, and convenient acquisition of results [19]. Given the advantages of this
81 technology, SHERLOCK is becoming a potential tool for rapid diagnostic testing of
82 emerging infectious diseases, and has been developed for the diagnosis of *Plasmodium*,
83 SARS-CoV-2, Ebola virus, and Lassa virus [20-22]. Thus, this robust Cas13a-based
84 diagnostic tool may satisfy the requirements of *N. gonorrhoeae* detection and antibiotic
85 resistant-SNPs identification in clinical practice.

86

87 Azithromycin combined with ceftriaxone is the currently recommended treatment for *N.*
88 *gonorrhoeae* given the increasing MIC of ceftriaxone [23] and the emergence of
89 high-level azithromycin resistance strains in various geographical regions showing a
90 tendency to spread to other areas [24-30]. The main cause of elevated azithromycin
91 resistance has been highly correlated with A2059G and C2611T mutations in the 23S
92 rRNA subunit of the bacterial ribosome [31-33]. Herein, we attempt to develop and apply
93 this Cas13a-based method to develop a rapid and accurate assay for *N. gonorrhoeae*

94 detection and azithromycin resistance identification that will contribute to rational drug
95 use by clinicians.

96

97 **Materials and Methods**

98 **Ethics approval**

99 All human samples used for this study were evaluated and approved by the Ethics
100 Review Committee at Dermatology Hospital of Southern Medical University (2020056).

101 **Culture and azithromycin susceptibility testing**

102 The *N. gonorrhoeae* strains used to verify azithromycin resistance were isolated from
103 clinical samples of patients from Guangzhou, China and were identified by Gram
104 Staining, oxidase, catalase, and sugar fermentation tests. Isolates were cultured in
105 Thayer-Martin medium and incubated in 5% CO₂ in a 37°C incubator.

106 Antimicrobial susceptibility to azithromycin was tested using the agar dilution method,
107 according to WHO recommendations [34]. Briefly, all strains were cultured for 18 h and
108 adjusted to a 0.5 McFarland standard suspension, and the cultures were then multipoint
109 inoculated onto antimicrobial agar plates containing different drug concentrations.

110 **Sample preparation**

111 Clinical urine samples were collected and stored at 4°C temporarily before DNA
112 extraction. Urethral swabs were collected and stored in DNA/RNA Shield (Zymo
113 Research; R1100-250) and 4°C for temporary storage before DNA extraction. Genome

114 DNA extraction was carried out using the HiPure Bacterial DNA Kit (Magen; D3146-02)
115 according to the manufacturer's instructions. Extracted genomic DNA was stored at -20°C
116 until use.

117 Clinical urine specimens for Roche COBAS 4800 NG/CT tests were prepared according
118 to Roche's standard operation protocols.

119 Serial dilutions of dsDNA (porA, A2059G, C2611T) were prepared by using Q5
120 High-Fidelity DNA Polymerases (New England Biolabs; M0492S) to amplify the target
121 gene in a total reaction volume of 50 µL (25 µL of 2× Master Mix, 2.5 µL of each 10
122 µM primer, 2 µL of DNA template, and 18 µL ddH₂O). PCR was performed as follows:
123 initial denaturation at 98°C for 30 s, then 35 cycles at 98°C for 10 s, 60°C for 20 s, and 72°C
124 for 30 s, followed by 72°C for 2 min. After amplification, PCR products were verified
125 and purified following agarose gel electrophoresis. The Universal DNA purification kit
126 (TIANGEN; DP214) was used according to the manufacturer's protocol to extract target
127 DNA from agarose gel. Purified dsDNA was quantified using the Qubit dsDNA HS
128 Assay Kit (ThermoFisher; Q33230) and stored at -20°C until use. PCR primers used to
129 produce dsDNA are reported in **Table S2**.

130 **Protein expression and purification of Cas13a**

131 LwCas13a expression and purification were carried out according to the protocols
132 described by Zhang et al. with some modifications [35]. Briefly, LwCas13a expression
133 vectors (NovoPro Bioscience; V010159) were transformed into Rosetta (DE3) Competent

134 Cells (Tiangen; CB108). Competent cells containing LwCas13a vectors were inoculated
135 into LB Broth media (Sangon Biotech; A507002) containing 50 µg/mL ampicillin
136 (Sangon Biotech; A100339) and grown at 37°C, 220 rpm until the OD600 reached 0.6.
137 Isopropyl-beta-D-thiogalactopyranoside (Sangon Biotech; B541007) was added to the
138 media at a final concentration of 0.5 mM to induce protein expression. Cells were then
139 centrifuged at 4°C, and cell pellets were harvested and stored at -80°C for further
140 purification.

141 Protein purification was performed at 4°C. Cell pellets were crushed and purified with
142 His-tag Protein Purification Kit (Beyotime Biotechnology; P2226). Then incubated at 25°C
143 for 3 h with SUMO protease (Novoprotein; PE007-01A) to digest SUMO tag. Purified
144 Cas13a protein was stored at -80°C in storage buffer (50 mM Tris, 600 mM NaCl, 5%
145 glycerol, 2 mM DTT, pH 7.5). All purification steps were analyzed and confirmed by
146 SDS-PAGE and Coomassie Blue staining (Sangon Biotech; C510041). The concentration
147 of protein was quantified using the BCA Protein Assay Kit (Beyotime Biotechnology;
148 P0012S).

149 **crRNA preparation**

150 For crRNA preparation, oligonucleotides containing the T7 promoter sequence, spacers
151 (complement to target RNA), and the crRNA core sequence (bind to Cas13a) were
152 designed by SnapGene 4.1.9 and NCBI BLAST, and synthesized by Sangon Biotech.
153 Synthetic ssDNA (100 µM) binds to short T7 primer sequence (100 µM) by gradient

154 annealing from 95°C to 25°C with cooling rate of 0.1°C/s. The product was then
155 transcribed to crRNA using HiScribe T7 Quick High Yield RNA Synthesis kit (New
156 England Biolabs; E2050S) incubating at 37°C overnight. Transcribed crRNA was
157 purified using RNA XP Clean Beads (Beckman; A63987), and the concentration was
158 quantified using the Qubit RNA HS Assay Kit (ThermoFisher; Q32852). crRNA was
159 stored at -20°C until use. All crRNA used in this study are reported in **Table S2**.

160 **Recombinase Polymerase Amplification**

161 Recombinase Polymerase Amplification (RPA) primers were designed by SnapGene
162 4.1.9 and NCBI BLAST according to the TwistAmp Assay Design Manual instructions,
163 which can be downloaded from the official website
164 (<https://www.twistdx.co.uk/en/support/manuals/twistamp-manuals>), with the condition
165 that primers must flank the crRNA target region. RPA primers were synthesized by
166 Sangon Biotech. The forward primer contained the T7 promoter sequence for initiating
167 the transcription. TwistAmp Basic (TwistDx; TABAS03KIT) was used to amplify the
168 target DNA. In a total reaction volume of 25 µL (containing 1.2 µL of each 10 µM primer,
169 14.75 µL of rehydration buffer, 1.25 µL of Magnesium Acetate (MgOAc), 1 µL of input,
170 and 5.6 µL ddH₂O). The mixture was run at 37°C for 2 h and then subjected to Cas13a
171 detection assays. All RPA primers used in this study are available in **Table S2**.

172 **LwCas13a collateral detection**

173 Cas13a detection assays were mainly performed according to the protocol described by

174 Zhang et al. [19]. Briefly, the assay was carried out in a 25 μ L reaction volume consisting
175 of 40 mM Tris-HCl (pH7.5), 9 mM MgCl₂, 1 mM rNTPs (New England Biolabs;
176 N0466L), 50 U RNase inhibitor (New England Biolabs; M0314L), 37.5 U T7 RNA
177 Polymerase (New England Biolabs; M0251L), 225 nM crRNA, 45nM purified LwCas13a,
178 125 nM RNA reporter (5'-6FAM-UUUUU-BHQ1-3' as described by Gootenberg et
179 al.[36]), and 1.25 μ L RPA reaction solution was added to the above mixture. The reaction
180 mixture was allowed to incubate at 37°C for 3 h in a 96-Well Half-Area Microplate
181 (Corning; CLS3694-100EA). Fluorescence emission (excitation 490 nm/detection 520
182 nm) was tested every 5 min.

183 **Lateral flow readout**

184 The lateral flow dipstick (Milenia Biotec; MGH1) was used to acquire the results of
185 Cas13a collateral cleavage, which was based on the cleavage of the FITC-RNA-Biotin
186 reporter. It basically replaces the RNA reporter used in the system described above, with
187 a new RNA reporter (5'-FITC-UUUUUUUUUUUUUU-Biotin-3' described by Myhrvold
188 et al. [37]), and then was subjected to the same process. Subsequently, a 20 μ L volume of
189 Cas13a detection solution was added and the reaction mixture was incubated at 37°C for
190 3h in 80 μ L dipstick buffer, with thorough mixing. A lateral flow dipstick was inserted
191 into the mixture to obtain the results.

192 **Sanger sequencing**

193 The 23s rRNA gene of *N. gonorrhoeae*, which contains the A2059G and C2611T point

194 mutations, was amplified using the Q5 High-Fidelity DNA Polymerases (New England
195 Biolabs; M0492S). In a 25 μ L reaction volume, comprised of 12.5 μ L of 2x Master Mix,
196 1.25 μ L of each 10 μ M primer, 1 μ L of input, and 8 μ L ddH₂O. PCR was performed as
197 follows: initial denaturation at 98°C for 30 s, then 35 cycles of 98°C for 10 s, 64°C for 20
198 s, and 72°C for 30 s, followed by 72°C for 2 min. PCR products were verified by Sanger
199 sequencing (Sangon Biotech). The results of sequencing were blasted in SnapGene 4.1.9.
200 PCR primers are available in **Table S2**.

201 **Analysis of fluorescence data**

202 Prism 8 software (GraphPad, La Jolla, CA, USA) was used for visualization of results
203 and data analyses. Data are presented as mean \pm SEM and were tested for normality with
204 the Shapiro-Wilk test. Differences were considered significant at P -values < 0.05 .

205

206 **Results**

207 **Schematic of Cas13a based *N. gonorrhoeae* detection and azithromycin resistance** 208 **identification**

209 The SHERLOCK assay was performed as established by Zhang et al. [19], and combined
210 RPA and Cas13a to create an isothermal detection system. The target sequence was
211 amplified by RPA, and the T7 promoter was appended to the front of the RPA product as
212 the template to be used to initiate subsequent RNA transcription. Synthetic crRNA guided

213 the Cas13a protein to recognize the specific target and enable its RNA cleavage and
214 collateral cleavage activities (**Fig. 1**) [18]. For *N. gonorrhoeae* detection, we selected
215 porA as the target as was frequently used to identify *N. gonorrhoeae* in other methods [17,
216 38-40]. Based on the characteristics of its single-base resolution, we constructed two
217 crRNA sequences that could identify A2059G and C2611T separately. Although a single
218 synthetic mismatch of crRNA pairing to the target enabled the assay to identify the
219 A2059G mutation (**Fig. S1A**), this design failed to achieve the identical results for the
220 detection of the C2611T mutation. Thus, we introduced one more synthetic mismatch of
221 crRNA for C2611T mutation testing (**Fig. S1B-D**). Both crRNA designs were
222 successfully utilized for 23s rRNA mutations detection.

223 **Evaluation of the Cas13a-based method with sensitivity and specificity**

224 To determine the sensitivity of the *N. gonorrhoeae* detection and azithromycin resistance
225 identification assay, we prepared serial dilutions of dsDNA template, ranging from 10^0
226 copy/ μ L to 10^5 copies/ μ L (**Table 1**). The RPA step included the addition of 1 μ L input of
227 dsDNA template, which was then transferred to the mixture for Cas13a detection.
228 Detection of 10 copies/ μ L was achieved for porA and C2611T identification (**Fig. S2A,**
229 **S2E**). For A2059G identification, the detection limit was an order of magnitude lower, at
230 about 10^2 copies/ μ L (**Fig. S2C**). We further evaluated the specificity of the assay using a
231 panel of urogenital tract pathogenic bacteria (n=12) and *Neisseria meningitides* (**Table 2**).

232 No cross-reactivity was observed for both *N. gonorrhoeae* detection and azithromycin
233 resistance identification (**Fig. S2B, S2D, S2F**). All RPA primers and crRNA sequences
234 had been confirmed by BLAST before we tested its specificity. The SHERLOCK exhibits
235 robust capability for *N. gonorrhoeae* detection and azithromycin resistance identification.

236 **Validation of *N. gonorrhoeae* detection in clinical urine samples**

237 Twenty-three clinical urine samples with low concentrations previously tested using the
238 standard procedure of the Roche Cobas 4800 NG/CT test were used to validate the
239 performance of SHERLOCK. DNA was extracted from urine samples after centrifugation
240 and a maximum volume of 6.6 μ L of DNA was amplified by RPA, followed by Cas13a
241 detection. The method was repeated using 3 technical replicates and the fluorescence
242 signal of each sample was normalized against the negative controls. Using this method, a
243 total of 12 of 23 positive samples were detected, showing a 100% coincidence rate with
244 the Roche assay (**Fig. 2**). The fluorescence signals of 3 samples (samples 3, 6, 10) were
245 weaker than other specimens, but still could be distinguished with the negative control.

246 **Validation of azithromycin resistance identification**

247 A2059G mutant strains (n=8), C2611T mutant strains (n=8), and wild-type strains (n=8)
248 isolated from clinical specimens were used to validate Cas13a-based SNPs detection. We
249 measured the MICs of azithromycin in each strain and sequenced their 23S rRNA gene
250 (**Fig. 3, 4**). Strains containing either the A2059G or C2611T point mutation were more

251 likely to be a high-level azithromycin-resistant strain. Of the 8 A2059G isolated strains,
252 all 8 strains had MICs of >1 mg/L, and 5 A2059G strains had MICs ≥ 512 mg/L. Of the 8
253 C2611T isolated strains, 7 had MICs of >1 mg/L and 3 C2611T strains had MICs ≥ 512
254 mg/L. Compared with mutant strains, the wild-type strains possessed lower MICs,
255 corresponding to ≤ 1 mg/L in 7 strains and the MIC of the remaining strain was 4 mg/L,
256 which was above the average MICs of all mutant strains. We extracted DNA of all
257 mutant and wild-type strains to validate this Cas13a-based assay. Paired with A2059G
258 crRNA or C2611T crRNA, this assay successfully differentiated all 8 A2059G mutant
259 strains and 8 C2611T strains from 8 wild-type strains (**Fig. 3, 4**). Sixteen strains
260 harboring A2059G and C2611T mutations were identified by exhibiting a higher
261 fluorescence intensity than wild-type strains by our assay (**Fig. S3A, S3B**). Thus, we
262 successfully applied Cas13a based assay in azithromycin resistance identification.

263 **Lateral flow for *N. gonorrhoeae* detection and azithromycin resistance identification**

264 We applied lateral flow to provide a more convenient readout tool. The FAM and BHQ1
265 markers in the RNA reporter were replaced by FITC and Biotin. Compared to the
266 fluorescent intensity detection, the lateral flow is inserted directly into the reaction liquid
267 instead of using a specific device or instrument for the readout of results. The lateral flow
268 contains a control band and a test band. Generally, a positive test will show only one test
269 band or two bands (test band and control band), due to its different cleavage efficiency

270 which will result in varying amounts of cleaved RNA reporter captured by the antibody
271 in the test band. We tested lateral flow for porA detection, A2059G identification, and
272 C2611T identification separately (**Fig. 5A-C**). Three positive groups generated visual
273 signals in the test bands, while all wild-type groups and the no-input group only showed a
274 single control band.

275 **Applying Cas13a based *N. gonorrhoeae* detection and azithromycin resistance** 276 **identification in urethritis**

277 To confirm the efficacy of the assay in clinical specimens. We collected 27 urethral
278 swabs from patients with urethritis requiring differential diagnosis for potential
279 gonococcal infection and to determine whether azithromycin was still effective and this
280 information is important for the clinician. We extracted DNA from urethral swabs
281 directly and then tested all 27 samples with the SHERLOCK assay (**Fig. 6**). Overall,
282 62.96% (17/27) samples showed porA positivity, and the fluorescence intensity of 17
283 samples was higher than that of the negative samples and the no-input group. We further
284 tested for azithromycin resistance with A2059G crRNA and C2611T crRNA, and no
285 mutant strain was discovered in the 17 porA positive samples. For 27 specimens, we
286 sequenced the 23S rRNA gene and the results showed a 100% coincidence rate with our
287 assay (**Table S1**). Sequencing data demonstrated that 17 porA positive samples were
288 wild-type strains and no signals were detected in 10 porA negative samples.

289

290 **Discussion**

291 Antimicrobial resistance is the largest concern in the treatment of gonorrhea, with its
292 decreasing susceptibility to antibiotics used in previous or current treatment approaches
293 [5]. Treatment failures have been reported to the current first-line drug combination of
294 azithromycin and ceftriaxone [8, 9], and represent a significant treatment challenge to
295 clinicians. Rapid information regarding antimicrobial resistance would be beneficial to
296 rational drug use in the clinic and would slow this growing trend. Traditional
297 culture-based drug resistance methods have been widely used in the clinical laboratory
298 and are of great importance in antimicrobial resistance surveillance, although the testing
299 is time-consuming and is unable to meet clinical requirements rapidly [41]. Newly
300 NAATs-based assays may overcome some of the disadvantages of culture-based methods
301 and can be applied to identify antimicrobial resistance associated mutations
302 simultaneously. Current molecular methods to identify mutations are mainly based on
303 specially designed probes, HRM analysis, and mass spectrometry [13, 16, 17]. Compared
304 with culture-based antimicrobial resistance detection methods, these methods effectively
305 shorten assay times, but still present several limitations. If the alteration in gene has little
306 impact on T_m values or GC content, for example, C to G variations, the method would be
307 invalidated, moreover, short insertions or deletions may make the method unreliable [17,

308 42]. Furthermore, these assays are essentially PCR-based and require PCR amplification
309 instruments coupled with other detection equipment, which limits the convenience and
310 flexibility of the assay. Thus, the development of an assay with ultra-high resolution is
311 desired for distinguishing mutant from the wild-type.

312

313 CRISPR/Cas molecular diagnostics have been developed and applied for testing various
314 organisms, including SARS-CoV-2, HPV, Zika virus, Dengue virus, Ebola virus, and
315 plasmodium [20, 21, 37, 43, 44]. Benefiting from its high specificity, sensitivity, and
316 ability to identify SNP with the isothermal process, we have adopted a Cas13a-based
317 strategy for *N. gonorrhoeae* detection and antimicrobial resistance identification in this
318 study. The SHERLOCK contains two nucleic acid amplification steps: DNA
319 amplification by recombinase polymerase amplification and RNA amplification by T7
320 transcription. With double signal amplification cycles, this strategy allowed to detect low
321 levels of *N. gonorrhoeae*. Cross-reactivity is the major concern of currently developed
322 diagnostic methods [12]. Attempts have been made to introduce two targets to uniquely
323 identify a species, but this approach complicates the assay [13, 15-17]. The combination
324 of specially-designed RPA primers and crRNA makes the whole reaction extremely
325 specific. As expected, our assay exhibited high specificity in testing a panel of
326 non-gonococcal bacteria. In addition, our Cas13a-based assay showed an excellent

327 concordance rate with the Roche Cobas assay currently used for clinical urine samples.
328 With regard to SNPs detection, assay has been developed that exploits CRISPR/Cas13a
329 technology to recognize single point mutations [19, 36, 45]. Our Cas13a-based assay
330 achieved a sensitivity of 10 copies per reaction, which is more sensitive than previous
331 HRM-based assays [46]. The diagnostic capability of the Cas13a-based assay has also
332 been examined by testing clinical isolates harboring the SNP mutation. This isothermal
333 assay which relies on a reaction temperature of 37°C over the entire process without
334 complex equipment has a great potential to be applied as a POCT device.

335

336 Azithromycin is a widely used macrolide antimicrobial agent and primarily acts on
337 domain V of the 23S rRNA gene. Previous studies and our observation have revealed that
338 *N. gonorrhoeae* strains harboring A2059G and C2611T mutations in the 23s rRNA gene
339 are strongly associated with high-level azithromycin resistance [28, 32, 33, 47-49].
340 Sixteen *N. gonorrhoeae* isolates containing 23s rRNA mutations were utilized to evaluate
341 the performance of our assay. The results showed that our Cas13a-based assay could
342 provide drug resistance information in real-time. We also tested a small number of
343 urethral swabs collected from the clinic in Guangzhou, China. In porA-positive(17/27)
344 urethral swabs from patients with urethritis, no 23s rRNA mutant was identified, which is
345 consistent with previous reports, indicating that the high-level azithromycin-resistant *N.*

346 *gonorrhoeae* has not widely spread in Guangzhou, China [14, 16, 17]. Because of the
347 ongoing use of ceftriaxone and azithromycin dual therapy, the surveillance of 23s rRNA
348 mutation is still a requirement.

349

350 There are several limitations to our study. We only tested a small number of samples and
351 limited sources of clinical specimen. Cervical, anus, and pharynx specimens should be
352 considered in further study. Moreover, the cost of SHERLOCK is higher than
353 HRM-based method, though lower than most reported assays to date. In summary, we
354 developed a CRISPR/Cas13a-based assay for *N. gonorrhoeae* detection and azithromycin
355 resistance identification with great potential for providing drug resistance information to
356 assist clinical diagnosis and treatment.

357

358 **Acknowledgments**

359 This work was supported by grants from the Overseas Famous Teacher Project of
360 Guangdong Provincial Department of Science and Technology (No. 2020A1414010136),
361 Medical Science and Technology Research Foundation of Guangdong Province (No.
362 A2019010 and No. A2021139), Guangdong Traditional Chinese Medicine Research
363 Project (No. 20191230 and No. 20211277), Guangdong Provincial Medical Research

364 Fund (No. B2020149), Scientific Research Initiative Project of Southern Medical
365 University (Project of Youth Science and Technology Personnel Training, No.
366 PY2018N100), Key scientific research platforms and research projects of colleges and
367 universities in Guangdong Province (No. 2018KQNCX025). The funders had no role in
368 study design, data collection and analysis, decision to publish, or preparation of the
369 manuscript.

370

371 **Author contributions**

372 Conceived and designed the study: HL and HPZ. Collected samples: ZDM, XML, JJY,
373 JLO, QQX, and ZQF. Isolation of clinical strains: LHZ, YYP, QHX. Tested
374 Susceptibility to azithromycin: XML, XXL, and YWL. Performed the laboratory work:
375 HL. Analyzed the data: HL and WC. Wrote the initial draft of the paper: HL and WC.
376 Funding supported the study: XLQ, XML, and HPZ. All authors viewed and contributed
377 to the final paper.

378

379 **Competing interests**

380 The authors declare no interest of conflicts.

381

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533

534 **Figure legends**

535 **Fig. 1** Schematic overview of the SHERLOCK assay. Illustration of LwCas13a combined
536 with RPA for detection of *N. gonorrhoeae*. The target gene was amplified by RPA and
537 appended with the T7 promoter. The unpurified RPA product is added to the Cas13a
538 system. Once crRNA was matched the transcribed RNA target, Cas13a cleaves the RNA
539 reporter and the reporters emit a fluorescent signal.

540 **Fig. 2** Validation of the SHERLOCK assay for urine samples. The performance of
541 SHERLOCK assay in clinical urine samples compared to verification using the Roche
542 Cobas 4800 (NG/CT test).

543 **Fig. 3** Identification of the SHERLOCK assay for the identification of the A2059G point
544 mutation. Sixteen *N. gonorrhoeae* strains were tested to measure their MICs for
545 azithromycin and then assayed for 23S rRNA for 2059 and 2611 point mutations.
546 Extracted DNA was tested by SHERLOCK assay directly.

547 **Fig. 4** Evaluation of the SHERLOCK assay for C2611T point mutation detection. Sixteen
548 *N. gonorrhoeae* strains were evaluated to determine MICs of azithromycin and were then
549 assayed for 23S rRNA 2059 and 2611 point mutations. Extracted DNA was tested by
550 SHERLOCK assay directly.

551 **Fig. 5** The SHERLOCK assay using lateral flow readout for the detection of *N.*
552 *gonorrhoeae*. (A) Lateral flow readout system applied to the SHERLOCK assay for the
553 detection of the porA gene. (B) Lateral flow result for the detection of the A2059G point

554 mutation. (C) Lateral flow result for the detection of the C2611T point mutation.

555 **Fig. 6** Fluorescence intensity of *N. gonorrhoeae* SHERLOCK assay for the detection of
556 gonococcal urethritis. The performance of the CRISPR/Cas13a-based assay for using
557 urethral swab samples from patients with urethritis for identification of porA, A2059G,
558 and C2611T.

559 **Fig. S1** Schematic diagram illustrating the crRNA design for the detection A2059G and
560 C2611T. (A) crRNA for the identification of A2059G and one synthetic mismatches are
561 highlighted. (B) crRNA for the identification of C2611T; one synthetic mismatches are
562 highlighted in crRNA1 and two synthetic mismatches are highlighted in crRNA2. (C)
563 Fluorescence intensity of crRNA1 and crRNA2 for the detection of C2611T and
564 wild-type template (n=3 technical replicates). (D) The ratio of fluorescence intensity of
565 C2611T to WT.

566 **Fig. S2** Sensitivity and specificity of the SHERLOCK assay for the detection of porA,
567 A2059G, and C2611T. (A) Sensitivity of porA gene detection (n=3 technical replicates,
568 two-tailed Student t-test; ns, not significant; **, p < 0.01; ****, p < 0.0001; bars
569 represent mean ± SEM). (B) Specificity of porA detection (n=3 technical replicates). (C)
570 Sensitivity of A2059G detection (n=3 technical replicates, two-tailed Student t-test; ns,
571 not significant; ****, p < 0.0001; bars represent mean ± SEM). (D) Specificity of
572 A2059G detection (n=3 technical replicates). (E) Sensitivity of C2611T detection (n=3

573 technical replicates, two-tailed Student t-test; ns, not significant; ***, $p < 0.001$; ****, p
574 < 0.0001 ; bars represent mean \pm SEM). (F) Specificity of C2611T detection (n=3
575 technical replicates).

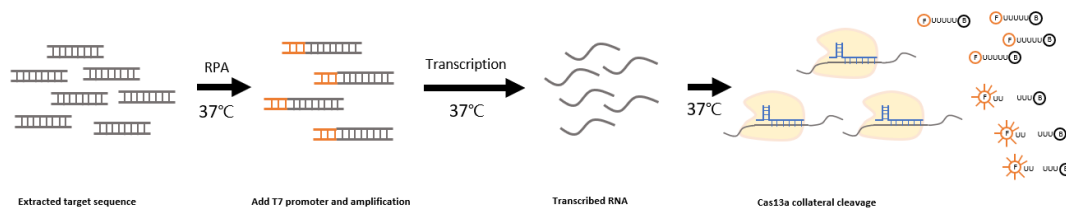
576 **Fig. S3** Fluorescence intensity relative to azithromycin resistance mutation strain
577 detection. (A) Fluorescence intensity of the SHERLOCK assay for the detection of 16
578 *Neisseria gonorrhoeae* strains (8 A2059G mutant strains and 8 wild-type strains) for
579 A2059G detection. (n=3 technical replicates, two-tailed Student t-test; ns, not significant;
580 *, $p < 0.05$, ***, $p < 0.001$; ****, $p < 0.0001$; bars represent mean \pm SEM). (B)
581 Fluorescence intensity of 16 *N. gonorrhoeae* strains (8 C2611T mutant strains and 8
582 wild-type strains) for C2611T detection. (n=3 technical replicates, two-tailed Student
583 t-test; ns, not significant; ****, $p < 0.0001$; bars represent mean \pm SEM)

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586 **Figure**

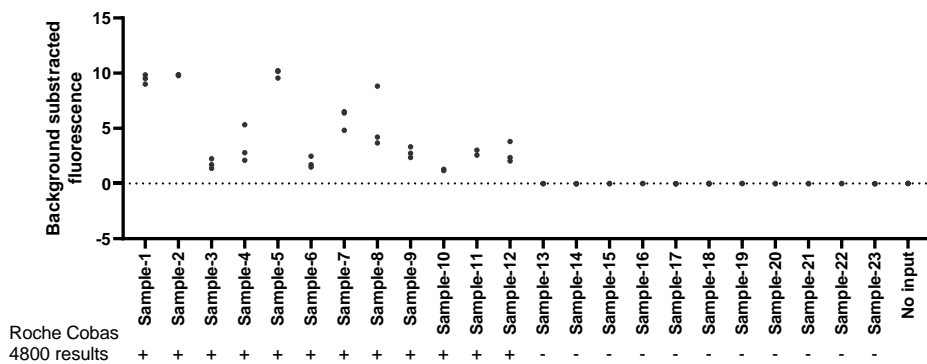
587 **Fig. 1** Schematic overview of the SHERLOCK assay.



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590 **Fig. 2** Validation of the SHERLOCK assay for urine samples.



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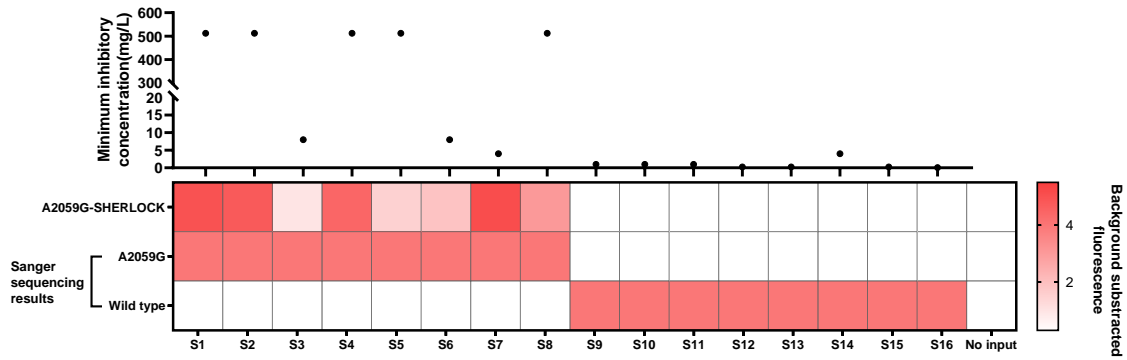
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597 **Fig. 3** Identification of the SHERLOCK assay for the identification of the A2059G point

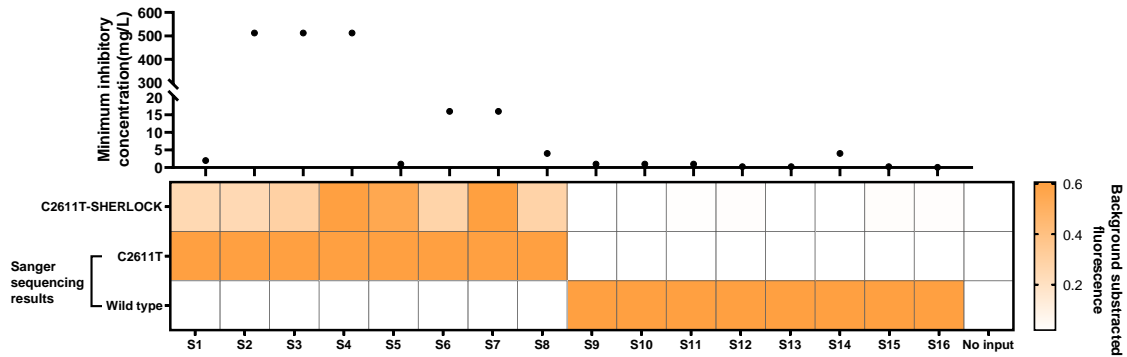
598 mutation.



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601 **Fig. 4** Evaluation of the SHERLOCK assay for C2611T point mutation detection.



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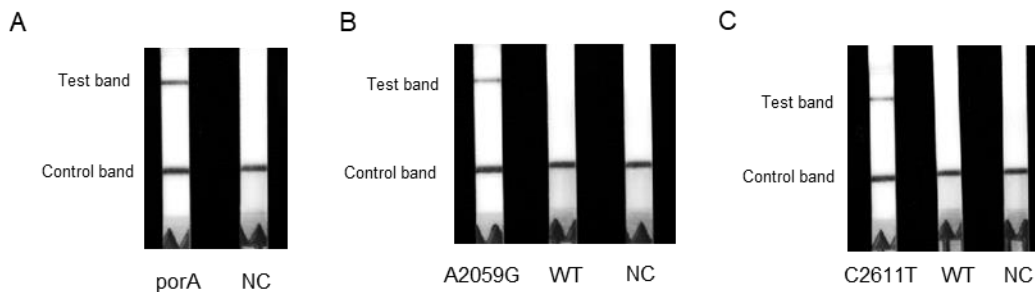
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607 **Fig. 5** The SHERLOCK assay using lateral flow readout for the detection of *N.*

608 *gonorrhoeae*.

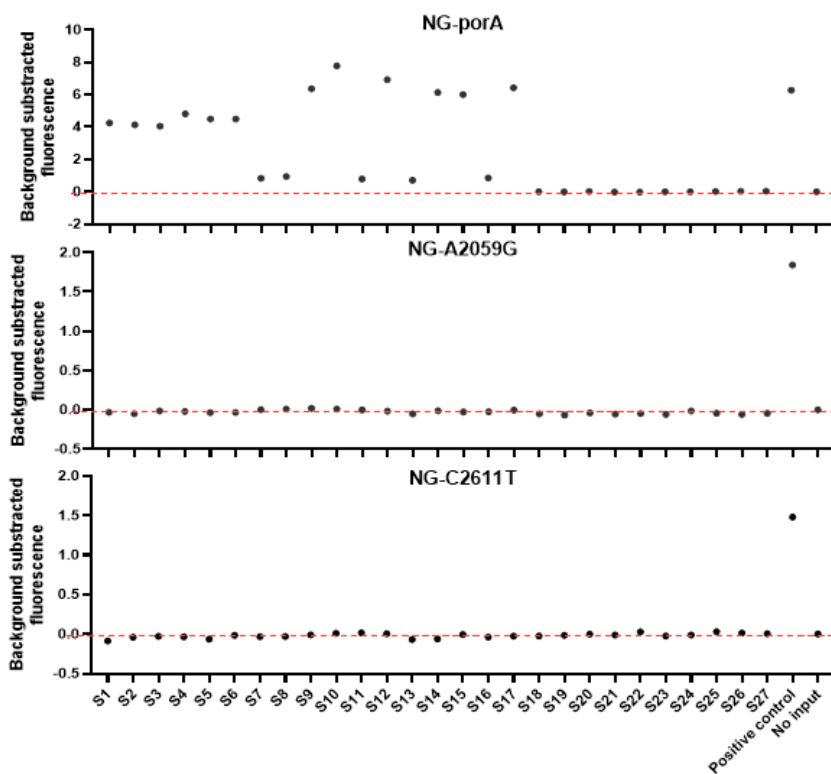


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611 **Fig. 6** Fluorescence intensity of *N. gonorrhoeae* SHERLOCK assay for the detection of

612 gonococcal urethritis.



613

614 **Table**

615 **TABLE 1** LODs of *porA* detection and 23S rRNA point mutation identification (copies

616 per reaction)

Assay	10 ⁴	10 ³	10 ²	10 ¹	10 ⁰	Wild type ^a
porA	3/3	3/3	3/3	3/3	0/3	N/A
A2059G	3/3	3/3	3/3	0/3	0/3	0/3
C2611T	3/3	3/3	3/3	3/3	0/3	0/3

617 ^aN/A, not applicable

618

619 **TABLE 2** Specificity of porA detection and 23S rRNA point mutation identification

Organism	Assay ^a		
	porA	A2059G	C2611T
porA	Pos	N/A	N/A
A2059G template	N/A	Pos	Neg
C2611T template	N/A	Neg	Pos
<i>Neisseria Meningitidis</i>	Neg	Neg	Neg
<i>Treponema pallidum</i>	Neg	Neg	Neg
<i>Herpes Simplex Virus-1</i>	Neg	Neg	Neg
<i>Herpes Simplex Virus-2</i>	Neg	Neg	Neg
<i>Human Papilloma Virus-2</i>	Neg	Neg	Neg
<i>Human Papilloma Virus-7</i>	Neg	Neg	Neg
<i>Candida Albicans</i>	Neg	Neg	Neg
<i>Trichomonas Vaginalis</i>	Neg	Neg	Neg
<i>Chlamydia Trachomatis</i>	Neg	Neg	Neg
<i>Ureaplasma Urealyticum</i>	Neg	Neg	Neg
<i>Mycoplasma Humanum</i>	Neg	Neg	Neg
<i>Escherichia Coli</i>	Neg	Neg	Neg
<i>Enterococcus Faecalis</i>	Neg	Neg	Neg

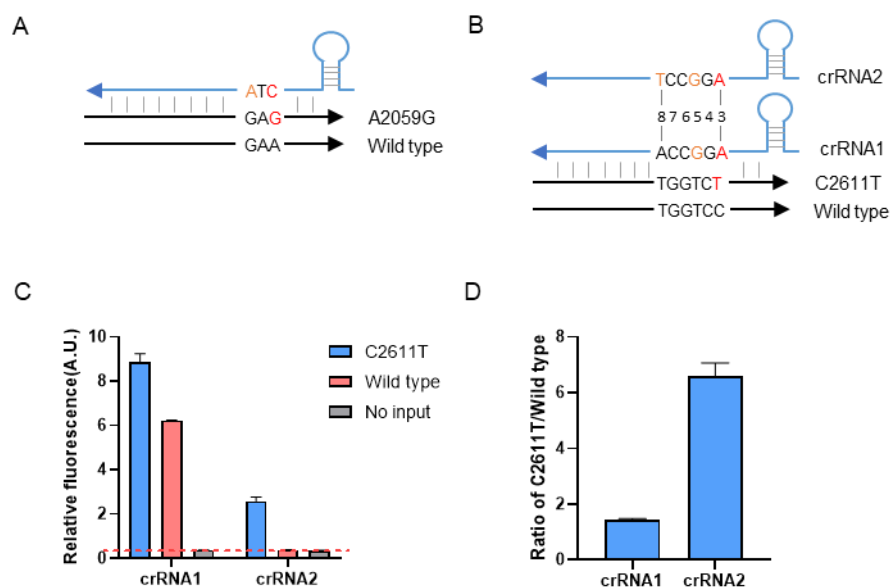
620 ^aPos, positive; Neg, negative; N/A, not applicable.

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622 **Supplementary Data**

623 **Figure**

624 **Fig. S1** Schematic diagram illustrating the crRNA design for the detection A2059G and
625 C2611T.



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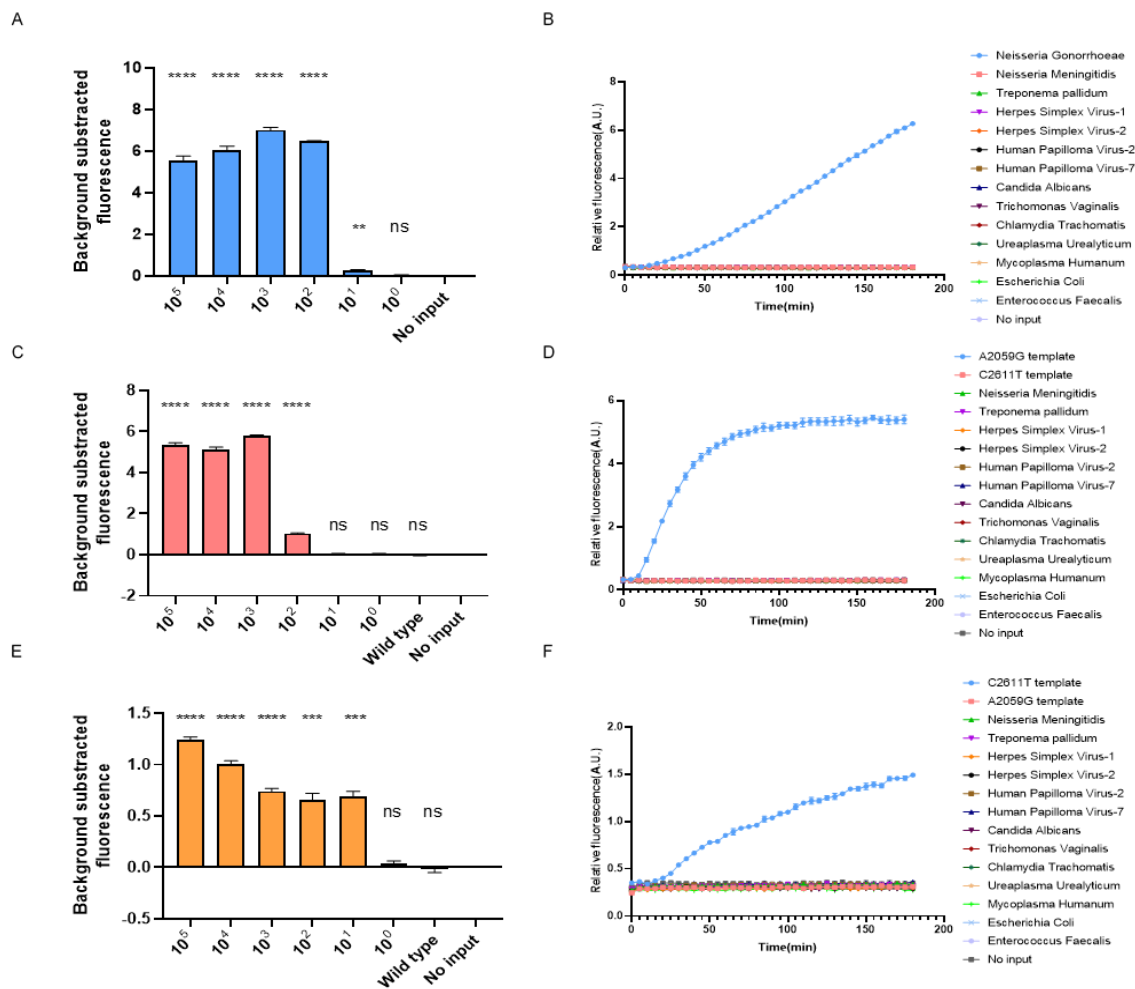
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631 **Fig. S2** Sensitivity and specificity of the SHERLOCK assay for the detection of porA,

632 A2059G, and C2611T.



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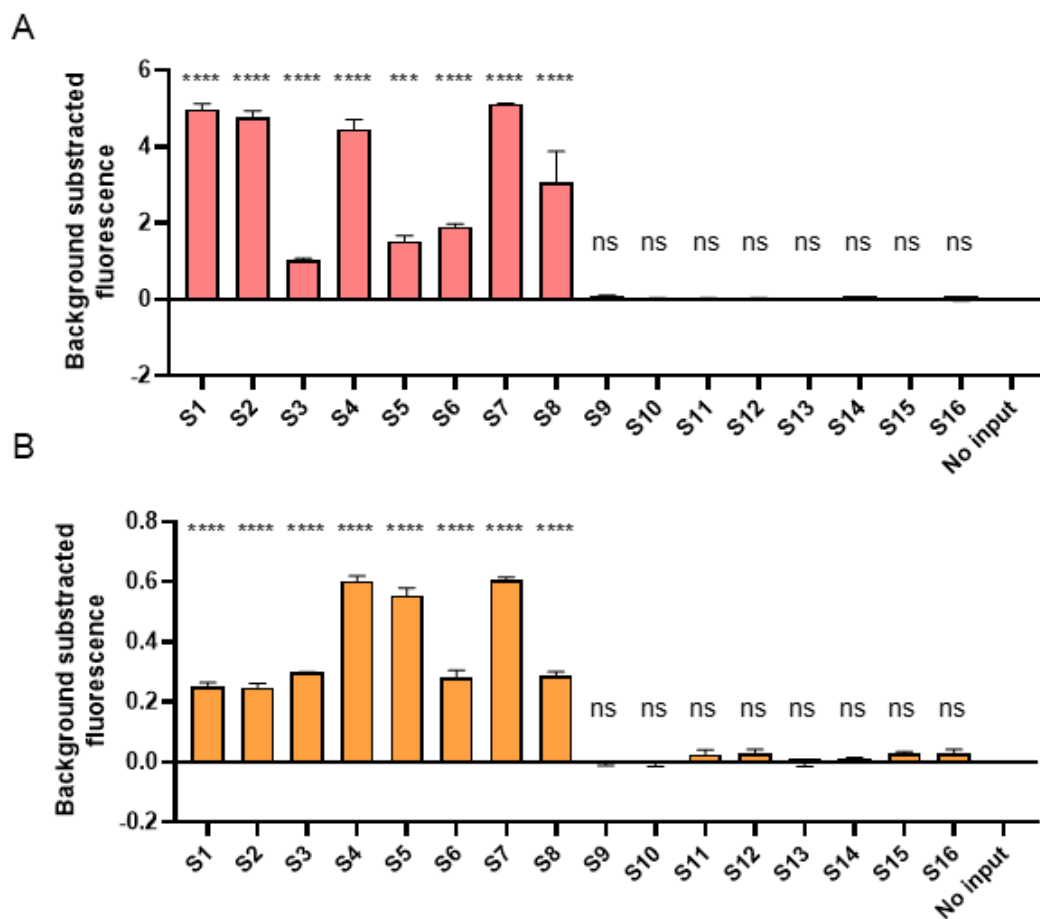
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638 **Fig. S3** Fluorescence intensity relative to azithromycin resistance mutation strain

639 detection.



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647 **Table**

648 **Table S1** Applying *Neisseria gonorrhoeae* SHERLOCK for detection of urethritis

Sample ID	Clinical diagnosis	Assay ^a			Sanger sequencing ^{a,b}
		poA	A2059G	C2611T	
S1	urethritis	Pos	Neg	Neg	WT
S2	urethritis	Pos	Neg	Neg	WT
S3	urethritis	Pos	Neg	Neg	WT
S4	urethritis	Pos	Neg	Neg	WT
S5	urethritis	Pos	Neg	Neg	WT
S6	urethritis	Pos	Neg	Neg	WT
S7	urethritis	Pos	Neg	Neg	WT
S8	urethritis	Pos	Neg	Neg	WT
S9	urethritis	Pos	Neg	Neg	WT
S10	urethritis	Pos	Neg	Neg	WT
S11	urethritis	Pos	Neg	Neg	WT
S12	urethritis	Pos	Neg	Neg	WT
S13	urethritis	Pos	Neg	Neg	WT
S14	urethritis	Pos	Neg	Neg	WT
S15	urethritis	Pos	Neg	Neg	WT
S16	urethritis	Pos	Neg	Neg	WT
S17	urethritis	Pos	Neg	Neg	WT
S18	urethritis	Neg	Neg	Neg	N/A
S19	urethritis	Neg	Neg	Neg	N/A
S20	urethritis	Neg	Neg	Neg	N/A
S21	urethritis	Neg	Neg	Neg	N/A
S22	urethritis	Neg	Neg	Neg	N/A
S23	urethritis	Neg	Neg	Neg	N/A
S24	urethritis	Neg	Neg	Neg	N/A
S25	urethritis	Neg	Neg	Neg	N/A
S26	urethritis	Neg	Neg	Neg	N/A
S27	urethritis	Neg	Neg	Neg	N/A

649 ^aPos, positive; Neg, negative; N/A, not applicable.

650 ^bWT, 23S rRNA wild type strain

651

652 **Table S2** Primer and crRNA sequences

Sequence ID	Target gene	Sequences (5'-3')	Application in this study
porA-RPA-F	porA	GAAATTAATACGACTCACTATAGGGCCGGAAGTGGTTTCATCTGATT	RPA
porA-RPA-R	porA	GATTTTCCGGTTTCAGCGGCAGCATTCAAT	RPA
porA-crRNA	porA	GATTTAGACTACCCCAAAAACGAAGGGGACTAAAACCCGAGTCAAACAGCAAGTCCGCCTATA	CRISPR/Cas 13a
A2059G-RPA-F	A2059G	GAAATTAATACGACTCACTATAGGGTCAGCGAAGTTGAAGTGGTTGTGAAGATGC	RPA
A2059G-RPA-R	A2059G	TACACAAGTGACTTCAAAGTCCAATGCAAAG	RPA
A2059G-crRNA A	A2059G	GATTTAGACTACCCCAAAAACGAAGGGGACTAAAACCTCTACGTCTAGCAGCGGGTAGATTG	CRISPR/Cas 13a
C2611T-RPA-F	C2611T	GAAATTAATACGACTCACTATAGGGCCCAAGGTATGGCTGTTCCGTCATTAAAG	RPA
C2611T-RPA-R	C2611T	TTACAACCGGTACACCAGAGGTTTCGTCCAC	RPA
C2611T-crRNA A-1	C2611T	GATTTAGACTACCCCAAAAACGAAGGGGACTAAAACAGAGGCCAAACTGTCTCACGACGTTT	CRISPR/Cas 13a
C2611T-crRNA A-2	C2611T	GATTTAGACTACCCCAAAAACGAAGGGGACTAAAACAGAGGCCAAACTGTCTCACGACGTTT	CRISPR/Cas 13a
porA-F	porA	ATTCAGACCGGCATAATACACATCC	PCR
porA-R	porA	TAATGTGGCTTCGCAATTGGGT	PCR
A2059G-F	A2059G	GTGCCGGAAGGTTAATTGAA	PCR
A2059G-R	A2059G	CAGGGTGGTATTCAAGGAC	PCR
C2611T-F	C2611T	CTGCGAGACCGACAAGTC	PCR
C2611T-R	C2611T	GTCTCGAACGACCCTTTAG	PCR
23SrRNA-F	23SrRNA	ACGAATGGCGTAACGATGGCCACA	Sequencing ¹
23SrRNA-R	23SrRNA	TTCGTCCACTCCGGTCTCTCGTA	Sequencing ¹

653 **1.** Ng LK, Martin I, Liu G, Bryden L. Mutation in 23S rRNA associated with macrolide

654 resistance in *Neisseria gonorrhoeae*. *Antimicrob Agents Chemother.* 2002

655 Sep;46(9):3020-5

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