

Antibiotic breakdown by susceptible bacteria enhances the establishment of β -lactam resistant mutants

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10 **evolution**

11 **Abstract**

12 For a better understanding of the evolution of antibiotic resistance, it is imperative to study the
13 factors that determine the initial establishment of mutant resistance alleles. In addition to the
14 antibiotic concentration, the establishment of resistance alleles may be affected by interactions with
15 the surrounding susceptible cells from which they derive, for instance via the release of nutrients or
16 removal of the antibiotic. Here, we investigate the effects of social interactions with surrounding
17 susceptible cells on the establishment of *Escherichia coli* mutants with increasing β -lactamase
18 activity (i.e. the capacity to hydrolyze β -lactam antibiotics) from single cells under the exposure of
19 the antibiotic cefotaxime on agar plates. We find that mutant establishment probability is increased in
20 the presence of susceptible cells due to the active breakdown of the antibiotic, but the rate of
21 breakdown by the susceptible strain is much higher than expected based on its low enzymatic
22 activity. A detailed theoretical model suggests that this observation can be explained by cell
23 filamentation causing delayed lysis. While susceptible cells may hamper the spread of higher-
24 resistant β -lactamase mutants at relatively high frequencies, our findings show that they could
25 promote establishment during their emergence.

26

27 **1 Introduction**

28 Antibiotic resistance has become a worldwide concern, causing 700,000 deaths annually due to failed
29 treatments (IACG, 2019). As the development of novel drugs does not appear to be promising in the
30 long-term, other strategies of slowing or halting the emergence of antibiotic resistance have been
31 explored, including diverse prevention strategies (e.g. rational use of antibiotics and infection
32 control), the use of biologics or adjuvants to disturb quorum sensing of resistant bacteria, the use of
33 bacteriophages (reviewed in Aslam et al., 2018) and the exploitation of the evolutionary potential and
34 interactions of resistance to develop new treatment strategies (Schenk et al., 2012; Palmer and
35 Kishony, 2013; Baym et al., 2016; Furusawa et al., 2018).

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36 For a better understanding of the evolution of antibiotic resistance, it is not only important to study
37 the spread of resistant bacteria, but also the factors that determine the emergence of new resistance
38 alleles in the first place (Alexander and MacLean, 2020). Resistance alleles can be acquired via
39 mutation or horizontal gene transfer (Palmer and Kishony, 2013; Blair et al., 2015). In either case,
40 the resistant allele is initially present at a very low frequency and thus prone to random extinction.
41 Population genetics theory predicts that only when an allele has survived extinction by genetic drift
42 and reaches an absolute frequency roughly equal to the inverse of its selection coefficient, its course
43 becomes dominated by selection in an environment where it is beneficial (Haldane, 1927; Patwa and
44 Wahl, 2008). Mutations that have survived genetic drift are often called “established” (Levy et al.,
45 2015; Alexander and MacLean, 2020), although they may still be driven to extinction by the
46 competition with other mutants (Gerrish and Lenski, 1998; Rozen et al., 2002). The few existing
47 empirical studies on the establishment of rare alleles have shown that this stochastic process of “drift
48 loss” is influenced by the initial allele frequency and the fitness benefit of the allele (Chelo et al.,
49 2013; Gifford et al., 2013).

50 Only a handful of studies so far have systematically investigated the establishment of single bacterial
51 cells expressing an antibiotic-resistant allele. Those studies found that the probability of a resistant
52 mutant to establish depends on the type and concentration of nutrients (Coates et al., 2018) and
53 antibiotics (Coates et al., 2018; Alexander and MacLean, 2020). Alexander and MacLean (2020)
54 found that the establishment probability of a single streptomycin-resistant *Pseudomonas aeruginosa*
55 cell was only 5% at a concentration as low as 1/8 of the strains' minimum inhibitory concentration
56 (MIC) in the absence of a wildtype population. Interestingly, when the same mutant was introduced
57 into a large population of wildtype cells, its establishment was strongly increased under the same
58 streptomycin concentrations compared to the absence of the wildtype. The authors speculated that
59 this effect was due to the removal of the antibiotic from the environment via binding to wildtype cell
60 components (Alexander and MacLean, 2020).

61 Determining factors that influence the establishment of antibiotic-resistance alleles could have
62 implications in a clinical context, for instance by adjusting drug dosing strategies to a point where the
63 establishment of *de novo* mutations is strongly reduced (Alexander and MacLean, 2020). For this,
64 interactions between newly arising resistance alleles and nearby sensitive cells must be understood
65 better. Apart from drug removal by binding to cellular components, other common goods, such as
66 enzymes causing antibiotic hydrolysis or the release of nutrients from killed cells, may play a role. A
67 good model system to study such social interactions is TEM-1 β -lactamase. This enzyme is expressed
68 in the periplasmic space of the bacterial cell wall, where it hydrolyses β -lactam antibiotics such as
69 penicillins. This leads to a reduction of the antibiotic concentration in the environment and may
70 support the growth of susceptible cells (Brown et al., 2009; Medaney et al., 2016; Nicoloff and
71 Andersson, 2016). Such cooperative behavior is expected to be more pronounced in structured
72 environments, such as biofilms, than in unstructured ones, due to stronger effects of enzymatic
73 breakdown on local antibiotic concentrations (Medaney et al., 2016; Rojo-Molinero et al., 2019). If
74 and to what extent such interactions affect the establishment of β -lactamase mutants conferring
75 higher resistance, is unknown.

76 Here, we tested the effect of relatively antibiotic-susceptible cells on the establishment of higher-
77 resistance TEM-1 β -lactamase alleles. In particular, we distinguished between possible effects of
78 increased nutrient availability due to cell lysis and drug removal via β -lactamase activity or binding
79 to cellular components. We used the β -lactam antibiotic cefotaxime (CTX) and different strains of
80 the bacterium *Escherichia coli*, expressing β -lactamase alleles with varying activity against CTX. We
81 simulated the establishment process of CTX-resistant *E. coli* by introducing a low number of mutant
82 cells into populations of more susceptible cells on CTX-containing agar plates. Our results revealed

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83 substantial increases in mutant establishment probability by susceptible cells. By using heat-killed
 84 susceptible cells and measuring the CTX-reducing capacity of mutants compared to the wildtype, we
 85 distinguished between the effects from nutrient addition by cell lysis and antibiotic removal via either
 86 CTX binding or hydrolysis on mutant establishment. Lastly, to understand an unexpectedly large
 87 effect on mutant establishment by the ancestral TEM-1 strain, we used a detailed dynamical model.
 88 This model suggests that certain population dynamic parameters, such as cell filamentation and β -
 89 lactamase synthesis rate, may have played a crucial role during the establishment of the higher-
 90 resistance mutants.

91 2 Material and Methods

92 2.1 Strains and culture conditions

93 Several strains, derived from *Escherichia coli* strain MG1655 were used for the experiments. This
 94 strain had previously been modified with either a YFP (yellow fluorescent protein) or a BFP (blue
 95 fluorescent protein) chromosomal marker cassette, containing a resistance gene for chloramphenicol
 96 (Gullberg et al., 2014). For the current study, the chloramphenicol resistance was removed to serve as
 97 the Wildtype strain (Table 1). Into each of the two fluorescently-marked Wildtype strains, each of
 98 four TEM variants was inserted into the *galK* locus: TEM-1 (from now on referred to as “Ancestral”
 99 strain), and three mutant alleles with either 1, 2 or 3 point mutations in the TEM gene (from now on
 100 referred to as “Single mutant”, “Double mutant” and “Triple mutant”, respectively; cf. Table 1). All
 101 TEM loci are under the control of the *lacI* repressor and are expressed by adding 50 μ M Isopropyl β -
 102 D-1-thiogalactopyranoside (IPTG) to the growth medium. While the TEM-1 allele confers very low
 103 activity towards CTX, the three mutants show increasing CTX resistance with each additional
 104 substitution. The minimum inhibitory concentration (MIC) for CTX was determined per strain in
 105 duplicates (for each of the YFP and BFP variants), using 2-fold increases in CTX concentration in
 106 microtiter plates filled with 200 μ l M9 minimal medium (containing 0.4% glucose, 0.2%
 107 casaminoacids, 2 μ g/ml uracil and 1 μ g/ml thiamine) and 50 μ M IPTG, inoculated with 10^5 cells and
 108 incubated for 24h at 37°C (cf. Table 1).

109 For setting up the experiments, all strains were grown overnight at 37°C and 250 rpm in 1-2 ml M9
 110 medium. The cultures were either directly inoculated from the -80°C glycerol stocks or first streaked
 111 out on LB (Luria-Bertani) agar plates from which a single colony was picked into the liquid M9
 112 medium. After overnight incubation, the cultures were serially diluted with phosphate-buffered saline
 113 (PBS) to the density needed for the particular experiment (see below). For the *Interaction*
 114 *experiments* on agar, the diluted cultures (or culture mixtures) were spread on 92 mm plates
 115 containing M9 medium (as above) with 1.5 % agar, 50 μ M IPTG and the respective CTX
 116 concentration as specified by the particular experiment (see below).

117 **Table 1:** Overview of the used strains.

Wildtype strain (without TEM allele*)	TEM amino acid substitutions	MIC for CTX (μ g/ml)	Strain name (as used here)
MG1655 DA28100	none (TEM-1)	0.08	TEM-1 Ancestor
galK::YFP Δ CAT-	G238S	0.64	Single mutant
or	E104K/G238S	10.24	Double mutant
galK::BFP Δ CAT-	E104K/M182T/G238S	81.92	Triple mutant

118 MIC = minimum inhibitory concentration; *MIC of the Wildtype strain for CTX = 0.04 μ g/ml

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119 2.2 Interaction experiment 1

120 First, we tested whether the previous observation that the establishment of an antibiotic-resistant
121 mutant increases in the presence of susceptible cells (Alexander and MacLean, 2020), also applies to
122 our TEM-1 β -lactamase system. For this, roughly 150 cells each of the three *E. coli* TEM mutants
123 (Table 1) were introduced into populations of bacteria expressing the ancestral TEM-1 allele. All
124 three mutants were plated alone or together with two densities of Ancestor cells under two CTX
125 concentrations on M9 agar plates. The two tested CTX concentrations differed per mutant and were
126 chosen to show single-cell establishment probabilities of about 50% and 80% compared to no CTX
127 (Saebelfeld et al., bioRxiv preprint 2021). Overnight cultures of the mutants were serially diluted to
128 approximately 3×10^3 cells/ml, and the TEM-1 Ancestor overnight culture was serially diluted to
129 2×10^7 cells/ml and 2×10^4 cells/ml. The mutant dilutions were then mixed 1:1 with either PBS or each
130 of the two Ancestor density dilutions. Of those mixtures, 100 μ l aliquots were spread on M9 plates
131 containing the respective CTX concentrations (15 replicates per condition), using a bacterial
132 spreader, resulting in ~ 150 cells of the respective mutant strain plated with either 0, $\sim 10^3$, or $\sim 10^6$
133 Ancestor cells. All used CTX concentrations were above the Ancestor MIC, allowing only for the
134 mutant cells to grow into colonies. The plates were incubated at 37°C until the mutant colonies were
135 big enough to count them unambiguously (20h-40h); the number of colony-forming units (CFUs) per
136 plate was counted under white light, using a digital colony counter. To ensure that all counted
137 colonies were indeed mutants, the BFP-expressing Ancestor strain, and the YFP-expressing mutant
138 strains were used for this experiment. Within the lowest CTX concentration treatment for the Single
139 mutant, one replicate of each tested Ancestor density was excluded from the dataset, as overlapping
140 colonies prevented unambiguous CFU counts. After colony counting, all plates were checked under a
141 fluorescence microscope (LEICA M165 FC), using GFP and CFP filters for detecting the YFP and
142 BFP signals, respectively. For the Single mutant, a single colony containing the BFP Ancestor
143 fluorophore was found within the 10^6 ancestral cells treatment in each one replicate of the two tested
144 CTX concentrations. These two colonies were subtracted from the CFU counts.

145 2.3 Interaction experiment 2

146 To test whether nutrient release from lysed cells and/or enzymatic breakdown of CTX could explain
147 the observed positive effect on mutant establishment probability, we conducted a second plating
148 experiment where approximately 150 Triple mutant cells were introduced into populations of $\sim 10^6$
149 cells of either the TEM-1 Ancestor or the TEM Single mutant (with ~ 130 -fold higher catalytic
150 efficiency against CTX relative to TEM-1, see Salverda et al., 2011) under the same two CTX
151 concentrations as in the *Interaction experiment 1*. In addition, the Triple mutant was introduced into
152 populations of $\sim 10^6$ cells of heat-killed Ancestor or heat-killed Single mutant cells, to test whether
153 nutrient addition from cell lysis alone could explain the observed pattern.

154 To set up the experiment, half of the overnight culture of each of the YFP TEM-1 Ancestor and YFP
155 Single mutant was heat-killed via incubation at 80°C for 3 hours and then put on ice for 20 minutes.
156 An overnight culture of the BFP Triple mutant was serially diluted to 3×10^3 cells/ml, and the alive
157 and heat-killed overnight cultures of the YFP Ancestor and YFP Single mutant were diluted to 2×10^7
158 cells/ml. The Triple mutant dilution was then mixed 1:1 with either PBS or the dilutions of alive or
159 heat-killed Ancestor or alive Single mutant strains. 100 μ l aliquots of these mixtures were then
160 spread on M9 plates containing 1.44 or 1.76 μ g/ml CTX (i.e. the same concentrations as used for the
161 Triple mutant in the first interaction experiment; equivalent to 0.018 and 0.021% of the Triple
162 mutant's MIC), using 3 mm glass beads. As for the previous experiment, the used CTX
163 concentrations were higher than the Ancestor and Single mutant MICs (see Table 1), so that only
164 Triple mutant cells were expected to form colonies. The plates were incubated at 37°C until the

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165 colonies were big enough to count them unambiguously (16-20 h); the number of colony-forming
166 units (CFUs) per plate was counted under white light, using a digital colony counter. To ensure that
167 the counted colonies were Triple mutants, a fluorescence microscope was used as described above.
168 No colonies expressing the YFP fluorophore (i.e. used for the Ancestor and Single mutant strains)
169 were found. For each tested condition, 15 replicates were set up. To estimate the added number of
170 cells per strain, the dilution containing only the Triple mutant was spread on 15 M9 plates without
171 CTX. The alive Ancestor and alive Single mutant cell dilutions were further diluted to 10^3 cells/ml;
172 200, 100 and 50 μ l aliquots of these dilutions were spread on three LB agar plates each. All plates
173 were incubated overnight at 37°C. Colony counts of the alive Ancestor and Single mutant cells
174 showed that the initially added numbers in the experimental setup were comparable with 1.05×10^6
175 and 1.01×10^6 cells for each strain, respectively.

176 2.4 Bioassay

177 The antibiotic removal capacity of three strains was tested in a bioassay: the YFP TEM-1 Ancestor
178 and TEM Single mutant, as used in the *Interaction experiment 2*, and in addition the YFP Wildtype
179 strain (Table 1). This Wildtype strain does not contain a TEM allele and thus does not display any β -
180 lactamase activity, but is otherwise genetically identical to both the TEM-1 Ancestor and Single
181 mutant strains. This means that it contains the same number of penicillin-binding proteins (PBPs),
182 which is the main target for CTX and thus controls for the difference of CTX removal via breakdown
183 and target binding.

184 Overnight cultures of each strain were diluted 1:10 into 1 ml M9 medium, containing 50 μ M IPTG
185 and 1.76 μ g/ml CTX (i.e. the highest tested concentration from the agar experiments) in three
186 replicate tubes. In addition, heat-killed cells (as described above) of each strain were inoculated the
187 same way. To control for spontaneous CTX breakdown under the tested conditions, three tubes were
188 incubated in the absence of bacterial cells (CTX-only medium controls). For this, the M9 medium
189 with CTX was mock-inoculated 1:10 with the M9 medium that was used for the overnight cultures
190 the day before. All tubes were incubated for three hours at 37°C and 250 rpm. Although the CTX
191 concentration was at least three-fold higher than the MIC of the strains, all alive cultures showed
192 growth after the 3-hours incubation. This is likely to be attributed to an inoculum effect (Queenan et
193 al., 2004), i.e. the increase of MIC with increasing inoculum size. The MIC is commonly tested at
194 5×10^5 cells/ml while in our setup, the cultures contained densities of about 2×10^8 cells/ml. We
195 estimate the final density of the cultures to be $\sim 10^9$ cells/ml after the 3-hours incubation.

196 After incubation, all tubes were centrifuged for 1 minute at 4000 rpm. The supernatant was sterilized
197 through a 0.2 μ m filter and used for setting up MIC assays. For this, the supernatant of each test tube
198 was diluted in 1.35-fold steps with fresh M9 medium, containing 50 μ M IPTG. In total, 11 putative
199 CTX concentrations (i.e. assuming no removal) ranging from 0.04 to 0.79 μ g/ml were tested per
200 culture in 96-well plates. All wells were inoculated with $\sim 10^5$ cells of the YFP TEM-1 Ancestor
201 strain in a total volume of 200 μ l per well. Growth of this strain in higher putative CTX
202 concentrations would indicate the removal of CTX from the medium during the initial 3-hour culture
203 incubation. Controls included (i) a filtration control: per culture, undiluted supernatant was mock-
204 inoculated with M9_{IPTG50} medium, i.e. without bacterial cells to control for removal of alive cells
205 after filtration; (ii) a growth control: M9_{IPTG50} medium without CTX was inoculated with $\sim 10^5$
206 Ancestor cells; and (iii) a blank medium control consisting of M9_{IPTG50} medium only. The 96-well
207 plates were incubated for 18 hours at 37°C (static). After incubation, OD at 600 nm of all wells was
208 measured in a plate reader (Victor3™, PerkinElmer) without the plate lid. None of the supernatant or
209 blank medium controls showed growth. The threshold to determine growth was set to an OD of 0.1.
210 MICs were determined as the highest putative CTX concentration that did not show growth. To

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211 determine the amount of CTX removal from the initial cultures, the putative MIC per replicate was
212 corrected for its dilution factor and the average MIC of the CTX-only medium controls. The resulting
213 concentrations were then averaged across the three replicates per treatment.

214 **2.5 Statistical analyses of the Interaction experiments**

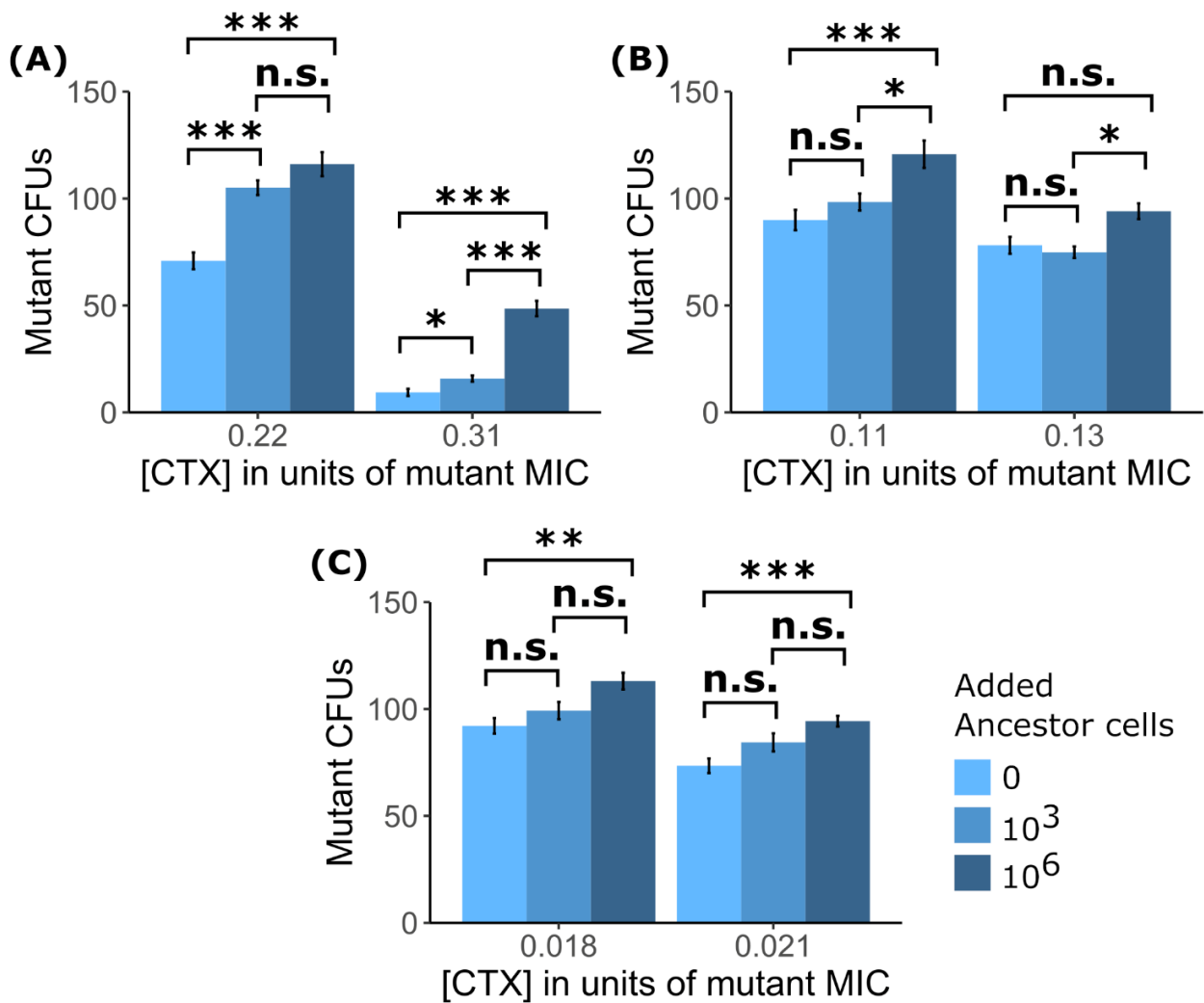
215 To test for a significant effect of the respective treatment on the number of mutant colony-forming
216 units (CFUs), two-way ANOVAs were performed. For the analysis of *Interaction experiment 1*, the
217 CFU counts were square-root transformed and the effects of CTX concentration and added number of
218 Ancestor cells were included as fixed factors, followed by a Tukey's HSD test. For the analysis of
219 *Interaction experiment 2*, the effects of CTX concentration and background population were included
220 as fixed factors after checking for homogeneous variances of the residuals and normal distribution of
221 the data, followed by a Tukey's HSD test. All statistical analyses were conducted in R v.3.6.2 (R
222 Core Team, 2019) with the *car* package (Fox and Weisberg, 2019) to perform Levene tests; Figures 1
223 and 2 were produced using the package *ggplot2* (Wickham, 2016).

224 **3 Results**

225 **3.1 Susceptible cells facilitate the establishment of β -lactamase mutants**

226 In a first plating experiment, we tested whether the presence of TEM-1 Ancestor cells affected the
227 establishment of three TEM mutants with increasing CTX resistance at two CTX concentrations.
228 Generally, the presence of ancestral cells increased the number of established mutant cells of all three
229 tested mutants (Figure 1), although this difference was not significant for all tested treatment
230 comparisons (Supplement 1: Table S1). Moreover, establishment probability increased with
231 Ancestral cell density under all conditions (Figure 1).

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232
 233 **Figure 1:** The establishment of single cells into visible colonies of the three tested TEM mutants is
 234 shown as counts of colony-forming units (CFUs) of (A) the Single mutant, (B) the Double mutant
 235 and (C) the Triple mutant under exposure to two CTX concentrations (shown as a fraction of each
 236 strain's minimum inhibitory concentration – MIC) in either the absence of TEM-1 Ancestor cells or
 237 the presence of Ancestor cells at two different densities. Significance levels based on Tukey's HSD
 238 tests are shown within CTX concentrations, between the number of added Ancestor cells after
 239 running two-way ANOVA's over the whole dataset per mutant strain (cf. Supplement 1: Table S1).
 240 n.s. = not significant, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

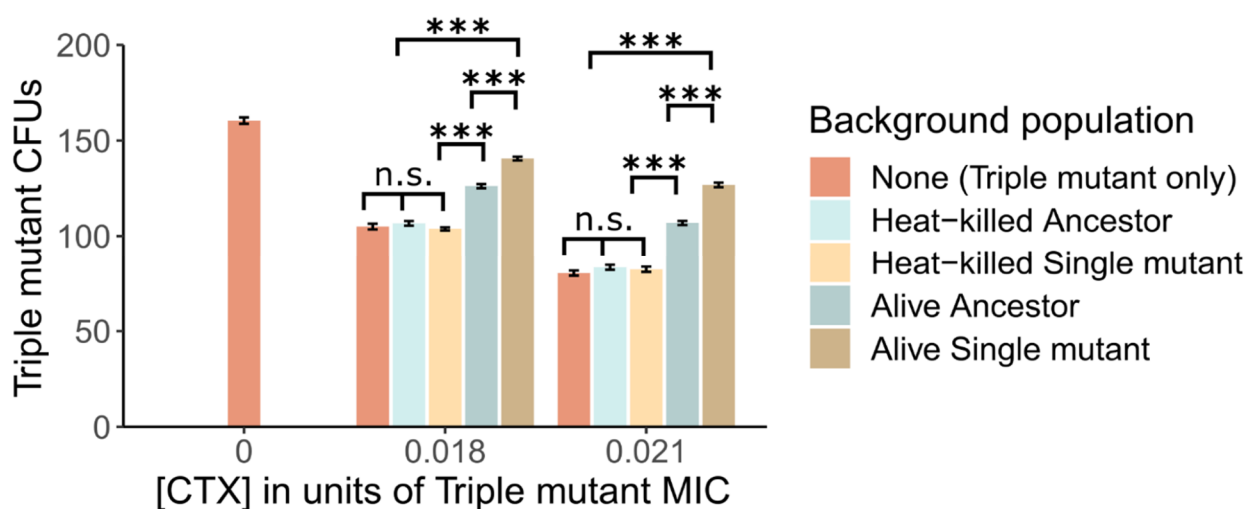
241

242 3.2 Mutant establishment is particularly affected by live cells expressing active β -lactamase

243 In a subsequent plating experiment, we tested whether nutrient access via lysed cells or CTX removal
 244 via enzymatic breakdown explains the positive effect of susceptible bacteria on the establishment of
 245 the resistant mutants. To do so, the Triple mutant was introduced into populations of either heat-
 246 killed or alive TEM-1 Ancestor or Single mutant cells under two CTX concentrations. When plated
 247 alone, the fraction of established Triple mutant cells, determined as colony-forming units (CFUs),
 248 decreased by approximately 34% and 50% in the low and high CTX concentrations compared to no
 249 CTX, respectively (Figure 2). The addition of heat-killed TEM-1 Ancestor or TEM Single mutant

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250 cells did not affect the establishment of the Triple mutant at either CTX concentration (Figure 2;
251 Supplement 2: Table S2). However, the addition of alive Ancestor cells significantly increased the
252 establishment of the Triple mutant under both CTX concentrations. This increase was further
253 pronounced by the presence of alive Single mutant cells (Figure 2; Supplement 2: Table S2),
254 suggesting an important role for enzymatic breakdown.



255 **Figure 2:** The establishment of Triple mutant cells is shown as counts of colony-forming units
256 (CFUs) under the exposure of two CTX concentrations (shown as a fraction of the Triple mutant's
257 minimum inhibitory concentration – MIC), in the absence and presence of a “Background
258 population”: 10^6 alive or heat-killed TEM-1 Ancestor cells, and alive or heat-killed TEM Single
259 mutant cells. In addition, the number of Triple mutant CFUs is shown in the absence of CTX.
260 Significance levels based on Tukey's HSD tests are shown within CTX concentrations, between the
261 type of Background population after running two-way ANOVA's over the whole dataset (cf.
262 Supplement S2: Table S2). n.s. = not significant, *** $p < 0.001$.
263

264

265 3.3 Test of CTX removal via target binding or breakdown

266 To confirm whether the increased establishment probability of the TEM Triple mutant in the
267 presence of alive cells (Figure 2) is due to CTX removal, and examine the contribution of CTX
268 binding and hydrolysis during this process, we performed a bioassay to estimate CTX removal for the
269 Wildtype (no TEM), the TEM-1 Ancestor and the TEM Single mutant strains. We estimated the CTX
270 removal rate of the three strains by culturing alive and heat-killed cells of each strain in medium with
271 a supra-MIC CTX concentration for three hours and then using the cell-free medium in a standard
272 MIC assay with the TEM-1 Ancestral strain (see Methods). Results show that the alive TEM-1
273 Ancestor and TEM Single mutant removed 0.29 and 0.43 $\mu\text{g/ml}$ CTX (from the initial 1.79 $\mu\text{g/ml}$)
274 from the liquid medium, respectively. Neither the Wildtype strain without the TEM allele nor the
275 heat-killed mutants showed a decrease in CTX concentration (Table 2), supporting a significant role
276 only for the β -lactamase in CTX removal.

277

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278 **Table 2:** CTX removal from liquid medium in the bioassay by strains expressing different β -lactamase
279 alleles or no β -lactamase, after 3 hours of incubation.

Strain	Culture condition	CTX removal (in $\mu\text{g/ml}$)
TEM-1 Ancestor (low β -lactamase activity)	Alive cells	0.29
	Heat-killed cells	0
TEM Single mutant (moderate β -lactamase activity)	Alive cells	0.43
	Heat-killed cells	0
Wildtype (no β -lactamase activity)	Alive cells	0
	Heat-killed cells	0

280

281 3.4 Modeling the dynamic interactions between resistant and susceptible strains

282 Motivated by the observation that only strains expressing TEM β -lactamase reduce the concentration
283 of CTX, we attempted to predict the amount of CTX removal from the agar medium in the
284 experiment described above (Figure 2). In related work (Saebelfeld et al., bioRxiv preprint 2021), we
285 obtained an estimate of the establishment probability of the Triple mutant grown alone on agar under
286 similar conditions as a continuous function of CTX concentration through curve-fitting on a larger
287 data set (Supplement 2: Figure S1). If we assume that the increased establishment probability in the
288 presence of other cells in the experiment presented in Figure 2 is solely due to CTX breakdown, we
289 can use the establishment probabilities to estimate the CTX reduction by finding the point on the
290 curve corresponding to the increased establishment probability and its corresponding concentration
291 (cf. Supplement 2: Figure S1). Using this, we estimate that the TEM-1 Ancestor reduces the
292 concentration by about 0.06 $\mu\text{g/ml}$ at 1.44 $\mu\text{g/ml}$ CTX (4% reduction), and by about 0.25 $\mu\text{g/ml}$ at
293 1.76 $\mu\text{g/ml}$ CTX (14% reduction), whereas the Single mutant reduces the concentration by about 0.21
294 $\mu\text{g/ml}$ at 1.44 $\mu\text{g/ml}$ CTX (15% reduction), and by about 0.39 $\mu\text{g/ml}$ at 1.76 $\mu\text{g/ml}$ CTX (22%
295 reduction). These estimates are subject to large uncertainties but appear nevertheless to be roughly
296 consistent with the results of the bioassay. However, our estimates also indicate that the CTX
297 reduction by the Ancestral strain is surprisingly high, considering its approximate 130-fold lower
298 catalytic efficiency relative to the Single mutant.

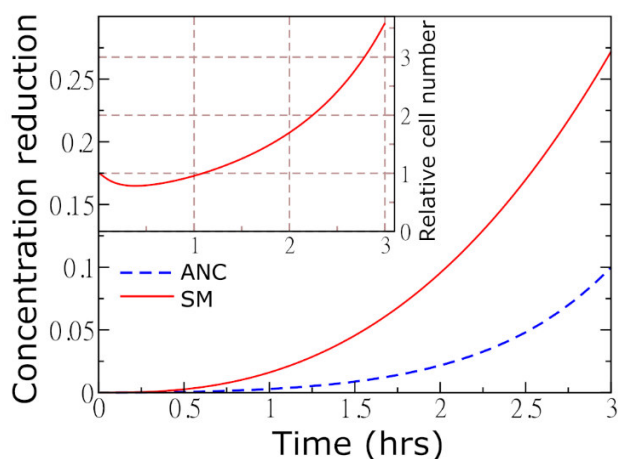
299 To explain the unexpectedly high antibiotic removal by the Ancestor, we used a modelling approach.
300 We begin with considering the difference in catalytic efficiency (k_{cat}/K_M) of the Ancestor and
301 Single mutant TEM variants. Based on reported estimates for both variants (Salverda et al., 2011)
302 and assuming constant conditions, we predict a net reduction of CTX from the medium of 0.06% for
303 the TEM-1 Ancestor and 7.5% for the Single mutant (Supplement 3). While the prediction for the
304 Single mutant can be considered roughly consistent with our experimental finding (given the crude
305 nature of the estimate and the data), the prediction for the Ancestor is two orders of magnitude
306 smaller and inconsistent with its observed only one-third smaller reduction (Table 2). Indeed, given
307 that TEM-1 has very low activity, it is surprising that it achieves even a measurable reduction. One
308 possible explanation is that the ancestral enzyme (TEM-1), while breaking down the antibiotics at a
309 very low rate, nonetheless binds the antibiotic at a high rate (Queenan et al., 2004). However, this
310 explanation seems unlikely, because removal by binding happens only for one antibiotic molecule
311 per enzyme molecule in the periplasmic space, whereas the turnover rate due to degradation by the
312 Single mutant is about 810 antibiotic molecules per enzyme molecule in 30 minutes (which is the
313 scale of cell division time; the number of antibiotic molecules degraded is obtained from the reaction
314 rate estimates in Supplement 3). Thus, binding without hydrolysis cannot explain the finding that the
315 Ancestor and Single mutant remove CTX at comparable rates.

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316 To investigate this issue further, we used a model introduced in Geyrhofer and Brenner (2020) which
317 incorporates not just enzyme kinetics but also cell growth and death, and the exchange of enzyme and
318 antibiotics between cells and the environment. Details on the dynamical model can be found in
319 Supplement 4. In short, this model presents a more realistic picture of the dynamical process
320 underlying antibiotic removal. Cell numbers decrease initially at high antibiotic concentration, while
321 simultaneously removing antibiotic through β -lactamase synthesis and secretion. Depending on the
322 inoculum size, the decrease in antibiotic concentration in the medium can lead to a resurgence in cell
323 growth at a later time (see Figure 3). The model has a multi-dimensional parameter space that can be
324 explored to locate the source of the unexpectedly high antibiotic removal by the Ancestral strain.
325 Many of the parameters in the model can be either determined from the literature or have an
326 insignificant impact on the results (Supplement 4). However, two important parameters that are left
327 undetermined are σ_B and σ_E , which are the rate constants for the flux of antibiotic and enzyme,
328 respectively, between the cells and the environment.

329 One possibility is that the enzyme synthesis and/or permeability parameters σ_B and σ_E differ for
330 TEM-1 Ancestor and Single mutant. For example, σ_B and σ_E could be higher in the TEM-1 Ancestor
331 due to higher rates of cell wall defects, although there is no empirical support for this to our
332 knowledge. However, numerical simulations show that the discrepancy is not explained even when
333 σ_E and σ_B are two orders of magnitude higher for the Ancestor. As this phenomenon by itself does
334 not provide a satisfactory explanation, we considered a possible additional role of cell filamentation.
335 We know that our *E. coli* strain undergoes filamentation under CTX exposure, and both the rate of
336 filamentation (Jeffrey Power, personal communication) and time of subsequent cell lysis (Zahir et al.,
337 2020) are strongly strain-dependent. Further, during filamentation, the biomass of the cells keeps
338 growing, even though cell division does not occur due to inhibited septum formation (Pogliano et al.,
339 1997). Filamenting cells, therefore, continue to synthesize enzyme that breaks down the antibiotic,
340 even if many of them eventually lyse and die (Zahir et al., 2020). If we assume that in our
341 experiments the TEM-1 Ancestor cells do filament (and do not lyse within the experimental duration)
342 and that enzyme production is proportional to biomass growth whereas this is not the case for the
343 Single mutant, this can be incorporated in the model by setting the growth rate parameter to be
344 independent of the antibiotic concentration. Under this assumption, the breakdown by the Ancestor
345 becomes comparable to that of the Single mutant in the relevant parameter range. For $\sigma_E = 2.81 h^{-1}$,
346 $\sigma_B = 1 h^{-1}$, the reduction is 1.8%, which is significantly higher than the value obtained without
347 filamentation, but still lower than what is expected from the bioassay data (see Supplement 4: Figure
348 S2). But moderately higher values of enzyme expression and release produce better results. For
349 example, with $\sigma_E = 16 h^{-1}$, $\sigma_B = 9.2 h^{-1}$ we get a 10% reduction. The simulated reduction of
350 antibiotic concentration by filamenting ancestral cells is shown in Figure 3.

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351
352 **Figure 3:** The reduction of concentration as a function of time. Parameters: TEM-1 Ancestor (ANC)
353 $\sigma_E = 16 h^{-1}$; $\sigma_B = 9.2 h^{-1}$; single mutant $\sigma_E = 2.81 h^{-1}$; $\sigma_B = 1 h^{-1}$. The inset shows the number
354 of Single mutant (SM) cells relative to the initial number as a function of time. The cells die initially
355 and then the numbers grow again as antibiotic concentration is reduced. The Ancestor cells are
356 assumed to filament but not to divide or die.

357

358 4 Discussion

359 We examined whether and how the establishment of *de novo* antibiotic-resistant mutant cells was
360 affected by more susceptible resident cells. The successful establishment of a mutant cell was
361 determined by its outgrowth into a colony-forming unit, visible to the naked eye. We first showed
362 that the establishment probability of three separately tested TEM β -lactamase mutants with
363 increasing hydrolysis activity against the cephalosporin CTX was increased when introduced into
364 populations of the Ancestor strain with very low β -lactamase activity under exposure to two CTX
365 concentrations. This positive effect increased with the density of Ancestor cells.

366 We next hypothesized that three, mutually not exclusive, mechanisms could be involved in
367 enhancing mutant establishment probability. First, as the Ancestor cells died in the presence of the
368 antibiotic, additional nutrients provided by cell lysis could support mutant establishment. It has been
369 shown repeatedly that contents released from decaying bacterial cells can be reutilised by growing
370 cells (e.g. Koch, 1959; Banks and Bryers, 1990; Rozen et al., 2009). Coates et al. (2018) found that
371 the establishment of antibiotic-resistant *E. coli* mutants under antibiotic exposure was lower in a poor
372 than in a rich nutrition environment due to a reduction in growth rate. As we used M9 minimal
373 medium in our experiments, it is conceivable that extra nutrients released from dying Ancestor cells
374 supported mutant establishment. Second, the Ancestor cells may have depleted CTX molecules via
375 binding to penicillin-binding proteins (PBPs), which are the main target of CTX (Cho et al., 2014),
376 thereby reducing the antibiotic concentration in the medium (Udekwa et al., 2009; Abel Zur Wiesch
377 et al., 2015). Third, Ancestor cells may have reduced the environmental CTX concentration by
378 catalyzing its hydrolysis, even though the β -lactamase activity against CTX of this strain is low.

379 A positive effect of susceptible cells on the establishment of *de novo* antibiotic-resistance conferring
380 mutations has been reported before for *P. aeruginosa* in the presence of streptomycin (Alexander and
381 MacLean, 2020). The authors speculated that the mechanism for this effect was the binding of the
382 antibiotics to components of the susceptible cells. The main target for streptomycin is ribosomes
383 (Demirci et al., 2013), a major cell component with numbers ranging between a few thousand to

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384 several ten-thousands per cell, depending on growth rate and species (Martin and Iandolo, 1975;
385 Bremer and Dennis, 1996; Barrera and Pan, 2004; Leskelä et al., 2005). CTX predominantly binds to
386 PBP3 (Jones and Thornsberry, 1982; Piras et al., 1990), which is present at about 60 to 130 units per
387 *E. coli* cell, depending on the medium and growth rate (Dougherty et al., 1996). Thus, the antibiotic
388 reduction via binding to cellular components can be expected to be much lower in our experimental
389 system compared to that of Alexander and MacLean (2020). Indeed, we found that the Wildtype
390 strain without β -lactamase was not able to remove detectable amounts of CTX from the liquid
391 medium (Table 2). This indicates that the removal of CTX via binding to cellular components is
392 negligible in our system.

393 To test whether nutrient release from decaying cells promoted mutant establishment, we used heat-
394 killed TEM-1 Ancestor and Single mutant cells. Heat-killing was chosen to inactivate the β -
395 lactamases as hydrolyzing enzymes can further break down antibiotics after cell death (Udekwu et
396 al., 2009; Lenhard and Bulman, 2019). To sustain one cell, an estimated 110 heat-killed *E. coli* cells
397 are needed to provide sufficient nutrients (Nioh and Furusaka, 1968). Thus, some 16,500 heat-killed
398 Ancestor or Single mutant cells would have been needed to sustain the growth of the 150 plated
399 Triple mutant cells under starving conditions. The absence of an effect of 10^6 added heat-killed cells
400 on the establishment of the Triple mutant (Figure 2) suggests that the addition of nutrients due to
401 cells lysis plays a negligible role under the tested conditions, leaving CTX removal from the
402 environment as the most probable explanation for the observed positive effect on mutant
403 establishment. However, we cannot rule out that our method of heat-killing causes only a fraction of
404 the cells to lyse and release nutrients (Smakman and Hall, bioRxiv preprint 2020).

405 The establishment of the Triple mutant was increased when introduced into the moderately-active
406 Single mutant population compared to the low-activity TEM-1 Ancestor (see Figure 2), indicating
407 that hydrolysis of the antibiotic contributes to the observed effect. This was further supported by the
408 results of the bioassay, where both the TEM-1 Ancestor and the TEM Single mutant were able to
409 remove CTX from the liquid medium, whereas the Single mutant removed more of the antibiotic than
410 the Ancestor (Table 2). However, the CTX removal rate by the Ancestor and its effect on Triple
411 mutant establishment are unexpectedly high when compared with the Single mutant. Specifically, the
412 Ancestor removed about two-thirds of the amount of CTX (Table 2) and restored roughly half of the
413 lost established Triple mutant cells compared to the Single mutant (Figure 2), despite its 130-fold
414 lower catalytic efficiency against CTX (Salverda et al., 2011). Thus, CTX hydrolysis based on
415 enzyme kinetics alone cannot explain the observed effect of the Ancestor. To investigate this further,
416 we adapted a model introduced by Geyrhofer and Brenner (2020), where the effect of cell
417 filamentation could be incorporated by allowing the biomass of cells to grow without cell division in
418 the presence of the antibiotic. Exploration of various scenarios using this model indicates that
419 delayed lysis of filamentous cells, coupled with an increased β -lactamase release due to cell wall
420 permeability and increased β -lactamase synthesis rate, may explain the unexpectedly high breakdown
421 of CTX by the TEM-1 Ancestor. While these results are not conclusive, they do point to interesting
422 directions for future work.

423 Previous studies comparing antibiotic resistance evolution on agar and liquid medium showed strong
424 differences regarding various genotypic and phenotypic outcomes. Specifically, growth on agar
425 generally leads to a higher diversity due to greater heterogeneity in environmental conditions and
426 inefficient competition (Rainey and Travisano, 1998; Kerr et al., 2002; Habets et al., 2007; Frost et
427 al., 2018; Kayser et al., 2019). In related work (Saebelfeld et al., bioRxiv preprint 2021), we show
428 that structured environments can affect mutant establishment due to greater variation in local
429 conditions. These findings may have clinical implications as agar conditions better resemble natural
430 conditions than liquid medium since most bacteria grow in biofilm or attached to surfaces (Olsen,

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431 2015; Gupta et al., 2016; Ahmed et al., 2018). The maintenance of resistant cells within sensitive
432 populations in the absence of antibiotics leads to a higher risk of colonization by the mutant when
433 antibiotics are applied (De Roode et al., 2004; Pollitt et al., 2014; Olsen, 2015). Here, we show that
434 this emergence could be further supported when the antibiotic is broken down. Apart from
435 hydrolyzing enzymes like β -lactamases, those systems could include other antibiotics that are
436 modified or degraded, such as erythromycin, tetracyclines and chloramphenicol (Nicoloff and
437 Andersson, 2016).

438 In conclusion, we showed that the removal of CTX from the environment by bacterial cells
439 expressing β -lactamase enzymes with relatively low activity against the antibiotic enhanced the
440 establishment probability of mutants with more active enzymes in a structured environment. We
441 believe that similar positive effects may affect the establishment of mutants resistant to other
442 antibiotics, as was shown for streptomycin (Alexander and MacLean, 2020), but the size of this effect
443 will depend on system-specific factors, including the antibiotic target and resistance mechanism, the
444 ability of the strain for filamentation and the environmental structure. Notably, the positive effect of
445 susceptible cells on the initial establishment of resistant mutants we report contrasts with their later
446 impeding influence by preventing the fixation of higher resistance mutants once sufficient antibiotic
447 has been removed (Yurtsev et al., 2013). Our study is among few demonstrating the importance of
448 the resistance mechanism and conditions during the emergence of antibiotic resistance. Further
449 investigations on factors contributing to the establishment of *de novo* resistance mutants in other
450 systems would contribute to a better understanding of the long-term persistence and re-emergence of
451 infections with resistant pathogens in clinical settings.

452

453 **5 Conflict of Interest**

454 The authors declare that the research was conducted in the absence of any commercial or financial
455 relationships that could be construed as a potential conflict of interest.

456 **6 Author Contributions**

457 All authors conceived the study. MS, JB and AH conducted the experiments. SGD developed the
458 model with help from JK. MS and SGD wrote the manuscript with help from JK and JAGMdV. All
459 authors read the manuscript and approved the final version.

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466 **9 References**

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