1 Class II LBD genes ZmLBD5 and ZmLBD33 regulate gibberellin and

2 abscisic acid biosynthesis

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24 Author Contributions

X.F. and Y.L. designed the research. J.X., W.Z., X.W., Y.H. and X.Z. performed the main
part of experiment. X.F., Q.W, W.G., W.X. and F.W. performed the field investigation of
plant phenotype. J.X. and Y.L. are responsible for managing materials related to the
project. X.F. and J.X. analyzed data and prepared the figures. X.F., J.X. and Y.L. wrote the
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35 ABSTRACT

Lateral organ boundaries domain (LBD) proteins are plant-specific 36 37 transcription factors. Class I LBD members are widely reported to be pivotal for organ development, however, the role of class II members is unknown in 38 cereal crops. Class II LBD proteins are distinguished from class I by the lack of 39 40 a Gly-Ala-Ser (GAS) peptide and leucine-zipper-like coiled-coil domain, which is thought to be essential for protein dimerization. In this study, ZmLBD5 and 41 42 ZmLBD33 form homo- and hetero-dimers, like class I members. At seedling stage, ZmLBD5 promoted biomass accumulation (shoot dry weight and root 43 dry weight), root development (root length, root number, and root volume), and 44 organ expansion (leaf area), while ZmLBD33 repressed these processes and 45 display a dwarf phenotype. Both ZmLBD5 and ZmLBD33 displayed negative 46 47 roles in drought tolerance mainly by increasing stomatal density and stomatal aperture. RNA sequencing, gene ontology enrichment analysis, and transient 48 luciferase expression assays indicated that ZmLBD5 and ZmLBD33 are mainly 49 involved in the regulation of the TPS-KS-GA2ox gene module, which 50 comprises key enzymatic genes upstream of GA and ABA biosynthesis. GA₁ 51 52 content increased in *ZmLBD5*-overexpressing seedlings, while GA_3 and 53 abscisic acid content decreased in both transgenic seedlings. Consequently, exogenous GA_1 or GA_3 undoubtedly rescued the dwarf phenotype of 54 55 *ZmLBD33*-overexpressing plants, with GA₁ performing better. The study of ZmLBD5 and ZmLBD33 sheds light on the function of the class II LBD gene 56 57 family in maize.

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60 **INTRODUCTION**

Gibberellins (GAs) and abscisic acid (ABA) are two phytohormones derived from isopentenyl diphosphate (IPP). In plastids, IPP is converted to monoterpenes (C_{10}) and geranylgeranyl diphosphate (C_{20} , GGPP). At GGPP the pathway in plastids branches out in several directions, with separate pathways leading to the *ent*-kaurenoids and GAs (C_{20}), the phytyl side-chain of

chlorophyll (C_{20}), phytoene and carotenoids (C_{40}), and the nonaprenyl (C_{45}) 66 side-chain of plastoquinone (Hedden and Sponsel, 2015; He et al., 2020). 67 68 Thus, manipulation of one of the pathways can have significant effects on the flux through other branches, and any regulation in the pathway upstream of 69 GGPP will affect all branches. In GA biosynthesis, GGPP is first cyclized to 70 71 *ent*-copalyl diphosphate (CPP) by CPP synthases (CPSs) and then converted 72 to ent-kaurene by ent-kaurene synthases (KSs) (Hedden and Sponsel, 2015; He et al., 2020). This is followed by oxidative reactions catalyzed by 73 cytochrome P450 oxygenases and 2-oxoglutarate-dependent oxygenases that 74 regulate the dynamic balance between activation and deactivation of GAs 75 76 (Hedden and Proebsting, 1999; Fu et al., 2016).

GAs and ABA are two of the most studied phytohormones in plants. The 77 essential role of GAs in shoot elongation has been demonstrated clearly in a 78 large number of studies (Spray et al., 1996; Teng et al., 2013; Chen et al., 2014; 79 Nagai et al., 2020). In addition, GAs regulate various aspects of plants, such 80 81 as flowering (Bao et al., 2020), root development (Zimmermann et al., 2010), 82 seed development (White et al., 2000), and stress response (Colebrook et al., 2014), and the "green revolution" of farming occurred largely owing to the 83 application of GA200x knockout crops (Hedden, 2003). ABA has multiple 84 functions, and it is well known to ameliorate abiotic stress. Under the stress of 85 86 drought, ABA accumulates rapidly and plays a positive role in drought 87 tolerance by regulating multiple processes at different tiers, such as the expression of ABA-responsive genes, stomatal closure, root growth, and the 88 production of protective metabolites (Mehrotra et al., 2014). ABA is mainly 89 produced from the cleavage of carotenoids (Sponsel and Hedden, 2010; Xiong 90 and Zhu, 2003), which are co-derived from GGPP along with GAs (Sponsel 91 and Hedden, 2010). Thus, the crosstalk between GAs and ABA biosynthesis is 92 universal. 93

Lateral organ boundaries domain (LBD) proteins are plant-specific transcription factors (Shuai et al., 2002; Majer and Hochholdinger, 2011; Xu et al., 2016). Characteristically, they comprise a cysteine C-block (CX2CX6CX3C) required for DNA-binding activity, a Gly-Ala-Ser (GAS) block, and a leucine zipper-like coiled-coil motif (LX6LX3LX6L) responsible for protein dimerization (Shuai et al., 2002; Majer and Hochholdinger, 2011; Xu et al., 2016). Based on

100 the conserved domains, LBD genes have been identified and classified into two groups (class I and class II) (Shuai et al., 2002; Majer and Hochholdinger, 101 102 2011; Zhang et al., 2014; Yu et al., 2020). Class I, comprising most of the 103 members, is characterized by a GAS and leucine-zipper-like coiled-coil domain, 104 while class II members have no or an incomplete GAS and leucine zipper-like 105 coiled-coil domain. It is thought that these differences underlie the functional 106 diversity between class I and class II members (Majer and Hochholdinger, 2011; Xu et al., 2016). Class I members have been mostly reported to play an 107 important role in auxin response and plant development (Majer and 108 109 Hochholdinger, 2011), such as maintaining the indeterminate cell state in the 110 shoot apical meristem (SAM) (Semiarti et al., 2001; Iwakawa et al., 2007), female gametophyte development (Evans, 2007), inflorescence architecture 111 (Bortiri et al., 2006), and formation of seminal and shoot-borne root primordia 112 (Taramino et al., 2007; Majer et al., 2012; Xu et al., 2015). In contrast, there 113 are few reports about class II members, and their functions are unclear. The 114 115 class II LBD genes that have been characterized thus far are not involved in 116 development but in metabolism, such as anthocyanin biosynthesis and 117 nitrogen metabolism (Rubin et al., 2009; Albinsky et al., 2010; Majer and 118 Hochholdinger, 2011). Although the phylogenetic characteristics of the LBD family in maize have been reported (Zhang et al., 2014), there are no reports 119 120 about the function of class II LBDs in cereal crops.

121 In this study, two class II LBD maize genes, LBD5 and LBD33, with high 122 identity (Zhang et al., 2014), were investigated. Here we found homo- and hetero-dimerization in both LBD5 and LBD33. This is at odds with the 123 124 hypothesis that the GAS and leucine-zipper-like coiled-coil domain is essential 125 for dimerization in LBDs. In addition, LBD5 and LBD33 play a role in the regulation of the core module in GA biosynthesis and affect the final output of 126 GAs and ABA. This work may contribute to the initial understanding of the 127 128 biological function of cereal class II LBD genes in cereal crops, and provide a 129 new insight into the response of drought in maize.

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132 **RESULTS**

133 LBD5 and LBD33 can form homodimers and heterodimers

134 Given that the GAS and leucine-zipper-like coiled-coil domain of class I 135 members is essential for protein dimerization and class II members are 136 characterized by the lack of or an incomplete domain, the ability of LBD5 and 137 LBD33 to dimerize was tested. Although LBD5 and LBD33 have no GAS and 138 leucine-zipper-like coiled-coil domain, homo- and hetero-dimerization were clearly detected through Y2H and BiFC assays (Fig. 1, A and B). Additionally, 139 140 LBD5 and LBD33 displayed weak interactions with the class I member LBD44 (Fig. 1, A and B). To identify the critical domain for dimerization, LBD5 and 141 142 LBD33 were cleaved optionally at two sites, and six kinds of peptides were obtained for Y2H assays (Supplemental Fig. S1A). Parts A, B, and C represent 143 the N terminal C-block (CX2CX6CX3C), the GAS and leucine-zipper-like 144 coiled-coil (LX6LX3LX6L) domain, and the C terminal domain, respectively 145 (Fig. S1A). Unfortunately, we failed to clearly identify which part is responsible 146 147 for protein-protein interaction (Supplemental Fig. S1B), but we proposed that 148 the C part may have a positive effect on dimerization both in LBD5 and LBD33 149 (Supplemental Fig. S1B).

Effects of LBD5 and LBD33 on organ development and drought tolerance 150 To investigate the role of LBD5 and LBD33 in plant development or 151 152 environmental stimuli, first their tissue-specific expression and stimuli 153 responses were profiled. LBD5 and LBD33 were widely expressed in various 154 tissues and relatively higher in roots (Supplemental Fig. S2A). Therefore, the 155 response of LBD5 and LBD33 to eight phytohormones related to stress response and organ development was investigated in the roots of seedlings. 156 157 LBD5 and LBD33 were induced most strongly by GA_3 and JA, and these stimuli had similar effects (Supplemental Fig. S2B). In addition, responses to 158 159 PEG, nitrogen deficiency, and phosphorus deficiency were investigated in the 160 root and leaf. LBD5 and LBD33 were remarkably induced by PEG-mimic drought stress and nitrogen deficiency in the root. However, responses in the 161 leaf were weak and differed slightly between LBD5 and LBD33 (Supplemental 162 163 Fig. S1, C and D).

LBD5 and LBD33 were overexpressed, which were called LBD5(OE) and LBD33(OE) hereafter, in a wild-type maize line KN5585. More than seven

166 transgenic lines of each gene were constructed and transcript levels of LBD5 and LBD33 were measured. Three representative transgenic lines of each 167 168 gene were used for further study after the detection of expression levels 169 (Supplemental Fig. S3A). Homozygous lines were hybridized with the wild type, 170 and the hybrid F1 progenies were used for phenotype investigation. During 171 germination and the early seedling stage there was no difference between 172 LBD5(OE), LBD33(OE), and the wild type (Supplemental Fig. S3B). About 6 days after germination, an obvious dwarf phenotype of LBD33(OE) was 173 observed. About 12 days after germination, LBD5(OE) was noticeably taller 174 than the wild type. Detailed analysis showed that the shoot length, leaf area, 175 176 and biomass were remarkably affected by the over expression of LBD5 and LBD33, and all of these phenotypic values increased in LBD5(OE) but 177 decreased in LBD33(OE) (Fig. 3 and Supplemental Fig. S3D). To investigate 178 the change at the micro-level, leaf cell length and width was observed. As 179 180 expected, cells were longer in LBD5(OE) and shorter in LBD33(OE) than that in the wild type (Supplemental Fig. S4). Unexpectedly, LBD33(OE) plants 181 182 possessed narrower leaves but wider cells and LBD5(OE) possessed wider 183 leaves but narrower cells than the wild type (Supplemental Fig. S4). We thus 184 speculated that the leaf cell number may be lower in LBD33(OE) and higher in LBD5(OE). To investigate the effects on below-ground parts, seedlings were 185 186 grown in hydroponic conditions. The root number, primary root length, root 187 surface area, root volume, shoot fresh weight, and root fresh weight were all 188 greater in LBD5(OE) and lesser in LBD33(OE) than that in the wild type (Supplemental Fig. S3, C and D). However, the difference in root dry weight 189 between LBD5(OE), LBD33(OE), and the wild type was not significant when 190 191 grown in soil (Fig. 2B).

Given the strong response of LBD5 and LBD33 to PEG-mimic drought 192 stress, wild type and different transgenic lines were grown in separate pots 193 194 and exposed to water deficiency. Both LBD5(OE) and LBD33(OE) displayed earlier withering than the wild type, and this effect was more remarkable in 195 LBD5(OE) than in LBD33(OE) (Fig. 2A). The biomass penalty under water 196 197 deficient conditions also indicated that LBD5(OE) and LBD33(OE) were more 198 sensitive to drought stress than the wild type when grown in separate pots (Fig. 199 2, B-D).

200 However, after anthesis in the field, the ear height and the internode length 201 of lines 5-2 and 5-4 were shorter than that of the wild type (Supplemental Fig. 202 S5C and Table S1). However, the effect of LBD5 on plant height was not clear, 203 because line 5-1 was taller, line 5-2 was shorter, and line 5-4 was similar when 204 compared with the wild type (Supplemental Fig. S5, A and B). The increase in 205 plant height of line 5-1 may be attribute to the larger number of internodes 206 (Supplemental Fig. S5D). Thus, we speculate that LBD5 may have a positive effect on internode number and a negative effect on internode length. 207 208 LBD33(OE) plants were significantly shorter than the wild type owing to the 209 decrease in internode length but not internode number (Supplemental Fig. S5, 210 A-D and Table S1). Grain yields upon well-watering and drought stress conditions supported the drought sensitive phenotype of LBD5(OE) and 211 212 LBD33(OE) (Fig. 2, G-I). In addition, LBD5 and LBD33 negatively regulate grain yield with different mechanism. LBD33 decreased ear size and 213 214 hundred-grain weight simultaneously, while LBD5 mainly decreased ear size 215 (Fig. 2, G-I and Supplemental Fig. S5, E and F).

LBD5 and LBD33 promote water loss by increasing stomatal density and stomatal aperture

218 To unravel the underlying mechanism by which LBD5(OE) and LBD33(OE) 219 increased sensitivity to drought stress, the rates of water loss (RWL) of 220 detached leaves were measured. LBD5(OE) and LBD33(OE) had significantly 221 higher RWL than the wild type (Fig. 3A). The stronger water loss in LBD5(OE) 222 and LBD33(OE) may have, consequently, resulted in earlier soil drought after 223 the outage of water. Thus, the soil moisture content (SMC) was analyzed. 224 Fifteen days after the outage of water SMC was lowest in LBD5(OE) and 225 remarkably lower in LBD33 (OE) than in the wild type (Fig. 3B). Further, the stomatal density and open-closed-ratio (OCR) were investigated. To measure 226 227 OCR, leaves were detached from 12-day-old seedlings and dehydrated for 12 228 minutes in original growing conditions. Interestingly, LBD5(OE) and LBD33(OE) had higher stomatal density and OCR than the wild type (Fig. 3, C and D and 229 Supplemental Fig. S6A). 230

In addition, stomatal aperture after ABA or H_2O_2 stimulation was investigated. Detached leaves were pretreated with stomatal opening buffer to get the maximum degree of stomatal openness. Then, ABA or H_2O_2 was added, and

stomatal aperture was fixed at different times. The stomatal aperture of LBD5(OE) and LBD33(OE) was greater than that of wild type, upon both ABA and H_2O_2 treatment (Supplemental Fig. S6, B and C). ABA and H_2O_2 are both powerful stimulators of stomatal closure. These results indicated that overexpression of *LBD5* or *LBD33* could reduce the sensitivity of stomata to ABA and H_2O_2 .

240 To determine if there were other factors that made LBD5(OE) and 241 LBD33(OE) more sensitive to drought stress, transgenic and wild-type plants 242 were grown in the same pots to test the difference in drought tolerance. 243 LBD5(OE), LBD33(OE), and the wild type withered at almost the same time 244 after the outage of water. However, the penalty of shoot dry weight upon drought stress was greater in LBD5(OE) and LBD33(OE) (Fig. 3E). These 245 results suggest that enhanced water loss from stomata was one cause of 246 drought sensitivity in LBD5(OE) and LBD33(OE) plants. 247

LBD5 and LBD33 may function in the GGPP–CPP–kaurene/acid–GA metabolic pathway

250 As transcription factors, overexpression of LBD5 or LBD33 may widely affect 251 the expression of downstream target genes, leading to the final phenotype. 252 Therefore, RNA-seq was performed using 12-day-old seedlings to profile the change of gene expression in LBD5(OE) and LBD33(OE) compared with the 253 wild type. A total of 1844 and 1174 differentially expressed genes (DEGs) 254 255 were identified in LBD5 (OE) and LBD33(OE), respectively (Fig. 4A and 256 Supplemental Table S2). There were 666 members in the intersection of DEGs 257 between LBD5(OE) and LBD33(OE), and almost all of these genes displayed a similar expression change in LBD5(OE) and LBD33(OE) when compared to 258 the wild type (Fig. 4A). Of the 666 shared DEGs, 417 were down regulated (Fig. 259 4A). When DEGs from LBD5(OE) and LBD33(OE) were combined, the 3018 260 total genes could be clustered into 6 groups based on the change in 261 262 expression level (Fig. 4B). Almost all of the shared 666 genes were found in 263 group 2 or group 5, in which genes displayed a similar expression change in 264 LBD5(OE) and LBD33(OE) compared to the wild type. It is plausible that the 265 similar phenotype of LBD5(OE) and LBD33(OE) is caused by the 666 shared 266 DEGs or the other members of group 2 and group 5.

267 Further, DEGs of LBD5(OE) and LBD33(OE) were used for Gene Ontology 268 (GO) enrichment analysis. Results provided a similar and reliable biologic 269 process for LBD5(OE) and LBD33(OE), namely terpenoid biosynthesis (Fig. 270 4C and Supplemental Table S3). A total of 15 DEGs annotated in terpenoid 271 biosynthesis were mainly involved in the GGPP–CPP–kaurene/acid–GA 272 pathway (Fig. 5A). Five of the six genes involved in GGPP biosynthesis were 273 down-regulated in LBD5(OE) and LBD33(OE) (Fig. 5A). We speculated that 274 those 15 genes may be downstream targets of LBD5 and LBD33.

275 LBD5 and LBD33 directly regulate the TPS-KS-GA2ox gene module

To investigate whether LBD5 and LBD33 directly regulate the transcription of 276 277 candidate target genes, promoters of the candidate genes were cloned for yeast one-hybrid assays. Firstly, the full length of LBD5 and LBD33 were used 278 for the Y1H assays along with 5 candidate promoters. However, none of the 279 280 five promoter-driven *LacZ* reporter genes could be activated by LBD5 or 281 LBD33 (Supplemental Fig. S7A). Given that most genes were down-regulated 282 by LBD5 and LBD33, we speculated that full length LBD5 and LBD33 may 283 have transcriptional inhibitory activity and block the activation of reporter gene. 284 Therefore, LBD5 and LBD33 were cleaved optionally at two sites and six kinds 285 of peptides were obtained, as shown in Figure S1A, to test the transcriptional inhibitory activity. Full length LBD5, LBD5-BC, LBD33-B, and LBD33-BC 286 287 displayed remarkable transcriptional inhibitory activity (Supplemental Fig. S7B). 288 LBD5-AB and LBD33-AB, which contained the C-block for DNA binding, had 289 no transcriptional inhibitory activity. Therefore, LBD5-AB and LBD33-AB were 290 then used for Y1H assays along with 15 promoters. LBD5-AB and LBD33-AB 291 could bind to 9 and 5 promoters, respectively (Fig. 5B).

292 Given that LBD proteins are plant specific transcription factors, the regulation of 15 candidate target genes by LBD5 and LBD33 was investigated 293 294 in plant cells. The promoters of candidate genes were cloned to drive the 295 expression of the LUC reporter gene. CaMV35S-controlled LBD5 or LBD33 fused with GFP with 296 was and transiently co-expressed each candidate-promoter-driven LUC in tobacco. Compared with the fold-change of 297 298 transcription level, luciferase enzymatic activity assays showed that 13 and 11 299 candidate promoters displayed similar behavior upon the overexpression of LBD5 and LBD33, respectively (Fig. 5, A and B). Taking Y1H and 300

dual-luciferase expression assays into consideration, we identified that LBD5
 and LBD33 directly regulated 7 and 5 of the 15 candidate target genes,
 respectively.

304 LBD5 and LBD33 affect seedling size by modulating GA biosynthesis

305 In plants, ABA is mainly produced from the cleavage of carotenoids (Sponsel 306 and Hedden, 2010; Xiong, 2003), which are co-derived from GGPP along with 307 GAs. Aforementioned results indicated that LBD5 and LBD33 negatively 308 regulate drought tolerance by inhibiting stomatal closure, a process in which 309 ABA is important. Therefore, concentrations of ABA and GAs (the main bioactive form GA₁, GA₃, GA₄, and GA₇) (Hedden and Phillips, 2000), two 310 311 kinds of phytohormone downstream of GGPP, were measured using 12-day-old seedlings. ABA and GA_3 contents were lower in both LBD5(OE) 312 and LBD33(OE) than in the wild type (Fig. 6A). Interestingly, GA_1 content was 313 314 higher in LBD5(OE) than in the wild type (Fig. 6A). This may explain why LBD5(OE) seedlings were taller than the wild type. However, it is difficult to 315 316 determine the causal gene from the 15 candidate genes based on the subtly 317 different responses to LBD5 and LBD33 overexpression. Most redox reactions 318 in GA metabolism are catalyzed by cytochrome P450s (He et al., 2020; 319 Hedden and Sponsel, 2015; Sponsel and Hedden, 2010), and 44 cytochrome P450 members were identified in the top enriched GO term (Supplemental 320 321 Table S3). Thus, the expression change of these 44 members may also 322 contribute to the change in GAs and ABA in LBD5(OE) and LBD33(OE).

323 GAs widely affect plant development, particularly stem elongation (Spray et 324 al., 1996; Teng et al., 2013; Chen et al., 2014; Nagai et al., 2020). To test the causality of GA_1 and GA_3 content on the dwarf phenotype of LBD33(OE) 325 326 seedlings, exogenous GA_1 and GA_3 were applied in hydroponic conditions. The application of GA_1 and GA_3 remarkably promoted the growth of 327 LBD33(OE) seedlings and reduced the difference between LBD33(OE) and 328 329 the wild type (Fig. 6A). GA₁ displayed a well phenotypic compensatory effect at 330 0.05 μ g/mL (Fig. 6, B and C). However, the effect of GA₃ was weak at 0.05 331 μ g/mL, and a higher concentration was needed to better rescue the dwarf phenotype of LBD33(OE) seedlings (Fig. 6, B and C). Therefore, GA_1 may 332 333 exhibit the dominant effect on shoot growth and elongation at the seedling 334 stage in maize.

336 **DISCUSSION**

Class I members of the LBD gene family are involved in the regulation of 337 338 almost all aspects of plant development, including embryo, root, leaf, and 339 inflorescence development (Majer and Hochholdinger, 2011). However, there 340 are few reports to date on the function of class II members. Characterized 341 class II members, AtLBD37, AtLBD38, and AtLBD39, are not involved in 342 development but in anthocyanin biosynthesis and nitrogen metabolism (Scheible et al., 2004; Rubin et al., 2009; Albinsky et al., 2010; Majer and 343 344 Hochholdinger, 2011). Here, we investigated the function of two members of the class II LBD genes in maize. Multiple lines of evidence suggested that 345 346 ZmLBD5 and ZmLBD33 are involved in the regulation of terpenoid metabolism, and consequently determine GA and ABA content, thus affecting plant 347 development and drought response. 348

Class I members of the LBD protein family are distinguished from class II 349 members by the existence of the GAS and leucine-zipper-like coiled-coil 350 351 domain (Majer and Hochholdinger, 2011; Xu et al., 2016). This domain is 352 thought to be required for protein dimerization (Majer and Hochholdinger, 2011; 353 Xu et al., 2016). In this study, LBD5 and LBD33, which are class II members 354 lacking a typical GAS and leucine-zipper-like coiled-coil domain, formed homodimers and heterodimers like class I members, implying the typical GAS 355 356 and leucine-zipper-like coiled-coil domain is not essential for dimerization. 357 However, no domain responsible for dimerization has been identified in LBD5 358 and LBD33. Heterodimerization between LBD5 and LBD33 was strong. 359 However, the interaction was weak when LBD44, a class I member, was used to form heterodimers with LBD5 or LBD33. These results imply that similarity 360 361 between sequences may facilitate dimerization between LBD monomers.

DEGs in *LBD5*- and *LBD33*-overexpressing plants compared to the wild type 362 are perfectly enriched in the same biological process upstream of GA 363 364 biosynthesis, which was reminiscent of the similar and drastic responses of LBD5 and LBD33 to GA₃ treatment. GA₃ content decreased in both LBD5(OE) 365 and LBD33(OE), which is consistent with the down-regulation of most DEGs in 366 367 the GGPP-CPP-kaurene/acid-GA metabolic pathway. In line with the 368 down-regulation of most DEGs, LBD5 and LBD33 have transcriptional inhibitory activity. Application of exogenous GA₁ and GA₃ clearly restored the 369

370 dwarf phenotype of LBD33(OE), particularly for shoot length and shoot fresh weight, indicating that decreased GA_1 and GA_3 content are the immediate 371 372 cause of the dwarf phenotype. Although the expression change of most 373 enriched genes was similar, GA₁ increased in LBD5(OE) and remained 374 unchanged in LBD33(OE), which may explain the taller phenotype of 375 LBD5(OE). GA₁ has been identified in 86 plants, more than any other GA, and 376 studies utilizing single gene dwarf mutants have shown that it is the major 377 bioactive form involved in stem elongation in Zea mays and Pisum sativum 378 (Sponsel and Hedden, 2010; Spray et al., 1996). Our results also attest that 379 GA₁ has a higher bioactivity, because low concentrations of GA₁ rescued the 380 dwarf phenotype of LBD33(OE) as well as high concentrations of GA_3 .

ABA is the most important signal in drought response. Under water deficient 381 382 conditions, ABA accumulates rapidly and leads to stomatal closure to limit 383 transpirational water loss (Mehrotra et al., 2014). In LBD5- and LBD33overexpressing plants, the ABA content was decreased probably due to the 384 385 down-regulation of genes involved in the biosynthesis of the upstream GGPP. 386 As a result, LBD5(OE) and LBD33(OE) had larger stomatal apertures and 387 enhanced transpirational water loss compared with the wild type. However, the 388 mechanism by which LBD5 and LBD33 reduce the sensitivity of stomata to 389 exogenous ABA and H_2O_2 is not clear.

390 In addition, *LBD5* and *LBD33* increased stomatal density. Although there are 391 few reports on the involvement of LBD genes in the regulation of stomatal 392 density, GID1, a very important receptor of GA, plays a negative role in 393 stomatal density in rice (Du et al., 2015). The *gid1* mutant displays extreme dwarfism, increased stomatal density and decreased stomatal sensitivity to 394 395 water deficiency (Du et al., 2015). Here, the phenotypes of LBD5(OE) and LBD33(OE) were similar to that of *gid1*. Further studies are needed to 396 determine if the decrease of GA_3 in LBD5(OE) and LBD33(OE) plants mimics 397 398 gid1 or if there exists underlying causality.

In conclusion, *ZmLBD5* and *ZmLBD33* are mainly involved in the regulation of the *TPS-KS-GA2ox* gene module, which comprises key enzymatic genes upstream of GA and ABA biosynthesis. Subtle differences in the regulation of these genes led to GA_1 accumulation and a taller phenotype in LBD5(OE) seedlings. Overexpression of *LBD5* and *LBD33* inhibited GA_3 and ABA

biosynthesis and resulted in a dwarf phenotype in LBD33(OE) and drought
sensitive phenotype in both LBD5(OE) and LBD33(OE). The study of *ZmLBD5*and *ZmLBD33* sheds light on the function of the class II *LBD* gene family in
maize.

408 MATERIALS AND METHODS

409 Plant growth and phenotyping

To analyze the expression patterns of LBD5 and LBD33 in different maize tissues, the primary roots and coleoptiles were collected from seedlings germinated for 3 days (VE stage); seminal roots and leaves were collected at the three-leaf stage (V1 stage); and aerial root, stem, ear leaf, husk, silk, immature ears, and tassels were collected from the V13 stage in a maize inbred line (B73) for RNA isolation.

For stress and phytohormone treatment, two-leaf-stage seedlings were 416 417 transferred to Hoagland nutrient solution in a greenhouse with a 14-h light/10-h dark photoperiod at 28°C and grown to the three-leaf-stage. Then, seedlings 418 419 were subjected to polyethylene glycol 6000 (PEG6000) (20% w/v), nitrogen 420 deficiency (0.1 mM nitrogen), phosphorus deficiency (without phosphorus), 421 ABA (10 μ M), GA₃ (1 μ M), ethephon (50 μ M), indoleacetic acid (IAA) (5 nM), jasmonic acid (JA) (20 μM), salicylic acid (SA) (2 mM), 6-benzyl aminopurine 422 423 (6-BA) (4 µM), or brassinolide (100 nM). For PEG, nitrogen deficiency, and 424 phosphorus deficiency, root and leaf tissues were harvested after 0, 1, 3, 6, 12, 425 24, and 48 hours of treatment. For phytohormone treatment, the roots were collected after 0, 1, 3, 6, 12, and 24 hours of treatment. The harvested 426 427 samples were frozen immediately in liquid nitrogen and used for RNA isolation.

To analyze the root traits, seedlings were grown in rolled-up germination test paper in nutrient solution. After 15 days, root volume and root surface area were analyzed with WinRhizo Pro 2008a, an image analysis system (Regent Instr. Inc., Quebec, 13 Canada) with a professional scanner (Epson XL 1000; Japan). Root number, primary root length, root fresh weight, shoot fresh weight and shoot length (the length of the aerial part when the seedling was fully stretched) were measured manually. To analyze the effect of GA₁ and GA₃ on *ZmLBD33*-overexpressing plants, wild type (KN5585) and overexpression line 33-4 were germinated and grown in rolled-up germination test paper in nutrient solution containing GA₁ or GA₃ (0, 0.05, 0.1, 0.5, or 1.0 ng/mL). After 15 days, the shoot length, second leaf area (length \times width \times 0.75), root fresh weight, and shoot fresh weight were measured manually. Root traits were analyzed with WinRhizo Pro. For each treatment, at least 15 seedlings were used, and each treatment was repeated thrice.

For drought stress tests in individual pots, equal volumes of well-mixed soil, containing 200 mL of water, was put in each pot (length \times width \times height = 10 \times 10 \times 13 cm), and 5 seedlings of each line were grown in different pots. The control group was well watered, whereas the test group was not watered until it exhibited wilting. The shoot dry weight and root dry weight were measured. To analyze the soil moisture, 1 cm³ soil was sampled from each pot at three different stages (0, 10, and 15 days after planting).

For same-pot drought stress tests, larger pots (length \times width \times height = 54 \times 28 \times 4 cm) were used. Various transgenic plant lines and wild type plants were grown in the same pot. When test seedlings displayed significant wilting, photographs were taken and shoot dry weight was measured.

453 **Overexpression of LBD5 and LBD33**

The coding sequence of ZmLBD5 or ZmLBD33 was cloned into 454 pCAMBIA3301 between BamH I and Sac I restriction sites and fused with HA 455 456 and FLAG tags at N- and C- terminals, respectively, and expression was driven 457 by the maize ubiguitin promoter. The vector was introduced into agrobacterium 458 EHA105. Agrobacterium-mediated maize transformation was performed at 459 Weimi Biotechnology (Jiangsu) Co. LTD, and the maize inbred line KN5585 was used as a recipient. Basta herbicide (0.3%, [v/v]) and PCR were used to 460 461 identify transgenic plants.

462 Yeast two-hybrid (Y2H) and bimolecular fluorescence complementation 463 (BiFC)

To test whether ZmLBD5 and ZmLBD33 forms dimer with other LBDs or

465 itself, the full codon regions of ZmLBD5, ZmLBD33, and ZmLBD44 were 466 individually cloned into pGBK-T7 and/or pGAD-T7 vector and fused with BD 467 and/or AD. BD-fused ZmLBD5, ZmLBD33, or ZmLBD44 was individually 468 co-transformed with AD-fused ZmLBD5, ZmLBD33, and empty pGAD-T7 into the Y2HGold yeast strain. Yeast cells harboring pGBK-T7 and pGAD-T7 469 470 vectors were diluted to three concentrations and grown on nonselective 471 (SD/-Trp/-Leu) or selective (SD/-Trp/-Leu/-His/-Ade) medium. A pGBKT7-53 472 and pGADT7-T combination was used as a positive control (+). A pGBKT7-Lam and pGADT7-T combination was used as a negative control (-). 473 474 To test the effect of different regions, ZmLBD5 and ZmLBD33 were segmented 475 into three parts (A: N-terminal C-block domain, B: GAS and leucine-zipper-like coiled-coil domain, and C: C-terminal domain) based on the genome wide 476 analysis of LBD genes in maize (Zhang et al., 2014). For BiFC assays, the full 477 codon regions of ZmLBD5, ZmLBD33, and ZmLBD44 were individually cloned 478 479 into pXYc104 and pXYn106 vectors and fused with the C-terminal (YC) and 480 N-terminal (YN) of YFP. Then, indicated plastid combinations were 481 co-transformed into agrobacterium cells. Transient expression was performed 482 on N. benthamiana leaves. Primers and constructions are listed in 483 Supplemental Table S4.

484 Yeast one-hybrid (Y1H)

To investigate the binding of ZmLBD5 and ZmLBD33 to the promoters of 485 486 candidate genes, ZmLBD5, ZmLBD5AB, ZmLBD33, and ZmLBD33AB were 487 inserted into the pJG4-5 vector and fused with a TF-activating domain. The promoters (about 2,000-bp) of 15 candidate genes were inserted into pLacZi2u 488 upstream of the lacZ reporter. Then, these vectors were co-transformed into 489 the yeast strain EGY48, screened upon SD Base (without Trp and Ura, with 490 491 glucose as the carbon source), and validated by PCR. The positive clones 492 were then transferred to another SD Base [without Trp and Ura, replacing with galactose raffinose, and containing 493 glucose and X-gal 494 $(5-bromo-4-chloro-3-indolyl-\beta-D-galactopyranoside)]$ for blue color development (Feng et al., 2016). 495

496 To investigate whether ZmLBD5 and ZmLBD33 have transcriptional

497 inhibition activity, A, B, C, AB, BC, and full codon regions of ZmLBD5 and ZmLBD33 were amplified and fused with the Gal4-AD sequence. Then, the 498 fused fragments were cloned into pGBKT7 and fused with the Gal4-BD 499 500 sequence. Gal4-AD was cloned into pGBKT7 and fused with the Gal4-BD sequence, and complete Gal4 was attained and used as a control. The 501 502 transformation was conducted according to the manual of Yeast Protocols 503 Handbook (Clontech). Primers and constructions are listed in Supplementary 504 Table S4.

505 Transient dual-luciferase expression assays

506 Reporters were constructed based on the pGreenII 0800-LUC vector and the effectors were constructed based on the pCAMBIA2300-eGFP vector. 507 About 2,000-bp promoter fragments of candidate genes were amplified from 508 509 B73 genomic DNA by PCR and cloned into pGreenII-LUC to drive the LUC reporter. ZmLBD5 and ZmLBD33 were cloned into pCAMBIA2300-eGFP and 510 511 driven by the CaMV35S promoter. The empty vector pCAMBIA2300-eGFP 512 was used as a control. Primers and constructions are listed in Supplementary Table S4. 513

514 Transient dual-luciferase assays were performed in tobacco leaves. A 515 dual-luciferase assay kit (Vazyme, DL101-01) was used for enzymatic activity 516 measurement. Three independent measurements were carried out for each 517 analysis, and four biological repeats were performed.

518 Measurement of stomatal density and stomatal aperture

519 At the three-leaf stage, the middle parts of the last fully expanded leaves 520 were used for stomata measurement. The number of stomata in each 521 microscopic field on abaxial leaf and adaxial leaf were calculated using an 522 Olympus microscope (IX73, Japan) with a 10× objective lens. For each line, ten plants were selected for the counting and three replicates were performed. 523 524 To investigate the ratio of open and closed stomata after dehydration, abaxial 525 leaves were covered with clear nail polish 12 minutes after detachment. The 526 shape of the stomata is stamped into the nail polish film during the rapid curing. 527 The nail polish film was then stripped off and placed on a glass slide for

528 microscopic observation with a 100× objective lens. For the assessment of 529 stomatal closure dynamics induced by ABA and H_2O_2 , the detached leaves 530 were floated on stomatal opening buffer (10 mM Tris-HCl, pH 5.6, 10 mM KCl, 531 and 50 µM CaCl₂) for 3 h under light to induce the stomata to open to the 532 maximum extent. Then, 10 µM ABA or 1 mM H₂O₂ was added to induce stomata closure and stomatal aperture was fixed at different time points using 533 534 nail polish. Stomatal images were analyzed with ImageJ software to measure the aperture size. More than 30 stomata per sample were measured and each 535 536 treatment included three replicates.

537 **RNA sequencing**

RNA sequencing (RNA-seq) was performed on the transgenic lines 5-1,
33-5, and the wild type plants. Twelve-day-old seedlings were harvested for
total RNA extraction. Sequencing and analysis were entrusted to Beijing
Novogene company (https://www.novogene.com/) using the Illumina HiSeq
4000 sequencing platform.

543 GA and ABA content

Endogenous GAs (GA1, GA3, GA4, and GA7) and ABA contents were 544 measured in the overexpression lines 5-1 and 33-4, and in wild-type plants. 545 546 Approximately 5 g of aerial tissue was harvested from 12-day-old seedlings 547 grown under normal conditions (14-h light/10-h dark photoperiod at 28°C). Measurement of the GA and ABA content was entrusted to Convinced-Test 548 (Nanjing) and analyzed by liquid chromatography-mass spectrometry (LC-MS). 549 Two biological repeats were prepared, and three technical repetitions were 550 551 performed for each sample.

552 Real-time quantitative PCR

Total RNA was isolated with a Plant Total RNA Isolation kit (FOREGENE, RE-05014). Genomic DNA in samples was removed with RNase-free DNase I (Trans, GD201-01). RNA concentration was measured by spectrophotometer (NanoDrop 2000C). First-strand cDNA was synthesized using Prime Script RT reagent kit with gDNA Eraser (Takara, RR047A) from DNase I-treated RNA.

- 558 Real-time quantitative PCR was performed using SYBR Green Fast qPCR Mix
- (ABclonal, RM21203) and a BioRad CFX96 machine. ZmeF1 α was used as
- ⁵⁶⁰ reference gene to normalize the expression of candidate genes. Primers are
- ⁵⁶¹ listed in Supplemental Table S5.

562 Statistical Analysis

563 Unless noted otherwise, data are presented as the mean \pm sd. Statistical 564 significance was determined though one-way ANOVA analysis using 565 GraphPad Prism (version 9.0). Variations were considered significant if P 566 <0.05(*), 0.01(**) or 0.001(***).

567 ACCESSION NUMBERS

Sequence data for genes and proteins presented in this article can be found
in the *EnsemblPlants* database under the following accession numbers: *ZmLBD5* (Zm00001d029506), *ZmLBD33* (Zm00001d038717), *ZmLBD44*(Zm00001d023316), *ZmEF1a* (Zm00001d046449). The RNA-seq data was
deposited in NCBI with BioProject ID: PRJNA715318.

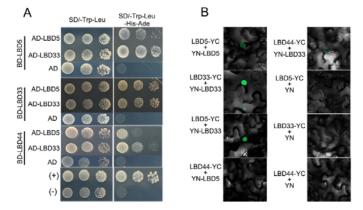
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574 **ACKNOWLEDGMENTS**

575 We thank Dr. Peter Hedden from Rothamsted Research,UK for his inspiring 576 work and the valuable suggestions on our research.

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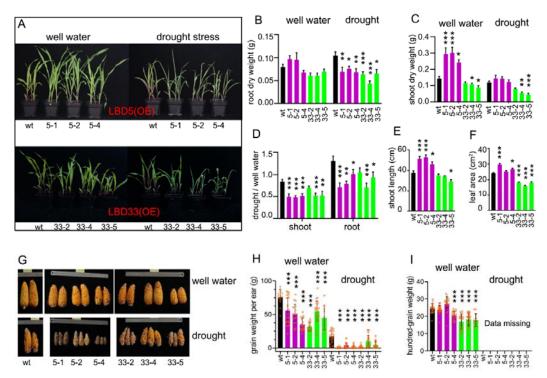
578 Figure Legends



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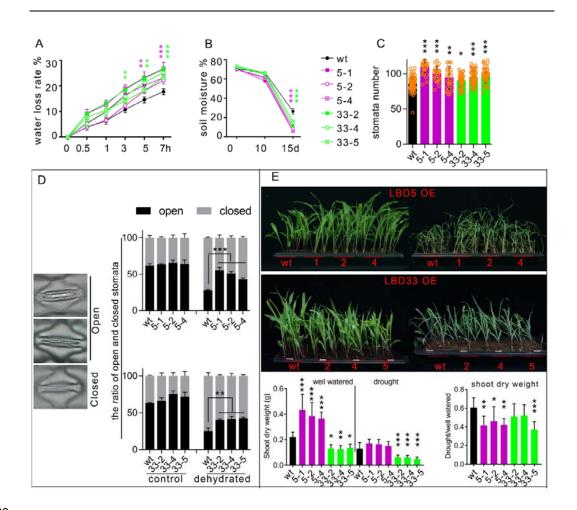
Figure 1. Protein-protein interaction analyzed by yeast two-hybrid and bimolecular 580 fluorescence complementation. Full codon region of ZmLBD5, ZmLBD33, and 581 582 ZmLBD44 were used here. (A) The yeast cells harboring the indicated plastid 583 combinations nonselective were grown on (SD/-Trp/-Leu) or selective 584 (SD/-Trp/-Leu/-His/-Ade) medium. Cells were diluted in three concentrations from left to

right. (B) ZmLBD5, ZmLBD33 and ZmLBD44 was individually cloned into pXYc104 and pXYn106 vectors, and fused with C-terminal (YC) or N-terminal (YN) of YFP. The indicated plastid combinations were transiently co-expressed in tobacco.



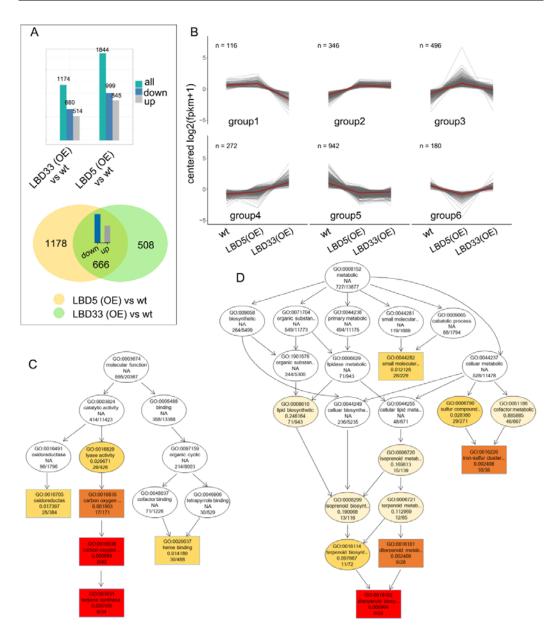
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590 Figure 2. ZmLBD5 or ZmLBD33 over-expressed plants are drought sensitive. (A) 591 Wild type and individual transgenic lines were grown in separate pot exposed to well 592 water or drought stress conditions. Quantitative description the phenotypes of root dry 593 weight (B), shoot dry weight (C), ratio of dry weight between drought stress and well water 594 conditions (D), shoot length (E) and leaf area (F). Ear phenotype (G), grain weight per ear 595 (H), and hundred-grain weight (I) of transgenic plants and wild type in field upon well water and drought conditions. Asterisks on bar represent the difference compared with wild type 596 597 is significant.



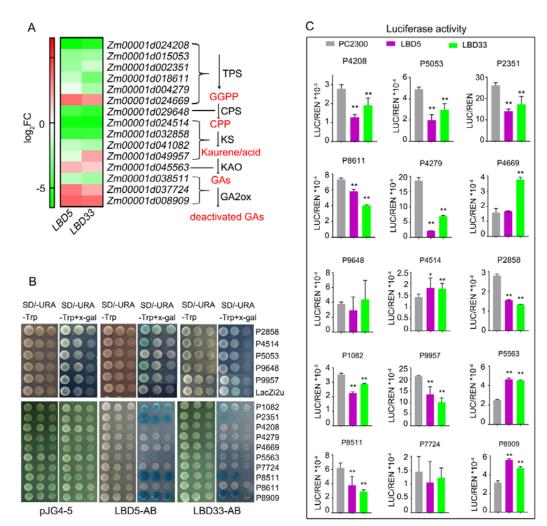
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Figure 3. Overexpression of ZmLBD5 or ZmLBD33 promotes water loss. (A) Water 600 loss rate of detached leaf. (B) Soil moisture of each pot grown with wild type or different 601 602 transgenic plants after the outage of water. (C) Stomata number of the third leaf on abaxial 603 surface. (D) The ratio of open and closed stomata 15 minutes after detachment. 604 Represented open and closed stomata were shown in the left panel. (E) Phenotype of seedlings before and after drought stress when different lines of transgenic plants and 605 606 wild type were grown in the same pot. In panels (A), (B) and (D), if all three lines were 607 significant different with the wild type asterisk was labeled.



609

Figure 4. RNA-seq indicates ZmLBD5 and ZmLBD33 function in terpenoid 610 611 metabolic pathway. (A) Different expressed gene (DEG) number in LBD5 or LBD33 612 overexpressed plants compared with the wild type. The fold change larger than 2 or 613 smaller than 0.5 were determined as DEGs. (B) All of the DEGs were classified into 6 614 groups by the centered and logarithmic transformed expression levels in wild type, LBD5 615 (OE) and LBD33 (OE). Directed Acyclic Graph of gene ontology enrichment analysis by 616 using DEGs from LBD5 overexpressed plants (C) and LBD33 overexpressed plants (D). 617 The four lines of information in each circle represent GO number, function annotation, 618 P-value of GO enrichment significance test, and DEG number/background gene number, 619 respectively. The darker the circle, the higher the enrichment. 620



621

622 Figure 5. ZmLBD5 and ZmLBD33 directly regulate TPS-KS-GA2ox gene module. (A) 15 DEGs in the most enriched GO terms in figure 4C and 4D were listed. The expression 623 624 fold change (FC) was converted to a logarithmic scale with base 2 and shown as different 625 color. TPS: terpene synthase; CPS: ent-copalyl diphosphate synthase; KS: ent-kaurene 626 synthase; KAO: ent-kaurenoic acid oxidase; GA2ox: GA 2-oxidase; GGPP: geranylgeranyl diphosphate; CPP: ent-copalyl diphosphate. (B) Y1H testing whether 627 AB-segment of LBD5 and LBD33 bind to the promoters of five candidate genes. The yeast 628 629 cells harboring the indicated plastid combinations were grown on nonselective 630 (SD/-Ura/-Trp) or color development (SD/-Ura/-Trp/+x-gal) medium. The last four number 631 of the candidate gene name was used to represent corresponding gene. Cells were 632 diluted in three concentrations from left to right. (C) dual-luciferase reporter system was 633 used to investigate the regulation of LBD5/LBD33 on candidate genes. Asterisks on bar 634 represent the difference compared with wild type is significant. 635

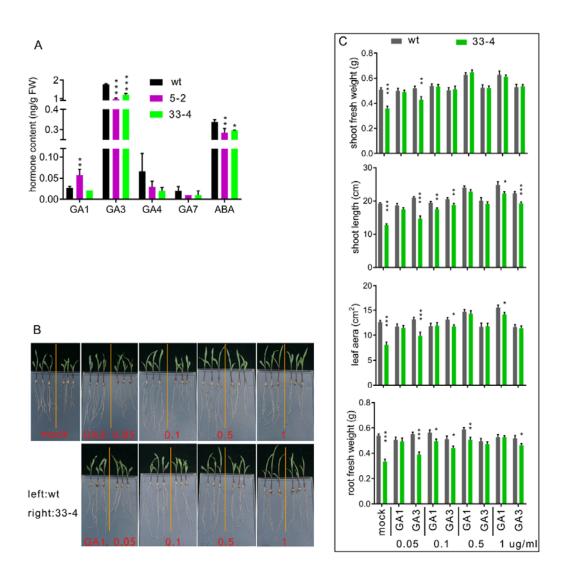
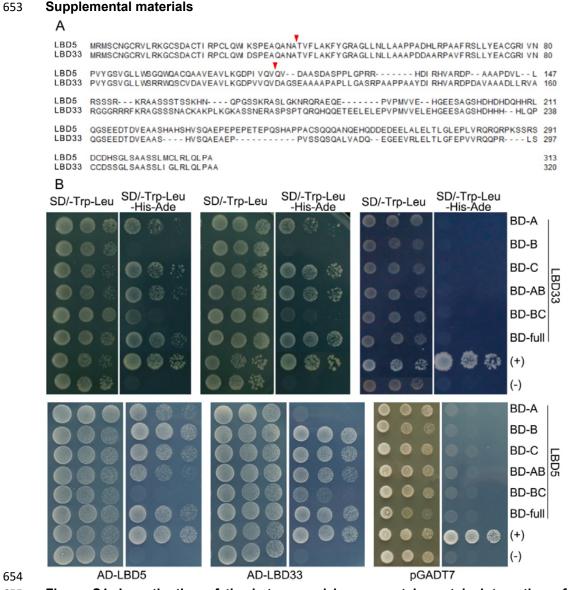
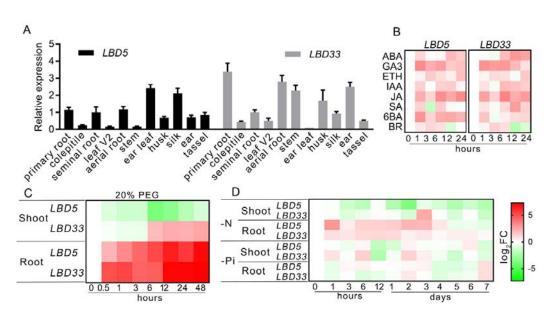


Figure 6. Exogenous GA₁ and GA₃ rescue the dwarf phenotype of *ZmLBD33* overexpressed plants. (A) Endogenous content of GAs and ABA of 12-days-old seedlings. (B) Phenotype and (C) quantitative description of shoot length, leaf area, shoot fresh weight and root fresh weight. Asterisks on bar represent the difference compared with wild type is significant.

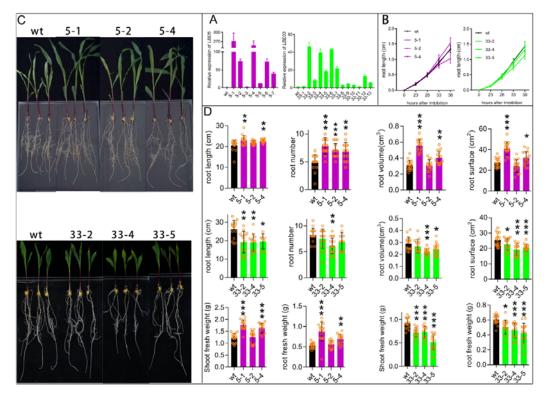


655 Figure S1. Investigation of the hetero- and homo- protein-protein interaction of ZmLBD5 and ZmLBD33. (A) Three segments of ZmLBD5 and ZmLBD33 were divided 656 from red arrows indicated two sites. (B) Different segments of ZmLBD5 and ZmLBD33 657 658 were cloned into pGBK-T7 and fused with BD. Full codon region of ZmLBD5 and ZmLBD33 were cloned into pGAD-T7 and fused with AD. The yeast cells harboring the 659 660 indicated plastid combinations were grown on nonselective (SD/-Trp/-Leu) or selective 661 (SD/-Trp/-Leu/-His/-Ade) medium. Cells were diluted in three concentrations from left to 662 right.



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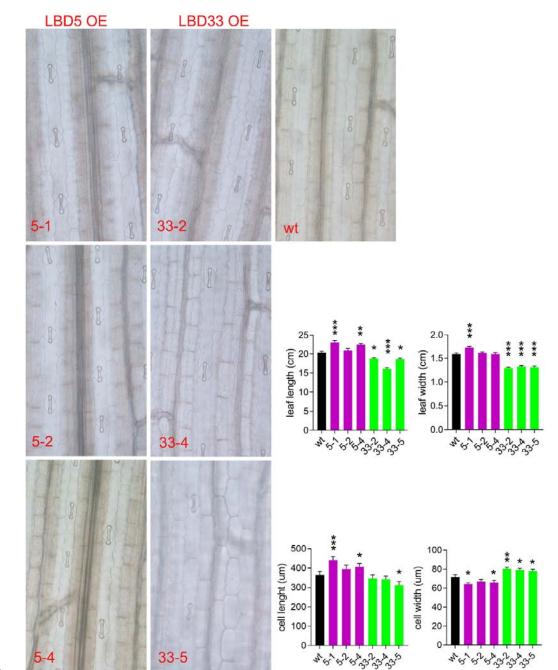
Figure S2. Expression of *ZmLBD5* and *ZmLBD33* upon different tissues and different stimuli. (A) Expression of *ZmLBD5* and *ZmLBD33* in different tissues, (B) upon different phytohormones, (C) upon PEG caused osmotic stress, (D) upon nitrogen or phosphorus deficiency. Fold change of expression relative to 0 point of treatment is converted to a logarithmic scale with base 2 and shown as different color in (B), (C), and (D).



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673 Figure S3. Phenotypic investigation of representative transgenic lines upon

hydroponic conditions. (A) Relative expression of *LBD5* and *LBD33* in different
transgenic lines. (B) The primary root length at different time after imbibition. (C)
Phenotype and (D) quantitative description of primary root length, root number, root
volume, root surface, shoot fresh weight and root fresh weight. Asterisks on bar represent
the difference compared with wild type is significant.



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Figure S4. Microscale phenotype of third leaf on adaxial surface, cell length, cell
 width, leaf length and leaf width. Asterisks on bar represent the difference compared
 with wild type is significant.

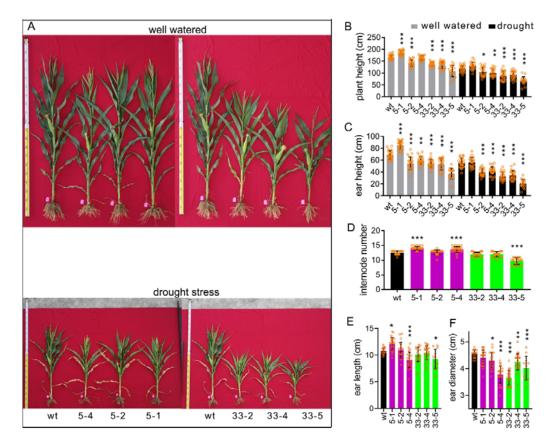
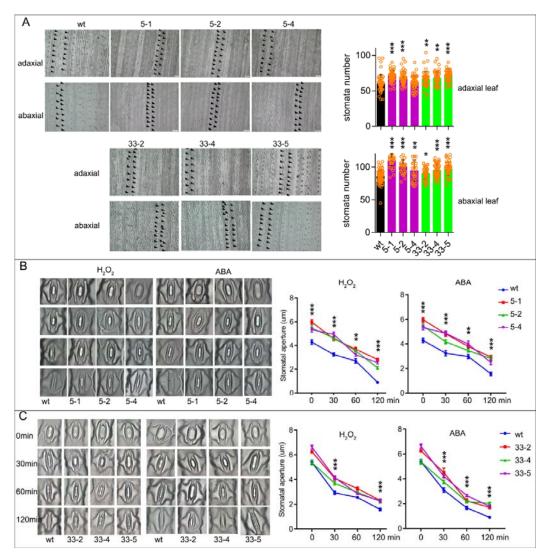
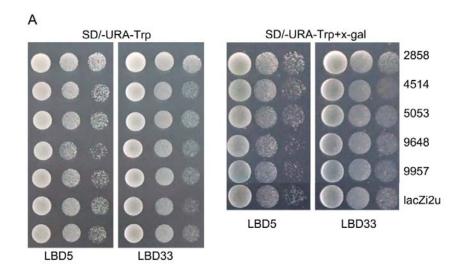


Figure S5. Phenotype of plants after tasseling. (A) Representative plants under well water condition. Plant height (B), Ear height (C), and internode number (D) of transgenic plants and wild type in field upon well water and drought conditions. Asterisks on bar represent the difference compared with wild type is significant.

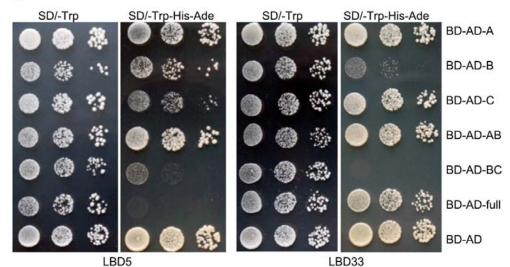


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Figure S6. Stomata number and stomatal aperture upon ABA or H_2O_2 treatment. (A) Stomata number of the third leaf on abaxial surface and adaxial surface. Stomatal aperture of *LBD5* (B) and *LBD33* (C) overexpressed plants after ABA or H_2O_2 treatment. Asterisks on bar represent the difference compared with wild type is significant. In B and C, if all three lines were significant different with the wild type asterisk was labeled.



в



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Figure S7. Yeast one-hybrid and potential transcriptional inhibition activity of LBD5 698 699 and LBD33. (A) The yeast cells harboring the indicated plastid combinations were grown 700 on nonselective (SD/-Ura/-Trp) or color development (SD/-Ura/-Trp/+x-gal) medium. The 701 last four number of the candidate gene name was used to represent corresponding gene. 702 (B) Different segments of LBD5 and LBD33 were fused with Gal4-AD and cloned into 703 pGBK-T7 to fuse with Gal4-BD. The yeast cells harboring indicated construct were grown 704 on nonselective (SD/-Trp) or selective (SD/-Trp/-His/-Ade) medium. Cells were diluted in 705 three concentrations from left to right.

- 707
- 708 Table S1. internode length of plants after tasseling in field.
- 709 **Table S2. DEGs in** *LBD5-* and *LBD33-* overexpressing plant.
- 710 Table S3. Enriched GO terms and gene list in GGPP-CPP-kaurene/acid-GA

- 711 metabolism and P450 members.
- 712 Table S4. Plasmid constructs and primers used in this study.
- 713 Table S5. primers for real-time qPCR.

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