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2	Expression of the cancer-associated DNA polymerase $arepsilon$ P286R in fission yeast leads to translesion
3	synthesis polymerase dependent hypermutation and defective DNA replication
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#### 31 ABSTRACT

32 Somatic mutations in the proofreading domain of the replicative DNA polymerase  $\varepsilon$  (POLE-33 exonuclease domain mutations, POLE-EDMs) are frequently found in colorectal and endometrial 34 cancers and, occasionally, in other tumours. POLE-associated cancers typically display hypermutation, 35 microsatellite stability and a unique mutational signature, with a predominance of C > A transversions 36 in the context TCT. To understand better the contribution of hypermutagenesis to tumour 37 development, we have modelled the most recurrent POLE-EDM (POLE-P286R) in Schizosaccharomyces 38 pombe. Whole-genome sequencing analysis revealed that the corresponding pol2-P287R allele also 39 has a strong mutator effect in vivo, with a high frequency of base substitutions and relatively few 40 frameshift mutations. The mutations are equally distributed across different genomic regions, but 41 they occur within an AT-rich context. The most abundant base-pair changes are TCT > TAT 42 transversions and, in contrast to human mutations, TCG > TTG transitions are not elevated, likely due 43 to the absence of cytosine methylation in fission yeast. The pol2-P287R variant has an increased 44 sensitivity to elevated dNTP levels and DNA damaging agents, and shows reduced viability on 45 depletion of the Pfh1 helicase. In addition, S phase is aberrant and RPA foci are elevated, suggestive 46 of persistent ssDNA or DNA damage, and the pol2-P287R mutation is synthetically lethal with rad3 47 inactivation, indicative of checkpoint activation. Significantly, deletion of genes encoding some 48 translesion synthesis polymerases, most notably Pol  $\kappa$ , partially suppresses pol2-P287R 49 hypermutation, indicating that polymerase switching contributes to this phenotype.

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#### 52 AUTHOR SUMMARY

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54 Cancer is a genetic disease caused by mutations that lead to uncontrolled cell proliferation and other 55 tumour properties. Defects in DNA repair or replication can lead to cancer development by increasing 56 the likelihood that cancer-causing mutations will happen. Here we look at a pathogenic variant of a 57 polymerase involved in genome replication (DNA polymerase POLE-P286R). This variant is 58 associated with highly mutated cancer genomes. By introducing this mutation into the polymerase 59 gene of a model organism, fission yeast, we show that it causes a large increase in single base 60 substitutions, scattered throughout the genome. The sequence context of mutations is similar in 61 fission yeast and humans, suggesting that the yeast model is useful for understanding how POLE-62 P286R causes such a high mutation rate. Yeast POLE-P286R cells show slow chromosome replication, 63 suggesting that the polymerase has difficulty in copying certain chromosomal regions. Yeast POLE-64 P286R cells become inviable when the concentration of dNTP building blocks for DNA synthesis is 65 increased, probably because the mutation rate is pushed to an intolerable level. Interestingly, we find 66 that specialised polymerases that are tolerant of DNA damage contribute to the high mutation rate 67 caused by POLE-P286R. These findings have implications for the therapy of POLE-P286R tumours.

## 69 INTRODUCTION

In eukaryotes, nuclear DNA replication is carried out by three members of the B-family of DNA polymerases (Pols), Pols  $\alpha$ ,  $\delta$  and  $\varepsilon$ , which function cooperatively to guarantee accurate and efficient genome duplication. Pol  $\alpha$  synthesizes the primer to initiate DNA replication, allowing Pol  $\varepsilon$  and Pol  $\delta$ to take over leading and lagging strand synthesis, respectively. Unlike Pol  $\alpha$ , Pols  $\varepsilon$  and  $\delta$  display high processivity and fidelity, being the only nuclear polymerases with functional 3'-5' exonuclease activity capable of correcting mistakes made during DNA synthesis [1, 2].

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Pol  $\varepsilon$  is a large, four-subunit protein with critical roles in DNA replication and repair, cell cycle control and epigenetic inheritance (reviewed in [3]). In contrast to Pol  $\delta$ , Pol  $\varepsilon$  is a highly processive enzyme even in the absence of accessory factors [4-6], and is perhaps the most accurate eukaryotic DNA polymerase [7, 8]. The high intrinsic processivity is due to the presence of a small domain in the catalytic subunit (Pol2) that allows Pol  $\varepsilon$  to encircle the nascent dsDNA [6, 9]. Another unique feature of Pol2 is the presence of a tyrosine (Y431 in *S. cerevisiae*) in the major groove of the nascent basepair binding pocket, which may contribute to the fidelity of polymerisation [9].

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85 Proof reading increases Pol  $\varepsilon$  replication accuracy by ~100-fold [7] and has an essential role in the 86 maintenance of genomic stability. Mutations inactivating the exonuclease activity of Pol  $\varepsilon$  cause an 87 increased mutation rate in both yeast [10] and mice, and lead to murine tumours in tissues with a high 88 rate of cell turnover [11]. Recently, these findings were shown to be relevant to human cancer from 89 large-scale studies of colorectal (CRC) and endometrial cancers (EC) [12-15]. This analysis revealed a 90 subset of hypermutated microsatellite-stable (MSS) tumours with heterozygous somatic mutations in 91 the exonuclease domain of Pol  $\epsilon$ . A further study of sporadic ECs showed that somatic Pol  $\epsilon$ 92 exonuclease domain mutations (POLE EDMs) were present in about 7% of cases [16]. Subsequently, 93 several thousand colorectal and endometrial tumour samples have been analysed, reporting more 94 than 10 different POLE driver mutations [17, 18]. Current data suggest that somatic POLE proofreading 95 domain mutations are present in 1-2% of CRCs and 7-12% of ECs, and less commonly in hypermutated 96 tumours of the brain, pancreas, ovary, breast, stomach, lung and prostate [18, 19]. The most striking 97 molecular characteristic in tumours harbouring many somatic POLE EDMs is their very high mutation 98 rate, often exceeding 100 mutations/Mb. These mutations are predominantly single base 99 substitutions and define a characteristic mutational pattern with a high proportion of C > A100 transversions in the context T<u>C</u>T, C > T transitions in the context T<u>C</u>G and T > G transversions in the 101 context TTT, corresponding to COSMIC signatures 10 SBS10a and SBS10b [16, 20-22].

The amino-acids substituted in somatic *POLE* EDMs show a variable incidence rate (reviewed in [18, 104 19]), with *POLE-P286R* being the most frequent variant in colorectal and endometrial cancer [16, 21, 23], reaching a frequency of ~7% in early-onset colorectal cancer [24]. Heterozygous *Pole-P286R* mice develop malignant tumours of diverse lineages, which show very high mutation rates in the range of human malignancies [25]. This exceptional mutator phenotype presumably leads to a greater cancer risk due to mutations in driver genes, explaining the frequent occurrence of this variant in cancers.

110 The equivalent substitution (pol2-P301R) has been functionally validated in S. cerevisiae, showing an 111 unusually strong mutator effect, and even in the heterozygous state the mutator effect is comparable 112 with complete MMR deficiency [23]. Interestingly, budding yeast expressing pol2-P301R shows a 113 mutation rate far higher than that of the exonuclease inactive variant (*Pol*  $\varepsilon$  exonull), suggesting that 114 P286R must be able to increase the mutation rate through other processes other than proofreading 115 deficiency alone [23, 26]. Curiously, the mutation rate of Pol2-P301R in vitro is less than that of Pol  $\epsilon$ 116 exonull, and is reported to have increased polymerase activity with the ability to extend mismatched 117 primer termini. It is possible that this phenotype may result from the P286R mutation blocking the 118 nascent DNA terminus from switching to the exonuclease site [27]. Nevertheless, the S. cerevisiae 119 pol2-P301R holoenzyme still shows some exonuclease activity [26], while the human enzyme has been 120 reported to have none [21].

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122 Defects in polymerases may lead to increases in mutation rates by several mechanisms. In S. 123 *cerevisiae*, an exonuclease null Pol  $\delta$  mutant activates mutagenic repair via a checkpoint pathway that 124 elevates dNTP levels, and this may be more important for accumulation of mutations than the 125 proofreading defect *per se* [28]. The mechanism behind the mutator phenotype of the colon-cancer 126 associated variant POLD1-R689W is similar in that yeast cells expressing Pol3-R696W (equivalent to 127 POLD1-R689W) show a checkpoint-dependent increase in dNTP levels, similar to that seen with 128 proofreading defective mutants [29]. This elevation of dNTP pools further increases the rate of Pol  $\delta$ 129 errors, thus forming a vicious circle and a similar mechanism has been suggested to explain the 130 mutator phenotype due to error-prone DNA polymerase  $\varepsilon$  variants [30]. However it remains unknown 131 whether dNTP pool levels are elevated in response to cancer-associated Pol  $\varepsilon$  variants.

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An additional mechanism linking polymerase defects to an increased mutation rate involves translesion synthesis (TLS) polymerases. In *S. pombe*, Pol  $\kappa$ , and Pol  $\zeta$  to a lesser extent, contribute significantly to the mutator phenotype of a strain expressing defective Pol  $\alpha$  [31]. This study suggests that TLS polymerases are recruited in response to replication fork stalling or collapse, restarting

- 137 synthesis at the cost of an increased replicative mutation rate. However, Pol  $\zeta$  is not responsible for
- the P286R hypermutation phenotype in *S. cerevisiae* [26].
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140 In this study, we have characterized the impact of the P286R variant on genome-wide mutation and S

- 141 phase execution in the fission yeast *Schizosaccharomyces pombe*. Furthermore, we studied the effect
- of increasing the mutation frequency in the viability of cells with high mutational burden. Finally, we
- addressed the contribution of TLS polymerases to hypermutation.
- 144 **RESULTS**
- 145 Fission yeast mimic of POLE-P286R has a strong mutator phenotype

146 P286 is invariant in all Pol  $\varepsilon$  orthologues, and is also conserved in Pol  $\delta$ , T4 and RB69 bacteriophage 147 polymerases (Fig. 1A). A fission yeast mimic of POLE-P286R (pol2-P287R) and an exonuclease deficient 148 variant (pol2-D276A/E278A, [32] [33]) were constructed and in vivo mutation rates were measured by 149 fluctuation analysis. Introduction of these mutations did not affect the steady state levels of the 150 protein (Supplementary Fig. S1). The Pol2-P287R variant is also hypermutagenic in fission yeast, with 151 a rate of mutation that is about 70 times higher than wild type and about 10-fold higher than the 152 catalytically dead mutant (Fig. 1B), supporting the hypothesis that this change results in defects 153 substantially more severe than loss of proofreading. Interestingly, mutation of the equivalent proline 154 to arginine (P311R) in *S. pombe* Pol  $\delta$  (Pol3/Cdc6) did not result in a hypermutating phenotype (Fig. 155 1B), possibly reflecting the different roles of Pol  $\varepsilon$  and Pol  $\delta$  as leading and lagging strand polymerases.

156 To analyse further the rate and the spectrum of mutations, we undertook a ~200-generation mutation 157 accumulation (MA) experiment followed by deep sequencing of genomic DNA from wild-type and mutant cells. On average, we identified 0.5, 9.5 and 394 single-base substitutions in the wild-type, 158 159 pol2-D276A/E278A and pol2-P287R strains, respectively (Fig. 1C). Consistent with the fluctuation 160 assay data, pol2-P287R cells have the highest rate of mutation, exceeding that of pol2-D276A/E278A 161 mutant by more than 40 times (Fig. 1C). In addition, this hypermutator variant displayed a relative 162 absence of indels (Fig. 1C), consonant with the microsatellite-stable (MSS) phenotype of POLE EDM 163 tumours [16]. There was no genomic region particularly prone to P287R-induced mutations, which 164 were equally distributed across the genome (Fig. 1D).

Fission yeast mutations induced by pol2-P287R broadly recapitulate mutations seen POLE-P286R
cancer genomes

167 We next wished to compare the mutation spectra occurring in *POLE-P286R* human cancers and the 168 equivalent mutant in fission yeast. First, we analysed whole-exome sequencing data from the TCGA 169 colorectal and endometrial tumours harbouring the P286R variant. As expected, both groups of 170 samples displayed very similar patterns, typified by C > A transversions in the context T<u>C</u>T, C > T 171 transitions in the context T<u>C</u>G and T > G transversions in the context T<u>T</u>T (Fig. 2A), which is in 172 accordance with the specific mutational spectrum of *POLE* EDMs [16, 20, 21].

173 We then compared the pattern of *pol2-P287R* mutations to the human spectra (Fig 2A, 2B upper 174 panel). In consonance with human cancers, the most abundant mutations are C > A transversions in 175 which the mutated cytosine is in the context TCT, although not to the same proportion as in the 176 tumour genomes (Fig. 2A, B). In addition, the *pol2-P287R* cells recapitulate the most discriminating 177 genomic alterations for human POLE mutations: CG to AT  $\ge$  20%; TA to GC  $\ge$  4%; CG to GC  $\le$  0.6% [34]. 178 More precisely, pol2-P287R cells present values of 33%, 15%, and 0% respectively (Fig. 2B and 179 Supplementary Fig. S2). However, the lack of TCG > TTG transitions in the *pol2-P287R* spectrum is 180 noteworthy. In humans, C > T transitions in a CpG base context are probably due to the deamination 181 of 5-methylcytosine to thymine [35, 36] and/or mutant POLE synthesis across methylated cytosines 182 situated on the leading strand [37], and the absence of 5-methylcytosine in fission yeast may explain 183 these differences. Overall, these data suggest that fission yeast expressing P287R is a useful model for 184 the human mutation.

185 Genome-wide estimates of mutation rates and mutational spectrum of wild-type S. pombe have been 186 recently published [38, 39]. We used the base-substitution mutations from both studies to generate 187 the mutational spectrum of the wild-type strain and we then compared it with the percentage of base 188 substitutions identified in *pol2-P287R* cells (Fig. 2B). We found a prominent enrichment of C > A189 transversions in an NCT context and T > G transversions in an NTT context in the *pol2-P287R* mutant. 190 In contrast, C > G transversions occurred with increased frequency in the wild-type cells, being 191 completely absent in the mutant strain (Fig. 2B). This indicates that the Pol2-P287R polymerase is not 192 simply amplifying the pattern of mutations found in wild-type cells.

#### 193 Sequence-context determinants affect mutation rate variability

To establish if mutations are generated in preferred nucleotide contexts, we aligned 103 bp long sequences, encompassing the trinucleotides harbouring the mutations and their 50 bp flanking regions, and determined the nucleotide frequency for each position relative to the centre of the alignment in both the wild-type and *pol2-P287R* cells. Figure 2C showed a 10-12 bp long region flanking the mutations in the case of the *pol2-P287R* strain (top right panel), with strong asymmetry

in the frequency of adenine (A) and thymine (T) in the same DNA strand relative to the mismatch
position. This asymmetric bias is absent in the region flanking wild-type mutations (top left panel). As
a control, alignment of randomly selected 103 bp sequences along the *S. pombe* genome generated
flat profiles, in which the nucleotide composition coincided with the average genome content (Fig 2C,
bottom panels).

204 Given the AT-richness in the vicinity of pol2-P287 induced mutations, we looked at AT-rich regions in 205 general to see to see if this sequence context is a factor in mutational probability in yeast and human 206 genomes. Our results indicated that around 50% of these mutations are embedded in sequences 9-50 207 bp long with an AT-enrichment  $\ge$  80% both in the fission yeast *pol2-P287R* mutant and P286R cancers 208 (Fig. 2D). However, differences were found in regions over 50 bp (AT  $\ge$  80%), with 14 and 2.6% of T<u>C</u>T 209 > TAT transversions in yeast and human respectively (Fig. 2D), likely caused by the higher AT-content 210 of the S. pombe genome [40]. Non-mutated TCT did not show this bias towards AT-rich environments 211 (Supplementary Fig. S3). Overall, this suggests that the mutagenic mechanism due to *pol2-P287R* is 212 distinct from that seen in wild-type cells.

## 213 Similarities between pol2-P287R mutational patterns and COSMIC signatures

214 To compare the mutational consequences of the human and yeast POLE286R variant, we first 215 generated the mutational patterns of the wild-type and pol2-P287R strains and corrected for the 216 difference in trinucleotide frequencies in the S. pombe genome and the human exome (Fig. 3A), as 217 described in [41]. Then, to assess if any of the mutational patterns determined in this study could be 218 related to one of the COSMIC mutational signatures, a cosine heatmap was generated using the 219 MutationalPatterns package [42]. A cosine similarity of 0.80 was considered a threshold for "high" 220 similarity [41]. The pol2-P287R strain showed the highest similarity of 0.85 to the signature 14 (Fig. 3B 221 and Supplementary Table S3). Signature 14 was previously established as linked with concurrent POLE 222 EDM and defective MMR. The wild-type strain did not exhibit any cosine coefficient over the 223 threshold, displaying a highest similarity of 0.72 to COSMIC signature 40 (Fig. 3B and Suppl. Table S3). 224 This is currently a signature of unknown aetiology, although numbers of mutations attributed to it are 225 correlated with patients' ages for some types of human cancer. Moreover, these data indicate that 226 the signature 10 associated with POLE EDMs in human cancers is not the most prevalent mutational 227 pattern detected in the pol2-P287R strain. This may suggest that the mutational process in S. pombe 228 pol2-P287R does not fully represent the situation in human cells. However it should be borne in mind 229 that human cancer cells are heterozygous for the POLE-P286R mutation, while the pol2-P287R

mutation accumulation experiment used a haploid strain, so the mutagenic burden on mismatchrepair would have been greater in the yeast strain.

#### 232 pol2-P287R cells exhibit increased sensitivity to DNA-damaging agents

233 Cells with a high rate of spontaneous mutations frequently display increased sensitivity to DNA-234 damaging agents [43, 44]. Furthermore, Pol  $\varepsilon$  is implicated in various repair pathways [3]. To gain 235 further insight into this relationship, we performed spot assay analyses in the presence of different 236 mutagenic agents. Results showed that pol2-P287R cells are very sensitive to agents inducing DNA 237 breaks, such as bleocin, or nucleotide modification, such as MMS, 4-NQO, UV radiation, but not to HU, 238 a ribonucleotide reductase inhibitor (Fig. 4A). In fact, pol2-P287R cells showed some resistance to HU. 239 The sensitivity to MMS is particularly dramatic and maybe due to the fact that, at the concentrations 240 used, this alkylating agent generates 25 and 50-fold more lesions than 4-NQO and bleocin, respectively 241 [45]. The sensitivity of *pol2-P287R* to DNA damaging agents is seen with several independently 242 derived strains, so it is unlikely that this phenotype is due to second-site mutations facilitated by the 243 strain's hypermutation. Given the sensitivity to a wide range of DNA damaging agents, it is possible 244 that Pol2-P287R compromises the ability of the replication fork to cope with damaged template, 245 rather than an effect on a specific repair pathway.

## 246 Increased dNTP levels lead to lethality in pol2-P287R cells

As described above, *pol2-P287R* cells exhibit slight resistance to HU (Fig. 4A), suggesting that this mutant could have increased dNTP pools under normal conditions. Increased dNTP levels can elevate the mutation rate and, in combination with genetic alterations affecting DNA polymerase nucleotide selectivity, proofreading activity, or MMR, cause enhanced mutator phenotype ([29, 30, 46] reviewed in [47]). However, the *pol2-P287R* strain showed similar levels of dNTPs to wild-type and *pol2-exonull* cells (Fig. 4B), indicating that dNTP alterations are not contributing to its hypermutagenic phenotype.

253 Increased dNTP levels are detrimental to the fidelity of DNA replication in bacteria, yeast and 254 mammalian cells (reviewed in [47]. This effect might be exacerbated in the case of the error-prone 255 Pol2-P287R variant. To address this possibility, we crossed a *cdc22-D57N* strain, where dNTP levels 256 are enhanced due to inactivation of ribonucleotide reductase allosteric regulation, with the 257 exonuclease-deficient pol2-D276A/E278A and pol2-P287R mutants and analysed the progeny by 258 tetrad dissection. Double pol2-D276A/E278A cdc22-D57N mutants were readily obtained but the 259 double *pol2-P287R cdc22-D57N* were not, implying synthetic lethality (Fig. 4C). The mutation burden 260 of the *pol2-P287R* strain could be near the limit of the 'error-induced extinction', and an increase in

the mutation frequency caused by raised dNTP levels may be sufficient to dramatically decrease the fitness of these cells, but this is tolerated in the *pol2-D276A/E278A* control, which has a lower mutation rate.

#### 264 The pol2-P287R mutation causes S phase defects and is dependent on Rad3 for viability

265 We noticed that a proportion of *pol2-P287R* cells were elongated (Supplementary Fig. S4), and the 266 doubling time of the strain is longer than wild-type or exonull strains (Fig. 5A), suggesting that 267 problems with S phase might lead to a mitotic delay via checkpoint activation. To investigate this in 268 more detail, cells were arrested in G1 by nitrogen starvation, released from the block and flow 269 cytometry was used to follow the progress of S phase. This showed a slower completion of S phase in 270 the *pol2-P287R* strain (Fig. 5B) compared to wild-type cells, while the wild-type and exonull strains 271 showed similar S phase kinetics. To examine S phase execution by a different method, we pulsed cells 272 with EdU for 15 minutes and measured the length of replication tracts by DNA combing (Fig 5C,D). The 273 pol2-P287R cells showed shorter incorporation tracks, suggesting that fork progression is slower, or 274 fork stalling is more frequent.

275 To determine if the Rad3 checkpoint kinase is required for viability of the *pol2-P287R* cells, we made 276 double mutants with a temperature-sensitive rad3ts allele. While the exonuclease null double mutant 277 was viable at the restrictive temperature, the pol2-P287R double mutant was not (Fig. 5E). We also 278 found that foci of Rad11-GFP, a subunit of RPA, were more common in *pol2-P287R* cells compared to 279 wild-type and exonull strains, suggesting that single-stranded DNA and perhaps DNA damage may 280 result from replication by the mutant polymerase (Fig. 5F, Supplementary Fig. S5). Consistent with 281 these observations, we observed a low level of Chk1 and Cds1 phosphorylation in the pol2-P287R 282 mutant (Fig 5G, H). Taken together, these observations suggest that a slower, defective S phase and 283 accumulation of single-stranded DNA results from replication by Pol2-P287R, resulting in partial 284 activation of the DNA damage and replication checkpoints.

## 285 Genetic interaction with Pfh1 helicase

Given our observations that Pol2-P287R results in a defective S phase, we investigated whether there is a genetic interaction with Pif1/Pfh1 helicase, since this enzyme is involved in replicating through template barriers such as transcription complexes [48-50]. In *S. pombe*, Pfh1 has an essential role in the maintenance of both nuclear and mitochondrial DNA [51]. Therefore, to study its genetic interaction with the exonuclease variants, we made double mutants of *pol2-P287R* with a conditional allele of *pfh1 (nmt1-81Xpfh1-GFP)*, where the gene is under the control of a weak promoter that is down-regulated by thiamine [51]. In the absence of thiamine, Pfh1 is localized to the nucleus and
mitochondria (Fig 6, top panels) and all the strains grew well at 26°, 30° and 36° C (Fig. 7B, top panels).
The nuclear signal is dramatically reduced in the presence of thiamine (Fig 7A, bottom panels). The
growth of the *pol2-D276A/E278A nmt1-81Xpfh1-GFP* double mutant strain was similar to wild-type,
when *pfh1-GFP* was repressed. However, *pol2-P287R nmt1-81Xpfh1-GFP* cells were very sick
suggesting a strong genetic interaction with *pfh1* (Fig. 7B).

298 It has been recently found that Pfh1 overexpression is able to rescue some replication defects in fission 299 yeast [52]. We wondered whether Pol2-P287R defects could be partially alleviated by overexpressing 300 this helicase. pol2-287R cells were transformed with pREP1 plasmid expressing Pfh1 DNA helicase 301 under the control of the full strength (3X) *nmt1* promoter and spot assays were performed. Results indicated that overexpression of Pfh1 largely suppresses some defective phenotypes of pol2-P287R 302 303 mutant cells, such as the growth defects at 30° C in minimal medium and the sensitivity to MMS (Fig. 304 7C). However, the 4-NQO sensitivity was not rescued by the overexpression of the helicase. Overall, 305 these results suggest that the replisome in pol2-P287R cells may have difficulty in dealing with 'hard-306 to-replicate' template particularly if Pfh1 levels are low, but enhanced Pfh1 activity may to some 307 extent compensate for pol2-P287R defects.

#### 308 Pol κ deletion suppresses the hypermutagenic phenotype

309 In contrast to its strong mutator effect in vivo, it has been shown in S. cerevisiae that P286R does not 310 have a high error rate in vitro [26]. We hypothesized that as a consequence of the failed proofreading 311 caused by the pol2-P287R mutation, replication fork stalling might increase and TLS polymerases could 312 be recruited onto chromatin, to extend mismatched primer terminus, resulting in mutagenic synthesis 313 [31]. We analysed the mutation rates of different TLS polymerase mutants containing a deletion of 314 rev1, rev3 (the catalytic domain of Pol  $\zeta$ ) or kpa1 (Pol  $\kappa$ ), or a single point mutation in eso1 (eso1-315 D147N) inactivating Pol n, individually and in combination with pol2-P287R. Canavanine fluctuation 316 assays showed that deletion of rev3 in pol2-P287R cells did not significantly change the error rate (Fig. 317 7A), consistent with data from S. cerevisiae [26]. In the case of  $rev1\Delta$  pol2-P287R and eso1-D147N 318 pol2-P287R double mutants, the error rate was partially decreased (Fig. 7A). Strikingly, kpa1 deletion 319 reduced the mutation rate of pol2-P287R to a level similar to an exonuclease deficient mutant, 320 suggesting that this polymerase contributes to mutagenesis in cells expressing Pol2-P287R.

321 To confirm this result, we performed a ~200-generation mutation accumulation (MA) experiment 322 followed by deep sequencing of genomic DNA from  $kpa1\Delta$  and eso1-D147N single and double 323 mutants. Sequencing data showed a reduction of around 4-fold in the number of mutations

accumulated in the absence of Pol  $\eta$  activity compared to the single *pol2-P287R* mutant. In accordance with the fluctuation rate assays, *kpa1* $\Delta$  caused a stronger reduction in the mutation burden, with a 15-fold decrease in the number of mutations accumulated the *kpa1* $\Delta$  *pol2-P287R* double mutant (Fig. 7B). Overall, these results suggest that an important feature of hypermutation caused by the *pol2-P287R* variant is polymerase switching to TLS polymerases.

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## 330 DISCUSSION

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332 Defects in DNA replication have long been suggested to have a causative role in human cancer, but 333 specific examples have only recently emerged. Recently, several studies show that 334 somatic POLE proofreading domain mutations occur in sporadic ECs, CRCs and several other cancers 335 (reviewed in [18, 19]) The POLE EDMs are associated with a phenotype of hypermutation, 336 microsatellite stability and favourable prognosis, possibly due to T cell responses to tumour 337 neoantigens [53]. The most frequent variant, P286R, has been modelled in S. cerevisiae (Pol2-P301R), 338 where it causes a strong mutator effect even in the heterozygous condition, in excess to that seen 339 with exonuclease defective Pol2. [23]. However, the mechanisms leading to this hypermutation 340 phenotype are not well understood.

341

342 Our results show that the equivalent mutation of POLE-P286R, pol2-P287R, is also highly mutagenic in 343 fission yeast, with a base substitution frequency much higher than that caused by loss of the 344 exonuclease activity of Pol  $\varepsilon$ . In consonance with findings in human cancers, the most abundant 345 mutation is a C > A transversion in a TCT context, although the mutational pattern in yeast does not 346 completely mirror the human pattern. The mutational pattern is not just a reflection of the 347 polymerase defect, since it is also affected by mismatch repair, which may differ in its specificity and 348 efficiency between yeast and humans. In addition, the pol2-P287R mutant shows reduced S phase 349 kinetics, sensitivity to DNA damaging agents, increased RPA foci, dependence on Rad3, and a low level 350 of Chk1 and Cds1 phosphorylation, indicating partial activation of the DNA damage and replication 351 checkpoints. The human POLE-P286R mutation is found in the heterozygous condition, so we would 352 not predict tumour cells carrying this mutation would necessarily show an S phase delay. Indeed mice 353 heterozygous for the P286R are born healthy and fertile, with no enhancement of DNA damage 354 markers although they are highly cancer prone [54]. In contrast, mice hemizygous for the POLE-P286R 355 mutation generally show embryonic lethality. Thus mammalian cells heterozygous for the POLE-P286R 356 mutation may be ideally predisposed for cancer formation, having a sufficiently high mutation rate 357 but without defects in cell cycle progression.

#### 358

359 Budding yeast Pol2-P301R has a lower mutation rate than Pol2-exonull in vitro [26], so it is not clear 360 what is responsible for the high *in vivo* mutation rate. One suggestion is that the strong mutator effect 361 results from higher polymerase activity and more efficient mismatch extension capacity, which leads 362 to misincorporation rather than proofreading [26]. Our data suggest that additional mechanisms are 363 involved, namely that Pol  $\kappa$ , and to a lesser extent Pol  $\eta$ , contributes to the elevated mutation rate of 364 the *pol2-P287R* mutant, similar to the situation seen with defective Pol  $\alpha$  [31]. Pol  $\kappa$ , and less so Pol 365 η, are efficient at extending from a mismatch [55, 56], and show error rates considerably higher than 366 Pols  $\delta$  and  $\epsilon$  [56]. Pol  $\kappa$  has also been implicated in restart of stalled replication forks [57]. Previous 367 work has suggested that following misincorporation, the budding yeast Pol2-P301R has a tendency 368 to extend the mismatch [26], but the ability of Pol  $\delta$  to proofread Pol2-P301R errors means that the 369 enzyme must dissociate some of the time [58]. We suggest that in this situation, Pols  $\kappa$  and  $\eta$  may 370 compete with Pol  $\delta$  for extension of the leading strand, leading to mutagenic synthesis 371 (Supplementary Fig. S6). Interestingly, our data show that mutation of the proline equivalent to P286 372 in Pol  $\delta$  does not lead to hypermutation, consistent with the fact that tumour sequencing has not 373 identified this as a clinical mutation. Displacement of the lagging strand polymerase may simply lead 374 to downstream initiation of an Okazaki fragment, and thus no polymerase switching.

375

In addition to their roles in lesion bypass during translesion synthesis, Pols  $\kappa$  and  $\eta$  have important functions during the replication of hard-to-replicate sites such as microsatellite sequences, Gquadruplex and common fragile sites (CFSs), and during conditions of replicative stress [59]. Pol  $\varepsilon$ P287R may be more prone to stalling or displacement at such sequences compared to wild-type or exonull polymerases, as suggested by the interaction with Pfh1 reported here, perhaps again allowing exchange with Pol  $\kappa$ .

382

383 POLE proofreading domain-mutant tumours may be particularly sensitive to specific therapeutic 384 approaches due to their exceptional mutation burden. One strategy to decrease the overall fitness of 385 hypermutating tumour cells is by increasing the mutation frequency further to induce an error 386 catastrophe, similar to lethal mutagenesis of viruses [60]. Indeed, we found that pol2-P287R cells are 387 sensitive to high dNTP levels, which probably reflects a further increase in mutation rate leading to 388 cell death by error-induced catastrophe. Normal somatic cells might be much less sensitive to a 389 transient increase in mutation rate [60, 61]. Clinically, an increase in the mutation rate could be 390 effected by, for instance, decreasing the efficiency of MMR, or inducing DNA lesions using DNA 391 damaging agents or nucleoside analogues. Additionally, upregulating RNR or inactivating SAMHD1

would also increase dNTP levels. Interestingly, SAMHD1 putative cancer drivers [62] are not found in
any of the POLE-P286R tumours from a collection containing 2188 non-redundant samples from 10
colorectal and endometrial studies [63, 64], suggesting that these mutations might be mutually
exclusive.

396

In conclusion, while previous work has focused on features of POLE P286R function that are intrinsic
to the enzyme, our results in *S. pombe* suggest that some aspects the high mutagenesis is a result of
in vivo responses to polymerase malfunction. Clearly it will be of interest to see a similar situation is
also found in human cells.

401

#### 402 Materials and methods

403

## 404 S. pombe methods

405 Standard media and genetic techniques were used as previously described [65]. Cultures were 406 grown in either rich medium (YE3S), or Edinburgh minimal medium (EMM) supplemented with the 407 appropriate requirements and incubated at 30°C with shaking, unless otherwise stated. Nitrogen 408 starvation was carried out using EMM lacking NH<sub>4</sub>Cl. The relevant genotypes and source of the 409 strains used in this study are listed in Table S1. Oligos used for strain constructions are listed in Table 410 S2.

411

412 For spot testing, cells were grown overnight to exponential phase and five serial dilutions (1/4) of

413 cells were spotted on agar plates using a replica plater (Sigma R2383). Plates were incubated at the

414 relevant temperature, and photographed after 2-3 days.

415

Growth curves were obtained using the Bioscreen C MBR machine. Cultures in exponential phase were adjusted to 2 x 10<sup>6</sup> cells/ml and 250 µl were dispensed in 4-8 replicate wells for each strain. The plate was incubated at 30°C with constant shaking at maximum amplitude. The OD600 of each well was measured every 20 minutes for 22 hours. Duplication time was determined via analysis of the exponential phase of the plotted log2 graph time vs OD600 using the exponential growth equation available on Prism.

422

423 Cell lengths were determined using an AxioPlan 2 microscope and pictures where taken using a

424 Hamamatsu ORCA E camera system with Micro-Manager 1.3 software. Cell length was measured

using ImageJ. Fluorescence microscopy was carried out as previously described [66], except cells
were not fixed.

427

428 dNTP levels were determined as previously described [67].

429

430 S. pombe pol2 variant strains were constructed as described previously [68]. The pol2-P287R strain

431 was constructed using mutagenic primers 1128 and 1129; the P>R mutation generates an Nrul site.

432

433 S. pombe pol3 variant strains were constructed as previously described [69]. For strain

434 *pol3P311R::kanMX6* (3419), mutagenic primers used were 1192 and 1193, with flanking primers

435 1148 and 1076. The mutation introduces a Nrul site. The final PCR product was cloned into pFA6a as

436 AscI-BamHI fragment and integrated at the *pol3* locus after cleavage with XhoI. The exonull strain

437 *pol3D386A* was based on the mouse D400A mutation which has been shown to lack exonuclease

438 activity. [70]. Mutagenic primers used were 1140 and 1141, with flanking primers 1075 and 1076;

the mutation also introduces a Nrul site. The final PCR product was cloned as above and integrated

440 into the *pol3* locus after cleavage with CspCl.

### 441 **Protein analysis**

442 Proteins were extracted by TCA method and resolved on 3-8% Tris Acetate NuPAGE (Thermo 443 Scientific) in reducing conditions (NuPAGE Tris Acetate SDS running buffer – Thermo Scientific) [71]. 444 For analysis of Chk1 and Cds1 phosphorylation, cells were grown to log phase in YES at 30°C. As a 445 positive control for Chk1 phosphorylation, cells were grown YES plus 0.025% MMS. As a positive 446 control for Cds1 phosphorylation, cells were grown YES plus 10 mM hydroxyurea. In the case of P-447 Chk1 analysis, proteins were resolved by SDS-PAGE using 10% gels with an acrylamide/bisacrylamide 448 ratio of 99:1 [72]. Phos-tag acrylamide gels (Wako) were used to detect Cds1-P. Proteins were 449 transferred onto a PVDF membrane by a dry transfer method using the iBlot2 Dry Blotting System 450 (Thermo Fisher Scientific). Every step from blocking to washes to antibody incubation was 451 performed via sequential lateral flow with the iBind Flex Western device. HA-tagged proteins were 452 detected with the rabbit monoclonal antibody HA-Tag (C29F4). The secondary anti-rabbit used was 453 Dako PO448.  $\alpha$  tubulin was used as a loading control and the probing was done by using mouse 454 monoclonal anti- $\alpha$ -tubulin (Sigma T5168). The secondary antibody used was goat anti-mouse (HRP) 455 Ab97040.

#### 457 Mutation accumulation experiments

- 458 Strains were woken up from -70°C, then single colonies were used to start lineages. One colony was
- 459 grown up to prepare DNA for sequencing (generation 0) and restreaked on a fresh YES plate. After
- 460 the colonies had grown up (3 days), a single colony was restreaked on a second YES plate. This
- 461 process was repeated until the cells had gone through approximately 200 generations (11 passages).
- 462 At the end of the experiment a single colony was grown up to prepare DNA for sequencing
- 463 (generation 200). Variants were called (see sequencing analysis) and mutations were identified if
- 464 present in generation 200 DNA but not in generation 0 DNA. Doubling time used to estimate the
- 465 number of generations, as previously described [39].

#### 466 Mutation rate analysis by fluctuation analysis

467 Mutation to canavanine resistance was used to estimate mutation rates, as previously described

- 468 (Kaur et al., 1999). A culture (10<sup>4</sup> cells/ml) was aliquoted into 12 wells of a 96-well microtitre plate
- 469 (0.25 ml/well) and allowed to grow to saturation. Dilutions were plated onto YES plates to determine
- 470 the cell concentration, and 0.15 ml of each culture was plated out onto a PMG plate (EMM-G,
- 471 (Fantes and Creanor, 1984)) containing 80 μg/ml canavanine. Canavanine-resistant colonies were
- 472 counted after 11 days at 30°C. Mutation rates were calculated using the Ma-Sandri-Sarkar maximum
- 473 likelihood estimator (Sarkar et al., 1992), implemented in rSalvador (Zheng, 2017).

## 474 Sequencing analysis

475 Libraries were sequenced on a HiSeq2500 (Illumina) to generate 2x150 bases paired reads with an 476 average genome coverage of ~200-fold and processed with RTA v1.18.66.3. FastQ files for each 477 sample were obtained using bcl2fastg v2.20.0.422 software (Illumina). More than 6.5 M of pairs per 478 sample were obtained. QC check of sequencing reads were revised with FastqC software and, then 479 reads were trimmed off Illumina adapters using Cutadapt 1.16. Trimmed fastq file were aligned to S. 480 pombe reference genome (ASM294v2.20 assembly) using Bowtie 2 with '--fr -X 1000 -I 0 -N 0 --local -481 k 1' parameters. Sequencing duplicate read alignments were excluded using MarkDuplicates and 482 sorted using Samtools. For bigwig (bw) representation and visual inspection bamCoverage and IGV 483 software were used.

For the variant calling process, the bam files were processed with mpileup from Samtools and the
calling process were executed with VarScan2. For somatic variant calling VarScan2 was used with
both Generation 0 (G0) and Generation 200 (G200) mpileup file and only the High Confident (HC)

- 487 variants were retained for next analysis. Human variant calling files from colorectal and endometrial
- 488 POLE-P286R cancers were obtained from the cBioPortal curated set of non-redundant studies [63,
- 489 64]. To calculate the consensus variants (both in *S. pombe* and human variants) of a genotype we
- 490 retained SNPs from different genome positions and, for the same position, only when the same
- 491 change occurs in all variant files. All secondary analyses were made with custom python scripts
- 492 available under request.
- 493 Sequencing data from this study have been deposited in the Gene Expression Omnibus (GEO)
- 494 database under the accession number GSE169231
- 495 (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE169231).

#### 496 **Bioinformatic analysis**

497 Genomic region annotation data (Fig. 1D) were derived from the *S. pombe* reference genome

- 498 (ASM294v2.20 assembly). The 'expected' values correspond to the percentage of each genomic
- 499 region across the genome. To determine the 'observed' distribution, the number of substitutions at
- 500 each genomic region were calculated using a custom Python script and percentages represented.
- 501 To establish the base substitution patterns of POLE-P286R colorectal and endometrial cancer, tsv
- 502 files corresponding to CRC and UEC samples harbouring *POLE-P286R* mutation were downloaded
- 503 from cBioportal [63, 64] and the average percentage of single mutations across all individual samples
- 504 per cancer type in their 5' and 3' base sequence context calculated and plotted using a custom
- 505 Python script (Figure 2A). For the mutational patterns in fission yeast, 1073 and 746 single base
- 506 substitutions from the P287R (this study) and WT [38, 39] MA experiments respectively were
- 507 identified and plotted as above (Figure 2B).
- 508 For determining base composition in the vicinity of mutations (Fig. 2C) 103 bp long DNA sequences
- 509 harbouring single base substitutions were aligned to the mismatched position. The percentage of
- 510 mononucleotides were calculated for each position. Alignment of the same number of sequences
- 511 103-bp long randomly selected along the *S. pombe* genome were used as a control.
- A + T content of regions harbouring TCT > TAT transversion ranging from 9-20 bp, 21-50 bp and >50
  bp (Figure 2D) was calculated using *S. pombe* or human GRCh37 reference genomes for *pol2-P287R*and P286R-CRC/P286R-UEC samples respectively.
- 515 Base substitution patterns of the wild-type and *pol2-P287R* strains (Figure 2B) were normalized by 516 the trinucleotide frequencies in the fission yeast genome to generate the corresponding mutational

- 517 patterns. The latter were then corrected for the difference in trinucleotide frequencies in the *S*.
- 518 *pombe* genome and the human exome to establish the humanized versions (Figure 3A), as previously
- 519 described [41].
- 520 Cosine similarity values for the comparison between humanized *S. pombe* mutation patterns with
- 521 COSMIC v3.1 signatures (both adjusted to human whole-exome trinucleotide frequencies) were
- 522 calculated using the Python cosine\_similarity script from Scikit-learn [73]. The heat map (Fig. 3B) was
- 523 generated using Morpheus software (Morpheus, <u>https://software.broadinstitute.org/morpheus</u>)
- 524

## 525 Flow cytometry

- 526 Cells were fixed in 70% ethanol and analysed after RNase digestion and SYTOX Green staining as
- 527 previously described [74].
- 528

## 529 DNA combing

- 530 DNA combing was carried out basically as previously described [75, 76], using a Genomic Vision
- 531 Molecular Combing system and CombiCoverslips.. A pulse of 100 µM EdU for 15 minutes was used
- to label DNA and incorporated EdU was detected using AlexaFluor 488 Azide A10266 (ThermoFisher
- 533 Scientific) A10266.
- 534
- 535
- 536

# 537

538

539

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- 814 22579803.
- 815
- 816 Figure legends
- 817 Figure 1
- 818 The *POLE-P286R* hypermutagenic phenotype is conserved in *S. pombe*.
- A) Multiple sequence alignment showing that the Pro286 residue (red) adjacent to the Exo I
- domain (blue shadow) is absolutely conserved in Pol  $\epsilon$  and Pol  $\delta$  orthologues as well as in T4
- and RB69 phage polymerases. Catalytic residues of the 3'->5' exonuclease domain are
- 822 highlighted in magenta.
- B) CanR mutation rates of *pol2-P287R*, *pol3-P311R* (equivalent proline to Pol2-P287), and
- 824 exonull Pol2 and Pol3 relative to wild type. A multiple comparison using the ordinary one-
- 825 way ANOVA revealed that the *pol2-P287R* strain has a significantly greater mutation rate
- 826 compared to wild-type (black asterisks, \*\*\* P<0.0001) and *pol2-D276A/E278A* (exonull)
- 827 (grey asterisks, \*\* P<0.01).
- 828 C) Number of base substitutions and frameshift identified in the mutation accumulation
- 829 (MA) experiment in wild-type, exonull and *pol2-P287R* cells. To accommodate the possibility
- 830 that suppressor mutations would arise in the *pol2-P287R* strain, three lineages were set up
- 831 for this strain and the average number of mutations is shown.
- D) Expected and observed distribution of mutations obtained in *pol2-P287R* cells during the
- 833 MA experiment across different genomic regions.
- 834 Figure 2
- 835 Mutational spectra of the P286R variant in human adenocarcinomas and *S. pombe.*
- A) Mutation types in *POLE-P286R* colorectal carcinoma (top) and uterine endometrioid
- 837 carcinoma (bottom).
- B) Mutation types in *pol2-P287R* (top) and wild-type (bottom) [38] *S. pombe*.

- C) Base composition in the vicinity of mutations in *pol2-P287R* and wild-type genomes.
- 840 D) Percentage of TCT>TAT in AT-rich sequences in *S. pombe pol2-P287R, POLE-P286R*
- 841 colorectal carcinoma, and *POLE-P286R* uterine endometrioid carcinoma.
- 842 Figure 3
- 843 Comparison between *S. pombe* mutational patterns and human cancer signatures.
- A) Base substitution patterns of *S. pombe pol2-P287R* and wild-type strains and their
- 845 corresponding humanized versions (mirrored).
- B) Heatmap of cosine similarities between the fission yeast mutational profiles and COSMIC
- signature. The signatures have been ordered according to hierarchical clustering using the
- cosine similarity between signatures, such that similar signatures are displayed close
- 849 together.
- 850 C) Comparison between COSMIC signature 14 (SBS14) and *pol2-P287R* humanized profile.
- 851 Figure 4
- 852 The *pol2-P287R* strain is sensitive to DNA-damaging agents and increased dNTP levels.
- A) Spot assays to assess sensitivity to DNA-damaging agents of wild-type, exonull and *pol2*-
- 854 *P287R* strains. 1:5 serial dilutions of the indicated strains were spotted on YES plates
- supplemented with 0.3 and 0.5  $\mu g/ml$  Bleocin, 0.5  $\mu M$  4-NQO, 0.01% MMS, 7.5 mM HU or
- no drug and incubated at 26° or 30°C for 2-3 days.
- B) dNTP levels of the indicated strains measured from samples of exponential growing cells.
- 858 Means ± SEs of three experiments are shown. The *cdc22-D57N* mutant, where allosteric
- 859 regulation of RNR is inactivated, is shown as a positive control.
- 860 C) Tetrad dissection of genetic crosses of between *cdc22-D57N* and *pol2* mutants.
- 861 Representative spores from four asci are shown for each cross.
- 862 Figure 5

863 S. pombe pol2-P287R cells show growth and S phase defects and DNA damage checkpoint864 activation.

A) Growth rate of wild-type, exonuclease and *pol2-P287R* cells. Growth curves for the

strains indicated were obtained by absorbance measurements every 20 minutes for 22h.

867 The growth curves are representative of 3 independent experiments. \*\*\*\* P<0.0001.

B) S phase progression in wild-type, exonull and *pol2-P287R* cells. Cells were arrested in G1
phase by nitrogen starvation, then refed and progress of S phase was monitored by flow
cytometry.

871 C) DNA combing analysis of replication tracks in wild-type and *pol2-P287R S. pombe* cells.

872 Strains used were modified to allow uptake of EdU. Cells were pulsed with 100 μM EdU for

873 15 min, then DNA was combed and EdU was detected using fluorescently-tagged AlexaFluor

874 488 Azide.

D) Quantitative analysis of track lengths from experiment described in (C)

E) Spot testing of *rad3ts* strains. Serial dilutions of the indicated *S. pombe* strains were

877 spotted to YES plates and incubated at the indicated temperatures.

878 F) Percentage of RPA foci in unstressed wild-type, exonull and *pol2-P287R* cells. Cells from

879 the indicated strains were cultured to midlog phase in YES medium and imaged live. The

880 numbers of foci in at least 350 nuclei were scored in three independent experiments, and

881 mean values were plotted with error bars representing the standard deviation of the mean.

882 \*\*\* P<0.0001 (Student's t-test)

6) Analysis of Chk1 phosphorylation in wild-type, exonull and *pol2-P287R* cells. Chk1-HA

strains were grown to log phase and protein extracts were analysed by western blotting.

885 Wild-type cells grown in 0.025% MMS is shown as a positive control.

886 H) Analysis of Cds1-HA phosphorylation in unstressed wild-type and *pol2-P287R* cells. Wild-

type cells grown in YES + 10 mM HU is shown as a positive control. Protein extracts were

analysed by western blotting.  $\alpha$ - tubulin was used as a loading control.

## 889 Figure 6

- 890 Genetic interaction between *pol2-P287R* and *pfh1*.
- A) *nmt1-pfh1* cells were cultured to midlog phase in minimum medium containing 15  $\mu$ M
- thiamine, or no thiamine and imaged live. Representative pictures under unrepressed (-Thi)
- 893 or *pfh1* repression (+Thi) are shown.
- B) 1:5 serial dilutions of the indicated strains were spotted onto minimum medium plus or
- minus thiamine and incubated 3 days at 26°, 30° and 36°C.
- C) As (B) except cells were spotted onto plates containing 0.5 μM 4-NQO, 0.01% MMS or no
- 897 drug.
- 898 Figure 7
- B99 Deletion of the TLS Pol  $\kappa$  and  $\eta$  genes reduces the mutation rate of *pol2-P287R* cells.
- 900 A) Can<sup>R</sup> mutation rates of the indicated strains relative to wild type, determined by
- 901 fluctuation analysis.
- B) Number of base substitutions and frameshift mutations during 200 MA experiments in
- 903 single and double polymerase mutants. The horizontal grey line shows the average number
- 904 of SNPs in the *pol2-P287R* strain from Fig. 1C. Single 200 generation lineages were analysed
- and the total numbers of SNPs/indels found in the 200 generation data relative to the
- 906 reference sequence but not in the 0 generation data are shown.
- 907





Fig. 2





WΤ

pol2-P287R

Fig. 3

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Fig. 6

100 Canavanine Mutation rate relative to wt resistance 80 60 40 20 0 revid revad kash politiki politik politik politik politik politiki Figure 7



В

Α