

1 **D155Y Substitution of SARS-CoV-2 ORF3a Weakens Binding with Caveolin-1: An *in***
2 ***silico* Study**

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17 Running Head: D155Y substitution of ORF3a weakens binding with Caveolin-1

18

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24 **Abstract**

25 The clinical manifestation of the recent pandemic COVID-19, caused by novel SARS-CoV-2,
26 varies from mild to severe respiratory illness. Although environmental, demographic and co-
27 morbidity factors have an impact on the severity of the disease, the contribution of mutations
28 in each of the viral genes towards the degree of severity needs to be elucidated for designing
29 better therapeutic approach against COVID-19. Here, we studied the effect of two
30 substitutions D155Y and S171L, of ORF3a protein, found in COVID-19 patients. Using
31 computational simulations we discovered that the substitutions at 155th and 171st positions
32 changed the amino acids involved in salt bridge formation, hydrogen-bond occupancy,
33 interactome clusters, and the stability of the protein. Protein-protein docking using
34 HADDOCK analysis revealed that out of the two observed substitutions, only the substitution
35 of D155Y, weakened the binding affinity of ORF3a with caveolin-1. The increased
36 fluctuation in the simulated ORF3a-caveolin-1 complex suggested a change in the virulence
37 property of SARS-CoV-2.

38 **Importance**

39 The binding interaction of viral ORF3a protein to host caveolin-1 is essential for entry and
40 endomembrane trafficking of SARS-CoV-2. The D155Y substitution in SARS-CoV-2
41 ORF3a is located near its caveolin-binding Domain IV and thus the substitution can interfere
42 with the binding affinity of ORF3a to host caveolin-1. Our *in silico* study report decreased
43 molecular stability of D155Y mutant of ORF3a and increased fluctuation of the simulated
44 D155Y ORF3a-caveolin-1 complex. Thus, we hypothesize that the D155Y substitution could
45 change the virulence property of SARS-CoV-2.

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53 **1. Introduction:**

54 The Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) is the causative
55 agent of the novel Coronavirus Disease 2019 (COVID-19)[1].Till February 2021, 114.1
56 million cases have been reported worldwide spanning across 215 countries and territories, out
57 of which 11.1 million people have been infected with SARS-CoV2 in India[2].Mortality rate
58 across the world varies drastically from 9.1% (Mexico) to 0.9% (Turkey) [3].Although age,
59 ethnicity and sex contribute to the demographic variation in the viral transmission and its case
60 fatality rate, how mutation in viral genome can change, in such variation for pathological
61 manifestation needs to be explored.

62 The SARS-CoV-2 genome consists of approximately 30 kilobases and shares about 82%
63 sequence identity with both SARS-CoV and MERS-CoV. It also shares more than 90%
64 sequence identity for essential enzymes and structural proteins [4].Despite the similarity, only
65 SARS-CoV-2 shows severe pathological manifestations in humans, suggesting the existence of
66 differential molecular interactions between viral proteins and host cell machinery.

67 The SARS-CoV-2 genome broadly consists of 14 open reading frames (ORF), which are
68 generated from nested transcription of subgenomic RNAs. Interestingly, ORF1a and 1b
69 encode for 16 non-structural proteins (nsp) known as replicase/transcriptase complex. The
70 other ORFs code for 4 structural proteins and 8 accessory proteins [1,4].ORF3 is wedged
71 between spike (S) and envelop (E) ORFs and encodes for a membrane-spanning, ion channel
72 protein ORF3a. It is also known as the single largest accessory protein of 275 amino
73 acids[5,6]. Ribosomal profiling has identified two putative overlapping genes, namely
74 ORF3b and ORF3c, at the 3' end of ORF3 with an alternative reading frame to the canonical
75 ORF3a[7-10], whose functional importance is not well understood. ORF3a can localise at
76 plasma membrane and Golgi complex, and can exist in both glycosylated and non-
77 glycosylated forms[11]. This viral protein has been shown to be highly immunogenic as
78 antisera isolated from SARS-CoV-infected patients can detect ORF3a [10]. Yount *et. al* and
79 others have shown that ORF3a has been co-evolved with Spike (S) protein, suggesting the
80 possibility of direct or indirect interactions between ORF3a and S protein[10,12,13].Studies
81 in SARS-CoV-infected Caco2 cells show that ORF3a can be efficiently released in detergent-
82 resistant membrane structures and the diacidic motif, ExD, located within the domain VI,
83 plays important role in membrane co-localisation[14].ORF3a has multi-functional roles
84 including activating NLRP3 inflammasome and NFkB pathway, upregulating fibrinogen

85 secretion, downregulating IFN Type I and inducing ER stress and pro-apoptotic activity[5,15-
86 17].Therefore, mutations in this protein warrant further study to understand its role in the
87 virulence and immune evasive potential of the recent SARS-CoV-2.Several mutations have
88 been reported in the ORF3a gene and have been classified in the form of clades and sub-
89 clades.The mutation patterns of ORF3a gene have been characterized as largely non-
90 synonymous(Q57H, H93Y, R126T, L127I, W128L, L129F, W131C, D155Y,S171L, D173Y,
91 G196V, and G251V). G251V and Q57H exhibit severe virulence property[18-
92 21].Interestingly, the 57th position in ORF3a of pangolin SARS-CoV is H. D155Y and S171L
93 mutations were detected in Indian patients in May 2020[22]. To understand the functional
94 importance of these mutants, their characterization is needed.

95 Our study aims to understand the effect of these two substitutions (D155Y and S171L) in the
96 structural stability of the ORF3a protein and its ability to form complex with caveolin-1.
97 Using computational simulation, protein-protein docking we find that the amino acids
98 involved in the hydrophobic interactions, hydrogen bond formation, salt bridge formation and
99 residue interaction patterns are different in wild type (WT), i.e., the original Wuhan
100 sequence compared with the two mutants having D155Y and S171L substitutions.

101

102 **2. Methods:**

103 **2.1 Bioinformatic Methods**

104 A total of 26,656 sequences of ORF3a protein deposited in NCBI database as on Nov 17,
105 2020 were considered for the bioinformatics analysis. The keywords used for the search were
106 “SARS-CoV-2”, “ORF3a protein”, and “complete structure”. These structures were aligned
107 using the BLAST algorithm on the NCBI website. Some of the post-BLAST sequences were
108 larger than 275 due to erroneous performance of the code. But such cases were very low in
109 number. Subsequently, the erroneous sequences were manually cleaned to obtain the final
110 alignments of the complete protein sequences (275 amino acids). The number of samples
111 whose locations were geo-tagged to India was 614. These sequences were then compared to
112 the Wuhan sequence (NCBI Accession No: YP_009724391.1[23]) and the amino acid
113 positions were compared. The positions, where mismatches were observed with respect to the
114 reference Wuhan sequence (WT), were considered as locations of mutations. Clearly, lesser
115 number of mutations denote a sequence more similar to the WT, whereas more number of
116 mutations denote a more deviant mutant. Overall the sequences found from NCBI database

117 were compared against the WT and the number of mutations for every position of ORF3a was
118 stored. This essentially provides us with the frequency distribution of the mutations found at
119 each position of ORF3a. We have used PROVEAN score to assess whether the effect of a
120 mutation is deleterious or neutral. PROVEAN score of each mutation was determined using
121 PROVEAN web server [24].

122

123 **2.2 Preparation of structure of the ORF3a proteins**

124 The cryo-EM structure of WT ORF3a protein of SARS-CoV-2 was obtained from PDB (PDB
125 ID: 6XDC[25]). The symmetry information present in the PDB file was used to convert the
126 structure into the functional dimeric form using PDBE PISA server online [26]. The residues
127 on the second monomer have been numbered using “ ’ ” throughout the manuscript. As no
128 homologous structure of the protein was available, we considered the PDB structure of
129 ORF3a, which has residues from 40th to 238th. We introduced the necessary mutations
130 (D155Y and S171L) by modelling the residues in Swiss PDB Viewer[27].

131

132 **2.3 Molecular dynamics simulation**

133 We performed classical Molecular Dynamics (MD) simulation in AMBER20[28] using
134 AMBER ff14sb force field[29]. The missing hydrogen atoms in the protein structure were
135 added by the LEaP module of AMBER20 package. The protein was then subjected to energy
136 minimisation for 2000 steps using steepest descent and conjugate gradient algorithms. We
137 then solvated the energy minimised structures using rectangular water boxes comprising of
138 TIP3P water molecules [30]. Particle mesh Ewald was used to calculate the electrostatic
139 interactions at a cut-off distance of 12Å. We performed initial minimisation and equilibration
140 in order to avoid bad contacts. This was followed by equilibration using NVT ensemble at
141 300K for about 500ps. The systems were then equilibrated using NPT ensemble at 1 atm
142 pressure for 1 ns. We considered 2fs as the time step throughout the minimisation-
143 equilibration-production. After the energy values and the density values converged, the
144 systems were subjected to 100ns production runs using NPT ensemble at 300K and 1 atm
145 pressure. The coordinates were saved after intervals of 2ps. We performed the analyses
146 CPPTRAJ module of AMBER[31] and visualizations were performed using VMD [32]. The
147 binding energies for the complexes were calculated using MMGBSA[33] suite of AMBER.

148

149 **2.4 Graph theory**

150 We used graph theory to decipher the composition of interactomes in terms of participating
151 amino acids involved in pairwise interactions. Briefly, the graph structure $G(V, E, W)$ is
152 denoted by three sets. The first one is, the set of residues, denoted as the vertex set (V) of a
153 graph. Each individual residue, here, is considered as an independent entity, formally termed
154 as a vertex or a node. Say, we denote V as $\{v_1, v_2, v_3, \dots, v_n\}$, where v_i is the i^{th} residue.
155 Therefore, $|V| = n$, where n is the number of nodes in the graph, otherwise also known as the
156 order of the graph. The second set is the set of interactions between residues denoted as the
157 edge set (E). The interaction between the i^{th} and the j^{th} residue may be represented as an edge
158 $e(v_i, v_j)$ and the edge set E may be represented as $\{e_1, e_2, e_3, \dots, e_m\}$. Therefore, $|E| = m$,
159 where m is the number of edges in the graph, otherwise also known as the size of the graph.
160 Please note that the edges have not been considered as directed because, there is no
161 significance of the roles of the interacting residues in these interactions. We initially calculate
162 the average energy values (calculated per unit time) over the time of observation for all
163 ${}^n C_2$ possible interactions. Some of them turn out to be high and are deemed insignificant. We
164 use a threshold to remove the average energy values of those interactions. Here, m is the
165 number of interactions with significant average energy values. These average energy values
166 represent the importance of the interactions and may be denoted as the set of edge weights
167 (W). For every edge, there is a corresponding edge weight, therefore it may be concluded that
168 $|W| = m$.

169 In this work, we are interested in studying the interaction dynamics of the residues. Due to
170 the difference in interaction energies, from observation, we could intuitively understand that a
171 group of residues are more prone to interact among themselves than the other residues. But,
172 to discover underlying densely interacting residue groups or clusters, we apply algorithms
173 that could reveal the clusters accurately. The equivalent problem in residue-residue
174 interaction graphs or networks is known as graph clustering. We have used one of the most
175 popular community detection techniques, Louvain method, to find out the clusters in this
176 residue interaction network[34]. Please note that we have used the terms cluster and
177 community interchangeably. It provides us with a cover $C = \{c_1, c_2, c_3, \dots, c_k\}$, where k is
178 the number of communities and c_i is the i^{th} cluster/community. Each vertex in V belongs to
179 exactly one of the clusters. Therefore, union of the vertex sets of all the clusters would lead
180 back to V .

181

182 **2.5 Modelling the protein-protein interaction complex**

183 We used hierarchical approach to predict the structure of the protein in the absence of a
184 suitable template structure for caveolin-1. I-TASSER server was used to generate five initial
185 models[35-37]. One model was selected based on the C-score (confidence score). The model
186 was then evaluated using the SAVES v5.0 server, where Ramachandran plot and ERRAT
187 analyses were performed[38,39]. Model visualizations were done using Chimera[40]. This
188 model was then simulated for 100ns to generate a more stable structure. The average
189 structure was then considered as the initial structure for docking after proper structural
190 evaluation by Ramachandran Plot and ERRAT analyses[38,39]. The two molecules of human
191 caveolin-1 were docked to the WT ORF3a by using HADDOCK[41]. HADDOCK not only
192 considers traditional energetics and shape complementarity, but also incorporates
193 experimental data in terms of restraints to guide the docking of two proteins. The residues of
194 domain IV on ORF3a and the residues Asp82 to Arg101 on human caveolin-1 were defined
195 as active residues in docking based on the cryo-EM structure information[42]. On the basis of
196 the most negative binding energy, we selected a starting structure for the WT ORF3a-
197 caveolin-1 complex. Necessary mutations were introduced in these structures by modelling
198 with the Swiss PDB Viewer. These structures were subjected to all atomic MD simulations.

199

200 **3Results:**

201 **3.1 Worldwide prevalence of D155Y and S171L substitution of ORF3a**

202 ORF3a protein is important for the viral infection, spreading and modulating the host immune
203 system. To understand the role of mutations in the function of this protein, we first checked
204 the prevalence of each mutations found in ORF3a. Fig. 1a shows the number of mutant
205 samples at each position of the ORF3a protein in the global and Indian population from a
206 total of 26,656 samples, deposited in NCBI dataset (dated November 17, 2020). From this
207 figure, we observe that mutations occur at 258 positions of the protein for the global
208 population. Whereas, for the Indian population, mutations were found only at 13
209 positions. Mutations at the 57th position were the highest in both the global and the Indian
210 population. For the Indian population, the positions 155th and 171st showed two instances of
211 mutations while the number of instances was 23 and 34 respectively in the global population.
212 Fig. 1b shows the distribution of these mutations worldwide. The mutations in ORF3a protein

213 for both world and Indian populations are distributed in the entire protein. Table S1 shows a
214 list of the mutation counts we have found at all the positions of the ORF3a protein sequence.

215

216 **3.2 Description of the protein systems**

217 The SARS-CoV-2 ORF3a protein can form dimer[43]. The monomeric ORF3a has been
218 divided into six domains, each having its own functional importance[20]. Fig. 2 shows the
219 locations of D155Y and D155'Y (red spheres) and S171L and S171'L (cyan spheres). The
220 locations of these substitutions between domains IV and V, and in domain VI suggest their
221 possible role in caveolin binding, intracellular protein sorting and intracellular membrane
222 trafficking of ORF3a[20].

223

224 **3.3 Stability of the two ORF3a variants, D155Y and S171L**

225 The cryo-EM structure of WT ORF3a protein (residues 40 to 238) was downloaded from the
226 Protein Data Bank (PDB ID: 6XDC) and processed as discussed in the methodology section.
227 The substitutions D155Y and S171L on each monomer were modelled on the WT structure
228 separately using SwissPDBViewer[27]. Each of these structures was simulated in triplicate
229 till 200ns. Fig. 3 shows the time evolution of the root mean square deviation (RMSD) of the
230 simulated structure with respect to the starting frame of simulation. Note that WT and both
231 mutants have shown reasonable stability. WT and D155Y (black and red profiles in Fig. 3)
232 showed lesser RMSD (the final RMSD being 2.25Å) and lesser fluctuation, whereas the
233 S171L (green profile in Fig. 3) variant showed higher RMSD (the final RMSD being
234 2.75Å). The overall fluctuation in RMSD was also greater in S171L compared to the WT and
235 D155Y. This indicates that S171L substitution causes more deviation and may interfere with
236 its membrane localisation. However, the final RMSD values attained by the WT and the two
237 mutants were comparable, indicating a similar final simulated structure. So it can be
238 concluded that the substitutions at D155Y and S171L do not cause a major conformational
239 change of ORF3a from the WT.

240 **3.4 Differential behaviour of the constituent residues**

241 While RMSDs are a measure of the overall stability of the biological systems under
242 consideration, the B-factor values give an idea on the flexibility of the individual residues.
243 The B-factor values were measured to determine the average flexibility of the protein
244 residues around their mean position across all trajectories (Fig. 4). Fig. 4 shows that the

245 residues in the WT ORF3a protein exhibit the least deviation from their mean position,
246 whereas the residues in both mutants show more flexibility. Interestingly, in the D155Y
247 variant, the 155th residue showed higher flexibility compared to the WT (14.52 Å² for WT and
248 61.7 Å² for D155Y and 16.95 Å² for WT and 55.3 Å² for the D155Y at positions 155 and 155'
249 respectively). Similarly, for the S171L variant, the flexibility of the 171st residue in the
250 mutant was higher than the WT or D155Y (13.69 Å² for WT and 64.94 Å² and 26.59 Å² for
251 WT and 124.48 Å² for S171L at positions 171 and 171' respectively). The terminal residues are
252 exposed to solvent and are more flexible, resulting in their high B-factor values as seen in
253 Fig. 4 (as marked). Several other residues which are located both near the positions of
254 mutations as well as distally also showed greater flexibility. We thus observe an effect of the
255 mutation on the overall dynamics of the protein at distant locations. Thus, these mutations
256 may have allosteric effects on the domain specific function of the ORF3a protein.

257

258 **3.5 Differential contribution of stabilizing residues in the ORF3a proteins**

259 The WT and the mutant ORF3a proteins were analysed to understand the role of individual
260 amino acids, hydrogen bond occupancies and salt bridges in their structural stability. The free
261 energies of the three variants (WT and the two mutants) were calculated by using the
262 MMGBSA module of Amber20 and are tabulated in Table 1, which shows the differences in
263 stability among them. The S171L mutant with a free energy of -5376.51 (± 19.34)
264 kcal/mol was the most stable, followed by WT (-5356.85 ± 12.95 kcal/mol) and D155Y mutant
265 (-5266.41 ± 12.56 kcal/mol). This indicates that the mutants D155Y and S171L can also exist
266 independently just like the WT ORF3a protein. To understand the contributing factors for
267 these variations in stabilizing energy, we looked at the contributions of each amino acid to the
268 overall free energy and tabulated the top contributors for each variant in Table 2. While we
269 observe that the group of residues contributing to the overall stability remains almost
270 unchanged among the variants, their ranking differs. For instance, in WT, Arg68 plays the
271 most important role, whereas in case of the mutant systems, it is Arg126' that has the most
272 contribution. However, Arg68 features as the second most contributory residue in D155Y
273 mutant, whereas in the S171L mutant, it has the fourth position. In this mutant, the Arg126
274 plays the second most important role, following its corresponding residue on the second
275 monomer.

276 We also checked the hydrogen bond interactions in WT and the two mutant ORF3a proteins,
277 and found that the total number of hydrogen bonds remain same (average number is 95, Fig.
278 S1), in all the three variants. In contrast, the individual residues that have the most hydrogen

279 bond occupancy vary among the ORF3a proteins. We found that the top three residue pairs
280 involved in forming hydrogen bonds with the maximum occupancy are Tyr156'-Lys192',
281 Arg134-Asp155 and Ser205'-Asn144'. In D155Y, the top three residue pairs forming the
282 hydrogen bonds with maximum occupancy are Tyr212'-Thr164, Ser205'-Asn144' and
283 Ser205-Asn144. In the mutant S171L, the top three residue pairs forming hydrogen bonds
284 with maximum occupancy are Leu203-Asp210, Leu203'-Asp210' and Thr89-Leu85'. A
285 detailed list is given in Table S2. We also calculated the salt bridge interactions for the WT
286 and the two mutant proteins and tabulated the list of salt bridges in Table S3, which shows
287 that D155Y forms lesser number (24) of salt bridges compared to the WT and the S171L (31
288 each). Interestingly, mutation at position 155, but not at 171, breaks the salt bridge formation
289 between Asp155-Arg134. This residue pair is formed at the end of the alpha helix and the
290 beginning of a beta sheet in the proximity of domains III and IV of ORF3a. Thus this loss of
291 salt bridge interaction in D155Y is significant and may play a role in the binding affinity of
292 the interacting partner of the ORF3a protein at this region.

293

294 **3.6 Changes in interactome interactions – A graph theoretic perspective**

295 The variation in hydrogen base pairing and salt bridges prompted us to check the interactome
296 interactions in ORF3a variants. We represented the interactions between the interactomes in
297 terms of a network. The pairwise hydrophobic interaction energies of the residues in the WT
298 and the two mutants of ORF3a were calculated using MMGBSA suite of Amber20. These
299 hydrophobic interaction energies were considered for building a residue-residue interaction
300 network for the WT and the two mutant proteins. Here, we have used graph data structures
301 and relevant algorithms, to model the interactions among the residues.

302 The spatial orientation of the protein, adjacency of the residues and interactions among them
303 play a role in finding the clusters or communities of interacting residues. In the visualization
304 of the clusters, as seen in Fig. 5a, we see the whole interaction network and an overview of
305 the clusters. In Fig. 5b, we zoom on one part of the graph and provide a closer view of the
306 interactions. The node colours denote its affiliation to a certain cluster. The edge colours are
307 determined by the colours of the nodes it is incident upon. The edge thickness denotes the
308 strength of the interactions between the residues, i.e., the weight of the edge. In Fig. 5c, one
309 residue has been selected to show the nodes adjacent to it (also known as its neighbourhood).
310 Fig. 6 shows these clusters as can be seen in the actual protein. We observe that the
311 membership of the residues in the clusters in each protein has shown substantial variation. A

312 list of the clusters and their constituent residues has been provided for the WT and the two
313 mutants (D155Y and S171L) in Table S4. Fig. 6 and Table S5 indicate that the residues of the
314 functional domains have rearranged in different interacting clusters in WT and the two
315 mutants. Domain III being the largest in size has split into the most number of clusters.
316 However, the clusters are different in terms of the constituent residues for WT and the two
317 mutants. Thus, we may conclude that the mutations have changed the interaction patterns of
318 the interactomes present in the protein. Due to changes in residue interactions, the clusters
319 have changed from WT to the other mutants. But it should be noted that the cluster
320 membership for the nodes in the regions of mutations do not change. This indicates that the
321 mutations may have distal effects too, which can be explored further for better understanding
322 of the protein function.

323

324 **3.7 Formation of complex with partner protein caveolin-1**

325 We are interested to check if the substitution can change the binding interaction of ORF3a
326 protein with host caveolin-1. Issa *et al.* [20] have suggested that domain IV of ORF3a binds to
327 caveolin-1 protein, which is required for viral uptake and regulation [44,45]. We modelled
328 caveolin-1 using a hierarchical approach to predict the structure of the protein. Five initial
329 models were then generated using the I-TASSER server. Out of these, one model was
330 selected based on the C-score (confidence score). This model was then evaluated using the
331 SAVES v5.0 server, where Ramachandran plot analysis and ERRAT analysis were
332 performed as shown in Fig. 7a-b. Ramachandran plot showed that 96.9% of the residues of
333 caveolin-1 were within the favoured and allowed regions, while 3.1% of the residues were in
334 the disallowed regions. On the other hand, ERRAT analysis had an overall quality factor of
335 89.412.

336 The modelled structure of human caveolin-1 was simulated for 100ns to generate a well
337 equilibrated and stable structure. The stability of the simulation, as evident from the time
338 evolution of the RMSD of the protein from its starting structure, has been shown in Fig. S2a.
339 The average structure from this simulation (Fig. S2b) was considered as the starting structure
340 of the ORF3a-caveolin-1 complex after proper structural evaluation (Fig. 7c-d).
341 Ramachandran plot of the average simulated and stable structure showed that 98.1% of the
342 residues were within the ranges of favourable and allowed regions, and only 1.9% of the
343 residues were in the disallowed regions. ERRAT plot too showed an improvement with the
344 overall quality factor increased to 94.304%. Thus, both Ramachandran plot and ERRAT

345 analysis (Fig. 7c-d) indicated that thecaveolin-1 model was of acceptable quality, and could
346 be used as the starting structure for docking.

347 We carried outour protein-protein docking using the HADDOCK webserver[41,46]. We
348 consider binding domains on ORF3a and caveolin-1 as the interacting residues [42]. Our
349 analysis generated twelve probable structures from three clusters as shown in Fig. S3. In each
350 of these structures, we had two molecules of the human caveolin-1 interacting with the
351 dimeric form of ORF3a protein. The top structure from the topmost cluster (left-most
352 structure in first row of Fig. S3), having a HADDOCK score of -155.3 (± 22.2) was
353 considered as our starting structure. This structure showed a symmetrical nature. The buried
354 surface area of this complex was found to be 3031.4 (± 181) \AA^2 , signifying a strong complex.
355 The necessary mutations were introduced into the protein-protein complex by Swiss
356 PDBViewer.

357 The starting structures for WT and the two mutants were simulated for 100ns. The stability
358 for these structures was assessed by plotting the time evolution of their RMSD values with
359 respect to the starting frame of simulation (as shown in Fig. 8). We observe that the WT and
360 the S171L are stable having an average RMSD value around $\sim 9\text{\AA}$. Although the absolute
361 value is high, yet the protein complexes reached stability and showed a plateau in the RMSD
362 plot from $\sim 40\text{ns}$, again indicating a stable complex. However, for the D155Y system, the
363 protein complex showed a lot more fluctuation and deviation from the starting structure. This
364 indicates a not-so-stable complex structure, which is further supported by the lower
365 PROVEAN score of D155Y (Table S6). Since the mutation is present in the vicinity of the
366 caveolin binding domain in ORF3a, it can be said that the presence of the mutation leads to
367 an unstable protein-protein complex formation. Thus, the D155Y substitution interfereswith
368 the caveolin binding activity of ORF3a protein. We also calculated the free
369 energy,corresponding to the binding of caveolin-1 to the ORF3a protein, in these three
370 protein complex systems. The values for WT, D155Y and S171L were -37.6385 (± 8.3248)
371 kcal/mol, -11.5504 (± 2.9333) kcal/moland -31.9254 (± 5.0812) kcal/mol, respectively. From
372 these values, it is evident that the binding affinity of caveolin-1 is considerably less in D155Y
373 mutant compared to WT and S171L. This is in corroboration with the unstable protein-
374 protein complex in the D155Y system. The change in hydrogen bonding, salt bridge
375 patternand hydrophobic interaction pattern associated with D155Y substitution may have
376 contributed to the weakened interaction betweenD155YORF3a and caveolin-1.

377

378 **4Discussion:**

379 In this study, we have established that D155Y substitution changes the intramolecular
380 hydrogen bonding, salt bridge formation, and disrupts the interaction between ORF3a and
381 caveolin-1.

382 Several other mutations are present in ORF3a of SARS-CoV-2. Wuet. *al.* have shown that the
383 incidence of mutation at position 57 is high, compared to the other positions[47]. In order to
384 consider the effect of mutation at the 57th position in D155Y and S171L, we simulated Q57H,
385 Q57H-D155Y and Q57H-S171L variants of ORF3a, for 200ns. Their structural stabilities
386 were calculated with MMGBSA and have been tabulated in Table 3. We noted that the
387 structural stabilities for Q57H and the Q57H-S171L variants were comparable to WT,
388 D155Y and S171L (values listed in Table 1).The Q57H-D155Y variant had considerably
389 lesser stability.Previously Hassan *et.al.* reported the presence of H at the 57th position in
390 ORF3a protein of pangolin CoV[22].Thus, we may hypothesize that the presence of H may
391 provide natural stability of ORF3a.We checked the structural stability of W131C, W131R,
392 G172C and G172V, which were found in Indian patients. We simulated the variants W131C,
393 W131R, G172C and G172V for 200ns. These four variants were stable and showed an
394 average RMSD value of 2.5Å with respect to the starting structure as shown in Fig. S4. The
395 overall binding free energies for the four variants were also calculated, and we noted that the
396 stabilities for the systems W131C, G172C and G172V were similar to that of the WT ORF3a
397 protein as listed in Table 1. The variant W131R, was more stable than WT ORF3a. This
398 indicates that these four mutants are very stable and can have independent existence. Further
399 study is needed to check the effects of these substitutions both *in silico* and *in vitro*. On the
400 contrary, mutation at 155th position (D155Y) reduced the binding affinity of ORF3a to
401 caveolin. The disrupted interaction can be indicative of improved viral fitness, wherein, the
402 virion particles can continue to build the host intracellular viral load without inducing host
403 cell apoptosis or promoting their egress thus lengthening the asymptomatic phase of the
404 infection. Contrariwise, the ORF3a-caveolin-1 affinity change can also affect the virion
405 internalisation into host cells, endomembrane sorting and assembly of the viral components.

406 Direct Coupling Analysis revealed eight genes involved in epistatic interactions at several
407 polymorphic loci. The locus of ORF3a is involved in three out of eight potentially significant
408 epistatic links with, namely, nsp2, nsp6 and nsp12. These intragenetic interactions open up
409 the possibility of potential evolutionary links of the above described substitutions at D155Y
410 and S171L with other positively selected loci in viral genes, which is reportedly subject to
411 demographic variations[48].Moreover, the Neanderthal-derived COVID-19 risk haplotype is

412 altogether positively selected in some populations and has 30% allele frequency thus
413 introducing an evolutionary landscape to the current COVID-19 pandemic[49].

414 Our simulation studies and further analyses of ORF3a protein have shown that the presence of
415 mutations affects the structural stability of the ORF3a protein. The residues involved in
416 forming several stabilising interactions in these proteins also change with the presence of
417 mutations. Although the overall stability of the protein structures in the WT and the two
418 variants are not much different, these mutations may affect the binding affinity of ORF3a with
419 its partner proteins. For instance, in this study we have shown the presence of mutation
420 drastically reduces the binding affinity of ORF3a with caveolin-1. SARS-CoV-2 enters the
421 host cell by both membrane fusion and by clathrin/caveolin-mediated endocytosis after
422 binding to the ACE2 cell-surface receptors in the upper respiratory tract and alveolar
423 epithelial cells[50-52]. Caveolin/cholesterol mediated endocytosis has been previously
424 implicated in SARS-CoV through an in silico study wherein several caveolin-1 binding
425 domains (CBD) were found in SARS-CoV proteins and internalisation of virion was
426 proposed to be facilitated in a caveolin-1 and lipid raft-dependent manner. However, the role
427 of caveolin-1 was not limited to viral entry. Rather, it was associated with all stages of viral
428 life cycle starting from virus binding to surface receptors, fusion and endomembrane
429 trafficking of virus in caveosomes, sorting of viral components to endomembrane surfaces,
430 replication, assembly and to subsequent egress. The host-derived lipid bilayer surrounding
431 the enveloped viral nucleocapsid contains caveolin-1 incorporated during viral fission from
432 the host membrane [53]. Thus, binding interactions of SARS-CoV-2 ORF3a WT and
433 mutational variants with caveolin-1 provides a putative alternative route for viral
434 pathogenesis in COVID-19. Change in interaction of D155Y ORF3a with caveolin-1 may
435 provide an alternative route to exhibit SARS-CoV-2 virulence properties in COVID-19
436 patients.

437 Cryo-EM structural analysis of SARS-CoV-2 proteins shows that ORF3a can exist in dimeric
438 and tetrameric complex arrangements with six functional domains (Domain I to VI) of each
439 protomer. The protein comprises of 3 helices, spanning the transmembrane domain (Domains
440 II and III) and a cytosolic domain with multiple beta-strands (Domain IV, V, VI) [20,54].
441 Each domain of SARS-CoV ORF3a interacts with different host proteins and modulates host
442 signalling pathways. Domain II has binding sites for TRAF3 and ASC, and interacts to
443 activate NLRP3 inflammasome[5]. Domain III has a conserved Cysteine residue at 133rd
444 position known to stabilize ORF3a homodimer and homotetramers for its ion channel

445 activity[43,54].Cytosolic domain IV has a conserved motif YDANYFVCW from amino acids
446 141-149 that binds with host caveolin-1[55].Finally, domains V and VI, comprising of the
447 YXX ϕ and diacidicExD motifs respectively, are essential for intracellular viral protein
448 sorting, trafficking and localization of ORF3a to the host membrane followed by its release
449 into culture medium[14]. The various other host proteins as binding partners to ORF3a
450 protein include components of the anti-inflammatory pathway HMOX1, innate immune
451 signalling pathway, TRIM59, glycosylation pathway (ALG5) and nucleus-inner-membrane
452 proteins (SUN2 and ARL6IP6)[56]. ORF3a also regulates Caspase 8-mediated extrinsic
453 apoptotic pathway for its pro-apoptotic activity in HEK293T cells[17]. Thus, mutations in the
454 binding regions or in its close proximity may interfere with the host protein-viral ORF3a
455 interaction, which needs to be further validated. SARS-CoV-2 ORF3a was among the other
456 candidate proteins that has been found to elicit significant CD4+ and CD8+ T-cell response
457 and it has been suggested that an optimal vaccine should be inclusive of class I epitopes
458 derived from M, nsp6 and ORF3a[57-59].Our *in silico* study provides support to carry out *in*
459 *vivo* and *in vitro* studies for evaluating viral pathogenesis with mutant SARS-CoV-2.

460

461 **Author contributions:** PB and SSJ conceptualized the study. SG and PB performed
462 experimental work. SG, PB, DM, KB, SS and SSJ contributed to analysis of the results and
463 preparation of the figures. SG, DM and KB were involved in the bioinformatics analysis of
464 the data. SG, DM and KB wrote the initial draft of the manuscript. SSJ and PB edited the
465 manuscript with input from all the authors. All authors agreed to the submission of this work
466 to the Journal of General Virology.

467 **Conflicts of interest:**

468 The authors declare that there are no conflicts of interest.

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476 award to SSJ.

477 **Abbreviations:**

478 ARL6IP6: ADP Ribosylation Factor Like GTPase 6 interacting protein 6, ASC: Apoptosis-
479 associated speck-like protein containing a caspase recruitment domain, BLAST: Basic Local
480 Alignment Search Tool, CD4+: Cluster of Differentiation 4+, CD8+: Cluster of
481 Differentiation 8+, COVID-19: Coronavirus Disease 2019, Cryo-EM: Cryo Electron
482 Microscope, HMOX1: Heme Oxygenase 1, IFN: Interferon, MERS-CoV: Middle East
483 respiratory syndrome coronavirus, MMGBSA: Molecular mechanics with generalized Born
484 and surface area solvation, NCBI: National Centre for Biotechnology Information, NF- κ B:
485 Nuclear factor kappa light chain enhancer of activated B cells, NLRP3: Nucleotide-binding
486 oligomerization domain, Leucine rich repeat and Pyrin domain containing, ORF: Open
487 Reading Frame, PDB: Protein Data Bank, PISA: Protein Interfaces Surfaces and Assemblies,
488 PROVEAN: Protein Variation Effect Analyzer, RMSD: Root Mean Square Deviation, SUN2:
489 SUN domain-containing protein 2, TRIM59: Tripartite motif-containing protein 59.

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494 **Figure legends**

495 **Fig. 1 Distribution of mutations in ORF3a protein.** The number of instances of the various
496 mutations at different positions of ORF3a protein in the total number of samples considered
497 in this study has been plotted in (a). The global distribution of the mutations at positions 155
498 and 171 have been shown in blue and red respectively in (b)

499 **Fig. 2 Structure of ORF3a protein.** The structure of WT ORF3a (PDB ID: 6XDC) marking
500 the functional domains as known from literature has been shown. The positions of mutation
501 at the 155th and 171st positions have been shown in orange and cyan spheres respectively.

502 **Fig. 3 Stability of structure.** The time evolution of the RMSD of the ORF3a proteins with
503 respect to the starting structure. (a) Black: WT, (b) Red: D155Y and (c) Green: S171L

504 **Fig. 4 Flexibility of residues.** The B-Factor plot for ORF3a for the three systems. (a) Black:
505 WT, (b) Red: D155Y and (c) Green: S171L

506 **Fig. 5 Visualization of residue interaction network in SARS-CoV-2 ORF3a protein**
507 **using Gephi[60].**(a) The whole residue interaction network showing the complete cover C,
508 with nodes coloured with the membership colour of a particular cluster, (b) A magnified view
509 of the residue interaction network, and (c) Shows one particular residue (here, GLY209) and
510 the residues it is directly interacting with.

511 **Fig. 6 Interactome clusters in SARS-CoV-2 ORF3a protein.** The different interactomes
512 are shown for (a) WT, (b) D155Y and (c) S171L

513 **Fig. 7 Modelling the human caveolin-1 structure.** (a) The ERRAT analysis of the modelled
514 structure of caveolin-1. (b) The distribution of the residues of the modelled structure on the
515 Ramachandran Plot.(c) The ERRAT analysis of the simulated structure of caveolin-1. (d) The
516 distribution of the residues of the simulated structure on the Ramachandran Plot.

517 **Fig. 8 Stability of the ORF3a-caveolin-1 complex.** The time evolution of the RMSD of the
518 ORF3a-caveolin-1 complex with respect to the starting structure. (a) Black: WT-caveolin-1,
519 (b) Red: D155Y-caveolin-1 and (c) Green: S171L-caveolin-1

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686 **LIST OF TABLES**

687

688 Table 1: The list of binding free energies for the three systems are given. The values in
689 parentheses indicate their standard deviations.

System	Free Energy (kcal/mol)
WT	-5360.30 (12.95)
D155Y	-5263.66 (12.56)
S171L	-5375.66 (19.34)

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694 Table 2: List of residues contributing to the overall stability of the systems

695

WT		D155Y		S171L	
Residue	Energy (kcal/mol)	Residue	Energy (kcal/mol)	Residue	Energy (kcal/mol)
ARG68	-173.181	ARG126'	-172.593	ARG126'	-173.754
ARG134	-172.764	ARG68	-171.184	ARG126	-172.424
ARG126	-170.217	ARG126	-170.909	ARG134	-172.153'
ARG134'	-169.607	ARG68'	-170.573	ARG68'	-171.78
ARG126'	-169.45	ARG134'	-168.276	ARG68	-170.225
ARG122	-168.216	ARG122	-166.244	ARG122	-168.148
ARG68'	-167.236	ARG122'	-165.746	ARG134'	-167.918
ARG122'	-164.614	ARG134	-164.769	ARG122'	-165.75
ASP238'	-99.689	ASP238	-100.33	ASP238'	-100.602
ASP238	-99.342	ASP238'	-99.694	ASP238	-100.401

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698 Table 3: The list of binding free energies for the different mutant ORF3a proteins are given.
699 The values in parentheses indicate their standard deviations.

System	Free Energy (kcal/mol)
Q57H	-5256.6964 (69.71)
Q57H-D155Y	-5113.0428 (43.07)
Q57H-S171L	-5251.6282 (68.42)
W131C	-5364.5810 (46.83)
W131R	-5740.5108 (53.43)
G172C	-5305.7174 (58.26)
G172V	-5379.1921 (61.07)

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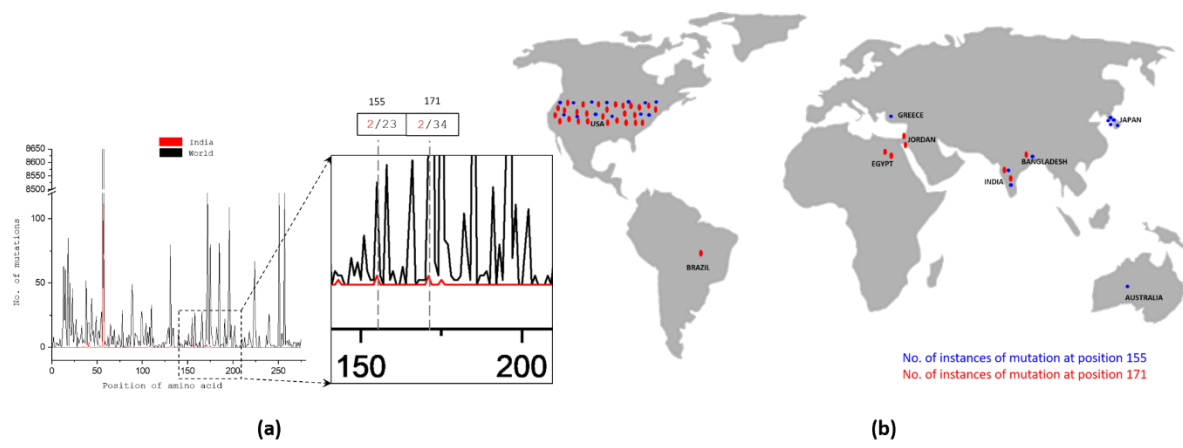
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710 **LIST OF FIGURES**

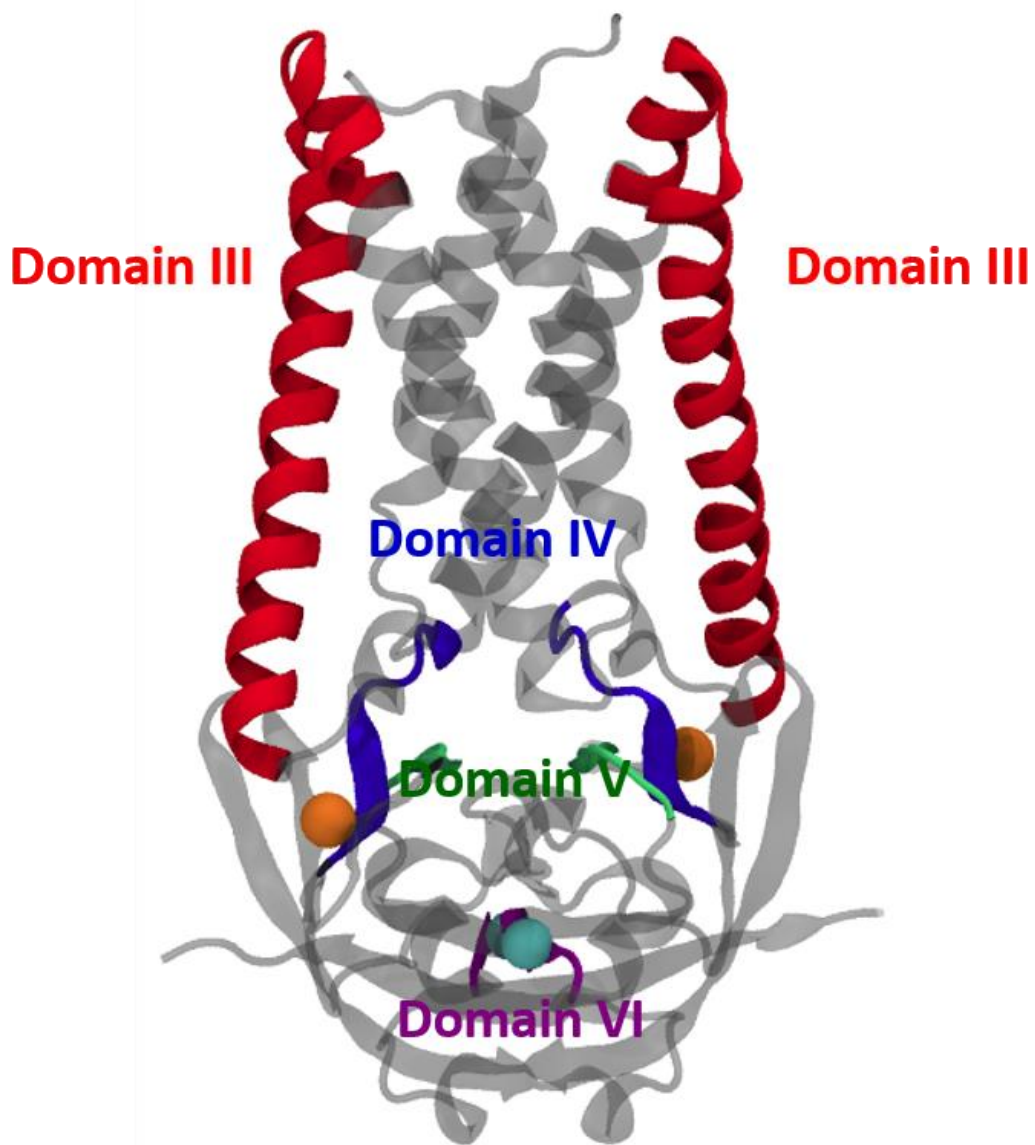


711 **Fig. 1**

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Fig. 2

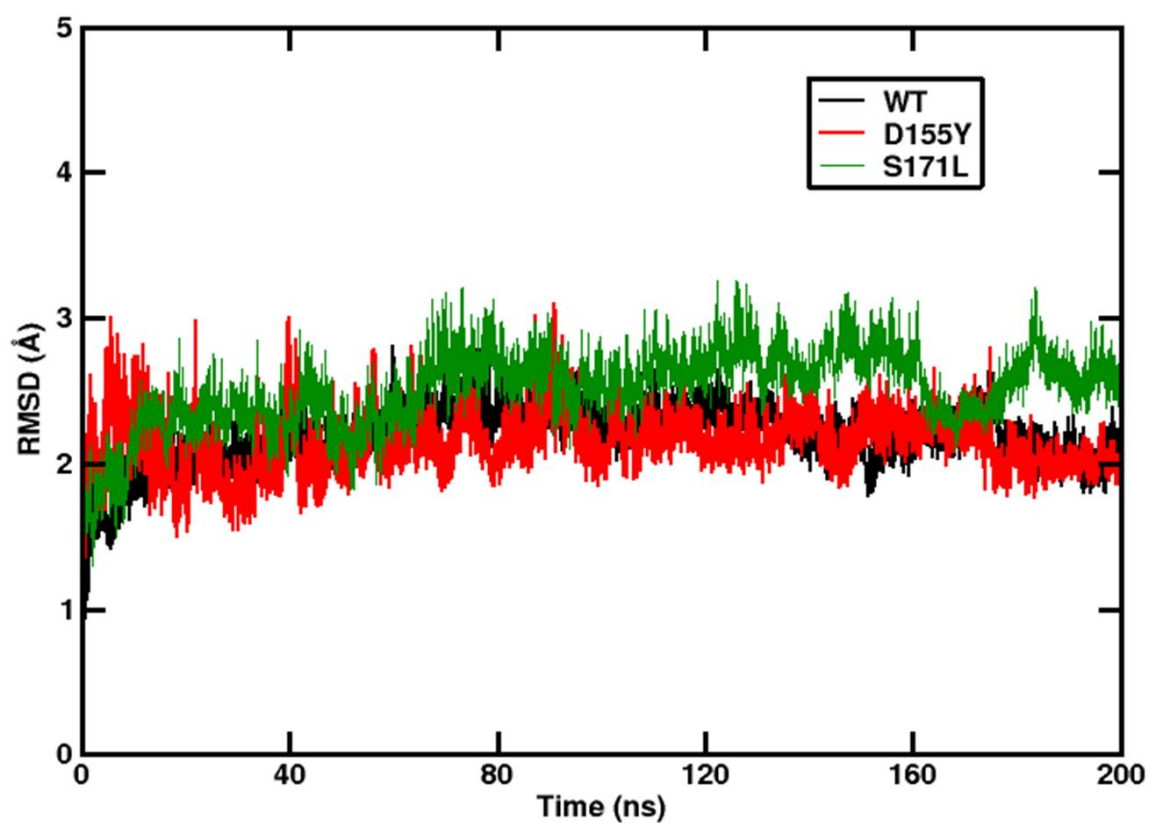


Fig. 3

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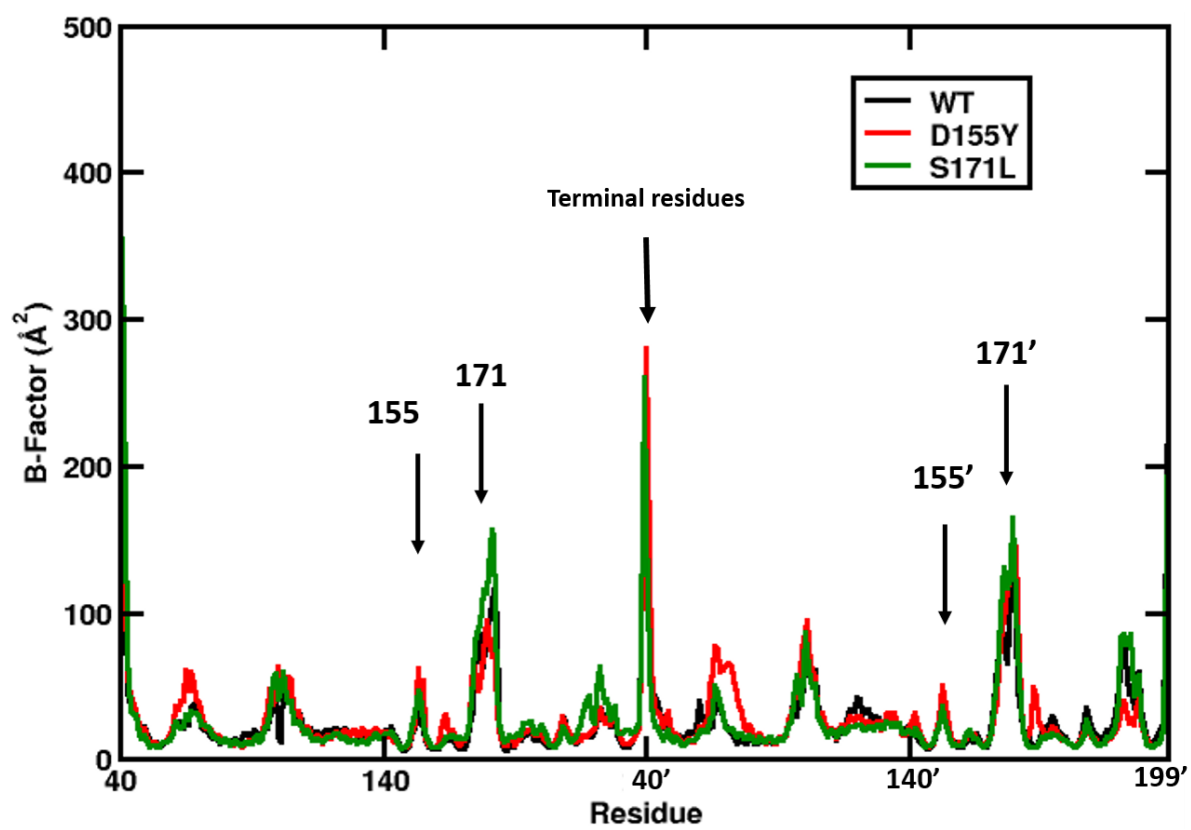


Fig. 4

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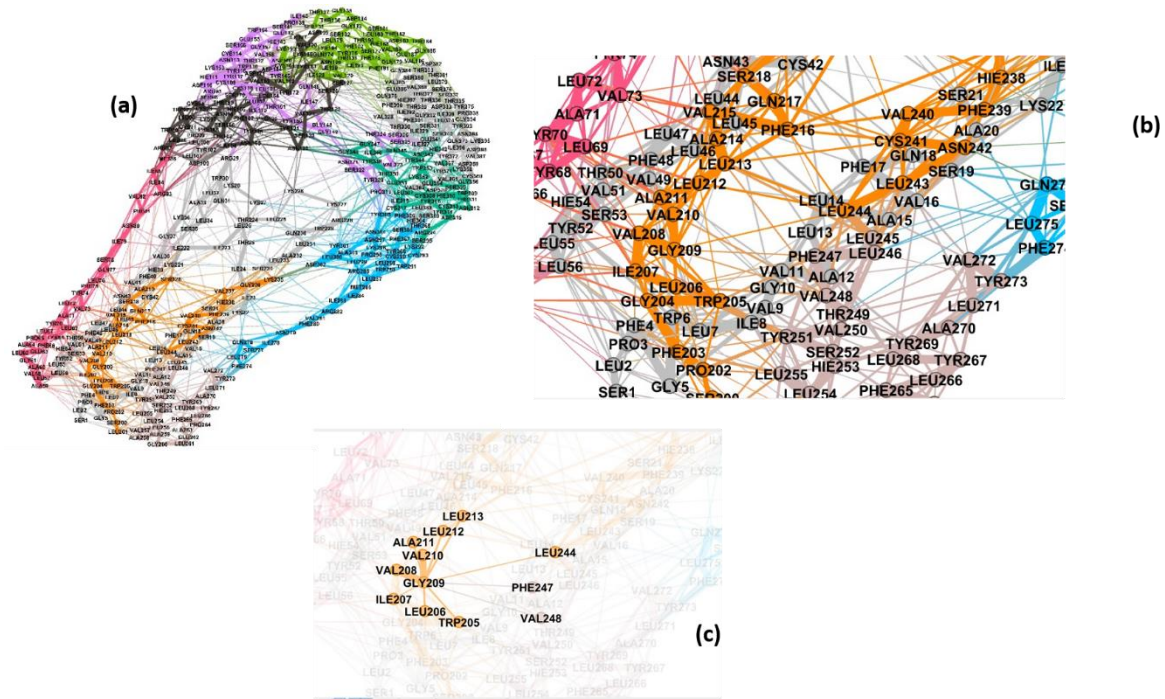


Fig. 5

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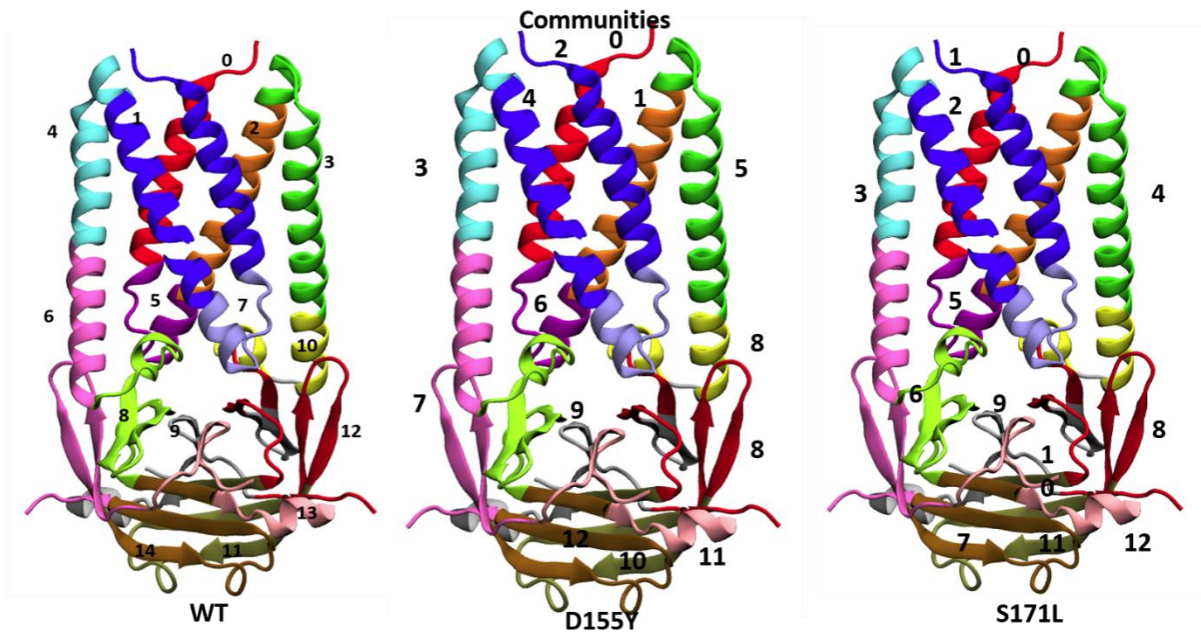
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Fig. 6

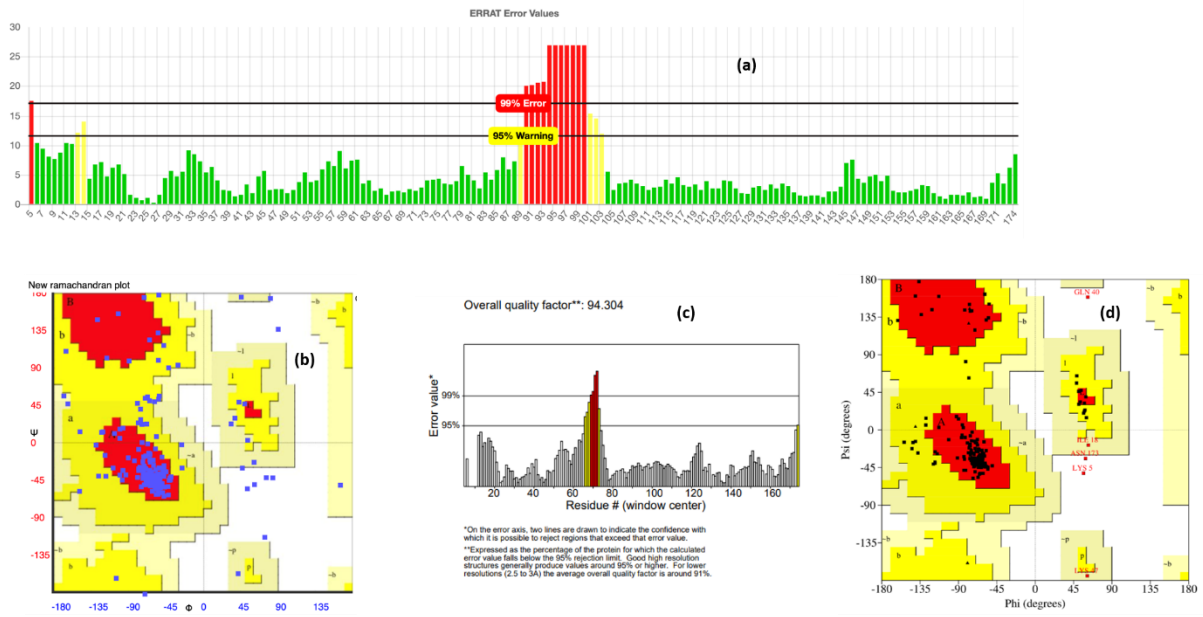


Fig. 7

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766

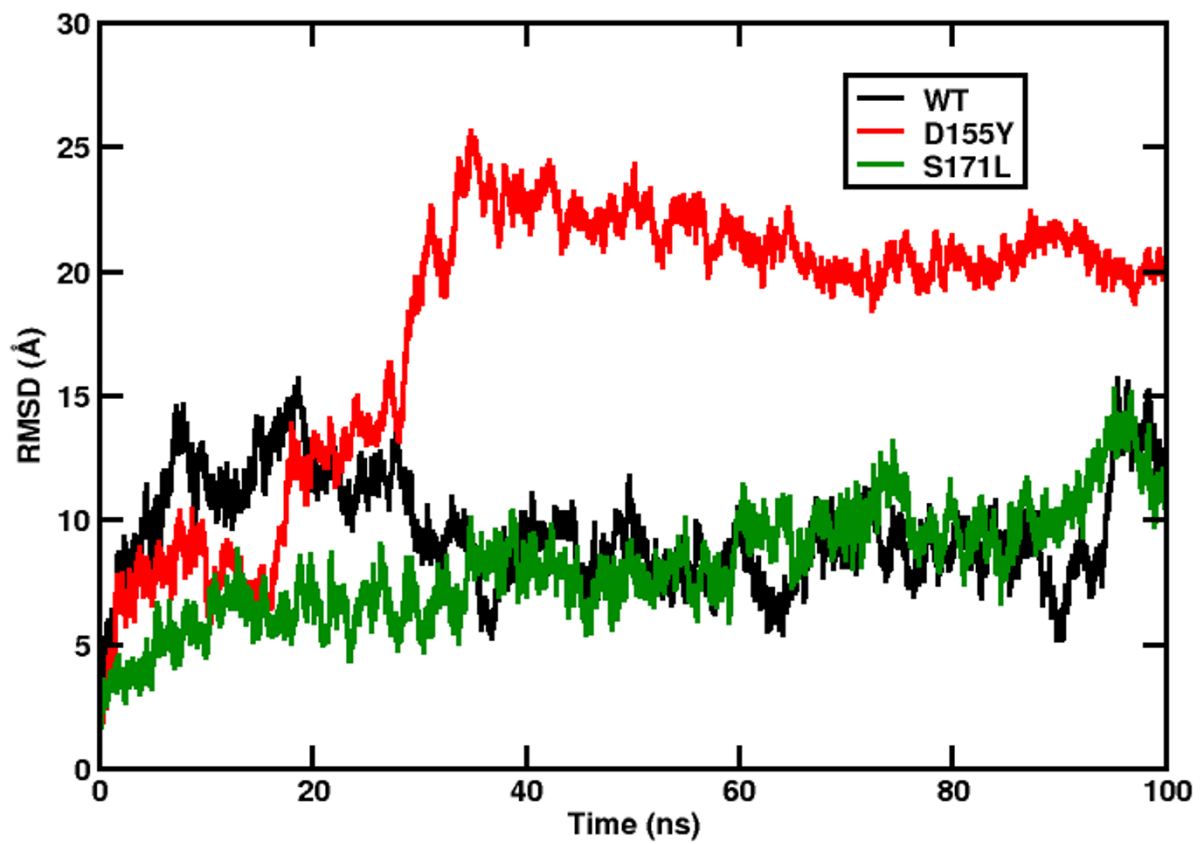


Fig. 8

767

768

769