# Gene-gene relationships in an *Escherichia coli* accessory genome are linked to function and mobility

- <sup>4</sup> Rebecca J. Hall<sup>1,2</sup>, Fiona J. Whelan<sup>1</sup>, Elizabeth A. Cummins<sup>1,2</sup>, Christopher Connor<sup>2</sup>, Alan McNally<sup>2</sup>, James O. McInerney<sup>1\*</sup>
- <sup>5</sup> <sup>1</sup>School of Life Sciences, University of Nottingham, Nottingham, NG7 2UH, UK
- <sup>6</sup> <sup>2</sup>Institute of Microbiology and Infection, College of Medical and Dental Sciences,
- 7 University of Birmingham, Birmingham, B15 2TT, UK
- <sup>8</sup> Corresponding author: james.mcinerney@nottingham.ac.uk

## , Abstract

The pangenome contains all genes encoded by a species, with the core genome 10 present in all strains and the accessory genome in only a subset. Coincident gene re-11 lationships are expected within the accessory genome, where the presence or absence 12 of one gene is influenced by the presence or absence of another. Here, we analysed the 13 accessory genome of an Escherichia coli pangenome consisting of 400 genomes from 14 20 sequence types to identify genes that display significant co-occurrence or avoid-15 ance patterns with one another. We present a complex network of genes that are 16 either found together or that avoid one another more often than would be expected 17 by chance, and show that these relationships vary by lineage. We demonstrate that 18 genes co-occur by function, and that several highly connected gene relationships are 19 linked to mobile genetic elements. We find that genes are more likely to co-occur 20 with, rather than avoid, another gene, suggesting that cooperation is more com-21 mon than conflict in the accessory genome. This work furthers our understanding 22 of the dynamic nature of prokaryote pangenomes and implicates both function and 23 mobility as drivers of gene relationships. 24

## <sup>25</sup> Data summary

All Supplementary Data files and the Python scripts used in the analyses are available at doi.org/10.17639/nott.7103.

## <sup>28</sup> Impact statement

The pangenome of a species encompasses the core genes encoded by all genomes, as 29 well as the accessory genes found in only a subset. Much remains to be understood 30 about the relationships and interactions between accessory genes; in particular, what 31 drives pairs of genes to appear together in the same genome, or what prevents them 32 from being in the same genome together, more often than expected by chance. How 33 these co-occurrence and avoidance relationships develop, and what effect they have 34 on the dynamics and evolution of the pangenome as a whole, is largely unknown. 35 Here, we present a springboard for understanding prokaryote pangenome evolution 36 by uncovering significant gene relationships in a model *Escherichia coli* pangenome. 37 We identify mobile genetic elements and the sharing of common function as possible 38 driving forces behind the co-occurrence of accessory genes. Furthermore, this work 39 offers an extensive dataset from which gene relationships could be identified for any 40 gene of interest in this E. coli accessory genome, providing a rich resource for the 41 community. 42

## 43 Introduction

*Escherichia coli* is one of the most widely used and studied bacterial species in 44 microbiology. Recent efforts have resulted in a cataloguing of the essential genes 45 in this species using transposon-directed insertion site sequencing (TraDIS) (1), 46 thereby defining which genes are indispensable and which are not. It is arguable 47 that the accessory genes found in the  $E. \ coli$  pangenome are not essential for the 48 survival of the species, making it somewhat of a curiosity that such a large set of 49 accessory genes is maintained. A separate study of a dataset of 53 E. coli genomes 50 identified more than 3,000 metabolic innovations that all arose as a consequence of 51 the acquisition via horizontal gene transfer (HGT) of a single piece of DNA less than 52 30 kb in length, and that 10.6% of innovations were facilitated by earlier acquisitions 53 (2). This suggests therefore that HGT has the ability to bring together sets of genes 54 that, when combined, provide benefits over and above the benefits that the genes 55 could confer on their own. Indeed, it is likely that there are situations where the 56 genes on their own might be deleterious, but in combination they confer a fitness 57 advantage (3), thereby contributing to the maintenance of a large accessory genome. 58

Analyses of genome content shows that E. coli pangenome evolution is driven 59 by differential gain and loss of accessory genes, including plasmids, phage, and 60 pathogenicity islands (4; 5; 6). What is not yet clear is the underlying structure 61 of the pangenome and which genes are key influencers of the presence or absence 62 of other genes in a given genome. Plasmid mobility, for instance, is anticipated to 63 be an important agent of pangenome structuring (7). Plasmids engage a diverse 64 range of proteins for the purpose of plasmid partitioning and maintenance, but the 65 presence and absence of these protein encoding genes in accessory genomes has not 66 been established concretely. 67

Variation in overall genome sequence has the potential to influence which other genes 68 can or cannot be present in a genome. A gene might be beneficial to one strain of 69 a species, but deleterious in a different strain. Sets of genes encoding proteins that 70 together form an essential biosynthetic pathway, for example, are expected to co-71 occur in the same genome, likewise those that form multi-protein complexes. The 72 presence of a gene or set of genes can also exclude, or 'avoid', others from the same 73 genome. Competitive exclusion is exemplified in *Salinispora* species, where only one 74 of two iron-chelating siderophore gene clusters is ever found in a given strain, despite 75 evidence of frequent HGT (8). An understanding of gene-gene dependencies and 76 influences can therefore provide a real insight into how pangenomes originate and 77 are maintained. We can address at least two questions when we look at gene-gene 78 co-occurrence and avoidance patterns. We can ask whether the pangenome has been 79 structured by random genetic drift or by natural selection, and we can ask whether 80 gene co-occurrence has been more important than avoidance in a pangenome. 81

In practical terms, the large *E. coli* accessory genome can serve as a testbed for cali-82 brating how much gene co-occurrence and avoidance can teach us about pangenome 83 origins and evolution. A considerable amount of diversity is found across all known 84 sequence types (STs) (4; 9; 10; 11; 12), while a large body of experimental validation 85 of gene function has had the effect of reducing the number of unknown open reading 86 frames (ORFs) in the pangenome. It is anticipated that there may be collections 87 of lineage-specific coincident gene-gene relationships, but the process driving these 88 relationships is not yet clear. 89

Here, we interrogate E. coli pangenome dynamics in order to identify coincident 90 gene-gene relationships (13). In a large sample of the total *E. coli* pangenome, 91 comprising 400 judiciously selected genomes spanning 20 different STs, we have 92 identified significant gene-gene co-occurrences and avoidances. We find connected 93 components that are enriched in genes that share a common function, suggesting 94 that the role that genes play in a system can partially explain their significant co-95 occurrence. We also find that MGEs extensively influence gene co-occurrence and 96 avoidance, including for genes linked to detoxification and antimicrobial resistance 97 (AMR). We identified more co-occurrence relationships than avoidance relationships, 98 which, according to our data and method of analysis, suggests that gene cooperation 99 is more common than conflict in the pangenome. Our results provide an extensive 100 set of possible empirical experiments that, together with our *in silico* predictions, 101 further our understanding of the complex ecological interactions and dynamics in 102 the *E. coli* pangenome. 103

## $_{104}$ Methods

### <sup>105</sup> E. coli genomes and pangenome

A set of 400 *E. coli* genomes were downloaded from EnteroBase using a custom
Python script (github.com/C-Connor/EnterobaseGenomeAssemblyDownload). The
set contained 20 genomes from 20 different STs; ST3, ST10, ST11, ST12, ST14,

ST17, ST21, ST28, ST38, ST69, ST73, ST95, ST117, ST127, ST131, ST141, ST144,
ST167, ST372, ST648. The STs were chosen to include multiple extraintestinal
pathogenic *E coli* (ExPEC), enterohemorrhagic *E. coli* (EHEC), and commensal
lineages. The sequences were annotated using Prokka (14) and a gene presenceabsence matrix was generated with Panaroo (15) using the default settings and the
-a core flag to generate a core gene alignment.

### <sup>115</sup> Core gene phylogeny

The core gene alignment file produced by Panaroo was trimmed using trimAl (16) with a -gt value of 1. Phylogenetic relationships between the strains were inferred using the core gene set from the pangenome. A core gene phylogeny was constructed from the trimmed alignment using the IQ-Tree software (17) with the GTR+I+G substitution model. Phylogenetic tree visualisation was carried out using the Interactive Tree of Life v5 (iTOL) (18).

#### <sup>122</sup> Coincident gene identification and visualisation

Gene co-occurrences (associations) and avoidance (dissociations) were identified us-123 ing Coinfinder (13) using a threshold of 0.01 for low abundance filtering and em-124 ploying the Bonferroni correction to account for multiple testing. Networks were 125 visualised using the Gephi software program (v0.9.2) with the Fructerman-Reingold 126 algorithm used for graph layout. Heatmaps were generated using seaborn (v0.10.1). 127 Hub genes are identified as genes forming a number of gene co-occurrences or avoid-128 ances that is 1.5 times the interquartile range (IQR), as in (19). Gene names were 129 taken from the Panaroo gene cluster identifications. 130

### <sup>131</sup> Prediction of plasmid-encoded genes

Genes were determined to be chromosomal or plasmid-encoded by collecting each contig containing the gene across all strains in which it was present, and then assessing whether those contigs were predicted to be plasmid- or chromosome-derived sequences using mlplasmids (20).

#### <sup>136</sup> Phosphotransferase system analysis

A list of all phosphotransferase system (PTS) genes was obtained from the KEGG database (21) and the *E. coli* gene presence-absence matrix was used to highlight those genes found in the *E. coli* pangenome.

### 140 Data availability

All Python scripts used for data analysis and figure construction are freely available at doi.org/10.17639/nott.7103. The gene presence-absence matrix generated by Panaroo, core gene phylogeny, outputs from Coinfinder, and a list of genomes mapped to their ST are also available as Supplementary Data at the same location. Descriptions of Coinfinder outputs can be found in the original software publication (13).

147 Results

### <sup>148</sup> The *E. coli* pangenome is highly structured

An E. coli pangenome, composed of 400 genomes from 20 different STs, was con-149 structed (Fig. S1). Panaroo was used for this analysis as preliminary investigations 150 found that the clustering produced fewer incidences of false positives in the avoid-151 ance network than when the gene presence-absence matrix was constructed using 152 Roary (22). This pangenome sample consists of 3,191 core, 120 soft core, 2,935 shell, 153 and 11,665 cloud genes (Fig. S2), replicating previous observations that E. coli has 154 an open pangenome (9). Using Coinfinder (13) we identified a gene co-occurrence 155 network that consisted of 8,054 nodes joined by a total of 500,654 edges (Fig. 1a), 156 and an avoidance network consisting of 3,203 nodes joined by 203,503 edges (Fig. 157 1b). The co-occurrence network is therefore larger, both in terms of numbers of 158 nodes and also numbers of interactions, though both networks have similar average 159 numbers of connections per node. Of all gene clusters in the gene presence-absence 160 matrix, 45.0% form at least one co-occurrence pair, and 17.9% at least one avoidance. 161 Of the accessory genes analysed by Coinfinder, 77.8% form at least one co-occurring 162 or avoidant pair. 163

### <sup>164</sup> Co-occurring genes share function

The co-occurrence network contains 224 connected components, including one large 165 connected component with extensive interactions (Fig. 1a). If indeed co-occurrence 166 is shaped by functional interactions and dependencies, we could expect co-occurrence 167 analyses to pick out known subsystems. Component 150, which is made up of a total 168 of 15 genes, consists in part of twelve genes involved in the type II secretion system 169 (T2SS) (*epsC-H, epsLM, gspK, pppA* and *xcpVW*) that facilitates the translocation 170 of a wide variety of proteins from the periplasm to the exterior of the cell. Similarly, 171 component 50 includes epsE (T2SS), and the type IV secretion system (T4SS) genes 172 vir1,4,8,10,11. Component 150 has a broader distribution across the STs (n=18) 173 than component 50 (n=6), and is found at a much higher abundance. In fact, for 11 174 of the STs, all 20 genomes contain component 150 (Fig. 1c). These data illustrate 175 that indeed analyses of co-occurrence patterns, as implemented by Coinfinder, can 176

177 pick out functional dependencies.

The constituent genes in components 3, 13, 60, and 149 are outlined in Table 1 178 and are known to function in DNA replication. Of note in these components are 179 the plasmid copy control gene repB and the plasmid partitioning protein-encoding 180 gene parB, both known to be plasmid-encoded, as well as the chromosome-encoded 181 dnaJ that functions in plasmid replication (23; 24). The fact that these functions 182 are found across four separate co-occurrence components shows that within this set 183 of functions related to mobility, there are co-occurring communities consisting of 184 distinct groups of genes that preferentially co-occur. These components are found 185 in a variety of STs, though in low abundance (Fig. 1c). 186

# <sup>187</sup> Co-occurrence gene hubs are linked to virulence and mobile <sup>188</sup> elements

We found considerable variation in the number of significant co-occurrence or avoid-189 ance relationships for any individual gene in the E. coli accessory genome. From the 190 degree distribution in the co-occurrence network we identified a large group of 427 191 highly connected "hub genes" (Fig. 2a), with a high of 896 co-occurrences recorded 192 for an individual gene cluster (group 2839, identified as dnaC 4 from the non-193 unique gene name). These hub genes either facilitate or promote the existence of a 194 large number of other genes in any given genome. The most common known func-195 tions of co-occurrence gene hubs are attack and defence (including toxin-antitoxin 196 systems, Shiga toxin, CRISPR system subunit, and genes encoding hemolysin and 197 proteins involved in detoxification), metabolism, and DNA and RNA processes (in-198 cluding DNA primase, helicase, and replication proteins, tyrosine recombinases, and 199 proteins involved in DNA repair) (Fig. 2b). Full lists of the number of pairs formed 200 by individual genes are provided as Supplementary information. 201

A notable subset of the hub genes is linked to DNA exchange and MGEs. Some are 202 known plasmid-encoded genes (toxB, hlyACD, and ssb (24; 25)), and the Shiga toxin 203 subunits stxAB (7) are encoded on a single phage (26). The tyrosine recombinases 204 *xerCD* function in plasmid segregation, as does *parM*, and there are several genes 205 either prophage-encoded (recE (27)) or related to phage functions (intQ, tfaE). 206 The transposase tnpA is also a hub gene, forming a co-occurring pair with 841 207 other genes. These findings indicate a process where hub genes that have a role 208 in lateral mobility of genetic material are specifically co-occurring with genes that 209 confer fitness advantages, on average, when mobilised. This suggests a process of 210 mutual benefit; the mobility enablers co-occurring with the genes most likely to 211 confer positive fitness effects. 212

### <sup>213</sup> The avoidance network is characterised by root excluders

The avoidance network has substantially fewer connected components (n=14) than the co-occurrence network, and many of these components are characterised by the

presence of a single "root excluder"; a situation where one gene avoids a gene set 216 that in turn all co-occur with one another (Fig. 1b, Fig. S3). Over half of the 217 components in this network show this pattern, specifically components 5-7, 9-12, 218 and 14 (Table S1). These components are found in at least 19 genomes of all 219 STs (Fig. 1d). None of the root excluders form avoidance hubs (Fig. 2a). As an 220 example, within component 14, the root excluder *dhaR*, a transcriptional regulator of 221 the dihydroxyacetone kinase operon (28) avoids 11 genes involved in the production 222 of T2SS proteins (*gspHK*, *epsEFLM*, *pulDG*, *outC*, *xcpVW*), amongst other known 223 and hypothetical genes. The plasmid-associated nature of some T2SS genes (24) 224 suggests an active process of dissociation or avoidance as a result of their mobility. 225

### <sup>226</sup> Avoidance hub genes are lineage-specific

The avoidance network is smaller than the co-occurrence network with fewer hub 227 genes, though high degree nodes can be identified with the highest showing 749 228 avoidances for a single gene cluster (atoA, atoD, zraR 2 spo0F, and zraS 2) (Fig. 229 2a). The *ato* genes encode proteins involved in the degradation and transport of 230 short-chain fatty acids (29), and the zra genes encode a two-component system 231 linked to antimicrobial tolerance in  $E. \ coli \ (30)$ . The most enriched function of the 232 avoidance hub genes is in metabolism, and when grouped by function, regulation is 233 the sole category where the number of avoidance hubs is greater than the number 234 of co-occurrence hubs (Fig. 2b). 235

Some genes avoid considerably more genes than they co-occur with. For instance, 236 the putative diguanylate cyclase ycdT, which catalyses the production of cyclic di-237 3',5'-guanylate and is reportedly under positive selection in uropathogenic E. coli 238 (12), significantly co-occurs only with pgaA-D. The proteins encoded by pgaA-D239 are involved in biofilm formation. In contrast, ycdT avoids 83 other gene clusters 240 including the CRISPR system Cascade subunits *casACDE*. All 400 genomes have at 241 least one of either ycdT or the casACDE genes, but they are found in isolation in 298 242 genomes. The only STs where this ycdT-casACDE avoidance pattern is not observed 243 in any of the genomes are ST3, ST17, ST11, ST38, and ST128, and therefore does 244 not demonstrate a clear phylogenetic split (Fig. S1). We might speculate that there 245 is another element of genome variation that modulates whether ycdT-casACDE can 246 or cannot be present in the same genome. 247

The avoidance hub genes are also enriched in functions related to secretion systems 248 (Fig. 2b). We found that between 627 and 661 gene clusters avoid the T2SS-related 249 genes gspA-M and the probable bifunctional chitinase/lysozyme that is secreted 250 by the T2SS (31). Of these, one is particularly pertinent; spiA (also known as 251 escC), encoding a type III secretion system (T3SS) outer membrane secretin (32). 252 Incidences of spiA avoiding gspA-M gene clusters are found in all 20 STs. These data 253 provide some evidence that secretion systems may have a substantial genome-wide 254 influence. 255

# Repeated co-occurrence of resistance genes and transposon genes

We have demonstrated that genes that share functions or pathways will commonly 258 co-occur, and that certain highly connected co-occurrences and avoidances involve 259 genes associated with plasmids. We hypothesised that other agents of horizontal 260 transfer could therefore form the centre of co-occurrence hubs as a result of their 261 mobility. The transposase tnpA, for example, is a co-occurrence hub gene (n=841) 262 gene pairs) (Fig. 2a). This is the only transposon of the 22 within the co-occurrence 263 network that is classified as a hub gene. We found that three connected components 264 (excluding the large component in the centre of Fig. 1a) contain transposons. 265

The first, component 81, is comprised of a collection of genes enriched in functions 266 related to metal detoxification. Eight genes relate to copper (copABDR, pcoCE)267 and silver (*silPE*) resistance, and six encode proteins involved in producing a cation 268 efflux system (cusABCFSR) (Fig. 3a). A Tn7 transposition protein, tnsA, is also 269 present. These systems may be acquired and retained together in order to provide a 270 broader spectrum of metal detoxification. This connected component is only found 271 in four of the 20 STs; ST3 (number of individual genomes = 2), ST10 (n=5), ST117 272 (n=2), and ST127 (n=1) (Fig. 1c). These STs are not close phylogenetically (Fig. 273 S1), indicating that it is not simply a lineage dependent characteristic. 274

Component 53 consists predominantly of the Tn10 transposon proteins tetCD that 275 confer tetracycline resistance, as well as qltS (a sodium/glutamate symporter) and 276 five hypothetical proteins (Fig. 3b). This component is found in more than half 277 of the genomes in the multidrug resistance-associated ST648 (n=13) and ST167278 (n=12), and in at least one genome in 16 of the 20 STs (Fig. 1c). The co-occurrence 279 of *qltS* and the hypothetical proteins with the tetracycline resistance genes could 280 suggest they may either be linked in a novel way to AMR, or, alternatively, that they 281 have been transferred with the resistance genes and their co-occurrence is purely as 282 a result of this hitchhiking effect. 283

The remaining connected component that contains a transposon is component 2. 284 This component is comprised of two quasi-cliques and consists, in part, of the T4SS 285 genes virB1, 2, 4, 6, 8-11, a putative transposon Tn552 DNA invertase (bin3), the 286 conjugal transfer protein traG, and the tyrosine recombinase xerD (Fig. 3c). The 287 presence of these genes throughout both of the quasi-cliques strongly suggests that 288 MGEs are influencing the co-occurrence relationships in this component. This com-289 ponent is found in at least one genome in 18 different STs (Fig. 1c), providing 200 further evidence for gene mobility. The transposons could influence the transfer 291 of the genes in these components, or they could be hitchhiking between genomes 292 alongside the rest of the component. 293

### <sup>294</sup> Hypothetical proteins form a hidden network with a large <sup>295</sup> influence on the *E. coli* accessory genome

A theme that emerged within the connected components is the central role in struc-296 turing the pangenome that is clearly being played by many genes with unknown 297 function. First, 67.5% of the co-occurrence hub genes (n=295) and 17.5% (n=11) 298 of the avoidant hub genes encode hypothetical proteins (Fig. 2c). This was surpris-299 ing given the extent to which E. coli has been studied. While many genes in our 300 dataset have assigned functions, 11,491 ORFs in the gene presence-absence matrix 301 used as input to Coinfinder were not ascribed a function, 64.2% of the total. Second, 302 certain connected components are enriched in genes encoding hypothetical proteins, 303 with several connected components consisting solely of unknown ORFs. Examples 304 of this are co-occurrence components 198 and 89 (Fig. 3d, e), both of which are 305 found in several different STs, though no ST contains both components (Fig. 1c). 306 The entirety of component 89 consists of hypothetical proteins, while all but one of 307 component 198 is a hypothetical protein. It should also be noted that both of these 308 connected components also form a clique; every node in the connected component is 309 connected to every other node. This means that every gene in the component shows 310 a significant co-occurrence pattern with every other gene. It is therefore highly likely 311 that these groups of genes are tightly, functionally linked to one another, though 312 there is no published information related to the function of any of these genes. 313

We observe several connected components where the majority of genes in a compo-314 nent share a similar function. This makes it tempting to imply a putative role for 315 the genes encoding the remaining hypothetical proteins in those components. Co-316 occurrence component 90 consists of five genes with known functions; three genes 317 that are found in the dTDP-rhamnose biosynthesis pathway, a rhamnoslytransferase, 318 and a polysialic acid transporter. This leaves four genes in this connected compo-319 nent that encode hypothetical proteins (Fig. 3f). We could speculate that these 320 hypothetical proteins may therefore function in lipopolysaccharide or capsule for-321 mation (33). Component 2 consists of 82 gene clusters, including 53 that encode 322 hypothetical proteins and 14 that relate to secretion systems (Fig. 3c). These ob-323 servations show that there is an important network of genes of unknown function 324 that exert a large influence on the E. coli accessory genome, and that our approach 325 can generate meaningful hypotheses to inform investigation of the function of those 326 genes. 327

### <sup>328</sup> The drivers of gene-gene relationships are multifaceted

We have identified co-occurrence connected components that entirely consist of, or are enriched in, genes that share a common, identifiable role. We have also highlighted gene relationships that appear to be influenced by the presence of MGEs. If function and mobility were the only drivers behind significant gene-gene relationships, it might be expected that genes encoded on the same operon, or that function in a discrete system, will share the sames patterns of co-occurrence. To test this, we focused on phosphotransferase system (PTS) genes, which are used to import

carbohydrates (34). These systems were chosen because several have been identified in *E. coli*, they are typically multi-component (35), and the presence of one system might logically be linked to the presence or absence of another.

We collated all genes encoding PTS components and identified those that form a co-occurring pair with at least one other PTS gene. We found no correlation between whether the encoded proteins are membrane-bound or cytoplasmic and the likelihood that they will co-occur with another PTS gene. Of the 67 PTS genes detailed in the KEGG database (21), 29 were not observed in our gene presenceabsence matrix, and a further ten did not manifest a significant co-occurrence with any other PTS gene (Fig. 4a).

We found a complex pattern of co-occurrence across the systems. Certain PTS genes do show a consistent pattern for the complete system. For example, *srlABE* all cooccur with the same genes, and *sorABFM* only co-occur with each other (Fig. 4a, b). In contrast, the *manXYZ*, *levDEFG*, *agaBCDF*, and *ulaABC* gene relationships are not system-specific, varying instead by individual gene. Selection pressures on these systems are complex and heterogeneous, and gene co-occurrence relationships are likely driven by multiple factors.

## 353 Discussion

The open pangenome of  $E. \ coli$  (9) provides a rich testbed to help understand 354 genome and pangenome dynamics. Factors such as the immediate microenvironment 355 in which a strain lives are known to influence the accessory gene content of any given 356 genome and, consequently, the pangenome (36; 37; 38). Though there are known 357 examples of how the fitness of one gene in a genome is influenced by the presence or 358 absence of other genes, there has been no systematic, large-scale study of how genetic 359 background, in terms of the presence or absence of genes in a genome, influences 360 the fitness effect of an incoming gene (13). Recently, however, it has been shown 361 that the genetic background of a genome has a direct effect on whether or not a 362 gene is essential (39). We have little knowledge of why some lineages encode genes 363 that others do not, and the extent to which the observed encoded genes influence 364 the likelihood of successfully integrating other incoming genes. To begin to unpack 365 this, we have presented a network of coincident gene relationships in a model E. 366 *coli* pangenome. We found that nearly half of all gene clusters in the pangenome 367 form a significant pair, and that this relationship is more likely to be one of co-368 occurrence than avoidance. This indicates an ecological relationship between genes 369 in that cooperation is more likely than conflict; genes co-occur because they share 370 function, whereas direct, antagonistic avoidances are less common. This shows that 371 the *E. coli* pangenome is highly structured. 372

Previously, little was known about structure in prokaryote pangenomes; whether pangenomes arise as a result of drift, or whether they are maintained by selection (40; 41; 42). Recent genus-level analysis of a *Pseudomonas* pangenome found significant co-occurrence of genes that share common function, providing support

for selection as a driver of pangenome evolution (19). We build upon this work 377 with the observation that many co-occurring connected components are enriched 378 in genes that share function, broadly defined. We also propose, alongside the role 379 that sharing function plays, that gene mobility could be an additional mechanism 380 behind the formation of these gene-gene relationships. MGEs are a known link to 381 gene essentiality and virulence in E. coli (4; 39; 43), and they have been implicated 382 in driving accessory genome differences in a Listeria monocytogenes pangenome 383 (44). Here, we have demonstrated that they also influence gene co-occurrences by 384 uncovering hub genes and connected components linked to or encoded on MGEs 385 (24; 25; 7; 45; 46; 47; 48; 49). This includes known phage-encoded virulence factors 386 (26; 50), transposons, and genes involved in conjugation. Together, this progresses 387 current understanding of prokaryote pangenomes by suggesting that they are struc-388 tured and dynamic, but also further underscores the importance of HGT in driving 389 pangenome evolution (51). 390

Furthermore, we found that these gene relationships are often non-randomly dis-391 tributed across the pangenome, with some being more frequently observed in spe-392 cific STs. For example, co-occurrences related to resistance phenotypes are found 393 through the different STs, but are particularly evident in the MDR-associated ST167 394 and ST648 (52; 53). ST-specific differences that confer pathogenicity and resistance 395 in E. coli are well-studied (43; 53; 54), but this work provides a new layer of under-396 standing into how the accessory genome may interact to this end. We suggest that 397 certain gene collections are required by specific lineages and that this may be driven 398 in part by MGEs. 399

Alongside these gene co-occurrences of known function, we have also uncovered a net-400 work of genes with unknown function that influence the structure of the pangenome 401 through the high number of genes that they co-occur with and avoid. E. coli has 402 fewer genes of unknown function than most prokaryotes, although there is evidence 403 that many of the accessory genes in lineages such as ST131 are of hypothetical func-404 tion (40). The number of coincident gene relationships formed by such genes here 405 highlights the challenges in understanding the global prokaryote pangenome. Given 406 the prevalence of MGEs in the co-occurrence network, it is tempting to conclude 407 that at least some of the high proportion of co-occurrence hub genes identified as 408 encoding hypothetical proteins may be related to mobility. 409

The data presented here support the concept that pangenomes create pangenomes. 410 The diversity of gene content in a cosmopolitan species such as E. coli means that the 411 fitness effect of gaining and losing individual genes is not the same for all constituent 412 genomes. We observed the presence or absence of some genes only when other 413 genes are also present or absent. We consistently observed some pairs of genes co-414 occurring or avoiding repeatedly across the diversity of the group of genomes, and 415 root excluders consistently avoiding certain cliques. We observed unknown ORFs 416 that significantly co-occur in the same genome, even though their distribution in the 417 pangenome is patchy. There is therefore an emerging logic to the E. coli pangenome 418 that clearly identifies natural selection to have frequently dominated over genetic 419 drift. 420

## 421 Acknowledgements

RJH was supported by the BBSRC (BB/N018044/2), awarded to JOM. FJW was
funded by a Marie Skłodowska-Curie Individual Fellowship (GA no. 793818). EAC
was funded by the Wellcome AAMR DTP and CC by the Wellcome Midas DTP.
We thank M.R. Domingo-Sananes and S. Thorpe for their insightful feedback on the
work presented here.

## 427 Conflicts of interest

428 The authors declare that there are no conflicts of interest.

## 429 References

- $_{430}$  [1] Goodall, E. C. *et al.* The essential genome of Escherichia coli K-12. *mBio* **9**,  $_{431}$  02096–17 (2018).
- [2] Pang, T. Y. & Lercher, M. J. Each of 3,323 metabolic innovations in the
  evolution of E. coli arose through the horizontal transfer of a single DNA segment. Proceedings of the National Academy of Sciences of the United States of
  America 116, 187–192 (2019).
- [3] Domingo-Sananes, M. R. & McInerney, J. O. Mechanisms That Shape Microbial
   Pangenomes. *Trends in Microbiology* (2021).
- [4] Ogura, Y. et al. Comparative genomics reveal the mechanism of the parallel
  evolution of O157 and non-O157 enterohemorrhagic Escherichia coli. Proceedings of the National Academy of Sciences 106, 17939–17944 (2009).
- [5] Hentschel, U. & Hacker, J. Pathogenicity islands: The tip of the iceberg.
   *Microbes and Infection* 3, 545–548 (2001).
- [6] Johnson, T. J. *et al.* Separate F-Type Plasmids Have Shaped the Evolution of
  the H30 Subclone of Escherichia coli Sequence Type 131. *mSphere* 1, 00121–16
  (2016).
- [7] Nakamura, K. *et al.* Differential dynamics and impacts of prophages and
  plasmids on the pangenome and virulence factor repertoires of Shiga toxinproducing Escherichia coli O145:H28. *Microbial Genomics* 6 (2020).
- [8] Bruns, H. et al. Function-related replacement of bacterial siderophore pathways.
   *ISME Journal* 12, 320–329 (2018).
- [9] Rasko, D. A. *et al.* The pangenome structure of Escherichia coli: Comparative genomic analysis of E. coli commensal and pathogenic isolates. *Journal of Bacteriology* **190**, 6881–6893 (2008).

- [10] Dobrindt, U. (Patho-)Genomics of Escherichia coli. International Journal of Medical Microbiology 295, 357–371 (2005).
- [11] Decano, A. G. & Downing, T. An Escherichia coli ST131 pangenome atlas reveals population structure and evolution across 4,071 isolates. *Scientific Reports*9, 1–13 (2019).
- [12] Chen, S. L. et al. Identification of genes subject to positive selection in uropathogenic strains of Escherichia coli: A comparative genomics approach. *Proceedings of the National Academy of Sciences of the United States of America* 103, 5977–5982 (2006).
- [13] Whelan, F. J., Rusilowicz, M. & McInerney, J. O. Coinfinder: Detecting significant associations and dissociations in pangenomes. *Microbial Genomics* 6, e000338 (2020).
- 466 [14] Seemann, T. Prokka: rapid prokaryotic genome annotation. *Bioinformatics*467 **30**, 2068–2069 (2014).
- <sup>468</sup> [15] Tonkin-Hill, G. *et al.* Producing polished prokaryotic pangenomes with the <sup>469</sup> Panaroo pipeline. *Genome biology* **21**, 180 (2020).
- [16] Capella-Gutiérrez, S., Silla-Martínez, J. M. & Gabaldón, T. trimAl: a tool for automated alignment trimming in large-scale phylogenetic analyses. *Bioinformatics* 25, 1972–1973 (2009).
- [17] Nguyen, L.-T., Schmidt, H. A., Von Haeseler, A. & Minh, B. Q. IQ-TREE: A
  Fast and Effective Stochastic Algorithm for Estimating Maximum-Likelihood
  Phylogenies. *Molecular Biology and Evolution* **31**, 268–274 (2015).
- [18] Letunic, I. & Bork, P. Interactive Tree of Life (iTOL) v4: Recent updates and new developments. *Nucleic Acids Research* 47, W256–W259 (2019).
- <sup>478</sup> [19] Whelan, F. J., Hall, R. J. & Mcinerney, J. O. Evidence for selection in a <sup>479</sup> prokaryote pangenome. *bioRxiv* 2020.10.28.359307 (2020).
- 480 [20] Arredondo-Alonso, S. *et al.* mlplasmids: a user-friendly tool to predict plasmid481 and chromosome-derived sequences for single species. *Microbial Genomics* 4, e000224 (2018).
- [21] Kanehisa, M. & Goto, S. KEGG: Kyoto Encyclopedia of Genes and Genomes.
  Tech. Rep. 1 (2000).
- <sup>485</sup> [22] Page, A. J. et al. Roary: rapid large-scale prokaryote pan genome analysis.
  <sup>486</sup> Bioinformatics **31**, 3691–3693 (2015).
- <sup>487</sup> [23] Kawasaki, Y., Wada, C. & Yura, T. Roles of Escherichia coli heat shock proteins DnaK, DnaJ and GrpE in mini-F plasmid replication. *MGG Molecular & General Genetics* 220, 277–282 (1990).
- <sup>490</sup> [24] Makino, K. *et al.* Complete nucleotide sequences of 93-kb and 3.3-kb plasmids
  <sup>491</sup> of an enterohemorrhagic Escherichia coli O157:H7 derived from Sakai outbreak.
  <sup>492</sup> DNA Research 5, 1–9 (1998).

- <sup>493</sup> [25] Schmidt, H., Beutin, L. & Karch, H. Molecular analysis of the plasmid-encoded
  <sup>494</sup> hemolysin of Escherichia coli O157:H7 strain EDL 933. Infection and Immunity
  <sup>495</sup> 63 (1995).
- <sup>496</sup> [26] Waldor, M. K. Bacteriophage biology and bacterial virulence. Trends in Microbiology 6, 295–297 (1998).
- <sup>498</sup> [27] Handa, N. & Kobayashi, I. Type III Restriction Is Alleviated by Bacterio<sup>499</sup> phage (RecE) Homologous Recombination Function but Enhanced by Bacterial
  <sup>500</sup> (RecBCD) Function. Journal of Bacteriology 187, 7362 7373 (2005).
- [28] Bachler, C., Schneider, P., Bahler, P., Lustig, A. & Erni, B. Escherichia coli dihydroxyacetone kinase controls gene expression by binding to transcription factor DhaR. *The EMBO Journal* 24, 283–293 (2005).
- Jenkins, L. S. & Nunn, W. D. Genetic and molecular characterization of the
  genes involved in short-chain fatty acid degradation in Escherichia coli: The
  ato system. *Journal of Bacteriology* 169, 42–52 (1987).
- [30] Rome, K. et al. The Two-Component System ZraPSR Is a Novel ESR that
   Contributes to Intrinsic Antibiotic Tolerance in Escherichia coli. Journal of
   Molecular Biology 430, 4971–4985 (2018).
- [31] Francetic, O., Belin, D., Badaut, C. & Pugsley, A. P. Expression of the endogenous type II secretion pathway in Escherichia coli leads to chitinase secretion. *EMBO Journal* 19, 6697–6703 (2000).
- [32] Miki, T., Okada, N., Kim, Y., Abe, A. & Danbara, H. DsbA directs efficient
  expression of outer membrane secretin EscC of the enteropathogenic Escherichia
  coli type III secretion apparatus. *Microbial Pathogenesis* 44, 151–158 (2008).
- [33] Silver, R. P., Aaronson, W. & Vann, W. F. The K1 capsular polysaccharide of Escherichia coli. *Reviews of Infectious Diseases* 10 Suppl 2 (1988).
- <sup>518</sup> [34] Postma, P. W. & Lengeler, J. W. Phosphoenolpyruvate: Carbohydrate phosphotransferase system of bacteria (1985).
- [35] Tchieu, J. H., Norris, V., Edwards, J. S. & Saier, M. H. The complete phos photransferase system in Escherichia coli. Journal of Molecular Microbiology
   and Biotechnology 3, 329–346 (2001).
- [36] Manges, A. R. *et al.* Global extraintestinal pathogenic Escherichia coli (ExPEC)
   lineages. *Clinical Microbiology Reviews* 32 (2019).
- [37] Sokurenko, E. V. et al. Pathogenic adaptation of Escherichia coli by natural variation of the FimH adhesin. Proceedings of the National Academy of Sciences of the United States of America 95, 8922–8926 (1998).
- [38] McNally, A. *et al.* Diversification of colonization factors in a multidrug-resistant
   Escherichia coli lineage evolving under negative frequency- dependent selection.
   *mBio* 10 (2019).

- <sup>531</sup> [39] Rousset, F. *et al.* The impact of genetic diversity on gene essentiality within <sup>532</sup> the E. coli species. *bioRxiv* 2020.05.25.114553 (2020).
- <sup>533</sup> [40] McInerney, J. O., McNally, A. & O'Connell, M. J. Why prokaryotes have pangenomes. *Nature Microbiology* **2**, 1–5 (2017).
- <sup>535</sup> [41] Shapiro, B. J. The population genetics of pangenomes (2017).
- <sup>536</sup> [42] Andreani, N. A., Hesse, E. & Vos, M. Prokaryote genome fluidity is dependent on effective population size. *ISME Journal* **11**, 1719–1721 (2017).
- [43] Cusumano, C. K., Hung, C. S., Chen, S. L. & Hultgren, S. J. Virulence plasmid harbored by uropathogenic Escherichia coli functions in acute stages of
  pathogenesis. *Infection and Immunity* 78, 1457–1467 (2010).
- <sup>541</sup> [44] Kuenne, C. *et al.* Reassessment of the Listeria monocytogenes pan-genome
  <sup>542</sup> reveals dynamic integration hotspots and mobile genetic elements as major
  <sup>543</sup> components of the accessory genome. *BMC Genomics* 14, 47 (2013).
- <sup>544</sup> [45] Firth, N. & Skurray, R. Characterization of the F plasmid bifunctional conju-<sup>545</sup> gation gene, traG. *MGG Molecular & General Genetics* **232**, 145–153 (1992).
- [46] Wallden, K., Rivera-Calzada, A. & Waksman, G. Type IV secretion systems:
   Versatility and diversity in function (2010).
- [47] Schmidt, H., Henkel, B. & Karch, H. A gene cluster closely related to type
  II secretion pathway operons of Gram-negative bacteria is located on the large
  plasmid of enterohemorrhagic Escherichia coli O157 strains. *FEMS Microbiology Letters* 148, 265–272 (2006).
- [48] Arciszewska, L. & Sherratt, D. Xer site-specific recombination in vitro. The EMBO Journal 14, 2112–2120 (1995).
- [49] Cornet, F., Hallet, B. & Sherratt, D. J. Xer recombination in Escherichia coli:
   Site-specific DNA topoisomerase activity of the XerC and XerD recombinases.
   *Journal of Biological Chemistry* 272, 21927–21931 (1997).
- <sup>557</sup> [50] Denamur, E., Clermont, O., Bonacorsi, S. & Gordon, D. The population ge<sup>558</sup> netics of pathogenic Escherichia coli. Nature Reviews Microbiology 19, 37–54
  <sup>559</sup> (2020).
- <sup>560</sup> [51] Brockhurst, M. A. *et al.* The Ecology and Evolution of Pangenomes (2019).
- <sup>561</sup> [52] Zhang, X. *et al.* First identification of coexistence of blaNDM-1 and bla CMY<sup>562</sup> 42 among Escherichia coli ST167 clinical isolates. *BMC Microbiology* 13, 282
  <sup>563</sup> (2013).
- <sup>564</sup> [53] Schaufler, K. *et al.* Genomic and functional analysis of emerging virulent and
   <sup>565</sup> multidrug-resistant Escherichia coli lineage sequence type 648. *Antimicrobial* <sup>566</sup> Agents and Chemotherapy 63 (2019).
- <sup>567</sup> [54] Hibbing, M. E., Dodson, K. W., Kalas, V., Chen, S. L. & Hultgren, S. J. Adap<sup>568</sup> tation of Arginine Synthesis among Uropathogenic Branches of the Escherichia
  <sup>569</sup> coli Phylogeny Reveals Adjustment to the Urinary Tract Habitat. *mBio* 11
  <sup>570</sup> (2020).

## <sup>571</sup> Figures and tables



Fig. 1 Gene relationships in the *E. coli* pangenome. (a) An overview of the cooccurrence and (b) avoidance networks, coloured by connected component. Selected connected components are numbered as in the Supplementary Data Files. (c) The number of genomes in which part or all of a co-occurrence and (d) avoidance connected component appears in each ST. An absence of a co-occurrence component in a ST is depicted as colourless.

Table 1 Certain co-occurrence components function in fundamental cellular processes. The known gene clusters relating to DNA and RNA replication, regulation and repair found in the co-occurrence connected components 3, 13, 60, and 149. Genes are named as per the Panaroo gene clusters in the gene presence-absence matrix. Where the gene is identified by 'group\_', a gene identifier, taken from the Panaroo non-unique gene name, is given in parentheses.

Component 3	Component 13	Component 60	Component 149
dnaB_2_dnaB_1_dnaB_3 dnaJ_3_dnaJ_1_dnaJ_2 ssb_3_ssb_2 smcsmc_1	polA_2 dnaE_1_dnaE_2_dnaE1 dnaG_1 dnaQ_1_dnaQ_2 group_7368 (parB) repB_2 lig smc_2_smc	dnaB_3_dnaB_2 ssb_1_ssb_5_ssb_4 group_3992 (topB)	group_4304 (recQ) srmB_2 group_296 (rapA)



**Fig. 2** Highly connected hub genes in the accessory genome. (a) The number of gene-gene relationships formed by individual genes. Hub genes (1.5 times the upper IQR) are coloured orange (co-occurrence) and blue (avoidance). (b) Co-occurrence (orange) and avoidance (blue) hub genes categorised by loose biological function. Attack and defence includes CRISPR system subunits, toxin-antitoxin systems, toxin production, detoxification. DNA and RNA processes includes replication, repair, recombination, and plasmid segregation. (c) The number of hub genes encoding hypothetical proteins.



Fig. 3 Select co-occurrence connected components are linked to transposons and ones enriched in genes encoding hypothetical proteins. (a) Co-occurrence component 81, enriched in genes related to metal detoxification. (b) Co-occurrence component 53, linked to tetracycline resistance. (c) Co-occurrence component 2, comprised in part of genes encoding secretion systems. (d) Co-occurrence components 198 and (e) 89 are enriched in genes relating to capsule formation. Select gene clusters are labelled.



Fig. 4 PTS gene relationships are not universal. (a) A schematic overview of the cooccurrence PTS gene relationships. PTS gene systems found in the KEGG database are coloured by those not present in this *E. coli* pangenome (white), those which do not form a coincident PTS-PTS pair in the accessory genome (grey), and the clusters that form a co-occurring pair with another PTS gene (coloured by system). A significant relationship is indicated by a solid black line. Where a relationship is observed with all genes in a PTS, the line connects to a box around the system. Transporters that consist of genes that all significantly co-occur with one another are marked with a yellow star. The grey dashed line connecting *fruA* with *bglF* and *malX* indicates a significant relationship in both the co-occurrence and avoidance datasets for different clusters of the same gene identification. (b) The specific cooccurrences by gene cluster. Nodes are coloured by system as in (a).

## 572 Supplementary



Fig. S1 An *E. coli* core gene phylogeny. Phylogeny of the 400 genomes used in this study, constructed from the trimmed core gene alignment using IQ-Tree based on the GTR+I+G substitution model. Labels are coloured by ST.



Fig. S2 Distribution of core, soft core, shell, and cloud genes in this *E. coli* pangenome.



Fig. S3 Gene relationships in avoidance connected components that contain a root excluder. Genes avoided by the root excluders (orange) co-occur with one another and with other genes in the accessory genome (blue). Networks numbered according to avoidance connected component in Fig. 1b and the Supplementary Data files.

Table S1 All root excluder genes and their corresponding avoidance connected component. Component number refers to that given in the Coinfinder output provided as Supplementary Data. The gene cluster IDs are given as in the Panaroo gene presence-absence matrix.

Component	Gene name	Gene cluster ID	Protein function
5	rtcB	rtcB_rtcB_1_rtcB_2	RNA ligase
6	ybdO	ybdO_2ybdO_1_leuO_2_ybdO_3	Transcription regulator
7	tam	$tam_tam_2$	Trans-aconitate 2-methyltransferase
9	evgS	$evgS_evgS_2$	Two-component system sensor protein
10	$group\_9436$	group_9436	Hypothetical protein
11	nlpA	nlpA	Lipoprotein 28
12	yfkM	yfkM_yfkM_1	General stress protein
14	dhaR	dhaR	Transcription factor