# **3D** models of Alzheimer's disease patient microglia recapitulate disease phenotype

# 2 and show differential drug responses compared to 2D

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# 12 Abstract

Alzheimer's disease (AD) is an incurable neurodegenerative disorder with a rapidly increasing 13 14 prevalence worldwide. Current approaches targeting hallmark pathological features of AD have had 15 no consistent clinical benefit. Neuroinflammation is a major contributor to neurodegeneration and 16 hence, microglia, the brain's resident immune cells, are an attractive target for potentially more 17 effective therapeutic strategies. However, there is no current in vitro model system that faithfully 18 recapitulates patient-specific microglial characteristics. To address this shortcoming, we developed 19 novel 3D models of monocyte-derived microglia-like cells (MDMi) from AD patients. MDMi in 3D 20 exhibited mature microglial features, including a highly branched morphology and enhanced bonafide 21 microglial marker expression compared to 2D. Moreover, AD MDMi in 3D co-cultures with neuro-22 glial cells showed altered cell-to-cell interactions, growth factor and cytokine secretion profiles and 23 responses to amyloid-β. Drug screening assays in 3D AD MDMi revealed different cytokine responses 24 compared to 2D. Our study demonstrates disease- and drug-specific responses in 3D MDMi models 25 that are not apparent in 2D and presents a new 3D platform for more effective and personalised drug 26 testing.

#### 27 Introduction

Alzheimer's disease (AD) is a complex age-related neurodegenerative disorder involving progressive 28 29 impairment of cognitive functions, with distinct pathogenicity and clinical phenotypes among patients. 30 Predictions estimate that AD, together with other neurodegenerative diseases, will become the second 31 leading cause of death in the next 20 years (1). Nevertheless, no prevention strategies or cure exist 32 despite major advances in deciphering the molecular basis of AD. The most characteristic 33 neuropathological hallmarks of AD brains are the presence of extracellular senile plaques, consisting 34 primarily of misfolded amyloid- $\beta$  (A $\beta$ ) protein, and intracellular neurofibrillary tangles, composed of hyperphosphorylated tau protein. Accumulation of protein aggregates in the brain parenchyma triggers 35 multiple deleterious processes, including oxidative stress and mitochondrial dysfunction (2), which 36 37 alter brain homeostasis. For decades, reducing the protein aggregate burden in AD brains has been the 38 main goal of candidate therapeutics, but these strategies have yielded poor outcomes in clinical trials. 39 The field is therefore in desperate need for more effective drug targets.

40 Chronic neuroinflammation and sustained activation of pro-inflammatory pathways are critical 41 components of many neurodegenerative diseases, including AD. Recent reports have shown that 42 neuroinflammation plays a major role in the pathogenesis and progression of AD (3, 4). Microglia, the 43 resident immune modulators of the brain, are key effectors of neuroinflammation and hence represent a promising candidate for targeted therapeutics for AD and other neurodegenerative diseases. 44 45 Microglia develop aberrant phenotypes under non-homeostatic brain conditions and consequently 46 mediate multiple pathogenic mechanisms, including neuron and synapse degeneration, that are critical 47 to the cognitive decline characteristic of AD (5, 6). Moreover, most AD risk gene variants (for example 48 TREM2, APOE, CLU, CD33, PILRB, BIN1, PLCG2 and MEF2C) converge on biological pathways 49 linked to microglial function (7-12). Recent studies have correlated the responses of diseased microglia 50 in AD brains with the varied clinical presentations seen among patients. Indeed, the individual's 51 genetic makeup determines whether diseased microglia will prevent or exacerbate the pathogenic 52 processes underlying disease in that particular patient's brain (13-15). As such, microglia not only 53 contribute to the pathology of AD but also show patient-specific characteristics, thus being essential 54 players in the patient heterogeneity observed in AD.

55 Current *in vitro* model systems used to study the role of microglia in AD (reviewed in (*16, 17*)) lack 56 either clinical relevance or physiological complexity, thereby affecting translatability of drug 57 outcomes into the clinic. Murine microglia lack the ability to fully recapitulate disease phenotypes of 58 AD patients due to the little resemblance of immune functions and ageing processes between mice and 59 humans (*18-21*). Human immortalised microglia cell lines are genetically and functionally very different from *in vivo* microglia (22-24). Freshly isolated primary microglia from AD patients are normally obtained from post-mortem brains in low yields and rapidly lose microglial phenotypic signatures upon removal from the brain environment (22). Lastly, human induced pluripotent stem cell (hiPSC)-derived microglia allow for the generation of a clinically relevant, patient-specific microglia platform. However, establishing hiPSC-derived microglia requires costly, long and technically challenging protocols that result in variable differentiation efficiencies (25), and the cells lose patientspecific traits, including ageing markers, upon reprogramming (26).

67 The monocyte-derived microglia-like cell (MDMi) model system addresses the shortcoming of the 68 above models and provides a novel, cost-effective approach for the rapid generation of personalised 69 microglia cultures from living patients. This method has been previously applied by us and others 70 using ex vivo blood-derived monocytes from schizophrenia (27, 28), Nasu-Hakola disease (29) and 71 amyotrophic lateral sclerosis (ALS) (30) patients, demonstrating disease-associated phenotypes in the 72 patient-derived MDMi. In addition to their controlled genetic background, MDMi are readily available 73 and yield mature microglia in a short time frame, thus allowing for the study of mature microglia from 74 large patient cohorts (31, 32).

75 Microglial identity is driven by the multicellular milieu and three-dimensional (3D) network of 76 macromolecules present in the brain. Therefore, the traditional two dimensional (2D) culture 77 conditions of microglia in vitro systems greatly abrogate their ability to replicate mature microglial 78 function (33). The physiological relevance of the MDMi model can be increased by using 3D in vitro 79 culture techniques and co-cultures with neuro-glial cells to incorporate the cues supporting microglial 80 development *in vivo*. The development of a complete AD pathological cascade in 3D, but not in 2D, 81 shows an improved in vitro disease modelling capacity of 3D culture systems compared to 2D (34, 82 35). However, no 3D in vitro model of AD has yet incorporated patient-derived microglial cells in a 83 highly reproducible and experimentally flexible 3D cell culture system (36).

84 In this study, we generated for the first time 3D patient-specific MDMi models from multiple living 85 AD patients. These 3D hydrogel-based MDMi models are consistent and easy to generate and allow 86 for the establishment of 3D MDMi co-cultures with human neuro-glial cells, which provide a more 87 complex and physiologically relevant culture environment. We characterised 3D MDMi models at 88 different levels. Firstly, we examined whether a 3D hydrogel scaffold enhances microglia-like features 89 (i.e., morphology and marker expression) in MDMi compared to a 2D platform using cells from 90 healthy controls. Secondly, we demonstrated the feasibility to generate 3D MDMi models in 3D co-91 culture. Thirdly, we studied AD-specific changes in the 3D cultures, including morphology, expression 92 of AD risk genes, cell-to-cell interaction with neuro-glial cells and functional responses against Aβ

- 93 aggregates. Finally, to test the potential applicability of 3D MDMi platforms in a drug screening setting,
- 94 we compared drug responses in MDMi between the 2D, 3D and 3D co-culture models. Together, the
- 95 utility of the 3D MDMi models presented here opens new avenues for more predictable and
- 96 personalised *in vitro* microglia models to test candidate therapeutics.

## 97 <u>Methods</u>

## 98 Study cohort

99 This study involved the recruitment of Alzheimer's disease (AD) and Healthy control (HC) 100 participants through the Prospective Imaging Studying of Aging: Genes, Brain and Behaviour study 101 (PISA) at QIMR Berghofer Medical Research Institute, Queensland, Australia (37). All research 102 adhered to the ethical guidelines on human research outlined by the National Health and Medical 103 Research Council of Australia (NHMRC). Ethical approval was obtained from QIMR Berghofer 104 Medical Research Institute. All participants provided informed consent before participating in the 105 study. The number of samples varied in each assay due to the limited proliferative capacity of MDMi 106 in culture, and the quantity of blood samples available from each donor. Further, repeated longitudinal 107 sampling of peripheral blood from patients was not within the scope of this study. All samples used 108 for assays were randomly selected, with matching age, gender and apolipoprotein E (APOE) status for 109 each assay. APOE genotyping was performed in the Sample Processing Facility at QIMR Berghofer 110 Medical Research Institute, Queensland, Australia, as previously described (37).

Study cohorts		Healthy Control (HC)	Alzheimer's disease (AD)
N° of participants		<i>n</i> = 12	<i>n</i> = 13
Sex of	Females (%)	58.3% (7/12)	53.8% (7/13)
participants	Males (%)	41.7% (5/12)	46.2% (6/13)
Age of participants (mean ± SD)		$68.5 \pm 2.7$	$69.3 \pm 5.4$
ADOE	E3/E3 (%)	25% (3/12)	7.7% (1/13)
genotype	E3/E4 (%)	58.3% (7/12)	69.2% (9/13)
	E4/E4 (%)	16.7% (2/12)	23.1% (3/13)

#### 111 **Table 1. Summary of donor information.**

112 SD = standard deviation

113 APOE = apolipoprotein E

# 114 Isolation of peripheral blood mononuclear cells (PBMCs)

Peripheral venous blood samples were collected in ethylenediaminetetraacetic acid (EDTA) tubes
(Becton-Dickson, NJ, USA). PBMCs separation was performed within 2 h of blood withdrawal using
SepMate<sup>TM</sup> tubes (StemCell Technologies, BC, Canada) as per manufacturer's instructions. PBMCs
were washed twice with PBS containing 1mM EDTA and subsequently frozen in 10% dimethyl
sulphoxide (DMSO) (Merck KGaA, Hesse, Germany) and 90% foetal bovine serum (ThermoFisher
Scientific, CA, USA) (v/v).

# 121 Establishment of 2D and 3D MDMi cultures

122 MDMi in 2D were generated as described previously (30). Briefly, cryopreserved PBMCs were

- thawed and seeded onto plates coated with Matrigel (Corning, NY, USA). After 24 h incubation at standard humidified culture conditions (37°C, 5% CO<sub>2</sub>), non-adherent cells were removed and a cell
- standard humidified culture conditions (37°C, 5% CO<sub>2</sub>), non-adherent cells were removed and a cell population enriched in monocytes remained adhered to the culture vessel. To induce MDMi
- 125 population enriched in monocytes remained adhered to the culture vessel. To induce MDMi 126 differentiation, monocytes were then cultured in serum-free RPMI-1640 GlutaMAX medium (Life
- 127 Technologies, Grand Island, NY, USA) supplemented with 0.1 µg/ml of interleukin (IL)-34 (IL-34)
- 128 (Lonza, Basel-Stadt, Switzerland), 0.01 µg/ml of granulocyte-macrophage colony-stimulating factor
- 129 (GM-CSF) (Lonza, Basel-Stadt, Switzerland) and 1% (v/v) penicillin/streptomycin (Life Technologies,
- 130 Grand Island, NY, USA) for 14 days.
- 131 To induce MDMi differentiation in 3D, monocytes were resuspended in Matrigel diluted with ice-cold
- culture medium at a 1:3 ratio. Matrigel-cell mixtures were seeded in 96-well plates with medium containing 0.1  $\mu$ g/ml IL-34 and 0.01  $\mu$ g/ml GM-CSF. 3D MDMi were collected or used for downstream assays after an average of 35 days in culture.

# 135 Establishment of 2D and 3D human neural progenitor cell (NPCs) cultures

- 136 The human ReNcell VM immortalised neural progenitor cell line (EMD Millipore, Billerica, MA, 137 USA) was cultured as per manufacturer's instructions, with some modifications. Briefly, cells were 138 plated onto Matrigel-coated plates for 2D cultures or mixed with a 1:3 Matrigel dilution to initiate the 139 3D cultures. Cells were maintained in DMEM/F12 GlutaMAX medium (Life Technologies, Grand 140 Island, NY, USA) containing 2% (v/v) B27 supplement, 20 µg/ml epithelial growth factor (EGF) 141 (Sigma-Aldrich, MO, USA), 20 µg/ml fibroblast growth factor 2 (FGF-2) (Lonza, Basel-Stadt, 142 Switzerland) and 1% (v/v) penicillin/streptomycin. Both 2D and 3D cultures were spontaneously 143 differentiated for 1, 14 or 30 days by withdrawing growth factors from the maintenance medium (ReN 144 base medium). All cells used were in passages 7-10 to ensure consistent spontaneous neuro-glial
- 145 differentiation across independent experiments.

## 146 Establishment of 3D co-cultures (MDMi and ReNcell VM)

- 147 ReNcell VM were plated in 3D as described above and cultured for 1 day in ReN base medium to
  148 induce spontaneous differentiation. Monocytes were embedded in a 1:3 Matrigel dilution and seeded
  149 with 3D ReNcell VM cultures at 1:2.5 to 1:5 monocyte to ReNcell VM ratios. 3D co-cultures were
- 150 maintained in 50% (v/v) ReN base medium and MDMi culture medium for an average of 35 days.

## 151 Immunocytochemistry

- 152 Immunofluorescence staining of 2D cultures was performed as described previously (30). MDMi and
- 153 ReNcell VM were cultured on 8-well chamber slides (Ibidi, DKSH, Germany) and 13-mm plastic
- 154 coverslips (Sarstedt, Nümbrecht, Germany), respectively. Cells were fixed in 4% paraformaldehyde

155 (PFA) or ice-cold methanol for 15 min and then washed with PBS. Blocking was performed at RT with 5% bovine serum albumin (BSA) (Sigma-Aldrich, MO, USA) in PBS. Primary antibodies for 156 157 TREM 2 (1:500; Abcam, # ab201621), P2RY12 (1:200; Alomone Labs, #APR-20), TMEM119 (1:400; 158 Abcam, # ab185333), IBA1 (1:500; Wako, #019-19741), Nestin (1:200; Abcam, #ab22035), GFAP 159 (1:2000; Abcam, #ab4674), GalC (1:50; Santa Cruz, #sc-518055), Doublecortin (DCX) (1:200; 160 Abcam, ab36447) and ßIII-tubulin (TUBB3) (1:500; BioLegend, #801202) were diluted in blocking 161 solution and incubated overnight at 4°C. Cells were then washed three times with 0.1% Triton-X 100 162 in PBS and incubated with 1:250 Alexa Fluor 488 (#A-11034) / 594 (#A-21203) / 647 (#A-21244) 163 secondary antibodies (ThermoFisher Scientific, CA, USA) for 2 h at RT in the dark and counterstained 164 with a nuclear dye (Hoechst 33342, 1 µg/ml). Immunofluorescence staining of 3D cultures was 165 performed according to (38) with some modifications. 3D cultures, established on black optical 96-166 well plates (ThermoFisher Scientific, CA, USA), were fixed with 4% PFA overnight at RT and washed 167 twice with PBS. Cultures were then permeabilised for 30 min with 0.3% Triton-X 100 in PBS, rinsed 168 with PBS and blocked overnight at 4°C with 2% BSA (Sigma-Aldrich, MO, USA) in PBS. Primary 169 antibody solutions were added as described above and incubated for 24 h at 4°C. Secondary antibody 170 solutions were incubated for 5 h at RT in the dark. Following primary and secondary antibody 171 incubations, cultures were washed five times (10 min each) with 0.1% Triton-X 100 in PBS and 172 counterstained with Hoechst 33342. Images were captured using a confocal laser scanning microscope 173 (LSM-780, Carl Zeiss) at 20X and 40X magnification and processed using the Zeiss ZEN software.

# 174 RNA extraction and quantitative real-time PCR (qRT-PCR)

175 RNA and cDNA were prepared as previously described (39). Total RNA was extracted using a Direct-176 zol RNA Miniprep kit (Integrated Sciences, Australia) as per manufacturer's protocol. Conversion to 177 cDNA was carried out using a SensiFAST<sup>™</sup> cDNA synthesis kit (Bioline, London, UK). For qRT-178 PCR, cDNA was diluted 1:10 to generate working solutions and combined with SensiFAST<sup>TM</sup> SYBR® 179 Lo-ROX master mix and gene-specific primers (see primer sequences in Table S1). The qRT-PCR 180 runs were performed as triplicate on Applied Biosystems ViiA 7 (ThermoFisher Scientific, CA, USA). 181 Endogenous control 18S was used as a housekeeping gene for normalisation. Relative gene expression 182 levels were calculated using the  $\Delta\Delta$ Ct method.

# 183 Multiplex bead-based immunoassay

184 The LEGENDplex<sup>TM</sup> Human Inflammation (#740809) and Growth Factor (#740180) kits (BioLegend,

- 185 CA, USA) were used to detect cytokines and growth factors in conditioned media from 3D MDMi and
- 186 ReNcell VM mono- and co-cultures. The assay was performed as per manufacturer's instructions.
- 187 Briefly, conditioned media were incubated with a cocktail of antibody-conjugated capture beads. Then

188 biotinylated detection antibodies were added followed by streptavidin-phycoerythrin (SA-PE). The

- amount of analytes of interest in the samples was calculated as a proportion of the fluorescent signal
- 190 intensity provided by capture bead-analyte-detection antibody-SA-PE sandwiches. Signals were
- 191 acquired on a BD LSRFortessa 5 (BD Biosciences, CA, USA) using FACSDiva software, and analysed
- 192 using Qognit, a cloud-based LEGENDplex<sup>TM</sup> software (BioLegend, CA, USA). Concentrations (pg/ml)
- 193 were normalised to total amount of protein in the cultures.

# 194 Morphology analysis

195 Quantification of morphological parameters of MDMi in 2D and 3D mono-cultures was performed by 196 adapting a previous method (40). In brief, phase contrast images acquired with a 20X objective were 197 processed in FIJI software (National Institutes of Health, Maryland, USA) using a macro script that 198 applied a threshold, followed by processing functions "despeckle", "close" and "remove outliers" that 199 generated a binary image. Binary images were then run on the AnalyseSkeleton(2D/3D) plugin, which 200 resulted in skeletonised images. The "results and branch information" outputs from the plugin 201 contained data on branch length, endpoint number and triple and quadruple junctions number. Binary 202 images were also analysed using the Analyze particles function in FIJI. This calculated the "solidity" 203 or "ramification index" value, which results from dividing the area of MDMi by its convex area (i.e., 204 area of the smallest polygon drawn around the cell). More ramified cells have a bigger convex area 205 and thus a smaller ramification index (<1). Mean single cell values for each parameter were calculated. 206 The total number of MDMi analysed per donor was 100 in 2D and 20 in 3D.

# 207 Cell contacts analysis in 3D co-cultures

208 Confocal Z-stack images of 3D co-cultures acquired with a 20X objective were rendered in 3D using 209 the Imaris software (Bitplane, Belfast, UK). During image acquisition, the Z-interval was set at 210 "Optimal" so that the number of acquired slices was suitable for the given stack size, objective lens, 211 and pinhole diameter. Following surface modelling using the Surface function in Imaris, the Surface-212 Surface contact area extension module was applied to measure the areas in contact between ReNcell 213 VM and MDMi as well as the number of contacts established. Both parameters were then normalised 214 to the total number of MDMi in the image. A total of 200 MDMi in co-culture were analysed for each 215 donor in the HC and AD cohorts.

# 216 **Preparation of amyloid-β (Aβ) fibrils**

- 217 Fluorescein isothiocyanate (FITC)-conjugated amyloid- $\beta$  peptides 1-42 (FITC-A $\beta_{1-42}$ ) (Bachem,
- 218 M2585, CH) were dissolved in DMSO to a concentration of 500 $\mu$ M and stored at -80°C. FITC-A $\beta_{1-42}$
- 219 were incubated for 24 h at 37°C in the 3D cultures prior to imaging to allow for the fibrillisation of the
- 220 peptides and formation of  $A\beta$  fibrillary aggregates.

#### 221 Aβ aggregates exposure and surveillance analysis

FITC-A $\beta_{1.42}$  were added at 5µM to MDMi 3D mono- and co-cultures at day 35 of differentiation. After 24 h, cultures were imaged on an EVOS FL Auto 2 (ThermoFisher Scientific, CA, USA). Scans were set to image multiple z-stack planes every 12 h for 7 days using a 10X objective. At least 3 fields of view were scanned per well. MDMi located within an area of 90,000 µm<sup>2</sup> containing one or more A $\beta$ fibrillary aggregate were tracked using the *Manual tracking* plugin in FIJI. Migrated distance and velocity of tracked cells were calculated and normalised to the number of MDMi in the analysed areas. Between 100-200 MDMi per individual was tracked in both HC and AD cohorts.

#### 229 Statistical analysis

- 230 All statistical analyses were performed using GraphPad Prism software version 8 (Graphpad Software,
- 231 CA, USA). Comparisons between two groups were analysed with two-tailed Student's t-test or Mann-
- 232 Whitney U, when normality assumptions were not met. Comparisons between three or more groups
- 233 were analysed by one- or two-way analysis of variance (ANOVA) followed by post-hoc tests. Data
- are presented as mean  $\pm$  SEM or mean  $\pm$  SD and  $P \le 0.05$  was considered significant. Statistical
- 235 significance was determined as \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*P < 0.0001, as detailed in
- figure legends.

#### 237 <u>Results</u>

## 238 MDMi in 3D show increased survival and more mature microglial features compared to 2D

We have previously differentiated monocytes into MDMi in a 2D platform using Matrigel-coated plates (*30*). To develop a more physiologically relevant MDMi model with a better representation of

- the 3D structure of the brain, we differentiated monocytes into MDMi in a 3D platform. We embedded the monocytes in Matrigel (Fig. 1A; Fig. S1A), resulting in cultures with 6.2-fold higher cell thickness
- 243 (*i.e.*, size of the Z-stack that captured the whole cell) compared to 2D (Fig. 1B; Fig. S1B; Movie S1,
- 244 2). Remarkably, MDMi survival in 3D was significantly increased by 2.5 fold compared to 2D (Fig.
  245 1C). Hence, MDMi were cultured for an average of 14 days in 2D and 35 days in 3D, after which
  246 features of cellular ageing, including enlarged cell sizes and increased vacuolisation, were observed.
- 247 We next examined if the 3D culture conditions affected microglial features in MDMi, including 248 morphology and expression of microglia-enriched markers, compared to 2D. Overall, we observed 249 that 3D MDMi showed a highly ramified branched structure and increased branch complexity 250 compared to 2D (Fig. 1D). Branched structure parameters, such as branch length and number of 251 branches (endpoints), and branch complexity parameters, such as number of triple and quadruple 252 junctions (points at which branches divide into three or four sub-branches, respectively), were 253 significantly increased in 3D compared to 2D MDMi (Fig. 1E-G). Ramified microglia have a highly 254 branched morphology with a larger convex area than ameboid cells, thus correlating with a low 255 ramification index (41). We observed that the ramification index of 3D MDMi was lower than 2D 256 MDMi (Fig. 1H), confirming an enhanced ramified morphology of MDMi in the 3D platform. Such 257 enhancement in MDMi ramified morphology could be likely due to the larger surface area for growth 258 and differentiation provided by the 3D Matrigel scaffold.
- 259 We have previously reported that MDMi cultured in 2D showed a microglial phenotype compared to 260 monocytes demonstrated by the upregulated expression of microglia-enriched markers, including 261 *PROS1*, *GPR34*, *GAS6* and *TREM2*, and the downregulated expression of the leukocyte marker *CD45* 262 (30). Expectedly, all seminal microglial markers (IBA1, PROS1, GPR34, TMEM119, GAS6 and 263 TREM2) were upregulated and CD45 was downregulated in 3D MDMi compared to monocytes (Fig. 264 11). Interestingly, in comparison to 2D, we observed a significantly increased expression of *PROS1*, 265 GPR34 and TMEM119 in 3D MDMi, while similar expression levels were observed for IBA1, GAS6 266 and TREM2 between 3D MDMi and 2D MDMi (Fig. 1I). These results suggest that the 3D culture 267 was able to promote selective microglia-enriched markers in MDMi. Importantly, as immature 268 microglia have been reported to lack TMEM119 expression (42), the upregulation of TMEM119 in 3D 269 MDMi compared to 2D MDMi further indicates an enhanced microglial maturity in the 3D platform.

Finally, positive immunostaining of Trem2 and P2ry12 proteins in 3D MDMi confirmed the retention of microglial proteins in MDMi for up to 35 days (**Fig. S1C**).

- 272 Overall, these results demonstrate that MDMi cultured in 3D survive longer in culture and better
- 273 recapitulate microglial features, including a more ramified morphology and enhanced expression of
- 274 microglial core genes, compared to 2D.

# Human neural progenitor cells differentiated in 3D generate more mature neuro-glial populations compared to 2D

- 277 To mimic the neuro-glial cues present in the brain microenvironment, we used the immortalised human 278 neural progenitor cell (NPC) line ReNcell VM to establish a co-culture platform with MDMi. ReNcell 279 VM are derived from the ventral mesencephalon region of a foetal brain (43) and give rise to mixed 280 populations of astrocytes, oligodendrocytes and neurons upon differentiation (43, 44). Using 281 immunofluorescence, we confirmed the presence of cells expressing nestin (NPC marker), GFAP 282 (immature and mature astrocyte marker), GalC (oligodendrocyte progenitor cells (OPCs) and mature 283 oligodendrocyte marker) and doublecortin (DCX, immature neuron marker) after 1 and 30 days of 284 ReNcell VM differentiation in 2D (Fig. 2A). As ReNcell VM differentiate, they lose their stemness 285 and proliferative capacity. This was confirmed by a significant decrease in Ki67 expression, a 286 proliferation marker, by day 14 of differentiation that was further decreased by day 30 of 287 differentiation (Fig. 2B). Consistently, the reduction of stemness was reflected by the decreased 288 expression of well-known markers of radial glial progenitor cells (SOX2, NESTIN, GLAST and BLBP) 289 by day 30. In contrast, immature astrocyte, OPCs and neuron markers (GFAP, PLP-1 and TBR2, 290 respectively) showed increased expression trends after day 1 of differentiation. Markers indicating 291 enhanced astrocytic (GLT-1), oligodendrocytic (GalC) and neuronal (MAPT, synaptophysin (SYP)) 292 maturity showed a similar upregulation by day 30 but reached lower expression levels than those from 293 radial glia and immature glial markers (Fig. 2C). Overall, these results demonstrate that differentiation 294 of ReNcell VM generates mixed neuro-glial cell populations with predominance of glial cell types 295 including radial glial progenitor cells, astrocytes and OPCs.
- We next examined whether differentiation under 3D Matrigel culture conditions would enhance the maturity of ReNcell VM-derived neuro-glial cell populations compared to 2D. Hence, we differentiated ReNcell VM in 2D and 3D for 1 day (**Fig. 2D**). We observed that 3D ReNcell VM showed a significant reduction of *Ki67* expression and a significant increase of mature astrocyte and neuron marker (*GLT-1* and *SYP*, respectively) expression compared to 2D (**Fig. 2E-F**). These results indicate that the 3D platform enhances the maturation of the astrocytic and neuronal populations in ReNcell VM within 1 day of differentiation compared to 2D.

303 Together, ReNcell VM-derived neuro-glial populations provide a multicellular brain-like environment 304 that allows for the generation of co-cultures with MDMi, which represent more complex and 305 physiologically relevant MDMi models.

# 306 MDMi co-cultured in 3D with human neural progenitor cells elicit an inflammatory response to 307 aggregated amyloid-β (Aβ)

- 308 We next generated co-cultures of MDMi and ReNcell VM. Monocytes, pre-mixed with Matrigel, were 309 added to 3D ReNcell VM differentiated for 1 day. Both cell types were then left to differentiate 310 together for 35 days, resulting in a mixed 3D co-culture containing MDMi and ReNcell VM-derived 311 radial glial progenitor cells, neurons, astrocytes and OPCs (Fig. 2G). Interestingly, we observed that 312 in 3D co-culture, monocytes readily differentiated into MDMi with a microglia-like morphology (Fig. 313 **2H**). This is in contrast to 2D co-culture, where monocytes retained their round morphology (**Fig. S2**). 314 This indicates that the 3D platform is able to provide culture conditions suitable for co-culturing MDMi 315 and ReNcell VM, likely due to the support of the 3D Matrigel scaffold.
- 316 Aβ aggregation is a major histopathological hallmark of AD brains. Hence, we incorporated FITC-Aβ 317 peptides into the 3D co-cultures. Remarkably, we observed that FITC-AB peptides readily formed 318 substantial aggregates within 24 h of incubation in 3D (Fig. 2I; Fig. S3) as opposed to 2D, where 319 FITC-A $\beta$  remained in peptides (Fig. S3). This suggests that 3D culture conditions may increase A $\beta$ 320 aggregation (Fig. S3), which is consistent with previous reports showing an accelerated  $A\beta$ 321 accumulation in 3D hydrogel-based cultures due to limited diffusion of the A $\beta$  peptides (34, 38, 45). 322 High A<sup>β</sup> plaque load has been shown to induce pro-inflammatory responses in microglia from 323 transgenic AD mouse models (46, 47). Hence, we next investigated whether MDMi are functional in 324 3D co-culture and respond to FITC-A $\beta$  aggregates. We used a multiplex immunoassay to measure 325 cytokines released in the conditioned medium of FITC-Aβ-treated 3D co-cultures, and 3D mono-326 cultures of ReNcell VM and MDMi. We observed a significantly upregulated secretion of classical 327 pro-inflammatory cytokines IL-1 $\beta$  (2.8 fold) and IL-18 (2.3 fold), and similar trends for IL-6, in 3D 328 co-cultures treated with FITC-AB compared to untreated conditions (Fig. 2J). Secretion of other pro-329 inflammatory cytokines, including IL-8, IFN- $\alpha$ 2, MCP-1, IFN- $\gamma$  and IL-10 was also stimulated by 330 FITC-A $\beta$  (Fig. S4). These inflammatory responses to A $\beta$  were largely mediated by MDMi in the 3D 331 co-cultures, as observed by significant changes in cytokine secretion following treatment in 3D MDMi 332 mono-cultures, while 3D ReNcell VM mono-cultures remained unchanged (Fig. 2J, S4).
- 333 Overall, these results indicate that MDMi elicit a broad inflammatory response in the 3D platforms 334 and demonstrate the functional capability of the 3D MDMi culture systems to model 335 neuroinflammation in AD.

#### 336 Disease-specific differences in 2D and 3D mono-cultures of AD patient-derived MDMi

We next generated 2D and 3D MDMi mono-cultures from healthy control (HC) individuals and AD patients selected based on matched sex, age and APOE genotype (**Table 1**). Monocytes from HC and

AD individuals were successfully differentiated into MDMi using 2D and 3D platforms (Fig. 3A).

- 340 Survival of both HC and AD MDMi was significantly increased in 3D compared to 2D by 2.6 and 2.4
- fold, respectively, while no differences in survival between HC and AD MDMi were observed in
- 342 neither of the platforms (**Fig. 3B**).
- 343 To determine if 2D and 3D AD MDMi mono-cultures recapitulate disease-specific differences, we 344 examined phenotypic features (morphology and expression of AD risk genes) associated with AD 345 brain microglia in human and mouse models and compared them 1) between HC and AD cohorts and 346 2) between culture platforms. Quantification of morphological parameters (Fig. S5) revealed that when 347 MDMi are cultured in 2D or 3D, HC and AD MDMi have similar branch length, number of branches 348 (endpoints), number of triple and quadruple junctions, and ramification index (Fig. 3C-G). This 349 suggests that HC and AD MDMi exhibit a similar branch structure and complexity in both 2D and 3D 350 platforms.
- 351 We then assessed if those morphology parameters vary between culture platforms within the HC and 352 AD cohorts. Comparison of branched structure parameters (branch length and number) between 2D 353 and 3D MDMi showed similar trends in HC and AD, including similar branch length and increased 354 number of branches in 3D compared to 2D (Fig. 3C, D). Interestingly, this contrasted with branch 355 length in a young HC cohort (20-40 years of age) of MDMi (Fig. 1E), where MDMi exhibited longer 356 branches in 3D compared to 2D. This may be explained by an age-related effect, where MDMi from 357 elderly donors (60-80 years of age) have impaired response to branching signals and long-term culture 358 in a 3D Matrigel scaffold. Further studies should confirm this observation.
- 359 Comparison of branch complexity parameters (triple and quadruple junctions) between 2D and 3D 360 MDMi showed a similar trend in number of triple junctions but differed in number of quadruple 361 junctions between the HC and AD cohorts. While the number of triple junctions increased in 3D 362 compared to 2D MDMi in both HC and AD (Fig. 3E), the number of quadruple junctions increased in 363 3D compared to 2D MDMi in HC but remained unchanged in AD (Fig. 3F). Comparison of 364 ramification index showed a significant decrease in 3D compared to 2D MDMi in both HC and AD 365 cohorts, confirming a more ramified morphology in 3D MDMi (Fig. 3G). Overall, our results show 366 that the 3D platform is able to enhance branch number, ramified morphology and complexity 367 parameters in MDMi from HC individuals compared to 2D. However, the 3D platform induced an 368 increase in branch number and ramified morphology but was not able to enhance all complexity 369 parameters in MDMi from AD patients compared to 2D.

370 A recent study done in mouse models has suggested that AD risk genes present in microglia 371 functionally influence microglial behaviour (15). In keeping with this, we examined 1) if risk genes 372 are present/enriched in AD compared to HC MDMi, and 2) if these risk genes are differentially 373 expressed in AD MDMi when cultured in 3D compared to 2D mono-cultures. A panel of AD risk 374 genes including CLU, TREM2, PLCG2 and PILRB was examined by qRT-PCR. Fold change of 375 expression in 3D compared to 2D revealed heterogeneous distributions within the HC and AD cohorts, 376 with 3D MDMi showing increased trends of enhanced expression within AD patients than HC 377 individuals for most risk genes (CLU, PLCG2 and PILRB) (Fig. 3H, I). Interestingly, PILRB 378 expression was significantly upregulated in 3D AD MDMi compared to 3D HC MDMi (Fig. 3J), while 379 no significant differences were observed for the other genes (Fig. S6). These results demonstrate that 380 the 3D platform is able to enhance the expression of microglia-specific AD risk genes in AD MDMi 381 compared to 2D and reflects the heterogeneity of disease phenotypes within AD patients.

### 382 Disease-specific differences in 3D co-cultures of AD patient-derived MDMi

We next characterised MDMi from HC individuals and AD patients in the 3D co-culture platform (**Fig.** 4A). As observed in 3D MDMi mono-cultures, survival of MDMi in 3D co-culture was extended by 2.5 fold compared to 2D MDMi mono-cultures and was similar to 3D MDMi mono-cultures in both HC and AD cohorts (**Fig. 4B**). The increased survival of MDMi in 3D co-culture indicates that ReNcell VM do not affect MDMi viability. Consistently, similar expression of the apoptosis marker *BAX* between HC and AD 3D co-cultures (**Fig. 4C**) suggests that the cell ratio of MDMi and ReNcell VM and the duration of the co-culture were favourable.

390 To investigate whether AD MDMi reflect disease-specific differences compared to HC MDMi in 3D 391 co-culture, we analysed microglial behaviours known to be altered in AD brains, including 1) cell-to-392 cell interaction with neuro-glial cells, 2) secretion of growth factors and cytokines, and 3) migratory 393 and inflammatory responses to  $A\beta$  aggregates. The marked synapse loss in AD is predominantly 394 mediated by microglia through aberrant synapse engulfment (6). Hence, we first examined if the cell-395 to-cell interactions between AD MDMi and ReNcell VM show differences compared to HC MDMi in 396 the 3D co-cultures. We performed a 3D rendering and subsequent surface reconstruction of 397 immunofluorescent 3D co-culture images using the Imaris software (Fig. 4D). The 'surface-surface 398 contact area' extension was used to quantify the area of contact between MDMi (labelled with Iba1) 399 and ReNcell VM (labelled with the astrocyte- and neuron-specific markers GFAP and BIII-tubulin 400 (TUBB3), respectively) and the number of contact points established between both cell types. 401 Interestingly, we observed a significantly smaller area of contact and a reduced number of contact 402 points between MDMi and ReNcell VM in AD compared to HC 3D co-cultures (Fig. 4E, F). This

suggests an impairment in the cell-to-cell interactions between MDMi and ReNcell VM in AD 3D co cultures that might have implications in disease.

405 In the context of AD, microglia secrete factors that alter neuron and astrocyte homeostasis, thereby 406 contributing to disease pathogenesis (48). Hence, we next compared the secretory profiles of HC and 407 AD MDMi in 3D co-cultures using a multiplex immunoassay in conditioned medium collected after 408 35 days of co-culture. Overall, we observed that AD MDMi in 3D co-culture secreted higher levels of 409 platelet-derived growth factor AA (PDGF-AA) and erythropoietin (EPO) and lower levels of 410 interferon- $\gamma$  (IFN- $\gamma$ ) compared to HC MDMi in 3D co-culture (Fig. 4G-I). This indicates that AD 411 MDMi exhibit an altered secretory activity in the 3D co-culture. Additionally, when comparing the 412 secretion to 3D MDMi mono-cultures, we observed a significant upregulation of PDGF-AA, EPO (Fig. 413 4G, H) and other neurotrophic factors such as Angiopoietin 2 and the granulocyte-macrophage colony 414 stimulating factor (GM-CSF) (Fig. 4J, K) in 3D co-cultures from both HC and AD cohorts. Secretion 415 of these factors by 3D ReNcell VM mono-cultures was higher for PDGF-AA and EPO and lower for 416 IFN-γ, Angiopoietin 2 and GM-CSF when compared to 3D MDMi mono-cultures (dotted lines in Fig. 417 4G-K). This suggests that the interaction between MDMi and ReNcell VM in the 3D co-cultures has 418 a functional impact on either cell type. Overall, these results suggest that the 3D co-culture platform 419 provides a suitable environment for AD MDMi to display functional impairments.

420 Microglia in the vicinity of Aβ plaques have been shown to exhibit altered proliferation, migration, 421 clustering around Aß aggregates and Aß uptake in a mouse model of AD (49). Hence, in order to study 422 MDMi behaviours in the presence of A $\beta$  depositions, we added FITC-A $\beta$  into 3D co-cultures from HC 423 individuals and AD patients and live imaged for 7 days (Fig. 4L; Movie S3). We observed that AD 424 MDMi surveyed longer distances and at a higher velocity around A $\beta$  aggregates compared to HC 425 MDMi, with no significant changes in the proportion of MDMi that clustered around the Aß aggregates 426 (Fig. 4M-O). Interestingly, measurement of inflammatory cytokine secretion using multiplex 427 immunoassay revealed disease-specific differences between HC and AD MDMi in 3D co-cultures 428 treated with FITC-AB. When AB was present in the cultures, IL-6 secretion was significantly decreased 429 in AD compared to HC 3D co-cultures, while increasing trends were observed for IL-1β, IL-18 (Fig. 430 **4P**) and other pro-inflammatory cytokines such as TNF-  $\alpha$  and IL-10 (Fig. S7). Such differences were 431 not observed under untreated conditions. Together, these results indicate that AD MDMi respond 432 differently to AD-related stressors compared to HC MDMi when modelled in the 3D co-culture 433 platform.

434 Drug treatment induces differential cytokine gene expression in MDMi cultured in 2D and 3D

435 Cytokines are key secreted molecules used by microglia to execute inflammatory and neuromodulatory 436 functions. Moreover, altered cytokine levels have been reported in AD brains and may have important 437 roles in disease pathogenesis (50). To investigate cytokine expression profiles in MDMi we analysed 438 a panel of inflammatory cytokines (IL-6, TNF- $\alpha$ , IL-8, TGF- $\beta$ , IL-10, IL-1 $\beta$  and IL-18) by qRT-PCR. 439 We then examined for potential differences 1) between culture platforms and 2) between HC and AD 440 cohorts. When comparing cytokine expression levels between platforms in the HC cohort, no 441 significant differences were observed for any of the cytokines (Fig. 5A). However, in the AD cohort, 442 IL-8 was significantly downregulated in 3D co-culture compared to 3D MDMi mono-culture, and 443  $TGF-\beta$  and IL-18 were significantly downregulated in 3D co-culture compared to 2D MDMi (Fig. 5B). 444 When comparing between HC and AD MDMi in either platform, we observed a significantly 445 decreased expression of  $TNF-\alpha$  in AD MDMi compared to HC only in the 3D MDMi platform (Fig. 446 S8A). No disease-specific differences were observed for the rest of cytokines (Fig. S8B-G). Together, 447 these results demonstrate cytokine changes dependent on platform, which were more evident in MDMi 448 from AD patients than HC individuals.

449 Based on the differences in baseline cytokine expression between platforms, we next investigated 450 whether drug treatment alters such platform-dependent responses in the HC and AD cohorts. For this, 451 we trialled two FDA-approved compounds, dasatinib and spiperone, which have anti-cancer and anti-452 psychotic properties, respectively. Additionally, these drugs have been shown to mitigate 453 inflammation in *in vitro* models of microglia (51, 52) and murine models of AD (53) and have 454 potential as re-purposed drugs for treating neuroinflammation. Dasatinib-treated MDMi from HC 455 individuals showed a significantly reduced expression of *IL-6* in 3D compared to 2D MDMi, while 456 expression levels for the rest of cytokines remained unchanged between platforms (Fig. 5C). 457 Dasatinib-treated MDMi from AD patients showed a significantly increased expression of IL-8, TGF-458  $\beta$  and *IL-1\beta* in 3D compared to 2D MDMi. Similarly, *IL-8* was upregulated in 3D co-cultures compared 459 to 2D MDMi (Fig. 5D). Spiperone-treated MDMi showed similar cytokine expression levels in all 460 platforms in the HC cohort (Fig. S9A) and a significant downregulation of TNF- $\alpha$  in 3D AD co-461 cultures compared to 3D AD MDMi mono-cultures (Fig. S9B). When compared to untreated 462 conditions, dasatinib induced significant changes (*i.e.*, downregulation of  $TGF-\beta$  and  $IL-1\beta$  in HC and 463 AD MDMi, respectively) only in the 2D platform (Fig. 5C, D), while spiperone did not significantly 464 alter cytokine expression in any platform (Fig. S9A, B).

Interindividual variability in drug responses was displayed using heatmaps, which show cytokine
expression levels in 2D and 3D MDMi from each individual in the HC and AD cohorts (Fig. 5E, F;
Fig. S9C, D). When comparing within HC individuals or AD patients, cytokine expression in MDMi
showed high heterogeneity in both 2D and 3D platforms. For example, in the dasatinib-treated HC

- 469 MDMi cohort, IL-10 was highly expressed in 2D MDMi from individuals 1 and 3 compared to
- 470 individuals 4 and 5 (Fig. 5E). In the dasatinib-treated AD MDMi cohort, *IL-10* was highly expressed
- 471 in 2D MDMi from patients 2, 3, 6 and 7 compared to patients 4 and 8 (Fig. 5F). When considering
- 472 dasatinib-treated 3D MDMi, *IL-8* showed highly variable expression in HC and AD, with individual
- 473 4 and patient 3 showing a notably upregulated gene expression compared to the rest of patients (Fig.
- 474 **5E**, **F**). Importantly, differences between dasatinib-treated 2D and 3D MDMi in each particular HC or
- 475 AD individual were also demonstrated in the heatmaps. Some examples include divergent expression
- 476 levels of *IL-8* in HC individual 4, *IL-10* in HC individual 1 (Fig. 5E), *IL-8* in AD patient 3 and *IL-10*
- 477 in AD patients 2 and 3 (Fig. 5F). Similar trends in the heterogeneity of drug responses within and
- 478 between 2D and 3D MDMi were observed for spiperone-treated MDMi (Fig. S9C, D).
- 479 Overall, these results suggest that drug treatment induces differential cytokine expression in MDMi
- 480 from both HC individuals and AD patients in a culture platform-dependent manner.

#### 481 **Discussion**

Research involving human microglia is hampered by the lack of model systems that faithfully recapitulate their dynamic characteristics *in vivo*, particularly those associated with disease. Generating more representative microglia model systems will address this shortcoming and help elucidate microglia-mediated disease mechanisms, expediting pre-clinical investigations of microgliatargeted therapeutics and better clinical outcomes (*54*).

- 487 Recreating physiologically relevant culture conditions to mimic the interaction of microglia with other 488 brain cell types and the extracellular matrix is crucial for accurately modelling the role of microglia in 489 disease. To date, no study has attempted to culture human microglia that have not been genetically 490 modified in a 3D system resembling human brain tissue. In an effort to develop more representative 491 in vitro models of human microglia, we used MDMi, a model system of microglia-like cells that has 492 emerged as a promising, patient-specific drug screening platform for neurological diseases (55, 56). 493 Previous reports have shown that MDMi morphologically and functionally resemble brain-resident 494 human microglia and express bonafide microglial markers (28-31). In this study, we developed novel 495 MDMi platforms that incorporate relevant *in vivo* cues resembling the microenvironment of the brain. 496 This was achieved by utilising a hydrogel-based 3D model to culture MDMi in microenvironments of 497 increasing complexity and physiological relevance, firstly as 3D MDMi mono-cultures and secondly 498 as 3D co-cultures of MDMi with neural progenitor cells.
- Our study showed that a 3D hydrogel-based culture enhances MDMi survival, the extent and complexity of ramification (branching) and the expression of mature microglial markers (*i.e.*, *TMEM119*) compared to standard 2D culture conditions. In addition, we have demonstrated the feasibility of culturing MDMi together with neuro-glial cells derived from human immortalised neural progenitor cells (*i.e.*, ReNcell VM) in a 3D co-culture setting. Our findings also confirmed that MDMi are capable of producing broad inflammatory responses upon exposure to inflammatory stimuli, which is preserved in both 3D mono- and co-culture models.
- We observed an enhanced maturation of microglial and neuro-glial cells in both our MDMi and ReNcell VM 3D mono-cultures compared to 2D, in keeping with previous studies that used similar 3D cell models of primary rodent microglia and astrocytes (*57*, *58*) and human induced neural stem cell lines (*59*, *60*). The enhanced maturation of microglia-like features in 3D MDMi could be attributed to their increased survival in culture. Our model therefore shows two major advantages. Firstly, it better mimics *in vivo* growth conditions. Secondly, it provides a longer time frame for differentiation of monocytes into more mature MDMi that more closely represent brain microglia. Future studies

should examine whether MDMi in 3D co-culture develop an enhanced maturation of microglia-like
features compared to MDMi in 2D and 3D mono-cultures.

515 Microglia are involved in the pathogenesis of AD and contribute to the clinical heterogeneity observed 516 among patients. Hence, 3D MDMi models offer a great opportunity to study AD microglia in a patient-517 specific manner. To investigate the capability of MDMi to model phenotypical features of AD 518 microglia in the 2D and 3D mono-culture and co-culture platforms, we generated MDMi cultures using 519 monocytes from living AD patients, a major advantage of our 3D AD MDMi platform. Unlike murine 520 or human immortalised microglia cell lines used in previous studies (45, 61), MDMi are patient-521 derived and have not been genetically modified, being therefore more physiologically relevant and 522 clinically applicable. In addition, the use of cell samples obtained from living patients allows for 523 longitudinal modelling of disease progression in AD, a prerequisite for targeted treatment at various 524 stages of the disease.

525 We first compared cellular aspects in our 2D and 3D MDMi mono-culture models that have been 526 reported to be altered in microglia isolated from post-mortem AD patient brains. Analysis of the MDMi 527 branched morphology revealed similarities between HC and AD MDMi in both the 2D and the 3D 528 mono-culture models. These similarities most likely reflect age-related phenotypes described in human 529 AD microglia rather than activated phenotypes observed in microglia from AD mouse models. Indeed, 530 this age-related phenotype, termed HAM (human Alzheimer's microglia) was originally described in 531 myeloid cell populations isolated from human AD post-mortem brain samples at later stages of disease 532 (11). The HAM profile showed substantial overlap of age-associated gene expression patterns between 533 AD and control samples. Therefore, HC and AD MDMi are likely to have similar morphologies 534 irrespective of the culture platform. Our 3D platform revealed a failure of AD MDMi to fully increase 535 morphological complexity, particularly in relation to the number of quadruple junctions (points 536 connecting four sub-branches), compared to 2D. However, all complexity parameters, including 537 number of triple (points connecting three sub-branches) and quadruple junctions, were enhanced in 3D 538 HC MDMi compared to 2D. This potentially suggests that AD MDMi have an altered branching 539 complexity, only evident when culturing in a 3D platform. Microglial branches are highly dynamic 540 and constantly survey the brain microenvironment, phagocytosing dysfunctional synapses and 541 releasing trophic factors that support neural connectivity (62). Prior studies have identified a reduced 542 number of junctions in human AD microglial branches (63) and less AD microglial arborisation area 543 compared to age-matched HC (64). Our findings highlight that despite longer differentiation time in a 544 brain-like 3D structural environment, AD MDMi in 3D are not able to fully increase the outgrowth of 545 sub-branches in the same way as HC MDMi.

546 Gene expression of microglial AD risk genes, including CLU, TREM2, PLCG2 and PILRB, in AD 547 MDMi, revealed an overall increased expression of AD risk genes in 3D compared to 2D mono-548 cultures. However, there was prominent person-to-person variation in the expression level of the above 549 genes within both HC and AD cohorts, which reflects clinical heterogeneity observed in patients. 550 Importantly, PILRB (an activating immune receptor) was significantly upregulated in AD MDMi 3D 551 mono-cultures compared to HC, which suggests that the 3D MDMi model provides a suitable 552 screening platform with improved clinical translation to identify other AD risk genes and microglia-553 targeted therapeutics.

To assess the fidelity of AD MDMi in recapitulating disease-specific alterations in 3D co-cultures, we evaluated aspects such as the interaction of MDMi with neuro-glial cells, their secretory profiles and migration around A $\beta$  aggregates. As previously described, microglia establish physical contacts with neurons through identified molecular mechanisms (*65*). Our results showed reduced physical contacts between AD MDMi and ReNcell VM-derived neuro-glial cells compared to HC. Alterations in such microglia-neuron interactions could impact the microglial capacity to respond to neuronal damage, providing a potential mechanism underlying neuron degeneration in AD.

561 Microglia in AD and ageing have dysfunctional secretomes (66). We observed altered secretion 562 patterns of PDGF-AA, EPO and IFN-y in AD 3D co-cultures when compared to HC, in agreement 563 with previous observations in AD patients. An unbalanced distribution of PDGF-AA immunopositive 564 cells has been linked to gliosis in AD post-mortem brains (67). Moreover, protein expression levels of 565 EPO receptors in astrocytes have been found to be altered in post-mortem hippocampal brain sections 566 from AD patients (68). Likewise, plasma levels of IFN- $\gamma$  have been shown to fluctuate according to 567 disease severity in AD patients (69) and studies in mice have demonstrated that IFN-y impacts 568 neurogenesis and synaptic plasticity (70). Taken together, our findings suggest that MDMi in 3D co-569 culture recapitulate alterations reported in AD patients and are therefore an exciting new platform for 570 disease modelling.

571 Microglia have a canonical role in the removal of A $\beta$  aggregates (71). In order to validate the functional 572 response of MDMi to Aß aggregates, we investigated the behaviour of these cells in 3D co-culture in 573 the presence of A $\beta$  aggregates. Our results showed that AD MDMi migrate longer distances at a faster 574 speed compared to HC. Similarly, elevated migration rates were observed in human immortalised 575 microglia cultured in a 3D tri-culture model with ReNcell VM overexpressing pathogenic Aß species 576 (45). However, an AD mouse model with aberrant Aβ production showed decreased microglial 577 migration towards A $\beta$  plaques (72), highlighting important differences between human and murine 578 microglia responses to Aβ. Our findings warrant further investigation of microglial motility as a 579 potentially dysregulated cellular feature in AD brains. Whether an increase in surveillance and speed 580 of AD MDMi correlates with an impaired branched complexity in these cells remains to be elucidated 581 in future studies.

582 Interestingly, we did not observe differences in the number of MDMi that clustered around Aß 583 aggregates. However, we observed changes in pro-inflammatory cytokine secretion in MDMi from 584 AD compared to HC. Altogether, this suggests that AD MDMi may have unique disease-specific 585 chemotactic and secretory responses against Aβ. Future studies should investigate how such changes 586 in MDMi impact the phagocytic clearance of  $A\beta$  aggregates in the 3D co-cultures. Overall, disease-587 specific differences exhibited by AD MDMi in the 3D co-culture platform confirm the possibility to 588 model disease in AD patient-specific MDMi using culture platforms that better recapitulate the brain 589 microenvironment.

590 Preliminary drug testing demonstrated the utility of the 3D MDMi models as personalised drug 591 screening tools. The main reasons are as follows. First, differences in MDMi drug responses between 592 2D and 3D culture conditions reflect the functional impact of MDMi cultured in a more biologically 593 relevant 3D environment. This is in agreement with a previous study carried out on tumour cell lines, 594 which described varied treatment outcomes relating to cell proliferation depending on the culture 595 system (73). Moreover, another study reported more similarities in drug-induced cellular responses 596 between 3D cultures and *in vivo* conditions than compared to 2D cultures (74). Future investigations 597 should determine whether drug responses from our 3D MDMi models correlate better with responses 598 identified in animal models and clinical data from patients. Second, patient heterogeneity in MDMi 599 drug responses was evident, supporting the translatability of our 3D platforms to measure individual 600 patient responses in the clinic and further validating our in vitro systems as promising alternative 601 platforms for personalised drug screening.

To further enhance our 3D MDMi model systems, microfluidic technology that accounts for the dynamic interstitial fluid flow within the brain could be used (75). Moreover, the addition of patient hiPSC-derived neural progenitor cells into the 3D MDMi co-cultures would make this platform more personalised and likely a more accurate representation of the human brain. Finally, more-defined synthetic hydrogels may enable us to more carefully dissect the functionality of MDMi in 3D cultures given their consistent and tuneable properties.

In conclusion, we describe reproducible and easy-to-generate 3D *in vitro* models of MDMi that are able to recapitulate potentially important AD-specific differences associated with diseased microglia, not identified in 2D models. This study opens new doors to generate patient-specific drug testing

- 611 platforms that support the development of microglia-targeted therapeutic interventions tailored for AD
- 612 patients and potentially other neurological disorders.



613 Fig. 1. Generation and characterisation of distinctive microglia features in 2D and 3D MDMi. 614 (A) Schematic illustration of monocyte differentiation into MDMi in 2D, achieved by seeding the 615 monocytes on a Matrigel-coated surface, or in 3D, achieved by embedding the monocytes in a thick 616 Matrigel layer. (B) Cell thickness of MDMi in 2D (n = 17 independent cultures) and 3D (n = 19617 independent cultures) cultures. (C) Survival of 2D (n = 8) and 3D MDMi (n = 7). (D) 3D surface 618 rendered images of 2D and 3D MDMi stained for Iba1 (green). Scale bars, 25 µm. Quantification of 619 morphological parameters in 2D (n = 5) and 3D MDMi (n = 5), including (E) branch length, (F) 620 number of endpoints, (G) number of junctions, including triple junctions (left) and quadruple junctions (right) and (H) ramification index (area/convex area). Representative skeleton and binary images are 621 622 included on the right of each graph to illustrate the morphological measurements. (I) mRNA expression of microglia- (IBA1, PROS1, GPR34, TMEM119, GAS6, TREM2) and leukocyte-enriched 623 624 (CD45) markers in the starting monocyte population (n = 6) and the resulting 2D (n = 7) and 3D (n = 7) 625 6) MDMi cultures. Data are presented as mean  $\pm$  SD. Each single data point represents one biological 626 replicate. Unpaired Student's t test with or without Welch's correction, two-tailed; one-way ANOVA with Tukey's multiple comparison test in I; \*P < 0.05, \*\*P < 0.01, \*\*\*\*P < 0.0001. 627

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628 Fig. 2. MDMi in 3D co-culture with ReNcell VM-derived neuro-glial cells exhibit inflammatory 629 responses towards AB aggregates. (A) Immunostaining of 2D ReNcell VM cultures differentiated 630 for 1 and 30 days shows expression of characteristic markers of neural progenitor cells (NPCs; Nestin), astrocytes (GFAP), oligodendrocyte progenitor cells (OPCs; GalC) and neurons (Doublecortin, DCX). 631 632 Scale bars, 100 µm. (B) Ki67 mRNA expression in 2D ReNcell VM cultures differentiated for 1, 14 633 or 30 days (n = 3 independent experiments). (C) mRNA expression of a panel of radial glia (NPCs), 634 astrocytes, OPCs and neuron markers in 2D ReNcell VM at days 1, 14 and 30 of spontaneous 635 differentiation (n = 3 independent experiments). (D) Schematic of ReNcell VM cultures undergoing spontaneous differentiation for 1 day in 2D, or in 3D upon embedment in Matrigel. mRNA expression 636 of (E) the proliferation marker *Ki67* alongside the (F) the radial glia marker *BLBP*, the mature astrocyte 637 638 marker GLT-1 and the mature neuron marker Synaptophysin (SYP) in 2D (n = 3 independent experiments) and 3D (n = 3 independent experiments) ReNcell VM cultures spontaneously 639 640 differentiated for 1 day. (G) Schematic depicting how the 3D co-culture is generated: monocytes pre-641 mixed with Matrigel are added to a 3D culture of ReNcell VM differentiated for 1 day (left). The co-642 culture is left to differentiate for 35 days, giving rise to a mixed population of MDMi with ReNcell 643 VM-derived neuro-glial cells (right). (H) Immunofluorescence analysis of MDMi and ReNcell VM 644 3D co-culture at day 35 of differentiation. MDMi were stained for Iba1 (arrows) and ReNcell VM 645 were stained for β3-tubulin (TUBB3) and GFAP. Scale bars, 100 μm. (I) Immunofluorescence image of 3D co-cultures containing FITC-AB aggregates. Scale bar, 100 µm. (J) Concentration of secreted 646 647 pro-inflammatory cytokines IL-6, IL-1 $\beta$  and IL-18 by 3D MDMi (n = 2) and ReNcell VM (n = 1) 648 mono-cultures and 3D co-cultures (n = 3) upon exposure to FITC-A $\beta$  aggregates. Data are presented 649 as mean ± SEM. One-way ANOVA with Tukey's multiple comparison test in **B**, **C**; unpaired Student's 650 t test with or without Welch's correction, two-tailed in E, F, J; \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\**P* < 0.0001. 651

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Fig. 3. AD-associated phenotypes in 2D and 3D MDMi mono-cultures related to morphology and expression of AD risk genes. (A) Representative bright field images of HC and AD MDMi in 2D and 3D mono-cultures. Scale bars, 100  $\mu$ m. (B) Survival of HC (n = 12) and AD (n = 13) MDMi in 2D and 3D mono-cultures. Quantification of morphological parameters in 2D and 3D MDMi from both HC (n = 6) and AD (n = 6) cohorts, including (C) branch length, (D) number of endpoints, (E) number of triple junctions, (F) number of quadruple junctions and (G) ramification index. (H) Heatmap representing HC (n = 7) and AD (n = 11) individual-specific fold changes in gene expression levels of

- 659 the AD risk genes *CLU*, *TREM2*, *PLCG2* and *PILRB* in 3D MDMi compared to 2D. Red-yellow colour 660 spectrum shows relative fold change of 3D MDMi as compared to 2D MDMi. (I) Violin plot
- representation of fold change (log transformed) of mRNA expression in 3D to 2D HC (n = 7) and AD
- (n = 11) MDMi. (J) mRNA expression of the AD risk gene *PILRB* in 3D HC (n = 7) and AD (n = 11)
- 663 MDMi. Data are presented as mean  $\pm$  SD. Each single data point represents one biological replicate.
- 664 Two-way ANOVA with Tukey's multiple comparison test; unpaired Student's *t* test with or without
- 665 Welch's correction, two-tailed in I; \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\*P < 0.0001.



666 Fig. 4. AD-associated phenotypes in 3D co-cultures related to cell-to-cell interaction with ReNcell VM, secretory activity and responses to  $A\beta$  aggregates. (A) Representative bright field images of 667 HC and AD co-cultures. Scale bars, 100  $\mu$ m. (B) Survival of HC (n = 12) and AD (n = 13) MDMi in 668 669 3D co-cultures. (C) Gene expression of the pro-apoptotic marker BAX in HC (n = 12) and AD (n = 13) 670 3D co-cultures. (D) Immunostaining against Iba1 (green) and TUBB3 (red) and 3D surface reconstruction of a 3D co-culture. Areas of contact between Iba1+ (MDMi) and TUBB3+ (ReNcell 671 672 VM) cells are highlighted in yellow (white arrows). Scale bars, 100 µm. Quantification of (E) contact area and (F) number of contacts between Iba1+ and TUBB3+/GFAP+ cells in HC (n = 6) and AD (n673 674 = 6) 3D co-cultures. Concentration of (G) platelet-derived growth factors AA (PDGF-AA), (H) 675 erythropoietin (EPO), (I) interferon- $\gamma$  (IFN- $\gamma$ ), (J) angiopoietin 2 and (K) granulocyte-macrophage colony stimulating factor (GM-CSF) secreted by HC (n = 8-10) and AD (n = 9-10) MDMi in 3D mono-676 cultures and co-cultures. Baseline secretion by 3D ReNcell VM mono-cultures is represented with a 677 678 dotted black line. (L) Representative images of 3D co-cultures containing FITC-AB aggregates in 679 which MDMi exhibit a resting, ramified morphology at 0h and progressively become polarised 680 acquiring an activated, round morphology with enlarged soma upon reaching and clustering on the AB 681 deposit at 36 h and 48 h (white arrows). Scale bars, 100 µm. (M) Total surveillance distance, (N) 682 velocity and (O) clustering around A $\beta$  aggregates of HC (n = 2) and AD (n = 4) MDMi in 3D cocultures containing Aß aggregates. (P) Concentration of pro-inflammatory cytokines IL-6, IL-1ß and 683 IL-18 secreted by untreated (UT) and A $\beta$ -treated HC (n = 3) and AD (n = 4) MDMi in 3D co-cultures. 684 Data are presented as mean ± SD in **B**, **C**, **E**-**K**; mean ± SEM in **M**-**P**. Each single data point represents 685 one biological replicate. Unpaired Student's t test with or without Welch's correction, two-tailed in **B**, 686 687 C, M-P; Mann-Whitney test, two-tailed in E, F; two-way ANOVA with Tukey's multiple comparison 688 test in **G-K**; \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001.

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- from MDMi mono-cultures in 2D and 3D. Red-yellow colour spectrum represents relative fold change
- 699 of mRNA expression after dasatinib treatment compared to vehicle. Expression changes falling outside
- 700 the displayed range are indicated in dark blue. Data are presented as mean  $\pm$  SEM. One-way ANOVA
- 701 with Dunnett's multiple comparison test; \*\*P < 0.01, \*\*P < 0.01, \*\*P < 0.01.

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- 715 A.R.W. interpreted data. Y.S., C.C.G. and M.K.L. coordinated blood collection from the PISA study.
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# 957 Table S1. qRT-PCR primer sequences.

Primer	Forward sequence	Reverse sequence	Size (bp)	
100	TTCGAGGCCCTGTAATT	GCAGCAACTTTAATATA	172	
105	GGA CGCTATTGG		125	
ID 4 1	TGCCATCCTAAAAATG	AGATAGCTTTCTTGGCTG	96	
IBAI	ATCCTGATG	GGG	80	
CD 45	GCAGCTAGCAAGTGGT	AAACAGCATGCGTCCTT	02	
CD45	TTGTTC	TCTC	92	
	TTGCACTTGTAAACCAG	CAGGAACAGTGGTAACT	122	
PROST	GTTGG	TCCAG	132	
a lat	CTCTCTCTGTGGCACTG	CCTTGATCTCCATTAGGG	105	
GAS6	GTA	CCAA	105	
	CCTGATGTCCAGTAAC	CATGCAGGGAGTATCCT		
GPR34	ATTCGC	GGT	116	
	TCTTTGTCACAGAGCTG	TCATAGGGGCAAGACAC		
TREM2	TCC	CTG	88	
		GCACAGACGATGAACAT		
TMEM119	GTGGAC	CAGC	96	
	GAGGTGTGCAGAAAAT	CTGTCCCTATGACTTCTG		
KI67	CCAAA	GTTGT	78	
SOX2	TACTOC	ACACC	117	
NESTIN	CICAGCIIICAGGACCC	GICICAAGGGIAGCAGG	183	
GLAST	GGTTGCTGCAAGCACT	CACGCCATIGHCICITC	95	
	CAICAC			
BLBP	GGCTTTGCCACTAGGC	TGACCACTTIGTCTCCTT	76	
	AGG	CIIGA		
GFAP	GAGGTTGAGAGGGACA	GIGGCTICATCIGCTICC	128	
	ATCTGG	TGTC	120	
GLT-1	TAGCCGCCATCTTTATA	CGGCTGTCAGAATGAGG	150	
011 1	GCCC	AGC	150	
$PIP_{-}1$	GGCCACTGGATTGTGTT	AGGTGGTCCAGGTGTTG	386_491	
1 L1 -1	TCT	AAG	500-471	
GalC	GCAACCTCCCGACTTCT	ACCACTCGTATCCTCGG	100	
Guic	AGTA	AAATA	199	
TBR2	CGGCCTCTGTGGCTCAA	AAGGAAACATGCGCCTG	76	
(EOMES)	Α	С	70	
MADT	CTCGCATGGTCAGTAA	GGGTTTTTGCTGGAATCC	1.5.2	
MAPI	AAGCAA	TGGT	155	
Synaptophysin	CTGCAATGGGTCTTCGC	ACTCTCGGTCTTGTTGGC	0.6	
(SYP)	CA	AC	96	
	CCATCAAGGGGGACCAA	TCTGAGTGCCCTTTGCTT	110	
PILRB	АСТСА	ТС	118	
	TGGCAGCTGACATGTTT	TCACCCAACCACCCTGG	105	
BAX	TCTGAC	TCTT	195	
	TGCAATAACCACCCCT	TGCGCAGAATGAGATGA		
IL-6	GACC	GTTG	104	

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IL-8	AGACAGCAGAGCACAC AAGC	ATGGTTCCTTCCGGTGGT	62
IL-10	TGCTGGAGGACTTTAA GGGTTA	GATGCCTTTCTCTTGGAG CTTA	254
TNF-α	CAGCCTCTTCTCCTTCC TGAT	GCCAGAGGGGCTGATTAG AGA	123
TGF-β	CGCGTGCTAATGGTGG AAA	CTCGGAGCTCTGATGTG TTGAA	97
IL-1β	AATCTGTACCTGTCCTG CGTGTT	TGGGTAATTTTTGGGATC TACACTCT	78
IL-18	AACAAACTATTTGTCGC AGGAAT	TGCCACAAAGTTGATGC AAT	72



Fig. S1. 2D and 3D MDMi show different cell thickness and positive immunostaining for microglia-enriched markers. (A) Representative bright field images of monocytes and 2D and 3D MDMi after 14 (top) or 35 (bottom) days of differentiation, respectively. Scale bars, 25 μm. (B) 3D reconstruction images of Iba1-stained MDMi and thickness of 2D and 3D MDMi. Scale bars, 25 μm.
(C) Immunofluorescence of 2D and 3D MDMi for TMEM119, P2RY12 and TREM2. Scale bars, 25 μm.

2D co-culture MDMi + ReNcell VM



965 **Fig. S2. Characterisation of 2D MDMi and ReNcell VM co-cultures.** MDMi co-culture with 967 ReNcell VM-derived neuro-glial cells in 2D is insufficient for monocyte differentiation into MDMi, 968 as monocytes retain a round morphology after 40 days in 2D co-culture. MDMi were stained for Iba1 969 and ReNcell VM were stained for  $\beta$ 3-tubulin (TUBB3). Scale bar, 100 µm.



970Fig. S3. FITC-Aβ peptides form larger aggregates in 3D compared to 2D after 24 h in culture.971FITC-Aβ peptides were added to 2D MDMi and 3D co-cultures. Imaging was conducted following972incubation for 24 h. Area of Aβ aggregates ( $\mu$ m<sup>2</sup>) was quantified for comparison between the 2D973MDMi (n = 3) and 3D co-cultures (n = 3). Scale bars, 100 µm. Data are presented as mean ± SEM.974Mann-Whitney test, two-tailed; \*\*\*\*P < 0.0001.



975Fig. S4. Pro-inflammatory cytokine secretion profiles of 3D MDMi, 3D co-culture and 3D976ReNcell VM. Concentration of secreted pro-inflammatory cytokines IL-8, TNF-α, IFN-α2, MCP-1,977IFN- $\gamma$  and IL-10 by 3D MDMi (n = 2) and ReNcell VM (n = 1) mono-cultures and 3D co-cultures (n978= 3) upon exposure to FITC-A $\beta$  aggregates for 7 days. Data are presented as mean ± SEM. Unpaired979Student's t test with or without Welch's correction, two-tailed; \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\*P < 0.0001.



Fig. S5. Depiction of morphological parameter measurements in HC and AD MDMi in 2D and
3D mono-cultures. (A) Binary and (B) skeleton images of HC and AD MDMi in 2D and 3D monocultures showing the morphological parameters (endpoints, branch length, triple and quadruple
junctions) analysed to estimate the branched structure and complexity of MDMi.



Fig. S6. Gene expression of AD risk genes in HC and AD 3D MDMi. qRT-PCR quantification of (A) *CLU*, (B) *TREM2* and (C) *PLCG2* mRNA expression in 3D MDMi mono-cultures from HC (n =7) and AD (n = 11) donors. Data are presented as mean  $\pm$  SD. Each single data point represents one biological replicate. Unpaired Student's *t* test with or without Welch's correction, two-tailed.



989 Fig. S7. Inflammatory cytokine secretion by HC and AD 3D co-cultures under untreated and 990 Aβ-treated conditions. Concentration of secreted IL-8, TNF- $\alpha$ , IFN- $\alpha$ 2, MCP-1, IFN- $\gamma$  and IL-10 are

displayed in HC (n = 3) and AD (n = 4) MDMi 3D co-cultures. Data are presented as mean  $\pm$  SEM. Unpaired Student's *t* test with or without Welch's correction, two-tailed.



993Fig. S8. Cytokine expression profiles in HC and AD MDMi 2D and 3D mono-cultures and 3D994co-cultures. Log-transformed mRNA expression of the inflammatory cytokines (A) TNF-α, (B) IL-6,995(C) IL-8, (D) IL-10, (E) TGF- $\beta$ , (F) IL-1 $\beta$  and (G) IL-18 in HC (n = 7-8) and AD (n = 12) MDMi996cultures. Data are presented as mean ± SD. Each single data point represents one biological replicate.997Comparisons between HC and AD MDMi in either culture format were performed using unpaired998Student's *t* test with or without Welch's correction, two-tailed; \*P < 0.05.



999 1000 Fig. S9. Spiperone treatment induces cytokine expression responses that differ between culture 1001 format and are heterogeneous among HC and AD MDMi. Fold change in cytokine mRNA 1002 expression levels following 24 h exposure to 1µM spiperone compared to vehicle (DMSO)-treated cultures in (A) HC (n = 5) and (B) AD (n = 8) 2D and 3D MDMi mono-cultures and 3D co-cultures. 1003 1004 Dotted black lines represent baseline responses of vehicle-treated cultures. Heat maps showing (C) 1005 HC (n = 5) and **(D)** AD (n = 8) donors-specific changes in mRNA expression from MDMi monocultures in 2D and 3D. Red-yellow colour spectrum represents relative fold change of mRNA 1006 1007 expression after spiperone treatment compared to vehicle. Expression changes falling outside the 1008 displayed range are indicated in dark blue. Data are presented as mean ± SEM. One-way ANOVA with Dunnett's multiple comparison test; \*\*P < 0.01. 1009