1 Insights into the drivers of radiating diversification in biodiversity

2 hotspots using *Saussurea* (Asteraceae) as a case

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30 Abstract

31 The Oinghai-Tibet Plateau (OTP) encompasses areas with a remarkably high degree ٠ 32 of biodiversity, harboring exceptional species-rich radiations. How these radiations 33 formed by interacting with geology, climate and ecology remains seldom examined. 34 We investigate the roles of abiotic (environmental) and biotic (species-intrinsic) ٠ 35 factors in driving radiating diversification of Saussurea (Asteraceae) by deploying a 36 number of time-dependent, paleoenvironment-dependent and trait-dependent models, as 37 well as ecological distribution data. 38 We show that three main clades of Saussurea begin to diversify in the Miocene • 39 almost simultaneously, with increasing diversification rates toward the present and 40 negative dependence to paleotemperature. Acceleration in diversification rates are 41 correlated with adaptive traits, as well climate lability, niche breadth and species range. 42 We conclude that fluctuation of paleoclimate along with complex QTP environments • 43 provided opportunities for increased diversification rates of Saussurea with diverse 44 adaptive traits, highlighting the importance of combinations of clade-specific traits and 45 ecological niches in driving rapid radiation. 46 Key words: radiating diversification, Saussurea, the Qinghai-Tibet Plateau, biodiversity 47 hotspots, adaptive traits, diversification rates, ecological niche.

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49 Introduction:

The diversification pattern of species-rich rapid radiations reflects the evolutionary 50 51 dynamics of biodiversity hotspots (Linder & Verboom, 2015). Understanding how these 52 radiating lineages formed in response to historical process can advance our knowledge of 53 adaptive evolution and enhance our ability to predict the threats to biodiversity posed by 54 global warming (Ding et al., 2020). Mountainous regions represent just one-eighth of 55 terrestrial land surface but are home to one-third of all species and exceptional species-56 rich radiations (Antonelli, 2015; Schwery et al., 2015; Antonelli et al., 2018). Particularly 57 enigmatic is the Qinghai-Tibet Plateau (QTP) region, also known as the "Third Pole," 58 characterized by a complex geographical history and encompassing areas of remarkably 59 high degree of biodiversity (Favre *et al.*, 2015; Xing & Ree, 2017; Chen *et al.*, 2018; Ding et al., 2020; Spicer et al., 2020). The OTP stands out as the earth's highest and 60 61 largest plateau, and includes the Himalaya and Hengduan Mountains which are listed as 62 two of the 36 hotspots of biodiversity in the world (Myers et al., 2000; Li et al., 2014; 63 Wen et al., 2014; Favre et al., 2015). The presence of steep environmental gradients in 64 temperature and precipitation create abundant micro-habitats providing a variety of ecological niches essential for evolutionary radiations on the QTP (Mosbrugger et al., 65 66 2018; Muellner-Riehl et al., 2019). While a plethora of studies have suggested that diversification of plants on the QTP have evolved in association with plateau uplifting 67 68 processes (reviewed by Wen et al., 2014), how such high species diversity form in such a 69 short period of geologic time, and the interactions with geography, climate and ecology, 70 remain seldom examined.

Evolutionary and diversification patterns of plants are often correlated with
environmental abiotic forces, such as abrupt changes in climate or geological tectonic
events that drive speciation and extinction rates, and/or species-intrinsic/biotic factors,

74 such as interactions among species and key innovation traits (Drummond *et al.*, 2012; 75 Hughes & Atchison, 2015; Condamine et al., 2018; Muellner-Riehl et al., 2019; Nürk et 76 al., 2019). There is a gap in our current understanding of radiating diversification drivers 77 in the flora of the QTP, with previous studies mostly providing only a temporal 78 (molecular dating) framework associating rapid radiations with the time span of plateau 79 uplifting (e.g. Wang et al., 2009; Zhang et al., 2014; Xu et al., 2019). Employing models 80 assuming continuous variation in diversification rates over time that depend on 81 paleoenvironmental variables is essential to precisely determine how diversification rates 82 are affected by abiotic environmental changes (Condamine et al., 2013; Sun et al., 2020). 83 In addition to abiotic factors, diversification shifts are often correlated with the evolution 84 of certain functional traits (Hughes & Atchison, 2015). Examples include geophytism in 85 monocots leading to higher rates of diversification (Howard et al., 2020), polyploidization promoting species diversification of Allium (Han et al., 2020), and 86 87 pollinator shifts, fruit types as well as elevational changes in the Andean bellflowers 88 (Lagomarsino *et al.*, 2016). Furthermore, the inclusion of ecological niche data is also 89 crucial, because this reflects the interplay between historical processes and species 90 intrinsic factors (Lavergne et al., 2010; Folk et al., 2019; Muellner-Riehl et al., 2019). 91 Here, we address the knowledge gap of rapid diversification by examining the roles 92 of abiotic (environmental) and biotic (species-intrinsic) factors in driving radiating

93 diversification of the species-rich genus *Saussurea* DC. (Asteraceae). *Saussurea* is one of

94 the most diverse genera in Asteraceae, serving as an ideal study system for investigating

95 the evolutionary patterns of a rapid radiation. The genus comprises approximately 400

96 species that are distributed in Asia, Europe and North America, with the highest diversity

97 in the QTP (Wang et al., 2009; Shi & Raab-Straube, 2011; Chen, 2015; Zhang, et al.,

98 2019a). Uncertainty in the number of species has largely been attributed to the complex

99 taxonomy of related QTP taxa (Chen & Yuan, 2015), indicative of a recent radiation.

100 Saussurea exhibits extraordinary morphological diversity. For example, the most 101 impressive species groups are the 'snowball plants' or 'snow rabbits', S. subg. 102 *Eriocoryne*, with a thick woolly indumentum (densely haired), and the so-called 103 'greenhouse plants' or 'snow lotuses', S. subg. Amphilaena, in which the synflorescence 104 is hidden by semi-transparent, white, yellowish or purple leafy bracts (Shi & Raab-105 Straube, 2011; Chen, 2015). Saussurea is present in virtually all possible habitats of the 106 QTP, including steppes, moist forests, cold and dry alpine meadows, and scree slopes 107 above 5,000 m, demonstrating a highly adaptive capability (Shi & Raab-Straube, 2011). 108 Previous studies suggested that attractive morphological traits were the result of 109 convergent adaptation to diverse environments in the QTP (Kita et al., 2004; Wang et al., 110 2009; Zhang, et al., 2019a), yet their contributions to the high-level diversity of 111 Saussurea are still elusive. While biogeographic analysis inferred that Saussurea arose 112 during the Miocene in the Hengduan Mountains (Xu et al., 2019), limited information 113 about macro-evolutionary patterns related to historical climate and geologic processes 114 were provided due to the lack of modeling diversification rates. 115 A robust phylogenetic framework is the basis for large-scale analyses of evolutionary 116 patterns (Koenen et al., 2020), yet previous studies mainly relied on fragment DNA 117 markers (e.g. Han et al., 2020; Howard et al., 2020; Sun et al., 2020), which have been 118 revealed to provide insufficient phylogenetic signals and always yield parallel

relationships for phylogenies of rapid radiations (Whitfield & Lockhart, 2007; Wang *et al.*, 2009). In the present study, we reconstructed a robust time-calibrated phylogeny of *Saussurea* using 226 complete plastomes to explore the role played by abiotic and biotic
factors in this rapidly radiating clade. If evolutionary dynamics are driven primarily by
abrupt abiotic perturbations, we would expect diversification rate shifts following major
climate changes that extirpated certain lineages while favoring the radiation of others. In
contrast, if biotic factors or interactions among species are the dominant drivers of

126 evolution, we would expect diversification shifts to be correlated with the evolution of 127 functional traits and/or the colonization of new habitats (Condamine et al., 2018). While 128 in a joint-effect scenario, diversification rates may vary continuously through time and 129 paleoenvironments may shift with some clade-specific traits. We could hypothesize that 130 fluctuations of terrestrial and climatic systems provide vast ecological opportunities, 131 which are seized by lineages with ample adaptive traits and promote rapid radiating, 132 emphasizing the decisive role of morphological diversity/plasticity and ecological niche 133 availability. To test these hypotheses, we deployed a number of time-dependent, 134 paleoenvironment-dependent and trait-dependent models, as well as ecological 135 distribution data. Our study is designed to address the effects of paleoenvironmental and 136 biological drivers on radiating diversification in the biodiversity hotspots, while 137 providing a compelling example of the pivotal roles of morphological diversity and 138 ecological niche.

139 Materials and Methods

140 Plastome Sampling, Sequencing and Assembly

141 To build a dated phylogeny of the genus *Saussurea*, we newly sequenced plastomes for

142 63 species and downloaded 163 additional plastomes from GenBank (accessed 29

143 November 2019); collectively these species included 199 taxa of *Saussurea* and 27

144 outgroup taxa. Collection details of the specimens were provided in Supporting

145 Information Table S1. Total genomic DNA of was extracted from silica-gel dried leaves

- 146 with a modified hexadecyltrimethylammonium bromide (CTAB) method (Yang *et al.*,
- 147 2014). Purified DNA was fragmented and used to construct short-insert (500 bp) libraries
- 148 per the manufacturer's instructions (Illumina). Libraries were quantified using an Agilent
- 149 2100 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA), and were then
- 150 sequenced on an Illumina HiSeq 4000 platform at Novogene Co., Ltd. in Kunming,

151 Yunnan, China. Raw reads were directly assembled with the organellar assembler

152 NOVOPLASTY v.2.7.2 (Dierckxsens *et al.*, 2017), using a seed-and-extend algorithm

153 employing the plastome sequence of *Saussurea japonica* (Genbank accession:

154 MH926107.1) as the seed input, and all other parameters kept at default settings.

155 Assembled plastome sequences were initially annotated using Plastid Genome Annotator

156 (PGA) (Qu et al., 2019), and then manually checked in GENEIOUS v.9.0.5 (Kearse et

157 *al.*, 2012).

158 Estimates of Divergence Times

159 Our prior study suggested that including noncoding regions in phylogenetic analysis can

160 maximize the power to resolve relationships of *Saussurea* (Zhang, *et al.*, 2019a). Whole

161 plastome sequences of 226 samples containing one inverted repeat region were aligned

162 using MAFFT v.7.22 (Katoh & Standley, 2013). Poorly aligned regions were removed

163 with TRIMAL v.1.2 (Capella-Gutiérrez et al., 2009) using the command '-automated1'.

164 Age estimates were obtained using Markov Chain Monte Carlo (MCMC) analysis in

165 BEAST v.1.10.4 (Suchard *et al.*, 2018). We used a GTR + I + Γ nucleotide substitution

166 model, uncorrelated relaxed lognormal clock and a birth-death model for the tree prior

167 (Suchard et al., 2018). The MCMC analysis was run for 500 million generations,

sampling every 10,000 generations, resulting in 50,000 samples in the posterior

169 distribution of which the first 10,000 samples were discarded as burn-in. Convergence

and performance of the MCMC runs were checked using TRACER v.1.6 (Rambaut *et al.*,

171 2018). A maximum clade credibility (MCC) tree was then reconstructed in

172 TREEANNOTATOR v.1.8.4 (Rambaut & Drummond, 2010), with median age and 95%

173 height posterior density (HPD) annotated. Two high confident fossil calibrations with

174 lognormal distributions were assigned: (A) The crown age of *Carduus-Cirsium* group

175 was set to a minimum age of 14 million years ago (Mya) based on the Middle Miocene

achenes identified as Cirsium (Mai, 1995; Barres et al., 2013); (B) The split of Centaurea

and *Carthamus* was calibrated with a minimum age of 5 Mya, based on the records of

pollen and achenes for *Centaurea* dating from the Early Pliocene (Popescu, 2002).

179 Additionally, the crown age of Cardueae was set to 39.2 Mya as a secondary calibration

180 with a normal distribution based on the estimation by Barres *et al.* (2013).

181 Estimates of Diversification rate

182 We explored the diversification dynamics of Saussurea using BAMM 2.5.0 (Rabosky, 183 2014), which employs a reversible-jump MCMC to sample a large number of possible 184 diversification regimes from a given time-calibrated phylogeny. We pruned the MCC tree 185 for BAMM analysis and retained only one sample of each species. Prior values were 186 selected using the 'setBAMMpriors' function in the R package BAMMtools v.2.1.7 (R 187 Core Team, 2014; Rabosky et al., 2014). Due to the controversial species number in 188 Saussurea, the incomplete taxon sampling was appropriately set as 0.5 for all following 189 analyses. The MCMC was run for 500 million generations and sampled every 50,000 190 generations. Post-run analyses were performed using the BAMMtools, with an initial 191 10% of the MCMC run discarded as burn-in, and the remaining data assessed for 192 convergence and ESS values > 200. Rates-through-time plots were generated using 193 'PlotRateThroughTime' function for the entire genus as well as three clades. Speciation 194 rates of Saussurea species were obtained using the 'getTipRates' function. Considering 195 recent criticism relating to the statistical methods for lineage specific diversification 196 models like BAMM (Moore et al., 2016; but also see Rabosky et al., 2017), we also 197 employed the semiparametric DR statistic to calculate speciation rates, following the 198 method described in Jetz et al. (2012) and Sun et al. (2020). Analysis of variance 199 (ANOVA) was performed to determine whether differences among three phylogenetic 200 clades and among four traditional subgenera were significant. In addition, we used TESS 201 v.2.1 (Höhna et al., 2016) in R to detect the abrupt changes in speciation and extinction 202 rates, applying the R-scripts of Condamine et al. (2018).

203 Paleoenvironment dependent analyses

204 To quantify the effects of past environmental conditions on *Saussurea* diversification, we 205 used RPANDA v1.9 (Condamine et al., 2013) to fit a series of time- and temperature-206 dependent likelihood diversification birth-death (BD) models, following the methodology 207 of Condamine *et al.* (2018). Briefly, seven models were tested: BD model with constant λ 208 (speciation rate) and μ (extinction rate) (i); BD model with λ dependent to time (ii) and environment (iii) exponentially, and constant μ ; BD model with constant λ , and μ 209 210 dependent to time (iv) and environment (v) exponentially; and BD model with λ and μ 211 dependent to time (vi) and environment (vii) exponentially. Thus, we can obtain the equations: $\lambda(E) = \lambda_0 \times e^{\alpha E}$ and $\mu(E) = \mu_0 \times e^{\beta E}$, in which λ_0 and μ_0 are the speciation and 212 213 extinction rates for a given environmental variable. The values of α and β are the rates of 214 change according to the environment, and positive values for them mean a positive effect 215 of the environment on speciation or extinction (Condamine *et al.*, 2013). We used 216 paleotemperature over the last 12 million years (Myrs) (retrieved from Zachos et al., 217 2008) as environmental variables, and randomly sampled 500 trees from the BEAST 218 posterior distribution (outgroups removed) to accommodate phylogenetic and dating 219 uncertainties. The R package PSPLINE v.1.0 (Ben & Roberto, 2008) was used to 220 visualize the speciation rates variating with paleoenvironmental variables.

221 Trait dependent analyses

222 Nine characters were selected and coded based on descriptions in eFloras

223 (<u>http://www.efloras.org/</u>), herbarium specimens and taxonomic literature, or were

224 manually checked directly using online herbarium specimens from the Chinese Virtual

Herbarium (<u>http://www.cvh.ac.cn/</u>), JSTOR (<u>https://plants.jstor.org/</u>), and field collections

226 (Supporting Information Table S2). These characters included four binary morphological

traits: stemless (0) vs. cauliferous (1), stem glabrous (0) vs. densely haired (1), the

absence (0) vs. presence (1) of leafy bracts, and capitula solitary (0) vs. numerous (1);

four multistate morphological traits: leaf margin entire (1) vs. pinnately lobed (2) vs. both
types (3), leaves glabrous (1) vs. sparsely haired (2) vs. densely haired (3), phyllary in <5
(1) vs. 5 (2) vs. 6 (3) vs. >6 (4) rows, and phyllary glabrous (1) vs. sparsely haired (2) vs.
densely haired (3) vs. appendage (4); as well as the geographical habitats: widespread (0)
vs. alpine (1) vs. lowland (2).

234 The diversification rate shifts of binary traits were investigated using the hidden state 235 speciation and extinction (HiSSE) model, which allows us to demonstrate hypotheses 236 related to both the effects of the observed traits as well as incorporate unmeasured factors 237 (Beaulieu & O'Meara, 2016). As described in Beaulieu and O'Meara (2016), 25 models 238 were tested in the R package HISSE v.1.9.10: a full HiSSE model allowing all states to 239 vary independently; four binary state speciation and extinction (BiSSE)-like models that 240 excluded hidden states or constrained specific parameters of λ , μ , and transition rates (q); 241 four null HiSSE models with various character-independent diversification (CID) forms; 242 and 16 models assuming a hidden state associated with both observed character states 243 with a variety of constrained values for λ , μ , and q (Supporting Information Table S3). 244 The best-fitting model was selected based on likelihood-ratio tests under a Chi-square 245 distribution and Akaike's information criterion (AIC) (Akaike, 1974). We also used a 246 nonparametric FiSSE model (Fast, intuitive SSE model; Rabosky & Goldberg, 2017) 247 serving as a complement to measure the robustness of our results. For multistate traits, 248 MuSSE analyses were performed in the R package DIVERSITREE v.0.9.10 (FitzJohn, 249 2012) by fitting four distinct models with subsequent ANOVA testing: a null model with 250 fully constrained variables; a full model allowing all variables to change independently; a 251 model constraining each μ to be equal (free λ); and a model constraining the λ values for 252 each state to be equal (free μ). Further estimates for the parameters of λ , μ , and net 253 diversification rates $(\lambda - \mu)$ for each state were obtained in a Bayesian approach by

incorporating a MCMC analysis with an exponential prior with 5,000 generations. A
GeoHiSSE analysis was used to test hypotheses about range-dependent diversification
processes (Caetano *et al.*, 2018), implemented in the HISSE package. Two models with a
range-independent diversification process and two other models in which the range have
an effect on the diversification rate of the lineages were deployed and compared, as
described in Caetano *et al.* (2018).

260 Ecological distribution data and association with diversification rates

261 We used the 'occ search' function of RGBIF v.1.3.0 (Chamberlain & Boettiger, 2017) to 262 retrieve GPS coordinates for Saussurea species from GBIF on October 28, 2020. We 263 extracted only data records that were georeferenced and excluded any coordinates with 264 zero and/or integer latitude and longitude. We then removed geographic outliers defined 265 as boxplot outliers of species occurrences in R. Range size of each species was estimated 266 by applying a five kilometer buffer around each locality point using the 'gBuffer' 267 function of RGEOS v.0.5-5 (https://CRAN.R-project.org/package=rgeos) following the 268 descriptions of Testo et al. (2019). Range size data were log-transformed before analysis 269 to overcome their skewed distribution (Testo et al., 2019). We extracted the values of 19 270 bioclimatic variables (from 1970 to 2000) from WorldClim (http://worldclim.org) using 271 RASTER v.2.6-6 (https://CRAN.R-project.org/package=raster), and calculated a mean 272 value for each species. Highly correlated variables were identified with a Pearson's 273 correlation coefficient > 0.75, and were removed. The remaining eight most predicative 274 bioclimatic variables were: annual temperature (BIO1), mean diurnal range (BIO2), 275 isothermality (BIO3), max temperature of warmest month (BIO5), annual precipitation 276 (BIO12), precipitation seasonality (BIO15), precipitation of warmest quarter (BIO18) and 277 precipitation of coldest quarter (BIO19). The main variation of bioclimatic variables 278 representing climate lability was estimated by extracting the first two principal 279 components (PC1 and PC2) from a PCA in R. To calculate the ecological niche breadth,

we first estimated environmental niche models (ENM) in the R package ENMTOOLS
v.1.0.2 (Warren *et al.*, 2010), and then measured the spatial heterogeneity of the
distribution of suitability scores using Levins' B metrics (Levins, 1968) ('raster.breadth'
function).

- 284 To demonstrate whether ecological factors drove rapid diversification of *Saussurea*
- 285 species, multiple QuaSSE tests were performed under different models using
- 286 DIVERSITREE. Five models with increasing complexity were constructed to fit the
- 287 changes in speciation rates with climate lability (PCs of bioclimatic variables), niche
- 288 breadth and species range size. Moreover, we used the ES-sim tests (Harvey & Rabosky,
- 289 2018) to crosscheck the correlation pattern revealed by QuaSSE. In addition to the default
- 290 inverse equal splits statistic (Harvey & Rabosky, 2018), the DR statistic was also used as
- a reliable estimator to investigate correlation between speciation rate and continuous
- 292 ecological factors using the R-scripts retrieved from Sun *et al.* (2020).

293 Data availability

- 294 All newly sequenced plastomes were deposited in the National Center for Biotechnology
- 295 Information (NCBI) database with accession numbers provided in Supporting
- 296 Information Table S1. R scripts used in this study are available on GitHub
- 297 (https://github.com/ZhangXu-CAS/Saussurea-diversification.git).

298 Results

299 Divergence time and diversification rate

300 Our phylogeny resolved a median stem age of ca. 11.79 Mya (95% HPD, 8.38–15.35 301 Mya) for Saussurea, with three clades beginning to diversify in parallel during the 302 Miocene (ca. 9.05 Mya, ca. 8.37 Mya and ca. 8.92 Mya, respectively; Figs 1a, Supporting 303 Information Figs S1, S2), suggesting a rapid radiation in this period. Our tree topology 304 showed that four traditional morphology-based subgenera of Saussurea are paraphyletic, 305 indicating adaptive traits have occurred multiple times. BAMM analysis revealed a 306 scenario in which two shifts in net diversification rates occurred within Saussurea with 307 high posterior probability (Figs 1a, Supporting Information Figs S3). Rates-through-time 308 plots showed that while slightly offset in timing, diversification rates of the three clades 309 accelerated during the Pliocene (Figs 1a, 1b), when the temperature dropped sharply. BAMM tip rates showed that clade-3 (0.981 events Myr⁻¹ per lineage) had significantly 310 higher mean speciation rate than clade-2 (0.560 events Myr⁻¹ per lineage) and clade-1 311 312 (0.708 events Myr⁻¹ per lineage) (p < 0.001, Supporting Information Tables S4, S5). 313 Among four morphological-based subgenera, speciation rates of S. subg. Amphilaena (0.945 events Myr⁻¹ per lineage) was highest (p < 0.001, Fig 1c, Supporting Information 314 315 Tables S4, S5). While DR statistic revealed no significant difference among three main clades (p = 0.099), and S. subg. Saussurea (1.106 events Myr⁻¹ per lineage) have the 316 317 highest mean speciation rate (p = 0.022, Supporting Information Fig S4, Tables S4, S6). 318 TESS analysis suggested that speciation and exaction shifts had higher posterior 319 probability during the Pleistocene, consistent with the BAMM results (Supporting 320 Information Fig S5).

321



Fig. 1 Diversification dynamics of *Saussurea* inferred from BAMM analysis. (a) BAMM identified two shifts in diversification rates (represented by arrows). The time of three clades beginning to diversify is provided. (b) Rates-through-time plots of all *Saussurea* species and three main clades separately, with trends in global climate change over 12 million years (Zachos *et al.* 2008) depicted. (c-d) BAMM tip rates of three clades and four morphology-based subgenera of *Saussurea*, respectively.

328 Paleoenvironment dependent diversification

329 We used a maximum-likelihood framework to illustrate diversification dynamics

dependent to paleoenvironment based on BD models to gain insight into the role of

331 historical processes on diversification. Out of seven models, a model with temperature-

- dependent speciation fit the data best (Table 1). The best-fit model further indicated a
- negative dependence ($\alpha < 0$) between past temperature and speciation rate for *Saussurea*,
- 334 while extinction rate remained constant, suggesting extinction was likely not affected by
- 335 temperature fluctuations. RPANDA results demonstrated a diversification regime in
- 336 which diversification rates had opposite responses to changes of temperature over time,

and accelerated sharply in the Pleistocene and increased toward the present (Fig. 2),





Fig. 2 Paleoenvironment-dependent diversification processes in *Saussurea*. The best-fit
paleoenvironment-dependent model implemented in RPANDA shows negative dependence
between paleotemperatures (a) and speciation rate (b).

342 Trait dependent diversification

343 We investigated eight morphological characters and geographical habitat that serve as a 344 proxy for the effect of adaptive traits on diversification rate, to understand the role of trait 345 innovations in the rapid radiation of *Saussurea*. For all four binary traits, the best model 346 of the 25 models tested was the full HiSSE model with unique speciation, extinction and 347 transition rates between the two character states observed and the hidden states 348 (Supporting Information Tables S7). We then calculated mean speciation, extinction and 349 net diversification rates values from the model-averaged marginal ancestral state 350 reconstruction for each extant species in our tree. The results suggested that species with 351 cauliferous plant, glabrous stem, leafy bracts and solitary capitula have higher mean speciation, extinction and net diversification (Table 2, Fig. 3). While the full HiSSE 352 353 model showed observed differences in diversification rates between the states of these

15

- 354 traits, it also indicated some unobserved traits drive the diversification. The
- 355 complementary results from our FiSSE analysis supported the tendency of speciation rate
- 356 revealed by HiSSE, but the only significant differences were between solitary capitula
- and numerous capitula (Table 2; p = 0.024).



Fig. 3 Binary trait dependent diversification of *Saussurea* inferred from HiSSE analysis. Speciation, extinction and net diversification rates are calculated by the model-averaged marginal ancestral state reconstruction for four binary traits: (a) stemless (0) vs. cauliferous (1), (b) stem glabrous (0) vs. densely haired (1), (c) the absence (0) vs. presence (1) of leafy bracts, and (d) capitula solitary (0) vs. numerous (1).

In the MuSSE analyses, ANOVA calculations all preferred models constraining each 374 μ to be equal and allowing λ to vary (free λ), compared with either null models and full 375 models (Supporting Information Table S8). The best-fitting model was then used as the 376 starting point for a MCMC run of 5,000 generations to estimate the marginal distributions 377 378 of rates for each traits using a state-dependent model (Fig. 4). Since all the models 379 preferred constrained μ values, all of the estimated probability densities of μ overlapped 380 (data not shown). The reconstructions of probability density of the net diversification 381 rates $(\lambda - \mu)$ showed that some traits, i.e. leaf margin and phyllary types, have an overlap

382 in net diversification rates among examined character states (Fig. 4a, d). The results 383 suggest that species with glabrous leaves have higher net diversification rates than 384 sparsely or densely haired species, consistent with higher mean rates for glabrous stem in 385 the HiSSE analysis. For the phyllary character, the glabrous state also showed higher net 386 diversification rates than sparsely or densely haired states despite some overlapping (Fig. 387 4d), and the rates of phyllary with six rows are higher than the remaining character states 388 (Fig. 4c). The GeoHiSSE analysis suggested a model with one hidden area and no range-389 dependent diversification was the best fitting model (Supporting Information Table S9). 390 From the result, we can see that species with lowland habitats have a substantially higher 391 speciation, extinction and net diversification rates in comparison with both alpine and 392 widespread distributions (Supporting Information Fig. S6).





- **Fig. 4** Multistate trait dependent diversification of *Saussurea* estimated from MuSSE analysis.
- 394 Marginal distributions of net diversification rates are estimated by the MCMC run of 5,000
- 395 generations for four multistate traits: (a) leaves margin entire (1) vs. pinnately lobed (2) vs. both types
- (3), (b) leaves glabrous (1) vs. sparsely haired (2) vs. densely haired (3), (c) phyllary in <5 (1) vs. 5 (2)
- 397 vs. 6 (3) vs. >6 (4) rows, and (d) phyllary glabrous (1) vs. sparsely haired (2) vs. densely haired (3) vs.
- 398 appendage (4).



399 Fig. 5 Speciation rates of *Saussurea* correlated with ecological factors based on the QuaSSE best-

400 fitted model and *ES-sim* tests. Both (a) niche breadth and (b) species range size (log-transformed)

- 401 show positive sigmoidal curves in QuaSSE analysis with the midpoints (represented by the red dashed
- 402 line) of 0.729 and 11.433 on the x-axis respectively. *EM-sim* tests show significant positive
- 403 relationships between DR speciation rates and (c) niche breadth and (d) species range size. Species
- 404 from three clades are in different colors.

405 Ecological drivers of diversification

406 By correlating climate lability (PCs of bioclimatic variables), niche breadth and species 407 range size with speciation rates (Supporting Information Table S10), we explored the role 408 of ecological opportunities created by complex OTP environments in driving 409 diversification of Saussurea. The first two PCs of bioclimatic variables explained 75.7% 410 of the total climate variation in Saussurea (Supporting Information Fig. S7a). Among the 411 eight retained bioclimatic variables, the precipitation of warmest quarter (BIO18) had the 412 largest contribution to first two PCs, followed by the annual precipitation (BIO12) and 413 the mean diurnal range (BIO2) (Supporting Information Fig. S7b). Under the QuaSSE 414 analyses, PC1 of the climate variables showed a significant positive linear (1.m = 0.330, 1.00)415 AIC = 1240.548, *p*-value = 0.005^{**}) relationships with speciation rate, while climate 416 PC2 preferred a constant model (AIC = 1183.524, p-value = 0.953); both niche breadth 417 (AIC = 529.532, p-value < 0.000**) and species range size (AIC = 700.671, p-value < 0.000**)418 0.000**) showed a significant positive sigmoidal (with drift) relationships with 419 speciation rate (Supporting Information Table S11). Under the best sigmoidal models, the 420 speciation rates of *Saussurea* kept a stable low state until the niche breadth and 421 distribution range reached at 0.729 and 11.433 (log-transformed), respectively 422 (midpoints; Fig. 5a, 5b). Under the *EM-sim* tests, both the DR statistic and the default 423 inverse equal splits statistic revealed the same correlation pattern, in which niche breadth $(\rho = 0.363 \text{ and } 0.387, p = 0.027 \text{ and } 0.019)$ and range size $(\rho = 0.399 \text{ and } 0.411, p = 0.027 \text{ and } 0.019)$ 424 425 0.018 and 0.011) showed significant positive relationship with speciation rates (Fig. 5c, 426 5d), while the correlation between speciation rates and climate lability (climate PC1: $\rho =$ 427 0.170 and 0.188, p = 0.359 and 0.335; climate PC2: $\rho = 0.098$ and 0.095, p = 0.649 and 428 0.635) was not significant (Table 3).

429 **Discussion**

430 Our results demonstrate rapid diversification of *Saussurea* occurred in parallel during the 431 Miocene, a period with extensive tectonic movement and climatic fluctuation on the QTP. 432 A recent paper by (Louca & Pennell, 2020) raised limitations of macroevolutionary 433 studies using estimated diversification rates, though several recent papers have suggested 434 that more complex models (such as hidden state SSE models; (Helmstetter et al., 2021) 435 and a hypothesis driven approach (Morlon *et al.*, 2020) circumvent many of the issues 436 raised. Therefore, we took an integrative approach to address the role that morphological 437 traits and environmental conditions played in the evolutionary history of Saussurea. The 438 rates of species diversification are revealed to be negatively correlated with 439 paleotemperature, and accelerate sharply in the Pliocene toward the present. Similar 440 patterns of increased diversification with global cooling have been documented in other 441 flowering plant lineages, e.g. Saxifragales (Folk et al., 2019), rosids (Sun et al., 2020) 442 and Campanulaceae (Lagomarsino et al., 2016), as well as in mammals (Stadler, 2011) 443 and birds (Claramunt & Cracraft, 2015). Our trait dependent models detect some 444 observed phenotypic adaptation associated with diversification changes, and indicate 445 some unobserved traits also drive diversification, demonstrating a pivotal role of 446 morphological diversity in this radiating diversification. Accounting for ecological niche 447 data, we further reveal that acceleration in diversification rates are correlated with climate 448 lability (PCs of bioclimatic variables), niche breadth and the size of species' range. 449 Overall, we conclude that tectonic activity of the QTP along with global paleoclimate 450 cooling provided vast alpine niches for *Saussurea* species with ample adaptive traits, 451 highlighting the important role of morphological diversity and ecological niche 452 availability for species radiating to diverse environments.

453 We determined clade ages across *Saussurea* species using whole plastome sequences 454 and found that the divergence of the main species clades occurred in the Miocene almost

455 simultaneously. Compared to fragment DNA markers, plastomes have been shown to 456 provide more sufficient phylogenetic signals which are powerful in resolving deep 457 relationships of plant lineages (Parks et al., 2009; Wicke et al., 2011; Zhang et al., 2020). 458 Our estimate for the origin of *Saussurea* (ca. 11.8 Ma) is consistent with the result from 459 single-copy nuclear genes obtained via Hyb-Seq (ca. 12.5 Mya) (Herrando-Moraira et al., 460 2019) and the result from ITS sequences (12.6-10.3 Mya) (Wang et al., 2009), but was 461 younger than the result of Xu et al. (2019) (ca. 18.5 Mya) using plastome coding regions 462 and the result of Barres et al. (2013) (ca. 20.0 Mya) using chloroplast markers. The study 463 of Barres et al. (2013) included only two species of Saussurea and used four chloroplast 464 markers, trnL-trnF, matK, ndhF and rbcL. Different from Xu et al. (2019) setting the split 465 of subtribe Arctiinae and subtribe Saussureinae as a minimum age to 8.0 Mya using the 466 achene fossil assigned to Arctium, our study omitted this calibration because only one 467 Arctiinae sample (A. lappa) was included in both studies and the relationship between 468 Arctiinae and Saussureinae remains unresolved (Herrando-Moraira et al., 2019; Shen et 469 al., 2020). In addition, we estimated divergence times using whole plastome sequences, 470 as our prior work showed that including noncoding regions can maximize the resolution 471 in resolving relationships of Saussurea (Zhang et al., 2019a).

472 Recent large-scale studies of species diversification on the QTP have provided 473 convincing evidence for a Miocene diversification in plant lineages (Ding *et al.*, 2020) as 474 well as amphibians and reptiles (Xu et al., 2020). A hypothesis for the rich biodiversity 475 found in mountainous regions like the QTP is uplift-driven diversification—that orogenic 476 activities create diverse habitats favoring rapid *in situ* speciation of resident lineages 477 (Xing & Ree, 2017; Chen et al., 2019). Extensive plateau uplift in the Miocene further 478 intensified the Asian summer monsoon, which increased the precipitation for erosion 479 through river incision, leading to greater topographic relief (Nie et al., 2018). This would 480 have promoted the differentiation of microhabitats associated with elevational gradients

481 and slope aspects, increasing the availability of ecological niches for radiating species 482 (Ding *et al.*, 2020). A previous study indicated that the *Saussurea* radiation was likely 483 driven by ecological opportunities, similar to those on islands, provided by largely 484 unoccupied habitats resulting from the extensive QTP uplifts (Wang et al., 2009). Our 485 work provides compelling evidence of the vital role of ecological opportunities in 486 Saussurea diversification by statistically correlating species niche breadth and 487 distribution range to the speciation rate. A slight difference is that our result supports a 488 wide-range radiation rather than an 'island isolation', from the positive correlation 489 between range and speciation rate. We attribute the wide-range radiation of Saussurea to 490 the presence of unique pappus combinations (Shi & Raab-Straube, 2011; Chen, 2015), 491 which can promote the dispersal power of achenes to occupy more newly created niches. 492 Therefore, colonizing success benefited by wide-range dispersal helped Saussurea species become one of the most diverse lineages on the QTP. 493 494 The negative correlation between paleotemperature and diversification rates in 495 Saussurea does not seem surprising given the high species richness of Saussurea found at 496 the high elevations of the QTP. Nonetheless, this insight is progressive for our 497 understanding of the formation of the QTP flora, as it represents one of the few attempts 498 to explicitly quantify the relationship between lineage diversification and a 499 paleoenvironmental variable. Geological evidence suggests that after 15 Mya, global 500 cooling and the further rise of QTP progressively led to more open, herb-rich vegetation 501 as the modern high plateau formed with its cool, dry climate (Spicer et al., 2021). Thus, 502 diversification among Saussurea clades could have been driven by increased ecological 503 niches as suitable cold habitats became available. A sharply accelerated diversification 504 rate of Saussurea was detected in the Pliocene toward the present. The uplift of the 505 Hengduan Mountains region, at the southeastern margin of the QTP, is generally believed 506 to have been rapid and recent, occurring mainly between the late Miocene and late

507 Pliocene (Xing & Ree, 2017; Spicer *et al.*, 2020). During the Quaternary glaciation, the 508 Hengduan Mountains with its deep valleys would have provided numerous micro-refugia 509 within the altitudinal and aspect heterogeneity (Sun *et al.*, 2017; Spicer *et al.*, 2021). This 510 can explain why extensive morphological traits occur in parallel and evolved 511 convergently, a result likely driven by local adaptation to the micro-habitats that were 512 afforded by the complex and highly dissected landscape of the Hengduan Mountains.

513 Trait dependent analyses demonstrated that species exhibiting cauliferous plant, 514 glabrous stem, leafy bracts and solitary capitula have higher speciation rates. These traits 515 are usually observed in the subgenus *Amphilaena* (snow lotus), which is characterized by 516 attractive leafy bract and is the symbols of snow mountains in the QTP (Shi & Raab-517 Straube, 2011; Chen, 2015). Snow lotus has abundant morphological variation and is a 518 taxonomically complex group, with some new species described recently (e.g. Eckhard 519 von, 2009; Chen & Yuan, 2015; Zhang, et al., 2019b). Despite having significant 520 taxonomic characteristics, snow lotus is a non-monophyletic group, demonstrating that 521 these adaptive traits have multiple origins and arose by convergent evolution. In fact, 522 specialized leafy bracts, the so-called 'glasshouse' morphology, are prevalent among 523 alpine species, such as in Lamiaceae, Asteraceae, and Polygonaceae (reviewed by Sun et 524 al., 2014). Leafy bracts reportedly protect pollen grains from damage by UV-B radiation 525 and rain, promote pollen germination by maintaining warmth, enhance pollinator 526 visitation by providing a vivid visual display during flowering, and facilitate the 527 development of fertilized ovules during seed development (Tsukaya, 2002; Yang & Sun, 528 2009; Song et al., 2015). Convergent morphological evolution seems to be common for 529 plants adapting harsh environments of the QTP, examples include cushion (stemless) 530 plant, woolly hairs and the leafy bract (Sun et al., 2014; Peng et al., 2015; Yang et al., 531 2019). Similar to leafy bract, the present of stemless and woolly hairs has been revealed to occurred multiple times, and is thought to defense cold and arid climate on the plateau 532

(Sun *et al.*, 2014). However, both stemless plants and the presence of woolly hairs appear
to be not associated with an increase in diversification rate of *Saussurea*. A plausible
explanation for this is that species with stemless and woolly hairs are commonly found in
environments of the QTP with extremely high altitude with very low temperature, and
these species usually have long lifespans.

538 Some traits associated with high diversification rates appear to have no evidence for 539 ecological adaptation, such as solitary capitula and pinnate leaf margin. These may occur 540 in combination with other important adaptive traits. Some traits were not examined 541 because they are common across the entire genus, such as two rows of pappus and small 542 achenes (Shi & Raab-Straube, 2011; Chen, 2015). Although trait dependent analyses 543 showed several adaptive traits driving the increase of speciation rate, some unobserved 544 traits were also important for rapid diversification, highlighting the vital roles of 545 morphological diversity in the evolutionary history of Saussurea. Morphological 546 diversity is an essential but often neglected aspect of biodiversity (Chartier *et al.*, 2021). 547 Our work provides a valuable guide for conservation efforts in the protection of 548 morphological diversity of organisms, especially in the context of exacerbated 549 biodiversity loss due to global warming.

550 Our results provided evidence of a positive relationship between speciation rate and 551 niche breadth as well as species range. Among the few studies that have tested a niche 552 breadth-diversification relationship, a clear consensus has not been reached (Sexton et 553 al., 2017). One argument for low niche breadth lineages having greater diversification 554 rates is that they are more likely to suffer from resource limitations and more susceptible 555 to range fragmentation, and thus allopatric speciation occurs more frequently (Vrba, 556 1987). An alternative view is that species with high niche breadth typically have larger 557 range sizes (Slatyer *et al.*, 2013) and are therefore more likely to have these ranges 558 fragmented by ecological or geographical barriers over evolutionary time, promoting

559 allopatric speciation (Rolland & Salamin, 2016). We argue that wider ecological niches 560 can help species diverging in the QTP cope with climatic fluctuation, occupy 561 microhabitats and promote morphological divergence. Note that anthropogenic activities 562 have led to landscape modification and habitat fragmentation, alternating the distributions 563 of a vast array of species (Boivin et al., 2016), even in plateau areas (Chen et al., 2014). 564 To promote future biodiversity resilience, the conservation of entire unfragmented 565 landscapes is necessary to preserve niche heterogeneity and enable species migrations at 566 will. Only this approach will conserve the processes of biodiversity dynamics as well as 567 the genetic library and the capacity for future adaptation in threatened species (Spicer et 568 al., 2020).

Despite substantial processes on the taxonomy, phylogeny and biogeography of plant

569 **Conclusion**

570

571 lineages on the QTP (reviewed by Wen et al., 2014), our knowledge of the diversification 572 rates associated with geological activities along with subsequent environmental 573 fluctuations and biotic interactions is still limited, especially for rapidly radiating species. 574 Our study integrates *Saussurea* into an marcoevolutionary diversification framework. 575 Using a genomic data set (plastome sequences) for reconstructing divergence history and 576 multiple statistical analyses, we quantify the roles of abiotic/environmental and 577 biotic/species-intrinsic factors in driving diversification of *Saussurea*. Our comprehensive 578 and large-scale analyses depict a plausible evolutionary scene for *Saussurea*, and provide 579 insights into the drivers of its radiating diversification. We document a Miocene 580 diversification pattern in which increased speciation rates are related to global cooling, 581 and correlate it to clade-specific traits and ecological niches. We hypothesize that the 582 current mega diversity of *Saussurea* is the result of interactions between geological 583 activity, global paleoclimate and ecological niche. Our results highlight the vital roles of 584 morphological diversity and available ecological niches in plants adapting to the

changing climate. Given the ongoing global warming and human expansion, causing the

586 disappearance of numerous undescribed species and extensive occupied habitats, our

587 present study together with previous macroevolutionary pattern studies (e.g. Condamine

588 *et al.*, 2018; Folk *et al.*, 2019; Testo *et al.*, 2019; Ding *et al.*, 2020; Sun *et al.*, 2020)

589 provide valuable theoretical basis for mitigating the threats posed to biodiversity.

590 Acknowledgements

591 We are grateful to all the collectors of *Saussurea* morphological data. We thank Ting-

592 Shen Han for helpful in the visualization of Fig.5. We thank Jun-tong Chen and Kai Xue

593 for providing the photos of *Saussurea* species. We also thank the members of the alpine

594 research group of KIB, Jianwen Zhang, Zhuo Zhou, Hongliang Chen, Lishen Qian, Lu

595 Sun and Yongzeng Zhang for helping with sample collection, and Yazhou Zhang for

596 helping with species identification. This study was supported by the Second Tibetan

597 Plateau Scientific Expedition and Research (STEP) program (2019QZKK0502), the

598 Strategic Priority Research Program of Chinese Academy of Sciences (XDA20050203),

599 the Key Projects of the Joint Fund of the National Natural Science Foundation of China

600 (U1802232), the Major Program of the National Natural Science Foundation of China

601 (31590823), the Youth Innovation Promotion Association of Chinese Academy of

602 Sciences (2019382), the Young Academic and Technical Leader Raising Foundation of

603 Yunnan Province (2019HB039), the Chinese Academy of Sciences "Light of West China"

604 Program, and the Biodiversity Survey, Monitoring and Assessment

605 (2019HB2096001006), and the International Partnership Program of Chinese Academy of

606 Sciences (151853KYSB20180009).

607 Author contributions

608 HW, HS, TD and XZ developed the idea and designed the experiment; XZ and JBL

609 performed the statistical analyses; XZ, JBL, TD, HS and HW interpreted the results and

610 wrote the manuscript. XZ, YS, TF, HZ, NL, TB, XH and TD collected the leaf materials;

611 All authors read, edited and approved the final manuscript.

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858 **Figure legends**:

- 859 Fig. 1 Diversification dynamics of *Saussurea* inferred from BAMM analysis. (a)
- 860 BAMM identified two shifts in diversification rates (represented by arrows). The time
- 861 of three clades beginning to diversify is provided. (b) Rates-through-time plots of all
- 862 Saussurea species and three main clades separately, with trends in global climate
- 863 change over 12 million years (Zachos et al. 2008) depicted. (c-d) BAMM tip rates of
- three clades and four morphology-based subgenera of Saussurea, respectively.
- 865 Fig. 2 Paleoenvironment-dependent diversification processes in Saussurea. The best-
- 866 fit paleoenvironment-dependent model implemented in RPANDA shows negative
- 867 dependence between paleotemperatures (a) and speciation rate (b).
- 868 Fig. 3 Binary trait dependent diversification of Saussurea inferred from HiSSE
- 869 analysis. Speciation, extinction and net diversification rates are calculated by the
- 870 model-averaged marginal ancestral state reconstruction for four binary traits: (a)
- stemless (0) vs. cauliferous (1), (b) stem glabrous (0) vs. densely haired (1), (c) the
- absence (0) vs. presence (1) of leafy bracts, and (d) capitula solitary (0) vs. numerous
- 873 (1).
- 874 Fig. 4 Multistate trait dependent diversification of *Saussurea* estimated from MuSSE
- analysis. Marginal distributions of net diversification rates are estimated by the
- 876 MCMC run of 5, 000 generations for four multistate traits: (a) leaves margin entire (1)
- vs. pinnately lobed (2) vs. both types (3), (b) leaves glabrous (1) vs. sparsely haired
- 878 (2) vs. densely haired (3), (c) phyllary in <5 (1) vs. 5 (2) vs. 6 (3) vs. >6 (4) rows, and
- (d) phyllary glabrous (1) vs. sparsely haired (2) vs. densely haired (3) vs. appendage
- 880 (4).

Fig. 5 Speciation rates of *Saussurea* correlated with ecological factors based on the QuaSSE best-fitted model and *ES-sim* tests. Both (a) niche breadth and (b) species range size (log-transformed) show positive sigmoidal curves in QuaSSE analysis with the midpoints (represented by the red dashed line) of 0.729 and 11.433 on the x-axis respectively. *EM-sim* tests show significant positive relationships between DR speciation rates and (c) niche breadth and (d) species range size. Species from three clades are in different colors.

888

Models	NP	logL	AICc	λ_0	α	μ0	β
Constant birth-death (1)	2	-325.7908	655.6424	0.7214	NA	0.3714	NA
λ_{Time} and μ_{constant} (2)	3	-325.2570	656.6364	0.6801	-0.0618	0.1562	NA
$\lambda_{\text{Temp.}}$ and μ_{constant} (3)	3	-324.4240	654.9705	0.7585	-0.0933	0.1610	NA
$\lambda_{constant}$ and $\mu_{Time}(4)$	3	-325.4236	656.9698	0.7020	NA	0.3051	0.0475
$\lambda_{constant}$ and $\mu_{Temp.}(5)$	3	-325.4355	656.9930	0.649	NA	0.1445	0.2067
λ_{Time} and μ_{Time} (6)	4	-325.1840	658.5732	0.6840	-0.0460	0.1843	-0.0036
$\lambda_{\text{Temp.}}$ and $\mu_{\text{Temp.}}(7)$	4	-323.9815	656.168	0.693	-0.0017	0.1910	0.1159

889	Table 1	Results	of RPANDA	analyses.
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890 Bold columns represent the best model, in which speciation rate is negative dependence ($\alpha < 0$) to

891 past temperature and extinction rate is constant. Detailed model sets are described in Condamine

892 et al. (2013). Abbreviations: NP, number of parameters; logL, log-likelihood; AICc, corrected

893 Akaike Information Criterion. Parameter estimates: λ_0 and μ_0 , speciation and extinction rates for a

given environmental variable; and α , β , parameter controlling variation of speciation and

895 extinction with paleo-environment, respectively.

Table 2 Summary of the mean rate values for four binary traits in HiSSE and FiSSE

analysis.

Troit	HiSSE		FiSSE		
Irait	λ	μ	λ-μ	λ	<i>p</i> -value
Stemless	0.5947	0.2415	0.3532	0.9011	
Cauliferous	1.0893	0.3821	0.4502	0.9264	0.4416
Glabrous	1.7230	1.2100	0.5128	0.8971	
Densely haired	0.5531	0.2223	0.3308	0.8674	0.4096
Normal	0.8925	0.6849	0.2076	0.8987	
Bracts	1.3951	0.8752	0.5199	0.9412	0.5614
Capitula solitary	1.6920	0.9969	0.6952	1.0825	
Capitula numerous	0.5661	0.5253	0.0408	0.7828	0.0240

899 Traits with higher net diversification rates $(\lambda - \mu)$ are in bold. For HiSSE analysis, mean rate values

900 are calculated from the model-averaged marginal ancestral state reconstruction, and detailed

901 model tests are provided in Supporting Information Table S7. For FiSSE analysis, the significant

902 *p*-values for adjusted results are in bold.

903

904 **Table 3** Summary of *ES-sim* tests for correlation between speciation rate and

905 continuous ecological factors.

Ecological	ES-sim (I	OR statistic)	ES-sim (In splits stati	<i>ES-sim</i> (Inverse equal splits statistic)		
factors	ρ	<i>p</i> -value	ρ	<i>p</i> -value		
ClimatePC1	0.170	0.359	0.188	0.335		
ClimatePC2	0.098	0.649	0.095	0.635		
Niche breadth	0.363	0.027	0.387	0.019		
Range size	0.399	0.018	0.411	0.011		

906 The significant *p*-values for the correlation are in bold. Rho (ρ) is the Pearson's correlation

907 coefficient. Both the DR statistic and the default inverse equal splits statistic were used as reliable

908 estimators. Detailed test statistic described in Harvey & Rabosky (2018).





(a) Global paleo-temperatures over the last 12 Myrs

(b) Speciation is negatively correlated to past temperatures





