

1 Modulation of early level EEG signatures by distributed 2 facial emotion cues

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16 **Abstract**

17 Face perception plays an important role in our daily social interactions, as it is essential to
18 recognize emotions. The N170 Event Related Potential (ERP) component has been widely
19 identified as a major face-sensitive neuronal marker. However, despite extensive investigations
20 conducted to examine this electroencephalographic pattern, there is yet no agreement regarding
21 its sensitivity to the content of facial expressions.

22 Here, we aim to clarify the EEG signatures of the recognition of facial expressions by investigating
23 ERP components that we hypothesize to be associated with this cognitive process. We asked the
24 question whether the recognition of facial expressions is encoded by the N170 as well as at the
25 level of P100 and P250. In order to test this hypothesis, we analysed differences in amplitudes
26 and latencies for the three ERPs, in a sample of 20 participants. A visual paradigm requiring
27 explicit recognition of happy, sad and neutral faces was used. The facial cues were explicitly
28 controlled to vary only regarding mouth and eye components. We found that non neutral emotion
29 expressions elicit a response difference in the amplitude of N170 and P250. In contrast with the
30 P100, there by excluding a role for low level factors.

31 Our study brings new light to the controversy whether emotional face expressions modulate early
32 visual response components, which have been often analysed apart. The results support the tenet
33 that neutral and emotional faces evoke distinct N170 patterns, but go further by revealing that this
34 is also true for P250, unlike the P100.

35 **Keywords:** facial expressions recognition; social attention; P100; N170; P250; EEG

36 **1. Introduction**

37 The dynamics of face perception involve several brain regions and circuits responsible for the
38 early and high level visual processing of faces(1). Studies using functional magnetic resonance
39 imaging (fMRI) can identify the brain regions underlying these processes but cannot capture their
40 temporal properties. Thus, the dynamics of face perception neuronal mechanisms have been
41 extensively studied based on electroencephalographic (EEG) signals (2).

42 Social attention has been used as a synonym for non-verbal social communication behaviors. Of
43 socially relevant stimuli, faces and gazes are the two most important elements triggering this
44 cognitive process (3) (4). Categorization facial expressions, implies allocation of selective
45 attention which may also modulate the neural response differences between the categories of
46 emotions. When the participants perform implicit tasks more directed to the aspect emotional
47 expression, there seems to be a lower probability of finding differences between the categories
48 of emotions, namely for the N170 component (5).

49 Facial expressions elicit robust neuronal responses that can be measured through event-related
50 potentials (ERP) analysis. The lateral occipitotemporal face-sensitive N170 component is
51 generally used as a major neuronal marker of face recognition. However, the literature is not
52 unanimous about how N170 is affected by the emotional content of facial expressions(6,7). Some
53 authors suggest N170 occurs as a result of early automatic structural encoding of faces, which
54 occurs before a comparison of these structural descriptions with representations stored in
55 memory (8,9). On the other hand, others challenge the view that structural encoding is temporally
56 distinct from emotion processing and defend that the N170 can be modulated by emotional
57 expressions, as shown by larger amplitude and longer latency (10–12).

58 Previous findings also suggest the involvement of P100 in face-specific visual processing (13,14).
59 However, this posterior component often also assumed to have an extrastriate contribution is
60 believed to reflect low-level visual features processing and, therefore, the P100 role in face
61 recognition remains an open question (5). Another potentially relevant ERP is the P250. Like the
62 commonly measured N170, the P250 is also maximal at occipito-temporal sites. There is evidence
63 for P250 modulation during face perception (15,16) , but this ERP component has been often
64 related to higher-level nonspecific aspects of face processing (17,18).

65 According to Puce et al. (19), these ERPs can be grouped in a robust positive- negative- positive
66 (P100- N170- P250) ERP complex, serving as neuroelectric markers in the investigation of the
67 visual processes involved in the recognition of facial expressions. There is also evidence that both
68 P100 and N170 are also involved in generating differential responses to neutral vs. emotional
69 expressions, similarly regions such as the amygdala (20).

70 Importantly, temporal information of face perception provided by EEG may be coupled with source
71 localization techniques to identify their cortical origin. The inferior occipital gyrus (IOG), the lateral
72 portion of the fusiform gyrus (FG), and the superior temporal sulcus (STS) have been described
73 as the core system of face perception. IOG and FG are believed to mediate the encoding of faces,
74 while STS is involved in the perception of social signals derived from faces, such as the direction
75 of gaze and emotional expressions (21,22). However, the relationship between N170 and signal
76 originating from these face-selective brain regions remains under discussion. Recent findings
77 suggest the FG to be a main neuronal contributor to the N170 ERP component (2).

78 Here, we aim to contribute to this debate concerning the temporal dynamics and the
79 electrophysiological nature of face perception. First, we seek to understand if we can objectively
80 distinguish the emotional content of the facial expressions based on not only the N170 ERP
81 component but also on direct comparisons with the P100 and P250. Then, we want to address
82 whether the investigation of the neuronal sources underlying these temporal patterns can shed
83 light on this debate.

84 To achieve this goal, we used an experimental paradigm in which the participants had to identify
85 the facial expression presented on the screen to generate an action. The study was organized in
86 two experiments, the only difference being the inclusion of a neutral face in the instruction phase,
87 in experiment 2. Our results show that ERPs N170 and P250 are both modulated by facial
88 expressions (happy and sad). Differences were only found between the conditions expressions
89 – neutral faces but not between the expressions per se.

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91 2. Materials and Methods

92 2.1 Experimental Design

93 Two experiments were performed, each on a different group of subjects. Below we describe
94 together the attributes of the participants, as well as data acquisition, EEG processing and data
95 analysis, since the information is similar between experiments. Separately we present the
96 stimulation paradigms and the results of the two experiments.

97 The use of the paradigm described below required participants to recognize facial expressions
98 which was informative for a subsequent action related to error monitoring neural processes (not
99 reported in this paper). For this, two experiments were performed, the main task objective is the
100 recognition and distinction between facial expressions (happy, sad and neutral) by the participant.
101 The two experiments differ in the existence or not of a neutral face in the initial period (Experiment
102 1) or in the instruction phase (Experiment 2) which allowed to control for the role of an explicit
103 instruction for the neutral stimulus.

104 2.2 Participants

105 In total, 40 adult with normal or corrected-to-normal vision and no medical or psychological
106 disorders were, included in this study: In the experiment one, 20 individuals were included (nine
107 females), mostly right-handed (19), and aged between 20 and 36 years (26.8 ± 4.514); in the
108 experiment two, 20 individuals were included (11 females), (all right-handed), and aged between
109 20 to 33 years (25.7 ± 4.193), of these subjects, 5 participated in both experiments.

110 Written informed consent was obtained from all participants. The study was approved by the
111 ethics committee of the Faculty of Medicine from the University of Coimbra and was conducted in
112 accordance with the declaration of Helsinki.

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118 2.3 Stimuli

119 2.3.1 Experiment 1

120 A standard set of colour photographs consisting of a male, each depicting happy, sad, and neutral
121 faces, obtained from the Radboud Faces Database (23), were presented on a 17-inch monitor
122 situated 60 cm away from the subject. The facial expression images had a mean luminance of
123 7.67×10^1 cd/m² (with screen luminance ranging from 2.44×10^1 cd/m² to 1.76×10^2 cd/m²).
124 Neutral, happy, and sad faces (height 4.55° and width 4.90°) were presented to the participants
125 in a pseudo-randomized order (Figure 1A). A go/no-go task, based on facial expression
126 recognition, was used to guarantee implicit expression processing (Figure 1B).

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129 **Fig 1.** Overview of the facial stimuli paradigm used in experiment 1. Neutral, happy, and sad faces (A) were used as
130 cues in a go/no-go paradigm (B) and promoted attentive expression processing. The sequence between face
131 presentation and participants' response is also illustrated.

132

133 The stimulation paradigm included six conditions: rest, gap, emotional expression, fixation, target,
134 and response. Initially, a neutral face was displayed for 1000 ms (rest period), followed by a gap
135 period of 500 ms. Then, the instruction for the go/no-go task is presented during 750 ms. Here, a
136 happy, or sad face was presented. The expression type cued the subsequent action, and thereby
137 required attentive processing. A happy face with a gaze means go and perform a saccade in the
138 same gaze direction; a sad face with a gaze means go and perform a saccade in the opposite
139 gaze direction; a happy or sad face without a gaze means to no-go. After a fixation period (500 -
140 1000 ms) and a target shown for 200 ms in the same direction as the gaze (height and width
141 0.72°), a black background appeared for 1500 ms, where the participants performed the task.

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145 2.3.2 Experiment 2

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148 A standard set of colour photographs consisting of a male, each depicting happy, sad, and neutral
149 faces, obtained from Radboud Faces Database (23), were presented on a () monitor situated 60
150 cm away from the subject. Neutral, happy, and sad faces (height 9.02° and width) were
151 presented to the participants in a pseudo-randomized order (Figure 1A). A go/no-go task, based
152 on facial expression recognition, was used to guarantee implicit expression processing (Figure
153 1B).

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156 **Fig 2.** Overview of the facial stimuli paradigm used in experiment 2. Neutral, happy, and sad faces (A) were used as
157 cues in a go/no-go paradigm (B) and allowed to study the implicit expression processing. The sequence between face
158 presentation and participants' response is also illustrated.

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160 As in experiment 1, this second paradigm also includes six conditions: rest (neutral faces), gap 1,
161 social expression, gap 2, action instruction, and action. Initially, a neutral face was displayed for
162 1000 ms (rest period), followed by a gap period of 200 or 500 ms. Then, the instruction (social
163 expression) for the go/no-go task is presented during 350 ms. Here, a happy, sad or neutral face
164 was presented. It is in this condition that the biggest difference between the two experiences, in

165 experiment one we only had happy and sad as social expression. A happy face with a gaze means
166 go and perform a saccade or button press in the same gaze direction; a sad face with a gaze
167 means go and perform a saccade or button press in the opposite gaze direction; a happy, sad or
168 neutral face without a gaze means to no-go. After a gap 2 period (200 ms) and an action
169 instruction been shown for 350 ms (target diamond (♦) – height and width 2.517°) or button press
170 (target: square (□), – height and width 1.819) , a black background appeared for 1000 ms,
171 where the participants performed the task.

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174 2.4 Experimental setup and data recording

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176 EEG data were recorded using a 64 electrodes cap (Compumedics Quick cap; NeuroScan,
177 USA). The scalp of the participants was first cleaned using abrasive gel and then the electrodes
178 cap was placed on their head according to the international 10–20 standard system.

179 Electrooculogram (EOG) data were recorded via two pairs of additional electrodes, placed above
180 and below the left eye and in the external corner of both eyes. The reference electrode was
181 located between Cz and CPz The impedance of the electrodes was kept under 20 kΩ during the
182 recordings. The electrodes were connected directly to the SynAmps 2 amplifier system
183 (Compumedics NeuroScan, Texas, USA) and sampled at 1000Hz. Data were recorded using the
184 Curry Neuroimage 7.08 (NeuroScan, USA). For each paradigm, the participants were informed
185 about the respective task. The total duration of the experimental procedure including the
186 preparation procedures took around 60 min.

187 The eyetracking (ET) data acquisition started with the calibration of the eye-tracker. The data
188 were recorded at 120Hz in a tower-mounted high accuracy (0.25° – 0.5°) monocular eye-tracker
189 (iView X™ Hi-Speed 1250Hz, SMI – SensoMotoric Instruments, Teltow, Germany).

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191 2.5 EEG processing

192 In both experiments, we used MATLAB home-made script (R2018b) and the EEGLAB toolbox
193 functions (version 2) for EEG signal preprocessing and analysis.

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195 The EEG data were downsampled to 500 Hz and filtered between 0.5 and 45 Hz. Noisy channels
196 were removed and the electrodes were re-referenced to the average of all EEG (excluding EOG)
197 channels. The data were segmented into epochs of 1700 ms length in experiment 1 and 1200 ms
198 in experiment 2, with a 200 ms pre-instruction baseline. Epochs were visually inspected, and
199 noisy trials were removed. Ocular, muscular and cardiac artifacts were removed from all EEG
200 channels based on the independent components analysis (ICA) (24). The noisy channels
201 previously removed were interpolated (spherical interpolation).

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203 After pre-processing, in experiment 1 there were on average 150, 143 and 143 epochs per
204 participant for happy, sad and neutral faces respectively ,in experiment 2 there were on average
205 156, 158 and 155 epochs for happy, sad and neutral faces respectively.

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2.5 Data Analysis

2.5.1 Event Related Potentials (ERPs) identification

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213 The N170 ERP component has been described as a negative peak around 170 ms after the
214 stimulus beginning, particularly incident at the parieto-occipital electrodes (25,26), whereas, P100
215 and P250 are ERP positive components. Their topology has also been described in the lateral
216 parieto-occipital cortex as all these ERP components related to rapid processes of selective
217 attention (27,28) . Therefore, we started by selecting the groups of electrodes where these ERPs
218 were most prominent. This group of electrodes was T8, CP6, TP8, P8 (right hemisphere) and
219 TP7, P7, P5, PO7 (left hemisphere) since they showed the most significant difference between
220 the three expressions, in N170 component($p < 0.01$ with Bonferroni correction). ERPs were first
221 identified through the maximum (P100 and P250) and minimum (N170) peaks of each trial in the
222 following P100 and N170 a time range of 50 to 200 ms and P250 a time range of 200 to 300 ms,
223 in both experiments. Then, ERPs components for each experimental condition of interest (happy,
224 sad, and neutral faces) were estimated per participant as the average of the identified peaks.
225 Finally, N170, P100 and P250 were statistically compared for the three different expressions types
226 in order to test for differences in amplitude, latency and lateralization.

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2.5.2 Source Estimation

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231 Source analysis was conducted using the sLORETA software (29) (pascual-marqui). The
232 procedure included exporting from MATLAB the preprocessed single-trial epochs, importing them
233 into sLORETA software, averaging them (per subject and expression) and converting them to the
234 source space. Each participant electrode location was co-registered with the realistic anatomical
235 model using landmarks and standard electrode's position. The sLORETA uses a three-shell
236 spherical head model registered to the digitized (30) atlas (Brain Imaging Centre, Montreal
237 Neurological Institute). The solution space is restricted to cortical gray matter and the
238 hippocampus. The sLoreta yields images of standardized current source density of a total of 6430
239 voxels at 5 mm spatial resolution under these neuroanatomical constraints. Briefly, subject time
240 and valence specific mean ERPs were transformed into the sLoreta domain and averaged across
241 subjects within each experimental group. Localization of power was then determined for time
242 windows 100 ms, 170 ms and 250 ms.

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2.5.3 Statistical Analysis

247 IBM SPSS statistics 25 was used for statistical analysis. The maximum peak to peak amplitude
248 differences between the face expressions (happy and sad) and neutral faces was tested by
249 Pairwise methods:- Anova repeated measures with happy, sad and neutral faces as a factor,
250 was used to examine the overall effects for different amplitudes, latencies, and peaks. This
251 analysis was performed for both hemispheres (right and left). A P value of < 0.05 was significant.

252 The same statistical analyses were performed for the three ERPs (P100 , N170, P250).

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254 3.Results

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256 3.1 ERPs amplitude and latency

257 In the analysis of the ERPs, the amplitude and latency of its main components, P100, N170 and
258 P250 were estimated per participant and condition and compared. The analyses were performed
259 per hemisphere to allow testing for lateralization effects. The results are, presented per
260 experiment. For these analyses two clusters of channels were used, T8, TP8, P6, P8, (right
261 hemisphere) and TP7, P7, P5, PO7, (left hemisphere).

262

263 3.2 Experiment 1

264 3.2.1 P100

265 When comparing EEG responses to happy, sad, and neutral faces at the level of P100 we found
266 neither differences in amplitude nor latency. The grand average ERP waveforms are illustrated in
267 Figure 3, whereas the statistical results are summarized in Figure 4.

268 In the right hemisphere the P100 average amplitude was $1.959 \pm 0.308 \mu\text{V}$, $1.952 \pm 0.340 \mu\text{V}$, and
269 $1.929 \pm 0.277 \mu\text{V}$ for happy, sad and neutral conditions respectively. Peaks of latencies were
270 recorded, 123 ms after happy and sad stimuli and 122 ms after neutral stimuli. In the left
271 hemisphere, were recorded amplitudes of $3.809 \pm 0.601 \mu\text{V}$ for happy faces, $3.994 \pm 0.656 \mu\text{V}$ for
272 sad faces and $3.311 \pm 0.563 \mu\text{V}$ for neutral faces. Latencies in this hemisphere were recorded at
273 122 ms after happy and 123 ms after sad and neutral stimuli respectively.

274

275 **Fig 3.** Grand-average ERP waveforms from the left and right hemispheres for happy and sad expressions, and neutral
276 faces. A) The 100 ms, 170 ms, and 250 ms are highlighted with a dashed line. The results presented are relative to the
277 channel clusters TP7 P7, P5, PO7 at the left hemisphere, and T8, CP6, TP8, P8 at the right hemisphere. B) Scalp
278 topographic voltage maps for the emotions (happy and sad) stimulus condition. Maps reflect the activity profile at the
279 following post-stimulus onset latencies: P100 (100 ms) N170 (170 ms), and P250 (250 ms).

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283 **Fig 4.** Summary of amplitude and latency analyses for the ERP components P100, N170, and P250 when comparing
284 brain responses during emotional and neutral faces recognition (Experiment 1). A) We found significant differences in
285 all ERPs between neutral faces and expressions (happy and sad), except for P100 in both hemispheres. B) We only
286 found significant latency differences for the P250, in the right hemisphere, between neutral faces and happy faces.
287 Error bars depict the standard error of the mean.

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3.2.2 N170

293 When comparing the three conditions, at the level of N170 we found differences in the amplitude
294 between neutral-sad in the right hemisphere and between neutral-happy in both hemispheres.
295 The grand average ERP waveforms are illustrated in Figure 3, whereas the statistical results are
296 summarized in Figure 4.

297 In the right hemisphere the N170 average amplitude was $-2.976 \pm 0.330 \mu\text{V}$, $-2.769 \pm 0.339 \mu\text{V}$, and
298 $-1.727 \pm 0.332 \mu\text{V}$ for happy, sad and neutral conditions respectively, significant differences were
299 found between neutral - sad, $1.032 \pm 0.358 \mu\text{V}$, $p = 0.03$ and neutral – happy, $1.239 \pm 0.4 \mu\text{V}$, $p =$
300 0.02 , $F(2,18)=4.560$, $p= 0.025$. Latencies were recorded at 172 ms after happy stimuli, 171 ms
301 after sad and 174 ms after neutral stimuli. In the left hemisphere the average amplitude was -
302 $3.461 \pm 0.420 \mu\text{V}$, $-3.213 \pm 0.373 \mu\text{V}$ and $-2.573 \pm 0.436 \mu\text{V}$ for happy, sad and neutral conditions,
303 significant differences were found between neutral – happy, $0.888 \pm 0.290 \mu\text{V}$, $p = 0.02$,
304 $F(2,18)=4.640$, $p=0.024$. Latencies were recorded at 175 ms after happy stimuli, and 176 ms
305 after sad and neutral stimuli.

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308 3.2.3 P250

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310 When comparing the three conditions at the level of P250 we found differences in the amplitude
311 between neutral-sad and neutral-happy in both hemispheres and in the latency neutral and happy
312 in right hemisphere. The grand average ERP waveforms are illustrated in Figure 3, whereas the
313 statistical results are summarized in Figure 4.

314 In the right hemisphere, the P250 average amplitude was $1.290 \pm 0.379 \mu\text{V}$, $1.237 \pm 0.387 \mu\text{V}$, and
315 $2.260 \pm 0.577 \mu\text{V}$ for happy, sad and neutral faces. We found significant differences between
316 neutral – sad, $1.024 \pm 0.324 \mu\text{V}$, $p = 0.015$ and neutral – happy, $0.970 \pm 0.318 \mu\text{V}$, $p = 0.02$,
317 $F(2,18)=4.879$, $p=0.02$. Latencies were recorded at 240 ms after happy stimuli, 246 ms after sad
318 and 253 ms after neutral stimuli, significant differences were found between neutral – happy,
319 $13.8 \pm 4.502 \text{ ms}$, $p=0.019$, $F(2,18)= 6.185$, $p=0.009$. In left hemisphere, significant differences were
320 recorded at amplitudes of $1.812 \pm 0.428 \mu\text{V}$ for happy, $1.804 \pm 0.376 \mu\text{V}$ for sad and 2.949 ± 0.505
321 μV for neutral faces, found between neutral – sad, $1.145 \pm 0.349 \mu\text{V}$, $p = 0.012$ and neutral – happy,
322 $1.136 \pm 0.321 \mu\text{V}$, $p = 0.007$, $F(2,18)=6.094$, $p=0.01$. Latencies were recorded at 236 ms after
323 happy stimuli, 235 ms after sad stimuli and 243 ms after neutral stimuli.

324

325 3.3 Experiment 2

326 3.3.1 P100

327 When comparing EEG responses to happy, sad, and neutral faces at the level of P100 we found
328 neither differences in amplitude nor latency. The grand average ERP waveforms are illustrated in
329 Figure 5, whereas the statistical results are summarized in Figure 6.

330 In the right hemisphere the P100 average amplitude was $3.229 \pm 0.651 \mu\text{V}$, $3.367 \pm 0.602 \mu\text{V}$ and
331 $3.359 \pm 0.581 \mu\text{V}$ for happy, sad and neutral conditions respectively. Latencies were recorded at
332 129 ms after happy stimuli, 130 ms after sad and 132 ms after neutral stimuli. In the left
333 hemisphere, P100 average amplitude was $4.488 \pm 0.877 \mu\text{V}$, $4.611 \pm 0.946 \mu\text{V}$ and $5.007 \pm 0.958 \mu\text{V}$
334 for happy, sad and neutral faces. Latencies were recorded at 131 ms after happy stimuli and 133
335 ms after sad and neutral stimuli.

336

337 **Fig 5.** Grand-average ERP waveforms from the left and right hemispheres for happy and sad expressions, and neutral
338 faces. The 100 ms, 170 ms, and 250 ms are highlighted with a dashed line. The results presented are relative to the
339 channel clusters TP7 P7, P5, PO7 at the left hemisphere, and T8, CP6, TP8, P8 at the right hemisphere. B) Scalp
340 topographic voltage maps for the emotions (happy and sad) stimulus condition. Maps reflect the activity profile at the
341 following post-stimulus onset latencies: P100 (100 ms) N170 (170 ms), and P250 (250 ms).

342

343 **Fig 6.** Summary of amplitude and latency analyses for the ERP components P100, N170, and P250 when comparing
344 brain responses during emotional and neutral faces recognition (Experiment 2). A) We found significant differences in
345 all ERPs between neutral faces and expressions (happy and sad), except for the P100 in both hemispheres. B) We did
346 not find significant latency differences for ERPs in neither of the hemispheres. Error bars depict the standard error of
347 the mean.

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349 3.3.2. N170

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351 When comparing EEG responses to happy, sad, and neutral faces at the level of N170 we found
352 differences in the amplitude between neutral and sad faces in both hemispheres. The grand
353 average ERP waveforms are illustrated in Figure 5, whereas the statistical results are summarized
354 in Figure 6.

355 In the right hemisphere the N170 amplitude average was $-3.067 \pm 0.457 \mu\text{V}$, $-2.881 \pm 0.410 \mu\text{V}$, and
356 $-2.153 \pm 0.309 \mu\text{V}$ for happy, sad and neutral conditions respectively, significant differences were
357 found between neutral – happy, $0.728 \pm 0.246 \mu\text{V}$ $p=0.047$, $F(2,18)=8.152$, $p=0.003$.
358 Latencies were recorded at 183 ms after happy and sad stimuli and 180 ms after neutral stimuli.
359 In the left hemisphere, amplitude average for N170 was $-2.906 \pm 0.482 \mu\text{V}$, $-2.500 \pm 0.619 \mu\text{V}$ and
360 $-1.737 \pm 0.615 \mu\text{V}$ for happy, sad and neutral conditions, significant differences were found
361 between neutral - happy, $0.763 \pm 0.147 \mu\text{V}$, $p = 0.002$, $F(2,18)=11.774$, $p=0.001$. Latencies were
362 recorded at 179 ms after happy stimuli and 176 ms after sad and neutral stimuli.

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366 3.3.3 P250

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368 When comparing EEG responses to happy, sad, and neutral faces at the level of P250 we found
369 differences in the amplitude between neutral and happy, and neutral and sad in both
370 hemispheres. The grand average ERP waveforms are illustrated in Figure 5, whereas the
371 statistical results are summarized in Figure 6.

372 In the right hemisphere the P250 amplitude average was $1.127 \pm 0.397 \mu\text{V}$, $0.923 \pm 0.599 \mu\text{V}$ and
373 $2.098 \pm 0.452 \mu\text{V}$ for happy, sad and neutral conditions respectively, significant differences
374 between neutral – sad, $1.175 \pm 0.343 \mu\text{V}$, $p = 0.023$ and neutral – happy μV , $p = 0.028$,
375 $F(2,18)=11.850$, $p=0.001$. Latencies were recorded at 256 ms, 252 and 259 ms after happy, sad
376 and neutral stimuli respectively. In the left hemisphere, amplitude average for P250 was
377 $0.989 \pm 0.558 \mu\text{V}$, $1.416 \pm 0.583 \mu\text{V}$ and $2.686 \pm 0.691 \mu\text{V}$ for happy, sad and neutral respectively,
378 significant differences were found between neutral – sad, $1.271 \pm 0.270 \mu\text{V}$, $p = 0.003$ and neutral
379 – happy, $1.697 \pm 0.472 \mu\text{V}$, $p = 0.017$, $F(2,18)=18.008$, $p=0.0002$. Latencies were recorded at 240
380 ms, 243 ms and 246 ms after happy, sad and neutral stimuli.

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383 4. Source Estimation for the ERPs components modulated by social attention

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385 The results of the sLoreta for ERPs modulated by emotional expressions (happy and sad) for 170
386 ms and 250 ms post-stimulus presentation onset are depicted in fig.7.

387 Sources estimation for expression at 170 ms (N170) was found in the precuneus, BA 7 (MNI
388 coordinates: x= -5, y= -80, z= 50) and at 250 ms (P250) was found in the postcentral gyrus, BA 5
389 (MNI coordinates: x= -5, y=-50, z= 70), significant at P = 0.0002, two-tailed t test.

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391

392 **Fig 7.** sLORETA representation of significant correlations of emotional expressions for N170 and P250. A) N170
393 source located in the parietal lobe and precuneus B) P250 source located in the parietal lobe, postcentral gyrus.

394

395 5. Discussion

396 In this study, we investigated the temporal dynamics of the neural mechanisms underlying face
397 recognition, following the hypothesis that not only the N170 but also other related components as
398 P100 and P250 are modulated by the emotional content of facial stimuli. To this end, we used an
399 implicit face recognition task, while controlling for selective attention. We tested for amplitude and
400 latency differences between neutral and emotional expressions, particularly happy and sad faces.
401 Moreover, we aimed at exploring the neural sources of social attention.

402 N170 is a well-established ERP component of face processing (4) . However, there is no
403 consensus regarding N170 selectivity for the content of facial expressions. Some studies support
404 that the presence of N170 amplitude dependence on emotional facial expressions (31,32),
405 whereas others suggest that this ERP is modulated by more low level features of facial stimuli but
406 does not discriminate between expressions (9,33). Our results contribute to this debate by
407 showing the presence of an effect when attention is controlled for.

408 Our results show indeed that there is a clear difference in electrophysiological responses
409 between expressions (happy and sad) and neutral faces. In both experiments, a similar pattern
410 was observed, we did see a desponse difference between facial expressions from neutral faces
411 (except for the P100), with no significant differences between sad and happy faces, in both
412 experiments. These results are consistent with previous studies in which the N170 was affected
413 by emotion expression, specifically happy and fearful faces had larger amplitude than neutral
414 faces (34), but did not discriminate between different emotions (35). N170 has been proposed
415 to represent an early configural stages of face processing, which may reflect an activation related
416 to the structural coding of faces, and from this point of view response levels might be expected to
417 be relatively invariant to emotional details, in facial expressions. However, Luo, et al., 2010 (33)
418 proposed that ERPs between the 150 to 300 ms time period constitute a "second stage" of
419 expression processing that is already sensitive to emotionality in general (compared to a neutral
420 expression); arguing that the differentiation between specific expressions / emotions occurs only
421 in a "third stage" that starts after 300ms.

422 The earliest stage of visual processing, P100 is associated with processing sensory
423 characteristics of a visual stimulus, there by unlikely to be modifiable by familiarity and

424 expressions (36). However, there are studies that suggest that differentiation between
425 expressions can occur, such as fear and anger or happiness and anger (37,38).

426 According to our results, no significant difference was identified between the P100 amplitudes in
427 the three facial expressions (happy, sad and neutral), as such we argue that this ERP component
428 is not modulated by expressions. P100 can also be modulated by attention, so the increase in
429 amplitude in prior studies may be due not only to expressions but also to increased effects of
430 attention (13). Under controlled attention, (further optimized in experiment 2), we found no
431 significant effect of the presence of emotion cues, concerning the P100. For comparison, there is
432 corroborating evidence that as early as the P100 component, negative and positive expressions,
433 such as fear, sadness or happiness, cannot be differentiated (32,37). We did not observe P100
434 amplitude significant difference emotions types (happy and sad), or between both emotional faces
435 types and the neutral faces. These results are in agreement with other authors, such as (25,39).

436 P250 is the last ERP that forms the P100-N170-P250 complex, according to previous studies like
437 (40) this ERP is responsive to emotional expressions, amplitudes are augmented for emotional
438 expressions compared to neutral.

439 Our results show, in both experiments, an amplitude increase (more negative) in happy and sad
440 facial expressions for the P250. Unlike the previous ERPs (P100, N170), the neutral faces were
441 the ones with the highest amplitudes, with significant differences with the happy and sad
442 amplitude.

443 In this work, latency was only significant in the right hemisphere of the first experiment, in ERP
444 P250 between neutral and happy faces. These results do agree with previous studies (41), who
445 found latency change for expressions when compared to neutral stimuli, justifying that this
446 difference reflected the impact voluntary attention, which is also consistent with the conditions of
447 experiment 1.

448 Both in experiment 1 and 2, differences were only found between the conditions expressions –
449 neutral faces and not between the emotional expression types happy – sad, showing that the
450 differences between both experiments did not change the general patterns of results.

451 Our source analysis allowed to investigate the spatical location of the signals studied, as such,
452 to analyse the sources of facial expressions and to compare results from previous studies . It
453 was expected that the location of the active sources should be found in the parieto-occipital
454 region, because this region contributes mainly to the processing of facial expressions (42).
455 N170 and P250 were modulated by the expressions happy and sad, so we went to analyse
456 where the sources of each one are located, and confirmed that they match The source of N170
457 was identified in the precuneus. This region is involved in visuospatial processing, directing
458 attention in space and is one of the core regions of the perspective taking network, both from a
459 cognitive and affective point of view (43,44). The source of the P250 was located in the
460 postcentral gyrus that belongs to the superior parietal lobe (SPL) which are major areas in the
461 attention central system(45). The precuneus is also selectively connected to the SPL.
462 Converging evidence then suggests that the SPL and the precuneus cooperate in directing
463 attention in space not only during the execution of goal-directed movements, but also in the
464 absence of overt motor responses(46). In a Simon et al., 2002 (47) study participants performed
465 various tasks, including attention, pointing, grabbing, saccades and calculating. Both precuneus
466 and SPL were activated by saccadic and pointing task while the precuneus showed more
467 activation only for attention. Le et al., 1998 (48) reported that the shift of attention to visual

468 stimuli, when compared to sustained attention, produced bilateral activation of precuneus and
469 SPL.

470 Although, accurate recognition of complex emotions in facial expressions is usually more evident
471 in the right hemisphere (42), in our experiments, sources were located in both or left hemispheres.

472 Both experiments were carried out with the purpose of contributing to the clarification of the open
473 questions whether relatively early ERPs components can be modulated by facial expressions. We
474 can conclude that N170 is modulated by expressions and it is possible to differentiate between
475 expressions and neutral faces, but not between positive and negative expressions (happy and
476 sad), which also holds true for the P250. Regarding the P100 we can conclude that it is not
477 modulated by emotional expressions, in line with the notion that it is a quite early ERP type
478 involved in low level processing. Our paradigm does therefore shed converging light on the neural
479 correlates of the perception of emotional faces(4).

480

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- 611

A

NEUTRAL



HAPPY



SAD



B

Rest



1000

Gap



500

Emotional
expression

750

Fixation



500-1000

Target



200

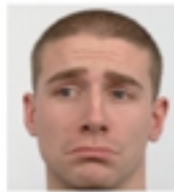
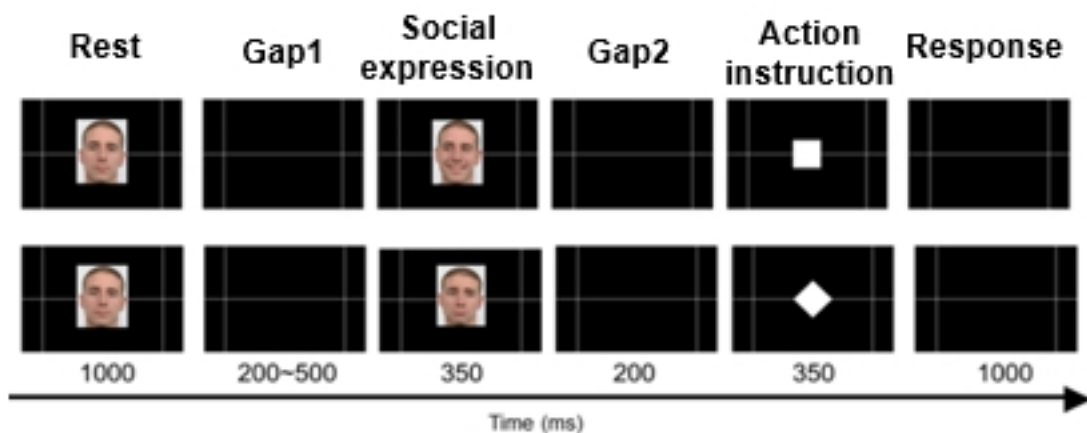
Response



1500

Time (ms)

Figure 1

A**NEUTRAL****HAPPY****SAD****B****Figure 2**

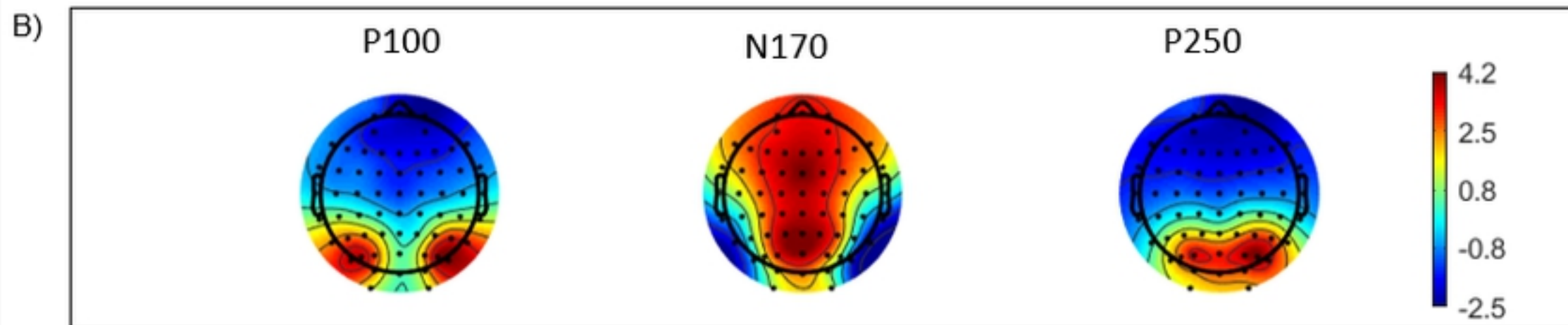
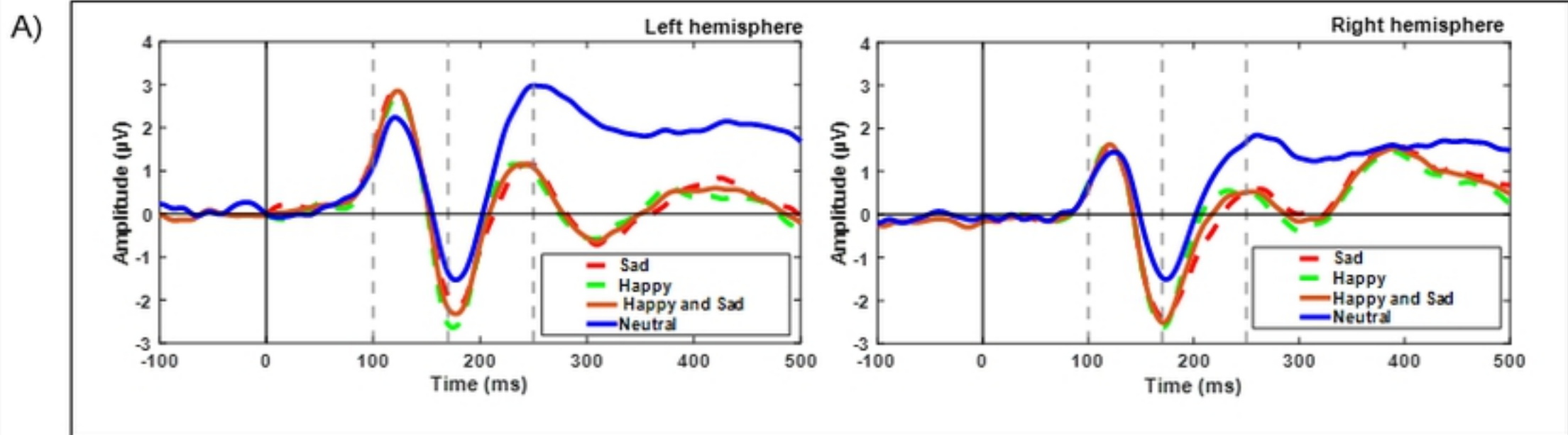


Figure 3

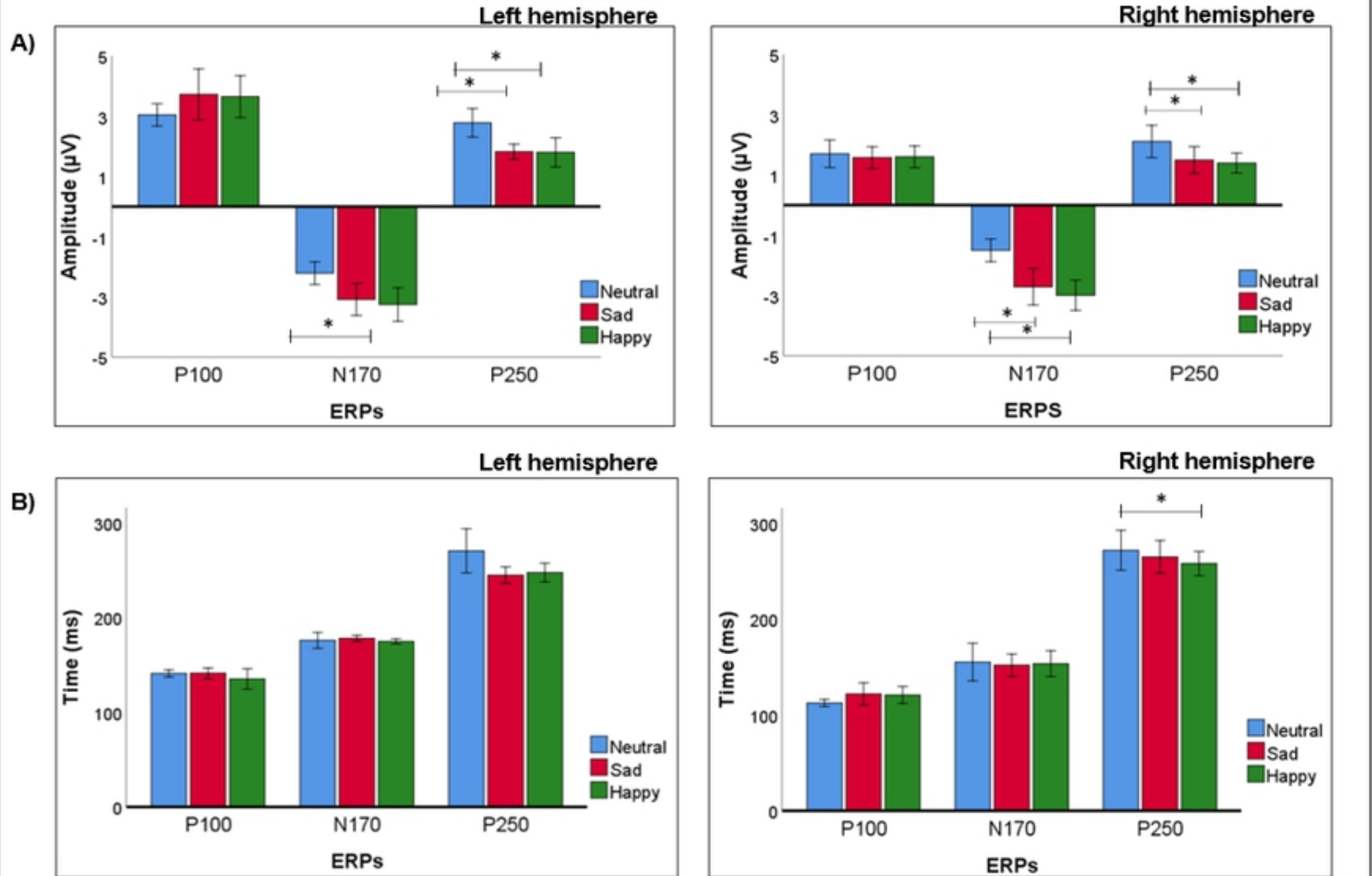


Figure 4

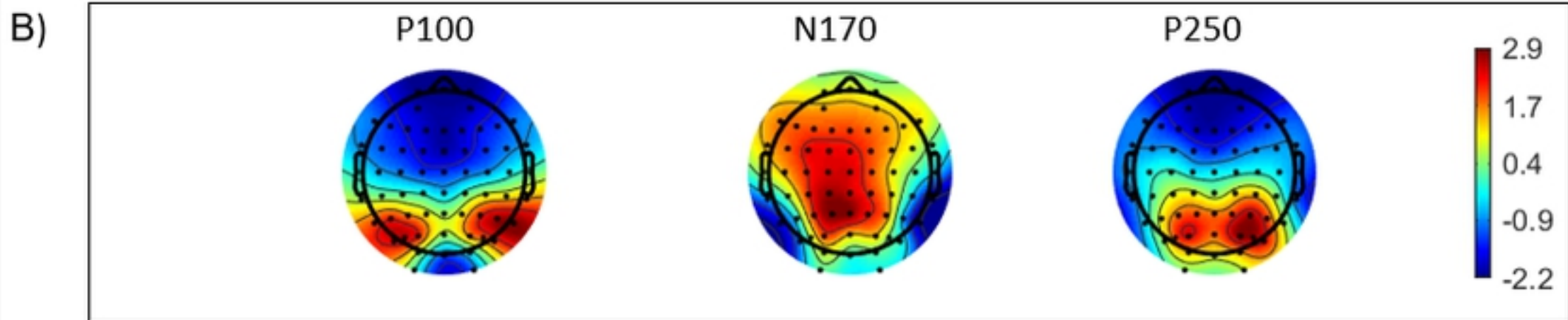
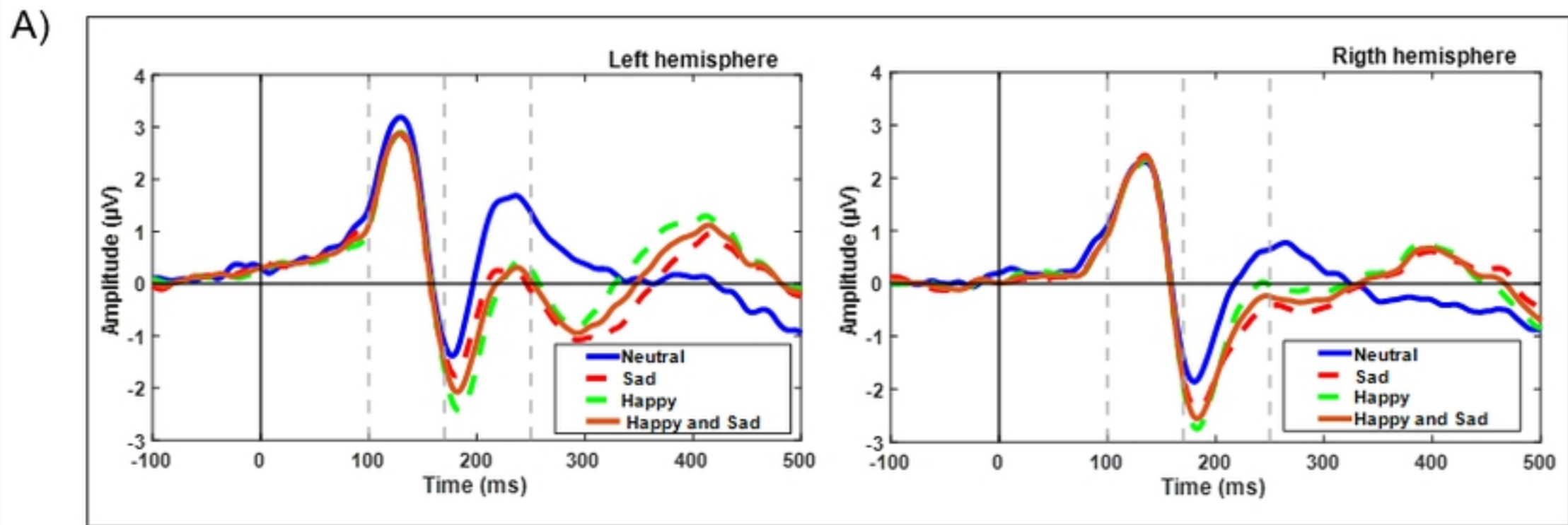


Figure 5

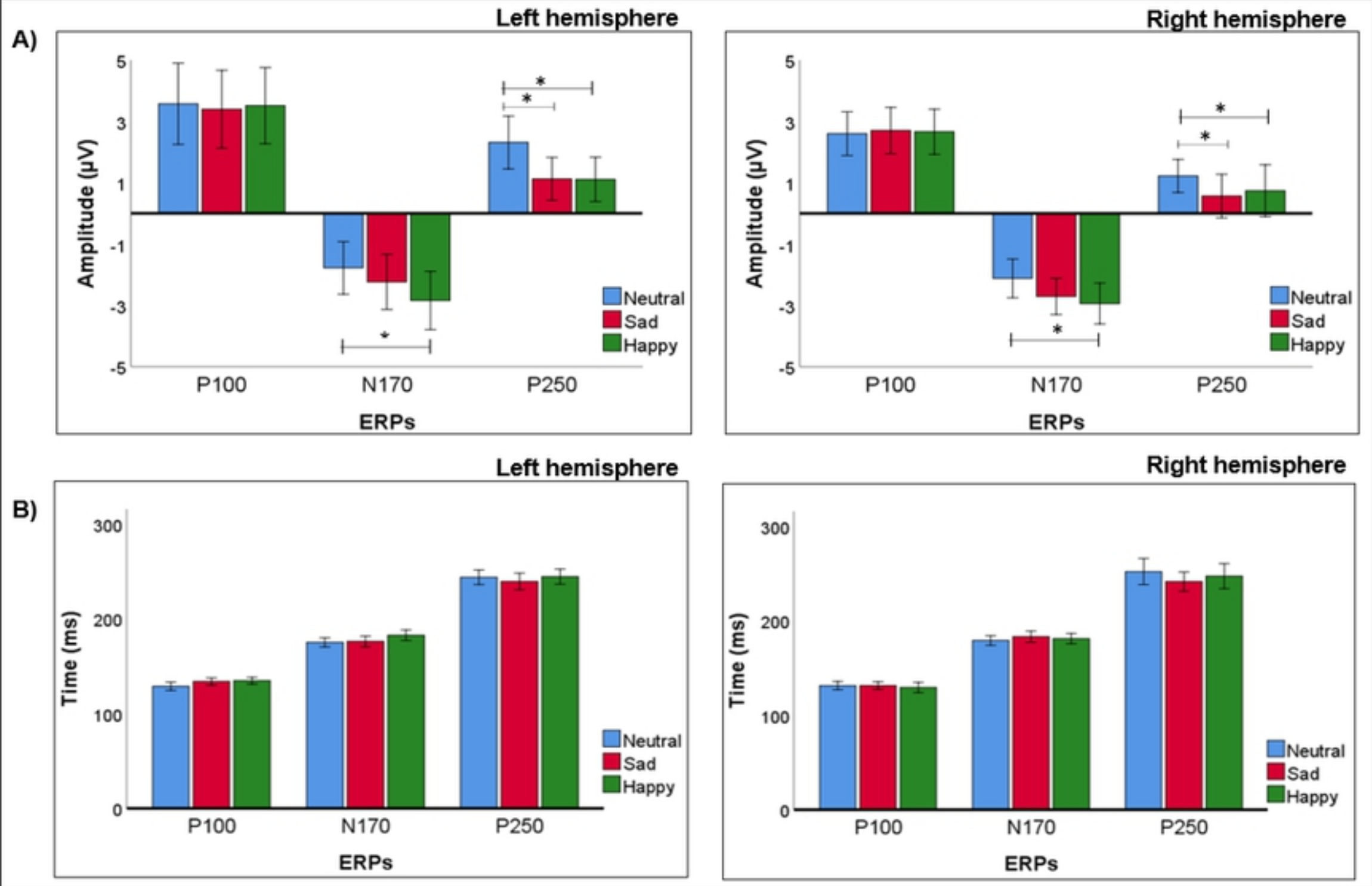
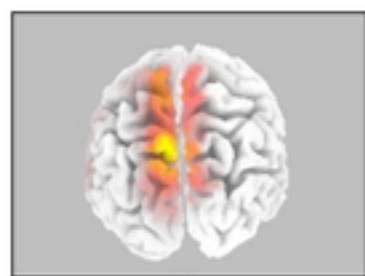


Figure 6

A)



B)



2.26

0

-2.26

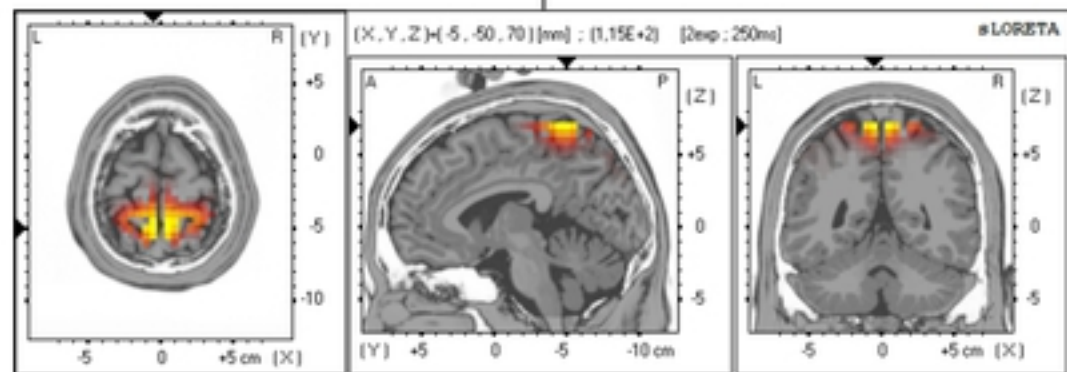
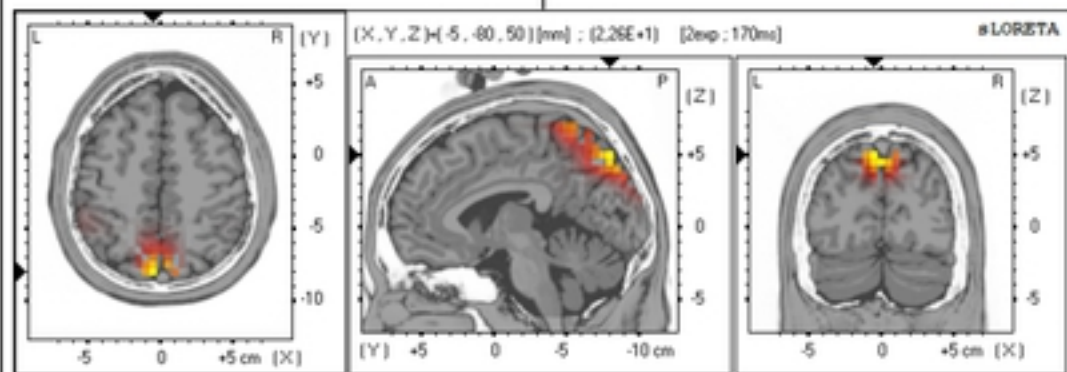


Figure 7