1	A positive role of task-deactivated default mode network in narrative
2	speech comprehension
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57 Abstract

Psychological theories have implicated an active role of the default mode network 58 59 (DMN) in natural speech comprehension. However, as listeners need to keep tracking the external audio streams, the DMN is regularly de-activated and anticorrelated with 60 externally-oriented networks. Such a pattern has been interpreted as the suppression of 61 the DMN to support externally-oriented cognitive processes. The current study aims to 62 resolve this seeming contradiction. Brain activities from a speaker telling 63 autobiographical stories and a group of participants (N = 62) listening to the recordings 64 were collected with fMRI. By analyzing the listeners' brains alone, we found the DMN 65 was deactivated during speech listening relative to a fixation period and anticorrelated 66 with the task-positive perisylvian language network (pLN). Dynamic Causal Modeling 67 showed the pLN had inhibitory influence on the DMN, whereas the DMN had 68 excitatory influence on the pLN. Further between-brain analyses revealed the activities 69 of DMN in the listener's brain were tightly coupled with the activities of the 70 homologous network in the speaker's brain. Significant interbrain couplings were also 71 observed in the pLN, but were weaker and faded quicker. Moreover, listeners showing 72 stronger coupling responses to the speaker in the DMN understood the speech better, 73 and tended to exhibit more positive DMN \rightarrow pLN effective connections. We conclude 74 that the DMN may occupy an internal system that works cooperatively with the 75 externally-oriented pLN to support narrative speech comprehension. 76

77 Keywords: default mode network, speech comprehension, neural coupling, fMRI

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80 1. Introduction

In neurolinguistic studies, verbal communication has been usually approached as a 81 signal transmission process, and the main attention has been paid to the neural 82 responses bound to and triggered by the occurrence of speech signals (Stolk et al., 83 2016b). Within this signal-centered framework, the crucial role of a set of frontal and 84 temporal regions (i.e., the perisylvian language network, pLN) in coding and decoding 85 the sensory-motor, syntactic and semantic properties of speech signals has been well 86 recognized. Nevertheless, in real-life situations, the speech signals we receive are 87 usually impoverished, degraded or ambiguous. Yet, we can still decipher the meaning 88 intended by a speaker accurately. By contrast, current state-of-art artificial agents, such 89 as Apple's Siri or Microsoft's Cortana, often make communicative errors despite they 90 91 have mastered the signal decoding rules. These observations indicate that successful 92 speech understanding demands more than signal decoding.

Indeed, psychological models have proposed that, in addition to the perceptual and 93 linguistic analyses, to successfully understand the speaker's mind during verbal 94 communication further requires the listener to integrate the signal's meaning with 95 his/her internal conceptual space and align his/her mental state with the speaker's 96 97 (Pickering & Garrod, 2006; Berwick et al., 2013; Wheatley et al., 2019). These internally-directed processes have been frequently linked to the default mode network 98 (DMN) (Spreng et al., 2008; Spreng & Grady, 2009; Li et al., 2014), an intrinsically 99 organized functional system composed of the posterior cingulate cortex, precuneus, 100

101 medial prefrontal cortex, and lateral parietal cortex (Marcus E. Raichle et al., 2001).

Despite the theoretical expectation, direct and convincing evidence for an active 102 103 role of the DMN in auditory language comprehension is still lacking. Instead, as auditory language processing requires the participants to keep tracking external audio 104 105 streams, the DMN has been repeatedly found to be deactivated relative to low-level baselines (Wilson et al., 2007; Szaflarski et al., 2012; Rodriguez Moreno et al., 2014; 106 Horowitz-Kraus et al., 2017; Cuevas et al., 2019), and anticorrelated with networks 107 dedicated to externally-oriented processes (including the pLN and attention network) 108 109 (Fox et al., 2005; Uddin et al., 2009; Smith et al., 2012). The task-induced deactivation and network anticorrelation seem to imply that the DMN was "suppressed" to support 110 externally-oriented cognitive activities (Anticevic et al., 2012; Gauffin et al., 2013; 111 Zhou et al., 2016). 112

By measuring the correlation of blood-oxygen-level-dependent (BOLD) signal 113 fluctuations across subjects (i.e., intersubject correlation, ISC) rather than the 114 115 magnitude of task-induced activation, several studies found significant ISC in the DMN 116 regions during narrative speech comprehension (Wilson et al., 2007; Lerner et al., 2011; Simony et al., 2016; Yeshurun et al., 2017), which was modulated by the coherence of 117 the stimulus' temporal structure over minutes of time (Lerner et al., 2011; Simony et 118 119 al., 2016) and the manipulation of story belief (Yeshurun et al., 2017). These results are considered to reflect the involvement of DMN in semantic and conceptual processing. 120 121 However, there is a possibility that the ISC (and its change) in the DMN might be an 122 epiphenomenon of task engagement. It is well known that the activities of DMN regions

are systematically modulated by task difficulty (Zwaan & Radvansky, 1998; McKiernan et al., 2003; Greicius & Menon, 2004; Humphreys et al., 2015). If subjects find the same part of the narrative more or less engaging, the DMN will be down- or up-regulated similarly across subjects, which would result in the correlation of brain signal fluctuations across subjects (Wilson et al., 2007).

The discrepancy between the theoretical prediction and empirical findings 128 regarding the role of DMN in auditory language comprehension may stem from two 129 methodological issues. First, by focusing on task-evoked brain activation or 130 131 deactivation, prior work has primarily captured the reflexive activity of the brain. However, it has been proposed that our brain activities are mainly intrinsic, involving 132 "information processing for interpreting, responding to and predicting environmental 133 134 demand" (M. E. Raichle, 2010). While analyzing task-evoked brain responses has been highly productive in delineating the functional role of pLN in linguistic processing, this 135 approach may not accurately capture the functional property of DMN. Second, in prior 136 137 work speech comprehension has been mainly analyzed as an independent process from speech production within the boundaries of individual brains. However, by recording 138 the brain activities from both speakers and listeners during natural verbal 139 communication (the "two-brain" approach), recent work has demonstrated that neural 140 141 activities across the listener and speaker are tightly coupled (Stephens et al., 2010; Jiang et al., 2012; Silbert et al., 2014; L. Liu et al., 2020). The listener-speaker neural coupling 142 143 is postulated to reflect the alignment between interlocutors at multiple levels of linguistic and extra-linguistic representations (Hasson & Frith, 2016; Schoot et al., 2016) 144

which forms the basis of mutual understanding (Pickering & Garrod, 2006; Hasson et 145 al., 2012; Stolk et al., 2016a). Thus, analyzing single individuals in isolation may fail 146 147 to achieve a complete picture of the functional role of DMN in speech understanding. To better illuminate the role of DMN in speech comprehension, we scanned 148 participants engaged in natural verbal communication and analyzed both response 149 profiles within the listeners' brains and neural couplings between the listeners and the 150 speaker. A group Independent Component Analysis (ICA) combined with a "dual-151 regression" technique was applied to detect the DMN and pLN in individual brains. 152 153 Replicating previous findings, we found task-induced negative activation in the DMN whereas positive activation in the pLN, and anticorrelation between the dynamics of 154 the two networks. However, further two-brain analyses revealed significant listener-155 156 speaker neural couplings in both the DMN and pLN, with longer response lag in the DMN than in the pLN. When communication failed or when the content of story was 157 not matched between the speaker and listener, the between-brain coupling in both the 158 159 DMN and pLN vanished. Moreover, the level of coupling strength in both the DMN and pLN could predict the degree to which the listeners understood and memorize the 160 speech. These results provide reliable evidence showing that the DMN plays an active 161 in language comprehension, which may contribute in a different way and support 162 163 distinct computations from that of the pLN.

164 2. Materials and Methods

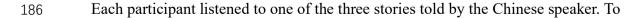
165 A schema depicting the experimental procedure and data analyses in this study is shown

in Figure 1.

167 **2.1 Participants and experimental procedure**

A total of 67 Chinese college students (aged 19-27 years) participated in this study, including one female speaker and 66 listeners (35 females). We also recruited a native Mongolian speaker (a female college student) to serve for a control condition. All participants were right-handed, and reported no history of physiological or mental disorder. None of these listeners had learned Mongolian. Written informed consent was obtained from all participants under a protocol approved by the Reviewer Board of Southwest University in China.

The experimental protocol was identical to that used in our previously published 175 study (L. Liu et al., 2020). We therefore only briefly described it here. In the first step, 176 we had the speakers told stories based on their personal experience (10 min for each) 177 while undergoing fMRI scanning. A noise-canceling microphone (FOMRI-III, 178 Optoacoustics Ltd., Or-Yehuda, Israel) was used to simultaneously record the speech. 179 180 After further off-line denoising by Adobe Audition 3.0 (Adobe Systems Inc., USA), the audio recordings were played back to each of the 66 listeners during scanning. A 181 common set of visual stimuli were presented to the speakers and listeners, consisting 182 183 of a fixation cross lasting for 20s and then an icon of a horn lasting until the end of the scanning run. The horn was designed to prompt the participant to start speaking or 184 listening immediately. 185



187 make the listeners attend to the stories, we informed them beforehand that a test about 188 the content of the story would be given after the scanning. To control for the effect of 189 low-level acoustic processing, we also played the story told by the Mongolian speaker 190 to each listener. Before the speech listening/speaking task, all participants underwent a 191 10-min resting-state scan during which their eyes were closed.

192 2.3 Behavioral assessment

To assess the degree to which the listeners understood the speech, we conducted an 193 interview with each listener at the end of the scan. In the interview, the listeners were 194 195 required first to retell the story with as much detail as possible. Next, experimenters asked the listeners several questions concerning the part of the contents not present in 196 their free recalls. Two independent raters then scored the listeners based on the audio 197 198 recordings of the interview. To make the scoring as objective as possible, we made a list of questions regarding important contents of the story (such as "what happened on 199 her way to the hotel"). The correct answers included several critical points covering 200 201 characters, places, time, and motivations et al. A score was given for each question on the list according to the information a listener provided about those key points. The 202 scores for all questions were then summed up and divided by the full score. There was 203 a high agreement between the assessments made by the two raters ($r_{(64)} = 0.80$, by 204 Pearson's correlation). The average score given by the two raters was used as the 205 measurement for speech comprehension. To account for a potential effect of memory 206 capacity on the story-retelling task, we measured participants' memory span using a 207

208 digit memory test (Wechsler, 1987). The number of digits correctly repeated forward209 and backward were used as two covariates for the following brain-behavior analysis.

210 **2.4 MRI acquisition and preprocessing**

211	Imaging data were collected with a 3T Siemens Trio scanner in the MRI Center of the
212	Southwest University of China. Functional images were acquired using a gradient echo-
213	planar imaging sequence with the following parameters: repetition time = 2000 ms,
214	echo time = 30 ms, flip angle = 90°, field of view = 220 mm ² , matrix size = 64×64 , 32
215	interleaved slice, voxel size = $3.44 \times 3.44 \times 3.99 \text{ mm}^3$. T1 structural images were
216	acquired using a MPRAGE sequence with the following parameters: repetition time =
217	2530 ms, echo time = 3.39 ms, flip angle = 7° , FOV = 256 mm ² , scan order = interleaved,

218 matrix size = 256×256 , and voxel size = $1.0 \times 1.0 \times 1.33$ mm³.

After discarding the first three volumes to allow for the equilibration of magnetic 219 fields, a total of 310 volumes were acquired in each run. The DPABI toolkit (Yan, Wang, 220 Zuo, & Zang, 2016) based on SPM12 (www.fil.ion.ucl.ac.uk/spm/) was utilized for 221 222 image preprocessing. The preprocessing steps included slice-timing correction, spatial realignment, co-registration to individual subject's anatomical images, normalization 223 to the Montreal Neurological Institute (MNI) space, resampling into a $3 \times 3 \times 3$ mm³ 224 voxel size, and smoothing (FWHM = 7mm). The resultant images were further 225 detrended, nuisance variable regressed, and high-pass filtered (1/128 Hz). The nuisance 226 regression included the removal of five principal components of white matter and 227 cerebrospinal fluid within individual subjects' T1 segmentation mask (Behzadi et al., 228

229 2007), as well as Friston's 24 motion parameters (including each of the six motion 230 parameters of the current and preceding volume, plus each of these values squared) 231 (Friston et al., 1996). The datasets of four participants listening to the Chinese story, 232 four participants listening to the Mongolian story, and two participants during the 233 resting state were discarded due to excessive head movement (more than 3mm or 3 234 degrees).

235 2.5 Data analysis

236 2.5.1 Group Independent Component Analysis and component selection

To detect the DMN and pLN, a group spatial ICA was conducted using Group ICA of 237 238 fMRI Toolbox (GIFT). The first 10 volumes corresponding to the 20s fixation were not included in this analysis. The dimensionality of data from each participant was first 239 240 reduced to 30 dimensions using principal component analysis. Next, the dimensionreduced data (including both the speaker and all listeners) were temporally 241 concatenated and a group dimension reduction was performed. Twenty independent 242 sources were generated with the ICA using the infomax algorithm. Finally, spatial maps 243 and associated time series for individual participants were reconstructed using 244 aggregate components and original data via spatial-temporal regression (Beckmann et 245 al., 2009). This dual-regression approach Since the spatial maps and time series of 246 247 components have arbitrary units after the back-reconstruction step, they were scaled using Z-scores. These component time series were used in the further within- and 248 between-brain connectivity analyses. A one-sample *t*-test was conducted on the scaled 249

250 maps to obtain the spatial distribution of each component.

251 The DMN and pLN were identified based on the spatial overlap of the component 252 map with the meta-analytic maps for the DMN (using a search term "default mode network") and the pLN (using a search term "language") generated by the Neurosynth 253 (https://neurosynth.org). For each component, its spatial map (i.e., the above *t*-statistic 254 map) was thresholded using a set of t-values (ranges from 2.5 to 5.5, with an increment 255 of 0.5) and then binarized. The degree of the spatial overlap between each binary 256 component map and the thresholded binary meta-analytic map was then quantified by 257 258 the widely-used Dice index (Dice, 1945), and the component showing the highest Dice score was selected. Upon identifying the components corresponding to the DMN and 259 pLN, we thresholded the *t*-statistic maps with a p < 0.05 (corrected for multiple 260 261 comparisons with family-wise error (FWE) correction) for visualization and making masks used in the following analysis. 262

263 2.5.2 BOLD signal change

To assess how the DMN and pLN responded to external stimulation, we calculated 264 percent changes in BOLD signal amplitudes during speech listening (the task) relative 265 266 to the 20s fixation period (the baseline). The BOLD signal changes were defined as [(*task-baseline*) / *baseline*], where *task* and *baseline* corresponded to the mean signal 267 amplitudes of time points within the task phase and the baseline phase, respectively. We 268 269 first extracted the BOLD signal change in every voxel across the whole brain and applied a voxel-wise *t*-test to assess whether the signal change differed from zero at the 270 group level. Next, two masks were created using the thresholded *t*-maps for the DMN 271

and pLN components obtained above, and a histogram of *t*-values from all voxels lying within each mask was generated. The histogram is used to reflect the overall distribution of BOLD signal change within the two networks. In addition to the descriptive analysis, we averaged the percent signal changes over all voxels within the mask, and performed a one-tailed *t*-test to determine whether the network activity was significantly increased (in the pLN) or decreased (in the DMN).

278 **2.5.3 Functional and effective connectivity analyses**

To understand how the DMN may contribute to speech comprehension, we examined how this network interacted with the well-understood pLN. To compare this study with most studies in the literature, we first examined the (undirected) functional connectivity between the DMN and pLN. For each participant, a Pearson's correlation coefficient between the time series of DMN and pLN was computed. Then a *t*-test was applied to assess whether the correlation coefficients differed significantly from zero at the group level.

Functional connectivities only capture the statistical dependencies between two 286 signals (Friston, 2011). To better understand the observed dependencies, we further 287 288 estimated effective connections (causal interactions) between the DMN and pLN using Dynamic Causal Modeling (DCM) toolbox in SPM12 (Friston, 2011). Three bilinear 289 models without an input entering the system were constructed for each participant. 290 291 Model 1 specified a connection from the pLN to DMN. Model 2 specified a connection from DMN to pLN. Model 3 specified bidirectional connections. All models included 292 recurrent connections of each network. Since the speech signals presented during the 293

task were continuous stimuli, we fitted models based on the frequency-domain cross-294 spectral density of the time series, which is a technique originally developed for the 295 296 modeling of resting-state fMRI data (Friston et al., 2014). Bayesian Model Selection (BMS) was used to identify the best-fitting model at the group level. Upon identifying 297 the optimal model, Bayesian Parameter Averaging (BPA) was applied to estimate 298 group-mean effective connectivity (EC) and to make statistical inference using 299 Bayesian Parameter Averaging (Neumann & Lohmann, 2003; Kasess et al., 2010; 300 Murta et al., 2012; Friston et al., 2014). In this method, individual subjects' parameters 301 302 were weighted by their precision to compute the mean across subjects.

303 2.5.4 Measurement for listener-speaker neural coupling

304 The above analyses had focused on neural activities within the listeners' brains. We next examined whether the activities of DMN and pLN were coupled across the listener 305 306 and speaker. Pearson's correlation coefficients between the ICA-derived network time 307 series from each listener's brain and the time series of the homologous network from the speaker's brain were calculated separately for the DMN and pLN. Previous work 308 has demonstrated that listeners need time to process the high-level information in 309 310 speech in order to get aligned with the speaker (Stephens et al., 2010; Kuhlen et al., 311 2012; Y. Liu et al., 2017; L. Liu et al., 2020). To account for this effect, we repeated the inter-brain correlation analysis by shifting the time series of listener's network activity 312 313 relative to that of the speaker's by 0-14s with 2s increments. At shift zero, the listener's network activity was time-locked to the speaker's vocalization. To assess the validity 314 of using ICA-derived component time series to measure listener-speaker neural 315

coupling, we also performed the inter-brain correlation analysis using voxel-wise timeseries (see supplementary material).

Two-tailed *t*-tests were used to assess whether the neural couplings differed significantly from zero at the group level. We also examined whether the listenerspeaker neural coupling would differ between the pLN and DMN by using a paired *t*test. Multiple comparisons were corrected with an FDR q = 0.05 using the Benjamini– Hochberg procedure (Benjamini & Hochberg, 1995).

323 2.5.5 Control analyses

324 To the best of our knowledge, this is the first study using network time series derived from group ICA to assess interbrain neural coupling. It is unclear whether the interbrain 325 network couplings arose merely because the data from both the speaker and listeners 326 were pooled together during the ICA procedure. To test this possibility, we conducted 327 the group ICA for the same participants during resting states and assessed network 328 correlations between the speaker and each listener. In addition, we tested whether the 329 interbrain couplings arose simply because the speaker and listener received the same 330 331 speech signals. For this purpose, listener-speaker network correlations were analyzed for the same participants listening to the Mongolian speaker telling a real-life story. 332 Finally, the listener-speaker neural coupling is assumed to reflect inter-brain alignment 333 at multiple levels of linguistic and conceptual representations (Menenti et al., 2012; 334 Schoot et al., 2016). However, interbrain coupling could also arise from a general effect 335 of arousal, focused attention, or memory shared by the listeners and the speaker. In 336

order to distinguish between the two possibilities, we examined the specificity of
listener-speaker neural coupling to story contents using a Support Vector Machine
(SVM) technique. Details for these analyses are provided in the supplementary material.

340

2.5.6 Brain-behavioral correlation

To determine the functional role of listener-speaker neural coupling in the DMN and 341 pLN, we examined the correlation between coupling strength and listeners' 342 comprehension scores. A Pearson's partial correlation analysis was applied, which 343 controlled for the potential effect of memory span. To assess the generalizability of the 344 brain-behavior relationship we may find, we further performed a within-data set cross-345 validation (Shen et al., 2017). Specifically, a linear regression model was built on the 346 347 data from all participants but one, taking listener-speaker neural couplings in both the DMN and pLN as two predictors and listener's comprehension score as the outcome. 348 349 Then the model was used to predict the comprehension score of the left-out participant 350 based on his or her neural coupling with the speaker in the DMN and pLN. With this leave-one-out procedure, we obtained a predicted score for every participant. To 351 quantitatively assess the predictive power of the model, a Pearson's correlation between 352 353 the predicted scores and actual scores was calculated as a test statistic. Since the null distribution associated with this test statistic is unknown, we performed a permutation 354 test (N = 1000) to determine whether the prediction (i.e., the correlation value) was 355 356 significantly better than expected by chance. In each permutation, we randomized the comprehension scores across the training sample and re-conducted the prediction. A p-357 value was calculated as the percentage of permutations that showed a lower r-value than 358

359 the actual r-value.

2.5.7 Analyses for potential associations of within-brain response profile with between-brain neural coupling

Finally, we explored whether the listener-speaker neural coupling was affected by (or had an effect on) the task-induced (de)activation and network interactions within listeners' brains. For this purpose, we examined the correlation between the strength of interbrain coupling (for the peaking time) and the averaged BOLD signal change within the network. In addition, we examined the correlation between the strength of interbrain neural coupling and the effective connectivity between the DMN and pLN. As exploratory analyses, results were not corrected for multiple companions.

369 **3. Results**

370 3.1 Behavioral results

For the story-recall task administered shortly after the scanning, participants scored on average 91.58 \pm 8.00%. There was no significant correlation between the comprehension score and the digit-memory span (p > 0.28). For the Mongolian story (the control condition), the interview showed that the participants had tried to comprehend the speech but all failed.

376 **3.2 ICA-based detection of the default mode network and the perisylvian language** 377 network

By measuring the spatial overlap of each ICA component with the meta-analytic maps 378 derived from the Neurosynth, we identified two components corresponding to the DMN 379 and pLN, which consistently showed the highest Dice score across a set of thresholds 380 among the 20 components resulted from the group ICA analysis. The DMN component 381 covered mainly the bilateral precuneus, inferior parietal lobe, and part of the medial 382 383 frontal gyrus (Fig. 2a). The pLN component covered mainly the bilateral superior and middle temporal, precentral, and inferior frontal gyrus, as well as the supplementary 384 motor area. Homologues of the two networks were found for participants listening to 385 386 the unintelligible Mongolian speech and at the resting state (Fig. S1).

387 **3.3 Opposite activation patterns between the DMN and pLN**

To assess task-induced (de)activations in the pLN and DMN, we measured percent 388 signal changes during speech listening relative to the fixation period preceding the task. 389 In agreement with previous findings, a large portion of voxels (67.8%) within the DMN 390 exhibited decreased activity, with the most significant effect found in the precuneus 391 (Fig. 2b). In comparison, most voxels (78.8%) within the pLN exhibited increased 392 393 activity, with the most significant effect shown in the bilateral temporal cortex. In line with the distribution pattern, the *t*-test on the BOLD signal change averaged across 394 voxels within the network showed a marginally significant effect of deactivation in the 395 396 DMN ($t_{(61)}$ = -1.56, one-tailed p = 0.06), and a quite significant effect of activation in

the pLN ($t_{(61)}$ = 7.09, one-tailed $p < 10^{-9}$) (Fig. 2b). These effects were more prominent 397 when the part of voxels overlapped between the two networks were not included in the 398 analysis (for DMN: $t_{(61)} = -2.01$, p = 0.024; for pLN: $t_{(61)} = 7.40$, $p < 10^{-9}$). 399 We note the baseline used to calculate the BOLD signal change might be too short. 400 To assess this effect, we compared this method with a conventional General Linear 401 Modeling (GLM) method which included longer baselines using an independent dataset. 402 The results showed that the activation and deactivation patterns obtained by the two 403 approaches were similar, with the one obtained from the GLM being statistically more 404 405 significant (Fig. S2). Thus, a relatively short baseline does not compromise the findings of opposite activation patterns between the DMN and pLN. 406

407 **3.4 Functional and effective connectivity between the DMN and pLN**

In line with previous findings (Uddin et al., 2009; Smith et al., 2012), the functional 408 connectivity analysis showed that the dynamics of DMN and pLN were significantly 409 anticorrelated over time (mean r = -0.13, $t_{(61)} = -6.90$, $p < 10^{-8}$) (Fig. 3a). We further 410 examined the effective connectivity (causal interactions) between the two networks 411 using DCM. The Bayesian Model Selection procedure reported the model with 412 bidirectional connectivity to be the best-fitting, with model exceedance probabilities 413 greater than 99.9%. The Bayesian Parameter Averaging produced a negative value of 414 415 group-mean connection from the pLN to the DMN (EC = -0.10, Bayesian probability =1.0), indicating that the activity of pLN had an inhibitory effect on the activity of 416 DMN. The value of group-mean connection from the DMN to the pLN was positive 417

418 (EC = 0.07, Bayesian probability = 1.0), indicating the excitatory influence of the 419 DMN's activity over the activity of pLN (Fig. 3b). By comparison, the connection 420 between the pLN and DMN in both directions were negative when comprehension 421 failed (pLN \rightarrow DMN: -0.020; DMN \rightarrow pLN: -0.0002) or when participants were at 422 resting states (pLN \rightarrow DMN: -0.005; DMN \rightarrow pLN: -0.011).

423 **3.5 Listener-speaker neural coupling in the DMN and pLN**

The task-negative activity and the anticorrelation with the pLN derived from the above 424 within-brain analyses seem to indicate a negative role of the DMN in speech 425 comprehension. However, the between-brain analyses revealed that the network 426 dynamics of DMN in the listener's brain were significantly coupled (temporally 427 correlated) with the dynamics of the homologous network in the speaker's brain (Fig. 428 3A). We also found significant listener-speaker neural coupling in the pLN. The 429 temporal profile differed between the two networks: in the pLN, the listener's network 430 activity lagged the speaker's network activity by 0-8s and peaked at a lag of 4s; in the 431 DMN, the listener's activity lagged by 2-12s and peaked at a lag of 6s. Overall, the 432 listener-speaker neural coupling in the DMN was stronger than that in the pLN. An 433 exception is at lag 0s, wherein listener-speaker neural coupling in the pLN was 434 significantly stronger than that in the DMN. A similar pattern was obtained from the 435 436 analysis based on inter-brain voxel-to-voxel correlation (Fig. 4a and Fig.S3).

A SVM classifier which took the interbrain couplings in the DMN and pLN as two
features was built to identify which story (out of three) told by the Chinese speaker was

played to each listener. The SVM classifier achieved an average accuracy of 89.25% 439 (sensitivity: 77.42%, specificity: 95.16%), which was higher than the best performance 440 (70.97%) of the 1000 permutations. The area under the receiver-operating characteristic 441 (ROC) curve was 0.90, demonstrating that the model discriminated well between the 442 content-matched and -unmatched pairs (Fig. 4b). These results suggest that the 443 interbrain couplings likely arose from semantic and conceptual representations shared 444 by the speaker and listeners, rather than from joint attention, arousal or working 445 memory processes. 446

447 When communication failed or when participants were at the resting state, no significant neural coupling between the listener and speaker was found in either the 448 DMN or pLN (Fig. 4c). This result suggests that the coupling of network dynamics was 449 450 not driven by a potentially shared acoustic representation between the listener and speaker. It also mitigates a methodological concern that the network time series 451 correlated between the listeners and the speaker was because that the data from both 452 453 sides had been pooled together to identify the network component during the group ICA analysis. 454

455 **3.6 Listener-speaker neural coupling predicts speech comprehension**

To determine the behavioral significance of listener-speaker neural coupling, we examined its correlation with the listener's level of speech understanding. A significant positive correlation was found between the comprehension score and the strength of the listener's neural coupling with the speaker in the DMN (partial $r_{(60)} = 0.32$, p = 0.012,

460 with memory span regressed out). There was also a positive correlation between 461 coupling strength in the pLN and comprehension scores (partial $r_{(60)} = 0.31$, p = 0.015, 462 with memory span regressed out) (Fig. 5).

A leave-one-out cross-validation procedure was performed to evaluate the 463 generalizability of the brain-behavioral relation. In each fold of cross-validation, a 464 regression model was built which took the listener-speaker neural coupling in both the 465 DMN and pLN as inputs and generated predictions of comprehension scores in a novel 466 participant. The predicted scores were positively correlated with actual scores, with 467 468 predictive power significantly better than the results from 1000 iterations of permutations testing ($r_{(60)} = 0.29$, p = 0.009) (Fig. 5). The predictive power was better 469 than using the data of DMN or pLN alone (DMN: r = 0.18; pLN: r = 0.23). These 470 471 findings suggest both the DMN and pLN contribute to speech comprehension.

472 **3.7** Covarying of within-brain connectivity and between-brain neural coupling

The analyses exploring the potential association of within-brain BOLD signal changes 473 with between-brain couplings showed no significant results for either the DMN or pLN 474 (both p > 0.1), suggesting interbrain neural alignments were (partly) independent from 475 within-brain neural responses to external stimulation. Interestingly, we found that the 476 $DMN \rightarrow pLN$ effective connection within the listeners' brains tended to correlate 477 positively with the listener-speaker neural couplings in the DMN ($r_{(60)} = 0.245$, p = 478 0.055, by Pearson's correlation). In addition, the increase of the DMN \rightarrow pLN 479 connection during successful speech comprehension relative to the failed condition also 480

481	positively correlated with the increase in listener-speaker neural coupling in the DMN
482	$(r_{(57)} = 0.26, p = 0.047)$ (Fig. 6). These results may reflect the top-down modulation of
483	higher-level conceptual processing implemented by the DMN on lower-level signal-
484	decoding processes implemented by the pLN. No significant correlation was found
485	between the pLN \rightarrow DMN connection and listener-speaker neural coupling in the pLN
486	(both $p > 0.1$).

487 4. Discussion

In this study, we investigated the functional properties of DMN during natural verbal 488 communication and examined both within-brain response profiles and between-brain 489 490 interactions. Replicating previous findings (Wirth et al., 2011; Rodriguez Moreno et al., 2014; Humphreys et al., 2015; Jackson et al., 2019), most DMN areas in the listener's 491 brain exhibited reduced activities during speech comprehension relative to the low-492 493 level baseline, and the network dynamics of DMN were anticorrelated with the taskpositive pLN. Dynamic Causal Modeling showed the pLN had inhibitory influence on 494 the DMN, whereas the DMN had excitatory influence on the pLN. Further two-brain 495 analyses revealed the activities of the DMN in the listener's brain were tightly coupled 496 with the activities of homologous network in the speaker's brain. Significant listener-497 speaker neural coupling was also observed in the pLN, but was weaker and peaked 498 earlier than that in the DMN. Notably, the interbrain network couplings were not driven 499 by low-level perceptual processing, neither by general attention or memory processes: 500 when communication failed, the interbrain coupling vanished; moreover, the coupling 501

502 pattern can be used to discriminate which story (out of three) was processed by the 503 listener with a high accuracy. Finally, the strength of inter-brain neural coupling in both 504 the DMN and pLN predicted the degree to which listeners comprehended and 505 memorized the speech.

506 Currently, whether and how the DMN contributes to auditory language comprehension remains elusive. To explore the potential role of DMN in language 507 processing, existing studies primarily rely on the manipulation of task stimuli or 508 demands to induce changes in neural activities within individual brains. However, the 509 510 DMN seems to be only weakly modulated by the task-related change, or even deactivated during task processing relative to low-level baselines. Several studies 511 measured the similarity (temporal correlations) of brain activities across subjects 512 513 receiving the same language inputs, and observed significant ISC and task-induced changes of ISC in the DMN (Wilson et al., 2007; Simony et al., 2016; Yeshurun et al., 514 515 2017). These findings are suggested to reflect the active role of DMN in the semantic 516 and conceptual processing of language. However, the ISC could also arise from the shared level of task engagement across subjects. In this study, by examining participants 517 in communication rather than in isolation, we found significant listener-speaker neural 518 coupling in the DMN and a positive correlation of this coupling with behavioral 519 520 measurements. These findings suggest the information represented in the DMN was aligned between the listener and speaker, which facilitated information transfer from 521 522 the speaker to the listener. Notably, the inter-brain coupling was unlikely an epiphenomenon of task engagement, since the level of task engagement was not 523

524 equivalent across the speaker and listener over the course of communication. For 525 instance, when encountering difficulties in lexical retrieval or organizing thoughts, the 526 speaker would articulate slower than usual; however, for the listener, the slowly-527 presented utterances are easier to comprehend.

528 While most DMN areas exhibited reduced activities during speech listening relative to the fixation period, most pLN areas showed increased activities. Moreover, the 529 dynamics of the two networks were anticorrelated. Taking the perspective from non-530 language studies, such a pattern seems to indicate the DMN's activity interferes with 531 532 the ongoing speech processing and needs to be suppressed in order to support the externally-directed cognitive processes (Anticevic et al., 2012; Gauffin et al., 2013; 533 Zhou et al., 2016). However, further two-brain analyses indicate a division of labor 534 rather than simply an antagonistic relationship between the DMN and the pLN. Like 535 the DMN, the activities in the pLN were coupled across the listener and speaker, and 536 the interbrain coupling was also positively correlated with speech comprehension. 537 538 Notably, the strength and temporal profile of inter-brain coupling differed between the two networks. In the pLN, listeners' coupling responses to the speaker first occurred 539 without a temporal lag (i.e., time-locked to the speaker's vocalization) and then reached 540 the peak at a lag of 4s; in the DMN, the coupling responses first occurred at a lag of 2s 541 542 and reached the peak at a lag of 6s. Similarly delayed coupling responses in the listener's brain to the speaker's brain have been reported in previous studies applying 543 544 fNIRS (Y. Liu et al., 2017), EEG (Kuhlen et al., 2012; Perez et al., 2017) and fMRI (Stephens et al., 2010; Silbert et al., 2014) techniques. The response lag is likely related 545

to the hierarchical nature of language production and comprehension. During natural 546 communication, the speaker develops a concept or message, retrieves the lexical-547 548 syntactic forms, organizes them into sentences based on grammar principles, and finally produce utterances; the listener analyzes the sounds, maps utterances into meaning, 549 550 integrates words into sentences, and builds a situation model. The high-level information takes relatively long time for the speaker to produce and for the listener to 551 comprehend, which may result in the delay in interbrain coupling. The differences in 552 the response lag thus suggest the DMN was dominantly involved in the processing of 553 554 high-level information integrated over a larger timescale (about 2-12s), while the pLN was involved in processing lower-level information integrated over a shorter timescale 555 (about 0-8s). We also observed the overall interbrain couplings in the DMN were 556 stronger than in the pLN. This may because the listener and speaker obtained greater 557 alignments on high-level representations than on low-level representations (such as 558 auditory-motor information). 559

560 The proposed division of labor between the DMN and pLN is in line with an influential theory proposed by Berwick and colleagues (Berwick et al., 2013). 561 According to this theory, the basic design for language faculty is composed of a basic 562 language system that represents syntactic rules and lexical items, a sensory-motor 563 564 system that connects mental expressions generated by the basic language system to the external world, and an internal conceptual-intentional system which connects these 565 566 mental expressions to activities in the internalized mental world. Based on the results from this study and prior knowledge about the DMN under resting states, we speculate 567

the DMN likely occupies (part of) the internal conceptual-intentional system, and the 568 pLN occupies (part of) the systems for linguistic representation and sensory-motor 569 570 conversion. This internal versus external functional division would explain the opposite response profiles of the DMN and pLN to external stimulations while both networks 571 572 play an important role in language comprehension. If the assumption that DMN occupies the internal conceptual-intentional system holds, it would call for a re-573 interpretation of the activity of DMN in several circumstances. For instance, the 574 overactivation of DMN in older adults during task processing may unnecessarily reflect 575 576 a failure to suppress task-unrelated thoughts, but may reflect a shift from a cognitive style dominated by externally-directed processing to a style with more engagement of 577 internally-directed processing. 578

579 To conclude, this study revealed that, despite being deactivated and anticorrelated with the task-positive pLN, the DMN plays an active role in narrative speech 580 comprehension, as demonstrated by the tight listener-speaker neural coupling in this 581 582 network and the positive correlation of network coupling with speech comprehension. We infer the DMN may occupy an internal conceptual system that works cooperatively 583 with the externally-oriented pLN to subserve language comprehension. These findings 584 shed new light on the functional property of DMN during task processing and extend 585 586 our understanding about how language is processed in the brain. This study also highlights the importance of taking the two-brain approach to gain a more complete 587 588 picture of the brain.

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- 597 Supervision; Lanfang Liu: Conceptualization, Formal analysis, Writing-Original Draft,
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- 599 Hehui Li, Yuxuan Zhang, Jiang Qiu & Chunming Lu: Conceptualization, Writing -
- 600 Review & Editing.

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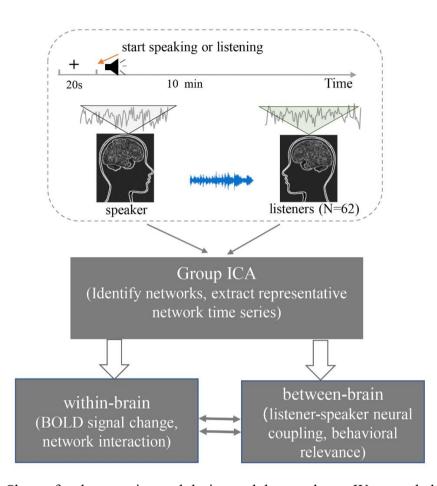
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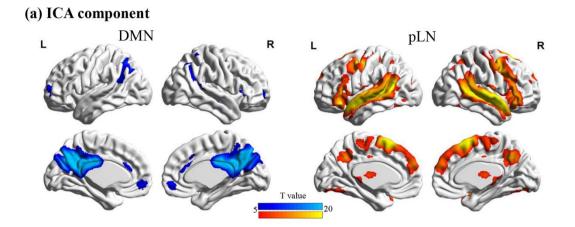
781 6. Figures and captions



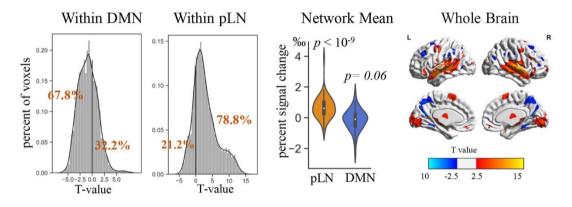
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783 Figure 1. Shema for the experimental design and data analyses. We recorded a speaker telling real-life stories during fMRI scanning, and then played the recording to a group 784 of listeners during scanning. A group ICA was conducted on the pooled data to detect 785 the default mode network (DMN) and perisylvian language network (pLN). We first 786 examined BOLD signal changes and network interactions within single brains. Next, 787 we examined neural couplings between the speaker and each listener. Finally, we 788 explored whether the between-brain coupling was affected by (or had an effect on) the 789 task-induced (de)activation and network interactions within the listeners' brains. 790

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(b) BOLD signal change

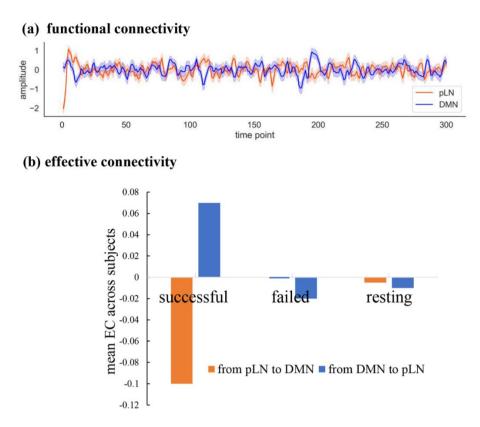


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Figure 2. The default mode network (DMN) and the perisylvian language network (pLN) 797 and their activation patterns. (a). The spatial distribution of the DMN and the pLN 798 identified by the group ICA. Presented are the *t*-statistic maps thresholded at p < 0.05799 using FWE correction. (b). Task-induced activations in the pLN and deactivations in 800 the DMN, assessed by percent signal changes from the baseline (a fixation period) to 801 the speech listening task. The histogram presents the distribution of *t*-values across all 802 803 voxels within the network. The violin plot shows the distribution of percent signal change averaged over voxels within the network. The whole-brain analysis shows that 804 significantly activated and deactivated voxels mainly fall into the pLN areas and DMN 805 areas, respectively. 806

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Figure 3. Within-brain functional and effective connectivity between the DMN and 812 pLN. (a) Anticorrelation between the dynamics of DMN and pLN. The plot shows the 813 mean and 95% confidence interval (shaded area) of network time series across 62 814 participants. (b) Effective connectivity between the two networks revealed by Dynamic 815 Causal Modeling. During successful speech comprehension, there was a negative 816 (indicating inhibitory) connection from the pLN to the DMN, but a positive (indicating 817 excitatory) connection from the DMN to the pLN. This excitatory effect was absent 818 when comprehension failed or when participants were at rest. 819

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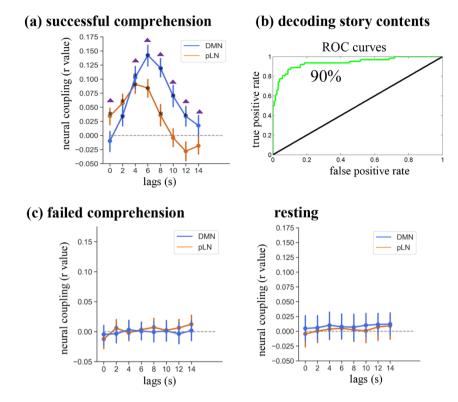


Figure 4. Neural couplings between the listener and speaker as a function of temporal lags. (a) During successful communication, the listener's network activity was coupled with the speaker's network activity with several delays. Note, the coupling in DMN was stronger than that in the pLN (except for the zero-lag activity). (b) The coupling pattern of the two networks can be used to decode which story (out of three) was played to the listener. (c) When listeners could not understand the speaker or were at a resting state, the interbrain coupling vanished. Plotted are the mean and 95% confidence interval of the neural couplings. The asterisk denotes significant differences from zero (by one-sample *t*-tests); the triangle denotes significant differences between the DMN and pLN (by paired *t*-tests).

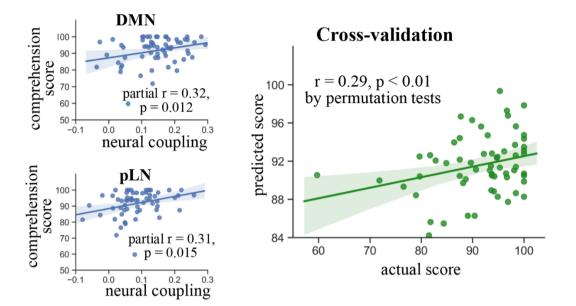


Figure 5. The strength of listener-speaker neural coupling predicts speech comprehension. Left: Correlations between speech understanding and listener-speaker neural couplings in the DMN and pLN, with memory capacity controlled. Right: Cross-validation for the brain-behavior relationship using a level-one-out procedure. In each fold of cross-validation, a regression model was built based on the data from all participants (N = 62) but one. The model was then used to predict the comprehension scores for the left-out participant based on his/her neural couplings with the speaker in the DMN and pLN.



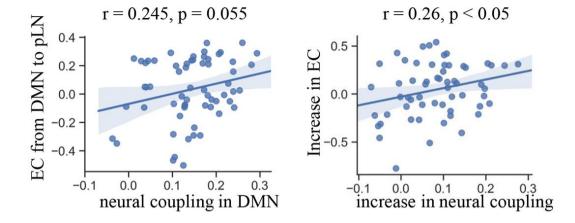


Figure 6. Within-brain effective connection covaries with between-brain neural coupling. Listeners more tightly coupled with the speaker in the DMN tended to exhibit more positive DMN \rightarrow pLN effective connections. Besides, the increase in DMN coupling during successful relative to failed speech comprehension was positively correlated with the increase in DMN \rightarrow pLN connection.