

1 **Dynamic neurogenomic responses to social interactions and**
2 **dominance outcomes in female paper wasps**

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16

17 **ABSTRACT**

18

19 Social interactions have large effects on individual physiology and fitness. In the immediate
20 sense, social stimuli are often highly salient and engaging. Over longer time scales, competitive
21 interactions often lead to distinct social ranks and differences in physiology and behavior.

22 Understanding how initial responses lead to longer-term effects of social interactions requires
23 examining the changes in responses over time. Here we examined the effects of social
24 interactions on transcriptomic signatures at two points, at the end of a 45-minute interaction and
25 4 hours later, in female *Polistes fuscatus* paper wasp foundresses. Female *P. fuscatus* have
26 variable facial patterns that are used for visual individual recognition, so we separately
27 examined the transcriptional dynamics in the optic lobe and the central brain. Results
28 demonstrate much stronger transcriptional responses to social interactions in the central brain
29 compared to the optic lobe. Differentially regulated genes in response to social interactions are
30 enriched for memory-related transcripts. Comparisons between winners and losers of the
31 encounters revealed similar overall transcriptional profiles at the end of an interaction, which
32 significantly diverged over the course of 4 hours, with losers showing changes in expression
33 levels of genes associated with aggression and reproduction in paper wasps. On nests,
34 subordinate foundresses are less aggressive, do more foraging and lay fewer eggs compared to
35 dominant foundresses and we find losers shift expression of many genes, including vitellogenin,
36 related to aggression, worker behavior, and reproduction within hours of losing an encounter.
37 These results highlight the early neurogenomic changes that likely contribute to behavioral and
38 physiological effects of social status changes in a social insect.

39

40 **Keywords**

41 dominance, sociobiology, social insect, winner-loser effects, individual face recognition, learning
42 and memory

43 INTRODUCTION

44

45 Social interactions can give rise to a range of immediate as well as long-lasting effects on
46 behavior and physiology¹⁻⁴. Regardless of the nature of the interaction or the outcome, social
47 experiences are expected to have a number of shared effects on the physiology of those
48 involved. Processing social information may depend on multiple cues or signals, which may be
49 processed by generalized or social-specific cognitive mechanisms⁵. In addition to social
50 information processing, interactions can increase rates of activity and movement, especially in
51 relation to courting or fighting^{2,6}. Longer-term consequences of social interactions depend on the
52 nature and outcome of the encounters. Cooperative interactions can lead to benefits for multiple
53 individuals as well as physiological responses that aid in reinforcing social bonds. Competitive
54 interactions, in contrast, often lead to divergent outcomes for individuals –i.e., a winner and
55 loser. Winning versus losing typically cause different physiological and behavioral responses⁷⁻
56 ¹³. Over repeated interactions, this can lead to profound differences in behavior, physiology, life
57 expectancy, and fitness^{4,14-17}.

58

59 How are social outcomes translated into physiological changes? Ultimately, the answer to this
60 question lies at the intersection of the neural circuits that process information as well as the
61 resulting neurogenomic shifts, i.e., the changes in patterns of brain gene expression, that
62 accompany social challenges. In recent years there has been a growing number of gene
63 expression studies examining the neurogenomic responses to social interactions across a range
64 of taxa including honeybees, mice and sticklebacks^{6,18,19}. In a broad sense, social interactions
65 are expected to engage similar brain circuits across individuals. For example, in vertebrates
66 these brain regions have largely been conserved across 450 million years of evolution²⁰.
67 Indeed, at the level of neural firing patterns, social interactions give rise to similar patterns of
68 neural activity in bats and mice^{21,22}. While a similar network has not been identified across
69 insects, we might reasonably expect members of the same species to engage similar brain
70 regions and likely have similar initial neurogenomic responses to social interactions as well.

71

72 Divergent social outcomes lead to different physiological responses, which may be initiated by
73 differences in neurogenomic responses shortly following an interaction. There have also been
74 studies examining the effects of winning and losing rather than simply the response to challenge
75 *per se*. In zebrafish, socially driven transcriptional changes require individuals to assess the
76 outcome of the interaction²³ (i.e., did they win or lose). In sub-social carpenter bees, repeatedly
77 winning or losing staged contests gives rise to distinct neurogenomic profiles^{11,24}. In the ant
78 *Harpegnathos saltator*, workers compete for reproductive openings upon the removal of the
79 queen and within a few days individuals have divergent neurogenomic profiles depending on
80 their trajectory toward either staying as a worker or becoming a reproductive gamergate²⁵.
81 Similar divergence in social behavior and neurogenomic profiles are seen among *Polistes*
82 *dominula* paper wasp workers upon queen removal^{26,27}. Collectively, these studies demonstrate
83 that social interactions can have immediate effects and that repeated interactions can have
84 longer-term consequences for patterns of transcription in the brain that differ for winners and
85 losers or higher- versus lower-ranking individuals. Understanding how transcriptional patterns
86 change over time in response to different social interactions and across different taxa will help
87 us to more clearly link social outcomes to short and long-term physiological changes.

88

89 Understanding the dynamic changes that occur between initial responses and subsequent
90 divergence between winners and losers will help link these two areas of research. Studies
91 examining the temporal dynamics of transcriptional responses to social challenge in stickleback
92 and mice over the course of a few hours^{18,19} highlight the transient and dynamic nature of
93 transcriptional responses. Detailed work on the early transcriptional responses to fighting

94 between pairs of male beta fish demonstrates that fighting individuals have shared
95 transcriptomic responses within the first hour after fighting²⁸. Though the studies mentioned
96 above have looked at dynamic responses to a social challenge from territorial or nest intrusions
97 or more established winner-loser effects, the dynamics by which interacting individuals develop
98 divergent transcriptomic responses over the course of a few hours has received less attention.
99

100 Here we examine the dynamic neurogenomic responses to social interactions in female *Polistes*
101 *fuscatus* paper wasp foundresses over the course of four hours following a staged social
102 interaction. Paper wasps are primitively eusocial insects in which females found new nests each
103 spring after overwintering²⁹. Social interactions among paper wasp foundresses lead to
104 profound physiological differences between dominants and subordinates. Nests are initiated by
105 a single foundress or small groups of foundresses, who form an aggression-based dominance
106 hierarchy, which determines the extent of work and egg-laying^{30,31}. Polistine foundresses have
107 aggressive interactions in both the pre-nesting stage as well as on the nests, where they
108 interact aggressively with co-foundresses as well as occasional usurpers³²⁻³⁵. Wasps also
109 reliably show aggression to other individuals in neutral arenas, providing a convenient method
110 for studying the effects of aggression in a controlled setting³⁶⁻³⁸. Previous work has shown that
111 *Polistes* foundresses respond rapidly to aggressive encounters by modulating juvenile
112 hormone¹³, though genome-wide transcriptomic responses have yet to be examined
113 immediately following aggressive interactions. In established co-foundress associations,
114 dominant and subordinate foundresses show differential expression of genes associated with
115 aggressive behavior³⁹. By comparing the temporal shifts in gene expression between winners
116 and losers, we can potentially identify genes that are associated with the early stages of
117 dominance hierarchy formation in paper wasps, as well as generate more general insights into
118 the neurogenomic processes by which social interactions lead to divergence in behavior and
119 physiology.

120
121 The neurogenomic responses to social interactions in *P. fuscatus* are also of interest because
122 this species recognizes individuals based on variable facial features^{5,40}. Individual recognition
123 appears to mediate dominance interactions among groups in the lab and on natural nests^{37,40}.
124 Individual recognition is not present in other closely related species of paper wasps^{5,41},
125 suggesting the trait has evolved relatively recently⁴². Neurogenomic responses to operant
126 conditioning related to face-learning have been previously studied⁴³, but their neurogenomic
127 responses to social interactions have not been investigated. Wasps are known to form long-
128 term memories of those they have interacted with⁴⁴, so examination of neural transcriptomes a
129 few hours after the interaction has the potential to reveal insights into the neurogenomic
130 responses related to social memory, as long-term memory formation occurs hours after initial
131 learning has occurred⁴⁵. Given the importance of vision in social interactions for this species, we
132 examined the effects of social interaction on the optic lobe as well as the central brain (Fig 1a,
133 hereafter 'optic lobe' and 'brain').

134
135 We designed an experiment to examine the dynamic neurogenomic responses shortly after
136 social interactions in the optic lobe and non-visual brain (Fig 1a). Wasps were filmed in a neutral
137 arena while paired with another weight-matched individual or alone. To better understand the
138 temporal dynamics of neurogenomic responses in the hours following a social interaction, we
139 looked at transcriptomes at two time points: immediately following a 45-minute interaction and
140 after 4 hours of separation back in the wasps' original housing containers (Fig 1a). In the
141 grander scheme of paper wasp dominance relationships, both of these timepoints are very early
142 in the time course over which a dominance hierarchy would be formed. For ease of
143 distinguishing between the samples we refer to those taken immediately at the end of a 45-
144 minute interaction as 'early' and those at 4 hours as 'late'.

145
146 Using the RNAseq data from paper wasp foundresses, we address multiple questions. (1) How
147 does the magnitude of neurogenomic responses differ between peripheral and central
148 processing? To the extent that responses are driven by the processing of social outcomes
149 rather than simply response to social stimuli, we may expect larger and or more dynamic
150 changes in more central compared to peripheral brain regions. (2) Given that paper wasps learn
151 and remember the identities of wasps they interact with⁴⁴, is there a detectable neurogenomic
152 signature related to memory in paper wasps following interactions? (3) How does social
153 outcome influence the dynamics of neurogenomic responses over the course of a few hours?
154 Recent studies suggest similar neural responses among individual during or right after social
155 interactions^{21,22,28}, whereas others demonstrate divergent outcomes over the course of
156 days^{11,24,25,27}. Therefore, we may predict that initial neurogenomic responses will be more similar
157 immediately following social interactions and that winners and losers will diverge
158 transcriptionally over time.

159 160 **RESULTS AND DISCUSSION**

161 162 **Social interactions generate stronger and more dynamic neurogenomic responses in the** 163 **central brain compared to optic lobe**

164 We first compared RNAseq data from 139 samples in DESeq2 with a model that included tissue
165 (optic lobe or central brain), whether or not wasps had been placed in a social or control trial,
166 and time of sacrifice as separate categorical main factors. Optic lobes and the brain show
167 distinct transcriptional profiles that are well-separated in a PCA (Fig 1c). We identified 4937
168 differentially expressed genes (DEGs) between the brain and optic lobes consistent with
169 different cellular compositions between the two tissues. Time of sacrifice showed a minor effect
170 on overall patterns of gene expression with 73 DEGs. In contrast, social experience had a more
171 pronounced effect on patterns of gene expression, with 742 DEGs (Fig S1). Furthermore, social
172 and non-social samples are better separated in principal component space among brain
173 samples compared to optic lobe (Fig 1b). Though social and nonsocial central brain samples
174 are differentiated along PC2 (ANOVA, $F_{1,64} = 4.75$, $P = 0.033$), the groups do not form two
175 distinct clusters as has been found in other transcriptomic studies related to social behavior in
176 other species (e.g. Vu et al. 2020). The behavioral paradigm used in this study mirrors other lab
177 studies of social behavior and cognition in *P. fuscatus* that examined encounters in a neutral
178 arena and detect variable amounts of aggression^{41,44,46}, though is likely to be a less extreme
179 social experience compared to paradigms that challenge individuals in their nest or home cage
180 and or otherwise produce strong fighting responses used in other behavioral transcriptomic
181 studies^{6,18,19,28}. Although the social experiences in our trials were comparatively mild, we
182 nevertheless detect hundreds of differentially expressed genes in response to social
183 interactions.

184
185 We next considered a model comparing each group based on brain region, time and social
186 experience as a single combined factor (e.g., brain_early_social v. brain_early_nonsocial).
187 Consistent with visual separation in the PCA (Fig 1b), the comparisons reveal a stronger effect
188 of social interactions on the brain compared to the optic lobe (Fig 2a). The results are
189 qualitatively similar when examining the effects of social experience and time on brain and optic
190 datasets separately (Fig 2b-c, Table S2).

191
192 These data add to a growing body of literature documenting the changes in brain transcriptomic
193 profiles in response to social behavior^{2,6,11,19,24,28}. Consistent with those studies, we find
194 hundreds of genes that are differentially regulated in some comparisons. The neurogenomic
195 effects of social interaction are detectable at both the earlier (at the end of a 45-minute

196 interaction) and later (4 hours following the interaction) time points, but the evidence for
197 differential gene expression between social and nonsocial individuals is strongest shortly
198 following an interaction (Fig 2a). The transcriptomic signatures measured right after the
199 interaction represent a combination of immediate responses to social stimuli and interactions as
200 well as some of the initial downstream physiological responses to social behavior. In contrast, at
201 the 4 hour timepoint individuals had been removed from social interactions for a period of time
202 so socially regulated genes at this later timepoint reflect downstream consequence of social
203 interactions³. The increased number of differentially expressed genes at the earlier timepoint
204 may reflect the engagement of a broad set of neural circuits and gene-networks during social
205 interactions. Conversely, the decrease in differential expression over time could also reflect
206 divergent response to social outcomes from winners and losers, such that there is more 'noise'
207 in the transcriptomic signatures of the wasps with recent social experience after a few hours
208 (see below for follow up analyses).

209
210 There is a growing literature demonstrating that sensory system tuning and function is more
211 dynamic and plastic than has been previously appreciated⁴⁷⁻⁵¹. Though examples of sensory
212 plasticity are often developmental shifts in response to predictable cues such as season or
213 reproductive state, there is also evidence that individuals' sensory systems respond to their
214 physical environment⁵². We examined the responses of optic lobes to social interactions in
215 paper wasps and found modest evidence of differential expression 4 hours after social
216 interactions (Fig 2a). Among the differentially expressed genes include a dopamine transporter
217 and a major royal jelly protein, which are both downregulated in the 4-hour time point in social
218 compared to nonsocial wasps, suggesting the possibility for modulatory effects on the visual
219 system following social interactions. It is possible that longer-term exposure to social interaction
220 or isolation could have more dramatic effects on visual systems. Indeed, social experience
221 during development is required for individual recognition in *P. fuscatus*⁵³.

222 **Socially responsive genes are enriched for memory-related functions**

223 We identified 61 overrepresented GO terms ($P < 0.01$) among the 742 social DEGs in the full
224 model with brain region, social experience, and sampling time as separate categorical factors.
225 Many of the GO terms deal with membrane transport, calcium signaling, synaptic transmission
226 or behaviors, which are to be expected given that we analyzed a neurogenomic dataset related
227 to adult behavior (Table S3). A number of the enriched categories, however, suggest other
228 neurogenomic processes supporting social behavior in *Polistes* wasps. For example, genes
229 annotated as being involved in cholinergic synaptic transmission are overrepresented among
230 socially responsive genes (GO:0007271, $P = 0.0015$), suggesting that cholinergic neurons may
231 play a role in the aggressive encounters between the wasps. Recent work in *Drosophila* has
232 implicated cholinergic signaling in aggression in both males and females⁵⁴⁻⁵⁶, suggesting
233 potentially shared mechanisms related to aggressive interactions across taxa.

234
235
236 Female *P. fuscatus* learn and remember the identity of other wasps from previous interactions⁴⁴
237 or even outcomes of fights among other individuals they have seen interacting⁴⁶. Behavioral
238 experiments have demonstrated both short and long-term memories of individuals^{44,46},
239 suggesting that signatures of both processes may be enriched among differentially regulated
240 genes. Indeed, genes annotated with functions in anesthesia-resistant memory (GO:0007615, $P = 3.6e-5$)
241 and long-term memory (GO:0007616, $P = 0.009$) are enriched among socially
242 responsive genes. Anesthesia-resistant memory refers to a process of memory consolidation
243 that is resistant to disruptions in neural activity, as would be caused by anesthesia⁵⁷. It does not
244 require protein synthesis and is considered a form of intermediate-term memory^{58,59}. Long-term
245 memory in contrast requires protein synthesis and the reweighting of synaptic connections^{60,61}.
246 A puzzling feature of the expression of genes annotated with memory functions is that they

247 frequently appear to be down regulated among individuals in the social compared to nonsocial
248 treatments (Fig S1). Memory formation is a dynamic process with multiple steps in which genes
249 are up- and down-regulated at different times⁶² and the observed down-regulation may reflect
250 aspects of that dynamics process. Most studies of the genetic basis of memory formation in
251 invertebrates have focused on single cue associations (e.g., a color or smell) but the social
252 interactions studied here are more complex in terms of sensory inputs and the range of positive
253 and negative experiences that occur. Global downregulation in the brain may mask upregulation
254 in specific neurons where social memories are encoded. While these data demonstrate that
255 social interactions influence the expression of memory-related genes, understanding how these
256 patterns translate to memory formation (or lack thereof) will require further study.

257
258 Likely relevant to memory formation, socially responsive genes are enriched for functions
259 relating to mushroom body development (GO:0016319, $P = 0.00055$), synaptic target
260 recognition (GO:008039, $P = 0.00029$), and regulation of synaptic plasticity (GO:0048167, $P =$
261 0.0051). Long-term memory formation requires modulation of synaptic connections⁶², which
262 may be captured by GO terms dealing with changes to synapses including their plasticity and
263 targeting. Additionally, enrichment for GO terms related to mushroom body development when
264 seen in the context of an adult brain, are suggestive of a role of mushroom body neuropils in
265 social processing and memory. The context or features of an interaction that make it more or
266 less memorable for paper wasps remain to be investigated, though the present study was able
267 to detect neurogenomic signatures related to memory following interactions in a neutral arena.
268 How investment in memory may vary across social contexts (on a nest versus a neutral arena)
269 and the intensity of the interactions are open questions that the present data suggest could be
270 addressed, at least in part, using transcriptomic techniques.

271

272 **Similarities and differences in winner and loser neurogenomic responses**

273 Individual wasps had different experiences of social interactions depending on whether or not
274 they were the individual giving or receiving more aggression – i.e., whether they were the
275 winner or the loser of the encounter. Therefore, we considered the neurogenomic responses
276 separately for the individuals that won or lost the social encounters compared to those that had
277 not been involved in a social interaction. In a model considering encounter outcome, tissue, and
278 time as main factors, we found overall similar numbers of DEGs for tissue (4435 DEGs) and
279 time (22 DEGs) as with the model based on social experience. Both winners and losers had
280 hundreds of differentially expressed genes compared to nonsocial individuals, though the
281 neurogenomic response appears to be stronger in losers (Fig 3a, winners = 217 DEGs, losers =
282 584 DEGs). When directly compared to each other, winners and losers show no significant
283 differences in gene expression based on the $FDR < 0.1$ threshold in DESeq2. Even considering
284 less restrictive criteria for calling DEGs, only 55 genes have $P < 0.01$ when not correcting for
285 false discovery rates. The lack of strong differential expression between winners and losers
286 suggests that the two social outcomes have similar expression profiles when analyzing the
287 entire dataset, including both brain regions and timepoints. Indeed, there are 113 DEGs shared
288 between winners and losers, a significantly greater overlap than expected by chance (Fig 3a, P
289 $< 2e-16$). Both winners and losers also show significant overlap with the DEGs responding to
290 social interactions in general ($P < 2e-16$ in both cases). Next, we compared the patterns of
291 differential expression of winners and loser in relation to the nonsocial wasps. The log2 fold
292 changes in both winners and losers compared to nonsocial wasps in the entire dataset are
293 strongly correlated (Fig3b, linear model: $y = 0.84x - 0.02$, $F_{1,4002} = 7458$, $r^2 = 0.65$, $P < 2e-16$).
294 Thus, when considering the entire dataset encompassing both brain regions and sampling
295 points, winners and losers have broadly similar responses, though with a greater number of
296 DEGs in losers compared to the nonsocial individuals (Fig 3).

297

298 We investigated the relationship between gene expression patterns in winners and losers
299 further by comparing the patterns of differential expression relative to nonsocial individuals at
300 the end of the 45-minute interaction and 4 hours later. Here we present the results of gene
301 expression in the non-visual brain since we observed stronger effects of social behavior in the
302 brain than optic lobe (Table S4). We examined the log₂ fold change in expression in losers
303 relative to nonsocial individuals in a mixed model with winner log₂ fold change relative to
304 nonsocial individuals and time as fixed effects and gene as a random effect. Differential
305 expression between winners and nonsocial wasps predicts expression differences in losers
306 relative to nonsocial wasps ($t = 69.02$, $df = 7420$, $P < 2e-16$). Time was a significant predictor
307 with greater log₂ fold changes in losers compared to nonsocial wasps at the later time point ($t =$
308 12.27 , $df = 3313$, $P < 2e-16$). There was a significant interaction between the extent of
309 differential expression between winners and nonsocial wasps and time ($t = 3.3$, $df = 5424$, $P =$
310 0.00096). Next, we calculated a separate regression between loser and winner responses
311 compared to nonsocial individuals at early and later times to further investigate these patterns.
312 The slope of the regression is steeper though the fit substantially poorer between winners and
313 losers at the later timepoint compared the earlier sampling time (Fig 4a, early: $y=0.69x + 0.001$,
314 $r^2 = 0.70$; Fig 4b, later: $y=0.74x - 0.06$, $r^2 = 0.38$).

315
316 Winners and losers show a pattern of increased divergence in non-visual brain gene expression
317 over time using a distinct analysis method as well. We used weighted correlation network
318 analysis (WGCNA) to examine patterns of co-expressed genes in relation to social behavior⁶³.
319 WGCNA assigned 6086 genes to 24 modules (mean = 253.58 genes, max = 1091, min = 39).
320 Multiple modules are significantly associated with winning or losing an encounter. Co-
321 expression modules associated with winning or losing at either time point are all distinct – i.e.,
322 no modules are correlated with more than one outcome-time combination (Fig S2). We
323 examined the relationship among modules and social behaviors by identifying meta-modules,
324 correlated groups of eigengenes, and examining their relationship with different social
325 outcomes. The brain dataset contains two large meta-modules that are associated with late
326 winners and late loser respectively (Fig 4c). In contrast, early sampled losers and winners do
327 not group within clear meta-modules. WGCNA calculates modules blind to the sample attributes
328 such as time of sampling, whether wasps had been given a social experience, or the outcome of
329 that interaction. Nevertheless, WGCNA identifies two distinct gene co-expression meta-modules
330 associated with late-sampled losers and winners respectively reinforcing the observation that
331 antagonistic social interactions lead to increased divergence in neurogenomic states over time.

332
333 Taken together, these data suggest that the overall neurogenomic responses to social
334 interactions are similar in winners and losers observed in the whole dataset is driven by their
335 initial similarity at the end of the interaction. The responses diverge over the course of a few
336 hours, with relatively greater differences relative to individuals that did not experience social
337 encounters appearing in losers over time. The correlation between winners and losers at the
338 early time point echoes shared patterns of neural activity observed in mice and bats or shared
339 transcriptomic signatures among interacting individuals in beta fish^{21,22,28}. Given that competitive
340 social interactions typically lead to divergent outcomes for winners and losers or dominants and
341 subordinates^{4,13,17,64,65}, the initial similarity in neural responses between competing individuals
342 may seem counterintuitive. The similar neurogenomic responses of winners and losers
343 observed at the earlier timepoint, however, declines over time in our dataset. The similar early
344 responses may reflect the activity of neural mechanisms for assessing social stimuli and the
345 initial processing of the encounter that is shared between the interacting individuals. Divergence
346 over time may reflect the integration of the outcome into neurogenomic responses that
347 themselves go on to further influence behavioral states following social encounters. This
348 divergence among socially interacting wasps likely contributes to the reduced number of

349 differentially expressed genes detected between social and nonsocial treatments at the late time
350 point due to heterogeneity in expression patterns between winners and losers. Reproductive
351 division of labor among groups of foundresses is based on physical aggression in *Polistes*^{29,30},
352 but ultimately results in distinct neural and physiological states between the dominant and
353 subordinate foundresses^{39,66}. Understanding the steps that lead from similar to divergent
354 neurogenomic states between interacting individuals will help clarify how social experiences
355 come to generate diversity in physiology and behavior among individuals in a population^{3,67}.

356

357 **Dynamics changes in gene expression in the hours following a social interaction** 358 **depends on dominance outcome**

359 To investigate the neurogenomic changes that may accompany shifts associated with winning
360 or losing, we compared the relative magnitude of brain gene expression changes between early
361 and late losers to those seen between early and late winners (Fig 5). There is a statistically
362 significant but very weak negative relationship between the relative changes seen in winners
363 compared to losers (Fig 5: $F_{2,3709}=23.39$, $P = 1.55e-12$, $r^2 = 0.014$). Consistent with the previous
364 analyses (Fig 4), we find that there are more extreme changes in losers compared to winners,
365 shown by the greater spread along the y-axis (Fig 5). Interestingly, this observation fits with
366 theoretical results that loser-effects should be stronger than winner-effects⁶⁸.

367

368 We next examined the identity of genes with extreme changes in both winners and losers to
369 learn more about the nature of neurogenomic changes. Notable genes are highlighted in Fig 5.
370 We observe multiple patterns of change including genes that are initially upregulated in losers
371 relative to nonsocial wasps at the early time point and then substantially decreased at the later
372 time point. Many of the genes with the largest decreases in losers at the later time show this up-
373 then-down pattern, including *vitellogenin*, *apolipoprotein III*, *esterase E4* and *apideacin*. Both
374 *vitellogenin* and *esterase E4* are consistently downregulated in workers compared to queens
375 across Polistine wasps⁶⁹. Comparisons between worker and gyne *P. metricus* found lower
376 levels of *apolipoprotein III* in worker- compared to gyne-destined larvae⁷⁰. In *P. canadensis*,
377 workers have increased apolipoprotein compared to queens⁷¹. The gene is also upregulated
378 during usurpation attempts in the socially parasitic *P. sulcifer*, suggesting that gene may have
379 links to aggression in *Polistes*⁷². Apideacin is an antimicrobial peptide involved in immunity⁷³
380 and shows markedly increased expression in losers following social interactions with a later
381 decreases (Fig 5b), suggesting possible immune activation in response to receiving aggression.

382

383 *Vitellogenin* (*vg*) is classically recognized for its role as an egg-yolk protein, which has a
384 conserved role in oogenesis across insects⁷⁴. In paper wasps, levels of *vg* in the head or brain
385 have been associated with social status, being highest in single and dominant foundresses and
386 lowest in subordinate foundresses and workers^{39,69,71,75}. Our data suggest that *vg* levels quickly
387 respond to social interaction, rising substantially in both losers and winners relative to nonsocial
388 controls at the early time point (Fig 5b). Winners maintain high levels of *vg* for hours after the
389 interactions, while levels plummet in losers below those seen in nonsocial controls. By contrast,
390 winners maintain high levels of *vg* following social interactions. Nonsocial control wasps show
391 relatively lower levels of *vg* compared to socially interacting wasps, though it is hard to
392 contextualize the *vg* levels observed in control wasps compared to those reported in other
393 studies. Previous studies have examined patterns of gene expression in wasps in relation to life
394 history state or broader social contexts (e.g. foundresses versus worker) and not in response to
395 specific social experiences^{39,69,71,75}. Additionally, the wasps in this study had been kept in the lab
396 without nests following other studies of staged aggression contests^{36,37,44}, which likely influences
397 baseline levels of gene expression. Nevertheless, we find that *vg* is strongly upregulated in
398 response to social interactions in general, but expression levels then diverge depending on
399 social outcomes. To the extent that *vitellogenin* influences levels of aggression, the decrease

400 seen over time in losers in this study may be indicative of a shift toward a submissive behavioral
401 state.

402
403 We observed multiple genes that show increases in expression over time in losers in the central
404 brain. The most upregulated gene in terms of log₂ fold change in losers is a *myosin heavy chain*
405 gene, which are upregulated in social wasp worker brains compared to queens⁶⁹. We also
406 observed a pattern of upregulation of *arrestin* in late losers but down regulation in winners and
407 control nonsocial wasps. Previous studies of caste differential expression in *P. canadensis*
408 found that *arrestin* was upregulated in workers relative to queens⁷¹, and it is found upregulated
409 among foragers in ants as well⁷⁶. *FPPS* encodes farnesyl pyrophosphate synthase which is
410 involved in JH production^{77,78} and is upregulated in queens in Polistine wasps⁶⁹. We also
411 observed increases in *inositol monophosphatase (imp)*, which is involved in the inositol
412 phosphate signaling pathway⁷⁹ and has been linked to task differentiation in ants and bees^{80,81}.
413 Losers in our experiment would potentially become subordinate foundresses in a natural nesting
414 context and not workers, though subordinates do more foraging than dominants³². Despite
415 reduced reproduction and greater foraging relative to dominant foundresses, subordinate
416 foundresses are not the same as workers and have been shown to have distinct neurogenomic
417 profiles compared to dominant foundresses and workers in microarray and candidate-gene
418 studies^{39,66,75,82}. Nevertheless, the expression patterns of these genes suggest that within a few
419 hours of emerging from a social encounter as a subordinate, multiple genes are dynamically
420 regulated in a manner suggesting changes to aggression, reproduction, and metabolism (Fig 5).

421
422 Winners showed less extreme changes in gene expression over time compared to losers in our
423 dataset (Fig 5). Among the genes with largest change by magnitude in winners are two
424 members of *takeout* gene family, which show substantial decreases in losers (Fig 5). The
425 *takeout* gene family is found across insects⁸³ and are they frequently regulated by juvenile
426 hormone⁸⁴⁻⁸⁶. Both winners and losers showed increases in *Nieman Pick Type C2 (NPC2)*,
427 which regulate steroid hormone biosynthesis including juvenile hormone⁸⁷, and has been
428 implicated in social communication among ant workers⁸⁸. Notably, all three of these genes are
429 among the most highly and significantly upregulated genes in the brain in response to social
430 interactions (Fig 2a, 5b). The significant upregulation of these genes in response to social
431 interactions and divergent patterns of expression between winners and losers over time make
432 them interesting candidates for further study.

433

434 CONCLUSIONS

435 The analysis of 139 RNAseq samples from the optic lobes and central brains of *P. fuscatus*
436 foundresses revealed novel insights into the dynamic changes in neurogenomic states in
437 peripheral and central nervous tissues following social interactions. Female *P. fuscatus* paper
438 wasps have variable facial patterns that they use to visually recognize each other as
439 individuals^{5,37}. Though we did detect some differentially expressed genes in the optic lobe
440 transcriptome in response to social interactions, changes in the brain were much larger and
441 more dynamic, likely reflecting the importance of processing socially relevant information in
442 more central brain regions as a key factor in driving neurogenomic shifts. After a 45-minute
443 interaction, winners and losers show similar average changes in patterns of gene expression
444 relative to nonsocial individuals, which may reflect the fact that the same neural circuits likely
445 process initial social interactions regardless of the outcome. This result mirrors recent findings
446 of similar neural firing patterns during social interactions in rodents and bats^{21,22} and similar
447 neurogenomic responses shortly after fights in beta fish²⁸.

448

449 Over a span of 4 hours the initial similarity between winners and losers decreases, as loser
450 gene expression patterns show larger shifts consistent with theoretical predictions of larger loser

451 effects compared to winner effects⁶⁸. The most dramatic shifts in expression over the course of
452 four hours in losers are due to a mixture of increasing or decreasing expression compared over
453 time (Fig 5b). These data suggest that within a few hours a single subordinate experience can
454 influence expression of multiple genes associated with behavioral and physiological differences,
455 perhaps most notably *vitellogenin*. We do not suggest that a single social experience is
456 necessarily sufficient to make a wasp into a subordinate foundress. Paper wasps engage in
457 aggressive interactions on and off the nest early in the nesting cycle³² and many wasps that go
458 on to become solitary or dominant foundresses likely experience some social defeats during this
459 phase. Repeated interactions between co-nesting foundresses, however, are to likely
460 compound and reinforce the types of effects we observe. Neurogenomic studies show shifts in
461 neurogenomic profiles in many caste-associated genes in response to repeated wins or losses
462 in dominance contests in *Ceratina* carpenter bees^{11,24}. Paper wasps are notably plastic, with
463 aggressive and dominant workers becoming more queenlike in the span of a few days when
464 reproductive opportunities become available through experimental removal of the queen^{26,27}.
465 Moving forward, a major challenge is to understand how social experiences are processed in
466 the brain giving rise to neurogenomic shifts and changes in expression of key regulators of
467 behavior such as *vitellogenin*. Specifically, single-cell RNAseq approaches have the potential to
468 indicate which cell-types are most strongly influenced by social interaction and could reveal how
469 diverging gene expression patterns give rise to broader physiological consequences associated
470 with social status.

471

472 **METHODS**

473 **Experimental design and behavioral scoring**

474 We tested the role of social experience on neurogenomic states comparing the responses of
475 individuals to staged contests in a neutral arena to solitary experiences in the same arenas.
476 Subjects were 90 female *P. fuscatus* collected during the pre-worker colony phase from their
477 nests or while foraging in Tompkins county, New York in the spring of 2018 (Table S1). Wasps
478 were brought into the lab and provided housing in small deli cups with *ad libitum* access to
479 sugar and water. Prior to the trials, wasps were given identifying paint marks using Testor's
480 enamel paint to facilitate scoring of social interactions. During the trials, wasps were placed in a
481 small neutral arena (100 mm diameter clear petri dish) with a plexiglass-lid under bright full
482 spectrum lights either alone or with another wasp. Social trials featured pairings between
483 weight-matched wasps that had been collected at distinct locations at least 2 kilometers apart,
484 which is greater than the typical dispersal distances for this population⁸⁹. While in the arenas,
485 wasps were filmed for 45 minutes and then removed from the arenas. In half of the trials, wasps
486 were immediately sacrificed by decapitation and their heads were placed in RNAlater for
487 subsequent analysis. To aid uptake of RNAlater, small cuts were made on the exoskeleton of
488 the head avoiding damaging neural tissue. In the other half of the trials, the wasps were
489 returned to their individual housing and sacrificed 4 hours later using the same protocol. This
490 generated four sets of samples: early social wasps (n = 30 wasps from 15 trials), early
491 nonsocial wasps (n = 15), late social wasps (n = 30), and late nonsocial wasps (n=15, Fig 1a).

492

493 Videos of the social wasps were scored for stereotyped paper wasp aggressive behaviors
494 including mounting, biting, hitting, grappling and darting^{32,41}. Additionally, we scored when one
495 wasp chased the other as an aggressive act. On average there were 33.13 ± 12.58 aggressive
496 acts per trial. We categorized outcomes of encounters as either a win or loss based on the
497 relative level of aggressive acts and whether or not one wasp mounted the other, a ritualized
498 dominance behavior³².

499

500 **RNA sequencing and read processing**

501 Brains were dissected from RNAlater-preserved wasp heads under a stereomicroscope. Optic
502 lobes were separated from the rest of the brain (Fig 1b) and then combined for processing. We
503 refer to these two tissue segments simply as the optic lobe and brain respectively in the text.
504 RNA was extracted separately from the brain and combined optic lobes generating two pools of
505 RNA from each wasp. Extracted RNA samples were sent to the Cornell Genomics Core for
506 3'RNA library preparation using the Lexogen kit. Due to low and/or poor-quality RNA yields for
507 some samples, we were able to sequence 168 samples out of the intended 180. We sequenced
508 libraries to an average coverage of 5.17 million single end 50 bp reads on a NextSeq500.
509 Samples with less than 1 million reads were excluded from analyses due to their relatively low
510 coverage, resulting in a final group of 139 RNAseq samples for analysis (Table S1).

511
512 We mapped reads to the *P. fuscatus* genome⁴² using STAR⁹⁰. Read counts were calculated
513 using HTseq with default settings⁹¹. Initial read counts revealed that the annotation of the *P.*
514 *fuscatus* genome did not capture many 3' untranslated regions, so we manually scanned the
515 genome to update gene body annotations. To identify 3' untranslated regions we jointly
516 visualized paired-end mRNAseq reads from female *P. fuscatus* heads with a sample of 3'
517 RNAseq reads using the Integrated Genome Viewer⁹² and updated a GTF file based on this
518 scan. In addition to extending the UTRs, in some cases we combined genes, separated genes
519 or identified genes not previously included in the prior annotation. The GTF file used for this
520 study is provided as a supplemental file. Before engaging in downstream differential expression
521 analyses, we first inspected the separation of the samples using principal component analysis
522 (PCA) to ensure that brain and optic lobe tissues had distinct expression profiles, as would be
523 expected based on differential cellular composition of the samples. The PCA was calculated by
524 using the 'vst' normalization function of DESeq2⁹³. Inspection of the samples plotted against
525 PC1 and PC2 revealed 2 distinct clusters of samples corresponding to optic lobe and brain
526 respectively (Fig 1b). Additionally, we removed non-expressed or lowly-expressed genes from
527 the count table in order to make analyses faster. After filtering, we were left with 8219 genes for
528 further analyses.

529 **Gene expression analyses**

531 Patterns of differential expression were determined using DESeq2⁹³ in R v 3.6.2 (R Team
532 2019). Depending on the analysis we examined the entire data set (both brain and optic lobes),
533 only the brain data or only the optic lobe data using linear models with fixed effects. All R code
534 used for analysis is provided. First, we considered models with social experience treatment
535 (social v. nonsocial), tissue (brain v. optic lobe) and time (early v. late). We examined the
536 interactive effects following the recommendations of the authors of the DESeq2 analysis
537 package⁹³. We generated combined variables to examine differences in expression across
538 groups. For example, to look at the effects of time and social experience we classified samples
539 as belonging to one of four groups early_social, early_nonsocial, late_social, or late_nonsocial
540 under a single categorical variable, e.g., time_social. By comparing contrasts among the
541 different pairs of categories, we were able to determine how different combinations of samples
542 influence patterns of differential gene expression. For analyses looking at contest outcome, we
543 only examined social trials for which at least 10 aggressive acts occurred. The outcome of the
544 trial was coded as winner, loser or nonsocial. Genes were considered to be differentially
545 expressed if the FDR adjusted P value ≤ 0.1 .

546
547 We compared patterns of differential expression in winners and losers, based on log2 fold
548 changes in expression. Since absolutely small changes in lowly expressed genes can give rise
549 to large log2 fold changes, we first removed all genes with mean expression below 100 before
550 comparing patterns of expression. First, we compared expression relative to nonsocial wasps in
551 winners and losers respectively in the combined brain and optic lobe datasets. In analyses

552 focusing solely on the brain dataset, we examined how the relations between winner and loser
553 expression profiles changed between early and late sampling points using a general linear
554 mixed model implemented in the lme4 package for R⁹⁵. Log2 fold change differences in
555 expression relative to nonsocial wasps sacrificed at the same time were used as a basis of
556 comparison. We modeled relative fold change in losers as a function of the relative fold change
557 in winners, time, their interaction, and gene ID as a random effect. We also separately
558 examined the relationship between winners and losers at early and late time points using a
559 linear model. Finally, we compared the relative log2 fold changes between the earlier and later
560 time points for losers to the changes observed in winners. In these comparisons positive values
561 of expression denote increased expression at the later time point.
562

563 Genes are frequently expressed in a modular manner, with groups of genes showing similar
564 expression patterns⁶³, so we calculated co-expression modules from our brain dataset using
565 WGCNA. This analysis focused on understanding modules associated with winning or losing at
566 different time points, so we limited our analysis to a subset of the brain RNAseq data set that
567 had engaged in more vigorous encounters (i.e., winner, loser and nonsocial). R code used for
568 analysis is provided as a supplemental file.
569

570 **Gene ontology**

571 We used gene ontology enrichment analyses to identify gene functions that were enriched in
572 our various parts of our dataset. The *P. fuscatus* gene set was annotated using the Blast2GO
573 function of OmicsBox based on sequence similarity with *Drosophila melanogaster* genes⁹⁶. For
574 enrichment analyses, we used the TopGO package in R⁹⁷. We only included categories with at
575 least 10 annotated genes in the dataset. Significantly over-represented categories were
576 identified using the 'weigh01' function in TopGO with the 'classicfisher' statistic.
577

578 **Data Availability**

579

580 Raw sequence data are available in the NCBI Short Read Archive under PRJNA705303.

581

582

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585

586

587 **Author contributions**

588 FMK, CMJ and MJS designed the study. FMK and CMJ conducted behavioral trials. FMK
589 processed tissue samples and extracted RNA. NZ scored behavioral trials. SEM, NZ, EM and
590 MJS processed and aligned RNA data. MJS analyzed the data and wrote the manuscript with
591 input from the other authors.

592

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- 809

810 **Figure 1: Overview of experimental design and RNAseq data**

811 (A) The experiment consisted of generating two groups of wild-caught wasps that either
812 engaged in a recent social experience or remained nonsocial. Half of each group was sacrificed
813 at the end of a 45-minute interaction period with the other half held in individual containers for 4
814 hours until they were then sacrificed. RNA was extracted separately from the combined optic
815 lobes (purple) and the remainder of the brain, called 'brain' throughout (green). In other figures,
816 we show the part the tissue the data is derived from with the relevant icon. (B) Tissue is the
817 strongest separator of the data in a principal component analysis. Within the brain, but not the
818 optic lobe, social experience also has a major influence on neurogenomic patterns. Here and in
819 subsequent figures, red wasp symbols are used to indicate winners, blue wasp symbols for
820 losers, and grey wasps for control individuals that did not have social interactions.

821

822 **Figure 2: Social interactions influence neurogenomic signatures more in the brain than**
823 **optic lobe**

824 (A) The effects of social interactions are stronger in the brain compared the optic lobe. At both
825 early and late time points there are hundreds of genes differentially expressed ($FDR < 0.1$)
826 between social and nonsocial groups. The following codes are used in the axis legend: ES =
827 early social, EN = early nonsocial, LS = late social, LN = late nonsocial. (B) The volcano plots
828 show the \log_2 fold change between social (up) and nonsocial (down) on the x-axis and the -
829 \log_{10} P value. The red and blue striped wasp symbol indicates that the data includes all socially
830 interacting wasps.

831

832 **Figure 3: Similar overall neurogenomic responses in winners and losers**

833 (A) There is significantly more overlap than expected by chance between the DEGs for winners
834 and loser compared to each other as well as both winner and loser compared to all individuals
835 with recent social experience ($P < 2e-16$). (B) The difference in \log_2 fold change in gene
836 expression for all genes with a mean expression count of 100 or greater for nonsocial
837 individuals are correlated for winners and losers. Both panels show analyses from the entire
838 dataset with both brain regions and time points combined.

839

840 **Figure 4: Divergence in loser brain transcriptomes over time**

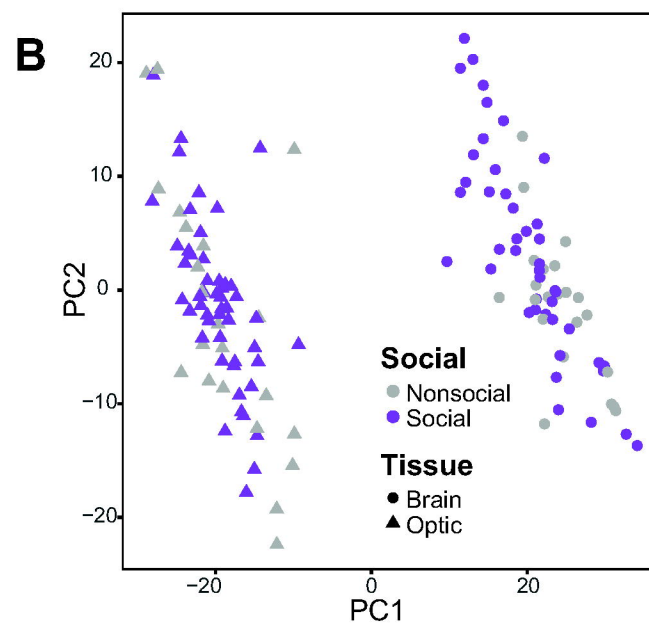
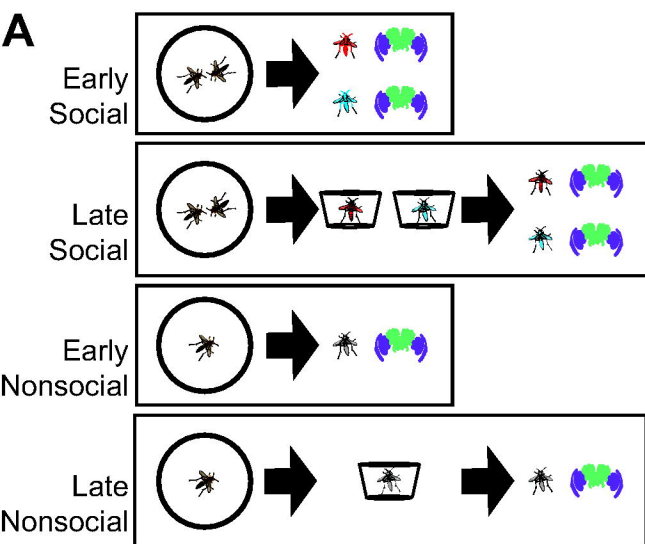
841 (A) Focusing on only the brain dataset, the \log_2 fold change in gene expression differences
842 between nonsocial individuals and winners and losers are well correlated at the earlier time
843 point. (B) At the later time point, there is substantially less correlation between winner and loser
844 responses relative to nonsocial individuals. (C) Gene correlation modules are organized into two
845 meta-modules, which are associated with late winners and late losers respectively. The top
846 panel shows a dendrogram with the colors labeled and social outcomes labeled. The boxes
847 have been added to highlight the two meta-modules. The bottom panel shows a heatmap
848 showing the relationships among modules. Higher correlations are show by warmer red colors
849 with modules with low or not correlations shown in blue. The two meta-modules highlighted in
850 the dendrogram have been highlighted here with black outlines.

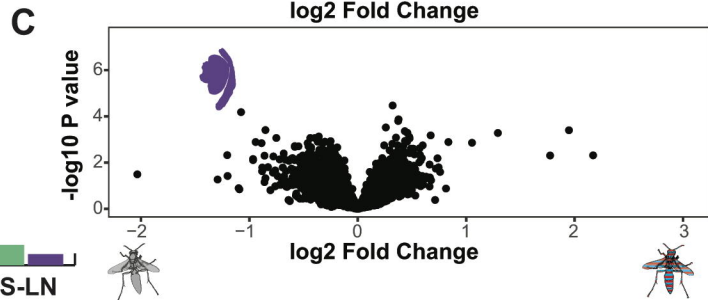
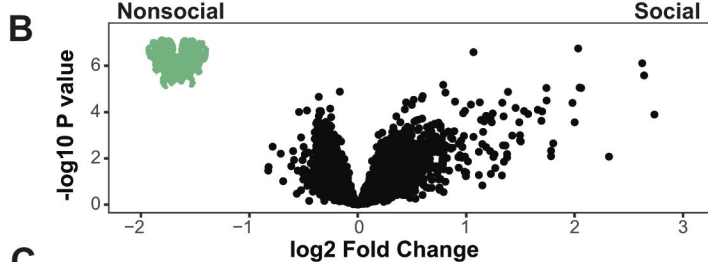
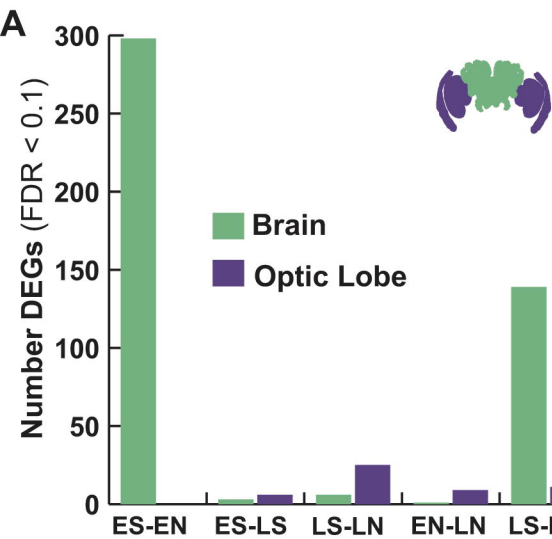
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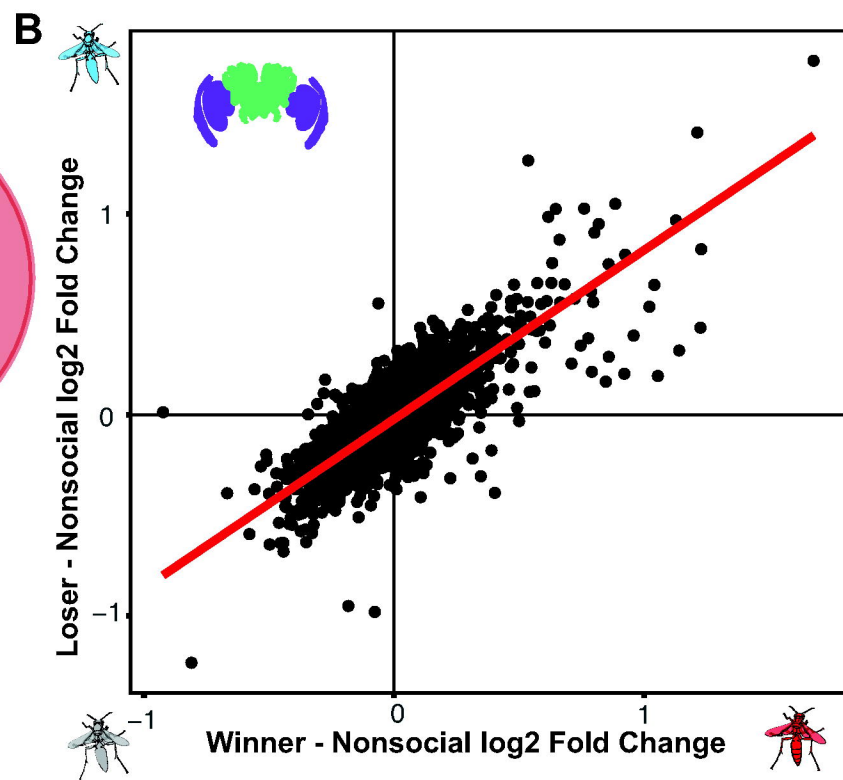
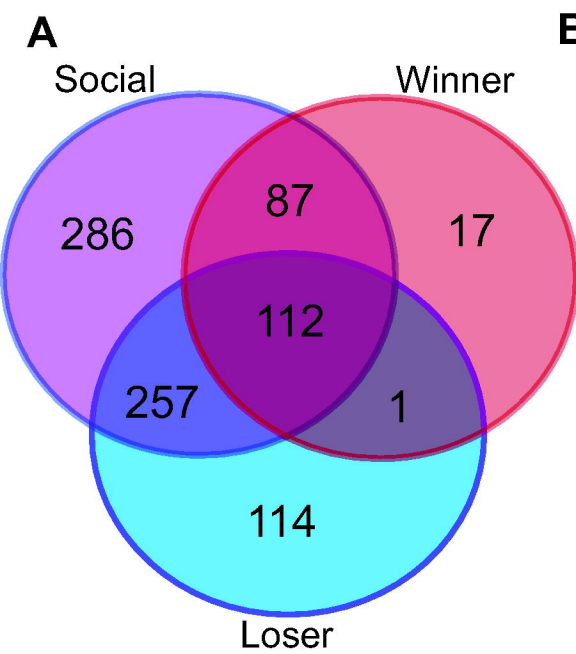
852 **Figure 5: Shifts in winner and loser gene expression over time**

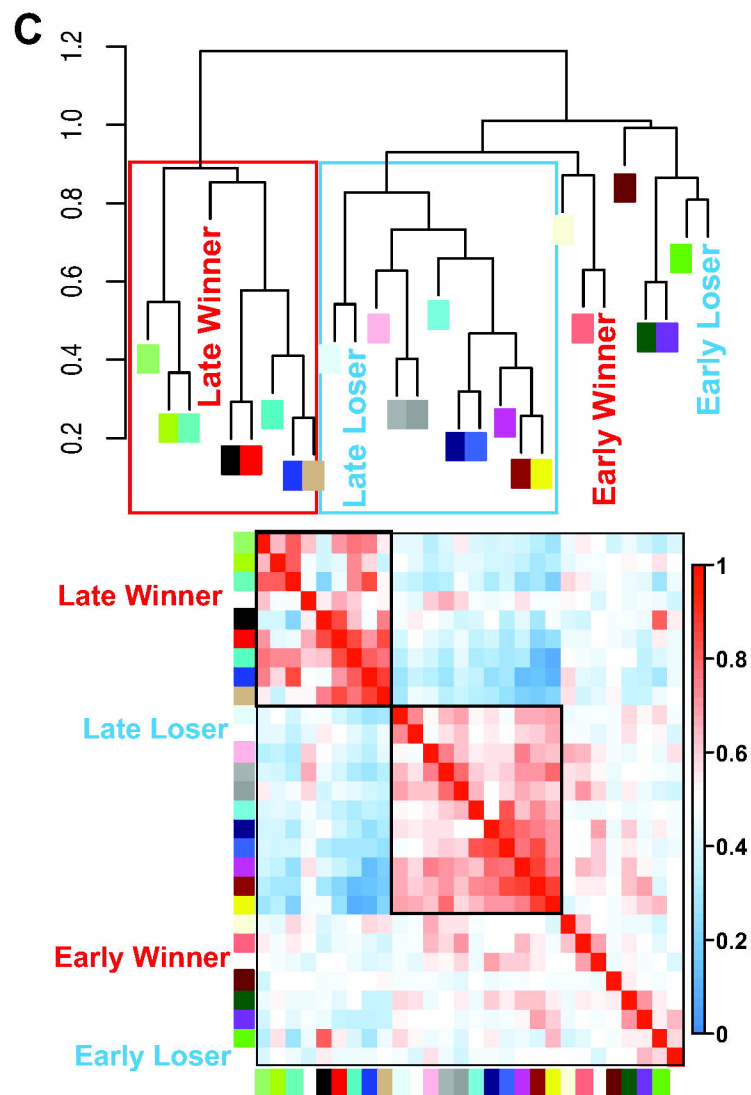
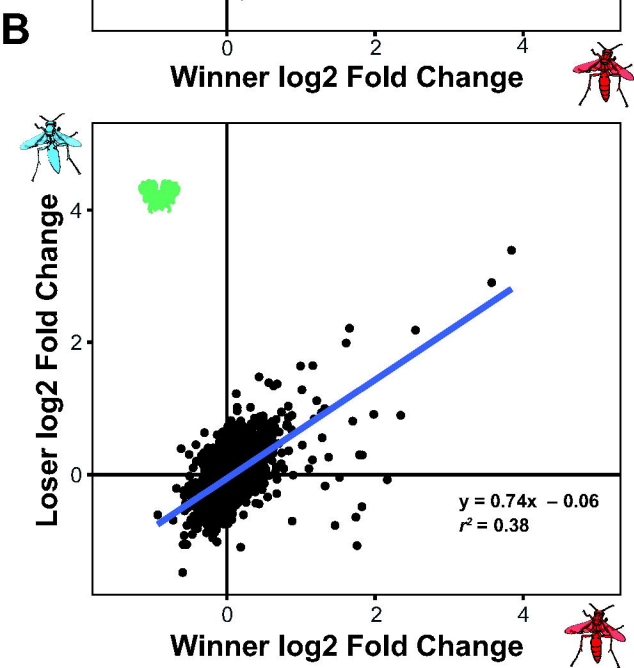
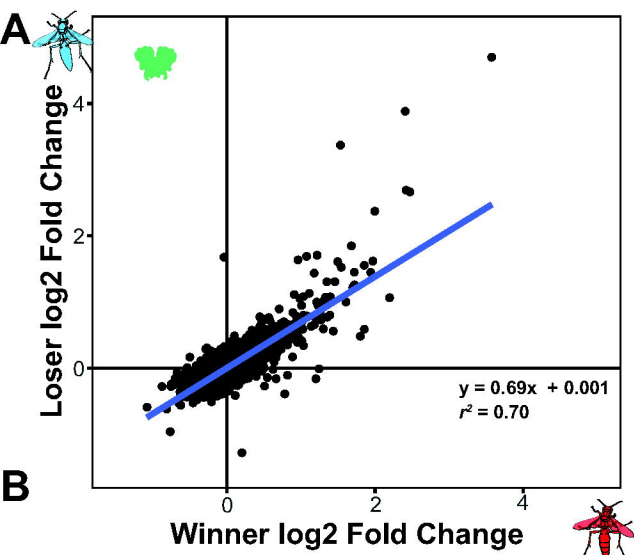
853 (A) There are more dramatic shifts in the responses of losers compared to winners over time.
854 The scatter plot shows the \log_2 fold change between early and late winners on the x-axis
855 against the similar early to late comparison for losers on the y-axis. Thus, genes in the upper
856 right quadrant are those that increase over time in both winners and losers, while those the
857 upper left quadrant increase in losers but decrease in winners. The greater spread along the y-
858 compared to x-axis shows that there are larger changes in loser gene expression profiles over
859 time compared to winners. There is a weak but significant negative correlation suggesting that
860 some genes that increase in losers tend to decrease in winner and vice versa. Notable gene are

861 highlighted. Data points are color-coded according to the legend. (B) The panels show the mean
862 normalized count of expression for losers, winners and nonsocial individuals at early and late
863 sampling points. Lines are drawn connecting the points between groups of the same social
864 outcome. Note that the y-axis is different for each gene and depends on the dynamic range of
865 the specific gene. For example, *arrestin* shows a much smaller change in expression across
866 groups than *takeout-like 1*, which is expressed at very low levels in nonsocial controls but
867 expressed much more highly in wasps that engaged in social interactions.
868

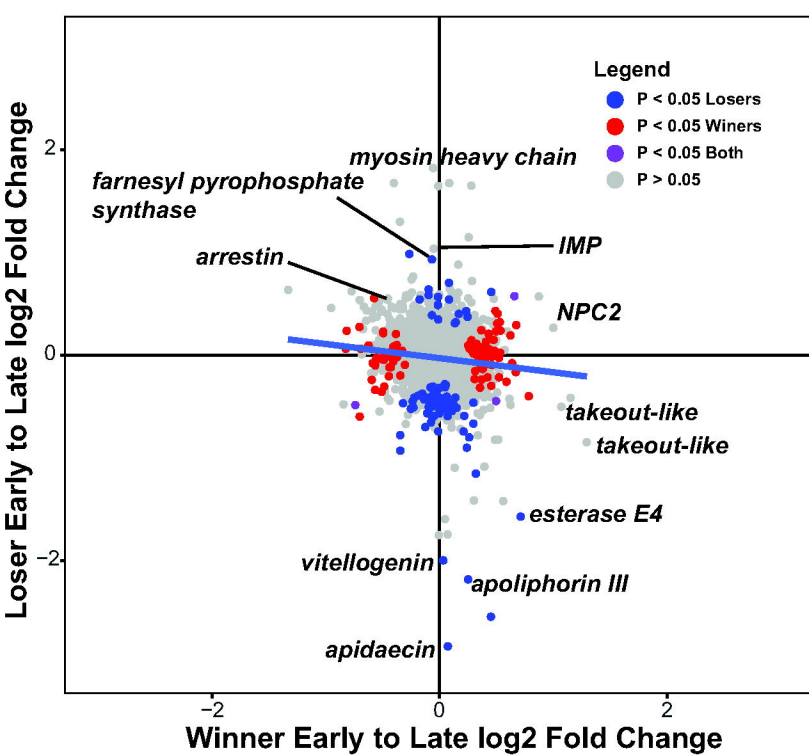








A



B

