#### 1 Reduced binding and neutralization of infection- and vaccine-induced antibodies to the

### 2 B.1.351 (South African) SARS-CoV-2 variant

Venkata Viswanadh Edara<sup>1,2,7</sup>. Carson Norwood<sup>1,2,4</sup>. Katharine Flovd<sup>1,2,7</sup>. Lilin Lai<sup>1,2,7</sup>. Meredith 3 E. Davis-Gardner<sup>1,2,7</sup>, William H. Hudson<sup>3</sup>, Grace Mantus<sup>1,2,4</sup>, Lindsay E. Nyhoff<sup>1,2,4</sup>, Max W. 4 Adelman<sup>4</sup>, Rebecca Fineman<sup>4</sup>, Shivan Patel<sup>4</sup>, Rebecca Byram<sup>4</sup>, Dumingu Nipuni Gomes<sup>4</sup>, 5 6 Garett Michael<sup>4</sup>, Havatu Abdullahi<sup>4</sup>, Nour Beydoun<sup>4</sup>, Bernadine Panganiban<sup>4</sup>, Nina McNair<sup>4</sup>, Kieffer Hellmeister<sup>4</sup>, Jamila Pitts<sup>4</sup>, Joy Winters<sup>4</sup>, Jennifer Kleinhenz<sup>4</sup>, Jacob Usher<sup>4</sup>, James B. 7 O'Keefe<sup>4</sup>, Anne Piantadosi<sup>4,6</sup>, Jesse J, Waggoner<sup>4</sup>, Ahmed Babiker<sup>4,6</sup>, David S, Stephens<sup>2,4</sup>, 8 Evan J. Anderson<sup>1</sup>, Srilatha Edupuganti<sup>4,5</sup>, Nadine Rouphael<sup>4,5</sup>, Rafi Ahmed<sup>2,3</sup>, Jens 9 Wrammert<sup>1,2\*</sup>, Mehul S. Suthar<sup>1,2,3,7\*</sup> 10

11

- 12 <sup>1</sup>Center for Childhood Infections and Vaccines of Children's Healthcare of Atlanta, Department of Pediatrics, Emory
- 13 University School of Medicine, Atlanta, GA 30322, USA
- 14 <sup>2</sup>Emory Vaccine Center, Emory University, Atlanta, GA 30322, USA
- 15 <sup>3</sup>Department of Microbiology and Immunology, Emory University, Atlanta, GA 30322, USA
- 16 <sup>4</sup>Department of Medicine, Emory University School of Medicine, Atlanta, GA 30329, USA
- 17 <sup>5</sup>Hope Clinic of Emory Vaccine Center, Emory University, Decatur, GA 30030, USA
- 18 <sup>6</sup>Department of Pathology and Laboratory Medicine, Emory University School of Medicine Atlanta, Georgia, USA
- <sup>7</sup>Yerkes National Primate Research Center, Atlanta, GA 30329, USA
- 20
- 21 \*Correspondence: Mehul S. Suthar (mehul.s.suthar@emory.edu) and Jens Wrammert (jwramme@emory.edu)
- 22 Lead contact: Mehul S. Suthar (mehul.s.suthar@emory.edu)

bioRxiv preprint doi: https://doi.org/10.1101/2021.02.20.432046; this version posted February 22, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

## 24 KEYWORDS:

- 25 SARS-CoV-2, Humoral immunity, Vaccine, Viral neutralization, Receptor-binding domain,
- 26 Emerging variants

#### 28 SUMMARY

29 The emergence of SARS-CoV-2 variants with mutations in the spike protein is raising concerns 30 about the efficacy of infection- or vaccine-induced antibodies to neutralize these variants. We 31 compared antibody binding and live virus neutralization of sera from naturally infected and spike 32 mRNA vaccinated individuals against a circulating SARS-CoV-2 B.1 variant and the emerging 33 B.1.351 variant. In acutely-infected (5-19 days post-symptom onset), convalescent COVID-19 34 individuals (through 8 months post-symptom onset) and mRNA-1273 vaccinated individuals (dav 14 post-second dose), we observed an average 4.3-fold reduction in antibody titers to the 35 36 B.1.351-derived receptor binding domain of the spike protein and an average 3.5-fold reduction 37 in neutralizing antibody titers to the SARS-CoV-2 B.1.351 variant as compared to the B.1 variant 38 (spike D614G). However, most acute and convalescent sera from infected and all vaccinated 39 individuals neutralize the SARS-CoV-2 B.1.351 variant, suggesting that protective immunity is 40 retained against COVID-19.

41

42

43

45 SARS-CoV-2 is the causative agent of Coronavirus Disease 2019 (COVID-19), which has resulted in a devastating global pandemic with over 100 million cases and 2.4 million deaths 46 worldwide (WHO, 2021). As SARS-CoV-2 has spread across the world, there has been a 47 48 dramatic increase in the emergence of variants with mutations in the nonstructural and 49 structural proteins. The viral spike protein is found on the outside of the virion and binds to the 50 ACE2 receptor expressed on cells within the respiratory tract (Walls et al., 2020). As compared 51 to the Wuhan-Hu-1 reference genome, several mutations within the spike protein have been 52 identified over the past year. The first major spike protein variant to emerge was a mutation at 53 position 614 from an Aspartic acid (D) to a Glycine (G). This mutation led to an increase in viral 54 fitness, replication in the respiratory tract, binding to the ACE2 receptor, and confirmational 55 changes within the spike protein (Gobeil et al., 2021; Ozono et al., 2021; Plante et al., 2020). 56 Over the past few months, there has been a surge in the emergence of novel SARS-CoV-2 57 variants, raising significant concerns about alterations to viral fitness, transmission and disease. 58 In particular, the emergence of the B.1.351 variant, which was originally identified in South 59 Africa, includes several mutations within the structural and nonstructural proteins (Tegally et al., 60 2020).

61

62 Following SARS-CoV-2 infection in humans, antibody responses are rapidly generated against 63 the viral spike protein (Suthar et al., 2020). The receptor binding motif within the spike protein 64 interacts with the ACE2 receptor and is a major target of antibody-mediated neutralization. 65 Longitudinal and cross-sectional studies have estimated that antibodies to the spike protein can 66 last for at least a year following infection (Anand et al., 2021; Dan et al., 2021; Pradenas et al., 67 2021; Sherina et al., 2021). The mRNA-1273 vaccine encodes the viral spike protein and elicits a potent neutralizing antibody response to SARS-CoV-2 that is durable for several months 68 69 (Anderson et al., 2020; Jackson et al., 2020; Widge et al., 2021). The emerging B.1.351 SARS-

CoV-2 variant includes three mutations within the receptor-binding domain (K417N, E484K,
N501Y) and several mutations within the spike protein which are likely to influence viral binding
to the ACE2 receptor and resist neutralization by human immune sera (Greaney et al., 2021; Liu
et al., 2021).

74

75 In this study, we compared antibody binding and viral neutralization against two variants that 76 have emerged in various parts of the world. EHC-083E (herein referred to as the B.1 variant) is 77 within the B.1 PANGO lineage and was isolated from a residual nasopharyngeal swab collected 78 from a patient in Atlanta, GA in March 2020 (SARS-CoV-2/human/USA/GA-EHC-083E/2020). 79 This variant contains the D614G mutation within the spike protein. The B.1.351 variant was 80 isolated from an oropharyngeal swab from a patient in Ugu district, KwaZulu-Natal, South Africa 81 in November 2020. The B.1.351 viral variant contains the following amino acid mutations within 82 the viral spike protein: L18F, D80A, D215G, deletion at positions 242-244 (L242del, A243del, 83 and L244del), K417N, E484K, N501Y and D614G. This virus was isolated as described by Sigal 84 and colleagues (Wibmer et al., 2021). We subsequently plague purified the virus followed by a 85 single round of propagation in VeroE6 cells. Relative to the deposited sequence on GISAID 86 (EPI\_ISL\_678615) we identified two additional mutations within the spike protein at positions 87 Q677H and R682W (Supplementary Figure 1).

88

Following SARS-CoV-2 infection, antibody responses against the receptor binding domain (RBD) within the spike protein can be detected in most individuals around 8 days post-symptom onset (Suthar et al., 2020). Here, we analyzed a cohort of acutely infected COVID-19 patients (n=19) enrolled at Emory University Hospital, between 5-19 days after symptom onset (**Supplementary Table 1**). To determine if the RBD of the B.1.351 variant impacts IgG antibody binding, we utilized an electrochemiluminescence-based multiplex immune assay provided by

95 Mesoscale Discovery (MSD). As compared to the B.1-lineage RBD-specific IgG responses 96 (GMT: 4829; range: <239 – 168890), we found that all of the patients had significantly reduced 97 IgG binding to the B.1.351 RBD (GMT: 1081; range: <239 – 20254). We next determined the 98 impact on the neutralization capacity of these samples using a live virus neutralization assay. In 99 comparison to the D614G variant (GMT: 135; range: <20 - 836), we observed a significant 100 reduction in the neutralization capacity of samples from the acutely infected cohort against the 101 B.1.351 variant (GMT: 40; range: <20 - 433). Of the samples that exhibited neutralization 102 against the B.1 variant, we found that 4/15 samples (26%) failed to neutralize the B.1.351 103 variant. While there was a range of RBD-specific and neutralizing antibody responses across 104 this cohort of acutely infected COVID-19 patients, we observed a stronger positive correlation of B.1-lineage RBD-specific IgG titers against the B.1 variant neutralization titers ( $R^2 = 0.47$ ; 105 106 p=0.0012; Fig. 1C) as compared to the B.1.351 RBD-specific IgG titers against the B.1.351 107 variant neutralization titers ( $R^2 = 0.27$ ; p=0.02). This suggests that antibodies are capable of 108 binding to the B.1.351 RBD, however, the mutations within the receptor-binding domain (K417N, 109 E484K and N501Y) reduce the ability to neutralize the B.1.351 variant.

110

111 Recent studies have found that binding and neutralizing antibodies are maintained for at least 112 eight months following SARS-CoV-2 infection (Dan et al., 2021; Pradenas et al., 2021; Sherina 113 et al., 2021). To understand how antibody breadth is impacted during convalescence, we 114 performed a longitudinal analysis of RBD binding and viral neutralization in 30 convalescent 115 COVID-19 individuals across two longitudinally sampled timepoints through 8 months 116 (Supplementary Table 2). We observed a significant reduction in IgG binding to the B.1.351 117 RBD at the 1-3 month timepoint (B.1: GMT: 24000; range: 1856 – 320059; B.1.351: GMT: 4792; 118 range: <239 – 32158) and 3-8 month timepoint (B.1: GMT: 8314; range: 527 – 94643; B.1.351: 119 GMT: 1946; range: <239 – 18544; Figure 1E). We observed similar reductions in IgG binding

120 titers to the B.1 and B.1.351 RBD across these two timepoints (Figure 1F-G). We next 121 determined the impact on the neutralization capacity of these samples across the two 122 timepoints. At the 1–3-month timepoint, we observed a 4.8-fold reduction (p < 0.0001) in 123 neutralization capacity between the B.1 variant (GMT: 288; range: 29 - 2117) and the B.1.351 124 variant (GMT: 59; range: <20 - 2363). At the 3-8-month timepoint, we observed a 2.1-fold 125 reduction (p < 0.0001) in neutralization capacity between the B.1 variant (GMT: 107; range: < 20 -126 836) and the B.1.351 variant (GMT: 50; range: <20 - 627). Of the samples that exhibited 127 neutralization against the D614G variant, 7 of 30 samples (23%) at the 1-3-month timepoint and 128 4 of 26 samples (15%) at the 3-8-month timepoint failed to neutralize the B.1.351 variant. 129 Regression analysis showed a significant correlation between B.1-lineage RBD-specific IgG and neutralization titers against the B.1 variant ( $R^2 = 0.5$ ; p<0.0001; Fig 1K). Unlike the acutely 130 131 infected COVID-19 patients, the convalescent COVID-19 infected individuals did not show a 132 correlation between the B.1.351 RBD-specific IgG and neutralization titers against the B.1.351 133 variant ( $R^2 = 0.06$ ; p=0.06; Fig 1L). Taken together, these data demonstrate that antibody titers 134 are reduced through 8 months following SARS-CoV-2 infection, however, there is a modest impact on the neutralization potency against the B.1.351 variant. 135

136

137 The messenger RNA vaccine, mRNA-1273, generates durable neutralizing antibodies against 138 SARS-CoV-2 (Anderson et al., 2020). We examined binding and neutralizing antibody titers in 139 19 healthy adult participants that received two injections of the mRNA-1273 vaccine at a dose of 140 100 µg (age >56 years; 14 days post-2nd dose; **Supplementary Table 2**). We found that all 141 vaccinated individuals had significantly reduced IgG binding to the B.1.351 RBD (GMT: 83909; range: 2588 - 333451) as compared to the B.1-lineage RBD-specific IgG responses (GMT: 142 143 316554; range: 7313 – 975553; **Fig 2A**). Similarly, we observed a 3.8-fold reduction (*p*<0.0001) 144 in neutralization capacity between the B.1. variant (GMT: 734; range: 256 - 2868) and the

B.1.351 variant (GMT: 191; range: 61 – 830; **Fig 2B**). In contrast to the infected individuals, all vaccinated individuals retained neutralization capacity against the B.1.351 variant. Further, we observed a strong correlation between the corresponding RBD-specific IgG titers to the B.1 variant neutralization titers ( $R^2$ = 0.75; *p*<0.0001; **Fig 2C**) and the B.1.351 variant neutralization titers ( $R^2$ = 0.85; *p*<0.0001). These findings demonstrate that the antibodies elicited by the mRNA-1273 vaccine are effective at neutralizing the B.1.351 variant.

151

152 This study examined the impact of infection- and vaccine-induced antibody responses against 153 two SARS-CoV-2 variants. We observed reduced antibody binding to the B.1.351-derived RBD 154 of the spike protein and neutralization potency against the B.1.351 variant virus in sera from 155 SARS-CoV-2 infected and vaccinated individuals. Using our longitudinal convalescent COVID-156 19 cohort, we examined the impact on antibody binding to the RBD and viral neutralization 157 across the SARS-CoV-2 variants. One of the interesting findings is that in most convalescent 158 COVID-19 individuals, we observed less of an impact on viral neutralization against the B.1.351 159 variant at longer periods after infection. This suggests that antibodies capable of neutralizing the 160 B.1.351 variant are generated early during infection and are durable for several months.

161

162 The immune correlates of protection against SARS-CoV-2 are not yet known. We and others 163 have previously shown, IgG antibody responses to the RBD can serve as a surrogate of viral 164 neutralization in infected individuals (Greaney et al., 2021; Piccoli et al., 2020; Suthar et al., 165 2020). However, the B.1.351 contains three mutations (K417N, E484K and N501Y) within the 166 RBD, which, combined, likely impact antibody binding and viral neutralization. Of these 167 mutations, we and others have shown that the presence of N501Y mutation within the RBD in 168 B.1.1.7 UK variant does not affect the neutralizing ability of serum from either naturally infected 169 or mRNA-1273 vaccinated individuals (Edara et al., 2021; Johnson et al., 2021; Rathnasinghe

170 et al., 2021; Shen et al., 2021; Wu et al., 2021). The substitution at position E484, located in the receptor-binding ridge epitope (Greaney et al., 2021), shows resistance to the neutralization of 171 172 convalescent human sera. Single point mutant pseudoviruses, chimeric viruses, or recombinant 173 infectious clone-derived SARS-CoV-2 have demonstrated that this mutation displays resistance 174 to neutralization by infection- and vaccine-induced antibodies (Johnson et al., 2021; Liu et al., 175 2021; Shen et al., 2021; Xie et al., 2021). This suggests that a majority of individuals develop 176 antibodies that target this region within the RBD. However, it is still unclear whether this 177 mutation also impacts viral fitness, pathogenesis or transmission.

178

179 We observed that most of the sera samples from acute and convalescent COVID-19 individuals 180 showed antibody binding to the B.1.351-dervied RBD. In addition to most of these samples 181 showing capacity to neutralize the B.1.351 variant, the effector functions of these antibodies 182 could also contribute to controlling SARS-CoV-2 infection. Recent studies have shown that 183 antibody Fc effector functions are important for mediating protection against SARS-CoV-2 in 184 mouse and hamster models (Schäfer et al., 2021; Winkler et al., 2020). Future studies should 185 evaluate the contribution of Fc effector functions in promoting viral control and protective 186 immunity following infection or vaccination against SARS-CoV-2.

187

188 One of the limitations is that our study focused on antibody binding to the RBD of the spike 189 protein. It is becoming increasingly clear that monoclonal antibodies targeting the N-terminal 190 domain and other regions of the spike protein outside of the RBD can neutralize SARS-CoV-2 191 (Greaney et al., 2021; Liu et al., 2021; Suryadevara et al., 2021). Examining binding to the full-192 length B.1.351 spike protein, as well as individual point mutations, will provide important insight 193 to the breadth of the antibody response to the viral spike protein following virus infection and 194 vaccination. Another limitation is that the B.1.351 virus that we used in our study contains two 195 substitutions within the spike protein that were not reported in the reference sequence deposited

into GISAID (EPI\_ISL\_678615). One of these is a substitution of a Q677H, which has now been 196 197 reported in multiple lineages of circulating variants of SARS-CoV-2 in the US population as early 198 as mid-August 2020 (Hodcroft et al., 2021). The other is a substitution (R682W) within the furin 199 cleavage motif (PRRAR) located between the S1/S2 regions of the spike protein. 200 201 Our results show that despite few fold decrease, most infected individuals showed binding and 202 neutralizing titers against the B.1.351 variant in acute and convalescent sera, and further, all 203 mRNA-1273 vaccinated individuals still maintained neutralization. These findings support the 204 notion that in the context of the B.1.351 variant, infection- and vaccine-induced immunity can

205 provide protection against COVID-19.

bioRxiv preprint doi: https://doi.org/10.1101/2021.02.20.432046; this version posted February 22, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

#### 206 Acknowledgments

207 This work was supported in part by grants (P51 OD011132, 3U19Al057266-17S1, 208 R01AI127799, R01AI148378, K99AI153736. U19Al090023, 1UM1AI148576-01, 209 5R38AI140299-03 and UM1AI148684 to Emory University) from the National Institute of Allergy 210 and Infectious Diseases (NIAID), National Institutes of Health (NIH), by the Emory Executive 211 Vice President for Health Affairs Synergy Fund award, the Pediatric Research Alliance Center 212 for Childhood Infections and Vaccines and Children's Healthcare of Atlanta, COVID-Catalyst-I<sup>3</sup> 213 Funds from the Woodruff Health Sciences Center and Emory School of Medicine, Woodruff 214 Health Sciences Center 2020 COVID-19 CURE Award, and the Vital Projects/Proteus funds. 215 We also thank Jim Wilbur (Mesoscale Discovery) for providing reagents to perform the RBD-216 binding assays. The following reagent was obtained through BEI Resources, NIAID, NIH: 217 SARS-Related Coronavirus 2, Isolate hCoV-19/South Africa/KRISP-K005325/2020, NR-54009, 218 contributed by Alex Sigal and Tulio de Oliveira. We thank Natalie Thornburg, Clinton Paden, 219 and Suxiang Tong for sequencing and analysis of the B.1.351 variant (CDC, Atlanta, GA).

220

#### 222 Figure 1. RBD binding and neutralizing antibody response against SARS-CoV-2 B.1.351 variant in SARS-CoV-2 infected individuals. Shown are data from the following cohorts 223 224 based on natural infection: 19 acutely infected COVID-19 patients (5-19 days PSO; closed 225 symbols), 30 convalescent COVID-19 individuals (1-3 months and 3-8 months PSO, closed 226 symbols) and 18 healthy controls (open symbols). (A) IgG antibody responses against SARS-227 CoV-2 receptor binding domain (RBD) were measured by an electrochemiluminescent multiplex 228 immunoassay and reported as arbitrary units per ml (AU/ml) as normalized by a standard curve 229 for the B.1 (black) and B.1.351 (red) SARS-CoV-2 variants, (B) The 50% inhibitory titer 230 (FRNT<sub>50</sub>) on the focus reduction neutralization test (FRNT) for the B.1 (black) and B.1.351 (red) 231 SARS-CoV-2 variants, and correlations plots between the corresponding RBD and FRNT<sub>50</sub> for 232 the (C) B.1 variant and (D) B.1.351 variant are shown for the acutely infected COVID-19 233 patients. (E) Comparison of IgG antibody responses between the B.1 (black) and B.1.351 (red) 234 SARS-CoV-2 variants at 1-3 month and the B.1 (grey) and B.1.351 (orange) SARS-CoV-2 235 variants at 3-8 month time points are shown for the convalescent COVID-19 patients. Changes 236 in IgG antibody responses over two time points through 8 months for the (F) B.1 (1-3 months 237 (black), 3-8 months (grey)) and (G) B.1.351 (1-3 months (red), 3-8 months (orange)) are shown for the convalescent COVID-19 patients. (H) Comparison of FRNT<sub>50</sub> titer between the B.1 238 239 (black) and B.1.351 (red) SARS-CoV-2 variants at 1-3 month and the B.1 (grey) and B.1.351 240 (orange) SARS-CoV-2 variants at 3-8 month time points are shown for the convalescent 241 COVID-19 patients. Changes in FRNT<sub>50</sub> titers over two time points through 8 months for the (I) 242 B.1 (1-3 months (black), 3-8 months (grey)) and (J) B.1.351 (1-3 months (red), 3-8 months 243 (orange)) are shown for the convalescent COVID-19 patients. Correlations plots between the 244 corresponding RBD and FRNT<sub>50</sub> for the (K) B.1 variant (1-3 month (black), 3-8 month (grey)) 245 and (L) B.1.351 variant (1-3 month (red), 3-8 month (orange)) are shown for the convalescent 246 COVID-19 patients. The dotted line in the RBD binding assays represents the limit of detection 247 (239 IgG AU/ml). The dotted line in the FRNT assays represents the maximum concentrations

of the serum tested (1/20). Statistical significance was determined using a Wilcoxon paired ttest. The GMT fold change for the respective isolates relative to B.1 is shown in each of the plots. Correlation analysis was performed by log transformation of the RBD-specific IgG AU/mI values or FRNT<sub>50</sub> titers followed by linear regression analysis.

252

253 Figure 2. RBD binding and neutralizing antibody response against SARS-CoV-2 B.1.351 254 viral variant among mRNA-1273 vaccinated individuals. Shown are data from the individuals 255 that received 100 µg of mRNA-1273 on day 14 post-2nd dose (>56 years or older, 19 256 participants; closed symbols) and 18 healthy controls (open symbols). (A) IgG antibody 257 responses against SARS-CoV-2 receptor binding domain (RBD) were measured by 258 an electrochemiluminescent multiplex immunoassay and reported as arbitrary units per ml 259 (AU/ml) as normalized by a standard curve, for the B.1 (black) and B.1.351 (red) SARS-CoV-2 260 variants (B) The 50% inhibitory titer (FRNT<sub>50</sub>) on the focus reduction neutralization test (FRNT) 261 for the B.1 (black) and B.1.351 (red) SARS-CoV-2 variants, and correlations plots between the 262 corresponding RBD and FRNT<sub>50</sub> for the (C) B.1 variant and (D) B.1.351 variant are shown. The 263 dotted line in the RBD binding assays represents the limit of detection (239 AU/ml). The dotted 264 line in the FRNT assays represents the maximum concentrations of the serum tested (1/20). 265 Statistical significance was determined using a Wilcoxon paired t-test. The GMT fold change for 266 the respective isolates relative to B.1 is shown in each of the plots. Correlation analysis was 267 performed by log transformation of the RBD-specific IgG AU/ml values or FRNT<sub>50</sub> titers followed 268 by linear regression analysis.

269

Supplemental Figure 1. (A) Structure of SARS-CoV-2 spike protein (single monomer is shown)
(Walls et al., 2020) with the mutations highlighted in red. Additional mutations Q677H and
R682W that are not reported in the GISAID reference sequence (EPI\_ISL\_678615) were

- 273 highlighted in green. (B) A schematic of the amino acid changes within the spike protein are
- shown between the SARS-CoV-2 variants.

275

bioRxiv preprint doi: https://doi.org/10.1101/2021.02.20.432046; this version posted February 22, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

## 277 STAR METHODS

## 278 KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
CR3022-biotin	Dr. Jens Wrammert Emory University	
Virus Strains		
SARS-CoV-2/human/USA/GA-EHC-083E/2020	residual	
(EHC-083E)	nasopharyngeal	
	swab	
N501Y HV2 (B.1.351)	BEI Resources	
Biological Samples		
Acute and Convalescent human Serum/Plasma	Emory University	
samples	Hospital	
mRNA-1273 Phase-1 study samples	Division of	
	Microbiology and	
	Infectious Diseases, NIAID	
Chemicals, Peptides, and Recombinant Proteins		
Methylcellulose	Sigma-Aldrich	Cat. #: M0512-
		250G
TrueBlue Peroxidase Substrate	KPL	Cat. #: 5510-0050
Experimental Models: Cell Lines		
VeroE6 C1008 cells	ATCC	Cat# CRL-1586,
		RRID:CVCL_0574
Software and Algorithms		
GraphPad Prism (v7 and v8)	N/A	N/A

279

## 280 **RESOURCE AVAILABILITY**

281 Lead Contact: Further information and requests for resources and reagents should be directed

to and will be fulfilled by the Lead Contact Author Mehul Suthar (mehul.s.suthar@emory.edu).

Materials availability: All unique/stable reagents generated in this study are available from the
 Lead Contact with a completed Materials Transfer Agreement.

286

Data and code availability: The datasets supporting the current study are available from the
 corresponding author on request.

289

## 290 EXPERIMENT MODEL AND SUBJECT DETAILS

#### 291 Ethics Statement

292 For samples Emory University, collection and processing were performed under approval from 293 the University Institutional Review Board (IRB #00001080 and #00022371). Adults ≥18 years 294 were enrolled who met eligibility criteria for SARS-CoV-2 infection (PCR confirmed by a 295 commercially available assay) and provided informed consent. For the mRNA-1273 phase 1 296 clinical trial, the neutralization assays were conducted on deidentified specimens, as protocol-297 defined research. The mRNA-1273 phase 1 clinical trial (NCT04283461) was reviewed and 298 approved by the Advarra institutional review board, which functioned as a single board. The 299 trial was overseen by an independent safety monitoring committee. All participants provided 300 written informed consent before enrollment. The trial was conducted under an Investigational 301 New Drug application submitted to the Food and Drug Administration. The NIAID served as the 302 trial sponsor and made all decisions regarding the study design and implementation.

303

#### 304 Serum samples

For Emory University, acute peripheral blood samples were collected from hospitalized patients
at the time of enrollment. Convalescent samples from COVID-19 patients were collected when

the patients were able to return for a visit to the clinical research site at the next study visit. Convalescent samples were collected at a range of times (1-8 months) post symptom onset. Serum samples for the mRNA-1273 phase 1 study were obtained from the Division of Microbiology and Infectious Diseases, National Institute of Allergy and Infectious diseases for the mRNA-1273 phase 1 study team and Moderna Inc. Study protocols and results were previously reported (Anderson et al., 2020). Samples tested were collected from 19 healthy individuals on day 14 post-2<sup>nd</sup> dose of the mRNA-1273 vaccine.

314

#### 315 Cells

VeroE6 cells were obtained from ATCC (clone E6, ATCC, #CRL-1586) and cultured in complete
DMEM medium consisting of 1x DMEM (VWR, #45000-304), 10% FBS, 25mM HEPES Buffer
(Corning Cellgro), 2mM L-glutamine, 1mM sodium pyruvate, 1x Non-essential Amino Acids, and
1x antibiotics.

320

#### 321 Virus isolation and sequencing

322 EHC-083E (herein referred to as the B.1 variant) was derived from a residual nasopharyngeal 323 swab collected from an Emory Healthcare patient in March 2020, as part of a study approved by 324 the institutional review board at Emory University. As described previously (Babiker et al., 325 2020), the primary sample underwent RNA extraction, DNAse treatment, random primer cDNA 326 synthesis, Nextera XT tagmentation, Illumina sequencing, and reference-based viral genome 327 assembly. Results were confirmed by sequencing of an independent library. A total of 328 47,542,787 reads were derived from this sample, leading to 100% SARS-CoV-2 genome 329 coverage with a mean depth of 488X. All sequencing reads (cleaned of human reads) are available on NCBI under BioProject PRJNA634356, and the consensus SARS-CoV-2 genome 330

331 is available under GenBank accession number MW008579.1. Following virus isolation, culture 332 supernatant underwent metagenomic sequencing as described above. A total of 836,424 333 paired-end 150bp reads were generated by Illumina MiSeq, and reference-based SARS-CoV-2 334 genome assembly was performed using viral-ngs v.2.1.7 (https://github.com/broadinstitute/viral-335 ngs) with reference sequence NC\_045512.2. The resulting consensus sequence had 100% 336 coverage with a mean depth of 750X and was identical to the consensus sequence from the 337 primary sample. The B.1 variant was plaque purified on VeroE6 cells propagated two times on 338 VeroE6 cells (MOI 0.01), aliguoted to generate a working stock and sequenced. The B.1.351 339 variant was isolated as previously described (Tegally et al., 2020). Our laboratory plaque 340 isolated the virus on VeroE6 cells followed by a single round of propagation on VeroE6 cells 341 (MOI 0.05), aliquoted to generate a working stock and sequenced. As described 342 (https://github.com/CDCgov/SARS-CoV-2\_Sequencing/blob/master/protocols/CDC-

343 Comprehensive/CDC SARS-CoV-2 Sequencing 200325-2.pdf) the primary sample underwent 344 RNA extraction and cDNA synthesis was performed with random primers followed by pooling 345 non-overlapping amplicons and Barcoding and library prep with ONT Ligation protocol and 96 346 PCR Barcoding expansion. Quality check was performed by excluding reads that are not in 200-347 800 base range. The resulting sequences were mapped to Wuhan reference with minimap2. 348 Soft clip primer regions were identified using BAMClipper based on mapping position. 349 Consensus variants were identified using ONT Medaka software and the variants were filtered 350 with < 30 g score. Finally, variants and masking were applied to the reference sequence. Viral 351 titers were determined by focus-forming assay on VeroE6 cells. Viral stocks were stored at -352 80°C until use.

353

354 **RBD-binding assay** 

355 Plasma from acute and convalescent COVID-19 patients, mRNA-1273 vaccine recipients (14 356 days post-dose 2), and healthy controls was tested for IgG antibody binding against SARS-CoV-357 2 reference RBD (herein referred to the B.1-lineage RBD). B.1.351 as and 358 RBD using an electrochemiluminescent-based multiplex immunoassay (kindly provided by 359 Mesoscale Discovery (MSD)). Plates pre-coated with the RBD antigens were blocked for 30 360 minutes at room temperature, shaking at a speed of 700 rpm, with 150 uL per well of MSD 361 Blocker A. To assess IgG binding, plasma samples were diluted 1:5000 and MSD Reference 362 Standard-1 was diluted per MSD instructions in MSD Diluent 100. 50 uL of each sample and 363 Reference Standard-1 dilution was added to the plates and incubated for two hours at room 364 temperature, shaking at a speed of 700 rpm. Following this, 50 uL per well of 1X MSD SULFO-365 TAG<sup>™</sup> Anti-Human IgG Antibody was added and incubated for one hour at room temperature, 366 shaking at a speed of 700 rpm. Following the detection reagent step, 150 uL per well of 367 MSD Gold<sup>™</sup> Read Buffer B was added to each plate immediately prior to reading on an MSD 368 plate reader. Plates were washed three times with 300 uL PBS/0.05% Tween between each 369 step. Data was analyzed using Discovery Workbench and GraphPad Prism software. 370 Plasma antibody concentration in arbitrary units (AU) was calculated relative to Reference 371 Standard 1.

372

373

#### 374 Focus Reduction Neutralization Assay

375 FRNT assays were performed as previously described (Vanderheiden et al., 2020). Briefly, 376 samples were diluted at 3-fold in 8 serial dilutions using DMEM (VWR, #45000-304) in 377 duplicates with an initial dilution of 1:10 in a total volume of 60  $\mu$ l. Serially diluted samples were 378 incubated with an equal volume of SARS-CoV-2 (100-200 foci per well) at 37° C for 1 hour in a 379 round-bottomed 96-well culture plate. The antibody-virus mixture was then added to Vero cells

380 and incubated at 37° C for 1 hour. Post-incubation, the antibody-virus mixture was removed and 381 100 µl of prewarmed 0.85% methylcellulose (Sigma-Aldrich, #M0512-250G) overlay was added to each well. Plates were incubated at 37° C for 24 hours. After 24 hours, methylcellulose 382 383 overlay was removed, and cells were washed three times with PBS. Cells were then fixed with 384 2% paraformaldehyde in PBS (Electron Microscopy Sciences) for 30 minutes. Following fixation, 385 plates were washed twice with PBS and 100 µl of permeabilization buffer (0.1% BSA [VWR, 386 #0332], Saponin [Sigma, 47036-250G-F] in PBS), was added to the fixed Vero cells for 20 387 minutes. Cells were incubated with an anti-SARS-CoV spike primary antibody directly 388 conjugated to biotin (CR3022-biotin) for 1 hour at room temperature. Next, the cells were 389 washed three times in PBS and avidin-HRP was added for 1 hour at room temperature followed 390 by three washes in PBS. Foci were visualized using TrueBlue HRP substrate (KPL, # 5510-391 0050) and imaged on an ELISPOT reader (CTL).

392

#### 393 Quantification and Statistical Analysis

394 Antibody neutralization was quantified by counting the number of foci for each sample using the 395 Viridot program (Katzelnick et al., 2018). The neutralization titers were calculated as follows: 1 -396 (ratio of the mean number of foci in the presence of sera and foci at the highest dilution of 397 respective sera sample). Each specimen was tested in duplicate. The FRNT-50 titers were 398 interpolated using a 4-parameter nonlinear regression in GraphPad Prism 8.4.3. Samples that 399 do not neutralize at the limit of detection at 50% are plotted at 15 and was used for geometric 400 mean calculations. The SARS-CoV-2 Spike structure was visualized with PyMOL (Schrödinger, 401 Inc.).

402

403

#### 404 **References**

Anand, S.P., Prevost, J., Nayrac, M., Beaudoin-Bussieres, G., Benlarbi, M., Gasser, R.,
Brassard, N., Laumaea, A., Gong, S.Y., Bourassa, C., *et al.* (2021). Longitudinal analysis of
humoral immunity against SARS-CoV-2 Spike in convalescent individuals up to 8 months postsymptom onset. bioRxiv.

Anderson, E.J., Rouphael, N.G., Widge, A.T., Jackson, L.A., Roberts, P.C., Makhene, M.,
Chappell, J.D., Denison, M.R., Stevens, L.J., Pruijssers, A.J., *et al.* (2020). Safety and
Immunogenicity of SARS-CoV-2 mRNA-1273 Vaccine in Older Adults. New England Journal of
Medicine 383, 2427-2438.

Babiker, A., Bradley, H.L., Stittleburg, V.D., Ingersoll, J.M., Key, A., Kraft, C.S., Waggoner, J.J.,
and Piantadosi, A. (2020). Metagenomic Sequencing To Detect Respiratory Viruses in Persons
under Investigation for COVID-19. J Clin Microbiol *59*.

Dan, J.M., Mateus, J., Kato, Y., Hastie, K.M., Yu, E.D., Faliti, C.E., Grifoni, A., Ramirez, S.I.,
Haupt, S., Frazier, A., *et al.* (2021). Immunological memory to SARS-CoV-2 assessed for up to
8 months after infection. Science (New York, NY) *371*.

Edara, V.V., Floyd, K., Lai, L., Gardner, M., Hudson, W., Piantadosi, A., Waggoner, J.J.,
Babiker, A., Ahmed, R., Xie, X., *et al.* (2021). Infection and mRNA-1273 vaccine antibodies
neutralize SARS-CoV-2 UK variant. medRxiv, 2021.2002.2002.21250799.

422 Gobeil, S.M., Janowska, K., McDowell, S., Mansouri, K., Parks, R., Manne, K., Stalls, V., Kopp, 423 M.F., Henderson, R., Edwards, R.J., *et al.* (2021). D614G Mutation Alters SARS-CoV-2 Spike 424 Conformation and Enhances Protease Cleavage at the S1/S2 Junction. Cell Rep *34*, 108630.

425 Greaney, A.J., Starr, T.N., Gilchuk, P., Zost, S.J., Binshtein, E., Loes, A.N., Hilton, S.K., 426 Huddleston, J., Eguia, R., Crawford, K.H.D., *et al.* (2021). Complete Mapping of Mutations to the 427 SARS-CoV-2 Spike Receptor-Binding Domain that Escape Antibody Recognition. Cell host & 428 microbe *29*, 44-57.e49.

Hodcroft, E.B., Domman, D.B., Oguntuyo, K., Snyder, D.J., Diest, M.V., Densmore, K.H.,
Schwalm, K.C., Femling, J., Carroll, J.L., Scott, R.S., *et al.* (2021). Emergence in late 2020 of
multiple lineages of SARS-CoV-2 Spike protein variants affecting amino acid position 677.
medRxiv, 2021.2002.2012.21251658.

Jackson, L.A., Anderson, E.J., Rouphael, N.G., Roberts, P.C., Makhene, M., Coler, R.N.,
McCullough, M.P., Chappell, J.D., Denison, M.R., Stevens, L.J., *et al.* (2020). An mRNA
Vaccine against SARS-CoV-2 - Preliminary Report. The New England journal of medicine *383*,
1920-1931.

437 Johnson, B.A., Xie, X., Bailey, A.L., Kalveram, B., Lokugamage, K.G., Muruato, A., Zou, J., 438 Zhang, X., Juelich, T., Smith, J.K., *et al.* (2021). Loss of furin cleavage site attenuates SARS-

439 CoV-2 pathogenesis. Nature.

Katzelnick, L.C., Coello Escoto, A., McElvany, B.D., Chávez, C., Salje, H., Luo, W., RodriguezBarraquer, I., Jarman, R., Durbin, A.P., Diehl, S.A., *et al.* (2018). Viridot: An automated virus
plaque (immunofocus) counter for the measurement of serological neutralizing responses with
application to dengue virus. PLoS Negl Trop Dis *12*, e0006862.

Liu, Z., VanBlargan, L.A., Bloyet, L.M., Rothlauf, P.W., Chen, R.E., Stumpf, S., Zhao, H., Errico,
J.M., Theel, E.S., Liebeskind, M.J., *et al.* (2021). Identification of SARS-CoV-2 spike mutations
that attenuate monoclonal and serum antibody neutralization. Cell Host Microbe.

Ozono, S., Zhang, Y., Ode, H., Sano, K., Tan, T.S., Imai, K., Miyoshi, K., Kishigami, S., Ueno,
T., Iwatani, Y., *et al.* (2021). SARS-CoV-2 D614G spike mutation increases entry efficiency with
enhanced ACE2-binding affinity. Nat Commun *12*, 848.

Piccoli, L., Park, Y.J., Tortorici, M.A., Czudnochowski, N., Walls, A.C., Beltramello, M., SilacciFregni, C., Pinto, D., Rosen, L.E., Bowen, J.E., *et al.* (2020). Mapping Neutralizing and
Immunodominant Sites on the SARS-CoV-2 Spike Receptor-Binding Domain by StructureGuided High-Resolution Serology. Cell *183*, 1024-1042.e1021.

454 Plante, J.A., Liu, Y., Liu, J., Xia, H., Johnson, B.A., Lokugamage, K.G., Zhang, X., Muruato,
455 A.E., Zou, J., Fontes-Garfias, C.R., *et al.* (2020). Spike mutation D614G alters SARS-CoV-2
456 fitness. Nature.

457 Pradenas, E., Trinite, B., Urrea, V., Marfil, S., Avila-Nieto, C., Rodriguez de la Concepcion, M.L.,
458 Tarres-Freixas, F., Perez-Yanes, S., Rovirosa, C., Ainsua-Enrich, E., *et al.* (2021). Stable
459 neutralizing antibody levels six months after mild and severe COVID-19 episode. Med (N Y).

Rathnasinghe, R., Jangra, S., Cupic, A., Martínez-Romero, C., Mulder, L.C.F., Kehrer, T., Yildiz,
S., Choi, A., Mena, I., De Vrieze, J., *et al.* (2021). The N501Y mutation in SARS-CoV-2 spike
leads to morbidity in obese and aged mice and is neutralized by convalescent and postvaccination human sera. medRxiv, 2021.2001.2019.21249592.

Schäfer, A., Muecksch, F., Lorenzi, J.C.C., Leist, S.R., Cipolla, M., Bournazos, S., Schmidt, F.,
Maison, R.M., Gazumyan, A., Martinez, D.R., *et al.* (2021). Antibody potency, effector function,
and combinations in protection and therapy for SARS-CoV-2 infection in vivo. The Journal of
experimental medicine *218*.

Shen, X., Tang, H., McDanal, C., Wagh, K., Fischer, W., Theiler, J., Yoon, H., Li, D., Haynes,
B.F., Sanders, K.O., *et al.* (2021). SARS-CoV-2 variant B.1.1.7 is susceptible to neutralizing
antibodies elicited by ancestral Spike vaccines. bioRxiv.

# bioRxiv preprint doi: https://doi.org/10.1101/2021.02.20.432046; this version posted February 22, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

Sherina, N., Piralla, A., Du, L., Wan, H., Kumagai-Braesch, M., Andrell, J., Braesch-Andersen,
S., Cassaniti, I., Percivalle, E., Sarasini, A., *et al.* (2021). Persistence of SARS-CoV-2 specific
B- and T-cell responses in convalescent COVID-19 patients 6-8 months after the infection. Med
(N Y).

Suryadevara, N., Shrihari, S., Gilchuk, P., VanBlargan, L.A., Binshtein, E., Zost, S.J., Nargi,
R.S., Sutton, R.E., Winkler, E.S., Chen, E.C., *et al.* (2021). Neutralizing and protective human
monoclonal antibodies recognizing the N-terminal domain of the SARS-CoV-2 spike protein.
bioRxiv.

Suthar, M.S., Zimmerman, M.G., Kauffman, R.C., Mantus, G., Linderman, S.L., Hudson, W.H.,
Vanderheiden, A., Nyhoff, L., Davis, C.W., Adekunle, O., *et al.* (2020). Rapid Generation of
Neutralizing Antibody Responses in COVID-19 Patients. Cell Rep Med *1*, 100040.

Tegally, H., Wilkinson, E., Giovanetti, M., Iranzadeh, A., Fonseca, V., Giandhari, J., Doolabh,
D., Pillay, S., San, E.J., Msomi, N., *et al.* (2020). Emergence and rapid spread of a new severe
acute respiratory syndrome-related coronavirus 2 (SARS-CoV-2) lineage with multiple spike
mutations in South Africa. 2020.2012.2021.20248640.

Vanderheiden, A., Edara, V.V., Floyd, K., Kauffman, R.C., Mantus, G., Anderson, E., Rouphael,
N., Edupuganti, S., Shi, P.Y., Menachery, V.D., *et al.* (2020). Development of a Rapid Focus
Reduction Neutralization Test Assay for Measuring SARS-CoV-2 Neutralizing Antibodies. Curr
Protoc Immunol *131*, e116.

Walls, A.C., Park, Y.J., Tortorici, M.A., Wall, A., McGuire, A.T., and Veesler, D. (2020).
Structure, Function, and Antigenicity of the SARS-CoV-2 Spike Glycoprotein. Cell *181*, 281292.e286.

493 WHO (2021). <u>https://covid19.who.int/</u>.

Wibmer, C.K., Ayres, F., Hermanus, T., Madzivhandila, M., Kgagudi, P., Lambson, B.E.,
Vermeulen, M., van den Berg, K., Rossouw, T., Boswell, M., *et al.* (2021). SARS-CoV-2
501Y.V2 escapes neutralization by South African COVID-19 donor plasma.
2021.2001.2018.427166.

Widge, A.T., Rouphael, N.G., Jackson, L.A., Anderson, E.J., Roberts, P.C., Makhene, M.,
Chappell, J.D., Denison, M.R., Stevens, L.J., Pruijssers, A.J., *et al.* (2021). Durability of
Responses after SARS-CoV-2 mRNA-1273 Vaccination. The New England journal of medicine *384*, 80-82.

Winkler, E.S., Gilchuk, P., Yu, J., Bailey, A.L., Chen, R.E., Zost, S.J., Jang, H., Huang, Y., Allen,
J.D., Case, J.B., *et al.* (2020). Human neutralizing antibodies against SARS-CoV-2 require
intact Fc effector functions and monocytes for optimal therapeutic protection. bioRxiv,
2020.2012.2028.424554.

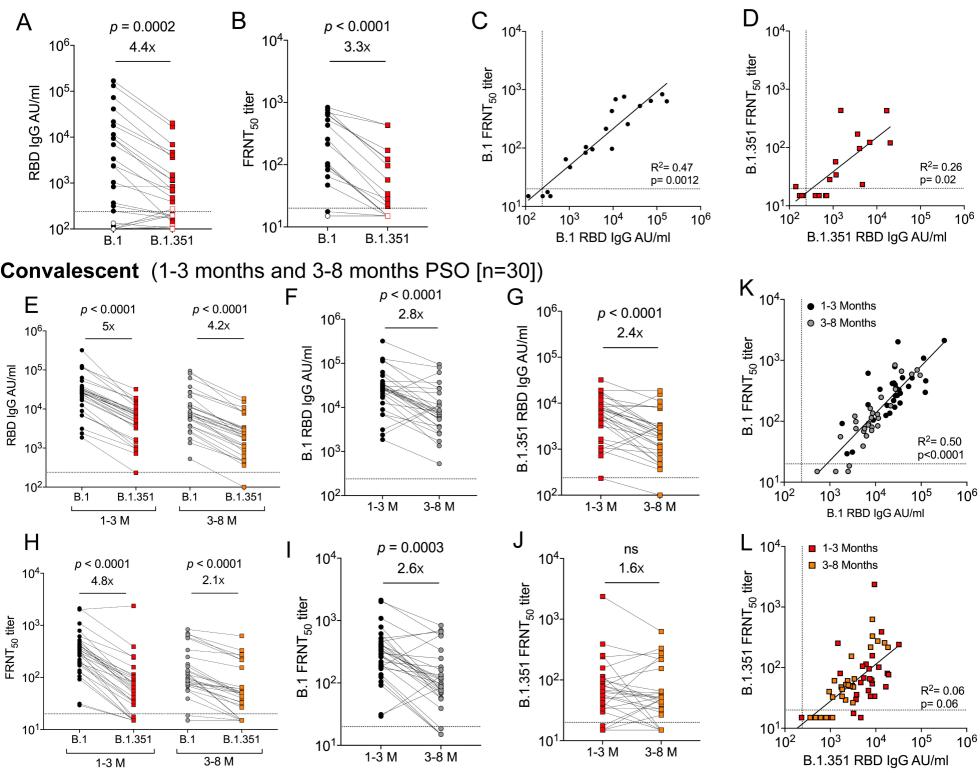
506 Wu, K., Werner, A.P., Koch, M., Choi, A., Narayanan, E., Stewart-Jones, G.B.E., Colpitts, T., 507 Bennett, H., Boyoglu-Barnum, S., Shi, W., *et al.* (2021). Serum Neutralizing Activity Elicited by 508 mRNA-1273 Vaccine — Preliminary Report. New England Journal of Medicine.

Xie, X., Liu, Y., Liu, J., Zhang, X., Zou, J., Fontes-Garfias, C.R., Xia, H., Swanson, K.A., Cutler,
 M., Cooper, D., *et al.* (2021). Neutralization of SARS-CoV-2 spike 69/70 deletion, E484K, and

511 N501Y variants by BNT162b2 vaccine-elicited sera. bioRxiv, 2021.2001.2027.427998.

## Figure 1

Acute (day 5-19 PSO [n=19], healthy controls [n=18])



## Figure 2

mRNA-1273 (day 14 post-2nd dose [n=19]; healthy controls [n=17])

