Muscle-specific economy of force generation and efficiency of work production during human running

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20 Abstract

21 Human running features a spring-like interaction of body and ground, enabled by elastic tendons that 22 store mechanical energy and facilitate muscle operating conditions to minimize the metabolic cost. By experimentally assessing the operating conditions of two important muscles for running, the soleus and 23 24 vastus lateralis, we investigated physiological mechanisms of muscle energy production and muscle 25 force generation. Results showed that the soleus continuously shortened throughout the stance phase, operating as energy generator under conditions that were found to be optimal for work production: high 26 27 force-length potential and enthalpy efficiency. The vastus lateralis promoted tendon energy storage and 28 contracted nearly isometrically close to optimal length, resulting in a high force-length-velocity potential 29 beneficial for economical force generation. The favorable operating conditions of both muscles were a 30 result of an effective length and velocity-decoupling of fascicles and muscle-tendon unit mostly due to 31 tendon compliance and, in the soleus, marginally by fascicle rotation. 32 33 34 Key words: force-length and force-velocity relationship, enthalpy-velocity relationship, soleus and

vastus lateralis muscle, length and velocity-decoupling, tendon elasticity, metabolic cost of running

37 Introduction

38 During locomotion, muscles generate force and perform work in order to support and accelerate the 39 body and the activation of the lower limb muscles accounts for most of the metabolic energy cost needed 40 to walk or run [1–3]. Running is characterized by a spring-like interaction of the body with the ground, indicating temporally storage of kinetic and potential energy from the body in elastic elements, mainly 41 42 tendons, as strain energy that can be recovered in the propulsive second half of the stance phase [3-43 5]. Storing mechanical energy in elastic tendons reduces the required energy production by the muscles through active shortening, which leads to lower metabolic energy cost [6-8] and a decrease in active 44 45 muscle volume [4,9,10]. Thus, the consequence of this spring-like behavior is a reduction in the 46 metabolic cost of running and an improvement in running economy. 47 At the muscle level, however, it has been shown that the triceps surae muscle group produces muscular work/energy during the stance phase of steady-state running [11]. The soleus is the largest muscle in 48 49 this group [12] and does work by active shortening throughout the entire stance phase [13,14]. In the

- 50 first part of the stance phase, the performed muscular work is stored in the Achilles tendon as elastic 51 strain energy. During the later propulsion phase, the tendon strain energy recoil contributes to the 52 muscular energy production, suggesting an energy amplification behavior [4] within the triceps surae 53 muscle-tendon unit (MTU) during running. On the contrary, the vastus lateralis muscle (VL), as the main 54 muscle of the quadriceps femoris muscle group [15], operates nearly isometrically despite a lengthening-55 shortening behavior of the VL MTU [16,17]. The almost isometric contraction suggests a negligible 56 mechanical work production by the VL during running and a spring-like energy exchange between body 57 and VL MTU, i.e. promoting energy conservation [3,4].
- 58 The triceps surae and the quadriceps muscle group are considered to be crucial for running performance 59 [18,19]. The quadriceps femoris decelerates and supports the body early in stance while the triceps 60 surae accounts for the propulsion later in the stance [18,20,21]. The soleus and VL, as the main muscles 61 of both muscle groups, show marked differences in their morphological and architectural properties with 62 shorter fascicles and higher pennation angles in the soleus [13,22] compared to VL [16,23]. Because of 63 the long fascicles of the VL, a unit of force generated by this muscle is metabolically more expensive [10] compared to the soleus. Our previous findings [16] suggest that the VL operates at a high force-64 65 length-velocity potential (fraction of maximum force according to the force-length [24] and force-velocity [8] curves [13,16,25]) during running, which would indicate a fascicle contraction condition that could 66 67 minimize the energetic cost of muscle force generation. The soleus muscle instead operates as an 68 muscular energy generator through active shortening, which decreases the force-velocity potential 69 [13,14] and may increase the energetic cost of muscle force generation, marking a trade-off between 70 mechanical work production and metabolic expenses. When muscle fascicles shorten, the enthalpy 71 efficiency [26] (or mechanical efficiency [27,28]) quantifies the fraction of ATP hydrolysis that is 72 converted into mechanical work and depends on the shortening velocity, with a steep increase at low 73 shortening velocities up to a maximum at around 20% of the maximum shortening velocity (V_{max}) and a 74 decrease thereafter [27-29]. Previous findings suggest that the soleus fascicles continuously shorten at 75 a moderate velocity during the stance phase of running [13], covering a range that corresponds to a

high efficiency. Therefore, the soleus muscle may operate at fascicle conditions that would be beneficial

77 for economical work/energy production.

78 The muscle fascicle behavior is strongly influenced by the decoupling of the fascicles from the MTU excursions due to tendon elasticity and fascicle rotation [30-33]. The previously reported decoupling of 79 80 the soleus muscle indicates that tendon elasticity and fascicle rotation affect the operating fascicle length 81 and velocity during running [13,34], however their integration in the regulation of the efficiency-fascicle 82 velocity dependency is unclear. Regarding the VL muscle, it was suggested that proximal muscles like 83 the knee extensors feature shorter and less compliant tendons compared to the distal triceps surae muscles, thus limiting the decoupling between fascicles and MTU [35-37]. However, in our previous 84 85 study, we found significantly smaller VL fascicle length changes compared to the VL MTU [16], indicating 86 an important decoupling within the VL MTU due to tendon elasticity. 87 The purpose of this study was to assess the soleus and the VL fascicle behavior with regard to the

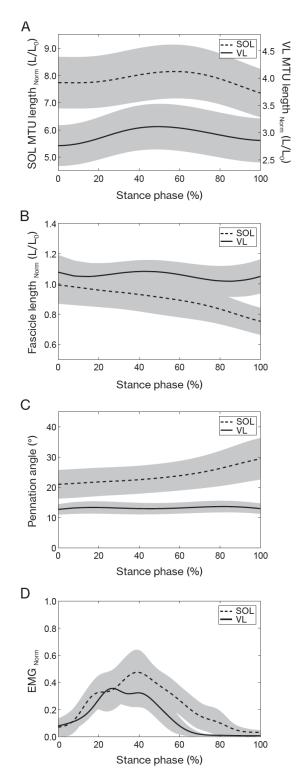
88 operating force-length-velocity potential and enthalpy efficiency to investigate physiological mechanisms 89 for muscle energy production and muscle force generation during running. We hypothesized that the 90 soleus muscle as an energy generator operates at a high force-length potential and a high enthalpy 91 efficiency, minimizing the metabolic cost of energy production. On the other hand, for the VL muscle 92 that promotes energy conservation, we hypothesized a high force-length and a high force-velocity 93 potential that would reduce the metabolic energy cost of muscle force generation. In order to investigate 94 the regulation of the efficiency and force potentials, we further quantified the length and velocity 95 decoupling of the fascicles from the MTU as well as the electromyographic (EMG) activation.

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98 **Results**

There were no significant differences in the anthropometric characteristics between groups (age p = 0.369, height p = 0.536, body mass p = 0.057). The experimentally assessed L₀ of the soleus was on average 41.3 ± 5.2 mm and significantly shorter than L₀ of the VL with 94.0 ± 11.6 mm (p < 0.001). The corresponding F_{max} of the soleus was 2887 ± 724 N, which was significantly lower compared to the 4990 ± 914 N of the VL (p < 0.001). Furthermore, the assessed V_{max} was 279 ± 35 mm/s for the soleus, significantly lower than the V_{max} of the VL with 1082 ± 133 mm/s (p < 0.001).

105 The stance and swing times during running were 304 ± 23 ms and 439 ± 26 ms for the soleus group 106 and 290 \pm 22 ms and 448 \pm 30 ms for the VL group (p = 0.075, p = 0.369). The EMG comparison showed 107 that the soleus was active throughout the entire stance phase of running while the VL was mainly active 108 in the first part of the stance and with an earlier peak of activation (soleus 41 ± 5% of stance phase, VL 109 $35 \pm 4\%$ of stance phase, p < 0.001, fig. 1). During the stance phase, the MTU of both muscles showed 110 a lengthening-shortening behavior, but the VL MTU started to shorten earlier (soleus 59 ± 2% of stance phase, VL 50 \pm 2% of stance phase, p < 0.001, fig. 1). The soleus and the VL fascicle length were clearly 111 112 decoupled from the MTU length with smaller operating length ranges throughout the whole stance (fig. 1). The soleus fascicles operated at a length close to L_0 at touchdown and then shortened continuously 113 114 until the foot lift-off (0.994 to 0.752 L/L₀, fig. 1). The operating length of the VL fascicles remained above 115 L₀ over the entire stance phase and was on average significantly longer compared to the soleus fascicles (soleus 0.899 ± 0.104 L/L₀, VL 1.054 ± 0.082 L/L₀, p < 0.001, fig. 1). 116



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118Fig. 1: Soleus (SOL, n = 19) and vastus lateralis (VL, n = 14) muscle-tendon unit (MTU) length (A) and muscle119fascicle length (normalized to optimal fascicle length L_0 , (B)), pennation angle (C) and electromyographic (EMG)120activity (normalized to a maximum voluntary isometric contraction, (D)) during the stance phase of running (mean121 \pm SD).

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The stance phase-averaged force-length potential of both muscles was high and not significantly different (p = 0.689, fig. 2). The average pennation angle of the soleus was significantly greater than that of the VL (soleus 23.9 ± 5.1°, VL 13.3 ± 1.8°, p < 0.001) and increased continuously throughout stance, whereas it remained almost unchanged in the VL (fig. 1). The average operating velocity of the

- soleus fascicles was significantly higher compared to the VL (soleus 0.799 \pm 0.260 L₀/s, VL 0.084 \pm
- 128 0.258 L₀/s, p < 0.001), which showed an almost isometric contraction throughout stance. Consequently,
- the force-velocity potential (p < 0.001) and thus the overall force-length-velocity potential (p < 0.001) of
- the soleus was significantly lower compared to the VL during the stance phase (fig. 2).
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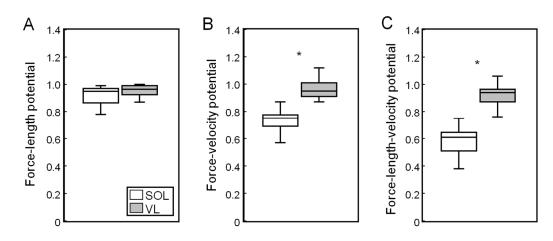


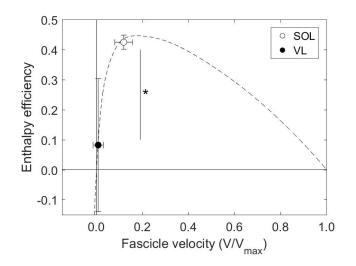


Fig. 2: Soleus (SOL, n = 19) and vastus lateralis (VL, n = 14) force-length potential (A), force-velocity potential (B)
 and overall force-length-velocity potential (C) averaged over the stance phase of running. * significant difference
 between muscles (p < 0.05).

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However, the higher shortening velocity of the soleus was close to the optimum one for maximum enthalpy efficiency, leading to a significantly higher enthalpy efficiency over the stance phase in comparison to the VL (p < 0.001, fig. 3).

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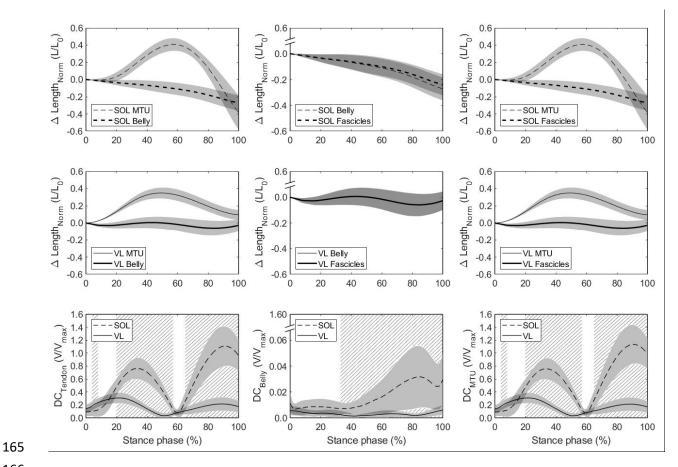
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Fig. 3: Soleus (SOL, n = 19) and vastus lateralis (VL, n = 14) enthalpy efficiency (mean ± SD) averaged over the stance phase of running onto the enthalpy efficiency-fascicle velocity relationship (dashed line). * significant difference between muscles (p < 0.05).

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The fascicle, belly and MTU length changes throughout stance as well as the resulting velocity decoupling coefficients are illustrated in figure 4 for both muscles. There was a clear length- and velocitydecoupling of MTU and belly due to tendon compliance in both muscles (fig. 4). The SPM analysis

149 revealed a significantly lower DC_{Tendon} of the soleus compared to the VL between 4 and 8% of stance 150 phase (p = 0.032), since decoupling started later for the soleus. Between 20 and 57% of stance phase (p < 0.001) and between 65% of stance phase until lift-off, the soleus DC_{Tendon} was significantly higher 151 than VL (p < 0.001, fig. 4). The DC_{Tendon} averaged over the stance phase of the soleus was also 152 significantly greater (p < 0.001, tab. 1). Furthermore, the velocity-decoupling of belly and fascicles due 153 154 to fascicle rotation progressively increased in the second part of the stance for the soleus but was 155 negligible for the VL (fig. 4). The soleus DC_{Belly} was significantly higher from 33% of stance phase until lift-off compared to the VL as shown by the SPM analysis (p < 0.001, fig. 4) but also when averaged 156 over the entire stance phase (p < 0.001, tab. 1). DC_{Belly} was markedly lower than DC_{Tendon}, indicating 157 158 that the tendon covered the majority of the overall decoupling in both muscles (fig. 4). Accordingly and similarly to DC_{Tendon}, the SPM analysis for the overall decoupling of MTU and fascicles showed that 159 DC_{MTU} of the soleus was significantly lower between 4 and 8% of stance phase (p = 0.032) and 160 161 significantly higher from 20 to 57% of stance phase and from 65% of stance phase until lift-off compared to the VL (p < 0.001, fig. 4). The stance phase-averaged DC_{MTU} of the soleus was significantly greater 162 compared to the VL as well (p <0.001, tab. 1). 163 164



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Fig. 4: Soleus (SOL, n = 19, top row) and vastus lateralis (VL, n = 14, mid row) MTU vs. belly length changes (left), 167 belly vs. fascicle length changes (mid) and MTU vs. fascicle length changes (right) over the stance phase of running 168 with respect to the length at touchdown (0% stance phase). Differences between trajectories illustrate the length-169 170 decoupling due to tendon compliance, fascicle rotation and the overall decoupling, respectively. The bottom row 171 shows the corresponding resulting velocity-decoupling coefficients (DC) as the absolute velocity differences 172 between fascicles, belly and MTU normalized to the maximum shorting velocity (see methods). Intervals of stance 173 with a significant difference between both muscles are illustrated as hatched areas (p < 0.05).

Table 1: Average tendon (DC_{Tendon}), belly (DC_{Belly}) and muscle-tendon unit (DC_{MTU}) decoupling coefficients for the
 soleus and vastus lateralis (VL) muscles during the stance phase of running (mean ± SD).

	Soleus (n=19)	VL (n=14)
DC _{Tendon} (V/V _{max})	0.567 ± 0.128	0.180 ± 0.053*
DC _{Belly} (V/V _{max})	0.016 ± 0.008	0.003 ± 0.002*
DC _{MTU} (V/V _{max})	0.574 ± 0.127	0.179 ± 0.014*

* Statistically significant difference between the two muscles (p < 0.05)

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180 **Discussion**

We mapped the operating length and velocity of the soleus and the VL fascicles during running onto the 181 182 individual force-length, force-velocity and enthalpy efficiency-velocity curves in order to investigate 183 physiological mechanisms for muscle force generation and muscle energy production in the two 184 muscles. The soleus continuously shortened throughout the stance phase and produced muscular work 185 at a shortening velocity close to the enthalpy efficiency optimum. VL operated with smaller length changes, almost isometrically, resulting in a high force-velocity potential beneficial for economic force 186 187 generation. Both muscles operated close to L₀, i.e. at a high force-length potential. Tendon compliance 188 covered the majority of the overall decoupling of MTU and fascicles in both muscles, enabling favorable 189 conditions for muscle force or muscle work production. Only in the soleus muscle, fascicle rotation 190 contributed to the overall decoupling, indicating an additional, yet comparatively minor, effect on the 191 fascicle dynamics during locomotion.

192 The triceps surae and quadriceps muscle groups are the main actuators for locomotion and thus 193 responsible for a great portion of the metabolic energy cost of running [18,36,38,39]. While the 194 quadriceps mainly decelerates and supports body mass in the early stance phase, the triceps surae 195 contributes to the acceleration of the center of mass during the second part of the stance phase [18,20]. 196 The soleus is the largest muscle of the triceps surae [12] and the VL of the quadriceps [15] and thus 197 both muscles are important contributors to the running movement. We found that the soleus actively 198 shortened throughout the entire stance phase, indicating continuous work/energy production. The 199 average velocity at which the soleus shortened was very close to the optimal velocity for maximal 200 enthalpy efficiency. Enthalpy efficiency quantifies the fraction of chemical energy from ATP hydrolysis 201 that is converted into mechanical muscular work [26,27] with a peak at around 20% of V_{max} [27,29]. 202 Consequently, the mechanical work performed by the soleus muscle, being essential during running 203 [18,40-42] and high enough in magnitude to significantly influence the overall metabolic energy cost of 204 locomotion [13,43,44], was generated at a high enthalpy efficiency (94% of maximum efficiency). 205 Considering that also the soleus force-length potential was close to the maximum (0.92) and that a high 206 potential may decrease the active muscle volume for a given muscle force [9,10,43], our results provide 207 evidence of a cumulative contribution of two different mechanisms (high force-length potential and high 208 enthalpy efficiency) to an advantageous muscular energy production of the soleus during running. The 209 VL was mainly active in the first part of the stance phase and its fascicles operated with very small length

changes, i.e. almost isometrically, confirming earlier reports [16,17]. This indicates that the VL dissipates 210 211 and/or produces negligible amounts of mechanical energy during running, yet generating force for the deceleration and support of the body mass. The found decoupling of the VL MTU and fascicles showed 212 213 that the deceleration of the body mass in the early stance phase was not a result of an energy dissipation 214 by the contractile element (active stretch) but rather an energy absorption by the tendinous tissue. 215 Tendons feature small damping characteristics resulting in a hysteresis of only 10% [45,46] and, 216 therefore, the main part of the absorbed energy of the body's deceleration is stored as elastic tendon 217 strain energy, which is then returned later in the second part of the stance phase. The high force-length (0.93) and force-velocity (0.90) potential of the VL muscle throughout stance indicates an energy 218 219 exchange within the VL MTU under almost optimal conditions for muscle force generation during 220 running. Operating at high potentials reduces the active muscle volume for a given force [9,10] and thus 221 the metabolic energy cost of muscle force generation.

222 By actively shortening, the soleus delivered energy during the entire stance phase to the skeleton, providing the main muscular work required for running. On the other side, the contractile elements of 223 224 the VL muscle did not contribute to the required muscular work and operated in concert with the elastic 225 tendon in favor of energy storage [4]. Our findings showed that, although the human body interacts with 226 the ground in a spring-like manner during steady-state running to conserve mechanical energy [3,4], 227 there are indeed muscles that operate as energy generators, like the soleus, and others that promote 228 energy conservations, like the VL. Further, our results indicate that the fascicle operating length and 229 velocity of the soleus muscle, the main energy generator, is optimized for high enthalpy efficiency, while 230 those of the VL muscle, that promote energy conservation, for a high potential of force generation. The 231 consequence of the active shortening of the soleus muscle for work production is a decrease of the 232 force-velocity potential during the stance phase, which may increase the active muscle volume and shortening-related cost [6-8]. However, the soleus muscle features shorter fascicles (L₀ = 41 mm) 233 234 compared to the VL muscle (L_0 = 94 mm) and, for this reason, a given force generated by the soleus is 235 energetically less expensive [10]. The specific morphology of the soleus muscle certainly compensates 236 for the reductions of the force-velocity potential and provides advantages for its function as energy generator during submaximal steady-state running. Furthermore, operating around the "sweet spot" of 237 238 the shortening velocity for high enthalpy efficiency facilitates the economical muscular work production, 239 while either a too high or a too low shortening velocity would be disadvantageous.

240 The almost optimal conditions for muscular work production and muscle force generation of the soleus 241 and VL were a result of an effective decoupling between MTU and fascicle length that was regulated by 242 an appropriate muscle activation. For the soleus, the activation level increased in the first part of stance 243 phase, contracting the muscle while the MTU increased in length. This activation pattern not only prevented the muscle to be stretched but also induced continuous shortening around the plateau of the 244 245 force-length curve at a high enthalpy efficiency. The respective high DC_{Tendon} further indicates that a part 246 of the body's mechanical energy was stored as strain energy in the Achilles tendon in addition to the 247 generated work by fascicle shortening. During MTU shortening (propulsion phase), the soleus EMG 248 activation decreased and the tendon recoiled, enabling the high shortening velocities of the MTU while 249 maintaining the fascicle operating conditions close to the efficiency optimum. The simultaneous release 250 of the stored strain energy from the tendon further added to the ongoing muscle work production, i.e.

energy amplification. The VL muscle showed higher levels of activation during the initial part of the 251 252 stance phase and earlier deactivation than soleus. The timing and level of activation regulated the 253 decoupling within the VL MTU during the body mass deceleration in a magnitude that the lengthening and shorting of the MTU was fully accomplished by the tendinous tissue. Consequently, the VL fascicles 254 255 operated at a high force-length-velocity potential and the body's energy was conserved within the MTU. Although being substantial for soleus and VL, the SPM analysis revealed higher values of DC_{Tendon} for 256 257 soleus during the major part of the stance phase (average value for soleus 0.57 V/V_{max} and VL 0.18 V/V_{max}), indicating a greater decoupling within the soleus MTU compared to the VL MTU. In the soleus 258 259 muscle, fascicle rotation (changes in pennation angle) had an additional effect on the overall decoupling 260 between MTU and fascicles. The results showed an increase in DC_{Belly} in the second part of the stance 261 phase where the soleus belly velocity was high during the MTU shortening. However, the decoupling by 262 the fascicle rotation was considerable smaller compared to the tendon decoupling. Over the stance 263 phase, belly and tendon decoupling were 1.6 %V_{max} and 57 %V_{max} and during the MTU shortening phase 2.6 %V_{max} and 72 %V_{max} respectively, suggesting a rather minor functional role of fascicle rotation during 264 265 submaximal running. In the VL, fascicle rotation was virtually absent and consequently DC_{Belly} values showed no relevant decoupling effect at all. 266

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In conclusion, our results showed that during the stance phase of steady-state running, when the human body interacts with the environment in a spring-like manner, the soleus muscle acts as energy generator and the VL muscle as energy conservator. Furthermore, our findings provide evidence that the soleus operates under conditions optimal for muscular energy production (i.e. high force-length potential and high enthalpy efficiency) and the VL under conditions optimal for muscle force generation (i.e. high forcelength and high force-velocity potential).

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276 Materials and methods

277 Participants and experimental design

278 Thirty-three physically active adults were included in the present investigation. None of the participants 279 reported any history of neuromuscular or skeletal impairments in the six months prior to the recordings. 280 The ethics committee of the university approved the study (EA2/076/15) and the participants gave 281 written informed consent in accordance with the Declaration of Helsinki. From the right leg, either the soleus (n = 19, 29 \pm 6 yrs., 177 \pm 9 cm, 69 \pm 9 kg, 7 females) or vastus lateralis (n = 14, age 28 \pm 4 yrs., 282 283 height 179 ± 7 cm, body mass 75 ± 8 kg, 3 females) muscle fascicle length, fascicle pennation angle 284 and EMG activity were recorded during running on a treadmill at 2.5 m/s. Corresponding MTU lengths 285 were calculated from the kinematic data and individually measured tendon lever arms. We further assessed the soleus and VL force-fascicle length and force-fascicle velocity relationship to calculate the 286 287 force-length and force-velocity potential of the soleus and the VL muscle fascicles during running. The 288 operating fascicle velocity was additionally mapped on the enthalpy efficiency-velocity relationship to 289 assess the enthalpy efficiency of both muscles. The contribution of the decoupling of the fascicle length

and velocity from the MTU to the operating force potential and enthalpy efficiency at the level of tendonand muscle belly during running was examined for both muscles as well.

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293 Joint kinematics, fascicle behavior and electromyographic activity during running

294 After a familiarization phase, a four-minute running trial on a treadmill (soleus: h/p cosmos mercury, 295 Isny, Germany; VL: Daum electronic, ergo run premium8, Fürth, Germany) was performed and 296 kinematics of the right leg were captured by a Vicon motion capture system (version 1.8, Vicon Motion 297 Systems, Oxford, UK, 250 Hz) using an anatomically-referenced reflective marker setup (greater 298 trochanter, lateral femoral epicondyle and malleolus, fifth metatarsal and tuber calcanei). The kinematic 299 data were used to determine the touchdown of the foot and the toe-off as consecutive minima in knee 300 joint angle over time [47]. Furthermore, the kinematics of the ankle and knee joint served to calculate 301 the MTU length change of the soleus and VL during running, as the product of ankle joint angle changes 302 and Achilles tendon lever arm as well as knee joint angle changes and patellar tendon lever arm [48], 303 respectively. We used the ultrasound-based tendon-excursion method for the Achilles tendon lever arm 304 determination [49]. The patellar tendon lever arm was measured using magnetic resonance imaging in fully extended knee joint position and calculated as a function of the knee joint angle change using the 305 data by Herzog & Read [50] (for a detailed description of both tendon lever arm measurements see 306 307 [13,14,16]). The initial soleus and VL MTU length was calculated based on the regression equation 308 provided by Hawkins & Hull [51] at neutral ankle joint angle for the soleus MTU and at touchdown for 309 the VL MTU. During the running trial, ultrasound images of either the soleus or VL muscle fascicles were 310 recorded synchronously to the kinematic data (soleus: Aloka Prosound Alpha 7, Hitachi, Tokyo, Japan, 311 6 cm linear array probe, UST-5713T, 13.3 MHz, 146 Hz; VL: My Lab60, Esaote, Genova, Italy, 10 cm 312 linear array probe LA923, 10 MHz, 43 Hz). The ultrasound probe was mounted over the medial aspect of the soleus muscle belly or on the VL muscle belly (≈50% of femur length) using a custom anti-skid 313 314 neoprene-plastic cast. The fascicle length was post-processed from the ultrasound images using a self-315 developed semi-automatic tracking algorithm [23] that calculated a representative reference fascicle on 316 the basis of multiple muscle fascicle portions identified from the entire displayed muscle (for details see [16,23], fig. 5). Visual inspection of each image was conducted and corrections were made if necessary. 317 318 At least nine steps were analyzed for each participant and then averaged [16,52]. The pennation angle was calculated as the angle between the deeper aponeurosis and the reference fascicle (fig. 5). The 319 length changes of the muscle belly of soleus and VL were calculated as the differences of consecutive 320 321 products of fascicle length and the respective cosine of the pennation angle [53]. Note that this does not 322 give the length of the entire soleus or VL muscle belly but rather the projection of the instant fascicle 323 length onto the plane of the MTU, which can be used to calculate the changes of the belly length [13]. The velocities of fascicles, belly and MTU were calculated as the first derivative of the lengths over time. 324 325 Surface EMG of the VL and the soleus was measured by means of a wireless EMG system (Myon 326 m320RX, Myon AG, Baar, Switzerland, 1000 Hz). A fourth-order high-pass Butterworth filter with 50 Hz 327 cut-off frequency, a full-wave rectification and then a low-pass filter with 20 Hz cut-off frequency were applied to the raw EMG data. The EMG activity was averaged over the same steps that were analyzed 328 329 for the soleus parameters and for the VL over 10 running steps. EMG values were then normalized for 330 each participant to the maximum obtained during the individual MVCs.

331 Assessment of the force-length, force-velocity and enthalpy efficiency-velocity relationship

332 To determine the soleus and the VL force-length relationship, eight maximum voluntary plantar flexion or knee extension contractions (MVCs) in different joint angles were performed with the right leg on an 333 isokinetic dynamometer (Biodex Medical, Syst. 3, Inc., Shirley, NY), following a standardized warm-up 334 335 [13,16,25] (fig. 5). For the plantar flexion MVCs, the participants were placed in prone position with the 336 knee in fixed flexed position (~120°) to restrict the contribution of the bi-articular m. gastrocnemius to 337 the plantar flexion moment [54] and the joint angles were set in a randomized equally-distributed order ranging from 10° plantar flexion to the individual maximum dorsiflexion angle. Regarding the knee 338 339 extensions, participants were seated with a hip joint angle of 85° to reduce the contribution of the bi-340 articular m. rectus femoris [55], while the knee joint angle ranged between 20° to 90° knee joint angle 341 $(0^{\circ} = \text{knee extended})$ in randomly ordered 10° intervals. The resultant moments at the ankle and knee 342 joint were calculated under consideration of the effects of gravitational and passive moments and any 343 misalignment between joint axis and dynamometer axis using an established inverse dynamics approach [56,57]. The required kinematic data were recorded during the MVCs based on anatomically 344 345 referenced reflective markers (medial and lateral malleoli and epicondyle, calcaneal tuberosity, second metatarsal and greater trochanter) by a Vicon motion capture system (250 Hz). Furthermore, the 346 347 contribution of the antagonistic moment produced by tibialis anterior during the plantar flexion MVCs or 348 by the hamstring muscles during the knee extension MVCs was taken into account by means of an 349 EMG-based method according to Mademli et al. [58]. The force applied to the Achilles tendon or patellar 350 tendon during the plantar flexion or knee extension MVCs was calculated as quotient of the joint moment 351 and individual tendon lever arm, respectively. The soleus or the VL fascicle behavior during the MVCs 352 was synchronously captured by ultrasonography and fascicle length was determined using the same 353 methodology described above (fig. 5). Accordingly, an individual force-fascicle length relationship was calculated for soleus or VL by means of a second-order polynomial fit and the maximum muscle force 354 355 applied to the tendon (F_{max}) and optimal fascicle length for force generation (L₀) was derived, 356 respectively (fig. 5).

357 The force-velocity relationship of the soleus and the VL muscle was further assessed using the classical Hill equation [8] and the muscle-specific V_{max} and constants of a_{rel} and b_{rel} . For V_{max} we took values of 358 359 human soleus and VL type 1 and 2 fibers measured in vitro at 15°C reported by Luden et al. [59]. The values were then adjusted [60] for physiological temperature conditions (37 °C) and an average fiber 360 type distribution of the human soleus (type 1 fibers: 81%, type 2: 19%) and VL muscle (type 1 fibers: 361 362 37%, type 2: 63%) reported in literature [59,61-63] was the basis to derive a representative value of V_{max}. For the soleus muscle under the in vivo condition, V_{max} was calculated as 6.77 L₀/s and for the VL 363 364 as $11.51 L_0/s$. For L_0 we then referred to the individually measured optimal fascicle length (described above, fig. 5). The constant a_{rel} was calculated as 0.1+0.4FT, where FT is the fast twitch fiber type 365 366 percentage, which then equals to 0.175 for the soleus and 0.351 for the VL [64,65]. The product of arel 367 and V_{max} gives the constant b_{rel} as 1.182 for the soleus and 4.042 for the VL [66]. Based on the assessed 368 force-length and force-velocity relationships, we calculated the individual force-length and force-velocity potential of both muscles as a function of the fascicle operating length and velocity during the stance 369

370 phase of running. The product of both potentials then gives the overall force-length-velocity potential.

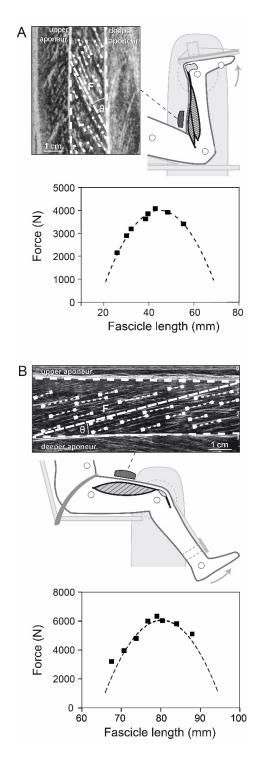




Fig. 5. Experimental setup for the determination of the soleus (A) and vastus lateralis (VL, B) force-fascicle length relationship. Maximum isometric plantar flexions (MVC) at eight different joint angles was performed on a dynamometer. During the MVCs, ultrasound images of the soleus and VL were recorded and a representative muscle fascicle length (F) was calculated based on multiple fascicle portions (short dashed lines). Accordingly, an individual force-fascicle length relationship for the soleus and VL muscle was derived from the MVCs (squares) by means of a second-order polynomial fit (dashed line, bottom graphs).

379 380

Furthermore, we determined the enthalpy efficiency-velocity relationship for the soleus and the VL muscle fascicles in order to calculate the enthalpy efficiency of both muscles as a function of the fascicle operating velocity during running. For this purpose, we used the experimental efficiency values provided by the paper of Hill 1964 in table 1 for $a/P_0 = 0.25$ [27]. Because the effect of differences in a/P_0 on the

shape of the curve is negligible [27], we used the same values for both muscles. By means of the classical Hill equation [8], we then transposed the original efficiency values that were presented as a function of relative load (relative to maximum tension) to shortening velocity (normalized to V_{max}). The values of enthalpy efficiency and shortening velocity were then fitted using a cubic spline, giving the right-skewed parabolic-shaped curve with a peak efficiency of 0.45 at a velocity of 0.18 V/V_{max}. The resulting function was then used to calculate the enthalpy efficiency of the soleus and the VL during running based on the average value of the fascicle velocity over stance, accordingly.

392

393 Assessment of decoupling within the muscle-tendon unit

To quantify the decoupling of fascicle, belly and MTU velocities over the time course of stance we calculated a decoupling coefficient to account for the tendon compliance (DC_{Tendon} , equation 1), fascicle rotation (DC_{Belly} , equation 2) as well as for the overall decoupling of MTU and fascicle velocities that includes both components (DC_{MTU} , equation 3).

(2)

(3)

398

$$399 \quad DC_{Tendon}(t) = \left| V_{MTU}(t) - V_{Belly}(t) \right| / V_{max} \tag{1}$$

 $DC_{Belly}(t) = |V_{Belly}(t) - V_{Fascicle}(t)| / V_{max}$

400

403 $DC_{MTU}(t) = |V_{MTU}(t) - V_{Fascicle}(t)|/V_{max}$

404

405 V(t) is the velocity at each percentage of the stance phase (i.e. t = 0, 1, ..., 100 %stance). We introduced 406 these new decoupling coefficients because previously suggested decoupling ratios (i.e. tendon gearing 407 = V_{MTU}/V_{Belly} , belly gearing (or architectural gear ratio) = $V_{Belly}/V_{Fascicle}$, MTU gearing = $V_{MTU}/V_{Fascicle}$ 408 [30,31]) may feature limitations for the application under in vivo conditions, i.e. considering that muscle 409 belly and fascicle velocities may be very close to or even zero during functional tasks as walking and 410 running [13,16], which results in non-physiological gear ratios.

411

412 Statistics

413 A t-test for independent samples was used to test for group differences in anthropometric characteristics, 414 temporal gait parameters and differences between the soleus and the VL fascicle belly, MTU and EMG 415 parameters. The Mann-Whitney U test was applied in case the assumption of normal distribution, tested 416 by the Kolmogorov-Smirnov test with Lilliefors correction, was not satisfied. The level of significance 417 was set to $\alpha = 0.05$ and the statistical analyses were performed using SPSS (IBM Corp., version 22, 418 NY, US). Furthermore, statistical parametric mapping (SPM, independent sample t-test, $\alpha = 0.05$) was 419 used to test for differences between the DC_{Tendon}, DC_{Belly} and DC_{MTU} of the soleus and the VL throughout 420 the stance phase of running. SPM was conducted using the software package spm1D (version 0.4, 421 www.spm1d.org) [67].

- 423
- 424

425 Authors' contributions

- 426 S.B., F.M., A.S., A.S. and A.A. designed research. S.B., F.M. and A.S. performed research. S.B.
- 427 analysed data. S.B. and A.A. drafted the manuscript. F.M., A.S. and A.S. made important intellectual
- 428 contributions during revision.
- 429

430 Competing interests

- 431 We declare we have no competing interests.
- 432

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