1	Rapid evolution of complete dosage compensation in <i>Poecilia</i>
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26 ABSTRACT

27 Dosage compensation balances gene expression between the sexes in systems with diverged 28 heterogametic sex chromosomes. Theory predicts that dosage compensation should rapidly 29 evolve in parallel with the divergence of sex chromosomes to prevent the deleterious effects of 30 dosage imbalances that occur as a result of sex chromosome divergence. Examples of complete 31 dosage compensation, where gene expression of the entire sex chromosome is compensated, are 32 rare and have only been found in relatively ancient sex chromosome systems. Consequently, 33 very little is known about the evolutionary dynamics of complete dosage compensation systems. 34 We recently found the first example of complete dosage compensation in a fish, *Poecilia picta*. 35 We also found that the Y chromosome degraded substantially in the common ancestor of *P. picta* 36 and their close relative *Poecilia parae*. In this study we find that *P. parae* also have complete 37 dosage compensation, thus complete dosage compensation likely evolved in the short (~3.7 my) 38 interval after the split of the ancestor of these two species from *P. reticulata*, but before they 39 diverged from each other. These data suggest that novel dosage compensation mechanisms can 40 evolve rapidly, thus supporting the longstanding theoretical prediction that such mechanisms 41 arise in parallel with rapidly diverging sex chromosomes.

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43 **Keywords:** RNA-seq, sex chromosome, Y degeneration, *Poecilia parae*

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49 SIGNIFICANCE STATEMENT

50 In species with XY sex chromosomes, females (XX) have as many copies of X-linked genes compared to 51 males (XY), leading to unbalanced expression between the sexes. Theory predicts that dosage 52 compensation mechanisms should evolve rapidly as X and Y chromosomes diverge, but examples of 53 complete dosage compensation in recently diverged sex chromosomes are scarce, making this theory 54 difficult to test. Across Poeciliid species the X and Y chromosomes have recently diversified. Here we 55 find complete dosage compensation evolved rapidly as the X and Y diverged in the common ancestor of 56 *Poecilia parae* and *P. picta*, supporting that novel dosage compensation mechanisms can evolve rapidly 57 in tandem with diverging sex chromosomes. These data confirm longstanding theoretical predictions of 58 sex chromosome evolution.

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60 **INTRODUCTION**

61 In organisms with heterogametic sex determination, the Y chromosome diverges from the X when recombination between them is suppressed (Furman et al. 2020). The same process 62 63 holds for the Z and W chromosomes, but we focus here on male heterogametic systems. 64 Degradation of the Y chromosome can lead to pseudogenization and gene loss resulting in 65 females (XX) having twice as many copies of genes on the sex chromosome compared to males 66 (XY). Because genes are normally expressed equally from both copies of a chromosome, males 67 would only have half the expression of X-linked loci (Ohno 1967; Gu & Walters 2017), leading 68 to a dosage imbalance with expression of genes on the autosomes. To resolve this issue, many 69 organisms have evolved mechanisms to equalize expression levels of these sex chromosome 70 genes, known as dosage compensation (Ohno 1967). Dosage compensation mechanisms are 71 thought to evolve rapidly in parallel with Y degradation (Ohno 1967), however, the majority of

72	sex chromosomes with dosage compensation are relatively old making it difficult to determine if
73	dosage compensation can evolve in rapidly diverging sex chromosome systems.
74	Dosage compensation can either act by modifying expression on a gene-by-gene basis
75	(incomplete dosage compensation) or by modifying expression along the entire chromosome
76	(complete dosage compensation). Complete dosage compensation is predicted to arise for sex
77	chromosomes that are rapidly diverging and experiencing extensive gene loss or
78	pseudogenization, and has been more commonly found in male-heterogametic systems (XY)
79	(Mullon et al. 2015; Wilson Sayres & Makova 2011). The most well characterized example for
80	the rapid evolution of complete dosage compensation is in Drosophila where complete dosage
81	compensation followed the emergence and divergence of a new XY sex chromosome system
82	(Marín et al. 1996). The emergence of dosage compensation on neo-sex chromosomes in
83	Drosophila is the result of evolution coopting extant dosage compensation mechanisms that
84	predate the origin of the Drosophila genus (Marín et al. 1996). While dosage compensation can
85	clearly evolve rapidly, it is unknown if complete dosage compensation can evolve rapidly when
86	it is not present in close relatives.
87	Fish exhibit a high rate of sex chromosome turnover, and although there are some species

with incomplete dosage compensation (eg. sticklebacks, flatfish, and rainbow trout) (White et al.
2015; Shao et al. 2014; Hale et al. 2018) complete dosage compensation appears to be rare. We
recently identified the first example of complete dosage compensation in a fish; *Poecila picta*. *P*. *picta* is a close relative to the guppy (*Poecila reticulata*) (Darolti et al. 2019) that shares the same
XY system that originated 18.48-26.08 Mya (Darolti et al. 2019; Rabosky et al. 2018). In *P. reticulata*, the X and Y have remained largely homomorphic, with little evidence of gene loss on
the Y, and no need for dosage compensation (Darolti et al. 2019). However, since their split

95	~18.4 Mya (Rabosky et al. 2018) the <i>P. picta</i> Y has diverged substantially from the X across
96	nearly the entire chromosome and evolved complete dosage compensation (Darolti et al. 2019).
97	Here we take a comparative approach to narrow the timing of the evolution of complete
98	dosage compensation by testing for dosage compensation in <i>P. parae</i> a sister taxon to <i>P. picta</i> .
99	We recently characterized the sex chromosomes of <i>P. parae</i> , including five discrete Y
100	haplotypes that control the five male morphs of this species (Sandkam et al. 2020). Importantly
101	we found XY divergence across all five <i>P. parae</i> Ys was the same as XY divergence in <i>P. picta</i> ,
102	indicating the Y diverged from the X in the ~3.7 my interval spanning the split of the <i>P. picta</i> –
103	<i>P. parae</i> from the common ancestor with <i>P. reticulata</i> ~18.4 mya, and prior to the split of <i>P</i> .
104	picta and P. parae from each other ~14.7 mya (Rabosky et al. 2018). Therefore, if P. parae also
105	has complete dosage compensation, then dosage compensation evolved rapidly after XY
106	chromosome divergence over a period of less than 3.7 million years (Figure 1).



110 Figure 1. A phylogeny of Poecilia species depicting the timeframe in which dosage compensation

- 111 systems observed in *P. picta* and *P. parae* evolved over the ~3.7 million year interval (denoted in green)
- after the common ancestor to *P. picta-P. parae* split from *P. reticulata-P.wingei* (~18.4 mya) and prior to
- 113 the divergence of *P. picta* and *P. parae* from each other (~14.7 mya). The branch where sex chromosome
- 114 divergence and dosage compensation evolved is indicated in green. Orange branches indicate the clade
- 115 containing species where X and Y are substantially diverged and have complete dosage compensation (*P*.
- 116 *picta-* Darolti et al 2019, *P. parae-* this study). Blue indicates species for which dosage compensation has
- 117 been explicitly tested but found to be entirely lacking (Darolti et al 2019). Green star denotes the branch
- 118 on which complete dosage compensation likely evolved. The phylogeny and divergence times are taken
- 119 from The Fish Tree of Life (Rabosky et al. 2018).
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121 **RESULTS**

122 *Characterization of dosage compensation in P. parae*

123 To test whether complete dosage compensation evolved rapidly (over ~3.7million years) 124 in the common ancestor of *P. picta* and *P. parae*, we performed RNA-seq on muscle tissue from 125 males and females of *P. parae*. There are five discrete Y haplotypes in *P. parae* that segregate 126 with the five different male morphs (immaculata, yellow melanzona, blue melanzona, red 127 melanzona, and parae morphs). Importantly, these five P. parae Y haplotypes emerged after X-Y 128 recombination was halted before the split between P. picta and P. parae, ~ 18.4 Mya (Sandkam 129 et al. 2020; Lindholm et al. 2004). Therefore, if complete dosage compensation evolved in the 130 common ancestor of *P. picta* and *P. parae*, we would expect to see dosage compensation in all 131 male morphs as well. To assess this, we tested for differences in expression from the X and Y 132 chromosome in three of the five male morphs (vellow melanzona, blue melanzona, and parae, 133 hereafter referred to as yellow, blue, and parae males). It is worth noting that all five Y

134	haplotypes show similar patterns of divergence from the X (Sandkam et al. 2020), and so the
135	three morphs we assessed here are indicative of the species as a whole.
136	For genes that are equally expressed from both sex chromosomes we expect to see a
137	similar proportion of transcripts expressed from each sex chromosome. To test this, we first
138	identified heterozygous transcripts. We found that 17% of the 38,986 autosomal transcripts
139	exhibit heterozygous expression in both males and females, and a similar proportion (12%) of
140	the1,349 transcripts from the sex chromosome are heterozygous in females. In contrast, only 1%
141	of sex chromosome transcripts are heterozygous in <i>P. parae</i> males. These data suggest that
142	widespread gene loss has occurred as a result of Y chromosome divergence in males.
143	We then compared the major allele ratios for heterozygous transcripts. Autosomal genes
144	are equally expressed from both chromosomes in both sexes, and in X-linked genes in <i>P. parae</i>
145	females (Figure 2A/B). However, in males we found significant allele specific expression (ASE)
146	for sex-linked genes, consistent with the notion that for sex-linked genes that remain
147	heterozygous in males, gene activity has been reduced from the Y paralog and expression is
148	primarily produced from the X. This pattern was convergent across each male morph (Figure
149	2A).



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152 Figure 2. Patterns of allele specific expression (ASE) on the sex chromosome (A) and the autosomes (B) 153 for females and each of the three male morphs of *P. parae* indicate loss of expression for genes encoded 154 on the Y. ASE ratio of 0.5 indicates equal expression from both copies of a chromosome, while shifts 155 toward 1 indicate expression predominantly comes from just one copy. Vertical dashed lines are median 156 major allele ratio values. (C) Despite loss of expression from the Y, expression levels (log2 transcripts per 157 million (TPM)) of sex chromosome genes do not differ from the autosomes for any of the male morphs. 158 (D) Male: female expression ratios for genes that exhibit allele specific expression are not different from 159 male:female expression ratios of autosomal genes, demonstrating that a loss of expression from the Y 160 chromosome in males does not result in reduced expression. The horizontal dashed line represents equal 161 expression between males and females. Colours are consistent in all panels and denote sex and/or male 162 morph. Grey = female, Yellow = yellow male morph, blue = blue male morph, green = parae male morph.

(E) Distribution of genes with allele specific expression (ASE) on the male X chromosome (chromosome
8). ASE genes are evenly distributed along the entire chromosome, confirming complete dosage
compensation for genes on the sex chromosome. Gene locations are demarcated by purple lines. The
pseudo autosomal region (PAR) is in grey.

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168 In order to determine whether Y degeneration has been coupled with X chromosome 169 dosage compensation, we compared average expression for all genes from the X chromosome to 170 the autosomal gene expression levels in both sexes. We found that expression of sex 171 chromosome genes was not different from autosomal genes in any of the male morphs 172 (Wilcoxon rank sum yellow p-value = 0.9703, blue p-value = 0.4965, parae p-value = 0.292), or 173 between genes on the X chromosome or autosomes in females (Wilcoxon rank sum p-value = 174 (0.8336). Together this indicates complete dosage compensation arose before the morphs 175 diverged and likely in the common ancestor of *P. picta* and *P. parae* (Figure 2C). 176 Moreover, we observe a marginal decrease in the male:female expression ratio for sex-177 linked genes with an ASE pattern in the yellow (p-value = 0.001) and blue (p-value = 0.0001) 178 male morphs compared to genes on the autosomes which is consistent with the expression 179 pattern in *P. picta* (Darolti et al., 2019). In contrast, the male:female expression ratio for sex 180 chromosome genes with ASE in the parae male morph were significantly higher compared to the 181 male:female ratio of autosomal genes (p-value = 0.05) (Figure 2D). These data indicate that the 182 efficiency of the dosage compensation in *P. parae* is similar to *P. picta* and that there may be 183 residual Y-linked expression of these genes or that dosage compensation results in a moderate 184 overexpression of some X-linked genes in males. 185 In general, there are two ways in which complete dosage compensation has been

186 observed in XY systems. In eutherian and marsupial mammals, one of the two X chromosomes

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187 is silenced in females. Although this balances sex chromosome gene expression between males 188 and females, it does not address expression differences between X-linked and autosomes genes. 189 In fact, X inactivation in females means that both sexes on average express X-linked genes less 190 than the autosomal average, and only dosage sensitive genes on the X are upregulated in both 191 sexes to counter this (Pessia et al. 2012). Alternatively, in *Drosophila* (Marín et al. 2000), and 192 Anolis (Marin et al. 2017), dosage compensation is achieved by doubling the expression of genes 193 on the X chromosome in males. 194 We found that expression of genes on the X chromosome is not different from expression 195 of genes on the autosomes in females, or any of the male morphs, and that the major allele ratio 196 for X-linked genes in females is close to 0.5 indicating roughly equal expression from both 197 copies of the X. Furthermore, ASE genes in males are distributed along the entire X chromosome 198 providing further support for dosage compensation of the entire chromosome (Figure 2E). Taken

199 together, these data suggest that complete dosage compensation in *P. parae* is more similar to

200 dosage compensation in *Drosophila* and *Anolis* where genes on the X are hyper expressed in

201 males. This provides an excellent avenue to explore the mechanisms controlling expression

202 across entire chromosomes.

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204 **DISCUSSION**

205 *Rapid evolution of dosage compensation*

Although theory suggests complete dosage compensation should evolve rapidly in tandem with Y degradation (Ohno 1967), gene expression studies in non-model systems with heteromorphic sex chromosomes have demonstrated that complete dosage compensation is actually quite rare and is not a guaranteed outcome of sex chromosome evolution (Mank et al. 2011). These studies show that there are many alternatives to evolving complete dosage
compensation, and that complete dosage compensation is an exceptional outcome of sex
chromosome evolution. Until the recent characterization of complete dosage compensation in *P*. *picta*, complete dosage compensation has been observed in a limited number of lineages, all of
which are relatively ancient (Marin et al. 2017; Mullon et al. 2015). The age of these systems
makes it difficult to refine estimates for the speed at which complete dosage compensation can
arise.

217 Within the family Poeciliidae the subgenus Lebistes is particularly well suited to address 218 this question as it contains several species with characterized sex chromosomes including P. 219 reticulata, P. wingei, P. picta, and P. parae (Darolti et al. 2019). There is strong evidence that all 220 Lebistes share the same sex chromosome system which originated 18.48-26.08 Mya (Darolti et 221 al. 2019; Rabosky et al. 2018). Despite sharing the same XY system, the extent of Y degradation 222 differs dramatically, from largely intact in P. reticulata and P. wingei to highly degraded in P. picta and P. parae (Darolti et al. 2019; Sandkam et al. 2020). Without gene loss, there would be 223 224 no selective pressure to evolve dosage compensation, thus it is not surprising that a dosage 225 compensation was not found in either *P. reticulata* and *P. wingei* (Darolti et al. 2019), where 226 there is little evidence of decreased gene activity from the Y chromosome. 227 In contrast, the Y chromosomes in *P. picta* and *P. parae* exhibit substantial divergence

along the entire chromosome (Sandkam et al. 2020; Darolti et al. 2019). Here we present
evidence for complete dosage compensation common in multiple morphs of *P. parae*. These data
suggest that the dosage compensation system in *P. parae* is shared with *P. picta* and evolved
over a period of less than 4 million years in their common ancestor.

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232 In some systems, the rapid evolution of complete dosage compensation is achieved by 233 recruiting an ancestral or pre-existing dosage compensation mechanism (Marín et al. 1996; 234 Marin et al. 2017). In fishes, complete dosage compensation is rare, which may be the result of 235 frequent sex chromosome turnover and a paucity of heteromorphic sex chromosomes that makes 236 complete dosage compensation unnecessary. As such dosage compensation in fish is frequently 237 accomplished on a gene-by-gene basis and remains overall incomplete (Shao et al. 2014; White 238 et al. 2015; Darolti et al. 2019) with the exception of *P. picta* (Darolti et al. 2019) and *P. parae*. 239 Further work elucidating the mechanism of X chromosome dosage compensation P. picta and P. 240 *parae* will provide novel insights in the evolution of dosage compensation mechanisms.

241

242 **METHODS**

243 RNA isolation and sequencing

244 Animals used in this study were collected in Spring 2019 from natural populations in Suriname 245 and brought to the University of British Columbia (Vancouver, BC, Canada) aquatics facility, 246 where they were kept in 20L glass aquaria on a 12:12 day:night cycle at 26°C and 6ppt salinity 247 (Instant Ocean Sea Salt) and fed Hikari Fancy Guppy pellets and live brine shrimp daily. 248 Individuals were euthanized using a lethal overdose of MS-222 and muscular tail tissue was 249 taken from the anal pore to the base of the pectoral fin. RNA was immediately isolated using 250 RNeasy spin columns with on-column DNase treatment (Qiagen) following the manufacturer's 251 recommended protocol. Library preparation and 100bp paired-end sequencing was performed on 252 an Illumina NovaSeq 6000 at McGill University and the Génome Québec Innovation Centre. 253 Adaptor sequences were removed and reads were quality filtered and trimmed using 254 trimmomatic (v0.36) using a sliding window of 4 bases and a minimum Phred score of 15. Reads

with leading and trailing bases with a Phred score <3 were also removed. Sequencing libraries
consisted of ~88 million reads.

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258 Transcript Alignment and Filtering

Reads were aligned to a previously published female *P. parae* genome assembly (Sandkam et al.

260 2020) using the two-pass method for STAR align (v2.7.2) (Dobin et al. 2013). Alignments were

sorted by coordinate and converted to BAM format using SAMtools (v1.9). To find the full list

of non-redundant *P. parae* transcripts we generated GTF files for each individual using StringTie

263 (v1.3.6) then merged all GTF files. To remove non-coding RNA (ncRNA) we first compiled a

264 database of all ncRNAs in reference genomes of close relatives on Ensemble: Poecilia formosa

265 (PoeFor_5.1.2), Oryzias latipes (ASM223467v1), Gasterosteus aculeatus (BROAD S1), and

266 *Danio rerio* (GRCz11). We then removed all *P. parae* transcripts that BLASTed to our ncRNA

database.

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269 Allele Specific Expression

270 To ensure our results are comparable to our previous results in *P. picta* we followed the same 271 pipeline to identify allele specific expression (Darolti et al. 2019). In short, for each sex and 272 morph we identified SNPs separately using SAM tools mpileup (v1.9) and varscan (v2.4.3) with 273 parameters --min-coverage 2, --min-ave-qual 20, --min-freq-for-hom 0.90, and excluding 274 triallelic SNPs. We then filtered SNPs for a minimum site coverage of 15to account for 275 sequencing errors, and used a variable coverage filter to account for potential effects of 276 sequencing errors due to variable coverage levels (an error rate of 1 in 100 and a maximum 277 coverage for a given site of 100,000) (Quinn et al. 2014). We then removed SNP clusters of more

- than five SNPs in 100bp window to limit potential biases from read assignments to a single
- 279 reference sequence (Stevenson et al. 2013).
- 280
- 281 *Expression Level*
- 282 We extracted read counts using the featureCounts from the subread package (Liao et al. 2014)
- and the ncRNA filtered GTF file described above. Reads with low expression (less than 10% of
- the mean) were removed from the dataset. We then used a Wilcoxon rank sum test to compare
- expression levels between groups using the wilcox.test() function in R (p < 0.05).
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287 DATA AVAILABILITY

- Illumina .fastq read files will be made publicly available on the Genbank sequence read archiveupon publication of this manuscript.
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