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2	A Unit Pipe Pneumatic model to simulate gas kinetics during measurements of embolism in
3	excised angiosperm xylem
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31 Abstract

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The Pneumatic method has been introduced to quantify embolism resistance in plant xylem of
 various organs. Despite striking similarity in vulnerability curves between the Pneumatic and
 hydraulic methods, a modeling approach is highly needed to demonstrate that xylem embolism
 resistance can be accurately quantified based on gas diffusion kinetics.

- A Unit Pipe Pneumatic (UPPn) model was developed to estimate gas diffusion from intact conduits, which were axially interconnected by interconduit pit membranes. The physical laws used included Fick's law for diffusion, Henry's law for gas concentration partitioning between liquid and gas phases at equilibrium, and the ideal gas law.
- The UPPn model showed that 91% of the extracted gas came from the first two series of
 embolized, intact conduits, and only 9% from the aqueous phase after 15 s of simulation.
 Embolism resistance measured with a Pneumatic apparatus was systematically overestimated
 by 2 to 17%, corresponding to a typical measuring error of 0.11 MPa for P₅₀ (the water potential
 equivalent to 50% of the maximum amount of gas extracted).
- Because results from the UPPn model are supported by experimental evidence, there is a good
 theoretical and experimental basis for applying the pneumatic method to research on embolism
 resistance of angiosperms.
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Key words: unit pipe, pneumatic model, gas diffusion, Pneumatron, vulnerability curves,
embolism, xylem conduits, angiosperms

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55 Introduction

According to the cohesion tension theory (CTT), water is transported in land plants under tensile 56 conditions. The concept of tensile properties of water in vessels and tracheids of xylem tissue is 57 contrary to the normal indoctrination of students of applied physics (e.g., mechanical engineering) 58 because they are taught that only solids possess tensile properties, while liquids by "definition" do 59 not. However, a pulling force can be applied to liquids enclosed in certain liquid containers to 60 stretch and break them. CTT has withstood challenges over time (e.g., Benkert et al., 1995) through 61 rebuttals based on reviews of past literature (Tyree, 1997), cell pressure probe experiments (Wei 62 et al., 1999), and centrifuge experiments (Cochard et al., 2005). Tensile properties arise in water 63 when confined to xylem conduits, which are xylem lumina with nanoscale pores in their cell walls. 64 Therefore, the transport system from fine roots to the evaporative surface of leaves is composed 65 of many intact pipes interconnected via pit membranes, which represent modified primary cell 66 walls mainly composed of cellulose (Kaack et al., 2019). 67

Even though CTT has withstood the test of time, the transport of tensile water is prone to failure (Cochard *et al.*, 2013). Immediately after a cavitation event (tensile failure), the conduit fills with a low-pressure void that consists primarily of water vapor. These voids eventually fill up with air at atmospheric pressure following Henry's law, which describes the nature of the equilibrium between atmospheric gases and gases dissolved in water. One version of Henry's Law can be written as:

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$$75 \quad \frac{[x]_w}{[x]_a} = H^{cc} \tag{1}$$

where [x] represents the mean concentration (mol L⁻¹) of gas *x* in water, *w*, or air, *a*, depending on the subscript. H^{cc} is a constant and approximately 10⁻² for different gas species. The concentration of gas in the air phase comes from the ideal gas law:

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80
$$[x]_a = \frac{n_x}{V} = \frac{P_x}{RT}$$
 (2)

81

where n_x is the number of moles of gas *x* in volume *V*, P_x is the partial pressure of gas *x*, and *RT* is the universal gas constant multiplied by temperature in Kelvin.

In xylem conduits of plants, gases can appear after cavitation events if water remains in the 84 tensile state and disappear if water returns to a non-tensile state slightly below atmospheric 85 pressure. Modeling and experiments have determined the kinetics of bubble disappearance in 86 conduits when the fluid pressure is near or above atmospheric pressure (Yang & Tyree, 1992; 87 Tyree & Yang, 1992). Recent work has also focused on how long it takes a newly cavitated conduit 88 to fully embolize, that is, when Ar, O_2 , and N_2 reach partial pressures in the conduit equal to those 89 in ambient air. Answers have come from theoretical models and experiments in which the focus 90 91 has been on the speed of radial gas diffusion between conduits inside stems to the outside surface of the bark (Wang et al., 2015). This radial movement of gas from bubbles in conduits to the 92 ambient atmosphere is basically controlled by Fick's law of diffusion expressed in radial 93 coordinates. This rate of diffusion of gases in water is very slow. Hence, even for stems less than 94 95 10 mm in diameter, the time for equilibrium can be hours to days depending on the diameter and the diffusion coefficient of gases in wet woody stems. In all of the studies cited above, axial 96 diffusion was not included in the modeling, and the experimental designs for model verification 97 inhibited most of the axial diffusion. 98

99 While these former models are useful and interesting, they do not apply to the new experimental situation inherent in the Pneumatic method (Pereira et al., 2016; Zhang et al., 2018) and the 100 101 invention of the Pneumatron (Pereira et al., 2020; Jansen et al., 2020). The Pneumatron consists of an air pressure sensor connected by tubing to the cut end of a shoot with leaves, and it is used 102 103 to measure the kinetics of diffusion from newly embolized, intact vessels to the embolized vessels at the cut surface of a terminal branch. This process involves axial diffusion of gases via hydrated 104 pit membranes, which are typically only 0.2 to 1.3 µm thick (Li et al., 2016; Kaack et al., 2019). 105 Over these short distances, diffusion can be quite quick. In axial transport, the median time, t_m , for 106 a gas to diffuse across a distance *s* is given by: 107

108

$$109 s2 = 2D_g t_m (3)$$

110

111 where D_g is the coefficient of diffusion of the gas species g (in m² s⁻¹), and s is the distance (in m) 112 that half the molecules traverse in time t_m (in seconds). Gases in water have $D_g = 2 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$, 113 so the time to diffuse through 1 µm of water is approximately 0.25 ms, but the time to diffuse through 0.01 m of water is 2.5×10^4 s ($\cong 7$ h). Consequently, gases spread axially down cut branches more rapidly than radially through stems.

The Pneumatic method involves measuring the kinetics of gas movement down the axis of a 116 117 stem while independently measuring the stem water potential with a stem hygrometer (thermocouple psychrometer connected to a stem) or by measuring the balance pressure of excised 118 119 leaves with a pressure chamber. Since 2016, the Pneumatic method has been used to estimate the vulnerability curves (VCs) of woody species where the interpretation of what is measured is based 120 121 on qualitative arguments, or by comparing pneumatic VCs to VCs measured by more conventional 122 hydraulic (Pereira et al., 2016, 2020, 2021; Zhang et al., 2018, Sergent et al., 2020; Chen et al., 2020) and non-hydraulic techniques (Sergent et al., 2020; Chen et al., 2020; Guan et al., 2021). 123

What is clearly lacking in our full understanding of pneumatic measurements is a modeling 124 approach of the gas diffusion kinetics along an axial pathway of conduits and radial pathways of 125 126 stems. Therefore, the purpose of this study is to provide a theoretical background for pneumatic measurements, with the aim of proving that the theoretical kinetics of pressure change via diffusion 127 of gases axially through intervessel pit membranes follows the proportion of embolized vascular 128 tissue, either on a volume basis or hydraulic conductance basis. The model presented in this paper 129 complements experimental evidence in recent papers (Pereira et al., 2020, 2021; Guan et al., 2021; 130 Paligi et al., submitted). 131

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133 Model description

134 Basic concepts of pneumatic measurements

In typical pneumatic experiments, the air pressure in all embolized vessels is assumed to be in 135 136 equilibrium with the ambient air. In a measuring cycle, a partial vacuum (40 kPa absolute pressure) is drawn in <1 s at the cut surface of the stem. The stem is connected to a small, known volume of 137 138 tubing, and air space is connected to a pressure transducer. Then, the vacuum pump is turned off, and the pressure is monitored every 0.5 s for ≤ 30 s, during which time the pressure increases by 139 140 10 to 20 kPa to an absolute pressure of 50 to 60 kPa. This is called air discharge. At the end of a measuring cycle, the air pressure is returned to atmospheric pressure for nearly 20 min to ensure 141 142 that atmospheric pressure has been restored in all embolized vessels that are in axial contact with 143 the cut open vessels.

145 Basic idea of the Unit Pipe Pneumatic model (UPPn model)

All the theoretical calculations involve Fick's law for the diffusion of gases, Henry's law for 146 gas concentrations at air/water interfaces, and the ideal gas law to relate air pressure to gas 147 concentrations. Fick's first law is used in radial or Cartesian coordinates as needed (Crank, 1975). 148 The Unit Pipe Pneumatic model (UPPn model) approximates the three-dimensional vessel 149 network in angiosperm xylem (Zimmermann & Tomlinson, 1966). This model may provide a valid 150 approach because the kinetics of axial gas exchange between embolized vessels (pipes) is much 151 faster than that of radial diffusion between the surface of stems and embolized vessels. The model 152 simulates the rate of gas extraction axially from embolized vessel lumina to the pressure 153 transducer, and radially from the gas dissolved in the water of the surrounding xylem tissue. Axial 154 transfer of gas occurs between closed (intact) vessels and cut-open vessels via diffusion through 155 156 water spaces in the cellulose of intervessel pit membranes (Fig.1a).

An important observation in the pneumatic method is that, at the beginning of an experiment, 157 the quantity of gas discharge (measured in pressure change, ΔP) is minimal when there is zero 158 embolism, but rises to a maximum difference when all vessels are embolized. If the vessel lumina 159 hold only 10% of the water volume of woody stems, then the embolized vessels will contain 160 approximately 5.8 times more moles of air than is dissolved in the 9-times-larger water volume 161 surrounding the embolized vessels. Our model shows that the amount of gas extracted from the 162 water of non-embolized wood is less than 10% of the gas extracted from the embolized vessels 163 during the early part of the 30 s measuring cycle. This is because the rate of axial diffusion of gases 164 from vessel to vessel is very fast and is rate-limited only by diffusion through the wet pit 165 membranes. In comparison, the radial rate of diffusion is over an average radial distance of 166 167 approximately 30 to 100 μ m and is consequently much slower. Hence, axial diffusion is up to 100 times faster than radial diffusion. 168

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170 Transport and equilibrium coefficients used in the UPPn model

Henry's law constants and Fick's law coefficient of diffusion in air and pure water are shown in Table 1. In our model, we used a weighted average for Henry's constant and the diffusion coefficient of air, $H^{cc} = 1.83 \times 10^{-2}$ and $D_{air,aq} = 2.06 \times 10^{-9}$ m² s⁻¹, respectively. Fresh (i.e., nonshrunken, non-dried) pit membranes have cellulose fibers with no lignin and an estimated pore volume fraction of 80%, which means that 80% of wet pit membranes are water (Zhang *et al.*,

176 2020). The conventional method of dealing with a mixture of solids and water is to reduce the 177 diffusion coefficient by the percentage of space that is water: 80%. The rate of oxygen diffusion 178 in lignified wood of several species has been found to be one to two orders of magnitude less than 179 that in pure water (Sorz & Hietz, 2006), so the value for radial diffusion should be reduced 180 accordingly. The coefficient of diffusion of gases in air is four orders of magnitude larger than that 181 in water, and in embolized vessels, the mass flow of gases can accelerate pressure equilibrium 182 even more.

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184 The UPPn model

The UPPn model was used in this study because it is simple enough to be programmed and solved in an Excel spreadsheet. The Excel spreadsheet can be created with all equations embedded into cells and solved in a printed mathematical format. The Excel spreadsheet also has most of the intermediate and all of the final calculation results presented automatically in graphical format. This spreadsheet is available in the Supplementary Information (Table S1). Hence, only the essential features of the model are introduced below.

191 In UPPn, we modeled for the two slowest processes: (1) axial gas diffusion, rate-limited by diffusion across pit membranes, and (2) radial gas diffusion in concentric rings surrounding a 192 193 single, embolized vessel. The radial path length and volume were adjusted in each calculation so 194 that all wood volume in a stem was divided equally between all embolized vessels. Hence, if a 195 model stem had V_s volume of non-embolized space (vascular and non-vascular volume) and a count of N_e embolized vessels each of volume V_v , then each vessel was assumed to be surrounded 196 197 by $V_s/N_e - V_v$ of non-embolized wood volume per vessel. Therefore, the water-saturated wood volume surrounding a unit embolized vessel changed with percent embolized vessels. As shown 198 199 in Fig. 1a, the unit pipe consisted of a cut-open vessel (left; colored gray) and one or more intact 200 vessels (right; colored blue). Every cut-open vessel on the left was embolized and hence had the minimum amount of water-saturated wood in the outside radius (white). Assuming 25% embolism 201 in Fig. 1a, the woody tissue volume on the right side was twice the diameter and four times the 202 203 volume as on the left side. As the percentage loss of conductivity (PLC) varied, the ratio of water-204 saturated wood to embolized vessel diameter changed accordingly (Fig. 1b).

The UPPn model provides an adequate resolution of the time course of pressure changes in vessels after a partial vacuum is drawn on all cut-open vessels. Because stem samples prepared for

pneumatic measurements are cut in the air and the cut-open vessels become quickly embolized, 207 they function as an extension of the discharge tubing. We assumed that only mature functional 208 209 vessels were capable of forming embolisms. Therefore, living immature vessels, cambium, and living bark cells have no embolism. Hence, unit pipes near the boundary between mature and 210 developing vessels would also receive some air by diffusion through the water-saturated wood 211 212 volume, cambium layer, and living phloem in bark. The UPPn model slightly underestimated the rate of pressurization of vessels near the surface of the stem, but because radial diffusion is much 213 slower than axial diffusion, this amount of error was acceptable over the time domain of the model 214 (typically 15 s). The fastest diffusion occurred axially through the intervessel pit membranes over 215 an average length of 3 to 30 cm. Therefore, most of the air extracted by the Pneumatron came from 216 axial diffusion because of the high axial diffusional rates, and because the amount of air in the 217 218 aqueous solution was only 2% of the concentration in the embolized vessels.

Conventionally, Pneumatron data is used to compute the ratio of gas discharge after a fixed time of 15 to 30 s. Empirically, the amount of gas discharged into a fixed volume V_o causes a pressure increase by ΔP . The pressure discharge is the least, ΔP_{min} , at the start of an experiment and greatest, ΔP_{max} , at the end. A dimensionless value is calculated that correlates with the percentage embolism:

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225
$$P_{AD,i} = \frac{\Delta P_i - \Delta P_{min}}{\Delta P_{max} - \Delta P_{min}} = PLC_{Pn}$$
(4)

226

where $P_{AD,i}$ is the ith percentage air discharge but could also be called PLC_{Pn} , the assumed measured *PLC* by the Pneumatron.

The same relative discharge is calculated for ideal gases whether one uses pressure, 229 230 concentration, or moles of gas in Eq. (4). The assumption is that $P_{AD,i}$ is closely related to PLC_{Pn} , 231 which could equally be percent embolized vessel volume or percent loss of hydraulic conductivity 232 in a unit pipe model. The purpose of these models is to investigate how the theoretically computed $P_{AD,i}$ relates to hydraulic *PLC* values. In the UPPn model, all vessel diameters are equal. When 233 234 there is a range of vessel diameters (d_v) , and if some of the diameters are more vulnerable than others, then the percent vessel volume is proportional to d_v^2 , but the conductance is proportional 235 to d_v^4 . 236

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238 Radial and axial diffusion through the wood

In the numerical simulation, there are only two types of rate constants that must be calculated, and they depend on the values listed in Table 2. One type of rate constant is for radial diffusion, the other is for axial diffusion, and both use Fick's first law for diffusion in radial or Cartesian coordinates (Crank, 1975).

The radial diffusion pathway was divided into six concentric rings of radial step Δr . The rate 243 244 constant for each concentric ring was unique, depending on the radial diffusion coefficient of gases in lignified wood (D_{gw}), vessel length (ΔL_v), radius of the ith ring (r_i), volume of each cylindrical 245 concentric ring (V), and time step (Δt). The Excel spreadsheet computes the change in 246 247 concentration of gases (ΔC) in time increment (Δt). In the radial and axial paths, the rate constants for diffusion had Δt included. This is done to increase the speed of update of the ΔC values in each 248 row of the Excel spreadsheet. The meaning of all symbols is given in Table 2. The rate constant 249 250 for radial diffusion is:

251

252
$$k_{r,i} = \frac{2\pi D_{gw} \Delta L_v \Delta t}{\ln\left(\frac{r_i}{r_i - \Delta r}\right)}$$
(5)

253

254 The equation for the last $(n^{th} = 6^{th})$ ring is:

255

256
$$\Delta C_n = \frac{-k_n (C_n - C_{n-1})}{V_n}$$
 (6)

257

258 For the intermediate ith ring, the equation is:

259

260
$$\Delta C_i = \frac{k_{i+1}(C_{i+1} - C_i) - k_i(C_i - C_{i-1})}{V_i}$$
(7)

261

262 For the innermost ring next to the vessel, the equation is:

264
$$\Delta C_1 = \frac{k_2(C_2 - C_1) - k_1(C_1 - H^{cc}C_2)}{V_1}$$
(8)

265

266 Regarding axial diffusion, the rate constant of each vessel in series is:

267

$$k_a = \frac{\Delta t A_p D_g H^{cc}}{d_m} \tag{9}$$

269

where A_p and d_m are the area and thickness, respectively, of the pit membranes in the axial path. Then, the change of concentration in each time step is:

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273
$$\Delta C_i = \frac{k_a (c_{\nu,i} - c_{\nu,i-1})}{V_i}$$
(10)

274

275 All of the above equations are included in the appropriate Excel cells (Table S1). At time zero, the intact vessel is assumed to be filled with air at atmospheric pressure, but the cut-open 276 vessel has been reduced to an initial pressure (concentration) given by the ideal gas law. The 277 Pneumatron draws down the pressure over a period of 1 s or less. Then, after each time step, Eqs 278 5, 7, 9, and 10 are used to calculate the change in concentration in each Excel cell where each cell 279 280 represents a location in the vessel or surrounding water-filled wood. Then, before the next time step, each concentration is adjusted by the corresponding ΔC value computed for the time interval 281 Δt . The answers are put into the Excel row corresponding to the elapsed time. The reader is referred 282 to supplemental material for the Excel spreadsheet and a description of the basic layout of the sheet 283 (Table S1). Some of the key anatomical values (Table 2) that are needed in the Excel spreadsheet 284 285 are the diffusion coefficients in wood (Sorz & Hietz, 2006), vessel dimensions in wood (Zanne et 286 al., 2010; Morris et al., 2016), and pit membrane thicknesses in vessels (Li et al., 2016; Kaack et 287 al., 2019).

288

289 **Results**

290 Pressure dynamics over a 150-s period

First, consider the case for 50% of vessels embolized. A UPPn model simulation of pressure is shown in Fig. 2. The only parameter measured by the Pneumatron is the pressure in the cut open vessels (blue line, labeled '#0') computed from the concentration (n/V) by the ideal gas law P =(n/V)RT. The pressure in the first intact vessel started out at atmospheric pressure. The temporal

dynamics of the axial pressures simulated in ten vessels connected axially are shown in Fig. 2. It can be seen that, in the first 15 s, almost no change in pressure was registered beyond the fifth vessel in series. The conclusion is that the Pneumatron can detect the pneumatic influence of only the first few vessels in the axial chain of embolized vessels.

According to the UPPn model, most of the extracted gas came from the embolized vessels rather than the aqueous phase surrounding the vessels. After 15 s, the total gas drawn into the volume space connected to the pressure transducer (i.e., the discharge tube) came from the embolized vessel space and the aqueous phase surrounding the embolized vessel. Based on Fick's law of diffusion, the total amount of gas extracted was 1.53×10^{-9} moles, of which 91% came from the embolized space and only 9% from the aqueous phase. Even after 150 s, the percentages of gas extracted from the gas and liquid phases were 84% and 16%, respectively.

306 Each solution depends on the parameters shown in Table 2 and the assumed percentage of embolized vessels. In the solution above, the model assumed 50% embolism. Hence, the radius of 307 308 the external tissue was minimum in the cut-open vessels and greater in the 50% embolized zone. The radial gas concentrations in the six concentric rings of water-filled tissues to the right of the 309 310 cut-open vessels were computed (Figs. 1a and 3a). The concentric ring concentrations of gas reached a minimum value and then began to rise again, which was a consequence of the nature of 311 312 the simulation. The concentrations of gas in the concentric rings of intact vessels changed less than in those of the cut-open vessels. After >50 s, the gas concentrations in the rings started to increase 313 314 because of air-entry from vessels further down the chain. The third and sixth vessels along the axis showed much smaller changes in dissolved gas concentration over the same 150 s (Fig. 3b, c). The 315 316 conclusion from this simulation is that, even if there are many vessels in an axial chain that are embolized, the amount of gas extracted in the first 15 s of the extraction process comes mostly 317 318 from the first two intact vessels.

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320 Pressure kinetics with zero embolism (P_{min})

In this case, the pneumatic model solves for a cut-open vessel that is half the mean vessel length. There is no axial draw of air because all axial intact vessels are water-filled. Therefore, we must consider only the exchange of air from water-filled wood radially adjacent to the cut-open unit pipe. In the Excel spreadsheet (Table S1), this is easily programmed by changing a Boolean variable (cell L44) from false to true, which indicates that the spreadsheet does not consider axial

flow from vessels distal to the cut-open vessels because there are no embolized, intact vessels to 326 allow axial flow (except for the cut-open vessels). The spreadsheet for this simple case computed 327 328 a very small change in moles of gas in the external chamber connected to the pressure transducer: 7.45×10^{-11} mol after 15 s and 1.54×10^{-10} mol after 150 s. Theoretically, this should be the same 329 as setting PLC (cell O39) to zero, but this will cause the program to crash because this value is 330 331 used to compute the radial distance of water-filled tissue between equally spaced embolized vessels. In practice, it is better to maintain the *PLC* above 5% even though the Excel spreadsheet 332 computes outputs for values as low as <0.1%, but the output grows increasingly inaccurate for 333 small values of *PLC* in cell O39. 334

335

Pneumatron absolute pressure curves and PLC_{Pn} curves versus model input PLC

The impact of the extractable gas (model output) as the input PLC increases from zero (cell L44 337 = true) to 100% (cell L44 = false, cell O39 set to the desired *PLC*) is shown in Fig. 4a. Minimal 338 change in pressure ΔP_{min} occurs at 0% PLC input, and the maximum ΔP_{max} occurs when 100% 339 PLC is inputted. Readers should remember that, in the UPPn model, an increase in PLC is 340 equivalent to a decrease in the radius of non-embolized water/tissue around the embolized vessel. 341 The conventional method of estimating the PLC in previous experimental studies was to pick some 342 time interval (e.g., 15 or 30 s) and then compute PLC_{Pn} following Eq. (4). Taking the ΔP_i 343 measurements at 15 s for every curve in Fig. 4a produces the results shown in Fig. 4b. The 344 relationship is slightly curvilinear, with a maximum deviation of approximately 5% at the 50% 345 *PLC* input value. 346

347

348 *Sensitivity analysis*

The rate-limiting steps for gas movement occurred when gas was forced to move through water by diffusion. When there was no embolism at all in intact vessels, the gas extraction was limited to what came out of solution from the surrounding water radially connected to the cut-open vessel $[\Delta P_{min} \text{ in Eq. (4)}]$. When vessels were embolized, the maximum air extraction occurred from the embolized vessel plus the extraction from the water-filled tissue surrounding the vessel $[\Delta P_{max} \text{ in}$ Eq. (4)]. There would be a perfect match between the volume of embolized intact vessels and PLC_{Pn} in Eq. (4) if no gas was drawn out radially from the surrounding tissue. The rate of gas extraction from intact vessels was faster than that from surrounding water because the diffusional path length equaled the pit membrane thickness per vessel (0.2 to 1.2 μ m), whereas radial diffusion was over a distance of 60 to 150 times the typical pit membrane path length (30 μ m to 75 μ m depending on vessel diameter) when the volume fraction of the vessel occupied 10% of the cross-sectional area of the wood.

The mass flow rate of gas down the length of the vessel lumen was much more efficient than that of diffusion. The maximum gas flow down the axis of the vessel occurred in the first vessel adjacent to the cut-open vessel immediately after the vacuum was drawn. The theoretical pressure drop needed to maintain flow down the entire vessel length was calculated to be $<10^{-4}$ Pa out of nearly 10⁵ Pa initial pressure; hence, pressure gradients through the length of intact vessels can be ignored compared with the pressure difference across intervessel pit membranes.

In most simulations, the amount of gas extracted in the first 15 s (the measuring cycle of the 367 368 Pneumatron) was >90% from the vessels. Vessel length had no impact on the fraction of extracted gas because increasing vessel length increased the moles of air in the embolized vessel and 369 increased in exact proportion to the moles of air in the surrounding water-saturated tissue. In 370 contrast, increasing the vessel diameter increased the percentage of gas extracted from the 371 372 embolized vessels, even though the volume of water-saturated annuli dramatically increased with 373 the vessel diameter (Fig. 5). If gas extraction from embolized stems was 100% from vessel lumina 374 with nothing from the water-saturated annuli around the vessels, then the Pneumatic technique would provide an accurate measurement of the volume of embolized vessels. However, a precision 375 of 85 to 95% was not bad given how easy and inexpensive the Pneumatic method and Pneumatron 376 377 respectively are. These percentages were computed for an analysis period of 15 s. The decision about the appropriate time interval for analysis of real experiments versus simulated data is 378 addressed in the discussion with one experimental example. All the percentages in Fig. 5 were 379 computed for the 50% embolism case. The agreement improves slightly as the input PLC 380 381 decreases. For example, for a 40-µm diameter vessel, the percentage gas extraction increased from 90.3% at 100% PLC input to 91.0% at 10% embolism input. 382

So far, we have presented results from the UPPn model using only one set of input parameters, as shown in Table 2. These are the default values available to readers who wish to download our Excel file. The only factor that changed was the input *PLC* value. We must now examine how much the model output changed when other input values were selected that might have influenced

the UPPn model. Based on quantitative anatomy, the values in Table 2 cannot be independently 387 varied and expected to be meaningful (Sperry et al., 2005, 2006; Hacke et al., 2006). For example, 388 vessel length (L_v) scales with vessel diameter squared, and L_v (cm) $\cong 2.6 \times 10^{-2} D_n^{1,48}$ (µm) (Liu et 389 al., 2018); converting both sides to meters yielded $L_v = 2.00 \times 10^5 D_v^{1.48}$. In addition, end wall 390 resistivity in pits scales with lumen resistivity with a slope near one. The initial UPPn model took 391 392 these factors into account when default values were loaded in Table 2 (copied from the Excel file 393 available for download). All values of L_v in Sperry et al. (2005, 2006) and Hacke et al. (2006) seem rather short compared with those in recent literature (Liu et al., 2018), but we can safely skip 394 395 this debate because the UPPn model was insensitive to L_{ν} .

396

397 Influence of axial conductance (k_a) on Pneumatron results

The axial conductance (k_a) used in the calculations is a diffusional conductance for air, whereas the statement about hydraulic resistivities being nearly equal refers to the resistance to water flow per unit length of wood. Pit membranes are typically 0.3 to 0.5 µm thick (range about 0.2 to 1.2 µm) compared with vessel lumen lengths that are 10^4 to 10^6 times longer (5 to 50 cm or more). The UPPn model considers the rate-limiting step of the diffusion of gases through the water-filled spaces of pits that are approximately 80% water by volume in fresh pit membranes (Zhang *et al.*, 2020).

The model predicted, after 15 s, that >90% of the gases drawn into the tubing connected to the 405 pressure transducer came from axial gas extracted from embolized vessels, and less than 10% came 406 407 from gases dissolved in the water of fully hydrated tissue surrounding the vessel. Therefore, we started with the assumption that factors influencing k_a dominated the gas-extraction process. There 408 are four constants in Eq. (9). The value of Δt is the time step used for the iterative solution of the 409 equations, and the only thing we do with that is pick a small enough value so that the solution is 410 stable. The two most variable constants are the pit membrane area between adjacent vessels (A_p) 411 and the thickness of the pit membrane (d_m) . The value of A_p seems to range over two orders of 412 magnitude from 3×10^{-7} to 3×10^{-9} m² (Wheeler *et al.*, 2005; Hacke *et al.*, 2006; Lens *et al.*, 2011; 413 Jansen et al., 2011; Scholz et al., 2013) and has been suggested to be negatively correlated with 414 vulnerability to embolism (Wheeler et al., 2005; Hacke et al., 2006; but see Kaack et al., 415 416 submitted). The value of d_m was not considered in Hacke *et al.* (2006), but we now know it ranges from 0.2 to 1.2 μ m and is positively correlated with the tension at 50% embolism (T_{50}) (Li *et al.*, 417

418 2016; Kaack *et al.*, 2019). Since k_a is a function of the ratio of A_p/d_m , it seemed reasonable to 419 explore how this ratio changes over a factor of 10 from 2 to 0.2 m, and this was accomplished by 420 changing k_a from 5×10^{-11} to 5×10^{-12} ; k_a is the diffusional conductance of gas in wet pit 421 membranes times Δt (time step = 0.05 s). The results, pressure vs. time, are plotted in Fig. 6a, and 422 all simulation curves were convex upward, as shown in Fig. 4b. Figure 6b is a plot of the simulated 423 value of *PLC*_{Pn}, that is, the model output value when the input value is 50% *PLC*.

The simulation demonstrated that changing k_a over likely values in plants increased the model-424 computed values of ΔP_{max} and ΔP_{50} when the real input value was 50% (Fig. 6a). ΔP_{min} was 425 unaffected by k_a because there were no embolized vessels to deliver gases (Fig. 6a). The computed 426 tension at PLC_{50} from the Pneumatron was in error of 2% to 17% from the true value of 50% as k_a 427 428 increased (Fig. 6b), and hence, the Pneumatron always overestimated the tension of PLC_{50} . 429 However, such overestimation of *PLC*₅₀ would have a low impact on T_{50} (≤ 0.11 MPa), as shown 430 in a typical VC (Fig. 6c). This error magnitude appears to be acceptable and less than or equal to 431 the typical disagreement in T_{50} values measured by different hydraulic methods on the same species (see review by Cochard et al., 2013). 432

433

434 The impact of radial diffusion of gases on Pneumatron results

435 When a vessel is embolized, gas can diffuse from the hydrated tissue immediately adjacent to the embolized vessel. When the Pneumatron pump is turned on, it draws a partial vacuum at the 436 end of the cut stem, which causes axial diffusion of gases from the nearby embolized vessels, 437 which are initially at atmospheric pressure. Then, as the intact vessel pressure drops, there is a 438 tendency of gases to diffuse from the surrounding tissue. The model already demonstrated that the 439 axial rate of diffusion was faster than the radial rate. This was the consequence of two factors: (1) 440 the distance of radial diffusion was about 100 times greater than the axial diffusion distance in the 441 442 water of pit membranes; and (2) the coefficient of diffusion was up to two orders of magnitude less in water-saturated wood (Sorz & Hietz, 2006) compared with that in pure water. The values 443 of the O₂ diffusion coefficient measured in water-saturated wood were 1×10^{-11} to 2×10^{-10} m² s⁻ 444 ¹, which were lower than that in pure water (2 \times 10⁻⁹ m² s⁻¹). The default value used for our 445 calculations was 5×10^{-11} . We used this low value because the wood samples studied by Sorz & 446 Hietz (2005) were from stems that still had some embolism (5–20% gas volume). Exactly where 447 448 this gas was located was not specified by the authors, but the range of gas contents was close to

the percentage of stem volume that contained vessel lumina in most woody species (5-20%)449 (Zanne et al., 2010; Morris et al., 2016). Increasing the gas content by another 10% typically 450 451 caused a ten-fold increase in the diffusion coefficient. This is because the pathway of gas movement involves water and air in parallel and series pathways, and the coefficient of diffusion 452 of gas in gaseous medium is 10^4 times larger than that in water (Table 1). Hence, the 5×10^{-11} 453 value we used may still have been too large and was already five times the minimum value (Sorz 454 & Hietz, 2006). Starting with the default values (Table 2), the percentage of gas extracted from 455 radial pathways was 8.2% of the total amount of gas extracted. Decreasing the diffusion coefficient 456 by a factor of two decreased this percentage to 5.4%, and increasing the diffusion coefficient by a 457 factor of two increased the radial extraction to 12.2%. The readers are invited to enter their own 458 values in the Pneumatron Excel spreadsheet. Hence, our sensitivity analysis suggests that PLC_{Pn} 459 460 may be a robust estimate of hydraulic PLC.

461

462 Discussion

463 The initial rate of gas extraction is the best predictor of embolism

464 Common sense, experimental data, and theoretical models all point to the most important conclusions: the initial rate of gas extraction is the best predictor of the cut shoot PLC. In the first 465 466 second or less, gas is extracted only from the first intact, embolized vessels from the base of an excised organ. The gas pressure must drop in the first vessel lumen before gas can be extracted 467 468 from the adjacent vessel down the chain, or before it can be extracted from the gas dissolved in radially connected tissue. Therefore, what we might want to measure is the initial slope of dP/dt. 469 470 There is experimental evidence supporting this finding, as the highest overall agreement between VCs based on the Pneumatron and a flow-centrifuge method was found after 15 s of gas extraction 471 472 (Palighi et al., submitted).

Previous studies (Pereira *et al.* 2016, 2020; Zhang *et al.*, 2018) computed $\Delta P = P_i - P_t$, where P_i is the initial pressure (at time zero) and P_t is the pressure measured at time t = 60 s, 30 s, or 15 s. However, there is an inherent uncertainty in knowing time zero when the vacuum pump that draws down the pressure is turned off, and there is also an uncertainty of each pressure measurement P_0 , $P_1 \dots P_t$; call this uncertainty $\pm \delta P_e$ and the time uncertainty $\pm \delta t$. The combined uncertainty is then $(\delta t^2 + \delta P_e^2)^{0.5}$. There could also be a transient period immediately after turning off the pump until the pressure is approximately equalized between the pressure sensor and the 480 cut-open vessels. It could be argued that the best way to deal with this uncertainty, once you have 481 optimized time and pressure measurements, is to perform a regression of P_t versus t, in perhaps a 482 3-s time interval, and use the slope, m, of a linear regression to obtain the initial slope. Therefore, 483 PLC_{Pn} would be based on slope m.

Modeling results are often useful, but their precision may not correspond to the precision of real 484 experimental results. Some insights can be gained from a brief look at real data. Currently, the 485 time step used for Pneumatron measurements using a programmed Arduino-based system is 0.5 s. 486 487 A cursory examination of a typical dataset taken during the dehydration of a *Eucalyptus* shoot with attached leaves revealed that the first few points after the pump was turned off followed a 488 curvilinear trend during the first 2.5 s (Fig. 7a), but later appeared to be more linear. The slope 489 versus time of dehydration showed a good trend for 3-s regression periods (seven-point regression 490 491 from time 3 to 6 s, with measurements taken every 0.5 s) and for a 7-s regression period (13-point regression from time 3 to 10 s), but the slope for the longer regression period is less than that for 492 the shorter period (Fig. 7b). The R^2 values of the regressions showed marked differences during 493 the 20-h dehydration experiment. This revealed that even shorter times for regressions rather than 494 495 just pressure differences over 15 s might yield quite precise results.

496 Using the UPPn model, we can also show that the PLC_{Pn} values are closer to the real PLC values 497 over the entire range of the VC (Table 3). While varying the number of vessels connected axially from 1 to 10, PLC_{Pn} was 57.5% to 57.8% and, hence, depended somewhat on the number of vessels 498 499 connected. However, when slope m was used, the PLC_{Pn} was 52.3% and was much less dependent on the number of vessels in series. The absolute error of 2.4% in PLC_{Pn} would cause a typical error 500 501 of T_{50} by <30 kPa. The lack of dependence on the number of vessels in series is very fortunate, since we have no way of knowing how many vessels will be embolized in series as a function of 502 503 PLC.

504

505 Insights from a theoretical approach on plant pneumatics

506 Much more work on modeling of gas movement in stems seems to be merited by the 507 encouraging results of this study. The tentative conclusion from the mathematical modeling of the 508 biophysical process of gas movement in woody stems provides strong justification for the 509 pneumatic method of measuring VCs and gas kinetics.

The main shortcoming of all mathematical models is that they cannot disprove experiments. This is because the validity of models always depends on the underlying assumptions made in the model. Thus, when a model provides confirmation of experimental results, it provides a theoretical basis for believing the experimental results. However, when results from well-designed and wellexecuted experiments disagree with a model, the model must always be presumed wrong.

515 If sometimes the pneumatic measurements produced a VC that readers found difficult to believe, it seems likely that it could be traced to methodological errors in the measurement of xylem water 516 517 potential, and/or errors in the pneumatic measurements. For instance, incorrect estimation of the minimum and maximum amount of air discharge (ΔP_{min} , and ΔP_{max} , respectively), are well 518 known to result in VCs that should be interpreted carefully (Chen et al., 2020; Sergent et al., 2020; 519 520 Pereira *et al.*, 2021). Users who are new to the Pneumatic method should pay special attention that stable measurements of ΔP_{min} and ΔP_{max} are obtained (Chen *et al.*, 2020), which is easier when 521 working with a Pneumatron than applying the manual pneumatic approach (Trabi et al., 522 Submitted). The importance of which measurement values are considered as the functional starting 523 524 and ending point also applies to hydraulic vulnerability curves (Choat et al., 2010; Jansen et al., 2015). Moreover, unstable values of ΔP_{min} and ΔP_{max} are much more likely to affect VCs than 525 speculation about cracks in xylem (Chen et al., 2020), which would only affect pneumatic 526 527 measurements if there would be direct cell wall openings to the intact conduits from which gas is extracted. Even if such xylem cracks would occur, it would result in a leakage that can easily be 528 529 detected.

Although our model shows that pneumatic measurements are insensitive to vessel length (L_{ν}) , another potential measuring error could result from the volume of the discharge tube. If the discharge volume is too large, the measuring error of the pressure sensor will be relatively large (see Fig. 4 in Jansen *et al.*, 2020). We therefore recommend to adjust the volume of the discharge tube by determining the maximum volume of the gas that can be extracted from a completely dehydrated sample before conducting VC measurements (Pereira *et al.*, 2020).

536 So far, the pneumatic method has not been successfully applied yet to Gymnosperms based on 537 two species of Pinaceae (Zhang *et al.*, 2018) and two species of Cupressaceae (Sergent *et al.*, 538 2020). A possible explanation for a difference between angiosperms and gymnosperms could be 539 aspiration of the pectin-rich torus in gymnosperms (Dute *et al.*, 2015), preventing gas extraction 540 from embolized tracheids. This could be tested by applying the Pneumatic method to gymnosperm

species without a torus-margo pit membrane (Bauch *et al.*, 1972), as suggested also by good
agreement between pneumatic and hydraulic VCs of the vesselless angiosperm *Drimys brasiliensis*(Fig. 7 in Pereira *et al.*, 2016).

Comparison of the Pneumatic with hydraulic and other non-hydraulic methods has provided strong agreement for a substantial number of angiosperm species and samples (Fig. S1 and Table S2; Pereira *et al.*, 2016, 2020, 2021; Zhang *et al.*, 2018, Sergent *et al.*, 2020; Guan *et al.*, 2021; Paligi *et al.*, submitted). This agreement is especially strong when the above-mentioned caveats are considered. Therefore, we assert tentatively that there is a good theoretical and experimental basis for applying the Pneumatic method in research on plant water relations and embolism resistance.

551

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682 Supporting information

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Additional supporting information may be found in the online version of this article.

685

- Fig. S1 Comparison of embolism resistance measured with the Pneumatic method and othermethods.
- 688
- **Table S1** Excel spreadsheet of the UPPn model to simulate gas kinetics.

690

- **Table S2** Published values of embolism resistance estimated with the Pneumatic and othermethods.
- 693
- 694 Note S1 Description of the basic layout of the UPPn model as shown in the Excel spreadsheet.

696 **Figure legends**

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Figure 1. (a) A cut-open vessel and an intact vessel showing diameters of the vessel (far right, 698 d_{w2}), diameter of the cut vessel shell (far left, d_{w1}), and diameter of the intact vessel shell in the 699 700 middle (d_{ν}) . (b) The ratio of wood to vessel diameters versus *PLC* used in the Unit Pipe Pneumatic 701 model. The diameter of a wood volume is scaled so that all water-saturated wood is shared equally by the embolized unit pipes. Thus, if *PLC* is less than 100% in a region of wood, then the vessels 702 that are embolized will share more wood diameter. Considering the fraction of wood area that is 703 vessel lumina (α_x), vessel diameter (d_y), and wood diameter (d_w) in the unit pipe model, the ratio 704 d_w/d_v is given by $(\alpha_x PLC/100\%)^{0.5}$, as shown in (b). 705

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Figure 2. Simulated absolute pressures according to the Unit Pipe Pneumatic model measured by the pressure transducer (blue, #0) and as computed in the intact, embolized vessel. All vessels start out at full atmospheric pressure. In the first 15 s after drawing a partial vacuum, almost no change is detected in the seventh vessel down the chain. The pressure transducer is in pressure equilibrium with the cut vessels. Vessel number is indicated below each line where space permits.

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Figure 3. Temporal dynamics of the axial concentrations of air in the aqueous phase of waterfilled walls and wood fiber cells when 50% of the vessels are embolized. Each colored line equals the concentration of gas in water in a concentric ring of wood around the vessel. In the legend, R1, means the ring nearest the first vessel, R2, means the second ring from the vessel, etc.

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Figure 4. (a) Temporal dynamics of simulated pressure output (kPa) at the pressure transducer with varying *PLC*. In the simulation, the partial vacuum is drawn in the 1-s interval before time 0 on the x-axis. The different curves give the gas pressure change when varying the percentage of embolism in the stem (shown on the right). (b) A plot of simulated *PLC* from the model versus the input *PLC*. The points are taken from the curves in (a) at t = 15 s using Eq. (4). The black line represents the 1:1 line.

Figure 5. The percentage of gas extracted from the vessel in the first 15 s of the Unit Pipe Pneumatic model simulation as affected by vessel diameter. The percentages are percent of total gas extraction from the embolized vessels plus the air extracted from the water surrounding the embolized vessels.

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Figure 6. Theoretical impact of axial conductance of pit membranes to air diffusion (k_a) on the computed change in gas pressure (ΔP). (a) The computed pressure values after 15 s for 0 (ΔP_{min}), 50% (ΔP_{50}), and 100% (ΔP_{max}) embolism as affected by k_a . (b) The pneumatic value of *PLC*₅₀ as affected by k_a . (c) The maximum likely error in determining the tension at 50% *PLC* (T_{50}) is shown on a typical vulnerability curve, assuming an overestimation of 17% in *PLC*₅₀.

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Figure 7. Analysis of experimental Pneumatron data collected during the dehydration of a *Eucalyptus camaldulensis* Dehnh. shoot with leaves. (a) Typical change in absolute pressure with time during shoot dehydration. (b) Slopes calculated for 3-s (black symbols) and 7-s periods (blue symbols) as affected by the dehydration time. Slopes were calculated after the initial 3 s, when there was a linear correlation between pressure and time, as shown in (a). (c) R² values of slope values shown in (b) during dehydration time.

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745 **Tables**

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Table 1. Henry's law constants (H^{cc}), diffusion coefficients in water ($D_{g,aq}$) and air ($D_{g,air}$), and gas concentration in water (C_{aq}) and air (C_{air}). RT = 24.8 L bar mol⁻¹, 1.013 bar = 1 atm. Avg = weighted average = sum of % air × $D_{g,aq}$ (or H^{cc}). If Ar is ignored, the weighted averages are slightly different.

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Gas	$D_{g,aq}$	$D_{g,air}$	Hcc	C_{aq}	Cair	Air
	$(m^2 s^{-1})$	$(m^2 s^{-1})$	(C_{aq}/C_{air})	(mM)	(mM)	(%)
Ar	2.00E-09	7.03E-05	3.43E-02	1.40E-02	0.41	1
O_2	1.88E-09	1.58E-05	3.18E-02	2.60E-01	8.17	20
N_2	2.10E-09	1.76E-05	1.49E-02	4.81E-01	32.27	79
Avg	2.06E-09		1.83E-02			

Table 2: Values that must be entered in the UPPn model Excel spreadsheet. The non-bold values

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Abbreviation	Value	Units	Definition		
H^{cc}	1.83E-02		Henry's law constant (weighted average for $N_2 \& O_2$)		
C _{g,air}	4.098E+01	mol m ⁻³	Air concentration at atmospheric pressure (saturation value)		
$D_{\rm gw}$	5.00E-11	$m^2 s^{-1}$	Diffusion coefficient of gas in wet wood		
$D_{ m g}$	1.00E-09	$m^2 s^{-1}$	Diffusion coefficient of gas in wet pit membrane		
$d_{ m v}$	4.00E-05	m	Mean vessel diameter, diameter shared when overlapping		
L_{v}	6.20E-02	m	Mean vessel length (this is computed, but can be entere manually) $2 \times 10^5 d_{\nu}^{1.48}$		
$0.5L_{v}$	3.10E-02	m	Cut-open vessel length		
f_{v}	5.0	%	Fraction of vessel wall surface in common between vessels		
$2E5*a_{\rm x}$	10.0	%	Fraction of vessel lumen in stem cross section		
<i>V</i> _v /2	3.89E-11	m ³	Volume of cut-open vessel		
K_{pff}	0.5	%	Fraction of the intervessel pit field that is pit membrane		
$d_{ m m}$	5.00E-07	m	Pit membrane thickness		
$A_{ m p}$	1.95E-07	m ²	Total pit membrane surface area		
V _{t+v1}	2.05E-06	m ³	volume of $V_{t+\nu I}$		
Vv	7.79E-11	m ³	Volume of one vessel $V_v = \pi (d_v/2)^2 L_v$		
V_{cov}	5.47E-07	m ³	Volume of all cut-open vessels =0.5 $V_v N_v$		
d_{t}	0.05	8	The time step in the simulation		
$V_{ m t}$	1.50E-06	m ³	external tubing, V_{t_i} 1.5 mL tube		
d_w	0.015	m	Diameter of wood in stem		
N _v	1.41E+04		Number of vessels = $a_x(0.015/d_v)^2$		
N _{ve}	1.41E+04		Number of vessels embolized to right = $P_{LC} N_v$		
V _{t+v}	2.05E-06	m ³	Volume of cut-open vessels plus tubing		

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Notes: The first two values are physical constants that should not be altered. The next two values are diffusion coefficients for gases in lignified wood (D_{gw}) (Sorz & Hietz 2005) and pit membranes (D_g). The estimated D_g was reduced by 20% to allow for the pit membrane having solid cellulose fibers occupying approximately 20% of the volume. The following three values are all available from the literature (Zanne *et al.*, 2010; Morris *et al.*, 2016) or can be measured anatomically: (1)

are entered by the user; the **bold** values are calculated by Excel.

763 the fraction of vessel walls in common between vessels (Sperry *et al.*, 2005; 2006), (2) the percentage of stem cross section that is vessel, and (3) vessel diameter. The fraction of pits in the 764 765 pit field varies over a narrow range from 0.4 to 0.6 (Lens et al., 2011; Scholz et al., 2013). The thickness of pit membranes varies from 0.2 to 1.3 µm (Li et al., 2016; Kaack et al., 2019). Finally, 766 dt is a step time interval that must be small enough for the computational results to be stable. This 767 768 is determined by trial and error and changes with the impact of all the other parameters. The last two values are the volume of tubing connected to the pressure transducer and the diameter of the 769 770 wood. These values are used to compute the number of vessels and the external volume per vessel, 771 because the UPPn needs to scale the volume where pressure is measured in a single vessel series (unit pipe). Vessel length, L_{ν} , is computed, but has no influence on the model results. However, it 772 is important for experimental design because excised shoots must be cut several times longer than 773 the vessels contained in shoot segments. Not shown in this table, but calculated in the Excel 774 775 spreadsheet are one value of axial conductance (K_a) for axial diffusion of air through pits (Eq. 9) and six values of radial conductance (K_r) for the radial diffusion of air through the six radial shells 776 (Eq. 5) around each vessel; K_a and K_r have units equal to m^3 . 777

Table 3. This table used the default parameters in Table 2 in the Pneumatron Excel spreadsheet tocompute the values shown below. The number of vessels in series (in the first column) connectedaxially was varied from 1 to 10. The 3-s interval used for these calculations was from t = 3 to 6 s.

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#vessels	First 15 s, ΔP (kPa)			PI C.	Slope (kPa s ⁻¹), 3 s			PI C-
πνεδειδ	ΔP_{min}	ΔP_x	ΔP_{max}	_ rLCPn	m _{min}	m _x	m _{max}	_ I LCpn
1	0.400	3.649	6.051	57.49%	0.042	0.427	0.777	52.35%
2	0.400	4.219	7.011	57.78%	0.042	0.433	0.789	52.35%
3	0.400	4.257	7.076	57.77%	0.042	0.433	0.789	52.35%
10	0.400	4.258	7.079	57.77%	0.042	0.433	0.789	52.35%

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785 Figure 1



788 Figure 2



791 Figure 3



794 Figure 4



797 Figure 5



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800 Figure 6



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803 Figure 7

