

1 **Brain transcriptional regulatory architecture and schizophrenia etiology**
2 **converge between East Asian and European ancestral populations**

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32 **Abstract**

33 Understanding the genetic architecture of gene expression and splicing in human brain
34 is critical to unlocking the mechanisms of complex neuropsychiatric disorders like
35 schizophrenia (SCZ). Large-scale brain transcriptomic studies are based primarily on
36 populations of European (EUR) ancestry. The uniformity of mono-racial resources may
37 limit important insights into the disease etiology. Here, we characterized brain
38 transcriptional regulatory architecture of East Asians (EAS; n=151), identifying 3,278
39 expression quantitative trait loci (eQTL) and 4,726 spliceQTL (sQTL). Comparing these
40 to PsychENCODE/BrainGVEX confirmed our hypothesis that the transcriptional
41 regulatory architecture in EAS and EUR brains align. Furthermore, distinctive allelic
42 frequency and linkage disequilibrium impede QTL translation and gene-expression
43 prediction accuracy. Integration of eQTL/sQTL with genome-wide association studies
44 reveals common and novel SCZ risk genes. Pathway-based analyses showing shared
45 SCZ biology point to synaptic and GTPase dysfunction as a prospective pathogenesis.
46 This study elucidates the transcriptional landscape of the EAS brain and emphasizes
47 an essential convergence between EAS and EUR populations.

48 **Main**

49 Population genetics examines differences within and between populations and how
50 such genetic differences contribute to health and disease. A global understanding of
51 the influence of genetic variance on complex diseases would advance insight into the
52 biological mechanisms of disease risk¹. During the past decade, genome-wide
53 association studies (GWAS) have identified thousands of risk variants for psychiatric
54 disorders across diverse populations^{2,3}. Nonetheless, most of samples in psychiatric
55 disorders GWAS originate from those of European (EUR) descent⁴. Due to ancestral
56 differences evident in allele frequencies (AF), linkage disequilibrium (LD) patterns, and
57 other factors, GWAS findings often fail to translate to other populations^{5,6}. For example,
58 Martin et al. examined that genetic risk prediction accuracy will decrease within
59 heterogeneous populations which the original GWAS sample and target of prediction
60 are divergent⁵.

61 Interpreting GWAS “hits” with expression quantitative trait loci (eQTL) and splicing
62 quantitative trait loci (sQTL), significantly enriched for trait-associated SNPs offers a
63 feasible alternative for advancing our understanding of the molecular mechanisms
64 underlying complex traits^{7,8}. In the past decade, eQTL and sQTL have become familiar
65 and effective tools enabling GWAS to explain single nucleotide polymorphism (SNP)
66 heritability and spotlighting potential disease risk genes⁹⁻¹⁴. Various methods have
67 been proposed to interpret GWAS using eQTL/sQTL signals to establish gene-
68 expression prediction models. Examples such as PrediXcan¹⁵ and TWAS¹⁶ correlate
69 imputed gene expression to a phenotype under investigation. However with
70 eQTL/sQTL, the problem of population disparity becomes even more extreme, as most
71 resources focus largely on the EUR ancestry alone¹⁷⁻²⁰. The capacity of existing
72 prediction models to isolate causal genes common across populations appears to be
73 constrained by the Eurocentricity of the models themselves. In this way, the limited
74 availability of non-EUR GWAS impedes our ability to fully understand the genetic basis

75 of diseases and to translate basic research into clinical medicine.

76 Recent studies have discovered significant inefficiency in predictive performance
77 between heterogenous populations^{14,21-23}. One plausible explanation for this is the
78 differences in LD patterns and AF distribution. These disparities also hinder the ability
79 of QTL to replicate in diverse populations. For example, comparing the regulatory
80 architecture of gene expression in lymphoblastoid cell lines, Stranger *et al.* found that
81 QTL differentiation among populations was likely due to AF differences reducing the
82 statistical power of association testing²⁴. Additionally, Lauren *et al.* showed that the AF
83 differences between populations led to the accurate prediction of some genes and poor
84 prediction in others²². Therefore, developing new transcriptome regulatory profiles and
85 prediction models specific to ancestral populations is critical for accurately predicting
86 gene expression and identifying disease risk genes.

87 It should be noted that transcriptomic studies conducted for other tissues (e.g., blood),
88 cannot adequately represent the transcriptome of neuropsychiatric disorders that are
89 most closely associated with the brain²⁵. Gene expression is tissue-specific. Moreover,
90 many studies have discovered that QTLs within specific pathogenic tissues are
91 significantly enriched for relevant trait associations²⁶⁻³⁰. For example, in the frontal
92 cortex, a region widely accepted as critical for schizophrenia (SCZ), QTLs detected
93 are significantly enriched with greater SCZ GWAS signals than QTLs detected from
94 other tissues²⁶⁻²⁸. Such findings signal the need to develop regulatory profiling of the
95 human brain to uncover the biological mechanisms of SCZ. Several studies have
96 generated large-scale postmortem brain data³¹⁻³³. For instance, Wang *et al.* developed
97 a comprehensive resource for functional genomics of 1,866 adult brains using
98 PsychENCODE data that highlights key genes and pathways associated with SCZ,
99 including immunological, synaptic, and metabolic pathways³³. To our knowledge, no
100 systematic investigation into whether the genetic control of gene expression and
101 splicing in brain is similar or varies between populations exists. Furthermore,

102 determining whether differences represent etiologic heterogeneity in SCZ across
103 populations also begs investigation.

104 Here, we developed a novel brain transcriptome dataset comprised of 151 EAS
105 individuals. We hypothesize that the brain's regulatory architecture of gene expression
106 and the etiology of SCZ converge between EAS and EUR populations. We also posit
107 that AF and LD patterns distinct to ancestral populations are at least in part responsible
108 for QTL heterogeneity across populations. To test these hypotheses, we applied eQTL,
109 sQTL and co-expression analyses, comparing the results with existing
110 PsychENCODE/BrainGVEX data (specifically the EUR subpopulation) to evaluate the
111 similarities and differences in the transcriptional regulatory architecture of the two
112 populations. By integrating eQTL and sQTL results with SCZ GWAS summary data,
113 we quantified the enrichment of eQTL/sQTL associated with GWAS signals. Further,
114 we identified common and novel risk genes as well as disease-related pathways. From
115 these data we assembled a new genome-wide human brain regulatory map, which
116 affords considerable insight into the biological progression of SCZ in East Asians.

117 **Results**

118 To identify EAS-specific regulatory variants shaping brain gene expression and
119 alternative splicing, we performed high-density genotyping and high-throughput RNA-
120 sequencing in 151 EAS prefrontal cortices (Fig. 1). After quality control and
121 preprocessing (Methods and Extended Data Fig. 1), we gathered 18,939 brain-
122 expressed genes and 6.4 million autosomal SNPs. PCA (principal component analysis)
123 of ancestry verified the East Asian ethnicity of all donors (Supplementary Note). eQTL
124 and sQTL mapping and constructed co-regulatory networks enabled us to examine the
125 brain expression regulatory architecture of each population individually, with the EUR
126 population derived from the PsychENCODE/BrainGVEX project.

127 **Identifying and characterizing the function of cis-acting expression QTLs and** 128 **splicing QTLs revealed common enrichment patterns between populations**

129 We identified cis-eQTLs using QTLtools³⁴(Fig. 1), adjusting for 20 hidden covariates
130 identified by the probabilistic estimation of expression residuals (PEER)³⁵ (Methods
131 and Supplementary Note). These hidden factors were significantly correlated with
132 technical and biological covariates such as experimental batch, RNA Integrity Number
133 (RIN), sex, and age of death. We identified 3,278 genes with a cis-eQTL (false
134 discovery rate (FDR) q-value < 0.05) in EAS populations, 10,043 genes with a cis-
135 eQTL (FDR q-value < 0.05) in EUR populations, which are referred to as eGenes
136 (Table 1).

137 By identifying numerous excised intronic clusters using LeafCutter³⁶ (Methods and Fig.
138 1), we were able to discover sQTLs as well. We identified 4,726 significant sQTLs
139 (FDR q-value < 0.05) in EAS and 18,927 significant sQTLs (FDR q-value < 0.05) in
140 EUR populations, which were mapped to 2,054 and 5,641 genes (sGenes)
141 respectively per population (Table 1).

142 To better characterize the function of the eQTLs and sQTLs, we evaluated their

143 distance distribution and enrichment in numerous functional regions. Our first finding
144 agreed with previous results conducted in EUR brains^{33,37,38}: 20% of the eQTLs in both
145 populations were located within 10kb of the transcription start site (TSS) regions (Fig.
146 2a,b); the most significant ($FDR_{\text{permutation}} \text{ q-value} < 0.05$) SNP per sQTL (sSNP) showed
147 clustering around the splice junction. Fifty percent of sQTLs are located within 10 kb
148 of the splice junction (Fig. 3a,b) in both EAS and EUR populations, demonstrating that
149 variants proximal to splicing junctions have a large effect. In contrast to eQTL, the
150 majority of sSNPs (60%) lie within the gene body (Fig. 3c), also consistent with
151 previous research³⁸.

152 We then annotated expressed SNPs (eSNPs) and sSNPs with chromatin state
153 predictions for prefrontal cortical tissue using GREGOR³⁹ (Methods). We found that
154 eSNPs and sSNPs were significantly enriched in the same TSSs, promoters, and
155 transcribed regulatory promoters or enhancers ($P_{\text{Bonferroni}} < 0.05$, Fig. 2c, Fig. 3d;
156 Supplementary Table 4). We also annotated eSNPs with transcription factor binding
157 sites (TFBS) and sSNPs with experimentally determined RNA binding protein (RBP)
158 binding sites. We observed that 46 and 49 TFBS were significantly enriched with
159 eQTLs in the EAS and EUR populations separately ($P_{\text{Bonferroni}} < 0.05$, Fig. 2d,e and
160 Supplementary Table 4). All of TFBS that were significantly enriched with eQTLs in
161 EAS population were also significantly enriched with eQTLs in EUR population.
162 Furthermore, sQTLs were significantly enriched in binding targets of 7 RBPs in the
163 EAS population, while binding targets of 71 RBPs were significant in the EUR sQTL
164 dataset ($P_{\text{Bonferroni}} < 0.05$, Fig. 3e,f and Supplementary Table 4). Five of the seven RBPs
165 that were significantly enriched with sQTLs in the EAS population were also
166 significantly enriched with sQTLs in EUR populations.

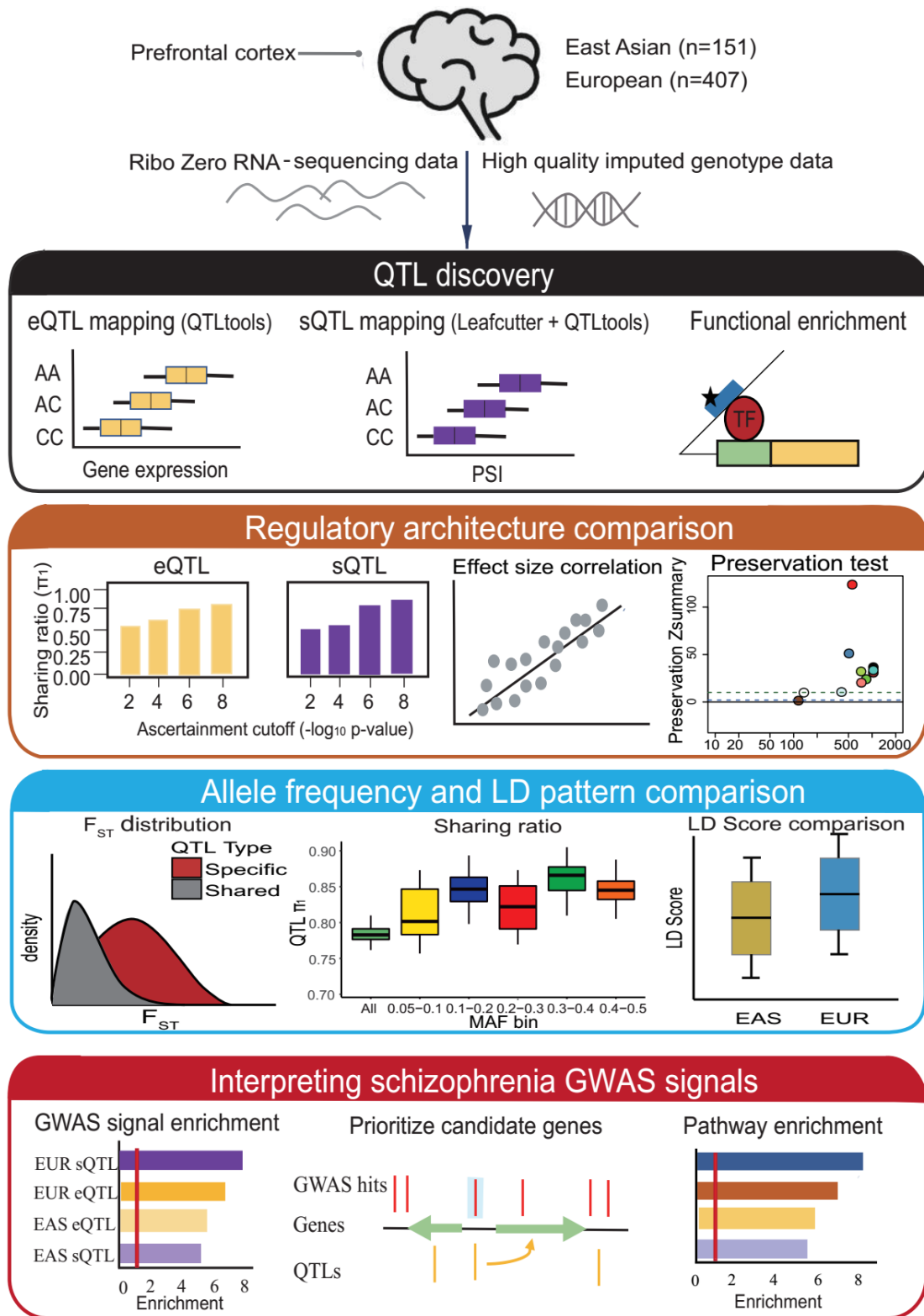
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Table 1: Identification of eQTLs and sQTLs

	#SNPs	#Genes	#Intron clusters	#cis-eQTLs/sQTLs	#Significant eGenes/sGenes
EAS(n=145)	6,045,349	18,939	146,884	604,485/994,668	3,278/2,054
EUR(n=397)	8,108,028	16,542	188,310	2,790,193/4,705,755	10,043/5,641
Across population	4,681,303	16,266	132,619	286,288/442,281	2,650/1,779

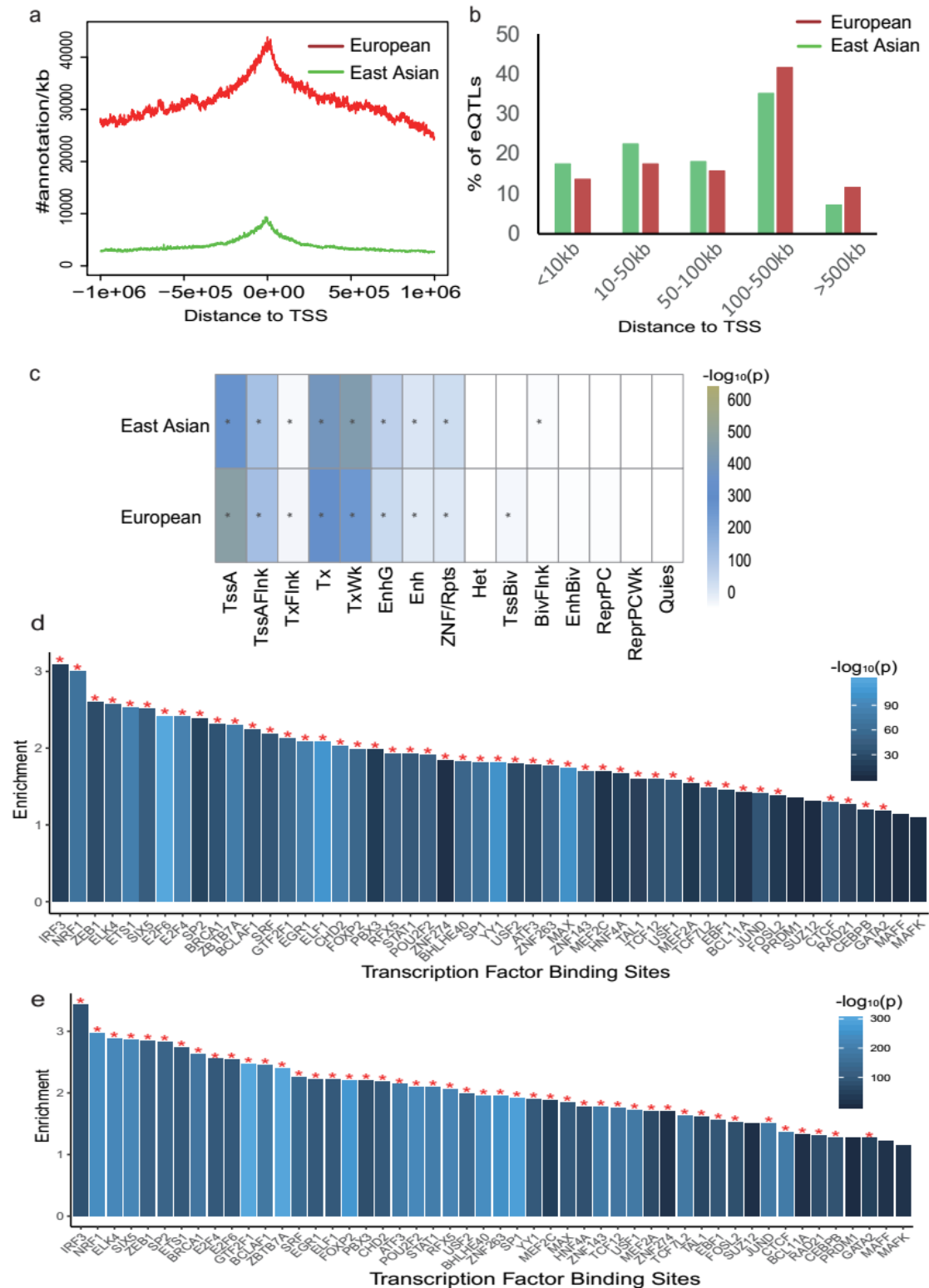
169 Cis-eQTLs/sQTLs are defined as FDR q-value < 0.05. Significant cis-eQTLs/sQTLs
170 across populations defined as eQTLs/sQTLs significant in EAS and EUR populations
171 in the same direction. Significant eGenes are genes regulated by SNPs that passed
172 multiple testing (FDR q-value < 0.05) based on the permutation-based analysis.
173 Significant sGenes are genes that intron clusters can map to and passed multiple
174 testing (FDR q-value < 0.05) based on the permutation-based analysis.



175

176 **Fig. 1 | Study design.** We collected genotype and RNA-seq data from East Asian (n
 177 = 151) and European populations (n = 407). After quality control and data
 178 preprocessing, eQTL and sQTL were independently calculated using standard

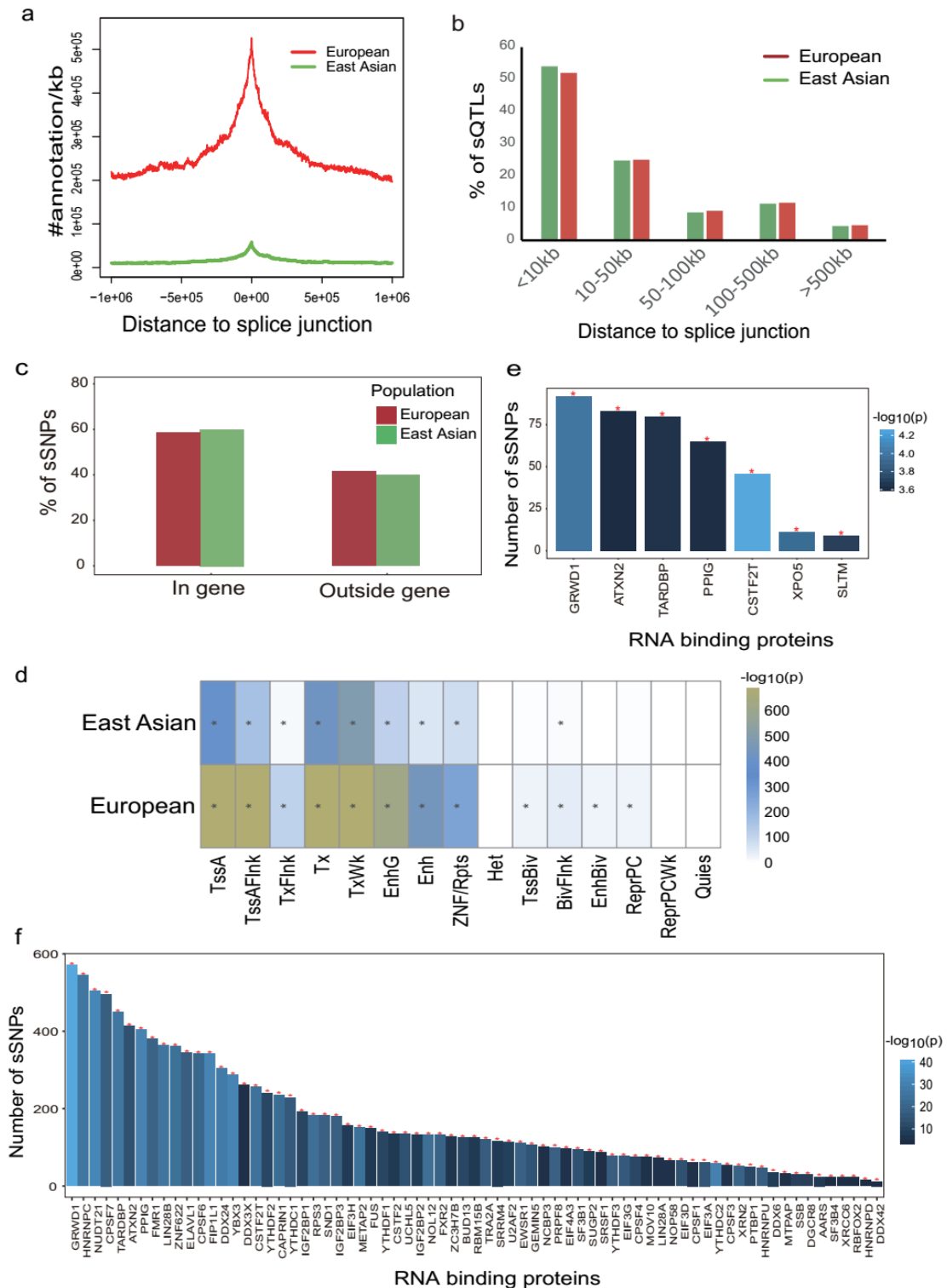
179 methods of covariate correction. QTLs were characterized based on functional
180 enrichment. Then, we compared the regulatory architecture including eQTL/sQTL and
181 the gene co-regulatory patterns between EAS and EUR populations. Next, we
182 calculated F_{ST} and LD scores to evaluate the contribution of AF and LD patterns
183 difference in QTL comparison. Finally, to determine whether schizophrenia biology
184 between East Asian and European populations is analogous, we integrated QTLs
185 previous identified with SCZ GWAS to identify disease risk and important biological
186 processes under genetic control. PSI: percent-spliced-in; F_{ST} : Fixation index,
187 measures the population differentiation due to genetic structure; LD: linkage
188 disequilibrium; LD score: the sum of the LD r^2 between the focal SNP and all the
189 flanking SNPs within a 1cM window with 1000G data.



190

191 **Fig. 2 | Characterization of eQTLs.** **a**, Distance distribution of eQTLs in the East Asian
 192 (green) and European (red) populations to the TSS as defined in Gencode v19. **b**,
 193 Percentage of distance distribution of all cis-eQTLs in East Asian (green) and
 194 European (red) populations. **c**, Enrichment of eSNPs in 15 core models. eSNPs in both

195 populations most significantly enriched in the TSSs, promoters, and transcribed
196 regulatory promoters or enhancers. * $P_{\text{Bonferroni}} < 0.05$. **d**, Enrichments of eSNPs in
197 experimentally discovered transcription factor binding sites in the East Asian
198 population. **e**, Enrichments of eSNPs in experimentally discovered transcription factor
199 binding sites in the European population. * $P_{\text{Bonferroni}} < 0.05$.



200

201 **Fig. 3 | Characterization of sQTLs.** **a**, Distance distribution of sQTLs to the splice
 202 junction. sQTLs from the East Asian (green) and European (red) populations. **b**,
 203 Percentage of distance distribution of all cis-sQTLs in East Asian (green) and
 204 European (red) populations. **c**, Fraction of sQTLs where the sSNP lies within vs outside

205 its sGene. **d**, Enrichment of sSNPs in 15 core models. * $P_{\text{Bonferroni}} < 0.05$. **e**, RBP
206 enrichment among the significant sSNPs in the East Asian population. **f**, RBP
207 enrichment among the significant sSNPs in the European population. * $P_{\text{Bonferroni}} < 0.05$.

208 **Brain expression regulatory architectures are broadly preserved across EAS** 209 **and EUR populations**

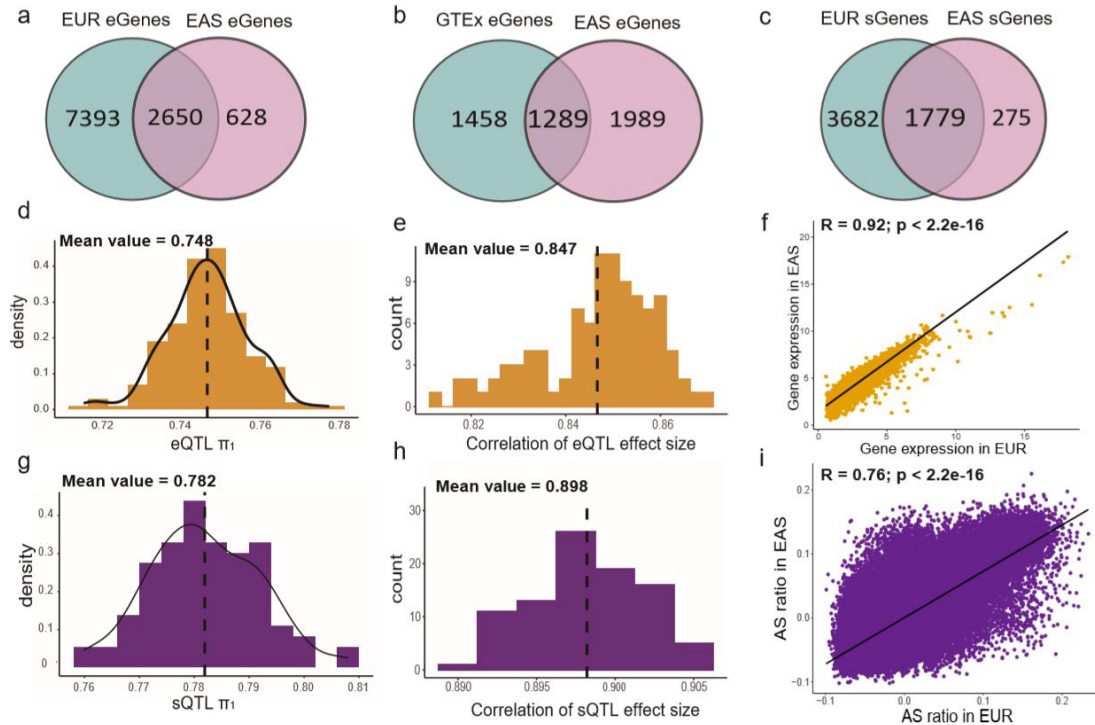
210 An important aim in this study is to investigate to what degree the genetic control of
211 gene expression and splicing in the brain varies between human ancestral populations.
212 We first compared eGenes identified in EAS and EUR populations and found that
213 2,650 eGenes overlapped. Most (80%) eGenes detected in the EAS population were
214 also significant in the EUR population (Fig. 4a). Additionally, we compared the eGenes
215 detected in the EAS population to those from GTEx adult cortices⁴⁰. We found 1,289
216 overlapping eGenes, accounting for nearly 40% between both datasets (Fig. 4b). We
217 also compared sGenes across EAS and EUR populations. Results showed 1,779
218 overlapped sGenes (Fig. 4c), 87% of which were shared significantly across
219 populations.

220 We next used Storey's π_1 statistic to assess the extent of eQTL/sQTL sharing across
221 populations. To assess the true extent of this sharing, we performed down-sampling
222 analysis with 100 repetitions (Methods). The fraction of eQTLs and sQTLs shared
223 between the EAS and EUR populations was 74.8% and 78.2%, respectively (Fig. 4d,g).
224 Moreover, we calculated the Pearson correlation of genetic effect size between shared
225 QTLs and found that the genetic effect size between EAS and EUR populations was
226 highly analogous ($R_{\text{eQTL}}=0.847$; $R_{\text{sQTL}}=0.898$; Fig. 4e,h).

227 We completed a meta-analysis, pooling results from diverse populations, to gain
228 greater statistical power for QTL detection and to identify shared QTLs across
229 populations. We calculated a meta p-value using METAL⁴¹ for each eQTL/sQTL across
230 populations; eQTLs or sQTLs at a meta FDR < 0.05 were referred to as 'meta

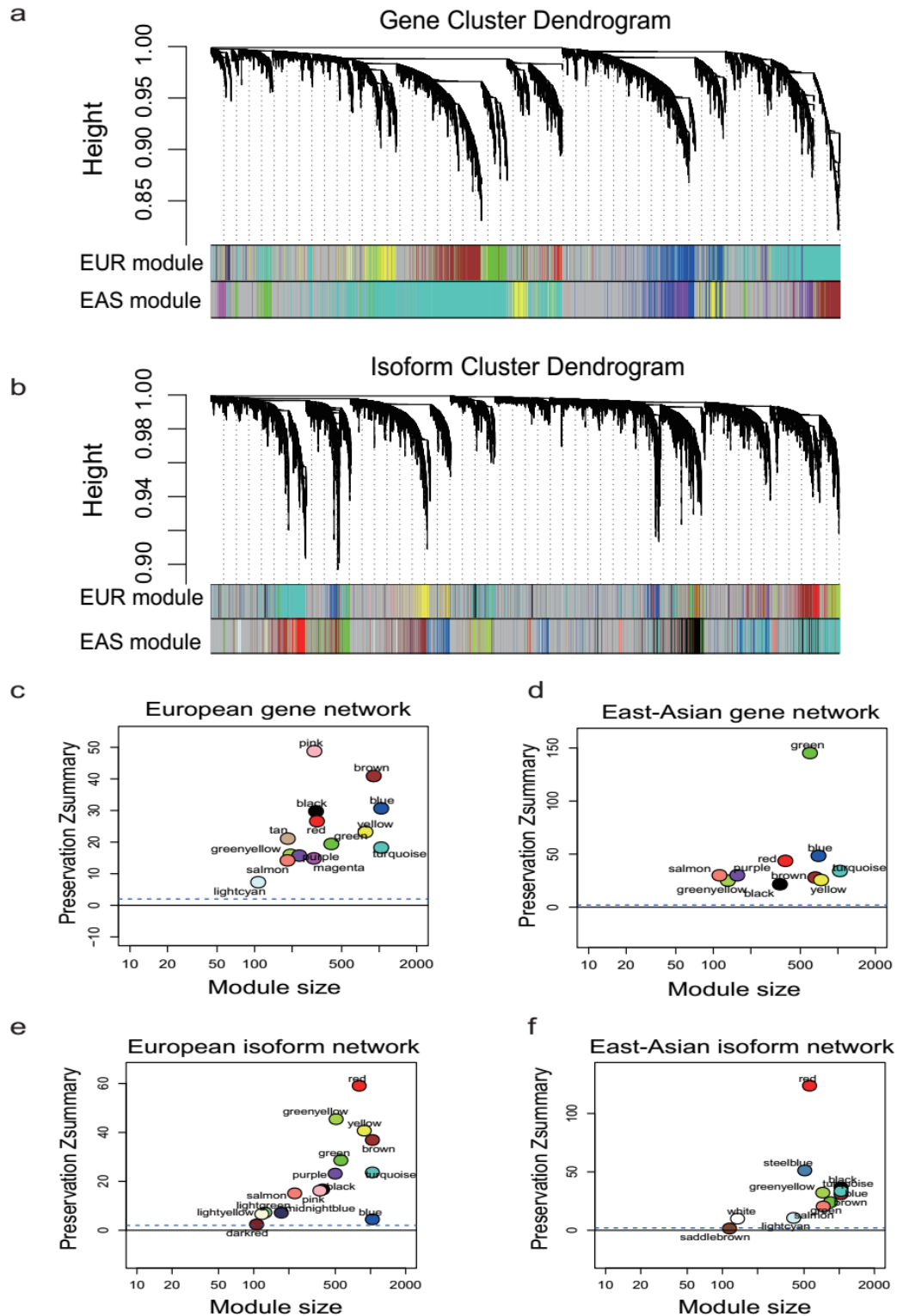
231 eQTLs/sQTLs'. Greater than 80% of these were significant across populations and
232 showed concordant regulatory direction across populations (Extended Data Fig. 2 and
233 Supplementary Table 2). Also, numerous new eQTL/sQTL signals were identified by
234 meta-analysis.

235 To comprehensively compare the brain expression regulatory architecture between
236 EAS and EUR populations, we calculated the Pearson correlation of gene expression
237 between the two populations using shared genes. We found that gene expression was
238 highly correlated in the two populations (Fig. 4f; $R = 0.92$, $p\text{-value} < 2.2e-16$), and a
239 similar result was observed for alternative splicing ratio (Fig. 4i; $R = 0.76$, $p\text{-value} <$
240 $2.2e-16$). Furthermore, we applied weighted gene co-expression network analysis
241 (WGCNA)⁴² and robust WGCNA to create independent gene- and isoform-level
242 networks. We then used preservation testing to evaluate the consensus of networks
243 constructed by each population. Preservation Z summary score of each module
244 was >2 in both the gene expression and isoform levels, showing that co-expression
245 patterns are broadly preserved between EAS and EUR populations (Fig. 5c,d,e,f and
246 Supplementary Table 5).



247

248 **Fig. 4 | Comparison of the regulatory pattern.** **a**, Venn Diagram for eGenes
249 discovered in European (EUR) population vs East Asian (EAS) population. **b**, Venn
250 Diagram for eGenes discovered in adult cortical tissue from GTEx vs EAS population.
251 **c**, Venn Diagram for sGenes discovered in EUR population vs EAS population. **d**,
252 Distribution of eQTL π_1 between EAS and down-sampled EUR populations. The mean
253 π_1 value was 0.748. **e**, Distribution of correlation coefficient between eQTL effect size.
254 The mean correlation coefficient value was 0.847. **f**, Pearson's correlation in expressed
255 genes between EAS and EUR populations. **g**, Distribution of sQTL π_1 between EAS
256 and down-sampled EUR populations. The mean π_1 value was 0.782. **h**, Distribution of
257 correlation coefficient between sQTL effect size. The mean correlation coefficient value
258 is 0.898. **i**, Pearson's correlation in intron clusters between EAS and EUR populations.
259 AS: alternative splicing.



260

261 **Fig. 5 | Comparison of co-expression pattern.** **a**, Network analysis dendrogram
262 based on hierarchical clustering of all genes by their topological overlap. Colored bars
263 below the dendrogram show module membership. **b**, Network analysis dendrogram

264 based on hierarchical clustering of all isoforms by their topological overlap. **c**,
265 Preservation Z summary score of each gene co-expression module in the EUR
266 population. The x-axis is the number of genes in each module and the y-axis is Z
267 summary score, which measures the preservation between modules. When Z
268 summary score ≥ 2 , it indicates that this module was preserved in another population.
269 The blue dashed line is the moderately conserved threshold. Each point represents a
270 module constructed in population, labeled by color. **d**, Preservation Z summary score
271 of each gene co-expression module in the EAS population. **e**, Preservation Z summary
272 score of each isoform co-expression module in the EUR population. **f**, Preservation Z
273 summary score of each isoform co-expression module in the EAS population.

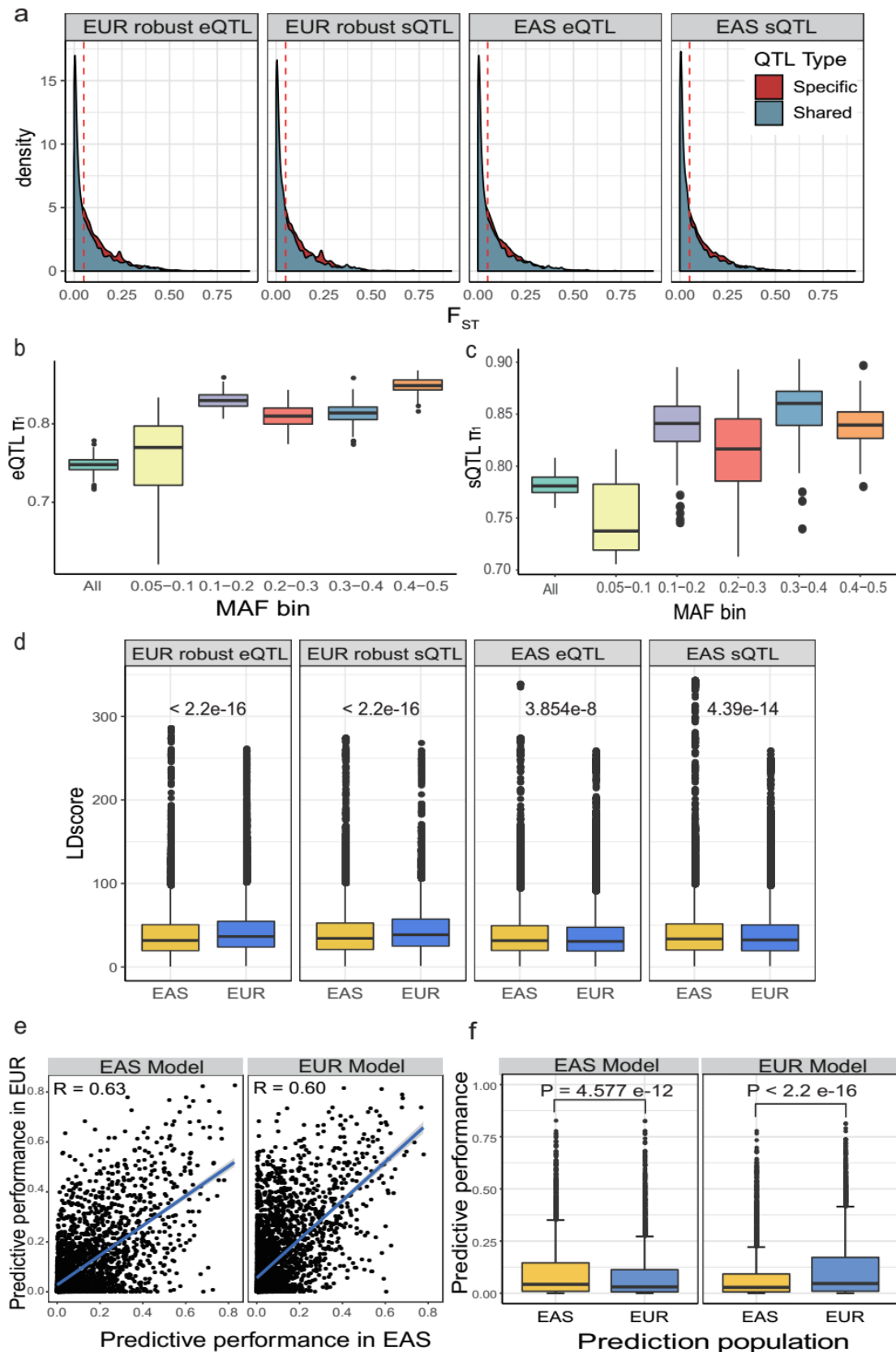
274 **Differences in AF and LD across populations decrease the QTL reproducibility** 275 **and the power of gene expression prediction**

276 Although the sharing ratio reached almost 80%, 20% of the QTLs are significant only
277 in one population. We hypothesized that part of QTL differentiation is due to population
278 divergence in AF and LD pattern across populations. To address this hypothesis, we
279 defined EUR robust QTLs as significant QTLs detected at least 50 times in down-
280 sampling analysis, ancestry-specific QTLs as significant in one population, and
281 ancestry-shared QTLs as significant in both populations. We identified each of these
282 QTL types by comparing the lists of EAS QTLs and EUR robust QTLs. To investigate
283 the effect of AF on QTL differentiation, we estimated F_{ST} (fixation index) for each eSNP
284 and sSNP and compared the distribution of F_{ST} between ancestry-specific and
285 ancestry-shared QTLs (Methods). We found that ancestry-specific QTLs were
286 significantly enriched in population-divergent SNPs ($F_{ST} > 0.05$; Fisher exact test: $P <$
287 $2.2e-16$) and ancestry-shared QTLs were significantly enriched in population-
288 convergent SNPs ($F_{ST} < 0.05$; Fisher exact test: $P < 2.2e-16$; Fig. 6a). To further verify
289 our hypothesis, we separated eSNPs/sSNPs into different minor allele frequency (MAF)
290 bins, and calculated QTL π_1 in each bin. We found that with similar AF, QTL sharing

291 ratios were higher than cross SNPs with different AF, suggesting that the SNPs with
292 less population divergence were more likely to be eQTLs/sQTLs shared by the two
293 populations (Fig. 6b,c).

294 We then tested whether ancestry-specific QTL loci have unique LD patterns (Methods).
295 Results showed LD patterns for ancestry-specific QTLs varied significantly between
296 EAS and EUR populations (Wilcoxon tests, $P < 0.05$; Fig. 6d). Further, correlation
297 coefficients between F_{ST} and LD score were less than 0.1 ($P < 0.01$), suggesting that
298 the cross-population differences in LD patterns affect QTL differentiation independently
299 when compared with F_{ST} .

300 Associations between SNPs and genes enable the development of predictive models
301 that can “impute” gene expression when phenotype-related tissue types are
302 unavailable. However, population-specific QTL signals may reduce the accuracy of
303 gene expression prediction across populations. We hypothesize that prediction
304 performance will be lower when a model trained on one population is used to predict
305 gene expression in another population. To investigate, we compared gene-expression
306 predictive performance within and across EAS and EUR populations. We used
307 matched SNPs and genes in both populations ($n=145$) to build the models ($n = 100$)
308 using PrediXcan¹⁵ (Methods). The Pearson correlation between predictive
309 performance of genes in the EAS and EUR populations was 0.60 (Fig. 6e). We also
310 found that single-population-trained models had significantly decreased performance
311 when predicting gene expression in another population (Wilcoxon tests, EAS Model: P
312 = 4.577×10^{-12} ; EUR Model: $P < 2.2 \times 10^{-16}$; Fig. 6f; Supplementary Table 3)



313

314 **Fig. 6 | AF and LD differences contribute to QTL differences between populations.**

315 **a**, Comparison of F_{ST} between ancestry-shared and ancestry-specific QTLs. Robust

316 QTL: detected as significant QTLs at least 50 times in down-sampling analysis. **b**,
317 eQTL π_1 in different MAF bins. **c**, sQTL π_1 in different MAF bins. **d**, Linkage
318 disequilibrium score distribution comparison for ancestry-specific QTLs between EAS
319 and EUR populations. **e**, Comparison of predictive performance for each gene (R^2)
320 between EAS and EUR populations in different prediction models (EAS and EUR
321 model). The identity line is shown in blue. **f**, Comparison of predictive performance
322 between genes in different prediction models.

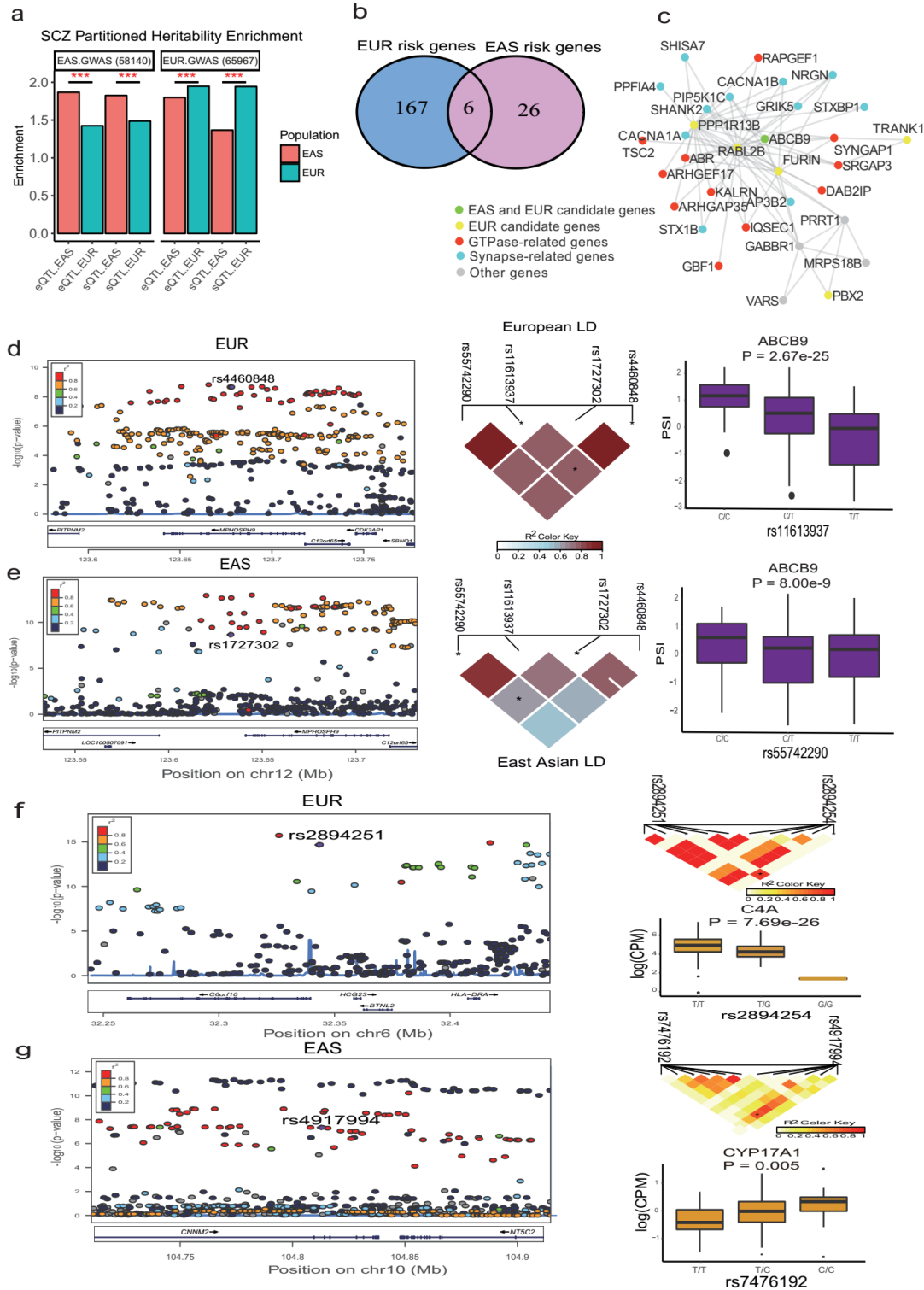
323 **Synapse- and GTPase-related pathway implicated in SCZ risk across populations**

324 eQTLs and sQTLs detected in the human brain can help to decipher the unlock
325 biological mechanisms of SCZ. To examine whether QTL results from the phenotype-
326 linked population explains more disease signals and SNP heritability than those from
327 disparate populations, we first collected SCZ GWAS summary statistics for both
328 ancestral populations from the Psychiatric Genomics Consortium (PGC)^{43,44}. We then
329 compared GWAS signal enrichment using partitioned LD-score regression (LDSR)⁴⁵
330 (Methods). For the EAS GWAS summary data, eQTLs/sQTLs detected in the EAS
331 population showed greater significant enrichment in GWAS signals than those from
332 the EUR population (Welch Modified Two-Sample t-Test $P < 0.001$) and vice versa
333 (Welch Modified Two-Sample t-Test $P < 0.001$; Fig. 7a and Supplementary Table 6).
334 We corrected for possible sample size variance bias by calculating the enrichment of
335 robust EUR QTLs, and the results agreed with previous reports (Extended Data Fig.
336 3a,b).

337 It was next necessary to evaluate whether observed differences represented true
338 etiologic heterogeneity of SCZ across populations. To achieve this, we used regulatory
339 trait concordance (RTC)⁴⁶ and summary data-based Mendelian randomization (SMR)⁹
340 to prioritize SCZ candidate risk genes (Methods). We prioritized 199 SCZ candidate
341 risk genes, including 173 genes in the EUR population and 32 in the EAS population
342 (Supplementary Table 7; Supplementary Table 8 and Fig. 7b). Six of the 199 were

343 identified in both populations (CNNM2, C12orf65, MPHOSPH9, MARCKSL1P1,
344 C2orf47, and ABCB9). Other genes were identified within a single population. For
345 example, C4A was identified as a risk gene in the EUR population (Fig. 7f) by
346 integrating the eQTL signals, while CYP17A1 was identified as a risk gene in the EAS
347 population by integrating the eQTL signals (Fig. 7g). Comparing published SCZ risk
348 genes with the 199 candidate genes we identified, 77 of the EUR candidate genes and
349 10 of the EAS candidate genes aligned (Methods and Supplementary Table 9).

350 Along with peripheral genes, these candidate genes form a network that fulfills specific
351 functional roles. To better characterize the biological function of these candidate genes,
352 we analyzed the enrichment of candidate genes having previously constructed
353 networks (Methods). We tested whether modules were significantly enriched in
354 candidate genes for both EAS and EUR populations, but none were. We also tested
355 whether any consensus modules (preserved in both populations) were significantly
356 enriched with candidate genes present in both populations. One consensus module
357 was significantly enriched in candidate genes from the combined populations (Fig. 7c;
358 p -value = 0.01). Enrichment analysis showed function related to synapse and GTPase
359 pathways, including regulation of chemical synaptic transmission, neuron projection
360 development, synapse structure or activity, small GTPase mediated signal
361 transduction, and GTPase binding (Extended Fig. 3d and Supplementary Table 10).



362

363 **Fig. 7 | Explanation of SCZ GWAS signals and prioritization of candidate genes.**

364 **a**, GWAS enrichment results from LDSR. ***: Welch Modified Two-Sample t-Test P <

365 0.001. **b**, Venn plot for SCZ risk genes in EUR and EAS populations with combined

366 RTC and SMR results. **c**, The sub-network of yellow module. **d-e**, Examples of shared

367 SCZ risk genes. **f**, Example for EUR-specific risk gene, C4A. **g**, Example for EAS-
368 specific risk gene, CYP17A1. LDSR: LD score regression.

369 **Discussion**

370 We developed a novel brain transcriptome data set and compiled the first genome-
371 wide brain regulatory map of the prefrontal cortex from a solely EAS population. We
372 identified 3,278 eQTL and 4,726 sQTL signals that reached a genome-wide level of
373 significance. Detected eSNPs and sSNPs corresponded to previous reports^{38,40,47,48}
374 with significant enrichment in active functional regions such as promoters and
375 enhancers. Comparing the EAS data with the PsychENCODE/BrainGVEX-derived
376 EUR data, we found most regulatory elements common to both populations. Moreover,
377 by integrating QTL signals with summary statistics from SCZ GWAS, we observed
378 synapse- and GTPase-related pathways involved in the development of SCZ in both
379 populations.

380 This study demonstrated convergent transcriptional regulatory architectures between
381 EAS and EUR populations through multiple lines of evidence. Meta-analysis revealed
382 approximately 80% of QTLs were shared between populations. Moreover, several
383 relational analyses suggest a high degree of congruence between EAS and EUR
384 populations, including the π_1 statistic (eQTL=0.748; sQTL=0.782), correlations
385 between populations for genetic effect size (eQTL=0.847; sQTL=0.898) and
386 correlations for gene expression and co-regulatory networks. Our study of post-
387 mortem brain tissue concurs with studies based on whole blood and liver tissue in
388 which EAS and EUR cis-eQTL replication rates equaled 60%⁴⁹ and 40%⁵⁰ respectively.
389 Our study parallels previous comparisons of the genetic control of gene
390 expression^{24,50,51}, methylation⁵², and chromatin accessibility⁵³ generated from
391 lymphoblastoid cell lines in diverse populations from worldwide reference panels⁵⁴⁻⁵⁶,
392 showing that regulatory patterns are shared across populations.

393 Our proposal that some QTLs have population-specific effects is not unique ^{24,53}.
394 Seeking to find the biological mechanisms underlying these divergent effects, we
395 compared F_{ST} and LD score distribution for ancestry-specific QTLs across populations.
396 Here, we found significant differences. These results indicate that a degree of QTL
397 differentiation signals divergence in AF and LD. Evidence suggests that such genetic
398 differentiation in ancestral populations is due primarily to natural selection^{57,58}. Besides,
399 contemporary populations descend from dramatically smaller migratory populations
400 (bottleneck effect), hence, population-specific QTLs could arise from bottleneck effect
401 and environmental factors including climate, diet, and pathogenic microorganisms.

402 Population-specific QTLs have important implications for predictive modeling. QTL
403 signals lay the foundation for predictive models and assist in imputing gene expression
404 when tissues relevant to phenotypes are unavailable. Our gene prediction model that
405 was trained in one population decreased their prediction performance when predicting
406 gene expression for other populations at a ratio of 14% to 33% respectively. This
407 agrees with several recent studies reporting superior accuracy of prediction models in
408 target populations with ancestry comparable to the discovery population^{14,21-23}.
409 Therefore, population-specific predictive models are integral for transcriptome
410 mapping of the human brain.

411 Population-specific regulatory regions may harbor a portion of the disease risk. This
412 may limit QTL's utility in interpreting GWAS signals in disparate populations. We
413 compared the enrichment of QTL signals in SCZ GWAS across populations and found
414 more significant enrichment of eQTLs/sQTLs in the discovery population than in the
415 disparate populations. Similar results have been reported in Type 2 diabetes⁵⁹. These
416 findings highlight the importance of using GWAS to interpret QTLs from the target
417 population in accurately explaining the disease signals within that population.

418 Since SCZ occurs with similar prevalence and a genetic basis broadly shared across
419 populations^{43,60}, we would expect distinct groups to share many risk genes.

420 Surprisingly, we observed only six of the 199 SCZ risk genes in common between EAS
421 and EUR populations. Nevertheless, this finding should not be interpreted to mean that
422 EAS and EUR populations carry entirely different risk genes. For, we found that almost
423 70% of the SNPs that are linked to regulating population-specific SCZ risk genes vary
424 in AF and LD patterns across populations. For example, we identified the EUR-specific
425 risk gene C4A, a target of extensive scrutiny in association with SCZ⁶¹⁻⁶³. C4A localizes
426 to the MHC class III region on chromosome 6, which is strongly associated with SCZ
427 and which hosts a EUR-specific LD pattern. The corresponding GWAS signal
428 rs2894251 was significant within the EUR population ($P = 2.144 \times 10^{-15}$; MAF = 0.12) but
429 not so in the EAS population ($P = 0.05156$; MAF = 0.02). Although the associated
430 eSNP rs2894254 is extremely uncommon in the EAS population (MAF < 0.001), it has
431 an MAF of 6% in the EUR population. These results suggest that at least some
432 differences in EAS and EUR SCZ risk genes are due to low AF and disparate LD
433 patterns, which may account for the loss of risk genes. Risk genes were more readily
434 detectable in both populations when they were present in similar or higher AFs with
435 similar LD patterns. Yet, many SCZ risk genes are evident within low frequencies too,
436 hindering consistent detection.

437 It is well known that complex molecular networks and cellular pathways fuel disease
438 susceptibility and development^{64,65}. Therefore, we exploited pathway enrichment
439 analyses of identified risk genes and co-regulated genes to explore the mechanisms
440 behind SCZ. SCZ risk genes were significantly enriched in one consensus module
441 (module yellow) for both ancestral populations. This module was enriched for an array
442 of established SCZ modular pathways, including synapse- and GTPase-related
443 pathways⁶⁶⁻⁷⁰. Pathways related to neuron-to-neuron, postsynaptic density, and
444 asymmetric synapses are established suspects in genetic risk of SCZ. A meta-analysis
445 showed a significant decrease in the density of postsynaptic elements in SCZ patients
446 compared to healthy controls⁶⁹. GTPase-related pathways, including regulation of

447 small GTPase-mediated signal transductions and GTPase binding, have also been
448 implicated in SCZ. A previous study shows that a missense polymorphism (H204R) of
449 a Rho GTPase-activating protein is associated with schizophrenia in men⁷⁰. Our results
450 support the premise that synapse-related and GTPase-related pathways have an
451 important role in the etiology of schizophrenia for both EAS and EUR populations.

452 Our study showed how both eQTLs and sQTLs benefit the study of underlying disease
453 mechanisms. We discovered how heritability explained by eQTLs and sQTLs is similar
454 in both EAS and EUR populations. Recent studies have examined the contribution of
455 regulatory variants to SCZ, educational attainment, and autism spectrum disorder
456 (ASD), concluding that sQTLs contribute comparably or with even greater magnitude
457 than eQTLs^{8,14,38}. Additionally, we found that although only 14% of SCZ risk genes
458 were identified by both eQTL and sQTL signals (Extended Data Fig. 3c,d), 40% of the
459 SCZ risk genes identified by integrating either eQTLs or sQTLs had also been reported
460 as SCZ risk genes in previous literature^{66,71-77}. This result indicates that eQTLs and
461 sQTLs can identify distinct risk genes which facilitate our understanding of disease
462 mechanisms.

463 In general, our results show the transcriptional architecture of expression regulation
464 and the underlying SCZ biology converging between the EAS and EUR populations.
465 Synaptic- and GTPase- related pathways are likely suspects in the pathogenesis of
466 SCZ in both populations. Future studies should assemble a range of large samples
467 from worldwide ancestral populations to establish whether these findings are
468 applicable globally. If so, mechanistic studies could narrow in on fewer pathways
469 toward extracting the pathogenesis of SCZ with greater precision.

470 **Methods**

471 **EAS sample collection, sequencing and EUR public data collection**

472 We collected 151 prefrontal cortical samples of Han Chinese descent from the National
473 Human Brain Bank for Development and Function according to the standardized
474 operational protocol of China Human Brain Banking Consortium^{78,79}, and under the
475 approval by the Institutional Review Board of the Institute of Basic Medical Sciences,
476 Chinese Academy of Medical Sciences, Beijing, China (Approval Number: 009-2014).
477 We sequenced 151 samples following the BGISEQ-500 protocol outsourced to BGI,
478 WGS and transcriptome sequencing was performed on BGISEQ-500 platform with an
479 average depth of 10X (Supplementary Table 1 and Supplementary Note). To assess
480 differences in ancestry, we also downloaded and processed raw whole genome and
481 RNA-seq data for 407 European ancestry from PsychENCODE/BrainGVEX (Synapse
482 number: syn4590909).

483 **EAS data quality control**

484 Raw sequencing reads were filtered to get clean reads by using SOAPnuke (v1.5.6)⁸⁰,
485 and used FastQC to evaluate the quality of sequencing data via several measures,
486 including sequence quality per base, sequence duplication levels, and quality score
487 distribution for each sample. The average quality score for overall DNA and RNA
488 sequences show high scores above 30, indicating that a high percentage of the
489 sequences had high quality (Supplementary Note).

490 **Variant identification**

491 Clean DNA sequencing reads were mapped to the human reference genome hg19
492 (GRCh37) using BWA-MEM algorithm (BWA v. 0.7.128)⁸¹. Ambiguously mapped reads
493 (MAPQ <10) and duplicated reads were removed using SAMtools v. 1.29⁸² and
494 PicardTools v. 1.130 (<http://picard.sourceforge.net/>) respectively. Genomic variants
495 were called following the Genome Analysis Toolkit software (GATK v. 3.4–46) best
496 practices⁸³. The ancestry of each sample was inferred using data from the 1000
497 Genomes Project, and no sample was excluded. For EAS cohort, genotypes were
498 imputed into the 1000 Genomes Project phase 3 EAS reference panel by chromosome

499 using Michigan Imputation Server⁸⁴ and subsequently merged. Imputed genotypes
500 were filtered for $R^2 < 0.3$, Hardy-Weinberg equilibrium p-value $< 1 \times 10^{-6}$ and MAF $<$
501 0.05, resulting in ~6.4 million autosomal SNPs. For EUR cohort, genotypes were
502 imputed into the HRC reference panel, and removed SNPs with $R^2 < 0.3$, HWE p-value
503 $< 1 \times 10^{-6}$ or MAF < 0.01 .

504 **Gene-expression quantification and filter**

505 Mapping of RNA-sequencing reads was completed using STAR (2.4.2a)⁸⁵ and the
506 quantification of genes and transcripts was with RSEM (1.3.0)⁸⁶. Raw read counts were
507 log-transformed by R package VOOOM first⁸⁷, filtering those with $\log_2(\text{CPM}) < 0$ in more
508 than 75% of the samples. We removed all transcripts derived from mitochondrial DNA
509 and X and Y chromosomes. Samples with a Z-score (assessing connectivity between
510 samples) lower than -3 were removed. Quantile normalization was then used to
511 equalize distributions across samples.

512 **Intron cluster quantifications**

513 We used Leafcutter to quantify clusters of variably spliced introns³⁶. A cluster consists
514 of overlapping introns that share a splice site. The usage of each intron was first
515 quantified using previously aligned FASTQ files from STAR. Overlapping introns were
516 then grouped with the settings of 50 reads per cluster and a maximum intron length of
517 500kb.

518 **Co-expression network analysis**

519 To place results from individual genes within their systems-level network architecture,
520 we performed WGCNA⁴² using human brain RNA-seq data. Individual (covariate-
521 regressed) expression datasets were combined using the 16,266 genes present
522 across all studies. The resulting normalized mega-analysis expression set was used
523 for all downstream network analyses. We also using robust WGCNA (rWGCNA) to

524 reduce the influence of potential outlier samples on the network architecture. Module
525 robustness was ensured by randomly resampling (2/3 of the total) from the initial set
526 of samples 100 times. This was followed by consensus network analysis, a meta-
527 analytic approach to define modules using a consensus quantile threshold of 0.2. The
528 parameter of rWGCNA was consistent with normal WGCNA (Supplementary Note).

529 **eQTL and sQTL mapping**

530 We used PEER³⁵ to identify hidden confounders and evaluated the correlation between
531 the known factors (such sex and age) with hidden confounders. We then performed
532 cis-eQTL and cis-sQTL mapping using QTLtools³⁴, adjusting for PEER factors
533 (Supplementary Note), with a defined cis window of one megabase up- and
534 downstream of the gene/intron cluster body. QTLtools was run in nominal pass mode
535 to detect all available QTLs. QTLtools was also run in the permutation pass mode to
536 identify the best nominal associated SNP per phenotype and with a beta approximation
537 to model the permutation outcome. P-values were then multiple testing corrections
538 using the “q-value” package in R. We define FDR q-value < 0.05 as significant QTL.

539 **Functional enrichment**

540 We performed functional enrichment of both eQTLs and sQTLs using GREGOR³⁹
541 (Genomic Regulatory Elements and Gwas Overlap algoRithm) to evaluate the
542 enrichment of variants in genome-wide annotations. GREGOR calculated the
543 enrichment value based on the observed and expected overlap within each annotation.
544 We downloaded the 15-state ChromHMM model BED (Browser Extensible Data) files
545 from the Roadmap Epigenetics Project⁸⁸. We also downloaded 78 consensus
546 transcription factor and DNA-protein binding site BED files existing in multiple cells⁸⁹
547 and then filtered to 50 binding proteins that showed cortical brain expression in EAS
548 and EUR populations data. Lastly, we obtained 171 human RBP site BED files from
549 POSTAR2 database, which was developed as the updated version of CLIPdb and

550 POSTAR and provides the largest collection of RBP binding sites and functional
551 annotations⁹⁰.

552 **The fraction of shared eQTL/sQTL between EAS and EUR population**

553 Sharing rate was assessed from all significant eQTLs/sQTLs in the discovery dataset
554 by estimating the proportion of true associations (π_1) on the distribution of
555 corresponding p-values of the overlapping eQTLs /sQTLs in the replication dataset⁹³.
556 To avoid the influence of sample size in pairwise comparison and get the true
557 replication rate, we randomly selected a subset of European samples (n=151),
558 followed the same pipeline to detect QTLs, and calculated the correlation of genetic
559 effect size of shared eQTL/sQTL between EAS and EUR populations, repeating 100
560 times. We calculated π_1 and used the mean value of π_1 to assess reproducibility
561 between EAS and EUR.

562 **Network preservation analysis**

563 To generate population-specific networks, we compared networks between
564 constructed EAS and EUR populations by individual. We then used WGCNA-
565 integrated function (modulePreservation) to calculate module preservation statistics
566 and applied the Z summary score (Z-score) to evaluate whether a module was
567 conserved or not.

568 **F_{ST} analysis**

569 We used the EAS and EUR panels from the 1000 Genomes Project Phase 3 to
570 investigate the Fixation index (F_{ST}). We estimated F_{ST} using vcfTools⁹¹ following the
571 Weir and Cockerham approach⁹² for each eSNP and sSNP.

572 We defined population-divergent SNPs as those with F_{ST} >= 0.05 and population-
573 shared SNPs as those with F_{ST} < 0.05. To collect the list of ancestry-specific QTLs and

574 ancestry-shared QTLs, first, we defined EUR robust QTLs as those that were called
575 significant at least 50 times in down-sampling analysis, as well as ancestry-specific
576 QTLs (significant in one population) and ancestry-shared QTLs (significant in these
577 two populations), by comparing the list of EAS QTLs and EUR robust QTLs. Finally,
578 we performed Fisher's exact test between ancestry-specific QTLs and population-
579 divergent SNPs, as well as population-shared SNPs and ancestry-shared QTLs to test
580 the contribution of AF in QTL comparison.

581 **LD pattern comparison**

582 We calculated the LD score for each SNP as the sum of the LD r^2 between the focal
583 SNP and all flanking SNPs within a 1cM window within the corresponding 1000G EAS
584 and EUR genotype data. We then mapped ancestry-specific eSNPs and sSNPs into
585 LD-score files to obtain the LD score for each ancestry-specific eSNPs or sSNPs in
586 each population. We then performed Wilcox testing to evaluate whether the mean
587 value of the LD score was significantly varied between populations.

588 **Gene-expression prediction**

589 We used matched SNPs and genes from the EAS and EUR populations using
590 matching sample sizes ($n=145$) to build the gene-expression prediction model. We
591 separated each population into training and validation datasets (100 for training and
592 45 for validation). Prediction models were built using PrediXcan¹⁵ (Elastic Net) for both
593 populations. Predictive performance (R^2) was measured within each population using
594 nested cross-validation. Wilcoxon tests measured any significant difference in
595 prediction performance across populations.

596 **Partitioned LDSC**

597 Partitioned LD score regression v1.0.1 was used to measure the enrichment of GWAS
598 summary statistics in each functional category by accounting for LD⁴⁵. Brain QTL

599 annotations were created by eSNP and sSNP, mapped to the corresponding 1000
600 Genome reference panel. LD scores were calculated for each SNPs in the QTL
601 annotation using an LD window of 1cM in 1000 Genomes European Phase 3 and 1000
602 Genomes Asian Phase 3 separately. Enrichment for each annotation was calculated
603 by the proportion of heritability explained by each annotation divided by the proportion
604 of SNPs in the genome falling in that annotation category. We then applied Welch
605 Modified Two-Sample t-Test on enrichment values generated from QTLs in the two
606 populations.

607 **Colocalization**

608 We used the conditional association as described in Nica *et al.*⁴⁶ to test for evidence of
609 colocalization. This method compares the p-value of association for the lead SNP of
610 an eQTL or sQTL before and after conditioning on the GWAS hits. The equation for
611 the regulatory trait concordance (RTC) Score is as follows: $RTC = (N_{SNPs} \text{ in an LD}$
612 $\text{block} / \text{Rank}_{GWAS_SNP}) / N_{SNPs} \text{ in an LD block}$. The rank denoted the number of SNPs,
613 which when used to correct the expression data, have a higher impact on the QTL than
614 the GWAS SNPs (i.e., $\text{Rank}_{GWAS_SNP} = 0$ if the GWAS SNP is the same as the eQTL or
615 sQTL SNP and $\text{Rank}_{GWAS_SNP} = 1$ if, of all the SNPs in the interval, the GWAS SNP has
616 the largest impact on the eQTL or sQTL). RTC values close to 1.0 indicated causal
617 regulatory effects. A threshold of 0.9 was used to select causal regulatory elements.

618 **Prioritizing genes underlying GWAS hits**

619 We applied an SMR⁹ method on EAS and EUR SCZ GWAS summary data to prioritize
620 candidate genes. We used nominally significant QTLs identified in the previous
621 analysis (FDR < 0.05), containing thousands of unique probes with filtered GWAS
622 summary data ($p < 0.01$) to perform the SMR test. In general, we use the default
623 parameters suggested by the developers of the SMR software. These included the
624 application of heterogeneity independent instruments (HEIDI) testing, filtering out hits

625 that arose from significant linkage with pleiotropically associated variants (LD cutoff of
626 $P = 0.05$ in the HEIDI test, as suggested by SMR). Genes with an empirical P passed
627 Bonferroni correction in the SMR test and a $P > 0.05$ in the HEIDI test were considered
628 as risk genes.

629 **Schizophrenia-related signals**

630 The schizophrenia risk gene sets were collected from publications and databases. For
631 gene analysis, we collected these genes and converted them to Ensembl Gene IDs in
632 Gencode (hg19) using BioMart. We examined whether the risk genes meet one of
633 these criteria: (1) affected by copy number variants (CNVs)⁷¹; (2) identified by linkage
634 and association study⁷²⁻⁷⁴; (3) had de novo variants from NP de novo database⁷⁵; (4)
635 identified by convergent functional genomics (CFG)⁷⁶; (5) identified by Pascal gene-
636 based test⁷⁶; or (6) expressed differentially in SCZ^{66,77}.

637 **Module enrichment**

638 Module functional enrichment of Gene Ontology pathways was assessed with GO-
639 Elite v1.2.5⁹³ as well as using the clusterProfiler⁹⁴ R package, using GO and KEGG
640 databases. For gProfiler, “moderate” hierarchical filtering was used. A custom
641 background set consisted of 10,387 genes present across all studies and microarray
642 platforms. The top pathways were those reaching significance with FDR-adjusted $P <$
643 0.05. Module eQTL and candidate genes enrichment were assessed with Fisher exact
644 testing in R.

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658 **Author contributions**

659 S.L. drafted the manuscript, performed the genotype and RNA-seq quality control,
660 mapped eQTL, performed functional enrichment, compared the brain regulatory
661 architecture, as well as integrated QTLs with GWAS signals. Y.C. wrote the manuscript,
662 constructed co-regulatory networks, performed preservation test as well as pathway
663 enrichment analysis. F.W. wrote the manuscript, performed sQTL mapping and
664 functional enrichment analysis. Y. J. preprocessing the genotype and RNA-seq data
665 from PsychENCODE/BrainGVEX project. F.D. and M.L. extracted DNA and RNA, as
666 well as collected sample information. Y.X., R.K, and L.K. substantively revised the
667 manuscript. Z.N. and S.X. participated in the design of comparing the brain regulatory
668 architecture. Sample provided by W.Q., C.M., X.Y., A.B., J.D., J.H. and B.T. C.L.
669 provided PsychENCODE/BrainGVEX data. C.C. conceived, designed and supervised
670 the study and modified the manuscript.

671 **Competing interests**

672 All the authors declare no competing financial interests.

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873 **Data availability**

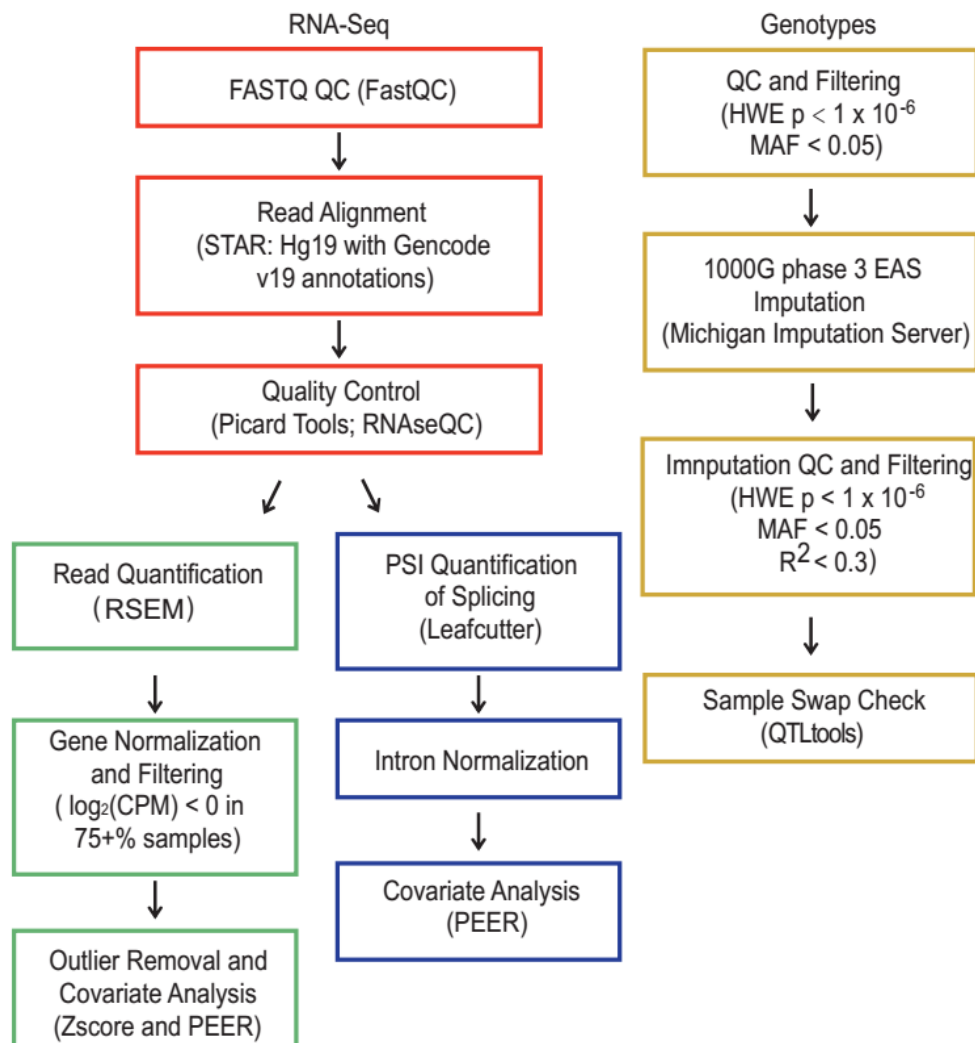
874 The raw sequence data reported in this paper have been deposited in the Genome
875 Sequence Archive in BIG Data Center, Beijing Institute of Genomics (BIG), Chinese
876 Academy of Sciences, under accession numbers HRA000108, HRA000108 that can
877 be accessed at <https://bigd.big.ac.cn/gsa-human>. eQTL and sQTL summary results
878 for EAS samples can be downloaded from
879 <http://brainexpnpd.org:8088/BrainEXPNDP/download.html>.

880 **Code availability**

881 Codes are available at <https://github.com/liusihan/population-compare-pipeline>

882 **Additional information**

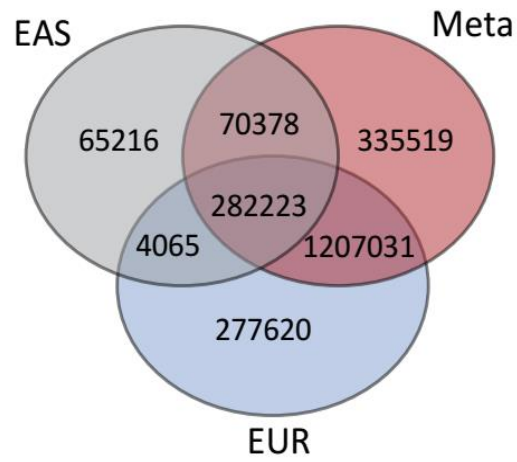
883 Correspondence and requests for materials should be addressed to C.C.



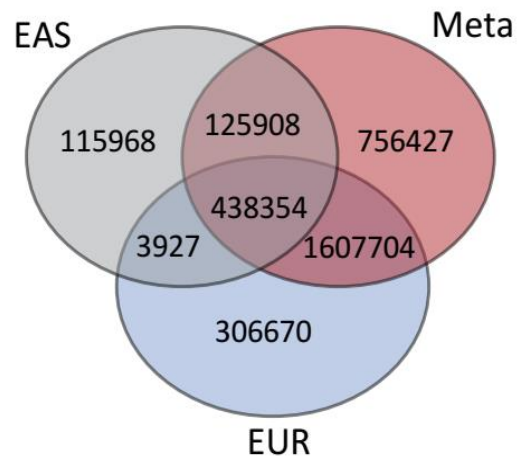
884

885 **Extended Data Fig.1 | Overview of methods and QC pipeline for EAS samples.**

a



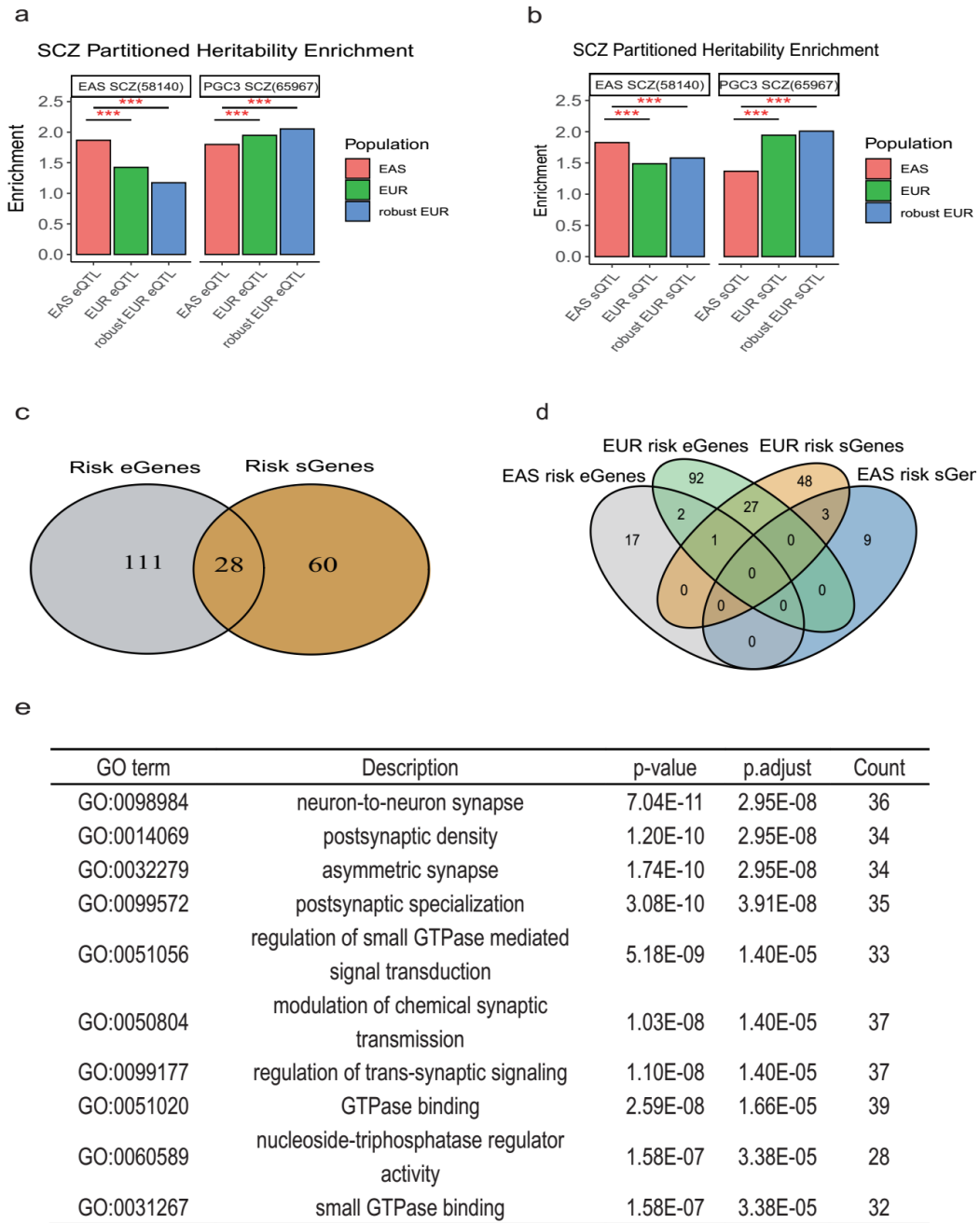
b



886

887 **Extended Data Fig.2 | QTL comparison. a, Venn plot for eQTLs. b, Venn plot for**

888 sQTLs.



889

890 **Extended Data Fig.3 | Integrating SCZ GWAS signals. a**, GWAS signals enrichment

891 comparison for eQTLs. **b**, GWAS signals enrichment comparison for sQTLs. **c-d**, Venn

892 plot for risk genes identified by eQTLs and sQTLs. **e**, List of the top ten pathways which

893 enriched in SCZ risk genes. p.adjust: Bonferroni adjusted p-value. Count: number of

894 genes located in this pathway.