

1 ***ATP-dependent Clp protease subunit C1, HvClpC1, is a strong***
2 ***candidate gene for barley variegation mutant *luteostrians* as***
3 ***revealed by genetic mapping and genomic re-sequencing***

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14 **Short title: *ClpC1* is a candidate gene for barley *luteostrians***

15 **Key words:** Chloroplast development, leaf variegation, genetic mapping, genomic re-
16 sequencing, comparative analysis, *luteostrians*, *albostrians*, *HvClpC1*, *Hordeum vulgare*

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19 **Abstract**

20 Implementation of next-generation sequencing in forward genetic screens greatly accelerated
21 gene discovery in species with larger genomes, including many crop plants. In barley,
22 extensive mutant collections are available, however, the causative mutations for many of the
23 genes remains largely unknown. Here we demonstrate how a combination of low-resolution
24 genetic mapping, whole-genome resequencing and comparative functional analyses provides
25 a promising path towards candidate identification of genes involved in plastid biology and / or
26 photosynthesis, even if genes are located in recombination poor regions of the genome. As a
27 proof of concept, we simulated the prediction of a candidate gene for the recently cloned
28 variegation mutant *albostrians* (*HvAST* / *HvCMF7*) and adopted the approach for suggesting
29 *HvClpC1* as candidate gene for the yellow-green variegation mutant *luteostrians*.

30 **Author Summary**

31 Forward genetics is an approach of identifying a causal gene for a mutant phenotype and has
32 proven to be a powerful tool for dissecting the genetic control of biological processes in many
33 species. A large number of barley mutants was generated in the 1940s to 1970s when mutation
34 breeding programs flourished. Genetic dissection of the causative mutations responsible for
35 the phenotype, however, lagged far behind, limited by lack of molecular markers and high-
36 throughput genotyping platforms. Next-generation sequencing technologies have
37 revolutionized genomics, facilitating the process of identifying mutations underlying a
38 phenotype of interest. Multiple mapping-by-sequencing or cloning-by-sequencing strategies
39 were established towards fast gene discovery. In this study, we used mapping-by-sequencing
40 to identify candidate genes within coarsely delimited genetic intervals, for two variegation
41 mutants in barley – *luteostrians* and *albostrians*. After testing the approach using the example
42 of the previously cloned *albostrians* gene *HvAST*, the gene *HvClpC1* could be delimited as
43 candidate gene for *luteostrians*. The mapping-by-sequencing strategy implemented here is
44 generally suited for surveying barley mutant collections for phenotypes affecting fundamental
45 processes of plant morphology, physiology and development.

46 Introduction

47 Barley mutagenesis was intensely studied in the mid twentieth century. These activities
48 resulted in extensive mutant collections available through genebanks such as NordGen
49 (<https://www.nordgen.org/>) and IPK (<https://gbis.ipk-gatersleben.de/gbis2i/faces/index.jsf>).
50 Barley mutants served as valuable resource for dissecting the genetic basis of a wide range
51 of complex biological processes. Their broad use, however, was impeded until recently by a
52 lack of genomic resources and tools. This has been changed by the fast development of next-
53 generation sequencing (NGS) based technologies and strategies for gene cloning. Due to
54 diminishing sequencing costs, NGS can be applied for sequencing-based genotyping of whole
55 mapping populations as well as for whole-genome resequencing to accelerate gene discovery
56 even in large genome crop species (Candela et al., 2015; Jaganathan et al., 2020). Mapping-
57 by-sequencing strategies initially were not always ready applicable to all species as they could
58 be limited. For instance, the Mutmap (Abe et al., 2012) and Mutmap+ (Fekih et al., 2013)
59 approaches, initially applied to rice, require access to a high-quality reference genome for the
60 genotype used for mutagenesis; the homozygosity mapping approach requires availability of
61 genotypes with a known pedigree (Singh et al., 2013). Special attention needs to be paid to
62 experimental design and technical decisions in order to enforce that sequencing data will allow
63 to map a mutation of interest (Wilson-Sanchez et al., 2019). In barley, *MANY-NODED DWARF*
64 (*MND*) was cloned by a technique similar to SHOREmap (Schneeberger et al., 2009);
65 *LAXATUM-a* (*LAX-a*) was isolated by exome-capture sequencing (Mascher et al., 2013) of
66 several highly informative recombinant pools (Jost et al., 2016). Notably, identification of *MND*
67 and *LAX-a* was even achieved while relying on a largely unordered draft genome of barley
68 (International Barley Genome Sequencing Consortium, 2012). Recently, the release and
69 improvement of a high-quality reference genome of barley (Mascher et al., 2017; Monat et al.,
70 2019) has greatly facilitated forward genetic screens relying largely on mapping- / cloning-by-
71 sequencing strategies (Candela et al., 2015) and thus paved the way towards systematic
72 dissection of the genic factors underlying barley mutant resources.

73 Many mutants of genebank collections are affected by photosynthesis-related defects leading
74 to aberrant coloration phenotypes. Photosynthesis-related mutants provide a highly valuable
75 genetic tool for identification of nuclear genes involved in different aspects of chloroplast
76 development. Among the distinct chlorophyll-deficient phenotypes, leaf variegation is a
77 common phenomenon that has been observed for many plants in nature (Toshoji et al., 2012).
78 Investigations with variegated mutants (patched in dicots / striping in monocots) generated
79 insights into the molecular mechanisms of leaf variegation (Yu et al., 2007). A threshold-
80 dependent genetic model was proposed as a mechanism underlying *var2* variegation. *Var2*
81 encodes a chloroplast-localized protein AtFtsH2 which belongs to the *filamentation*
82 *temperature sensitive* (*FtsH*) *metalloprotease* gene family (Chen et al., 2000; Takechi et al.,
83 2000). In the model, two pairs of FtsH proteins, AtFtsH1/5 and AtFtsH2/8, form oligomeric
84 complexes in the thylakoid membrane and a threshold level of oligomeric complexes is
85 required for normal chloroplast function and green sector formation (Yu et al., 2004). In
86 monocot species, *iojap* of maize (*Zea mays*) (Walbot and Coe, 1979) and *albostrians* of barley
87 (Hagemann and Scholz, 1962; Hess et al., 1993) represent two examples of classical
88 variegation mutation revealing that cells of albino sectors contain ribosome-free plastids. *iojap*
89 encodes a component associated with the plastid ribosomal 50S subunit (Han et al., 1992).
90 The *albostrians* gene *HvAST / HvCMF7* encodes a plastid-localized CCT MOTIF FAMILY
91 (CMF) protein (Li et al., 2019c). Although a threshold-dependent mechanism is also very likely,
92 the molecular mechanisms underlying the *iojap* and *albostrians* leaf variegation still remain

93 elusive. *luteostrians* is another barley mutant with a block in early chloroplast development,
94 showing a yellow-green striped variegation phenotype that appears only if the mutant allele is
95 inherited through the female gamete.

96 Here we report *HvClpC1* as a candidate gene for the variegation mutant *luteostrians* by
97 applying a sequencing-based gene identification strategy that has great potential of
98 systematical application to reveal causative mutations for photosynthesis-related mutants in
99 barley collections.

100 Results

101 Inheritance of Leaf Variegation in the *luteostrians* Mutant

102 Phenotypically, progeny of *luteostrians* can be classified into three categories: 1) green-yellow
103 striped, 2) completely yellow, and 3) albino (Figure 1A). The green-yellow striped seedlings
104 were able to complete the life cycle while the yellow and albino plants do not survive beyond
105 the three-leaf vegetative growth stage. Considering the variegated pattern to be analogous to
106 the *albostrians* mutant of barley (Li et al., 2019c), we named the causal gene underlying the
107 *luteostrians* striped phenotype as *HvLST*, representing *Hordeum vulgare* LUTEOSTRIANS.
108 Selfing of heterozygous plants leads to about a quarter of aborted grains, indicating that
109 homozygosity for the gene (*lst/lst*) is lethal at post-zygote or early embryonic stage (Table 1).
110 Inheritance of the yellow striped phenotype was gamete-dependent (Figure 1B) since
111 variegation is observed if the *lst* allele is inherited through the female gamete (i.e., *lst/LST*).
112 This interpretation is supported by three lines of evidence: 1) when wild type (*LST/LST*) plants
113 are fertilized with pollen from striped (*lst/LST*) or green heterozygous plants (*LST/lst*), in either
114 case, only half of the F1 plants offspring showed phenotypic segregation in F2 generation; 2)
115 a segregation ratio of 2:1 (green:striped) was observed in segregant offspring in F2 generation;
116 and 3) heterozygous F2 plants (*LST/lst* or *lst/LST*) segregated with green (*LST/LST* or *LST/lst*)
117 and striped (*lst/LST*) progenies with a ratio of 2:1 in subsequent F3 generation (Figure 1B).
118 Thus, in addition to its essential function during embryogenesis, we postulate that *HvLST* plays
119 an essential role in plastid differentiation / programming during gametic stage in the egg cell.

120 Chloroplast Translation is Abolished in the *luteostrians* Mutant

121 Defective chloroplasts do not contain 70S ribosomes in the *albostrians* mutant (Hess et al.,
122 1993; Li et al., 2019c). In an attempt to check the function of the translation machinery in
123 plastids of the *luteostrians* mutant, we initially examined accumulation of the rRNAs in wild
124 type and *luteostrians* mutant. In analogy to the *albostrians* mutant, the 16S and 23S rRNA
125 species are not observed in defective plastids of the *luteostrians* mutant (Figure 2), indicating
126 the lack of 70S ribosomes and consequently missing chloroplast translation in plastids of the
127 yellow leaf sectors. Next, we studied chloroplast/plastid ultrastructure by transmission electron
128 microscopy. These analyses revealed normal chloroplast development in wild type and in
129 green leaf sections of the *luteostrians* mutant; in both cases chloroplasts contained well-
130 developed stroma and grana thylakoids (Figure 3A & 3B). In contrast, plastids in yellow sectors
131 of the variegated *luteostrians* leaves contained no grana and only rudimentary stroma lamellae
132 (Figure 3C). Notably, chloroplast 70S ribosomes were not detectable in yellow plastids of the
133 *luteostrians* mutant (Figure 3). Altogether, based on the absence of chloroplast rRNA species
134 and the lack of 70S ribosomes it can be postulated that chloroplast translation is abolished in
135 the *luteostrians* mutant.

136 Genetic Mapping of *HvLST* to the Genetic Centromere of Chromosome 2H

137 Two F2 mapping populations, designated as 'BL' and 'ML', were constructed for the purpose
138 of genetic mapping of the *HvLST* gene. The genotypic status of the *luteostrians* locus of F2
139 plants was determined by phenotypic segregation analysis of their respective F3 progenies.
140 As homozygosity (*lst/lst*) leads to grain abortion, the BL population contained 95 wild type
141 (*LST/LST*) and 172 heterozygotes (*LST/lst* and *lst/LST*), consistent with the segregation of a
142 single recessive gene (Table 2). Genetic mapping in the ML population was affected by
143 segregation distortion with genotype ratios deviating from the expected Mendelian ratios
144 (Table 2). Genotyping-by-sequencing was performed for 267 and 269 F2 genotypes in BL and
145 ML populations, respectively. Sequencing data was mapped to the reference genome of barley
146 (Monat et al., 2019) for SNP calling. In total, 3,745 and 5,507 SNP markers were obtained
147 genome-wide at a minimum sequencing coverage of six-fold for BL and ML populations,
148 respectively. By applying a permissive threshold of 5% missing data for both molecular marker
149 and F2 genotype, mapping could be performed in 124 F2 / 3369 SNPs and 146 F2 / 4854
150 SNPs for the BL and ML populations, respectively (Supplemental Table 1). Genetic maps for
151 seven linkage groups, representing the seven barley chromosomes, were obtained for BL
152 (LOD \geq 6) and ML (LOD = 10) populations, comprising mapped markers for 66.4% (2238/3369)
153 and 71.5% (3469/4854) of the originally defined SNPs, respectively (Supplemental Table 1).
154 The accuracy of the linkage maps was consistency-checked by aligning genetic marker
155 positions with their respective physical order in the reference genome of barley (Monat et al.,
156 2019). The *HvLST* gene was assigned to the region of the genetic centromere region of
157 chromosome 2H with a physical distance between the flanking markers of 461.7 and 499.9
158 Mbp in BL and ML populations, respectively (Supplemental Figure 1).

159 Next, PCR-based KASP (Kompetitive Allele-Specific PCR) markers were designed and
160 employed to saturate the identified *HvLST* intervals in both mapping populations. Initially,
161 genotyping all the 267 (BL population) and 269 (ML population) F2 individuals with two
162 population-specific KASP markers (chr2H_178489464 and chr2H_461744325 for BL
163 population; chr2H_200083049 and chr2H_463274491 for ML population) allowed to confirm
164 and further narrow down the *HvLST* target region. Notably, the numerical value within each
165 marker designation indicates the physical coordinate of the mapped SNP position on the
166 reference genome of barley (Monat et al., 2019) (Figure 4). Subsequently, saturation mapping
167 of the *HvLST* interval was performed in a total of 18 recombinants (13 in BL / 5 in ML) with
168 additional KASP markers that were suitable for both mapping populations (Supplemental Table
169 2). Finally, we delimited the *HvLST* target region to a 0.74 centiMorgan (cM) interval between
170 flanking markers chr2H_431057673 and chr2H_458001177, spanning a distance of ~26.94
171 Mbp (Figure 5 and Supplemental Table 2). A cluster of markers co-segregated with the *HvLST*
172 locus, suggesting that maximum genetic resolution was achieved at the given size of both
173 mapping populations (Figure 4).

174 **Whole Genome Re-sequencing Identifies *HvClpC1* as *HvLST* Candidate Gene**

175 Based on the annotated reference genome sequence of barley (Monat et al., 2019) the genetic
176 interval of *HvLST* delimited by the closest flanking molecular markers is annotated with 284
177 genes (Figure 5A and 5C), a number too large for realistically spotting directly a candidate
178 gene for *HvLST*. One option, higher resolution genetic mapping of the gene *HvLST* by
179 screening for recombinants in a much larger mapping population was rejected due to the
180 unfavorable genetic placement of the gene in a region of very low recombination. Instead, we
181 evaluated the possibility to survey directly for mutations in any of the predicted genes in the
182 *HvLST* interval by high-throughput re-sequencing.

183 First, we simulated the feasibility of the approach based on existing data for the variegation
184 mutant *albostrians* (Li et al., 2019c). Previously, low-resolution mapping with 91 F2 genotypes
185 delimited the gene *HvCMF7* to a 6.05 cM genetic interval between flanking markers Zip_2613
186 and 1_0169. Anchoring the flanking markers to the barley reference genome (Monat et al.
187 2019) revealed a 17.86 Mbp physical interval comprising 323 genes (Figure 5A-B); comparable
188 to the situation for *HvLST*. Next, we used whole genome re-sequencing data for the mutant
189 M4205 (original *albostrians* mutant) and barley cv. Haisa (genetic background used for
190 induction of the *albostrians* mutant). In an initial screen we filtered M4205-specific SNPs in
191 genic regions revealing nine candidate genes. The second step of filtering for functional SNPs
192 (e.g., leading to non-synonymous exchange of amino acid, change of splice junction, or
193 premature stop) retrieved four candidate genes (Figure 5A-B; Table 3 and Supplemental Table
194 3). Based on functional annotation of these four genes, a single gene,
195 *HORVU.MOREX.r2.7HG0603920.1*, homolog to the Arabidopsis gene *CIA2*, was suggested
196 as the most promising *albostrians* candidate, supported by photosynthesis-related pale green
197 phenotype of the Arabidopsis *cia2* mutant (Sun et al., 2001).
198 *HORVU.MOREX.r2.7HG0603920.1* in fact represents the genuine *albostrians* gene, as was
199 verified by independent mutant analysis (Li et al., 2019c). In conclusion, based on a delimited
200 genetic interval, survey sequencing of wild type and mutant genetic background may provide
201 a direct path for candidate gene identification for photosynthesis related phenotype mutants in
202 barley.

203 We adopted this approach to identify a *HvLST* candidate gene. A wild type (line luteostrians-
204 2_2, *LST/LST*) and a mutant genotype (line luteostrians-3_6, *lst/LST*) were selected from the
205 originally segregating *luteostrians* mutant, to ensure both analyzed genotypes sharing the
206 same genetic background of line MC20. In contrast to the previously described simulation for
207 the gene *albostrians*, the initial screening exercise for sequence polymorphisms between
208 mutant and wild type had to consider the fact that homozygous *lst/lst* is embryo lethal, hence
209 functional polymorphisms were expected to be present at heterozygous state. Consequently,
210 54 out of the 284 genes, identified for the *luteostrians* mapping interval, carried heterozygous
211 SNPs specific to the *luteostrians* mutant. For a shortlist of eleven genes, the observed SNP
212 was predicted to induce a putative functional change (Figure 5A and 5C; Table 3 and
213 Supplemental Table 3). BLASTp search (Mount, 2007) against the Arabidopsis proteome
214 revealed presence of orthologs for 8 of the 11 candidate genes. None of the remaining three
215 genes likely represented a genuine *HvLST* candidate as they either encoded for a putative
216 retrotransposon protein in barley or showed similarity to an organelle gene lacking essential
217 function in chloroplast biogenesis in Arabidopsis (Table 3 and Supplemental Table 3). We then
218 inspected functional annotation information and, if available, phenotype information for
219 mutants of the narrowed shortlist of eight Arabidopsis genes. One of these genes *AT5G50920*
220 encodes an ATP-dependent Clp protease ATP-binding subunit *ClpC1*. Mutants of this gene
221 exhibited a pale-yellow phenotype with reduced photosynthetic performance (Sjogren et al.,
222 2004). Notably, inactivation of the *ClpP* genes (e.g., *ClpP4*, *ClpP5*, *ClpP6*) in Arabidopsis
223 resulted in embryo lethality and antisense repression lines exhibited a variegated 'yellow-heart'
224 phenotype (Clarke et al., 2005). In conclusion, *HORVU.MOREX.r2.2HG0135340.1* (*HvClpC1*),
225 homolog (putative ortholog) of Arabidopsis *ClpC1*, represented the most likely candidate for
226 the gene *luteostrians*. The predicted heterozygous SNP in *HvClpC1* was confirmed by Sanger
227 sequencing (Supplemental Table 4). The *luteostrians* mutant carries a heterozygous SNP at
228 position 2,078 (i.e., G2078A; coordinate refers to the coding sequence), consequently,
229 changing glycine into aspartic acid at position 693 (i.e., G693D) (Figure 6B).

230 The *HvLST* candidate *HvClpC1* has two close homologs in barley,
231 *HORVU.MOREX.r2.5HG0373350.1* (homolog 1) and *HORVU.MOREX.r2.4HG0286520.1*
232 (homolog 2), which share 85.57% and 74.26% amino acid identity with *HvClpC1*, respectively.
233 All three homologs share the same gene structure with nine exons. In line with the chloroplast
234 localization of *ClpC1* in Arabidopsis (Sjogren et al., 2014), ChloroP predicts presence of a
235 chloroplast transit peptide for all three homologs in barley (Figure 6A), potentially suggesting
236 a chloroplast localization.

237 We looked up the expression profile of *HvClpC1* and its two close homologs in BARLEX
238 database (Colmsee et al., 2015). *HvClpC1* shows ubiquitous expression in all examined
239 tissues except young developing inflorescences (INF1) (Figure 6C). The expression pattern of
240 homolog 1 resembles that of *HvClpC1* but in most tissues at a distinctly lower level. In contrast,
241 expression of homolog 2 is barely detectable across all the samples.

242 Discussion

243 Induced mutants are important tools for elucidating basic principles in the biology of
244 photosynthesis and organellogenesis in plants. Recently, we reported the cloning and
245 characterization of the gene which bears a mutation in the well-known green-white striped
246 mutant *albostrians* of barley (Li et al., 2019c). Here we introduce, based on low-resolution
247 mapping, whole genome re-sequencing and homology search to photosynthesis or chloroplast
248 related mutants in Arabidopsis, a highly promising candidate gene *HvClpC1* for the related
249 yellow-green variegation mutant *luteostrians*.

250 Protease ATP-binding Subunit *HvClpC1* Represents the Perfect Candidate Gene for 251 *HvLST*

252 Low-resolution mapping delimited *HvLST* to a large interval spanning a physical distance of
253 26.9 Mbp comprising a total of 284 genes. By comparative whole genome re-sequencing of
254 wild type and mutant lines, a homolog of the Arabidopsis gene *AT5G50920* was identified as
255 candidate *LUTEOSTRIANS* gene. *AT5G50920* belongs to the ATP-dependent protease family
256 and encodes the ATP-binding subunit *ClpC1*. The *clpC1* null mutant exhibited a homogenous
257 pale-yellow phenotype (Sjogren et al., 2004). The distinct phenotypes of *ClpC1* knockout lines
258 in Arabidopsis and *luteostrians* barley are reminiscent of the recently reported orthologous pair
259 of genes of the variegated *albostrians* barley mutant and its Arabidopsis homolog pale green
260 mutant *cia2* (Sun et al., 2001; Li et al., 2019c).

261 The CLP protease system is a central component of the chloroplast protease network (Olinares
262 et al., 2011). It plays essential roles in coordinating plastid proteome dynamics during
263 developmental transitions such as embryogenesis and leaf development (Nishimura and van
264 Wijk, 2015). Genetic studies demonstrated that members of the CLP protease family contribute
265 to chloroplast biogenesis (Constan et al., 2004; Sjogren et al., 2004) and embryogenesis
266 (Kovacheva et al., 2007; Kim et al., 2009). *ClpC1* plays important roles on chlorophyll
267 biosynthesis as it controls turnover of glutamyl-tRNA reductase (GluTR) and chlorophyllide a
268 oxygenase (CAO); GluTR and CAO catalyse an early step of the tetrapyrrole biosynthesis
269 pathway and the conversion of chlorophyllide a to b, respectively (Nakagawara et al., 2007;
270 Apitz et al., 2016; Rodriguez-Concepcion et al., 2019). Although single mutants were viable,
271 siliques of the double mutant *clpC1clpC2* (previously described as *hsp93-V / hsp93-III-2*)
272 contained aborted seeds (because of a block in the zygote-embryo transition) and failed ovules
273 (because of a moderate defect in female gametophytes) (Kovacheva et al., 2007). This
274 suggested that both homologs *ClpC1* and *ClpC2* confer important functions to cell viability

275 during gamete stage and early zygotic stage during embryogenesis. Moreover, the proteome
276 phenotype of *clpC1clpS1* suggested a ClpS1 and ClpC1 interaction effect on plastid gene
277 expression components and nucleoid interactors, including RNA processing and editing, as
278 well as 70S ribosome biogenesis (Nishimura et al., 2013). So far, biochemical and genetic
279 evidences gathered for *Arabidopsis* single and double mutants related to *clpC1* were in line
280 with the observed yellow-striped, chloroplast ribosome deficient and embryonic lethal
281 phenotype (at homozygous state) of the *luteostrians* mutant. Mutants of related genes showed
282 also variegation in *Arabidopsis*, e.g., *Arabidopsis* mutant *yellow variegated 2 (var2)* exhibited
283 a patched phenotype (Chen et al., 2000). *VAR2* encodes an ATP-dependent metalloprotease
284 FtsH2. Together with the CLP and the LON family proteases, FtsH2 belongs to the ATP-
285 dependent protease AAA+ (ATPase associated with various cellular activities) superfamily
286 (van Wijk, 2015). FtsH2 showed functional redundancy with FtsH8 and a threshold model (i.e.,
287 level of FtsH protein complexes formed in the thylakoid membrane) was proposed for the
288 underlying mechanism of *var2* leaf variegation (Aluru et al., 2006). In analogy to the barley
289 mutant *albostrians* (Li et al., 2019c), green and yellow sectors of *luteostrians* have the same
290 genotype. Furthermore, no differences could be determined for the chloroplast ultrastructure
291 and plastid rRNA content between wild-type leaves and green sectors of striped *luteostrians*
292 leaves. Therefore, variegation of *luteostrians* leaves may be caused by a threshold-dependent
293 mechanism.

294 Though *HvClpC1* represents the most promising candidate gene for *HvLST*, further
295 experimental evidence is required to confirm this hypothesis. As previously demonstrated,
296 reverse genetic approaches like TILLING or site-directed mutagenesis using Cas9 are viable
297 options in barley to further check the identity of the candidate gene with *LUTEOSTRIANS*
298 (Gottwald et al., 2009; Lawrenson et al., 2015; Li et al., 2019c).

299 **Cloning-by-sequencing in Barley During the Post-NGS Era**

300 In this study, we demonstrated that a combination of low-resolution genetic mapping and
301 comparative whole-genome skim sequencing analysis of mutant and wild type can serve as
302 an effective strategy for gene cloning in barley, especially for genes that share a highly
303 conserved function in plants, including those involved in photosynthesis and chloroplast
304 differentiation and development. The probability of having available functional characterization
305 data for such genes in model plants is high and there is increased probability for seeing related
306 or conserved phenotypic effects in both model and non-model plants. By adopting this strategy,
307 we pinpointed the *albostrians* gene *HvCMF7* among 323 candidates within a 17.86 Mbp
308 interval delimited with 91 F2 genotypes, circumventing the time-consuming and laborious fine-
309 mapping process as performed previously (Li et al., 2019c). Implementation of the pipeline in
310 case of the gene *luteostrians* suggested *HvClpC1* to be the likely causative gene underlying
311 the yellow-stripe variegation phenotype. Notably, the cloning strategy is independent of the
312 effect of genomic location. Compared to the barley genome-wide average ratio of physical to
313 genetic distance of 4.4 Mb/cM (Kunzel et al., 2000; Mascher et al., 2017), *HvAST* and *HvLST*
314 are located in genomic regions with either increased (2.95 Mb/cM) or suppressed (36.35
315 Mb/cM) rates of recombination, respectively. The success of candidate gene identification in
316 both cases can be attributed to the achieved high-quality and completeness of the reference
317 genome of barley (Mascher et al., 2017; Monat et al., 2019). Whole genome re-sequencing of
318 wild type and mutant parental lines revealed four and eleven candidates for *HvAST* and *HvLST*,
319 respectively. To further narrow down the shortlist to a single candidate gene, it was critical that
320 functional analyses for the putative *Arabidopsis* homologs were available. In both cases, the

321 best candidate gene showed a chlorophyll-deficient phenotype for mutants of the respective
322 Arabidopsis homolog.

323 Genetic mapping information is available for 881 barley mutants of the so-called Bowman near-
324 isogenic lines (NILs) (Druka et al., 2011); among them, 142 mutants with pigmentation
325 phenotype. Although the original genetic background of the Bowman NILs is represented by
326 over 300 different barley genotypes, approximately one-half of the mutants (426/881) were
327 identified in one of eight barley cultivars (i.e., Bonus, Foma, Betzes, Akashinriki, Morex,
328 Steptoe, Volla and Birgitta) (Druka et al., 2011). Since leveraging of the high-quality barley
329 reference genome sequence (Mascher et al., 2017; Monat et al., 2019), whole-genome
330 resequencing of the parental lines would help to filter background mutations and facilitate
331 candidate gene identification and isolation. Therefore, it is conceivable that gene identification
332 in barley, given this pilot attempt based on chlorophyll / photosynthesis related mutants,
333 reached to a point to become even faster and more systematic now.

334 **Materials and Methods:**

335 **Plant Materials and Growth Conditions**

336 The *luteostrians* mutant was derived from line MC20 [Institute of Genetics 'Ewald A. Favret'
337 (IGEAF), INTA CICVyA, mutant accession number] by chemical mutagenesis using sodium
338 azide. MC20 was derived from the spring barley cv. Maltería Heda by gamma ray mutagenesis.
339 Two mapping populations were constructed. The six-rowed spring barley (*Hordeum vulgare*)
340 cv. 'Morex' and two-rowed spring barley cv. 'Barke' were used as maternal parent in crossings
341 with the variegated mutant line either *luteostrians-1* (*Ist/LST*) or *luteostrians-3* (*Ist/LST*),
342 respectively. The derived F1 progeny were self-pollinated to generate F2 mapping populations
343 designated as 'ML' (Morex x *luteostrians*) and 'BL' (Barke x *luteostrians*). All the F2 and F3
344 individuals were grown under the greenhouse condition with a day/night temperature and
345 photoperiod cycle of 20°C/15°C and 16-h light/8-h darkness, respectively. Supplemental light
346 (at 300 $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$) was used to extend the natural light with incandescent lamps
347 (SON-T Agro 400, MASSIVEGROW).

348 For ribosomal RNA analysis, wild type (*luteostrians-2*; genotype *LST/LST*) and mutant
349 (*luteostrians-1*; genotype *Ist/LST*) lines were grown under the greenhouse light condition. For
350 dark treatment, seeds were germinated within a carton box wrapped with aluminum foil under
351 the greenhouse condition mentioned above.

352 **Phenotyping**

353 The trait 'leaf color' was scored for the F2 mapping populations at first, second and third leaf
354 seedling stages. The phenotype of the seedlings was classified into two categories: green and
355 variegated (i.e., green-yellow striped). Green phenotype defined all the three leaves of each
356 seedling were purely green; and variegated phenotype defined green-white striped pattern can
357 be observed on all or any of the three leaves. According to inheritance pattern of the causal
358 gene *luteostrians* (Figure 1B), variegated plants are heterozygous for the mutant allele
359 (*Ist/LST*). Green plants, however, can be either wild type (*LST/LST*) or heterozygous (*LST/Ist*).
360 Therefore, the *luteostrians* genotype of all the F2 plants was determined by phenotyping 32
361 seedlings of each corresponding F3 family. A wild type (*LST/LST*) F2 would produce 100%
362 green progeny, progeny of heterozygous (*LST/Ist*) F2 green plant would follow a Mendelian
363 segregation (green:variegated = 2:1).

364 **Ribosomal RNA Analysis**

365 Total RNA was extracted using TRIzol reagent (Invitrogen, Braunschweig, Germany) following
366 manufacturer's instructions. Initially, concentration of the RNA was determined by help of a
367 Qubit® 2.0 Fluorometer (Life Technologies, Darmstadt, Germany) according to manufacturer's
368 instructions. RNA samples were further diluted within a quantitative range of 1 - 10 ng/μL. RNA
369 quality and quantity were then measured using Agilent High Sensitivity RNA ScreenTape
370 following the manufacturer's manual (Agilent, Santa Clara, USA).

371 **Ultrastructural analysis**

372 Primary leaves were collected from 7-day-old seedlings of wild type and variegated mutant
373 (*luteostrians-1*). Preparation of 1-2 mm² leaf cuttings for ultrastructure analysis including
374 aldehyde/osmium tetroxide fixation, dehydration, resin infiltration and ultramicrotomy were
375 performed as previously described (Li et al., 2019b).

376 **DNA Isolation**

377 Genomic DNA was extracted from primary leaves of 7-day-old seedlings using a GTC-NaCl
378 method in 96-well plate format. Frozen leaf samples were homogeneously crushed by help of
379 a Mixer Mill MM400 (Retsch GmbH, Haan, Germany) for 30 s at 30 Hz. Add 600 μL of
380 preheated (65°C for 1 hour) GTC extraction buffer (1 M guanidine thiocyanate, 2 M NaCl, 30
381 mM NaCOOH pH = 6.0, 0.2% Tween 20) to the frozen powder and mixed thoroughly for 30 s
382 at 30 Hz under Mixer Mill MM400. Centrifugation at 2500 x g for 10 min at 4°C after incubation
383 the samples at 65°C for 1 hour. Transfer 480 μL of supernatant to a 96-well EconoSpin plate
384 (Epoch Life Science, Texas, USA). Vacuum the EconoSpin plate on the vacuum manifold
385 (MACHEREY-NAGEL, Dueren, Germany) until no droplet drop down. Wash the DNA samples
386 twice with 880 μL washing buffer (50 mM NaCl, 10 mM Tris-HCl pH = 8.0, 1 mM EDTA, 70%
387 ethanol). Place the EconoSpin plate onto a 96-well Microtiter™ microplate (Thermo Fisher
388 Scientific, Braunschweig, Germany) and centrifugation at 2500 x g for 3 min to remove residual
389 wash solution. Dissolve DNA with 100 μL preheated (65°C for 1 hour) TElight buffer (0.1 mM
390 EDTA, 10 mM Tris-HCl pH = 8.0). The isolated DNA were used for downstream analysis or
391 put at -20°C for long term storage.

392 **Genotyping-by-sequencing**

393 For the genetic mapping of *HvLST*, GBS were prepared from genomic DNA extracted as
394 described previously, digested with *Pst*I and *Msp*I (New England Biolabs, Frankfurt am Main,
395 Germany) following published procedure (Wendler et al., 2015). DNA samples were pooled
396 in an equimolar manner per lane and sequenced on Illumina HiSeq2500 for 107 cycles, single
397 read, using a custom sequencing primer. The GBS reads were aligned to the reference
398 genome of barley as described by Milner et al. (2019). Reads were trimmed using cutadapt
399 (Martin, 2011), mapped with BWA-MEM version 0.7.12a (Li and Durbin, 2009) to barley
400 reference genome (Monat et al., 2019) and the resulting bam files were sorted using Novosort
401 (Novocraft Technologies Sdn Bhd, Selangor, Malaysia). Variants were called with samtools
402 version 1.7 and bcftools version 1.6 (Li, 2011) and filtered following the protocol of Milner et al.
403 (2019) for a minimum depth of sequencing of six to accept a genotype call, a maximum fraction
404 of heterozygous call of 60% and a maximum fraction of 20% of missing data. In the case of
405 'BL' population, SNP calls were converted to reflect the polymorphisms between the two
406 parents, using the calls for barley cv. Barke.

407 Genetic Linkage Map Construction

408 Genetic linkage groups were constructed by help of the JoinMap® 4.1 software (Van Ooijen,
409 2006) following the instruction manual. Homozygous wild type and heterozygous allele calls
410 were defined as A and H, respectively; missing data was indicated by a dash. A permissive
411 threshold of 5% missing data for both molecular marker and F2 genotype was applied to the
412 datasets. Regression mapping algorithm and Kosambi's mapping function were chosen for
413 building the linkage maps. Markers were grouped into seven groups based on Logarithm of
414 Odds (LOD \geq 6) groupings. The seven linkage groups were in corresponding to the barley
415 chromosomes according to the locus coordinates determined during read mapping to the
416 reference genome of barley (Monat et al., 2019). Visualization of maps derived from JoinMap®
417 4.1 was achieved by MapChart software (Voorrips, 2002).

418 KASP Assay

419 Sequence 50 bp upstream and downstream of the SNP was extracted from the barley
420 reference genome (Monat et al., 2019). Allele-specific forward primers and one common
421 reverse primer were designed by help of the free assay design service of 3CR Bioscience
422 (<https://3crbio.com/free-assay-design/>) (Supplemental Table 4). KASP primer mix was
423 prepared in a volume of 100 μ L containing 12 μ M of each allele-specific forward primer and 30
424 μ M common reverse primer. KASP-PCR reactions were performed in a total volume of 5 μ L
425 containing 2.5 μ L 2X PACE-IR™ Genotyping Master Mix (001-0010, 3CR Bioscience, Essex,
426 UK), 0.07 μ L KASP primer mix, and 40 ng of template DNA. PCR program was used with a
427 HydroCycler (LGC, Teddington, UK): initial denaturation at 94°C for 15 min followed by 10
428 cycles at 94°C for 20 s, at 65 to 57°C (-0.8°C/cycle) for 1 min, and proceeded for 30 cycles at
429 94°C for 20 s, at 57°C for 1 min, and followed at 30°C for 30 s. In case of the genotyping
430 clusters not well separated, an additional PCR was performed with 6 cycles at 94°C for 20 s,
431 at 57°C for 1 min. Pre-read and post-read of the fluorescence signals were performed on ABI
432 7900HT instrument (Applied Biosystems, Thermo Fisher Scientific).

433 Whole-genome Shotgun Sequencing and Data Analysis

434 DNA was isolated according to the protocol of Doyle and Doyle (1990) from leaf samples
435 collected from one-week-old, greenhouse-grown seedlings of barley cv. Haisa, *albostrians*
436 mutant M4205, and lines luteostrians-2_2 and luteostrians-3_6. For lines luteostrians-2_2 and
437 luteostrians-3_6, DNA was fragmented (200 - 500 bp) with ultrasounds, then 150 bp paired-
438 end libraries were prepared according to Illumina standard protocol and sequenced with
439 Illumina Hiseq X Ten. For Haisa and *albostrians* mutant M4205, 350 bp paired-end libraries
440 were prepared and sequenced according to the protocol as described previously (International
441 Barley Genome Sequencing Consortium, 2012). Reads mapping and variants calling to the
442 barley reference genome were performed as above described for GBS data. Notably, re-
443 sequencing data of barley cv. Barke, maternal parent of the BL mapping population (for
444 *luteostrians*) and BM mapping population (for *albostrians*) (Li et al., 2019c) was also included
445 for SNP calling for identification of mutant-specific SNPs. Functional effect of the mutant-
446 specific SNPs was annotated by help of SNPeff version 4.3 (Cingolani et al., 2012).

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455 **Author Contributions**

456 M.L. and N.S. conceived the research; A.R.P. performed initial genetic characterization of the
457 *luteostrians* mutant; M.L., G.G. and M.M. performed experiments; M.L., H.P. and G.G.
458 analyzed data; M.L. wrote the manuscript with contributions of H.P.; M.L., T.B. and N.S. revised
459 the manuscript.

460 **Supplemental Data**

461 **Supplemental Figure 1.** Genetic mapping of the *HvLST* gene.

462 **Supplemental Table 1.** Summary of SNP markers derived from genotyping-by-sequencing.

463 **Supplemental Table 2.** Graphical genotype of selected F2 recombinants.

464 **Supplemental Table 3.** Summary of candidate genes for *HvAST* and *HvLST*.

465 **Supplemental Table 4.** Primers used in this study.

466

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681

Table 1. Phenotypic segregation of zygotic lethality in F2 of BL and ML populations

	Number of scored heterozygous F2 plants	viable F3 grains	non-viable F3 grains	$\chi^2_{(df=1)}$	<i>p</i> value
BL population	39	609	210	0.18	0.67*
ML population	26	343	109	0.19	0.66*

* Statistically no significant derivation from the expected 3:1 (fertile:sterile) segregation ratio.

Table 2. Genotypic segregation of the *HvLST* locus in F2 of BL and ML populations

	Maternal	Paternal	Population size	Wild type	Heterozygote	$\chi^2_{(df=1)}$	<i>p</i> value
BL Population	Barke	<i>luteostrians (Ist/LST)</i>	269	95	172	0.61	0.44*
ML Population	Morex	<i>luteostrians (Ist/LST)</i>	271	117	152	12.47	0.0004 [§]

* Statistically no significant derivation from the expected 1:2 (wild type:heterozygote) segregation ratio.

[§] Segregation distortion observed for ML population.

Table 3. Summary of candidate genes for *HvAST* and *HvLST*

Gene ID*	Confidence	Annotation	Homolog in Arabidopsis [§]	Phenotype / Function	Reference
Candidate genes for <i>HvAST</i>					
<i>HORVU.MOREX.r2.7</i> <i>HG0603920.1</i>	HC	Zinc finger protein	<i>AT5G57180</i> (<i>CIA2</i>)	<i>cia2</i> mutant exhibits a pale green phenotype	(Sun et al., 2001)
<i>HORVU.MOREX.r2.7</i> <i>HG0603970.1</i>	LC	Retrotransposon protein, putative, unclassified	<i>AT1G43760</i>	Uncharacterized in Arabidopsis	n.a
<i>HORVU.MOREX.r2.7</i> <i>HG0604040.1</i>	HC	GATA transcription factor 27	<i>AT1G51600</i> (<i>ZML2</i>)	GATA transcription factors are known to be involved in light-dependent gene regulation and nitrate assimilation in plants	(Manfield et al., 2007); (Daniel-Vedele and Caboche, 1993)
<i>HORVU.MOREX.r2.7</i> <i>HG0604110.1</i>	HC	Receptor-like kinase	<i>AT5G16000</i> (<i>NIK1</i>)	Dwarfed morphology, enhanced disease resistance to bacteria and increased PAMP-triggered immunity responses	(Li et al., 2019a)
Candidate genes for <i>HvLST</i>					
<i>HORVU.MOREX.r2.2</i> <i>HG0133160.1</i>	HC	Acyl-[acyl-carrier-protein] desaturase	<i>AT2G43710</i> (<i>SS2</i>)	Decreased growth and increased disease resistance	(Yang et al., 2016)
<i>HORVU.MOREX.r2.2</i> <i>HG0133350.1</i>	HC	Retrotransposon protein, putative, unclassified	n.a	Absence in Arabidopsis	n.a
<i>HORVU.MOREX.r2.2</i> <i>HG0133900.1</i>	LC	Retrotransposon protein, putative, Ty3-gypsy subclass	<i>ATMG00860</i>	Mitochondria-specific gene <i>ORF158</i>	n.a
<i>HORVU.MOREX.r2.2</i> <i>HG0134330.1</i>	HC	Calmodulin-binding transcription activator	<i>AT1G67310</i> (<i>CAMTA4</i>)	Positive regulation of a general stress response	(Benn et al., 2014)
<i>HORVU.MOREX.r2.2</i> <i>HG0134390.1</i>	HC	U6 snRNA-associated Sm-like protein LSM6	<i>AT2G43810</i> (<i>LSM6B</i>)	<i>lsm6b</i> mutant exhibits wild-type phenotype	(Perea-Resa et al., 2012)
<i>HORVU.MOREX.r2.2</i> <i>HG0134800.1</i>	HC	Photosystem II reaction center protein K	<i>ATCG00070</i> (<i>psbK</i>)	Chloroplast-specific <i>psbK</i> gene; <i>psbK</i> is not essential for PSII activity in cyanobacterium <i>Synechocystis</i> 6803	(Kobayashi et al., 2005)
<i>HORVU.MOREX.r2.2</i> <i>HG0135080.1</i>	HC	Transport inhibitor response 1-like protein	<i>AT3G26810</i> (<i>AFB2</i>)	Resistance to IAA; a role in shoot development	(Prigge et al., 2020)
<i>HORVU.MOREX.r2.2</i> <i>HG0135330.1</i>	HC	Heavy-metal-associated domain-containing family protein	<i>AT1G22990</i> (<i>HIPP22</i>)	<i>hipp22</i> mutant exhibits wild-type phenotype; a role in Cd-detoxification	(Tehseen et al., 2010)
<i>HORVU.MOREX.r2.2</i> <i>HG0135340.1</i>	HC	ATP-dependent Clp protease ATP-binding subunit ClpC1	<i>AT5G50920</i> (<i>ClpC1</i>)	Retarded growth; leaf chlorosis; lower photosynthetic activity; reduction in photosystem content	(Sjogren et al., 2004)
<i>HORVU.MOREX.r2.2</i> <i>HG0135360.1</i>	HC	Protein TSSC4	<i>AT5G13970</i>	Uncharacterized in Arabidopsis; <i>TSSC4</i> represents tumor suppressing subtransferable candidate 4 in <i>Homo sapiens</i>	n.a
<i>HORVU.MOREX.r2.2</i> <i>HG0135690.1</i>	HC	Ethylene-responsive transcription factor, putative	<i>AT5G13910</i> (<i>LEP</i>)	<i>lep-1</i> mutant exhibits short hypocotyls and small cotyledons	(Ward et al., 2006)

*The gene ID in bold indicates the *HvAST* locus and potential *HvLST* locus.

§The gene ID is included in parentheses.

684 **Figure Legends**

685 **Figure 1. Phenotype and inheritance of variegation in the barley mutant *luteostrians*.**

686 (A) Penetration of the mutant phenotype varies among seedlings, ranging from a narrow yellow
687 stripe to complete yellowish or albino. Neither yellowish/albino plants survived beyond third-leaf
688 stage.

689 (B) Inheritance pattern of the *luteostrians* mutant phenotype. Variegation only occurs in plants if
690 the *Ist* allele was transmitted through the female gamete. Upper panel: Heterozygous plants can
691 be obtained by using either green or variegated plants (heterozygous for the *luteostrians* allele)
692 as pollen donor. This will generate 50% F1-progeny heterozygous for *luteostrians* (panel F1).
693 Progenies of selfed F1 heterozygotes will exhibit Mendelian segregation in F2; the variegated
694 phenotype, however, will appear only in 50% of the heterozygous plants, carrying the mutant
695 allele inherited from F1 female gamete. Zygotes homozygous for the *luteostrians* allele will be
696 aborted as homozygosity of *luteostrians* early zygotic lethal. Lower panel: Green phenotype of
697 homozygous wild type plants in F2 will be stably transmitted in F3; progenies of heterozygous F2
698 plants follow a Mendelian inheritance pattern in F3.

699 **Figure 2. rRNA analysis of wild type and *HvIst* mutant.**

700 (A) Separation of cytosolic and plastid rRNAs using the Agilent high sensitivity RNA ScreenTape
701 assay.

702 (B) Analysis of rRNA from wild type and *HvIst* mutant using Agilent Tapestation 4200. The
703 respective cytosolic and plastid rRNA species are indicated by arrows.

704 **Figure 3. Ultrastructural analysis of chloroplasts of the wild type and *HvIst* mutant.**

705 (A-C) Ultrastructural analysis of wild type (A), and green (B) and yellow (C) sectors of the *HvIst*
706 mutant, respectively. Wild type and green leaves of the *HvIst* mutant contain chloroplasts with
707 fully differentiated grana and stroma thylakoids. By contrast, in plastids of yellow leaves of the
708 *HvIst* mutant only some pieces of undeveloped membranes were observed.

709 (D-F) Larger magnification of square areas of the corresponding plastid in the top panels A to C.
710 GA, grana; ST, stroma thylakoid; PL, plastoglobuli.

711 **Figure 4. Saturation mapping of the delineated *HvLST* genetic interval.**

712 (A) Marker saturation around the *HvLST* locus in BL population.

713 (B) Marker saturation around the *HvLST* locus in ML population. Recombination events and
714 genetic distance (recombinants/cM) between the neighboring markers are shown on the left of
715 each genetic map. Markers co-segregating with the gene *HvLST* are indicated by a vertical green
716 line. Red font is highlighting the closest flanking markers.

717 **Figure 5. Workflow of candidate gene identification in barley photosynthetic mutants**
718 **exemplified for *HvAST* and *HvLST*.**

719 (A) The initial step of the strategy built on low-resolution genetic mapping. Whole genome re-
720 sequencing data for the mutant and its corresponding wild type genotype was then generated for
721 mapping and variation calling against the Morex v2 reference sequence (Monat et al., 2019).

722 (B) Candidate gene identification was exemplified on the basis of the previously cloned gene
723 *albostrians* (*HvAST*). 323 genes were annotated for the physical interval of ~18 Mbp initially
724 delimited by low-resolution genetic mapping. SNP variation was found in 9 genes, while only 4
725 genes carried non-synonymous or other deleterious mutations. A single candidate gene (the
726 confirmed gene *HvAST*) could be delimited based on available functional annotation information
727 indicating a role in plastid biology / photosynthesis.

728 (C) A similar strategy was applied to the cloning of *luteostrians*. 284 genes were annotated for
729 the initial genetic interval. Eventually, a single candidate gene with predicted functional annotation
730 for a role in plastid biology / photosynthesis and non-synonymous / deleterious mutation could be
731 spotted. HC, high-confidence gene; LC, low-confidence genes. Criteria for classification of HC
732 and LC refers to Mascher et al. (2017).

733 **Figure 6. Validation of the heterozygous SNP of *HvClpC1* by Sanger sequencing and**
734 **expression profiles of *HvClpC1* and homologs.**

735 (A) Gene structure of *HvLST* candidate (*HvClpC1*) and its two closest homologs. Black boxes
736 indicate exons and horizontal lines indicate introns. Green areas indicate chloroplast transit
737 peptides as predicted by ChloroP (Emanuelsson et al., 1999). The first and second introns of
738 homolog 1 are not drawn at scale as indicated by the interrupted lines; the actual length is shown
739 above gaps, respectively. Red filled triangle indicates SNP position of *HvClpC1*.

740 (B) Chromatogram of Sanger sequencing. Red arrow indicates position of the heterozygous SNP
741 of *HvClpC1* in the original mutant line *luteostrians-1_1*. Details of the SNP are illustrated in the
742 table below.

743 (C) Expression profiles of *HvClpC1* and its two closest homologs. The expression levels are given
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747 shoot stage); INF1, young developing inflorescences (5 mm); INF2, developing inflorescences (1-
748 1.5 cm); NOD, developing tillers, 3rd internode (42 DAP); CAR5, developing grain (5 DAP);
749 CAR15, developing grain (15 DAP); ET1, etiolated seedling, dark condition (10 DAP); LEM,
750 inflorescences, lemma (42 DAP); LOD, inflorescences, lodicule (42 DAP); PAL, dissected
751 inflorescences, palea (42 DAP); EPI, epidermal strips (28 DAP); RAC, inflorescences, rachis (35
752 DAP); ROO2, roots (28 DAP); SEN, senescing leaves (56 DAP).

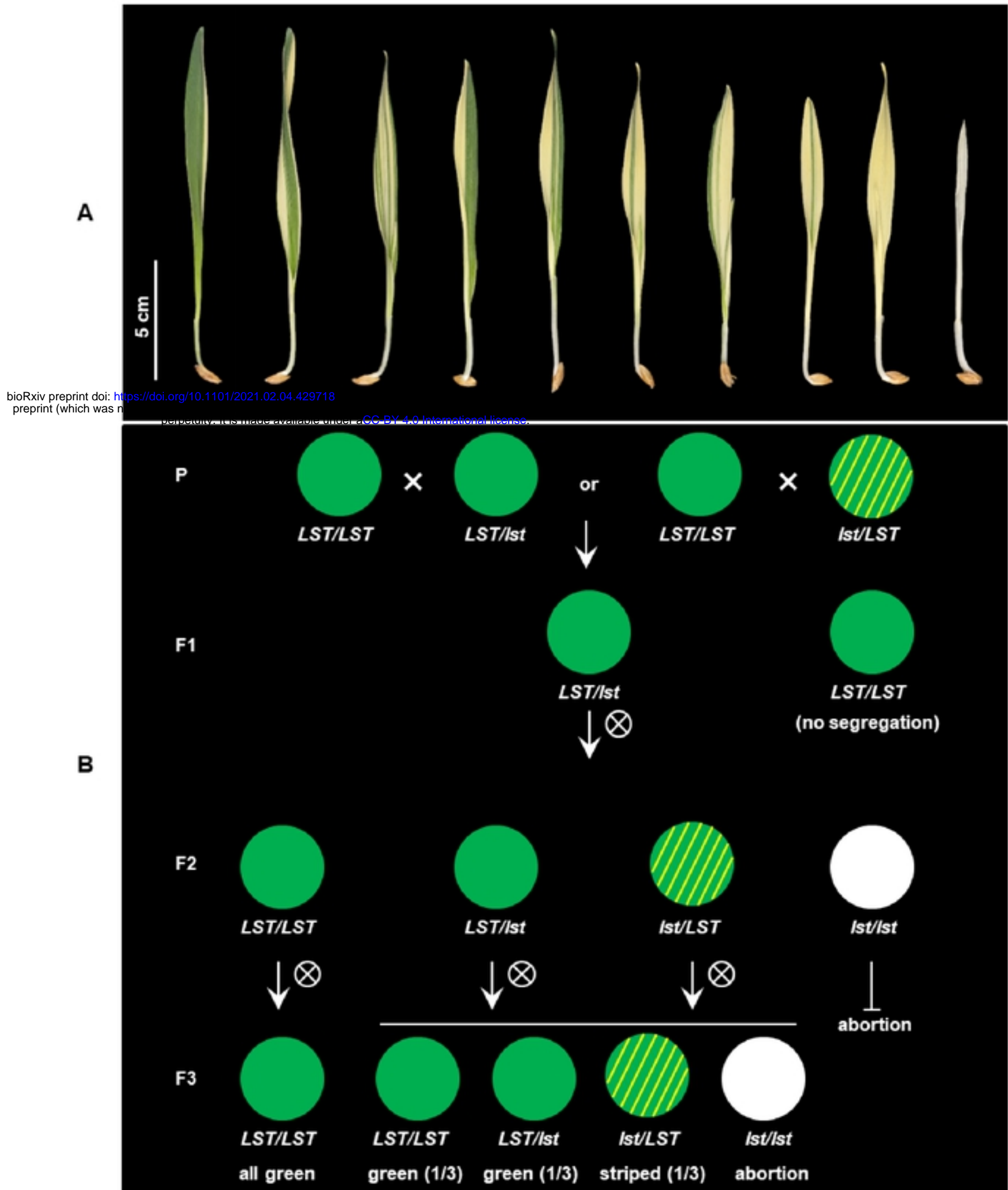


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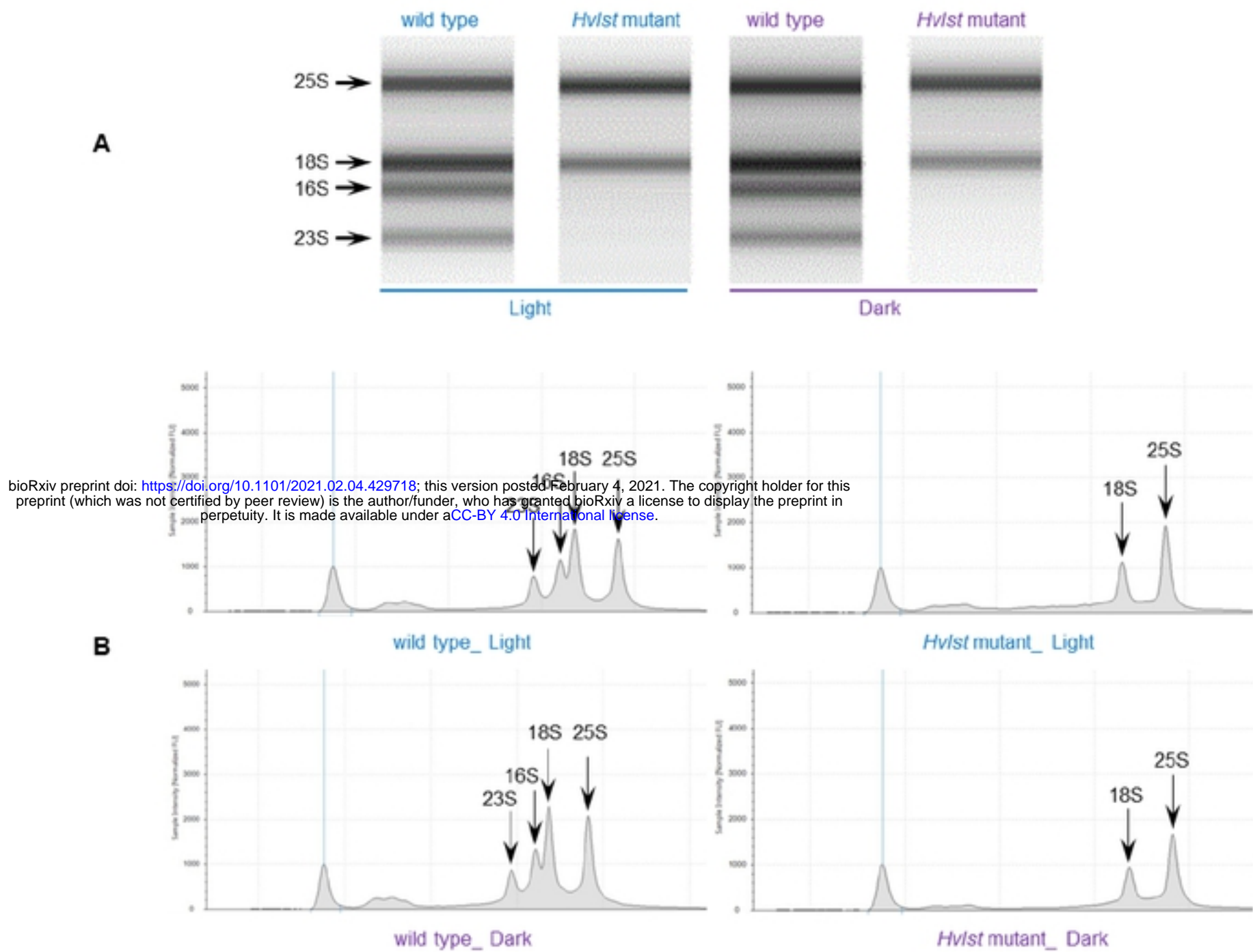


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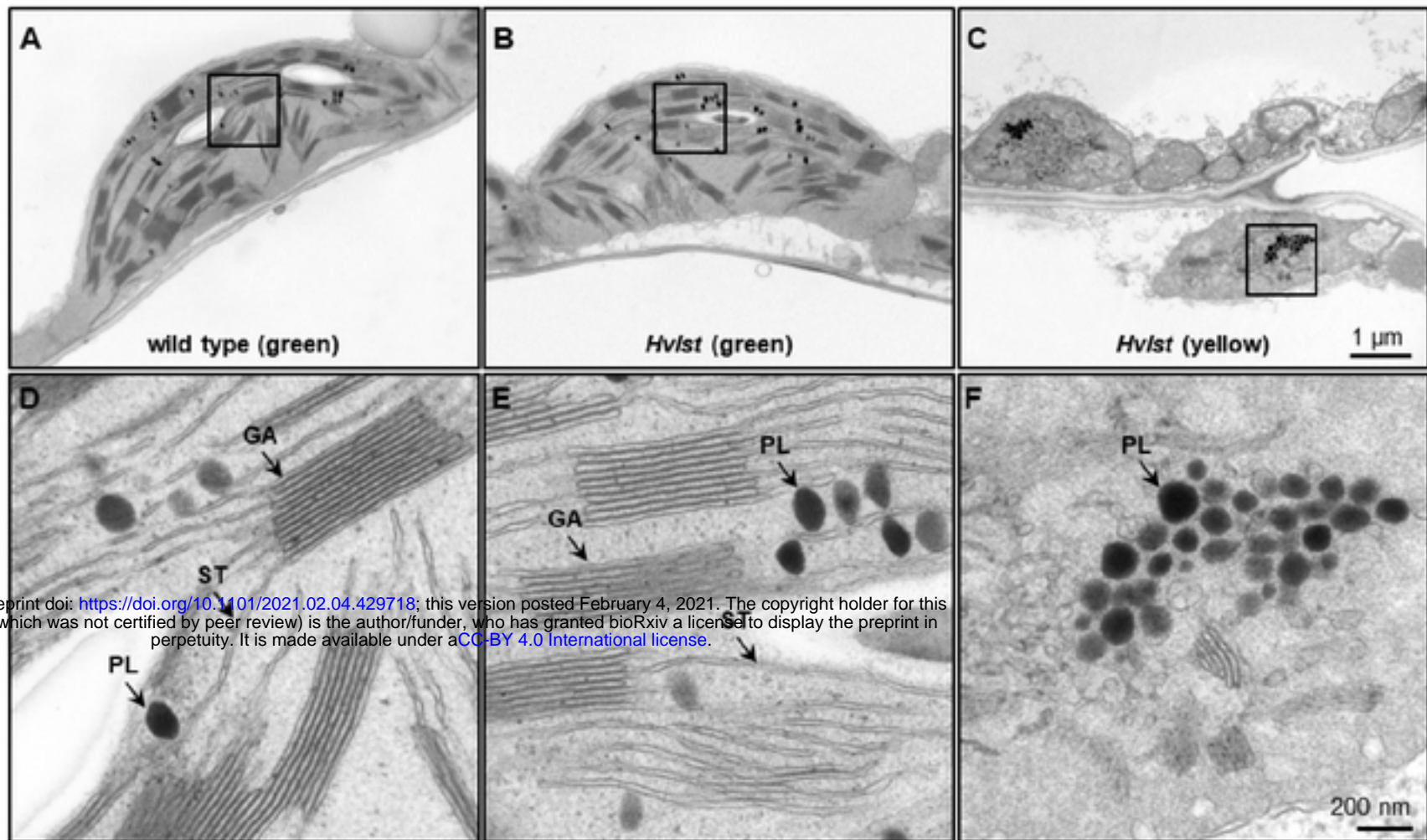


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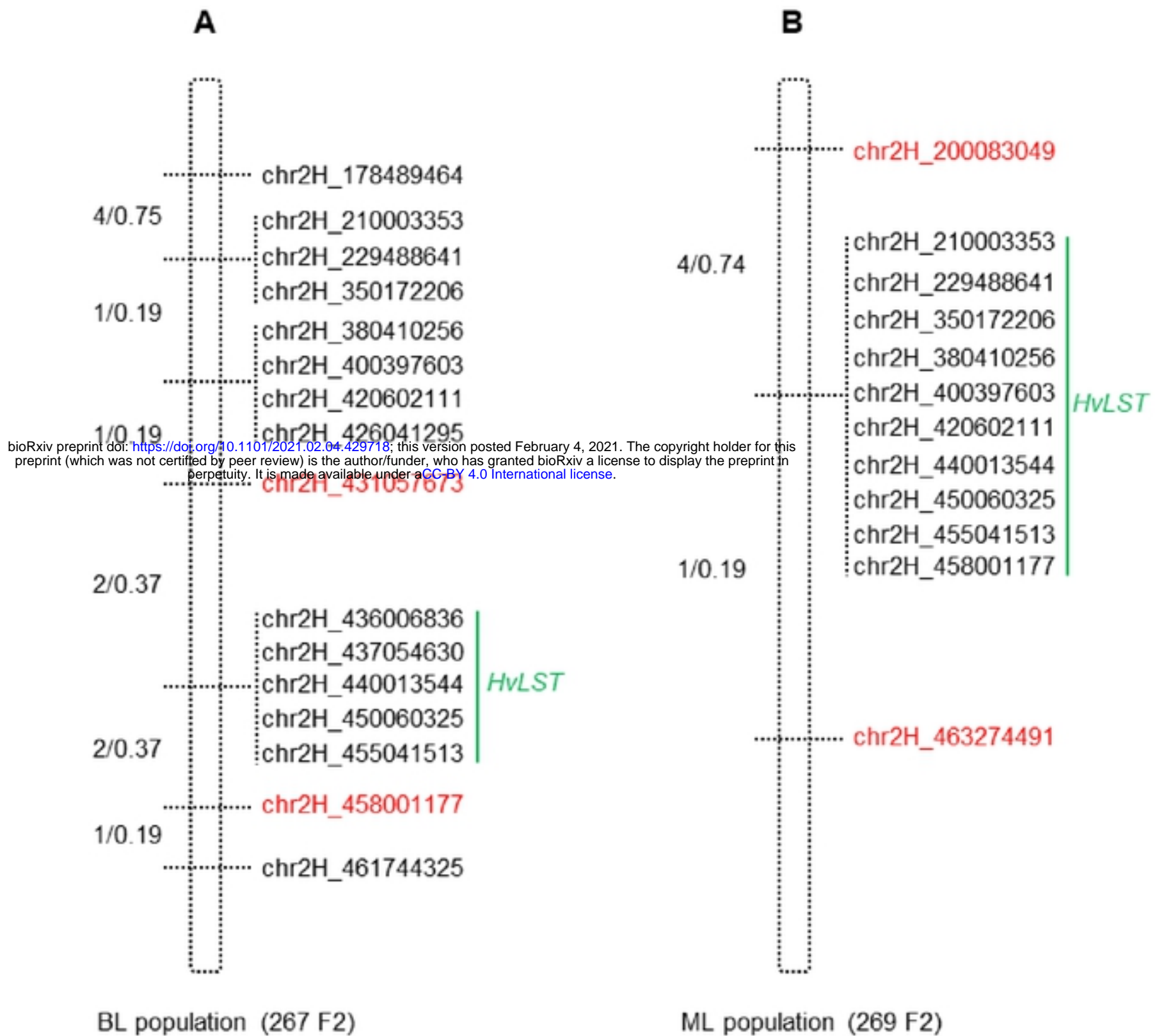
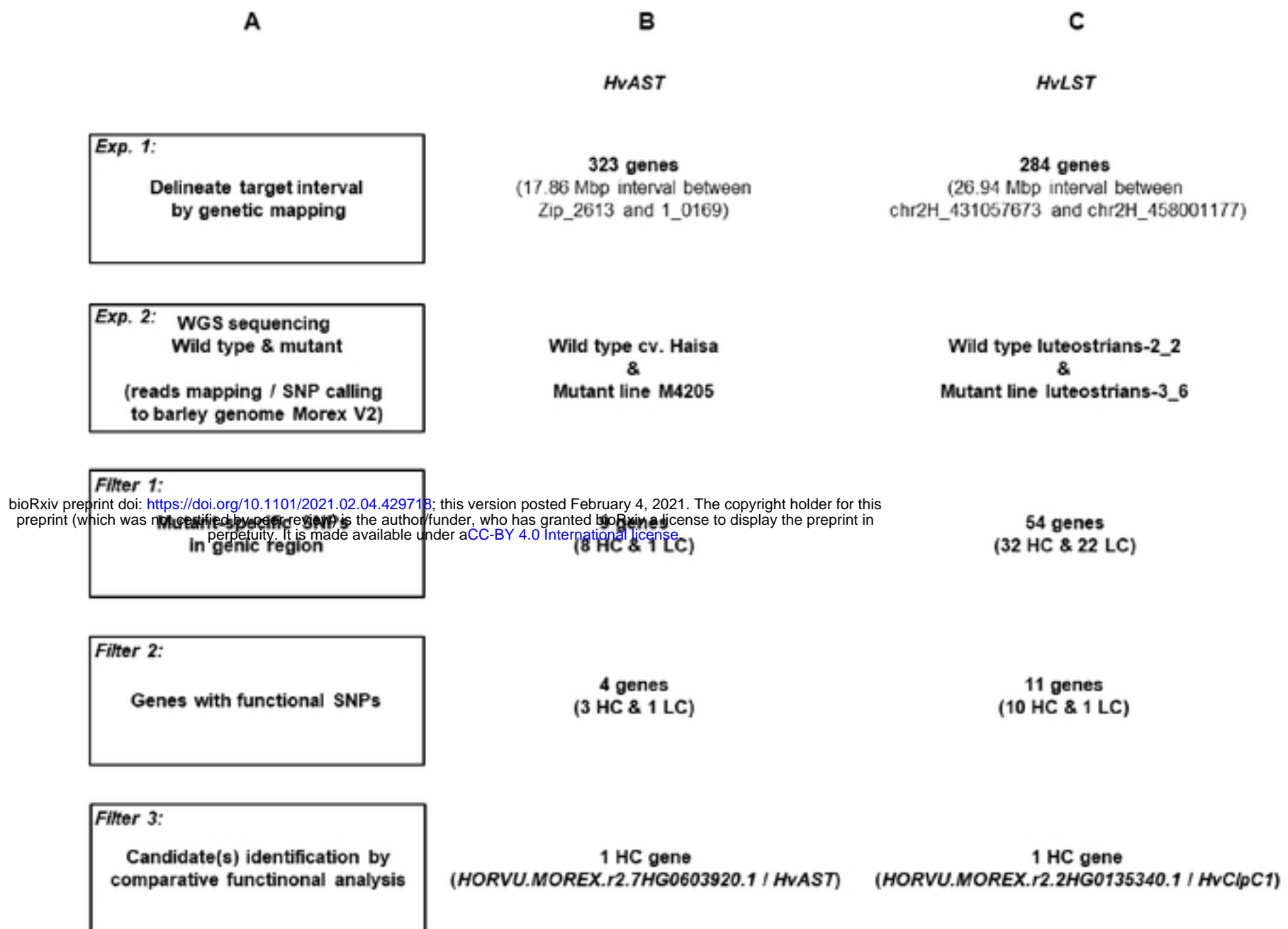


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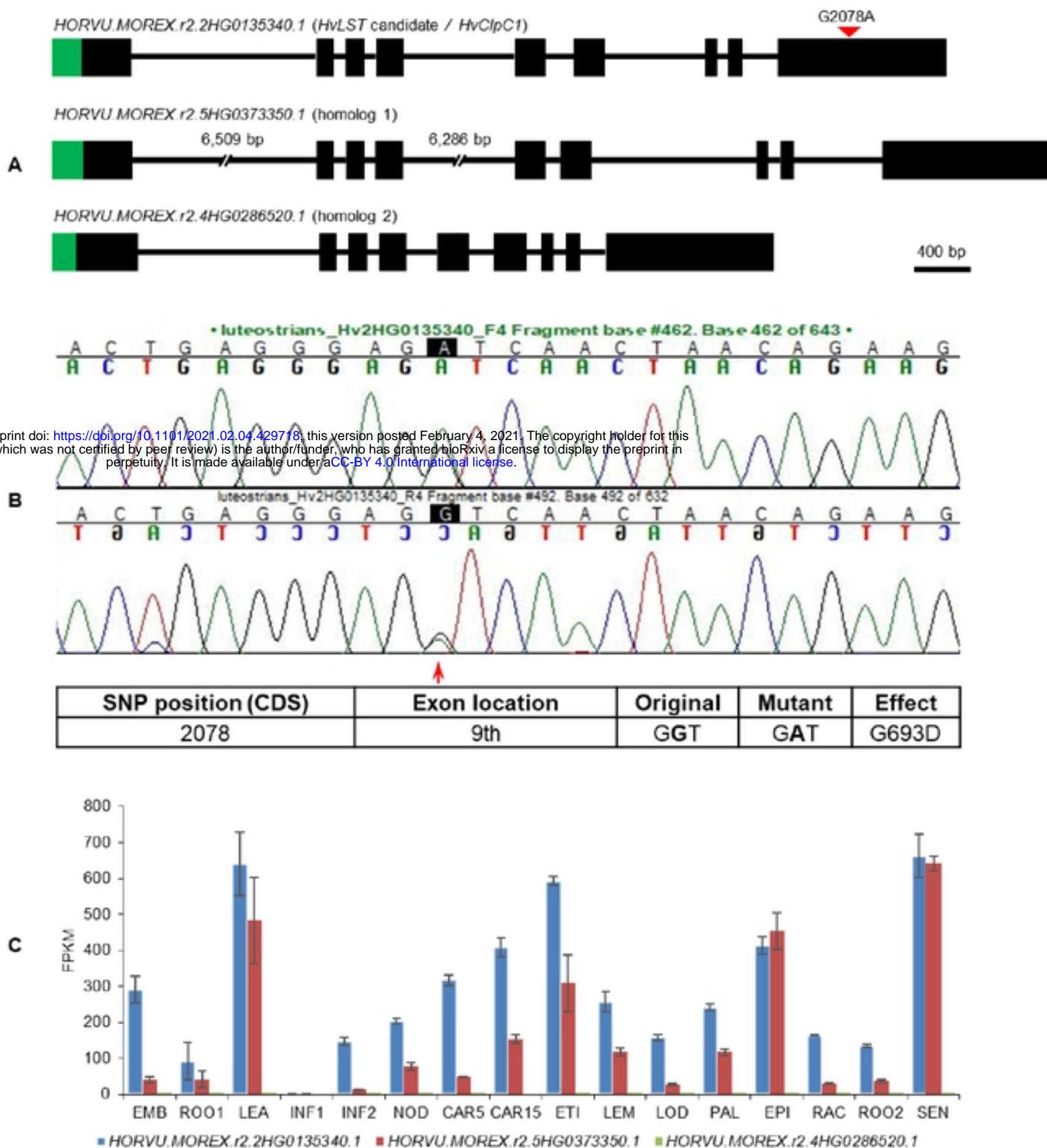
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