### 1 A highly thermotolerant, trimeric SARS-CoV-2 receptor binding domain derivative

### 2 elicits high titers of neutralizing antibodies

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### 28 Abstract

The Receptor Binding Domain of SARS-CoV-2 is the primary target of neutralizing antibodies. 29 30 We fused our previously described, highly thermotolerant glycan engineered monomeric RBD to a heterologous non-immunogenic trimerization domain derived from cartilage matrix 31 protein. The protein was expressed at a good yield of ~80-100 mg/liter in Expi293 cells, as 32 33 well as in both CHO and HEK293 stable cell lines. The designed trimeric RBD was observed to form homogeneous disulfide-linked trimers. When lyophilized, the trimer possessed 34 remarkable functional stability to transient thermal stress of up to 100  $^{\circ}$ C and was stable to long 35 term storage of over 4 weeks at 37 °C. Two immunizations with an AddaVax adjuvanted 36 formulation elicited antibodies with high endpoint neutralizing titers against replicative virus 37 with geometric mean titers of ~1114 and 1940 in guinea pigs and mice respectively. In 38 39 pseudoviral assays, corresponding titers were ~3600 and ~16050, while the corresponding value for human convalescent sera was 137. Similar results were obtained with an Alhydrogel, 40 41 CpG combination adjuvant. The same immunogen was expressed in *Pichia pastoris*, but this formed high molecular weight aggregates and elicited much lower ACE2 competing antibodies 42 than mammalian cell expressed protein. The excellent thermotolerance, high yield, and robust 43 44 immunogenicity of such trimeric RBD immunogens suggest that they are a promising modality to combat COVID-19. 45

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### **Importance**

SARS-CoV-2 is the causative agent of the ongoing COVID-19 pandemic. The viral surface exposed Spike glycoprotein is the target of neutralizing antibodies of which a major fraction targets the receptor binding domain (RBD). Thus RBD derived immunogens are attractive vaccine candidates. Monomeric, mammalian cell expressed RBD protein elicits low to moderate titers of neutralizing antibodies. We designed a highly expressed, trimeric RBD derivative with a non-immunogenic trimerization domain. In guinea pigs and mice respectively, this derivative induces 20-300 fold higher neutralizing antibody titers relative to convalescent human sera, while remaining conformationally intact after incubation for over four weeks at 37 °C and for ninety minutes at 100 °C when lyophilized. Such trimeric RBD formulations should not require a cold chain. Additionally, the high titers of neutralizing antibodies should buffer against viral sequence variation. These are both highly desirable attributes for a COVID-19 vaccine, especially in resource limited settings. 

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### 73 Introduction

The Coronavirus infectious disease 2019 (COVID-19) pandemic is caused by SARS-CoV-2 74 75 (1, 2). SARS-CoV-2 has led to ~83.3 million infections and ~1.8 million deaths worldwide as on 4<sup>th</sup> January, 2020 (3). The viral spike glycoprotein is the most abundant protein exposed on 76 77 the viral surface and the primary target of host elicited humoral immune responses (4, 5, 14, 78 15, 6–13). Thus, there are a large number of COVID-19 vaccine candidates in various stages of development, with three candidates already granted emergency use authorisation. However, 79 all of these are required to be stored either refrigerated or frozen. There is thus an unmet need 80 for efficacious vaccines that can be stored for extended periods at room temperature. In 81 addition, there are recent reports of new strains of the virus with enhanced transmissibility (16, 82 17). This emphasizes the urgent need to develop vaccine formulations that elicit high titers of 83 neutralizing antibodies to buffer against viral sequence variation (18-20). Spike glycoprotein, 84 85 like various Class I viral surface glycoproteins assembles as a trimer with each protomer 86 composed of the surface exposed S1 subunit and membrane anchored S2 subunit (21). The S1 subunit consists of four independently folding domains: N-terminal domain (NTD), Receptor 87 binding domain (RBD) and two short domains (SD1 and SD2) connected by linker regions (4, 88 89 5, 22). The receptor binding domain (RBD) contains the receptor binding motif (residues 438-505) that facilitates interaction with the ACE2 receptor. Subsequent fusion or endocytosis is 90 91 mediated by the fusion peptide that constitutes the N-terminal stretch of the S2 subunit (21). It 92 is now well understood that the majority of neutralizing antibodies target the RBD (6, 7, 9, 10, 23–28). Thus, various groups are involved in designing RBD-based immunogens (29, 30, 39, 93 40, 31–38). We have previously designed a glycan engineered RBD derivative that was highly 94 95 thermotolerant and induced moderate titers of neutralizing antibodies (35). Monomeric versions of immunogens elicit lower binding and neutralizing antibodies than multimeric 96 version (29, 35, 38, 41). Potential strategies to improve neutralizing antibody titers include 97

fusion protein containing repetitive antigenic proteins, Fc fusion based dimerization, 98 nanoparticle design and display strategies, and VLP based display platforms (36–43). While 99 effective, several display strategies lead to significant antibody titers against the display 100 101 scaffold or oligomerization motif, such antibodies might either show undesirable side effects in a small fraction of individuals or direct the response away from the intended target after 102 repeated immunizations. In an alternative strategy, we fused our previously described 103 104 thermotolerant RBD (35) to a trimerization motif, namely a disulphide linked coiled-coil trimerization domain derived from human cartilage matrix protein (hCMP) to the N-terminus 105 106 of mRBD. This trimerization domain is expected to be much less immunogenic in small animals due to its homology with the corresponding ortholog, than other widely used 107 trimerization domains of bacterial or synthetic origin such as foldon or IZ (44). hCMP-mRBD 108 109 expressed as homogenous trimers, possessed comparable thermal stability profiles to the corresponding monomer (35) and remain functional after over 4 weeks upon lyophilization and 110 storage at 37 °C. The RBD presented on the scaffold protein was highly immunogenic in mice 111 and guinea pigs when formulated with AddaVax or alum derived adjuvants. Oligomerization 112 increased neutralizing antibody titers by ~25-120 fold when compared with the titers in human 113 convalescent sera, providing a proof of principle for the design strategy. Stable CHO and 114 HEK293 cell lines expressing hCMP-mRBD were constructed and the corresponding protein 115 was as immunogenic as the protein expressed from transfection. The very high 116 117 thermotolerance, enhanced immunogenicity and non-immunogenic trimerization domain suggest that this trimeric mRBD is an attractive vaccine candidate without requirement of a 118 cold-chain to combat COVID-19, especially in resource limited settings. 119

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### 122 **Results**

### 123 Design of trimeric RBDs of SARS-CoV-2

124 We previously designed a monomeric glycan engineered derivative of the receptor binding domain termed mRBD (residues 332-532 possessing an additional glycosylation site at N532) 125 that induced neutralizing antibodies in guinea pig immunizations (35). It is known that 126 oligomerization of the native antigens can induce higher titers of binding and neutralizing 127 antibodies (38, 39, 42, 45–49). We therefore fused mRBD to the disulfide linked trimerization 128 129 domain derived from human cartilage matrix protein (hCMP) (residues 298-340). We have previously used this domain to successfully trimerize derivatives of HIV-1 gp120. These earlier 130 derivatives were used to successfully elicit high titers of broadly reactive antibodies in guinea 131 132 pigs, and rabbits. In rhesus macaques when combined with an MVA prime, the formulation 133 conferred protection against heterologous challenge, without apparent adverse effects (50–52). In the closed state structure model of Spike-2P protein (PDB 6VXX, residues 332-532), the 134 three RBDs are in the down conformation. We therefore wished to explore if a trimeric RBD 135 display fusion to the hCMP trimerization domain (residues 298-340), would improve 136 neutralizing antibody titers relative to the corresponding monomer. We separated the coaxially 137 aligned hCMP trimerization domain C-terminal residue 340. Ca plane from the RBD N-138 terminal Cα plane by ~22 Å to eliminate any steric clashes (Figure 1A). The linker length was 139 140 determined between the hCMP C-terminus residue 340 and RBD N-terminus residue 332 to be 38.6 Å in the modeled structure (Figure 1A). An eleven amino acid linker derived from HIV-141 1 gp120 V1 loop (EGTMMRGELKN) with three additional residues (ASS) resulting in a 142 143 fourteen amino acid linker L14 will comfortably span this distance. We have used this trimerization domain-linker combination in our previously described HIV-1 gp120 trimer 144 design (53). Finally, the trimeric hCMP-mRBD design consisted of the N-terminal hCMP 145 trimeric coiled coil domain (residues 298-340) fused to the I332 residue of mRBD by the above 146

linker, followed by the cleavable His tag sequence described previously(35). (Figure 1B). The
hCMP trimerization domain leads to formation of covalently stabilized trimers crosslinked by
interchain disulfides in the hCMP domain. This design is termed hCMP-mRBD and hCMP
pRBD where the "m" and "p"signifies expression in mammalian or *Pichia pastoris* cells,
respectively.

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# Homogeneous trimers of hCMP-mRBD, possess comparable thermal stability to mRBD and lyophilized protein is stable at 37°C for at least four weeks

The trimeric hCMP-mRBD was first expressed by transient transfection in Expi293F suspension cells, followed by single step metal affinity chromatography (Ni-NTA) and tag cleavage. The purified protein was observed to be pure and trimeric by reducing and nonreducing SDS-PAGE (Figure 2A, 2B). The protein exists as a homogenous trimer in solution and molar mass was determined by SEC-MALS as  $110 \pm 10$  kDa, consistent with the presence of nine glycosylation sites in the trimer (Figure 2A, 2C). Negative stain EM analysis confirmed the trilobed arrangement of RBD structure (Figure 3, Supplementary Figure 1).

162 Trimeric hCMP-mRBD was observed to have comparable thermal stability (T<sub>m</sub>: 47.6 °C) as monomeric mRBD (T<sub>m</sub>: 50.3 °C) (Figure 2D) and bound both its cognate receptor ACE2 and 163 a SARS-CoV-1 neutralizing antibody CR3022 with very high affinity (K<sub>D</sub> <1nM) (Figure 2E) 164 (35). hCMP-mRBD protein in solution was observed to retain functionality after 1hour 165 exposure to temperatures as high as 70 °C (Figure 4A). The lyophilized trimeric mRBD was 166 also observed to retain functionality to transient ninety minute thermal stress upto 99 °C (Figure 167 168 4B). Further, the protein remained natively folded and retained functionality in solution upto three days, and upto four weeks in the lyophilized state upon incubation at 37 °C (Figure 5A, 169 170 5B, 5C, 5D). Thermal tolerance to transient and extended thermal stress is a desirable

characteristic for deployment of vaccines in low resource settings in the absence of a cold-chain.

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# AddaVax<sup>™</sup> adjuvated trimeric mRBD elicits high titers of binding, receptor competing and neutralizing antibodies

176 We assessed the immunogenicity of the trimeric hCMP-mRBD adjuvanted with both the MF59 like adjuvant AddaVax<sup>™</sup> as well as Alhydrogel in combination with CpG in both guinea pig 177 178 and mice animal models. Animals were immunized intramuscularly at day 0, followed by a boost at day 21 and second boost at day 42 (35). Two weeks post first boost, sera were assayed 179 for binding, ACE2 receptor competing, and neutralizing antibodies. In the AddaVax<sup>TM</sup> 180 181 adjuvanted group, mice were observed to elicit ~10-fold higher binding antibodies to mRBD compared to guinea pigs (GMT titer mice: 235253, guinea pig: 25600, p = 0.0476) and hCMP-182 mRBD (GMT titer mice: 540470, guinea pig: 58813, p = 0.0397) (Figure 6A, 6C). hCMP-183 mRBD immunized guinea pigs elicited 14-fold higher titers of Spike-2P binding antibodies 184 (GMT: 44572) compared to monomeric mRBD immunized guinea pigs (GMT: 3200) from our 185 186 previous study (35). Higher (16-fold) Spike-2P binding antibodies were induced in mice (GMT: 713155) compared to guinea pigs (GMT 44572)(p = 0.0079) with hCMP-mRBD 187 (Figure 6B). Further, ACE2-hFc receptor competing titers (ID50) were assayed and mice sera 188 189 contained ~3.5-fold higher titers compared to guinea pig sera (Mice sera GMT ID<sub>50</sub>: 2016 vs Guinea pig sera GMT ID<sub>50</sub>: 590, p = not significant (ns) (Figure 6F). Overall, after two 190 immunizations the formulation was less immunogenic in guinea pigs than mice and one of the 191 192 guinea pigs showed a poor response, probably because one of the doses was improperly administered, since after a third immunization, all animals had increased and similar titers. We 193 also assayed the binding titers directed towards the hCMP trimerization domain and gp120 194

derived linker by utilizing the previously described hCMP V1cyc JRFL gp120 containing the 195 same trimerization domain (45) and JRFL gp120 as antigens respectively. Mice sera produced 196 33-fold higher hCMP domain binding titers (GMT: 33779) compared to guinea pig sera (GMT: 197 1009) (p = 0.0397) (Figure 6D). Importantly, both mice and guinea pigs did not elicit any 198 binding antibodies to the L14 linker derived from gp120 (Figure 6E). The total binding titer in 199 ELISA measured against whole protein (ie; hCMP-mRBD) elicited in mice and guinea pigs 200 201 are 16-fold and 58-fold higher (p = 0.0159) respectively compared to the scaffold hCMP directed titers even after two immunizations (Figure 6D). 202

Trimeric mRBD adjuvanted with Alhydrogel in combination with CpG (TLR9 agonist) 203 induced similar mRBD binding antibody titers in both mice and guinea pigs (GMT titer: 204 102400) and 28-fold higher Spike-2P binding titers in mice (mice GMT titer: 409600 vs guinea 205 pig: 58813, p = 0.0079). hCMP-mRBD titers were 7-fold higher in mice (GMT titer in mice : 206 409600 vs guinea pig : 58813, p = 0.0079) with higher hCMP specific titers also being induced 207 in mice (GMT titer in mice: 33779 vs guinea pig: 2111, p = 0.0079). ACE2 receptor 208 competition was assayed and mice sera competed 11-fold higher compared to guinea pig sera 209 (GMT titer in mice: 7914 vs guinea pig: 712, p = 0.0079) (Figure 6F). Pseudovirus 210 211 neutralization titers in mice sera (GMT ID<sub>50</sub>: 42149 (Alhydrogel+CpG), 16048 (AddaVax<sup>TM</sup>)) were 2.4 - 4.5 fold higher compared to guinea pig sera (GMT ID<sub>50</sub>: 17930 (Alhydrogel+CpG), 212 213 3582 (AddaVax<sup>TM</sup>), p = ns) (Figure 6G). These titers were considerably in excess of titers observed in convalescent patient plasma (p < 0.0001) with the same pseudovirus neutralization 214 assay (Figure 6G, Supplementary Figure 2C) which had a GMT of 137. Finally, replicative 215 SARS-CoV-2 virus neutralization by CPE was assessed as described previously (35) and mice 216 217 sera were observed to elicit 2-fold higher titers (NT<sub>100</sub> GMT: 761 (Alhydrogel +CpG), 1940 (AddaVax<sup>TM</sup>), p = ns) compared to guinea pig (NT<sub>100</sub> GMT: 364 (Alhydrogel +CpG), 218 1114(AddaVax<sup>TM</sup>), p = ns) (Figure 6H). Both pseudoviral and ACE2 competition titers 219

220 correlated well with each other and with ACE2 competition titers (Supplementary Figure 2A, 2B, 2C). We conclude that hCMP mediated trimerization of mRBD led to elicitation of robust 222 binding and neutralizing antibodies considerably in excess of those seen in convalescent sera. 223 Additionally, we performed a dose sparing study involving 5 µg hCMP-mRBD adjuvanted 224 with AddaVax<sup>TM</sup>. The binding titers were observed to be similar to the 20 µg dose (GMT 225 hCMP-mRBD:  $2.3 \times 10^5$ , GMT of Spike-2P:  $9.4 \times 10^5$ , GMT of hCMP-mRBD:  $7.1 \times 10^5$ , p =226 ns) (Supplementary Figure 3).

227 All four groups of mice and guinea pigs adjuvanted with either AddaVax or Alhydrogel in combination with CpG were boosted again at week 6 and two weeks after the boost sera was 228 assayed. The AddaVax adjuvanted hCMP-mRBD elicited similar mRBD and Spike-2P binding 229 titers (GMT: 1241675) and high ACE2 competing titers (mice GMT: 13683, guinea pig GMT: 230 9977, p = ns) (Supplementary Figure 4A, 4F). The mice and guinea pigs elicited 21 and 111 231 fold higher whole protein titers (mice GMT: 1638400, guinea pig GMT: 409600) relative to 232 titers directed against the hCMP trimerization domain (mice GMT:77604, guinea pig 233 GMT:3675, p = 0.0079) (Supplementary Figure 4C, 4D). The Alhydrogel combined with CpG 234 adjuvant groups continued to show markedly higher binding and competition titers in mice 235 236 compared to guinea pigs (p = 0.0079). The mRBD (mice GMT:1241675, guinea pig GMT: 77604, p = 0.0079) and Spike-2P (mice GMT:540470, guinea pig GMT: 19401, p = 0.0079) 237 238 titers were 16 and 28-fold higher respectively in mice (Supplementary Figure 4A, 4B). In mice, the ACE2 competing titers exceeded guinea pig titers by ~4 folds (mice GMT: 7577, guinea 239 pig GMT: 1975, p = 0.0079) in the Alhydrogel +CpG formulation guinea pigs and mice elicited 240 84 and 37 fold higher whole protein titers respectively (guinea pig GMT: 713155, mice GMT: 241 1638400) relative to those elicited against the hCMP trimerization domain (guinea pig GMT: 242 8445, mice GMT: 44572, p = 0.0079) (Supplementary Figure 4C, 4D). None of the animals 243 showed measurable gp120 binding titers. Animals remained healthy after the immunizations. 244

# Properties of hCMP-mRBD expressed from permanent cell lines to further clinical development of hMCP-mRBD

Stable Chinese hamster ovary (CHO) and HEK293 suspension cell lines expressing the protein 247 were constructed. Purified protein yields were 80-100 mg/liter, similar to those expressed in 248 Expi293 cells, and SDS-PAGE revealed the presence of disulfide linked trimers 249 250 (Supplementary Figure 5). CHO expressed protein adjuvanted with SWE adjuvant (identical AddaVax, but from a different manufacturer) was immunized in mice following a 251 to prime:boost:boost regime. The sera post first and second boost were assayed. The mRBD 252 binding titers were similar (Week 5 GMT: 235253, Week 8 GMT: 409600, p = ns) and Spike-253 2P binding titers were 12-fold higher at week 8 (GMT: 409600) compared to week 5 (GMT: 254 33779) (p = 0.0079) (Supplementary Figure 6A, 6B). The hCMP binding titers increased from 255 256 2111 to 19400 at week 5 to 8 respectively (p = 0.0159) (Supplementary Figure 6C). ACE2 competing titers also increased from 982 to 4658 from week 5 to 8 respectively (p = 0.0079) 257 (Supplementary Figure 6E). CHO expressed protein also did not induce any detectable gp120 258 binding titers (Supplementary Figure 6D). 259

hCMP-pRBD protein was also expressed in the methylotrophic yeast *P. pastoris* at a purified
yield of ~7mg/liter. As observed previously with monomeric RBD (35), the protein was more
heterogeneous and formed high molecular weight aggregates than mammalian cell expressed
proteins (Supplementary Figure 7A). In mice, formulations with the AddaVax equivalent
adjuvant, SWE (54), elicited low mRBD, Spike reactive titers (Supplementary Figure 7B, 7C,
7D) and hence this formulation was not pursued further.

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### 269 Discussion

There are currently multiple COVID-19 vaccines that have been given emergency use approval 270 271 and others with encouraging Phase I data (55) are in advanced clinical trials. However there remains a need for cheap, efficacious, COVID-19 vaccines that do not require a cold chain. We 272 previously designed a thermotolerant, monomeric, glycan engineered RBD (residues 332-532) 273 274 that elicited neutralizing antibodies. In the present study we sought to improve the immunogenicity without negatively altering biophysical and antigenic characteristics of the 275 designed immunogen. We therefore designed a trimeric mRBD by fusion with the hCMP 276 trimerization domain at the N-terminus of mRBD. Relative to other trimerization domains 277 such as foldon and GCN4 derivatives (40, 56), this forms a trimer that is stabilized by 278 intermolecular disulfides and hence will not dissociate, even at high dilutions. A fusion of 279 hCMP with HIV-1 gp120 has been extensively tested in guinea pigs, rabbits and non-human 280 primates as an HIV-1 vaccine candidate and showed promising immunogenicity without any 281 282 apparent adverse effects (45, 51, 52). This trimerization sequence has sequence identities with the corresponding ortholog of 81 and 91% in mice and guinea pigs, consistent with the much 283 lower hCMP titers in guinea pigs. Thus, the hCMP titers in humans are expected to be 284 negligible, given 100% sequence identity with the host protein. Like our previously described 285 monomeric mRBD, hCMP-mRBD shows remarkable thermotolerance. Lyophilized hCMP-286 287 mRBD was stable to extended storage at 37 °C for over four weeks and to transient 90 minute thermal stress of upto 100 °C. Trimerization of mRBD led to elicitation of 14 fold higher Spike-288 2P binding antibodies (hCMP-mRBD GMT: 44572.2 vs mRBD GMT: 3200) and 2.6 fold 289 higher neutralizing antibodies (hCMP-mRBD GMT: 1114 vs mRBD GMT: 415) compared to 290 291 those elicited by mRBD immunizations in guinea pigs from our previous study (35). No detectable antibodies were elicited against the short gp120 derived stretch present in the linker 292 of hCMP-mRBD in either mice or guinea pigs. Neutralization titers produced are considerably 293

in excess of those seen in patient derived convalescent sera by factors of ~110 and ~306 folds 294 in mice adjuvanted with AddaVax and Alhydrogel+CpG respectively (Figure 6). In mice, the 295 formulation induced higher binding and neutralizing antibodies compared to guinea pigs, 296 presumably owing to various differences in the two host immune systems. Trimeric RBD 297 bound ACE2-hFc receptor and the conformation specific antibody CR3022 (57) tightly, with 298 undetectable dissociation (Figure 2E). RBD-GCN4 trimers were recently used in receptor 299 300 binding studies (40). The neutralization titers elicited by the present trimeric RBD compare well with pseudoviral neutralization titers produced by a two dose immunization schedule of 301 302 nanoparticle displayed RBD adjuvanted with AddaVax (IC<sub>50</sub> GMT of 5 µg RBD-12GS-I53-50: 20000, corresponding IC<sub>50</sub> GMT of human convalescent sera: 60, ~330 fold higher 303 compared to HCS) and the Novavax Spike-2P adjuvanted with Matrix-M1 in mice (CPE<sub>100</sub> 304 305 GMT: 20000, CPE<sub>100</sub> HCS GMT: 983, ~20 fold higher compared to HCS) (38, 55). Additionally, hCMP-mRBD elicited higher neutralizing titers than a 5 µg mRNA based RBD-306 foldon trimer vaccine construct BNT162b1 tested in mice (IC<sub>50</sub> GMT: 753, HCS IC<sub>50</sub> GMT: 307 94, ~8 fold higher compared to HCS) (56). The already high Ace2 competition titers elicited 308 after two immunizations were significantly boosted after a third immunization (Figure 6, 309 Supplementary Figure 4F, 6E, 7D). In contrast to recently described, highly immunogenic 310 multi-component nanoparticle systems (37, 38), the present single component, trimeric RBD 311 might be easier to purify and manufacture and in humans should elicit a higher proportion of 312 313 RBD directed antibodies because of its host derived trimerization sequence. In summary, the present study describes the design of a disulfide linked trimeric immunogen that is stable to 314 long term thermal stress and induces robust neutralizing antibodies against SARS-CoV-2. The 315 availability of permanent cell lines for the immunogen, now make it possible to proceed with 316 further clinical development of this thermotolerant and highly immunogenic COVID-19 317 vaccine candidate. 318

### 319 Materials and Methods

### 320 Trimeric RBD, ACE2-hFc and antibody expression constructs

321 The present trimeric mRBD construct consists of an N-terminal trimerization domain of human cartilage matrix protein (hCMP) (hCMP residues 298-340) (accession number AAA63904) 322 linked by a 14-residue flexible linker (ASSEGTMMRGELKN) derived from the V1 loop of 323 HIV-1 JR-FL gp120 linked to RBD residues 332-532 (accession number YP\_009724390.1) 324 with an engineered glycosylation site (NGS) at N532 fused to an HRV-3C precision protease 325 326 cleavage site linked to a 10x Histidine tag by a GS linker. This construct is named hCMPmRBD and was cloned into the mammalian expression vector pCMV1 under control of a CMV 327 promoter and efficient protein secretion was enabled by the tPA secretion signal peptide 328 sequence. The hCMP-mRBD construct reincorporated a glycosylation motif "NIT" at the N-329 330 terminal of the mRBD recapitulating the native glycosylation site at N331 in SARS-CoV-2 RBD. CR3022 antibody heavy and light chain genes were synthesised and subcloned into 331 pcDNA3.4 vector by Genscript (USA). 332

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### 334 Purification of recombinant proteins expressed in *Expi293F* cells

hCMP-mRBD protein was purified from transiently transfected Expi293F cells following 335 manufacturer's guidelines (Gibco, Thermofisher) as described previously (35). Briefly, 24 336 hours prior to transfection, cells were passaged at a density of  $2x10^6$  cells/mL into prewarmed 337 Expi293F expression media. On the day of transfection, cells were freshly diluted at a density 338 339 of  $4x10^6$  cells/mL and transiently transfected with the desired plasmids. Plasmid DNA (1µg per 1mL of Expi293F cells) was complexed with ExpiFectamine293 and transiently transfected 340 into Expi293F cells. Post 18-20 hr, Enhancer 1 and 2 addition was performed following the 341 manufacturer's protocol. At three days following transfection, spent media was utilized for 342

purification of secreted protein by Ni Sepharose 6 Fast flow affinity chromatography resin (GE 343 Healthcare). PBS (pH 7.4) equilibrated column was bound with two-fold diluted supernatant. 344 Protein bound resin was washed with ten-column volumes of 1xPBS (pH7.4) supplemented 345 with 25mM imidazole. Bound protein was eluted in a gradient of 200-500 mM imidazole 346 supplemented PBS (pH 7.4). Eluted proteins were dialysed against PBS (pH 7.4) using a 347 dialysis membrane of 3-5kDa (MWCO) (40mm flat width) (Spectrum Labs). Protein 348 349 concentration was determined by absorbance (A<sub>280</sub>) using NanoDrop<sup>TM</sup>2000c with the theoretical molar extinction coefficient calculated using the ProtParam tool (ExPASy). 350

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### 352 Expression and Purification of hCMP-pRBD

353 The construct sequence was codon-optimized for expression in *Pichia Pastoris* and cloned

into the vector pPICZαA containing a MATalpha signal sequence for efficient secretion. The

resulting clone was named pInCV21R. The pInCV21R plasmid was linearized with *Pme*I

enzyme (NEB, R0560) prior to transformation.

10  $\mu$ g of linearized plasmid was used for transformation into *Pichia pastoris* X-33 strain by electroporation as described in the user manual for Pichia expression by Thermo Fisher Scientific. The transformants were selected by plating on YPDS (YPD Sorbitol) plates with 100  $\mu$ g/ml and 1mg/ml Zeocin (Thermo Fisher Scientific, R25005) and incubating the plates at 30 °C for upto 3 days.

25 colonies from the YPDS plate with 1 mg/ml Zeocin were picked and screened for expression by inducing with 1 % methanol every 24 hrs. Culture tubes (15 ml) with 1ml BMMY media (pH 6.0) each were used for inducing the cultures for upto 120 hrs at 30 °C and 250 rpm. The expression levels were checked using a dot blot analysis with Anti-his tag antibodies conjugated with HRP enzyme. The colony showing the highest expression level was then

367 chosen for large scale expression. The large scale culture was grown in 2l baffled shake flasks
368 with 350 ml volume of culture. The expression levels were monitored every 24 hrs using
369 Sandwich-ELISA.

The culture was harvested by centrifugation at 12000g, and the supernatant was filtered through a 0.45 micron filter. The supernatant was then incubated with Ni Sepharose 6 Fast flow resin (GE Healthcare) for 2 hrs. The beads were washed with 50 column volumes of 1X PBS pH 7.4 supplemented with 20 mM Imidazole. The His tagged protein was then eluted using 1X PBS pH 7.4 supplemented with 300 mM Imidazole. The eluted fractions were assessed for purity on a 12 % SDS-PAGE. The appropriate fractions were then pooled and dialyzed against 1X PBS to remove Imidazole.

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### 378 Tag removal

HRV-3C precision protease digestion was performed to remove the C-terminal 10xHis tag (hCMP-mRBD: HRV-3C = 50:1). HRV-3C digestion was performed for 16 hrs at 4 °C in PBS (pH 7.4). Ni Sepharose 6 Fast flow resin (GE Healthcare) affinity exclusion chromatography was performed to obtain the tagless protein (containing the tag C-terminal sequence: LEVLFQ). The unbound tagless proteins concentration was determined by absorbance (A<sub>280</sub>) using NanoDrop<sup>TM</sup>2000c with the theoretical molar extinction coefficient calculated using the ProtParam tool (ExPASy).

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### 387 Cell lines, media and growth conditions for polyclonal stable cell lines

388 Flp-In<sup>TM</sup>-293 (Thermo Fisher Scientific, Cat# R75007, Lot# 2220695) as well as Flp-In<sup>TM</sup>-

389 CHO (Thermo Fisher Scientific, Cat# R75807, Lot # 2127131) adherent cells were used for

making COVID-19 antigen hCMP-mRBD-HRV-Tg (a stop codon after 'Q' of HRV3C site

LEVLFQGP) polyclonal stable cell line. The cell line encoded hCMP-mRBD sequence is thus identical to that obtained after tag removal following HRV3C protease cleavage of protein produced by transient transfection. These engineered cells harbour a single Flp-In<sup>TM</sup> target site from vector 'pFRT/lacZeo' which confers Zeocin resistance. We first engineered COVID-19 antigen expressing recombinant cells using these adherent cells and then adapted them to suspension conditions for protein production.

397

### 398 Adherent cell culture

Both of the above adherent cells were cultured either in T25 or T75 EasYFlask, with a TC
surface, filter cap (Thermofisher Scientific Cat# 156367 and 156499) in a moist 8 % CO<sub>2</sub>
incubator at 37 °C.

The adherent Flp-In<sup>TM</sup>-293 cells were grown in DMEM, high glucose media (Thermo Fisher Scientific Catalog #: 11965118) supplemented with 10 % Fetal Bovine Serum (FBS), qualified Brazil (Thermo Fisher Scientific Cat# 10270106), 100 U/ml Penicillin Streptomycin (Thermo Scientific Cat#15140122) and 100  $\mu$ g/ml Zeocin<sup>TM</sup> Selection Reagent (Thermofisher Scientific Cat# R25001).

407 The adherent Flp-In<sup>TM</sup>-CHO cells were grown in Ham's F-12 Nutrient Mix media (Thermo 408 Fisher Scientific Catalog #: Cat # 11765054) supplemented with 10% FBS, 100 U/ml 409 Penicillin-Streptomycin and 100  $\mu$ g/ml Zeocin<sup>TM</sup> Selection Reagent.

410

### 411 Plasmid and vector

412 The Flp-In<sup>TM</sup> T-REx<sup>TM</sup> core kit containing pOG44 (Flp recombinase expressing plasmid) and

413 pcDNA5/FRT/TO (donor plasmid for gene of interest) was purchased from Invitrogen USA

414 (Cat # K650001).

The gene of interest 'hCMP-mRBD-HRV-Tg' was PCR amplified from hCMP-mRBD pCMV1 vector using <u>HindIII site</u> containing forward primer (5'— TATAT<u>AAGCTT</u>CTGCAGTCACCGTCCTTAGATC—3') and *Xho*I <u>site</u> containing reverse primer (5'—TATAT<u>CTCGAG</u>TCACTGGAACAGCACCTCCAGGGAGCC—3').

The amplified PCR product was digested with *Hind*III and *Xho*I and subcloned into pcDNA5/FRT/TO restricted with the above two enzymes. The clone was confirmed by sequencing.

422

### 423 Generation of adherent polyclonal Flp-In stable lines

T25 flasks (5 ml media) having either adherent Flp-In<sup>TM</sup>-293 or Flp-In<sup>TM</sup>-CHO cells (~80 % 424 confluent) were co-transfected with pOG44 (10 µg) and hCMP-mRBD-HRV-Tg-425 pcDNA5/FRT/TO (5µg) plasmid DNA using 35 µg of Lipofectamine<sup>™</sup> 2000 Transfection 426 Reagent (Thermo Fisher Scientific, Cat # 11668030) in serum free media as per the 427 428 manufacturers instruction for 4 hr. After 4 h, the media was replaced with serum containing media. The cells were incubated for 16 h and then trypsinized using 1 ml of 1X-Tryple express 429 enzyme (Thermofisher Scientific, Cat# 12604021) and seeded to a T75 flask containing 25 ml 430 431 of desired media and incubated for further 24 h for FLP recombination. After 24h the media was replaced with fresh media having Hygromycin 100 µg/ ml (Thermofisher Scientific Cat# 432 10687010) for Flp-In<sup>TM</sup>-293 and 750 µg/ ml for Flp-In<sup>TM</sup>-CHO cells. Hygromycin resistant 433 foci were observed after 3 days of selection. Media containing the desired amount of 434 Hygromycin was changed after every 5 days mentioned above. After 18 days in case of Flp-435 In<sup>TM</sup>-293 and 14 days in case of Flp-In<sup>TM</sup>-CHO, the recombinant hygromycin resistant cells 436 reached to 100% confluency. The secretion of the protein of interest (hCMP-mRBD-HRV-Tg) 437 was confirmed from cell free media using western blotting with polyclonal Guinea pig sera 438 against the same antigen. The confirmed polyclonal cells were frozen in liquid N<sub>2</sub> for long term 439

storage. The T75-flask grown polyclonal cells were adapted for shake flask suspension cultureand used for protein production.

442

### 443 Shake flask suspension cell culture and protein production

444 The suspension cells were grown in 125 or 250-ml Nalgene<sup>™</sup> single-use PETG Erlenmeyer

flasks with plain bottom and vented closure (Thermofisher Scientific Cat# 4115-0125 or 4115-

446 0250) at 125 rpm with moist 8% CO<sub>2</sub> incubator at 37°C or as specifically mentioned.

The stable adherent recombinant Flp-In<sup>™</sup>-293 cells were first trypsinized from the T75 flask 447 and then grown in a suspension flask after adapting them to FreeStyle<sup>™</sup> 293 Expression 448 Medium (Thermofisher Scientific Cat# 12338018) supplemented with 2% FBS and 50 µg/ml 449 Hygromycin B for ~6 generations (two passages, doubling time=24h). These ~300 million cells 450 were then seeded to 100 ml serum free FreeStyle<sup>™</sup> 293 Expression medium for protein 451 production for 3 days. After 3 days the media was used for protein purification. The ~300 452 453 million cells were grown further in 100 ml media for 6 days under identical conditions and used again for protein purification with >95% cell viability. 454

The stable adherent recombinant Flp-In<sup>™</sup>-CHO cells were first trypsinized from a T75 flask 455 and then grown in a suspension flask for direct adaptation to PowerCHO<sup>TM</sup> 2 Serum-free 456 Chemically Defined Medium (Lonza, Cat# 12-771Q) supplemented with 8 mM L-Glutamine 457 458 (Thermo Fisher Scientific, Cat# 25-030-081) with 50 µg/ml Hygromycin B. First cells were grown for ~8 generations (two passages, doubling time=24h) at 37 °C till ~3 million per ml 459 density. ~300 million cells were then seeded in 100 ml medium for protein production for 3 460 461 days at 32°C. After 3 days the media was harvested for protein purification. The ~300 million cells were grown further in 100 ml media for 6 days under identical condition and media used 462 for protein purification with >95% cell viability. 463

### 464 Tagless protein purification

The spent media from stable hCMP-mRBD-HRV-Tg-Flp-In<sup>TM</sup>-293 or Flp-In<sup>TM</sup>-CHO grown 465 cells contained the expressed protein. Protein was purified using anion exchange 466 467 chromatography. 100 ml cell free media was first dialyzed against 30mM Tris-HCl buffer pH 8.4 overnight at 4 °C using cellulose membrane dialysis tubing (10kDa molecular weight 468 cutoff, Sigma, Cat # D9527-100FT). 2mL Q Sepharose<sup>Tm</sup> Fast Flow beads (GE Healthcare, 469 Cat# 17-0510-01) were equilibrated with 30mM Tris-HCl pH 8.4 and incubated for 1h at 4°C 470 471 with the dialyzed sample. Protein elution was performed with a step gradient of 30mM Tris-Hcl pH 8.4. containing 20-500mM NaCl. The fractions were analyzed on a 10% SDS-PAGE 472 473 gel and the pure fractions were pooled and further dialyzed against 1X-PBS buffer pH 7.4, overnight. The pure protein was analyzed on 10% oxidizing as well as reducing SDS PAGE 474 for homogeneity and purity. Size exclusion chromatography utilizing Superose 6 10/300 475 Increase GL column with 1X PBS as running buffer at a flow rate of 0.5mL/ min on an 476 477 ÄktaPure (GE) was performed to determine protein aggregation state.

478

479 SDS-PAGE analysis, Size exclusion chromatography (SEC) and SEC-MALSProtein purity
480 was estimated by denaturing PAGE. Samples were denatured in SDS containing sample buffer
481 by boiling in reducing (with β-mercaptoethanol) or non-reducing (without β-mercaptoethanol)
482 conditions.

SEC profiles were obtained in 1xPBS buffer equilibrated analytical gel filtration Superdex-200 10/300GL column (GE healthcare) on an Äkta pure chromatography system. The peak area under the curve (AUC) was determined in the Evaluation platform using the peak integrate tool. For SEC-MALS (multi angle light scattering), a PBS (pH 7.4) buffer equilibrated analytical Superdex-200 10/300GL gel filtration column (GE healthcare) on a SHIMADZU HPLC was utilized to resolve hCMP-mRBD purified protein. Gel filtration resolved protein

489	peaks were subjected to in-line refractive index (WATERS corp.) and MALS (mini DAWN
490	TREOS, Wyatt Technology corp.) detection for molar mass determination. The acquired data
491	from UV, MALS and RI were analysed using ASTRA <sup>™</sup> software (Wyatt Technology).
492	

493 nanoDSF thermal melt studies

Equilibrium thermal unfolding of hCMP-mRBD (- 10xHis tag) protein, before or after thermal stress was carried out using a nanoDSF (Prometheus NT.48) as described previously(35). Two independent measurements were carried out in duplicate with 2-4  $\mu$ M of protein in the temperature range of 15-95 °C at 100% LED power and initial discovery scan counts (350nm) ranging between 5000 and 10000. In all cases, when lyophilized protein was used, it was reconstituted in water, prior to DSF.

500

# Negative Staining sample preparation and visualization by Transmission Electron Microscope

For visualization by a Transmission Electron Microscope, the sample was prepared by a 503 conventional negative staining method. Briefly, the carbon-coated copper grid was glow 504 discharged for 20 seconds at 20mA using Quorum GlowQube. Around 3.5 µl of hCMP-mRBD 505 sample (0.1mg/ml) was added to the freshly glow discharged carbon-coated copper grid for 1 506 minute. The extra sample was blotted out. Negative staining was performed using freshly 507 508 prepared 1% Uranyl Acetate solution for 20 seconds and the grid was air-dried before TEM imaging. The negatively stained sample was visualized at room temperature using a Tecnai 509 510 T12 electron microscope equipped with a Tungsten filament operated at 120 kV. Images were recorded using a side-mounted Olympus VELITA (2KX2K) CCD camera at a calibrated 3.54 511 Å/pixel. 512

### 514 Reference-free 2D classification using single-particle analysis

The evaluation of micrographs was done with EMAN 2.1 (58). Around 6600 particles were picked manually and extracted using e2boxer.py in EMAN2.1 software. Reference free 2D classification of different projections of particle were calculated using simple\_prime2D of SIMPLE 2.1 software (59).

519

### 520 SPR-binding of hCMP-mRBD analyte to immobilized ACE2-hFc/CR3022

521 hCMP-mRBD protein kinetic binding studies to ACE2-hFc and CR3022 antibody were performed on a ProteOn XPR36 Protein Interaction Array V.3.1 (Bio-Rad). The GLM sensor 522 chip was activated with sulfo-NHS and EDC (Sigma) reaction. Protein G (Sigma) was 523 covalently coupled following activation. ~3500-4000 RU of Protein G (10 µg/mL) was coupled 524 in 10mM sodium acetate buffer pH 4.5 at a flow rate of 30 µl/min for 300 seconds in desired 525 channels. Finally, 1M ethanolamine was used to quench the excess sulfo-NHS esters. 526 Following quenching, ligand immobilization was carried out at a flow rate of  $5 \mu g/mL$  for 100 527 seconds. ACE2-hFc or CR3022 were immobilized at ~800 RU on desired channels excluding 528 a single blank channel that acts as the reference channel. hCMP-mRBD analyte interaction with 529 ligands was monitored by passing over the chip at a flow rate of 30 µL/min for 200 seconds, 530 and the subsequent dissociation phase was monitored for 600 seconds. An empty lane without 531 532 ligand immobilization was utilized for measuring non-specific binding. Following each kinetic assay, regeneration was carried out with 0.1 M Glycine-HCl (pH 2.7). The ligand 533 immobilization cycle was repeated prior to each kinetic assay. Various concentrations of the 534 hCMP-mRBD (- 10xHis tag) (100 nM, 50 nM, 25 nM, 12.5 nM, 6.25 nM) in 1x PBST were 535 used for binding studies. The kinetic parameters were obtained by fitting the data to a simple 536 1:1 Langmuir interaction model using Proteon Manager. 537

# 539 SPR-binding of thermal stress subjected hCMP-mRBD analyte to immobilized ACE2540 hFc

Lyophilized protein or protein in 1X PBS (0.2 mg/mL) was subjected to transient thermal incubation at the desired temperature in a thermal cycler for ninety or sixty minutes, respectively. Post thermal incubation, binding response was assessed at 100nM analyte concentration by SPR as mentioned in the previous section.

545

### 546 Mice and Guinea Pig Immunizations

Immunizations of BALBc mice (n=5/group, female, 3-4 weeks old, ~16-18 g) and Hartley 547 strain guinea pigs (n=5/group, female, 6-8 weeks old, ~300 g) were performed with freshly 548 adjuvanted (AddaVax<sup>TM</sup> (vac-adx-10)) protein (1:1 v/v Antigen : AddaVax<sup>TM</sup> ratio per 549 animal/dose, 20 µg protein in 50 µl PBS (pH 7.4) and 50 µl AddaVax<sup>™</sup>) (InvivoGen, USA). 550 Animals were immunized via the intramuscular route with two doses constituting prime and 551 552 boost on Day 0 and 21 respectively. Sera were isolated from bleeds drawn prior to prime (day -2), post prime (day 14) and post boost (day 35). All animal studies were approved by the 553 Institutional Animal Ethics committee (IAEC) (RR/IAEC/61-2019, Invivo/GP/084). In the 554 555 case of Pichia expressed protein, the AddaVax equivalent adjuvant SWE was used.

556

### 557 ELISA- serum binding antibody end point titers

558 Desired antigens were coated (4  $\mu$ g/mL, 50  $\mu$ L/well, 1xPBS) on 96 well plates for two hours 559 and incubated on a MixMate thermomixer (Eppendorf, USA) at 25 °C under constant shaking 560 (300 rpm). Antigen immobilization was assessed by coating ACE2-hFc protein. Coated wells 561 were washed with PBST (200 $\mu$ l/well) four times, and blocked using blocking solution (100 562  $\mu$ L, 3% skimmed milk in 1xPBST) and then incubated at 25 °C for one hour, 300 rpm. Post 563 blocking, antisera were diluted four-folds serially, starting 1:100 and incubated at 25 °C for 1

hour, 300 rpm. Post sera binding, three washes were performed (200 µL of 1xPBST/well). 564 Following this, anti-Guinea Pig IgG secondary antibody (ALP conjugated, Rabbit origin) 565 (diluted 1:5000 in blocking buffer) (50 µL/well) was added and incubated at 25 °C for 1 hour, 566 300 rpm (Sigma-Aldrich). Post incubation, four washes were performed (200 µL of 567 1xPBST/well) and incubated with pNPP liquid substrate (50 µL/well) (pNPP, Sigma-Aldrich) 568 at 37 °C for 30 minutes, 300 rpm. Finally, the chromogenic signal was measured at 405 nm. 569 570 The highest serum dilution possessing signal above cutoff (0.2 O.D. at 405 nm) was considered as the endpoint titer for ELISA. 571

572

### 573 ACE2-hFc competition ELISA

ACE2-hFc competition was performed as described previously (35). ELISA was Monomeric 574 mRBD antigen was coated on 96 well plates and incubated at 25 °C (4 µg/mL in 1x PBS, 50 575 µl/well), overnight under constant shaking (300 rpm) on a MixMate thermomixer (Eppendorf, 576 USA). Negative control wells were coated with Ovalbumin (4 µg/mL in 1x PBS, 50 µL/well). 577 Following four washes with 1xPBST, the coated wells were blocked with blocking solution 578 (100 µL 3% skimmed milk in 1xPBST) and incubated at 25 °C for 1 hour, 300 rpm. Post 579 blocking, sera competition wells were incubated with two-fold serial dilutions of anti-sera 580 (60µL) starting at a dilution of 1:10 and control wells were incubated with blocking solution 581 alone. Post one hour incubation at 25°C, 300 rpm, three washes were performed with 1xPBST 582 583 (200 µL of 1xPBST/well). An additional blocking step was performed at 25°C, 300 rpm for 1 hour. Post blocking, ACE2-hFc was added in excess (60  $\mu$ L at 20 $\mu$ g/mL) and incubated at 25 584 °C, for 1 hour, 300 rpm. Next, three washes were performed (200 µL of PBST/well) and anti-585 Human IgG secondary antibody (Sigma-Aldrich) (ALP conjugated, Rabbit origin, diluted 586 1:5000 in blocking buffer) was added (50 µL/well) and incubated at 25 °C for 1 hour, 300 rpm. 587 Following secondary antibody binding, four washes were performed (200 µL of PBST/well). 588

589	pNPP liquid substrate (50 $\mu$ L/well) was added and incubated for 30 minutes at 37 °C, 300 rpm.
590	Finally, the chromogenic signal was measured at 405 nm. The percent competition was
591	calculated using the following equation:
592	% competition = [A(Control)- A (Sera Dilution)] * 100 / [A (Control)].
593	Where, A(Control) is the Absorbance of binding signal of ACE2-Fc and mRBD in the absence
594	of sera, A (Sera dilution) is the Absorbance of binding signal of ACE2-hFc and mRBD
595	preincubated with antisera.

596

### 597 **Convalescent patient sera samples**

598 Convalescent patient sera were drawn (n = 40) and assayed for pseudoviral neutralization as 599 described in the following pseudovirus neutralization section. The ethics approval of human 600 clinical samples were approved by Institute Human Ethical Committee (Approval No: **CSIR**-

#### 601 **IGIB/IHEC/2020-21/01**)

602

### 603 CPE based viral Neutralization assay

Mice and Guinea pig pre-immune (negative control) sera and post boost sera were assayed for 604 605 virus neutralization. Sera were heat inactivated prior to performing the assay by incubation at 56 °C for one hour. 100TCID<sub>50</sub> of replicative SARS-CoV-2 (Isolate: USA-WA1/2020) virus 606 (50 µL) and serum were premixed and incubated for one hour at 37°C in a 5% CO<sub>2</sub> incubator. 607 The virus-serum incubated premix samples were serially diluted and plated in duplicates in 608 VeroE6 cell containing 96 well plate ( $10^4$ /well) and cultured for 48/96 hours. Post incubation, 609 610 virus induced cytopathic effect (CPE) was assessed and the neutralization titre was deemed as the highest serum dilution at which no CPE was observed under the microscope. 611

612

### 614 Production of Pseudotyped SARS-CoV-2 and pseudovirus neutralization assay

615 Pseudo viral neutralization assays were performed with SARS-CoV-2 pseudo virus harbouring reporter NanoLuc luciferase gene. Briefly, HEK293T cells were transiently transfected with 616 plasmid DNA pHIV-1 NL4.3denv-Luc and Spike-d19-D614G by using Profection 617 mammalian transfection kit (Promega Inc) following the instructions in the kit manual. Post 48 618 619 hours, the pseudovirus containing culture supernatant was centrifuged for 10 mins at 600 xg followed by filtration via 0.22 µm filters, and stored at -80 °C until further use. 293T-hACE-2 620 (BEI resources, NIH, Catalog No. NR-52511) or Vero/TMPRSS2 (JCRB cell bank, JCRB 621 #1818) cells expressing the ACE2 or ACE and TMPRSS2 receptors respectively were cultured 622 in DMEM (Gibco) supplemented with 5 % FBS (Fetal Bovine Serum), penicillin-streptomycin 623 (100 U/mL). Patient derived convalescent sera (n = 40) were tested for neutralization in both 624 293T-ACE-2 and Vero/TMPRSS2 cells whereas animal sera were tested only in 625 Vero/TMPRSS2 cells. Neutralization assays were done in two replicates by using heat-626 inactivated animal serum or human COVID-19 convalescent serum (HCS). The pseudovirus 627 (PV) was incubated with serially diluted sera in a total volume of 100  $\mu$ L for 1 hour at 37 °C. 628 The cells (Vero/TMPRSS2 or 293T-hACE2) were then trypsinised and  $1 \times 10^4$  cells/well were 629 630 added to make up the final volume of 200uL/well. The plates were further incubated for 48 hours in humidified incubator at 37 °C with 5% CO<sub>2</sub>. After 48 hours of incubation, 140 µL 631 632 supernatant was removed and 50 µL Bright-Glo luciferase substrate (Promega Inc.) was added. After 2-3 minute incubation, 80 µL lysate was transferred to white plates and luminescence 633 was measured by using Cytation-5 multi-mode reader (BioTech Inc.) The luciferase activity 634 measured as Relative luminescence units (RLU) from SARS-CoV-2 pseudovirus in the 635 absence of sera was used as reference for normalizing the RLUs of wells containing sera. 636 Pseudovirus neutralization titers (ID<sub>50</sub>) were determined as the serum dilution at which 637 infectivity was blocked by 50%. 638

### 639 Statistical Analysis

The *p* values for ELISA binding titers, neutralization titers, ACE2 receptor competition titers were analysed with a two-tailed Mann-Whitney test using the GraphPad Prism software. The correlation coefficients for ACE2-hFc receptor competition, pseudovirus, live virus and 293T-ACE2/VeroE6-TMPRSS2 cell line pseudovirus neutralizations were analysed by Spearman correlation using the GraphPad Prism software.

645

### 646 Data Availability Statement

647 All the data are in the manuscript.

648

### 649 Declaration of Competing Interest

A provisional patent application has been filed for the RBD formulations described in this
manuscript. R.V, S.K.M, S.P, R.S are inventors. R.V is a co-founder of Mynvax and S.P, R.S,
U.R.P, P.R., M.D., N.G, and A.U are employees of Mynvax Private Limited.

653

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673

### 674 Author Contributions

R.V., S.K.M., conceptualized the work, designed the studies. S.P., R.V., R.S., planned the 675 animal studies. R.S., performed the stable cell line work. R.S., U.R.P., P.R., A.U., performed 676 ELISA and ACE2-hFc competition experiments. S.P., N.G., performed hCMP-mRBD protein 677 678 expression and S.K.M. performed the characterization. S.G. performed hCMP-pRBD protein expression and characterization. M.S.K., performed SEC-MALS. P.K., cloned the hCMP-679 mRBD gene. M.B. performed the animal immunizations. I.P., S.D. provided the EM data and 680 681 analysis. S.M., S.B., provided CPE neutralizing antibody assay data. R.P.R., S.K., performed pseudovirus neutralization assays. S.S, A.T., S.J., R.P. provided convalescent human serum 682 samples. S.K.M wrote the manuscript with contributions from each author. S.K.M, R.V., led 683 684 the studies and edited the paper along with all co-authors.

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#### 986 Figure Legends

Figure 1. Design of trimeric mRBD. A. The design utilized the RBD (residues 332-532) from 987 988 the closed state of the Spike-2P (PDB 6VXX) aligned coaxially with the hCMP trimerization domain, coordinates taken from the homolog CCMP (PDB:1AQ5, Chain 1.1). The N termini 989 of mRBD are labelled as I332 and the hCMP trimerization domain C-termini are labelled as 990 991 V340. The N, C termini Ca's form vertices of equilateral triangles. The N -terminal plane of RBD (I332) is separated from the C-terminal plane (V340) of the hCMP trimerization domain 992 by ~22.1 Å to avoid steric clashes. The I332 terminus and V340 terminus are ~39 Å apart in 993 the modelled structure and are connected by a 14-residue long linker. B. The final trimeric 994 RBD construct consists of N-terminal hCMP trimerization domain fused to I332 of RBD by a 995 linker (L14) followed by an HRV3C precision protease cleavage site and a 10x Histidine tag 996 997 at the C-terminus.

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Figure 2. Design and characterization of trimeric mRBD. A. SEC elution profile of trimeric 999 hCMP-mRBD. B. SDS-PAGE of purified mRBD and hCMP-mRBD in reducing and non-1000 1001 reducing conditions demonstrating formation of disulfide-linked trimers. C. SEC-MALS of Ni-NTA purified hCMP-mRBD (MW:  $110 \pm 10$  kDa). The red, black and blue profiles are of the 1002 1003 molar mass fit, molar mass and refractive index (RI) respectively. D. nanoDSF equilibrium 1004 thermal unfolding of purified trimeric hCMP-mRBD. E. SPR binding sensorgrams of trimeric mRBD binding to ACE2-hFc, and CR3022. The binding studies were performed with hCMP-1005 1006 mRBD proteins with concentrations of 100nM, 50nM, 25nM, 12.5nM and 6.25nM depicted 1007 from top to bottom, respectively.

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Figure 3. Negative staining TEM analysis of hCMP-mRBD: A. A representative negative staining
image of hCMP-mRBD protein. B. Representative reference free 2D class averages of hCMP-mRBD.
2D class averages indicate that hCMP-mRBD protein is monodisperse and stable. The protein forms a
stable trimer. The bottom panel shows the enlarged view of class 1 and 7, trimeric hCMP-mRBD
protein.

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Figure 4. Characterization of trimeric hCMP-mRBD following transient exposure to
elevated temperature. A. hCMP-mRBD in PBS at a concentration of 0.2 mg/ml was subjected
to transient thermal stress for one hour and binding studies performed at 100nM. B.
Lyophilized hCMP-mRBD was subjected to transient thermal stress for 90 minutes followed
by reconstitution in water. The binding to ACE2-hFc was performed at 100nM. ACE2-hFc
immobilized was 800RU. hCMP-mRBD is highly resistant to transient thermal stress.

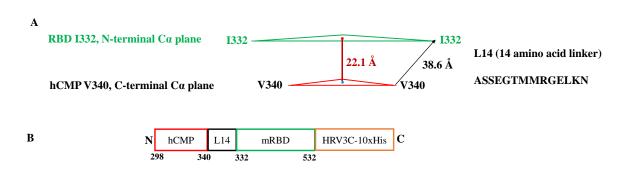
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Figure 5. hCMP-mRBD functionality after extended incubation at 37 °C. SPR 1023 1024 sensorgrams of ACE2-hFc binding to hCMP-mRBD subjected to thermal stress A. hCMP-1025 mRBD (0.2 mg/ml) in solution subjected to 37 °C incubation as a function of time (3-72 hr) B. Lyophilized hCMP-mRBD subjected to extended thermal stress at 4 °C and 37 °C for 2 and 4 1026 weeks. 100nM of hCMP-mRBD was used as analyte. C), D) Equilibrium thermal unfolding 1027 1028 monitored by nanoDSF. C. hCMP-mRBD (0.2mg/ml) subjected to 37 °C incubation in 1xPBS for upto 72 hours. **D.** nanoDSF of lyophilized hCMP-mRBD incubated for upto 4 weeks at 4 1029 1030 °C and 37 °C. The lyophilized protein was reconstituted in MilliO grade water prior to thermal melt and SPR binding studies. 1031

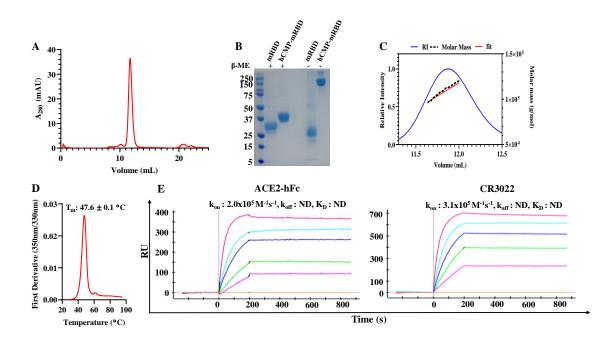
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### 1034 Figure 6. ELISA, ACE2 receptor inhibition, pseudovirus neutralization and replicative virus neutralization of sera elicited by hCMP-mRBD after two immunizations. Guinea 1035 pigs (GP) ( $\circ$ ) and Mice (M) ( $\bullet$ ) were immunized at week 0 and 3 with 20µg of hCMP-mRBD 1036 1037 adjuvanted with AddaVax<sup>™</sup> (white panel) or Alhydrogel + CpG (gray panel). At 14 days post 1038 boost, sera were assayed for A-E ELISA binding titer against A. mRBD B. Spike-2P C. hCMPmRBD D. hCMP V1cyc JRFL gp120 E. JRFL gp120 F. ACE2-hFc receptor competition 1039 1040 binding titer **G.** Pseudoviral neutralization titer including Human Convalescent serum $(\diamond)$ (HCS) (ID<sub>50</sub>) utilizing pNL4-3.Luc. SARS-CoV-2 D614G Δ19 **H.** Replicative SARS-CoV-2 1041 1042 USA-WA1/2020 virus neutralization titer ( $NT_{100}$ ). The black horizontal lines in each scatter plot represent Geometric Mean Titer (GMT). The pairwise titer comparisons were performed 1043 utilizing two-tailed Mann-Whitney test (\* indicates p < 0.05, \*\* indicates p < 0.01, \*\*\* 1044

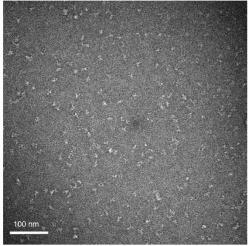
1045 indicates p < 0.001, \*\*\*\* indicates p < 0.0001).







## Figure 3



A. Negative staining micrograph

# B. Negative staining 2D Classification

