

Sustained IL-15 response signature predicts RhCMV/SIV vaccine efficacy

Short title: IL-15 and RhCMV/SIV vaccine response

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30 **Abstract**

31 Simian immunodeficiency virus (SIV) challenge of rhesus macaques (RMs) vaccinated with Rhesus
32 Cytomegalovirus (RhCMV) vectors expressing SIV proteins (RhCMV/SIV) results in a binary outcome:
33 stringent control and subsequent clearance of highly pathogenic SIV in ~55% of vaccinated RMs with
34 no protection in the remaining 45%. Although previous work suggests that unconventionally restricted,
35 SIV-specific, effector-memory (EM)-biased CD8⁺ T cell responses are necessary for efficacy, the
36 magnitude of these responses does not predict efficacy, and the basis of protection vs. non-protection in
37 RhCMV/SIV vector-vaccinated RMs has not been elucidated. Here, we report that RhCMV/SIV vector
38 administration strikingly alters the whole blood transcriptome of vaccinated RMs, with the sustained
39 induction of specific immune-related pathways, including non-canonical T cell receptor (TCR), toll-like
40 receptor (TLR), inflammasome/cell death, and interleukin-15 (IL-15) signaling, significantly predicting
41 protection. The IL-15 gene expression signature was further evaluated in an independent RM IL-15
42 treatment cohort, revealing that in whole blood the response to IL-15 is inclusive of innate and adaptive
43 immune gene expression networks that link with RhCMV/SIV vaccine efficacy. We also show that this
44 IL-15 response signature similarly tracks with vaccine protection in an independent RhCMV/SIV
45 vaccination/SIV challenge RM validation cohort. Thus, the RhCMV/SIV vaccine imparts a coordinated
46 and persistent induction of innate and adaptive immune pathways featuring IL-15, a known regulator of
47 CD8⁺ T cell function, that enable vaccine-elicited CD8⁺ T cells to mediate protection against highly
48 pathogenic SIV challenge. **(231 words)**

49 **Author Summary**

50 SIV insert-expressing vaccine vectors based on strain 68-1 RhCMV elicit robust, highly effector-
51 memory-biased T cell responses that are associated with an unprecedented level of SIV control after
52 challenge (replication arrest leading to clearance) in slightly over half of vaccinated monkeys. Since
53 efficacy is not predicted by standard measures of immunogenicity, we used functional genomics analysis

54 of RhCMV/SIV vaccinated monkeys with known challenge outcomes to identify immune correlates of
55 protection. We found that arrest of viral replication after challenge significantly correlates with a
56 vaccine-induced response to IL-15 that includes modulation of T cell, inflammation, TLR signaling, and
57 cell death programming. These data suggest that RhCMV/SIV efficacy is not based on chance, but rather,
58 results from a coordinated and sustained vaccine-mediated induction of innate and adaptive immune
59 pathways featuring IL-15, a known regulator of CD8⁺ effector-memory T cell function, that enable
60 vaccine-elicited CD8⁺ T cells to mediate efficacy. (146 words)

61 **Introduction**

62 Human immunodeficiency virus (HIV) infection continues to be a major global health problem, with
63 approximately 38 million people worldwide currently living with HIV. Despite the decline in new
64 infections and the remarkable success of current antiretroviral therapy (ART) at suppressing viral load
65 in people undergoing treatment, there were 1.7 million new HIV infections in 2019 and nearly 700,000
66 AIDS-related deaths (1). Thus, the need for a vaccine to protect against HIV infection remains high,
67 underscoring the continued essential role of RM models of SIV infection for developing and testing HIV
68 vaccine concepts. In this regard, the highly pathogenic SIVmac251 swarm and SIVmac239 clones have
69 been especially high bars for prophylactic vaccine efficacy with few concepts reproducibly showing
70 sufficient efficacy against these highly pathogenic SIVs for clinical translation (2-4).

71 Among these is the T cell response-targeted SIV vaccine that uses vaccine vectors based on RhCMV,
72 which elicit and indefinitely maintain high frequency, circulating and tissue-based, effector memory
73 (EM)-differentiated SIV-specific T cell responses (2, 5-7). RhCMV vectors were designed to provide
74 for an immediate effector T cell intercept of immune-evasive pathogens, so as to implement anti-
75 pathogen immune activity prior to development of effective immune evasion (8). In contrast to
76 conventional T cell-targeted prime-boost vaccines against SIV, which elicit responses that at best
77 suppress, but never clear SIV infection, RhCMV/SIV vector-elicited immune responses have the ability

78 to mediate complete arrest of mucosal-acquired SIV infection prior to establishment of a long-lived SIV
79 reservoir, ultimately resulting in progressive decline of SIV-infected cells in tissues to the point where
80 replication-competent SIV can no longer be detected by the most sensitive assays (9-11). This
81 remarkable “control and clear” efficacy is not, however, universal, but rather occurs in ~55% of
82 vaccinated RM across multiple studies, with the SIV infections in the ~45% of vaccinated, non-protected
83 RMs showing indistinguishable viral dynamics from the unvaccinated controls (9, 10, 12).

84 Importantly, the RhCMV/SIV vaccine vectors manifesting this efficacy are based on the 68-1 strain of
85 RhCMV, which developed a unique genetic rearrangement during *in vitro* passage that abrogated the
86 function of eight distinct immunomodulatory gene products encoded in two RhCMV genomic regions
87 (Rh157.5/4 and Rh158-161). These gene modifications had the remarkable effect of programming both
88 the virus- and SIV insert-specific CD8⁺ T cells induced by this vector to recognize epitopes restricted by
89 either MHC-E or MHC-II, but not classical MHC-Ia (13-15). Differential repair of these genes reverts
90 epitope restriction of vector-elicited CD8⁺ T cells to MHC-Ia or MHC-Ia mixed with MHC-II, whereas
91 another mutation of 68-1 RhCMV (deletion of Rh67) results in exclusively MHC-II-restricted CD8⁺ T
92 cells (15, 16). Although the MHC-Ia- and/or MHC-II-restricted CD8⁺ T cell responses elicited by these
93 other RhCMV/SIV vectors manifest similar phenotypic and functional characteristics, only the original
94 68-1 vector shows efficacy against SIV (15, 16), strongly implicated the MHC-E-restricted, SIV-specific
95 CD8⁺ T cells as the active adaptive component of this non-antibody-inducing vaccine. However, to date,
96 no quantitative parameter of the 68-1 RhCMV/SIV-induced T cell responses has consistently correlated
97 with the binary outcome (protection vs. non-protection) after SIV challenge (9, 10, 12). These
98 observations raise the question of whether protection mediated by MHC-E-restricted CD8⁺ T cells is
99 stochastic – i.e., based on the chance, relative distribution of infection trajectory vs. effector cell
100 distribution in an early, critical time window after viral entry – or based on more complex characteristics
101 of the vaccine-elicited immune response.

102 Here, we performed functional genomics analyses including bioinformatics linear modeling of the RM
103 whole blood transcriptome before and longitudinally after 68-1 RhCMV/SIV vaccination, asking
104 whether a vaccine-induced, transcriptomic response could predict RMs that were or were not
105 subsequently protected after SIV challenge. These analyses revealed a complex transcriptomic response
106 to vaccination that included modulation of specific immunoregulatory gene pathways linked with IL-15
107 signaling. Indeed, as assessed the *in vivo* whole blood response to IL-15 to reveal that IL-15 signaling
108 directs a remarkable breadth of gene expression networks linked with innate and adaptive immune
109 programming that underlie RhCMV/SIV vaccine efficacy. Our study defines the gene and gene network
110 correlates mediating vaccine efficacy and protection. These findings that suggest RhCMV/SIV vaccine
111 protection is not stochastic, but rather is dependent on vaccine-induced specific innate and adaptive
112 immune responses.

113 **Results**

114 **Characterization of a RhCMV/SIV vector-vaccinated RM cohort with known challenge outcome**

115 Two cohorts of male RM (n=15 each) were administered a vaccine composed of three 68-1 RhCMV/SIV
116 vectors individually expressing SIV Gag, SIV Rev/Tat/Nef and SIV 5'-Pol, one group via a subcutaneous
117 (subQ) route and the other via an oral route. Each RM was vaccinated twice, receiving a week (wk) 0
118 prime (Pr) and wk18 homologous boost (Bo) (**Fig. 1A**). The development of SIV-specific T cells was
119 monitored by intracellular cytokine staining (ICS), with immunogenicity in both the subQ and oral
120 vaccine groups showing robust induction of SIV Gag-, Rev/Tat/Nef-, and Pol-specific CD4⁺ and CD8⁺
121 T cells (**Figs. S1A,B**), including CD8⁺ T cell responses to previously characterized MHC-E- and MHC-
122 II-restricted SIV Gag supertopes – indicating, as expected, the induction of the unconventionally
123 restricted CD8⁺ T cells; **Fig. S1C**) (13, 14). These T cell responses, which were maintained through the
124 time of challenge, manifested a striking EM bias in phenotype and function, similar to our previous
125 analysis of T cell responses elicited by these vectors (5, 9, 10, 12) (**Fig. S1D-E**). Of note, there were no

126 significant differences in these immunogenicity parameters in RM given the vaccines via subQ vs. oral
127 route. At wk91 after initial vaccination, these vaccinated RM (and 15 unvaccinated controls) were
128 subjected to repeated limiting dose, intrarectal challenge until establishment of infection “take” by
129 detection of *de novo* T cell responses to SIVvif (an SIV Ag not included in the vaccine; **Fig. 1B**), as
130 previously described (5, 9, 10, 12). Analysis of plasma viral load in RM with such infection “take”
131 showed stringent control of viral replication in 8 and 9 of 15 RMs from the subQ and oral groups
132 (protected RMs), respectively, with no “protected” RMs in the unvaccinated control group (**Fig. 1C**).
133 Analysis of tissue cell-associated viral load confirmed that protected RMs were indeed infected by SIV
134 (**Fig. 1D**), demonstrating that the absence of viremia in these RMs reflected immune-mediated arrest of
135 SIV replication. Pre-specified statistical analyses of the T cell responses measured by ICS across the two
136 vaccine cohorts found no association between magnitude and phenotype of the SIV-specific responses
137 and protection from progressive SIV infection across the two vaccinated RM cohorts (**Figs. S2A-E**).

138 **Analysis of the whole blood transcriptome induced by subQ and oral RhCMV/SIV vaccination**

139 To determine whether other parameters of the vaccine response might serve to differentially program
140 protective immunity across the outcome groups, we performed longitudinal global transcriptomic
141 profiling of mRNA expression in whole blood samples collected prior to Pr and Bo RhCMV/SIV
142 vaccinations (d0 of wk0 and wk18, respectively], at d1, d3 and d7 following Pr and Bo, and at wk88, 3
143 wks prior to initiating SIV challenge (see **Fig. 1A**). We first conducted analyses comparing baseline gene
144 expression profiles across subQ and oral cohorts and found that pre-vaccination signatures of individual
145 RMs were similar to one another across cohorts (**Figs. 2A, B**). We next evaluated the gene expression
146 responses to vaccination by performing a principal component analysis (PCA) on the per-timepoint mean
147 log₂ fold-change (FC) values, using all 12,734 expressed genes and averaged over the RMs within each
148 treatment and outcome group (**Fig. 2C**). PC1 and PC2 constituted the majority of the post-vaccination
149 gene expression variability that segregated the animals into protected and non-protected outcome groups.

150 To further evaluate these differences, we defined the set of genes with statistically significant differential
151 expression (DE) at any post-vaccination time point compared to baseline in any of the four RM
152 subgroups defined by administration route and protection outcome, using bioinformatics analyses and
153 linear modeling (**Fig. 2D; Table S1**). These analyses suggested that DE genes associated with variation
154 in PC1 are relevant for establishing a vaccine protective signature. PC1 DE gene expression changes
155 occurred rapidly after vaccination (d1 in both cohorts), with highest numbers and absolute levels of gene
156 expression change from baseline found in the oral cohort 3d after Pr. The expression of these DE genes
157 was variably reduced thereafter, but were relatively maintained in the protected RMs, with the expression
158 level change increasing to a greater degree in the protected group following vaccine boost. Importantly,
159 absolute gene expression changes of the PC1 DE genes persisted through the pre-challenge (PreCh) time
160 point in the protected RMs, whereas non-protected RMs failed to maintain or re-establish this signature
161 by the PreCh time point.

162 **Gene network correlates of protection**

163 To identify gene expression correlates of protection and their functional regulatory networks, we
164 determined the DE genes showing significant differential expression between protected and non-
165 protected groups across the time course, designated as DDE genes. The 2,272 DDE genes identified
166 (**Table S2**) capture the variable signature between protected and non-protected outcome groups (**Fig.**
167 **3A**). Using permutation testing we verified that the magnitude of differential expression between
168 protection outcomes was significant ($P = 0.011$), thus linking DDE gene expression with vaccine
169 protection. To identify specific response pathways and networks among the DDE genes that define
170 vaccine protection, we first performed clustering analysis and found four major clusters containing either
171 mostly induced/up-regulated genes (Clusters 1 and 2) or suppressed/down-regulated genes (Clusters 3
172 and 4) in the protected RM across both vaccine groups (**Fig. 3A**). The vaccine-induced gene clusters
173 were associated mainly with innate immune pathways spanning TLR signaling and cytokine response

174 pathways, inflammasome/cell death signaling, and included a set of T cell/TCR signaling genes (**Fig.**
175 **3B**). Pathway analyses of DDE gene network linkage identified death receptor signaling/inflammasome
176 network interactions with a TLR network and genes involved in TCR signaling marked through
177 previously defined interactions of *PPP3CA*/calcineurin and *PTPN11*/SHP1 phosphatases (17-20), and
178 phosphatidylinositol responsive kinase (PI3K) subunits (*PI3KR1* and *PIK3CB*)(21), each network
179 significantly associated with vaccine protection (**Fig. 3C**). Notably, genes encoding essential factors of
180 T helper-1 polarization and immune activation, including the T-bet transcription factor (*TBX21*), IL-2
181 and IL-12 receptor subunits, and the Zeta associated protein kinase 70 (*ZAP70*)(22-24) were suppressed
182 or "down-regulated" concomitant with up-regulation of *PTPN11*, *PPP3CA* and indolamine dioxygenase
183 1 (*IDO1*), genes known to impose an immune regulatory phenotype(25, 26), thus defining a non-
184 canonical T cell activation/TCR signaling signature in the blood of protected RMs.

185 We also found that JAK-STAT cytokine signaling was enriched within the DDE gene signature in the
186 absence of typical type I interferon stimulated genes (ISGs), suggesting different interleukin signaling
187 programs (**Fig. 3D**). We therefore interrogated our gene expression data sets for expression levels of all
188 interleukins, identifying only IL-7, IL-10, TXLNA/IL-14, IL-15, and IL-23A as being expressed at one
189 or more time points within the vaccine cohorts. Among these, IL-15 expression was enriched in protected
190 RMs and was identified as a significant upstream regulator of the vaccine protection-associated DDE
191 signature (**Fig. 3E**). We found that the increased upregulation of IL-15 expression in protected, compared
192 to nonprotected, RMs was accompanied by downregulation of IL-15 receptor subunits and their JAK-
193 STAT signaling components relative to the other interleukins. Downregulation of cytokine receptor
194 expression is a specific marker of active cytokine signaling (27), suggesting that an active IL-15 cytokine
195 signaling pathway is a component of the DDE protection signature (see **Fig. 3D**).

196 **Protection signature links with IL-15 signaling**

197 IL-15 plays a major role in cellular immune programming, supporting memory T cell and NK cell
198 activation, homing, homeostasis, both effector differentiation and function, and in particular controlling
199 the activity of circulating and tissue resident CD8⁺ EM T cells (28-32). IL-15 activates signaling through
200 the β chain and common γ chain heterodimer of the IL-2 receptor, either as a soluble heterodimer with
201 the α chain of the IL-15 receptor (IL-15R α) or through trans-presentation by cells expressing IL-
202 15R α (33-35). Mimicking this process, recombinant, purified heterodimeric IL-15/IL-15R α (rRh-Het-
203 IL-15) is a potent immune therapeutic to induce IL-15 signaling (36-38). To further define the whole
204 blood gene expression signature directed by IL-15 *in vivo* and to evaluate the breadth of the IL-15
205 response in 68-1 RhCMV/SIV vaccinated RMs, we conducted transcriptomic analysis on whole blood
206 samples from a separate cohort of five unvaccinated RM treated with rRh-Het-IL-15 in a dose-escalating
207 fashion (5, 10, and 15 μ g/kg at d0, d3 and d7 respectively) with blood collection through d29 (**Fig. 4A**).
208 We identified DE genes responding to rRh-Het-IL-15 treatment *in vivo* across this 29d time course
209 (**Table S3**) in which IL-15 rapidly altered gene expression within one day of administration followed by
210 a homeostatic reset of expression levels two days later (**Fig. 4B, left panels**). We used the d1 post IL-15
211 administration DE genes to interrogate the DDE signature of the vaccinated RMs for evidence of an
212 embedded IL-15 response. This d1 DE gene set was selected to reflect the direct response of the RMs to
213 IL-15 prior to the onset of homeostatic regulation. We identified multiple co-expression clusters within
214 the intersecting gene set of 256 genes (**Fig. 4B, Table S4**). Pathway analyses of each cluster revealed an
215 overlap of the response to rRh-Het-IL-15 with several of the immunological pathways identified in the
216 DDE analysis, including up-regulated pathways (cluster A) of PI3K signaling, NF-kB-driven
217 inflammatory genes, death receptor signaling, and T cell signaling modules (**Fig. 4C**). These networks
218 link to interferon regulatory factor (IRF) and STAT transcription factors as major upstream regulators
219 responding to IL-15 signaling. Moreover, we identified acutely down-regulated IL-15 response genes
220 that were also components of the DDE protection signature (see **Fig. 4C**, cluster B). Notably, among

221 genes showing acute downregulation by rRh-Het-IL-15 treatment were pathways regulated by
222 *TBX21*/Tbet, STAT6 and other upstream regulators, consistent with the non-canonical T cell and
223 cytokine signaling DDE signatures (see **Figs. 3C,D**).

224 To identify interaction between IL-15 regulated genes within the DDE protective signature, we built *de*
225 *novo* gene interaction networks using GeneMania (39), basing network construction on genes within
226 each cluster of our intersecting dataset. Among genes up-regulated by rRh-Het-IL-15 treatment within
227 the DDE vaccine protection signature, we identified multiple pathways involved in immune activation
228 including TLR signaling, innate immune activation, and death receptor signaling, each linked to specific
229 transcription factor nodes (IRF1, IRF2, IRF7, STAT1, 3, and 5). As these IRFs and STATs are also
230 prominent ISGs (40), these results together reveal a remarkable breadth of signaling crosstalk in immune
231 programming wherein IL-15 signaling intersects both innate and adaptive immune pathways in building
232 the DDE protection signature, likely reflecting a cascade of direct and indirect IL-15 signaling actions
233 (**Figs. 4D,E**). Of note, we identified a linkage of the acutely IL-15 down-regulated genes with specific
234 transcription factors of the non-canonical T cell activation TCR signaling DDE module described above
235 (**Fig. 4E**). Most importantly, the acute IL-15 response gene set (all IL-15 DE genes at d1) was
236 significantly linked with vaccine protection, using permutation testing methods similar to how we
237 evaluated the DDE signature ($P = 0.002$). These analyses identify IL-15 as a major regulator of the DDE
238 signature underlying RhCMV/SIV vaccination outcome and demonstrate linkage of IL-15 responsive
239 genes with vaccine efficacy.

240 **Conserved IL-15 response links with vaccine protection in validation cohort analysis**

241 We next evaluated the whole blood gene expression signature in an independent validation cohort of 15
242 RM that had been subQ vaccinated with a combination vaccine including the same 68-1 RhCMV/SIV
243 vaccine set used in the RM described above and a variant 68-1.2 RhCMV/SIV vaccine set with the same
244 SIV inserts (**Table S5**). The 68-1.2 RhCMV/SIV vector is repaired for pentameric complex expression

245 and therefore is programmed to elicit MHC-Ia-restricted CD8⁺ T cell responses, resulting in these
246 vaccinated RM having both conventionally (MHC-Ia) and unconventionally (MHC-E and MHC-II)
247 restricted SIV-specific CD8⁺ T cell responses (15). 68-1.2 RhCMV/SIV vectors are not protective, but
248 they do not abrogate 68-1 RhCMV/SIV vector-mediated protection, and 6 of the 15 vaccinated RM in
249 this validation cohort manifested stringent viral control after SIV challenge (15). Although the 68-1+68-
250 1.2 combination vaccine is quite different than the 68-1-only vaccine, the protection phenotype in RM
251 (e.g., viral replication-arrest) was identical to our 68-1-only vaccinated cohorts, suggesting that
252 protection-critical signaling such as the IL-15 pathway described in **Fig. 4**, might be preserved in
253 protected animals receiving this combination vaccine. The validation 68-1+68-1.2 cohort was studied in
254 parallel with the subQ and oral, 68-1 vaccinated cohorts, and sample collection, processing and RNAseq
255 analysis were performed identically. Comparison of the post-vaccination change-from-baseline
256 expression pattern of the IL-15-regulated genes shown in **Fig. 4B** (intersection of DDE and day 1 rRh-
257 Het-IL-15 DE) in protected vs. non-protected RM in the validation cohort and the SubQ 68-1 vaccinated
258 cohort (also n = 15) revealed a very similar pattern with protected RM in both cohorts showing a more
259 pronounced and durable IL-15 response to vaccination than the non-protected RMs (**Fig. 5A; Tables S4,**
260 **S6**). In keeping with this outcome, the vaccine response in protected animals in both cohorts showed a
261 higher correlation with the IL-15 response than in non-protected animals (**Fig. 5B**).

262 **Discussion**

263 Our study reveals an IL-15 response gene signature underlying Rh/CMV-SIV vaccine protection in RM.
264 This signature encompasses functional pathways/modules consisting of non-canonical T cell signaling
265 (defined in this study), TLR signaling, and inflammasome/cell death signaling that correlate with vaccine
266 protection. The magnitude and persistence of gene expression changes following vaccination are
267 significantly greater and sustained throughout the study time course in RM destined for protection after

268 challenge with the IL-15 response signature being a predictor of a vaccine response that links with
269 protection across vaccination cohorts.

270 IL-15 is produced by myeloid cells, including dendritic cells and monocyte/macrophages, and acts on T
271 cells and NK cells to activate/enhance effector response and cell homing actions (28-32). Since adaptive
272 (SIV-specific) cellular immunity is required for RhCMV/SIV-based vaccine efficacy (9), the most likely
273 targets of this cytokine in our protected RM are the unconventionally MHC-E-restricted SIV-specific
274 CD8⁺ T cells that are both uniquely elicited by this vaccine and associated with efficacy (15, 16). These
275 observations support a model in which vaccine-induced IL-15 production from myeloid-derived cells
276 acts on MHC-E-restricted, SIV-specific CD8⁺ T cells to facilitate the effector activities that result in
277 systemic arrest of viral replication in vaccinated, SIV-challenged RM. Thus, we postulate “tuning” by
278 persistent induction of IL-15 and associated innate immune and immunoregulatory pathways may be
279 required for the MHC-E-restricted, SIV-specific CD8⁺ T cells to mediate arrest of viral replication.

280 Our study also examined the whole blood transcriptional response to administration of bioactive IL-15.
281 Our functional genomics analyses of the whole blood response shows that IL-15 induces a remarkable
282 breadth of innate and adaptive immune gene expression including engagement of genes and gene
283 networks linked to lymphocyte activation, migration and homing, and innate immunity including
284 pathogen recognition receptor signaling, interferon regulatory factor signaling, and type I interferon
285 actions (see **Fig 4**). Our study design of serial IL-15 and dose-escalating administration shows that IL-
286 15 directs a rapid alteration of the blood transcriptome within 1 day to both induce and suppress specific
287 gene expression from pretreatment baseline levels with similarly rapid homeostatic “resetting” of the
288 blood gene expression profile two-days later. Moreover, repeated IL-15 dosing and dose escalation
289 generated a decreased response by day 8 post-initial treatment followed by homeostatic regulation of
290 gene expression through the remaining 21 days of the time course. These results show that the blood
291 response wanes and resets after IL-15 withdrawal. By comparing the whole blood response to IL-15 with

292 the RhCMV/SIV vaccine protection signature we were able to identify the IL-15 response genes of
293 vaccine protection and show that the persistence of this expression signature tracks with vaccine efficacy.
294 The persistence of the IL-15 signature in the vaccinated and protected animals suggests that RhCMV-
295 based vaccination induced IL-15 production by one or more cell and/or tissue types *in vivo*, and that
296 protection from SIV infection occurs when this response persists at least to the time of a virus challenge.
297 As RMs receiving IL-15 became refractory or exhibited reduced response to high dose IL-15 after serial
298 administration, the sustained IL-15 signature in the vaccinated protected animals supports the notion that
299 low, persistent IL-15 production links with RhCMV-SIV vaccine efficacy. Thus, strategies to deliver or
300 produce a low, sustained production and response to IL-15 may serve as effective adjuvant approaches
301 to enhance vaccine efficacy.

302 The basis for the differential induction and maintenance of the protection-associated signaling signature
303 among individual RM is not clear. It is, however, unlikely that differences among RM in vector spread
304 and persistence account for this heterogeneity, as typical protection is observed with pp71-deleted
305 RhCMV/SIV vectors that manifest ~1000-fold reduction in *in vivo* spread compared to the vectors used
306 in this study (10). More likely, host differences in regulation and counter-regulation of these pathways
307 including the production and response of IL-15 underlie protection vs. non-protection. This protective
308 signature is an important correlate of RhCMV/SIV vaccine efficacy in RMs, and thus will be crucial for
309 guiding clinical development of an HCMV/HIV vaccine to recapitulate RhCMV/SIV vector efficacy in
310 humans.

311 **Materials and methods**

312 **Rhesus macaques**

313 The experiments reported in this study used a total of 65 purpose-bred male and female RM (*M. mulatta*)
314 of Indian genetic background, including 15 RM assigned to each of four vaccine groups (oral 68-1
315 vaccination, subQ 68-1 vaccination, an unvaccinated control group and a subQ 68-1 + 68-1.2 vaccinated

316 test group), and 5 RM administered rRh-Het-IL-15 for the IL-15 blood signature assessment. The
317 unvaccinated and subQ vaccinated RM cohorts are also reported in Malouli, *et al* (15). At assignment,
318 all study RM were free of cercopithecine herpesvirus 1, D-type simian retrovirus, simian T-
319 lymphotropic virus type 1, and *Mycobacterium tuberculosis*, but were naturally RhCMV-infected. All
320 study RM were housed at the Oregon National Primate Research Center (ONPRC) in Animal Biosafety
321 level 2 (vaccine phase) and level 2+ (challenge phase) rooms with autonomously controlled temperature,
322 humidity, and lighting. Study RM were both single- and pair-cage housed. Animals were only paired
323 with one another during the vaccine phase if they belonged to the same vaccination group. All RM were
324 single cage-housed during the challenge phase due to the infectious nature of the study. Regardless of
325 their pairing, all animals had visual, auditory and olfactory contact with other animals. Single cage-
326 housed RM received an enhanced enrichment plan that was designed and overseen by RM behavior
327 specialists. RM were fed commercially prepared primate chow twice daily and received supplemental
328 fresh fruit or vegetables daily. Fresh, potable water was provided via automatic water systems. Physical
329 exams including body weight and complete blood counts were performed at all protocol time points. RM
330 were sedated with ketamine HCl or Telazol for procedures, including oral and subQ vaccine
331 administration, venipuncture, and SIV challenge.

332 All vaccinated RM in this study were administered a single set or 2 sets of three RhCMV/SIV vectors
333 (68-1 backbone or 68-1 + 68-1.2 backbones), individually expressing SIV Gag, Retanef (Rev/Tat/Nef)
334 and 5'-Pol (see below), either orally or subcutaneously at a dose of 5×10^6 plaque-forming units per
335 vector, with a second identical vaccination given 18 wks after primary vaccination. At the end of vaccine
336 phase, all vaccinated and unvaccinated RM were SIV challenged by repeated (every 2-3 wks) intra-rectal
337 administration of limiting dose (100-300 focus-forming units) SIV_{mac239X} (described below) until take of
338 infection (onset of sustained plasma viremia and/or *de novo* development of CD4⁺ and CD8⁺ T cell
339 responses to SIV Vif), at which time challenge was discontinued, as previously described (9, 10, 12).

340 For *in vivo* determination of the transcriptomic response to IL-15, a cohort of five RM were treated with
341 rRh-Het-IL-15 prepared by Drs. George Pavalakis (National Cancer Institute, USA) and Jeff Lifson
342 (Frederick National Laboratory, USA) in a dose-escalation manner as follows: 5, 10, and 15 $\mu\text{g}/\text{kg}$ at
343 D0, D3 and D7 respectively. Whole blood was collected in PAXgene tubes at d 1, 3, 4, 7, 8, 10, 14, 17,
344 21, and 29 for transcriptomic analysis. RNA samples from *in vivo* rRh-Het-IL-15 treatment were
345 processed for RNAseq transcriptomic analyses as described below.

346 **Ethical Statement**

347 RM care and all experimental protocols and procedures were approved by the ONPRC Institutional
348 Animal Care and Use Committee. The ONPRC is a Category I facility. The Laboratory Animal Care and
349 Use Program at the ONPRC is fully accredited by the American Association for Accreditation of
350 Laboratory Animal Care and has an approved Assurance (#A3304-01) for the care and use of animals
351 on file with the NIH Office for Protection from Research Risks. The ONPRC adheres to national
352 guidelines established in the Animal Welfare Act (7 U.S.C. Sections 2131–2159) and the Guide for the
353 Care and Use of Laboratory Animals (8th Edition) as mandated by the U.S. Public Health Service Policy.

354 **Vectors and viruses**

355 Construction and characterization of the 68-1 and 68-1.2 RhCMV/SIV vectors, including
356 RhCMV/SIV_{Gag}, RhCMV/SIV_{Retanef(Rev/Tat/Nef)} and RhCMV/SIV_{5'-Pol (Pol-1)} have been previously
357 described (9, 12, 14, 15). Vector stocks were generated on telomerase-immortalized rhesus fibroblasts.
358 SIV transgene expression was confirmed by immunoblot and all virus stocks were analyzed by next
359 generation sequencing before *in vivo* use. Virus titers were determined by 50% tissue culture infective
360 dose endpoint dilution assays. The pathogenic SIV challenge stocks used in these experiments were
361 generated by expanding SIV_{mac239X} (41) in RM PBMCs and were titered using the CMMT-CD4-LTR- β -
362 Gal sMAGI cell assay (National Institutes of Health AIDS Reagent Program).

363 **SIV detection assays**

364 Plasma SIV RNA levels were determined using a gag-targeted quantitative real time/digital RT-PCR
365 format assay, essentially as previously described, with 6 replicate reactions analyzed per extracted
366 sample for assay thresholds of 15 SIV RNA copies/ml(6, 9, 42). Quantitative assessment of SIV DNA
367 and RNA in cells and tissues was performed using gag targeted, nested quantitative hybrid real-
368 time/digital RT-PCR and PCR assays, as previously described (6, 9, 42). SIV RNA or DNA copy
369 numbers were normalized based on quantitation of a single copy rhesus genomic DNA sequence from
370 the *CCR5* locus from the same specimen, as described, to allow normalization of SIV RNA or DNA
371 copy numbers per 10^8 diploid genome cell equivalents. Ten replicate reactions were performed with
372 aliquots of extracted DNA or RNA from each sample, with two additional spiked internal control
373 reactions performed with each sample to assess potential reaction inhibition. Samples that did not yield
374 any positive results across the replicate reactions were reported as a value of “less than” the value that
375 would apply for one positive reaction out of 10. Threshold sensitivities for individual specimens varied
376 as a function of the number of cells or amount of tissue available and analyzed; for graphing consistency,
377 values are plotted with a common nominal sensitivity threshold.

378 **Immunologic assays**

379 SIV-specific CD4⁺ and CD8⁺ T cell responses were measured in peripheral blood mononuclear cells
380 (PBMC) by flow cytometric intracellular cytokine analysis, as previously described(9, 10, 12). Briefly,
381 individual or whole protein mixes of sequential 15-mer peptides (11 amino acid overlap) spanning the
382 SIV_{mac239} Gag, 5'-Pol, Nef, Rev, Tat, and Vif proteins or individual SIV_{mac239} Gag supertope peptides
383 [Gag₂₁₁₋₂₂₂ (53), Gag₂₇₆₋₂₈₄ (69), Gag₂₉₀₋₃₀₁ (73), Gag₄₈₂₋₄₉₀ (120)] were used as antigens in conjunction
384 with anti-CD28 (CD28.2, Purified 500 ng/test: eBioscience, Custom Bulk 7014-0289-M050) and anti-
385 CD49d stimulatory mAb (9F10, Purified 500 ng/test: eBioscience, Custom Bulk 7014-0499-M050).
386 Mononuclear cells were incubated at 37°C with individual peptides or peptide mixes and antibodies for
387 1h, followed by an additional 8h incubation in the presence of Brefeldin A (5 µg ml⁻¹; Sigma-Aldrich).

388 Stimulation in the absence of peptides served as background control. After incubation, stimulated cells
389 were stored at 4°C until staining with combinations of fluorochrome-conjugated monoclonal antibodies
390 including: anti-CD3 (SP34-2: Alexa700; BD Biosciences, Custom Bulk 624040, PerCP-Cy5.5; BD
391 Biosciences, Custom Bulk 624060, and Pacific Blue; BD Biosciences, Custom Bulk 624034), anti-CD4
392 (L200: AmCyan; BD Biosciences, Custom Bulk 658025, BV510; BD Biosciences, Custom Bulk 624340
393 and BUV395; BD Biosciences, Custom Bulk 624165), anti-CD8a (SK1: PerCP-eFluor710; Life Tech,
394 Custom Bulk CUST04424), anti-TNF- α (MAB11: FITC; Life Tech, Custom Bulk CUST03355 and PE;
395 Life Tech, Custom Bulk CUST04596), anti-IFN- γ (B27: APC; BioLegend) and anti-CD69 (FN50: PE;
396 eBioscience, Custom Bulk CUST01282 and PE/Dazzle594; BioLegend) and for polycytokine analyses,
397 anti-IL-2 (MQ1-17H12; PE Cy-7; Biolegend), and anti-MIP-1 β (D21-1351, BV421; BD Biosciences).
398 For analysis of memory differentiation (central- vs transitional- vs effector-memory) of SIV Gag-
399 specific CD4⁺ and CD8⁺ T cells, PBMC were stimulated as described above, except that the CD28 co-
400 stimulatory mAb was used as a fluorochrome conjugate to allow CD28 expression levels to be later
401 assessed by flow cytometry, and in these experiments, cells were surface-stained after incubation for
402 lineage markers CD3, CD4, CD8, CD95 and CCR7 (see below for mAb clones) prior to
403 fixation/permeabilization and then intracellular staining for response markers (CD69, IFN- γ , TNF- α ;
404 note that Brefeldin A treatment preserves the pre-stimulation cell-surface expression phenotype of
405 phenotypic markers examined in this study).

406 Flow cytometry analysis was performed using an LSR-II flow cytometer (BD Biosciences). Data
407 analysis was performed using FlowJo software (Tree Star). In all analyses, gating on the lymphocyte
408 population was followed by the separation of the CD3⁺ T cell subset and progressive gating on CD4⁺
409 and CD8⁺ T cell subsets. Antigen-responding cells in both CD4⁺ and CD8⁺ T cell populations were
410 determined by their intracellular expression of CD69 and either or both of the cytokines IFN- γ and TNF- α .
411 α (or in polycytokine analyses, expression of CD69 and any combination of the cytokines: IFN- γ , TNF- α ,

412 IL-2, MIP-1 β). For longitudinal immunological assessment during vaccine and challenge phases, assay
413 limit of detection was determined, as previously described(7), with 0.05% after background subtraction
414 being the minimum threshold used in this study. After background subtraction, the raw response
415 frequencies above the assay limit of detection were “memory-corrected” (e.g., % responding out of the
416 memory population), as previously described(6, 7, 9, 42), using combinations of the following
417 fluorochrome-conjugated mAbs to define the memory vs naïve subsets: CD3 (SP34-2: Alexa700 and
418 PerCP-Cy5.5), CD4 (L200: AmCyan and BV510), CD8a (SK-1: PerCP-eFluor710, RPA-T8: APC;
419 BioLegend), TNF- α (MAB11; FITC), IFN- γ (B27; APC), CD69 (FN50; PE), CD28 (CD28.2; PE/Dazzle
420 594, BioLegend and BV510, BD Biosciences), CD95 (DX2; PE, BioLegend and PE-Cy7, BioLegend),
421 CCR7 (15053; Biotin, R&D Systems), streptavidin (Pacific Blue, Life Tech and BV605; BD
422 Biosciences, Custom Bulk 624342) and Ki67 (B56; FITC, BD Biosciences, Custom Bulk 624046). For
423 memory phenotype analysis of SIV Gag-specific T cells, all CD4⁺ or CD8⁺ T cells expressing CD69
424 plus IFN- γ and/or TNF- α were first Boolean OR gated, and then this overall Ag-responding population
425 was subdivided into the memory subsets of interest on the basis of surface phenotype (CCR7 vs CD28).
426 Similarly, for polycytokine analysis of SIV Gag-specific T cells, all CD4⁺ or CD8⁺ T cells expressing
427 CD69 plus cytokines were Boolean OR gated and polyfunctionality was delineated with any combination
428 of the four cytokines tested (IFN- γ , TNF- α , IL-2, MIP-1 β) using the Boolean AND function.

429 **RNA sequencing**

430 Whole blood was collected from RM in PAXgene RNA tubes (PreAnalytiX) following the
431 manufacturer's procedures. (PreAnalytiX). RNA was isolated using PAXgene Blood miRNA kits
432 (Qiagen) following the protocol provided with the kit that included an on-column DNase treatment. The
433 quality and concentration of the recovered RNA was determined using a LabChip GXII (PerkinElmer)
434 instrument and a ribogreen-based RNA assay, respectively. mRNA-seq libraries were constructed using
435 Illumina TruSeq® Stranded mRNA HT kit following the manufacturer’s recommended protocol.

436 Libraries were sequenced on an Illumina NextSeq500 sequencer using Illumina NextSeq 500/550 High
437 Output v2 kits (150 cycles) following the manufacturer's protocol for sample handling and loading.
438 Sequencing run metrics were visualized for quality assurance using Illumina's BaseSpace platform, and
439 the quality of mRNA-seq reads were assessed using FastQC version 0.11.3
440 (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc>). Both rhesus globin and ribosomal
441 sequences were filtered via alignments with Bowtie v2.1.0 (43). Adapters were digitally removed using
442 cutadapt, version 1.8.3: <https://doi.org/10.14806/ej.17.1.200>. Subsequently, a minimum of twenty
443 million raw reads were mapped to the *Macaque mulatta* genome Mmul_1 (obtained from iGenomes:
444 https://support.illumina.com/sequencing/sequencing_software/igenome.html) with STAR v2.4.0h1
445 (44) followed by HTSeq-count v0.6.1p1 (45) to generate gene counts.

446 **Evaluation of baseline differences**

447 To determine whether or not a preexisting gene expression pattern was present at W0D0 that might be
448 linked to vaccine protection, we applied hierarchical clustering using the Ward agglomerative clustering
449 method with Euclidean distance to assess wk0, d0 time point normalized gene expression values of each
450 animal compared to one another. Furthermore, the Pearson correlation coefficient was calculated across
451 the wk0, d0 data set, showing that all animals of the training set exhibited wk0, d0 baseline gene
452 expression signatures similar to one another independently of vaccine protection outcome.

453 **Preparation for analysis of differential expression**

454 Based on the raw read counts, outlier samples and genes with a maximum expression across all samples
455 below 100 counts were removed. Using R (v 3.6.0)/Bioconductor(v 3.9), counts were then transformed
456 into counts per million using the voom function(46) in the R library *limma* (47) with a smoothing window
457 of 0.1. CPMs were normalized using the quantile method. Differential expression was performed using
458 the *limma* package in R/Bioconductor (48). Additional graphics packages were utilized for the
459 visualization of numbers of DE genes (*ggplot2*; <https://ggplot2.tidyverse.org>) and heat maps (*gplots*;

460 <https://www.rdocumentation.org/packages/gplots/versions/3.1.0>) using wk0, d0 as the common baseline
461 comparator for each animal in the training set and the validation set cohorts.

462 **Differential expression analysis**

463 To determine the list of significantly differentially expressed genes in the RhCMV/SIV vector-
464 vaccinated cohort as well as in the rRh-Het-IL-15 experiment, we used the *lmFit* function in the R library
465 *limma* (47). Genes with a false discovery rate (FDR)-adjusted p-value ≤ 0.05 and absolute \log_2 fold
466 change (compared to baseline) above 1.5 were defined as significantly differentially expressed (DE). To
467 define gene correlates of vaccine protection we determined the set of genes for which the baseline-
468 subtracted expression values significantly differed between protected and non-protected outcome groups
469 of the training set cohort (DDE). Genes with FDR-adjusted $p \leq 0.05$ and absolute $\log_2(\text{FC})$ (across
470 protection groups) above 1.5 were defined as significantly differentially DE (DDE). These genes were
471 identified using the interaction effect between time point (compared to baseline) and vaccine protection.

472 **Principle component analysis (PCA)**

473 PCA was performed on the per-timepoint mean $\log_2(\text{FC})$ values, using all expressed genes and averaged
474 over the RMs within each treatment and outcome group. We used the R function PCA in the *FactoMineR*
475 library, with variance scaling enabled and keeping 7 dimensions in the output. For each of the top 7
476 dimensions, we included the genes most highly correlated with the PC. The number of genes selected
477 from each dimension was the dimension's percentage explained variance times 2.5 (an arbitrary value
478 selected to balance figure size with information content).

479 **Exploratory pathway analyses**

480 Enrichment tests and network analyses for pathways and upstream regulators were performed using
481 Ingenuity Pathway Analysis (49) (Sept 2019 version for Fig. 2; March 2020 version for Fig3). IL-15-
482 regulated networks were identified using GeneMANIA(50). Co-expression analyses were performed
483 only on DE genes. We conducted clustering analysis using Ward clustering and Euclidean distance on

484 the union of $\log_2(\text{FC})$ values using the *WGCNA*, *heatmap.2*, and *EdgeR* Bioconductor packages in R(51-
485 53).

486 **Hierarchical cluster analysis and heatmap generation**

487 For correlation analysis of the fold-change values displayed in heatmaps, we used midweight
488 bicorrelation, a modified version of Pearson correlation (bicor function in the R library *WGCNA*), and
489 complete linkage clustering. The clusters were defined using the cutree function (R library *stats*) (54).
490 All trees were cut with height 1.4, which corresponds to a midweight bicorrelation coefficient of -0.4
491 because the analysis is constructed by shifting the correlations to the range (0,2), resulting in four clusters
492 in both instances. The heatmaps were drawn using the heatmap.2 function (R library *gplots*).

493 **Permutation testing**

494 To formally test whether the DDE and pathway-linked gene signatures significantly differentiate
495 protected vs. unprotected RMs over the course of study, we devised and followed a formal statistical
496 analysis plan. Briefly, we defined a test statistic aggregating over genes and time and compared this to a
497 null distribution that controls for the observed data (including all correlations across genes, which is
498 ignored in the primary linear modeling analysis described above). In this procedure we first calculate,
499 for each gene, the absolute value of the mean over time of the difference in $\log_2(\text{FC})$ across protection
500 groups. For the DDE analysis, the primary test statistic is the sum of the above value across all
501 significantly DDE genes. We then compare this value to its empirical null distribution, approximated by
502 sampling protection outcomes within each treatment group over 5000 permutations sampled with
503 replacement. Note that for each permutation, the list of significantly DDE genes is allowed to change
504 (the value of the test statistic was set to zero for permutations in which no genes were significantly DDE).
505 We then repeated this analysis, where instead of the DDE gene list, we used the gene lists related to the
506 IL-15 response, TCR signaling pathway, TLR signaling pathway, and Inflammasome pathway. These
507 gene lists are fixed and do not vary across permutations; otherwise, all other aspects of the fixed-list

508 analyses were identical to the DDE permutation analysis. Unadjusted p-values are the proportion of the
509 5000 permutations \geq the observed test statistic (a one-sided test; the statistic is an absolute value, so it
510 is always positive). To evaluate the hypothesis that the IL-15 response signature component of DDE
511 genes is expressed among protected RM more than non-protected RM, we devised a consistency test
512 statistic that directly addresses the hypothesis that protected RM have a response to vaccination
513 consistent with a response to IL-15 administration. This test statistic compares across protection
514 categories a summary measure indicating the extent to which the gene response to RhCMV/SIV
515 vaccination is consistent with the response to IL-15 administration (up-regulated genes going up, down-
516 regulated genes going down), by measuring the difference across two gene lists of the sum of the average
517 $\log_2(\text{FC})$ over time: the total among those genes up-regulated by IL-15 administration minus the total
518 among those down-regulated by it. For these analyses, instead of sampling from all possible permutations
519 with replacement, we evaluated the support of the null hypothesis exhaustively resulting in an exact test
520 (with 5005 possible configurations in evaluating the validation cohort, and 6435 when evaluating the
521 subQ cohort). Unadjusted p-values for these tests are the proportion of the null distribution \geq the
522 observed test statistic (testing a one-sided hypothesis).

523 **Additional statistical analyses**

524 Pre-vaccination transcriptome correlation analysis was conducted using Pearson correlation. Viral load
525 and immunologic data are presented as boxplots with jittered points and a box from 1st to 3rd quartiles
526 (IQR) and a line at the median, with whiskers extending to the farthest data point within $1.5 \times \text{IQR}$ above
527 and below the box. Analyses of longitudinal ICS data were performed by calculating the per-RM average
528 T-cell response over three periods: post-prime peak (2-6 weeks), post-boost peak (20-24 weeks), and
529 plateau (61-90 weeks), and comparing these values between RMs receiving subQ vs. oral vaccine using
530 the nonparametric Wilcoxon rank-sum test. All P-values are based on two-sided tests and unadjusted
531 except where noted. Adjusted P-values were computed using the Holm procedure for family-wise error

532 rate control.

533 **Data and code availability**

534 Transcriptomics data sets are deposited at the Gene Expression Omnibus
535 <https://www.ncbi.nlm.nih.gov/geo/> under accession number GSE160562. The R markdown code applied
536 to these analyses can be accessed at <https://github.com/galelab/GaleGEAnalysis> and at
537 <https://github.com/komorowskilab/R.ROSETTA>.

538 Links to software:

539 STAR aligner: <https://github.com/alexdobin/STAR>

540 Bowtie2: <http://bowtie-bio.sourceforge.net/bowtie2/index.shtml>

541 HTseq: <https://github.com/simon-anders/htseq>

542 FASTQC: <https://www.bioinformatics.babraham.ac.uk/projects/fastqc/>

543 Cutadapt: <https://github.com/marcelm/cutadapt>

544 Venny: <http://bioinfo.gp.cnb.csic.es/tools/venny/index.html>

545 Ingenuity Pathway Analysis: [https://digitalinsights.qiagen.com/products-overview/discovery-](https://digitalinsights.qiagen.com/products-overview/discovery-insights-portfolio/analysis-and-visualization/qiagen-ipa/)
546 [insights-portfolio/analysis-and-visualization/qiagen-ipa/](https://digitalinsights.qiagen.com/products-overview/discovery-insights-portfolio/analysis-and-visualization/qiagen-ipa/)

547 Genemania: <https://genemania.org/>

548 **Author contributions**

549 SGH planned and performed animal experiments and immunologic assays, assisted by CMH, DM, KR,
550 ANS, JCF, EA, and RMG. MKA supervised animal procedures and care. JDL planned and supervised
551 SIV quantification by PCR/RT-PCR assisted by KO, RS, RF, and WJB. GNP, BKF, and JDL prepared
552 and provided rRh-Het-IL-15 and developed methodology for use. YF and BER performed the *in vivo*
553 analysis of rRh-Het-IL-15 administration to RM. LL supervised sample intake, processing, and
554 assignment for RNAseq. ES, JC, and IG conducted RNA processing, library construction, sequencing,
555 and computational quality control of sequence reads. FB conducted bioinformatics analyses, including

556 linear modeling. JK developed linear modeling applications. CD, RRG, XP, LW, DN, SF, and RPS
557 conducted specific bioinformatics analyses. PTE planned, conducted and supervised statistical analyses,
558 assisted by EW, JS, and WS. LJP conceived the RhCMV vector strategy, supervised all RM experiments
559 and immunologic analyses, analyzed and interpreted data. MG led the RNA sequencing and
560 bioinformatics analyses. LJP and MG cowrote the manuscript. Correspondence and request for materials
561 should be addressed to either MG (mgale@uw.edu) or LJP (pickerl@ohsu.edu).

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703 **Abbreviations**

704 Bo, boost; DE, differentially expressed; DDE, differential expression of DE genes (protected vs. non-
705 protected), EM, effector-memory; FC, fold-change; FDR, false discovery rate; ICS, intracellular
706 cytokine staining; IRF, interferon regulatory factor; ISGs, interferon stimulated genes; ONPRC, Oregon
707 National Primate Research Center; PI3K, phosphatidylinositol responsive kinase; Pr, prime; preCh, pre-
708 challenge; PC, principal component; PCA, principal component analysis; RhCMV, Rhesus
709 Cytomegalovirus; RMs, rhesus macaques; SIV, Simian Immunodeficiency Virus; subQ, subcutaneous
710

711

712 **Figure legends**

713 **Figure 1. Virologic and immunologic responses in Rh/CMV vaccination and SIV challenge. (A)**

714 Schematic of the vaccine phase of the two cohorts of RM (n = 15 each) administered the 68-1
715 RhCMV/SIV vector set by either subcutaneous or oral routes at wk0 Pr and wk18 Bo, indicating time
716 points for which whole blood samples were collected for RNAseq analysis. Repeated limiting dose
717 SIV_{mac239} challenge was initiated at wk91. **(B,C)** Assessment of the outcome of effective challenge by
718 longitudinal analysis of the *de novo* development of SIV Vif-specific CD4⁺ and CD8⁺ T cell responses
719 **(B)** and plasma viral load **(C)**. RM were challenged until the onset of any above-threshold SIV Vif-
720 specific T cell response, with the SIV dose administered 2 or 3 weeks prior to this response detection
721 considered the infecting challenge (week 0). RM with sustained viremia were considered not protected;
722 RM with no or transient viremia were considered protected (9, 10, 12). **(D)** Bone marrow (BM),
723 peripheral lymph node (LN) and peripheral blood mononuclear cell (PBMC) samples from all vaccine-
724 protected RM and representative non-protected or unvaccinated control RM, collected from between day
725 28 and day 56 post-SIV infection, were analyzed by nested, quantitative PCR/RT-PCR for cell-
726 associated SIV DNA and RNA. The horizontal line indicates the threshold of detection (B.T. = below
727 threshold) with data points below this line reflecting no positive reactions across all replicates. Above
728 threshold cell-associated SIV RNA was detected in LN and BM of all protected RM, confirming SIV
729 infection take.

730 **Figure 2. Identification of DE genes after RhCMV/SIV vaccination in protected vs. non-protected**

731 **RM. Figure S3. Wk0, d0 signature comparison. (A, B)** Week 0, d0 signature comparisons. **(A)** Ward
732 hierarchical clustering of animals by their log count per million (CPM) values at baseline was performed
733 to evaluate initial similarity between animals. O and S indicate animals from oral or subQ vaccine
734 administration, respectively. Red: protected, black: non-protected. **(B)** Pearson correlation matrix of

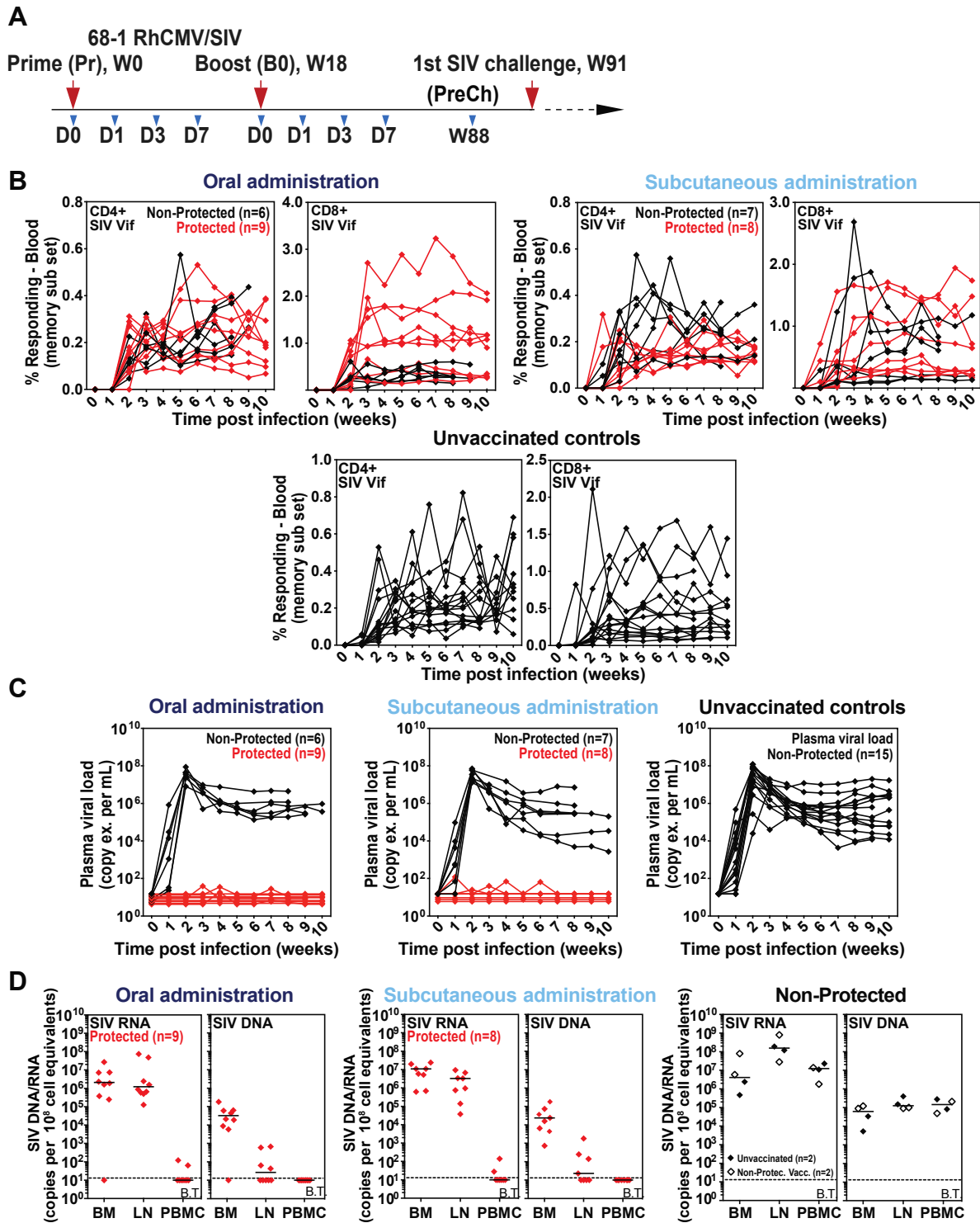
735 animals based on their log CPM values at baseline to evaluate initial similarity. Animals are grouped by
736 protection status and oral (green) or subQ (blue) vaccine administration. **(C)** PCA of the per-time point
737 mean log₂ fold-change (FC) values, using all expressed genes and averaged over the RMs within each
738 treatment and outcome group, showing the mean log₂(FC) of all expressed genes per time point in oral
739 (circles) and subcutaneous (diamonds) for protected (red) and non-protected (black) RMs. **(D)** Upper:
740 number of DE genes per time point in each group. Lower: Heatmap showing genes most associated with
741 each PC, for the first 7 principal components. Percent variance explained by each PC is shown at left.
742 Prime, boost, and pre-challenge time points are shown at bottom.

743 **Figure 3. Gene and pathway correlates of protection.** **(A)** Heatmap showing all DDE genes. Four
744 clusters were defined using hierarchical clustering. **(B)** Ingenuity pathway analysis of the four major
745 DDE gene clusters. **(C)** Network of direct physical interactions between major enriched immune
746 pathways with red and blue arrows indicating activating and inhibitory interactions, respectively. P-
747 values for the association of each pathway with vaccine protection are based on permutation testing. **(D)**
748 Network overview of JAK-STAT signaling in enriched interleukin pathways. **(E)** Heatmap of gene
749 expression changes for expressed interleukin genes (**left**), and their enrichment as upstream regulators
750 of DDE genes using Ingenuity analysis (**right**).

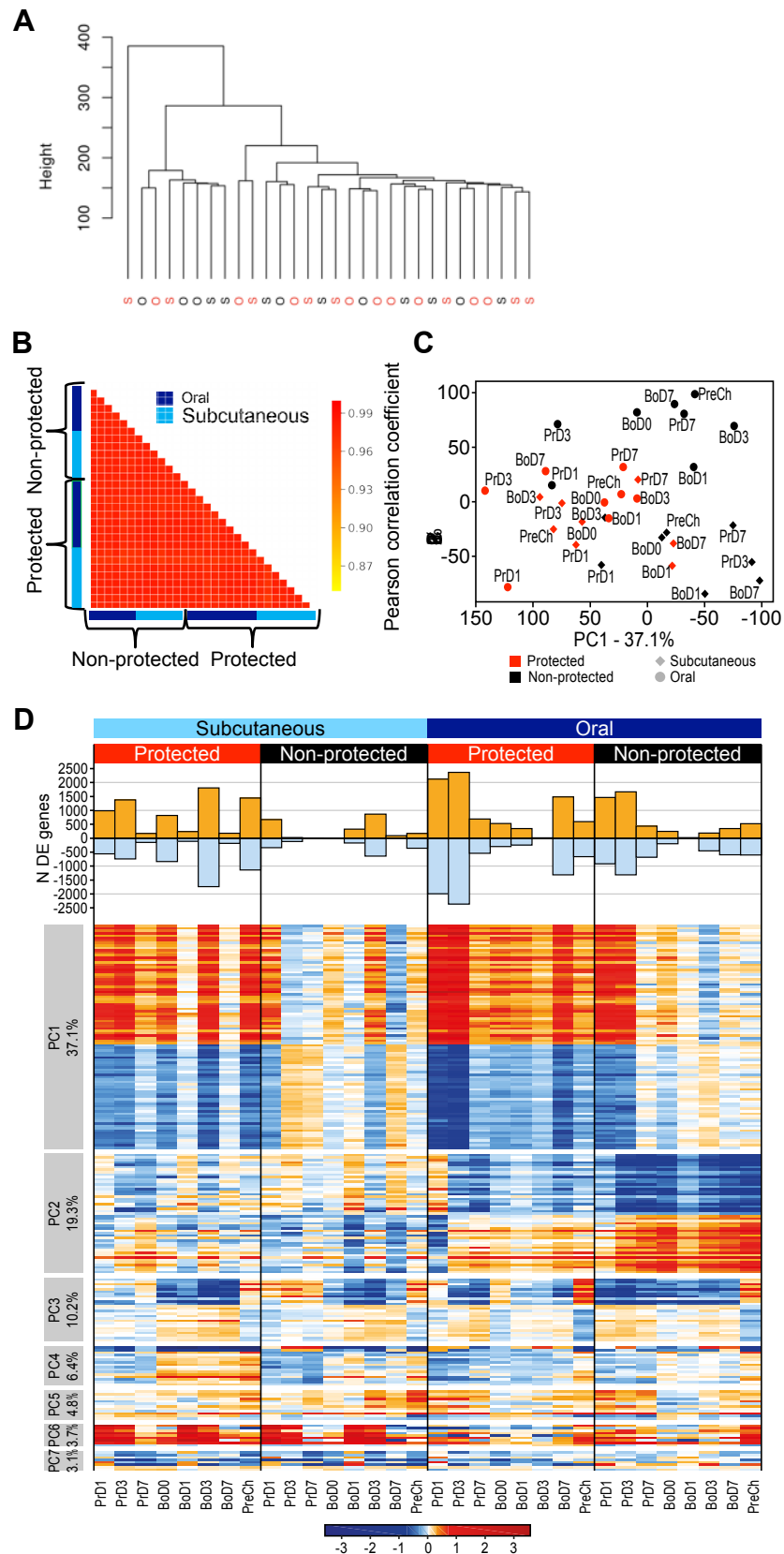
751 **Figure 4. The IL-15 response links with correlates of vaccine protection.** **(A)** Study design – RM
752 treatment with rRh-Het-IL-15. **(B)** Heatmap of DDE gene correlates of protection regulated by IL-15
753 (IL-15 DE genes on d1 post-administration). **(C)** Ingenuity pathway and upstream regulator enrichment
754 analyses for gene cluster A and B from panel B. **(D, E)** *De novo* network of genes from Cluster 1 (D),
755 and (E) Cluster 2 were constructed in GeneMania. Transcription factor nodes are indicated by thick
756 borders with connections shown as gray lines and blue arrows, showing co-expression interactions
757 (GeneMania) and direct transcription factor-target interactions (Ingenuity), respectively.

758 **Figure 5. Validation of the IL-15 response signature of protection.** (A) Heatmaps comparing the IL-
759 15 response signature (overlap of DDE and day 1 IL-15 DE genes as shown in **Fig. 4 B**) in the validation
760 cohort (left panel; 68-1 + 68-1.2 RhCMV/SIV vaccinated; 6 of 15 protected) vs. the original subQ 68-1
761 RhCMV/SIV vaccinated cohort (right panel; 8 of 15 protected). P-values for the association of the IL-
762 15 response signature with vaccine protection are 0.074 and 0.030 for the validation and SubQ cohorts,
763 respectively (based on permutation testing). (B) Pearson correlation coefficients between the IL-15
764 response signature $\log_2(\text{FC})$ after one day of IL-15 administration and the group average $\log_2(\text{FC})$ at
765 each time point from the DE analysis of the validation cohort (**left**) and the 68-1 subQ vaccinated cohort
766 (**right**). Red and black points indicate correlation with values in protected and non-protected RMs,
767 respectively.
768

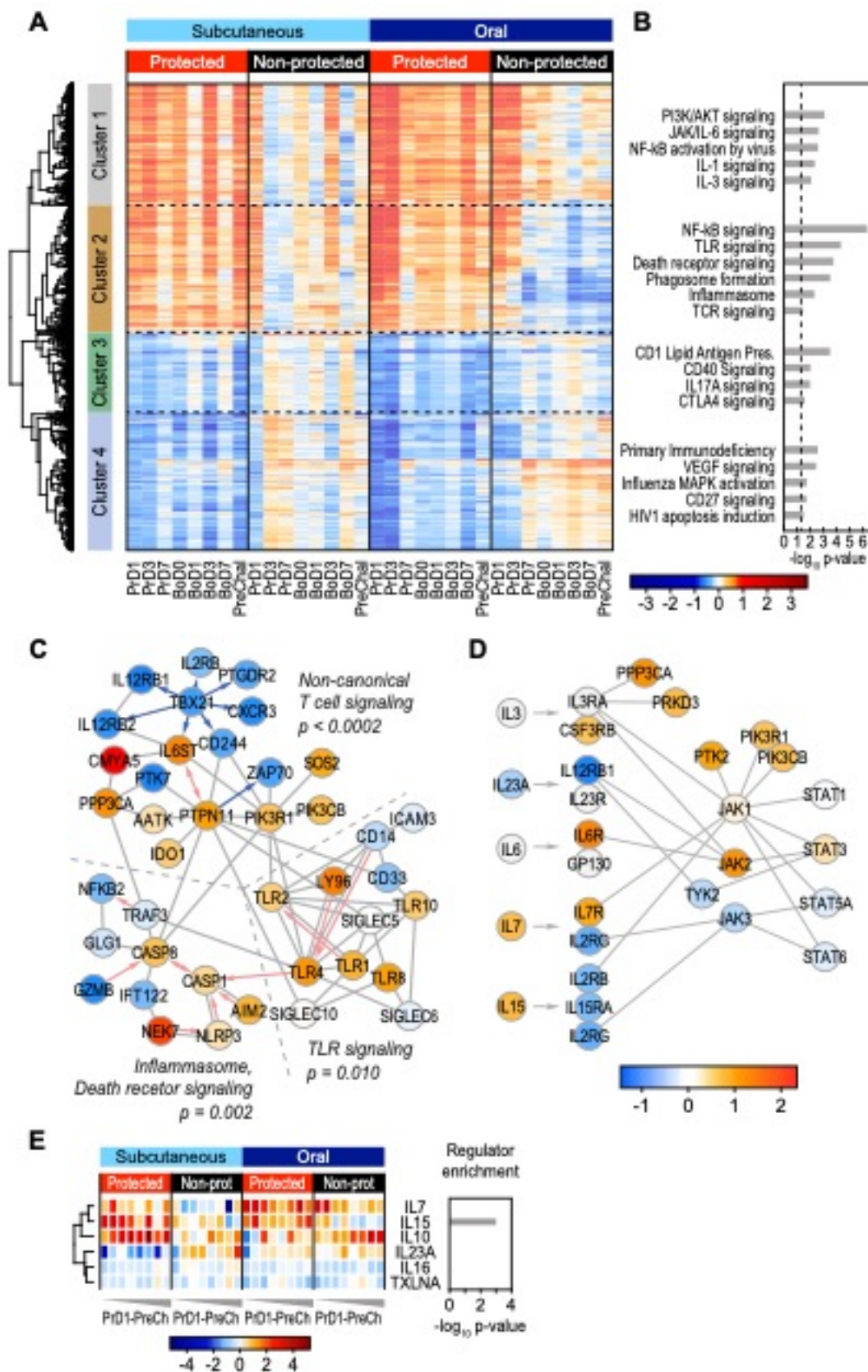
769 **Figure 1**



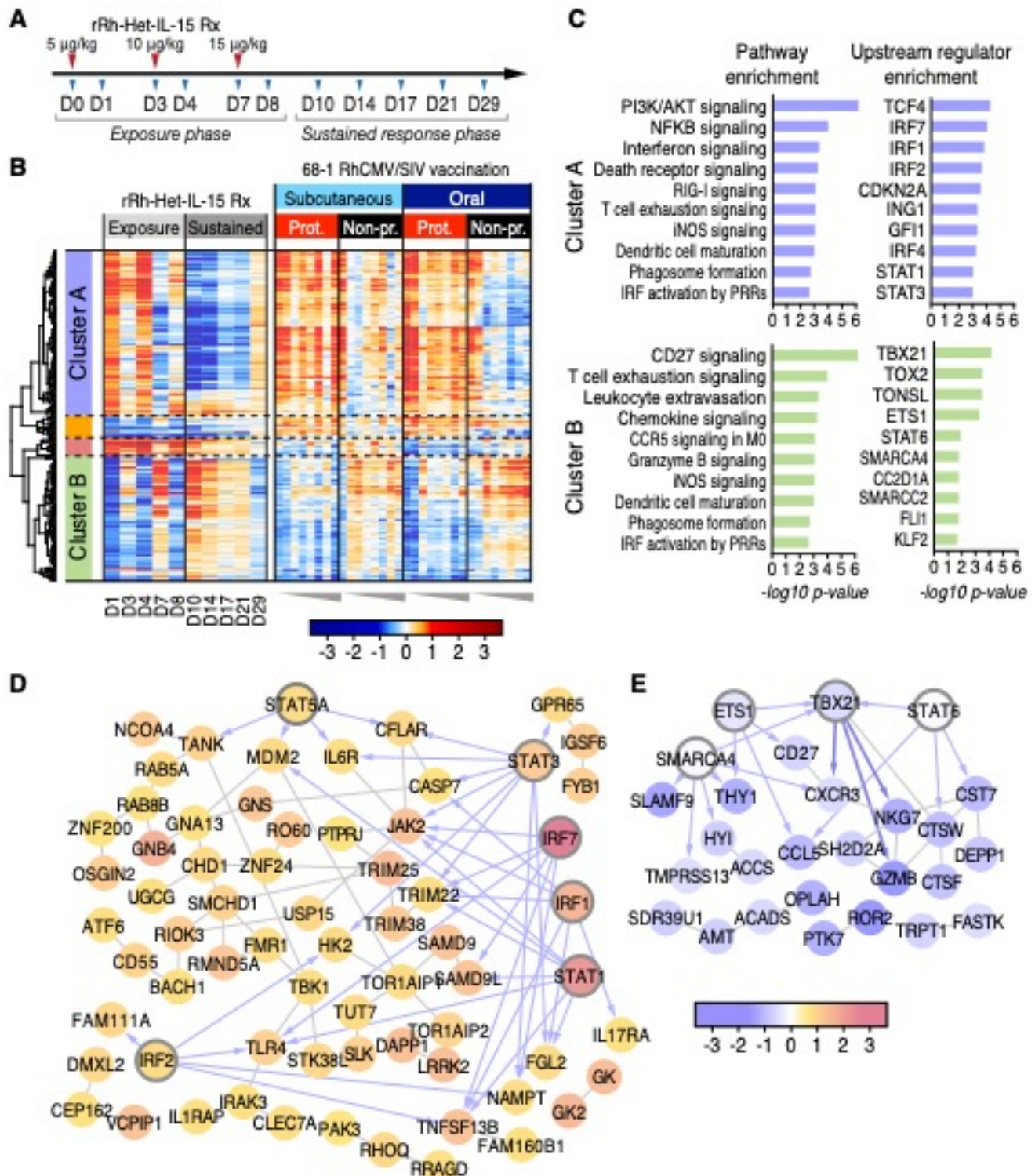
771 **Figure 2**



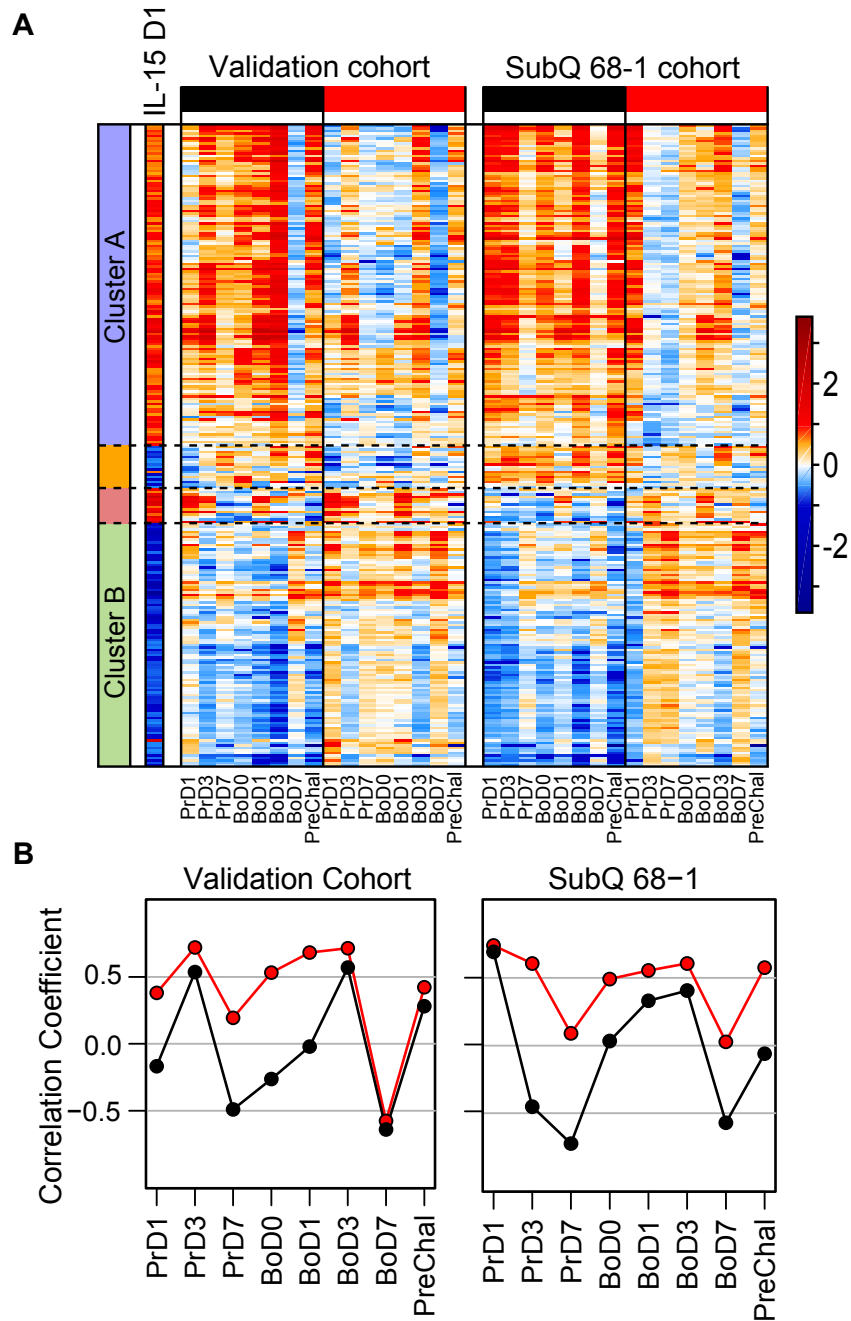
772 **Figure 3**



773 **Figure 4**



774 **Figure 5**



775 **Supporting Information**

776 **Supplemental Tables**

777 Table S1: SubQ and oral cohort fold-changes (from baseline; FC), unadjusted P-values, and adjusted P-
778 values of DE genes of 68-1 oral and subQ cohort RMs vaccinated with RhCMV/SIV.

779 Table S2: Estimates of differences in DE across protection groups for DDE genes of 68-1 oral and subQ
780 cohort RMs vaccinated with RhCMV/SIV, with unadjusted and adjusted P-values.

781 Table S3: SubQ and oral cohort fold-changes (from baseline), unadjusted P-values, and adjusted P-
782 values of IL-15 response genes for all ds (Sheet 1) and 1d after rRh-Het-IL-15 administration (Sheet 2).

783 Table S4: SubQ and oral cohort fold-changes (from baseline), unadjusted P-values, and adjusted P-
784 values of DDE genes in 68-1 oral and subQ cohort RMs that are also IL-15 response genes.

785 Table S5: Validation cohort fold-changes (from baseline), unadjusted p-values, and adjusted P-values of
786 validation cohort DE genes.

787 Table S6: Validation cohort fold-changes (from baseline), unadjusted p-values, and adjusted P-values of
788 DDE genes in 68-1 oral and subQ cohort RMs that are also IL-15 response genes.

789

790 **Supplemental Figures**

791 Figure S1. Immunogenicity of 68-1 RhCMV/SIV vectors in subcutaneously vs. orally vaccinated RM.

792 Figure S2. The magnitude and phenotype of SIV-specific CD4⁺ and CD8⁺ T cell responses in blood do
793 not predict 68-1 RhCMV/SIV vector efficacy.

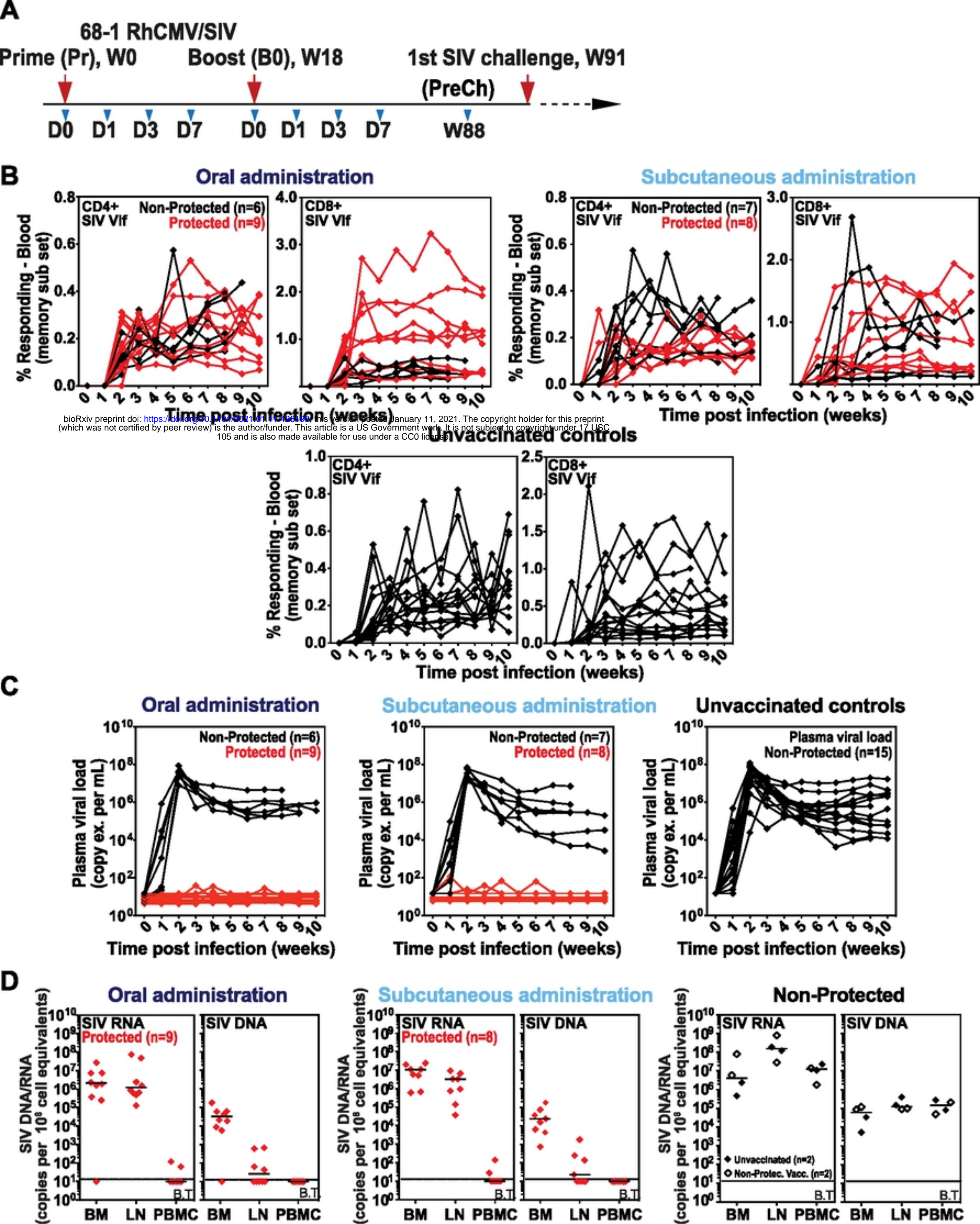


Figure 1

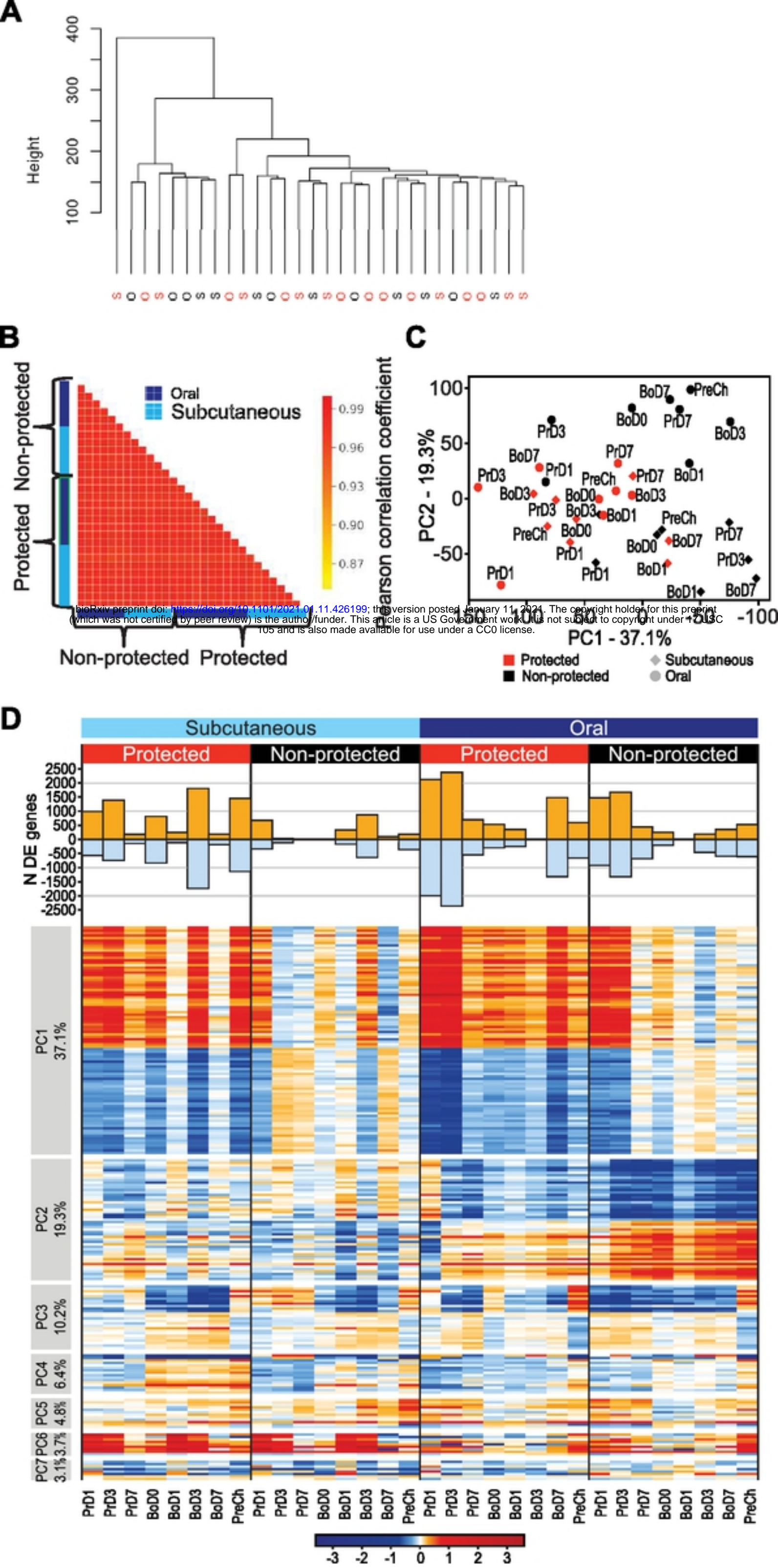


Figure 2

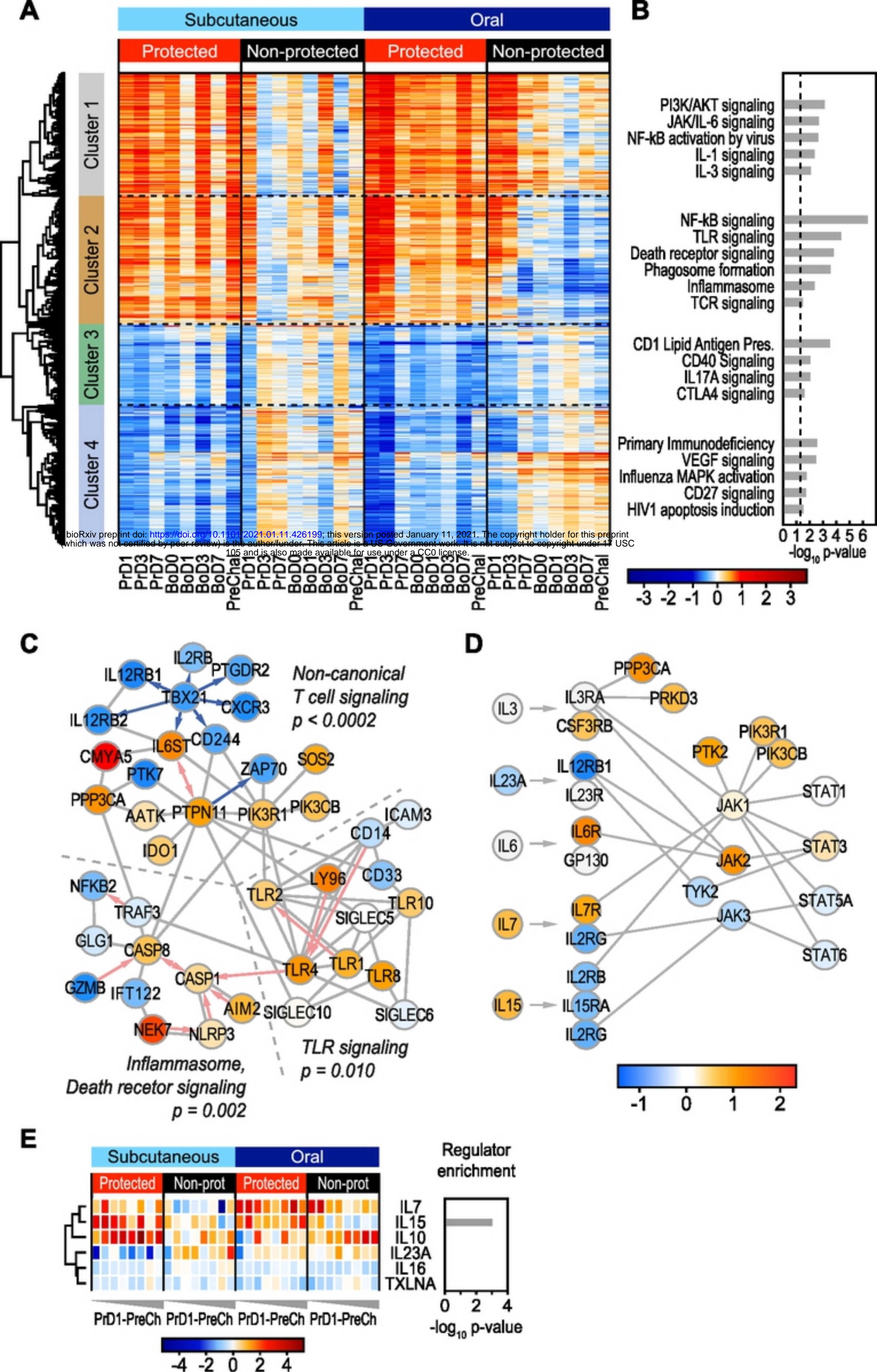


Figure 3

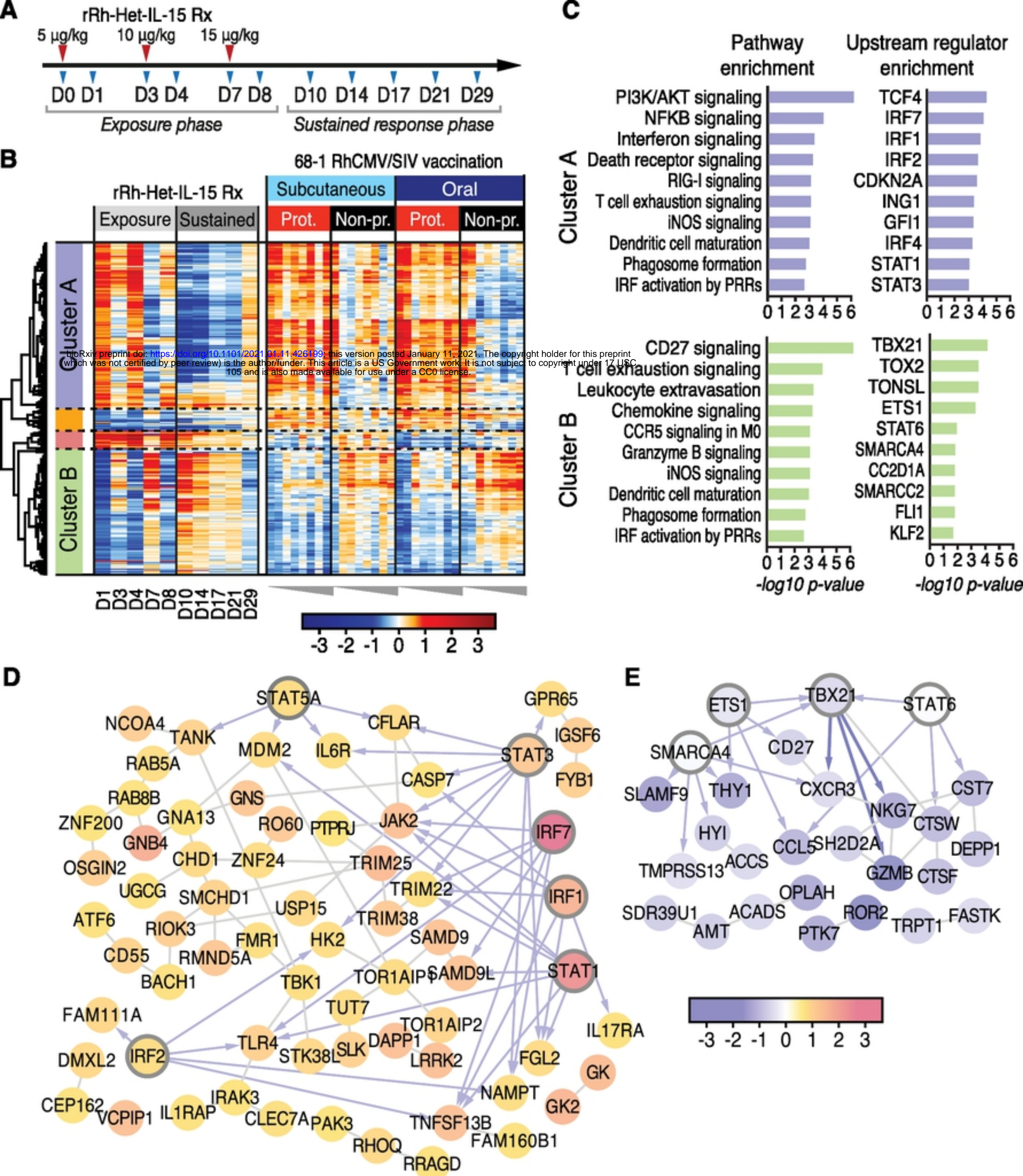


Figure 4

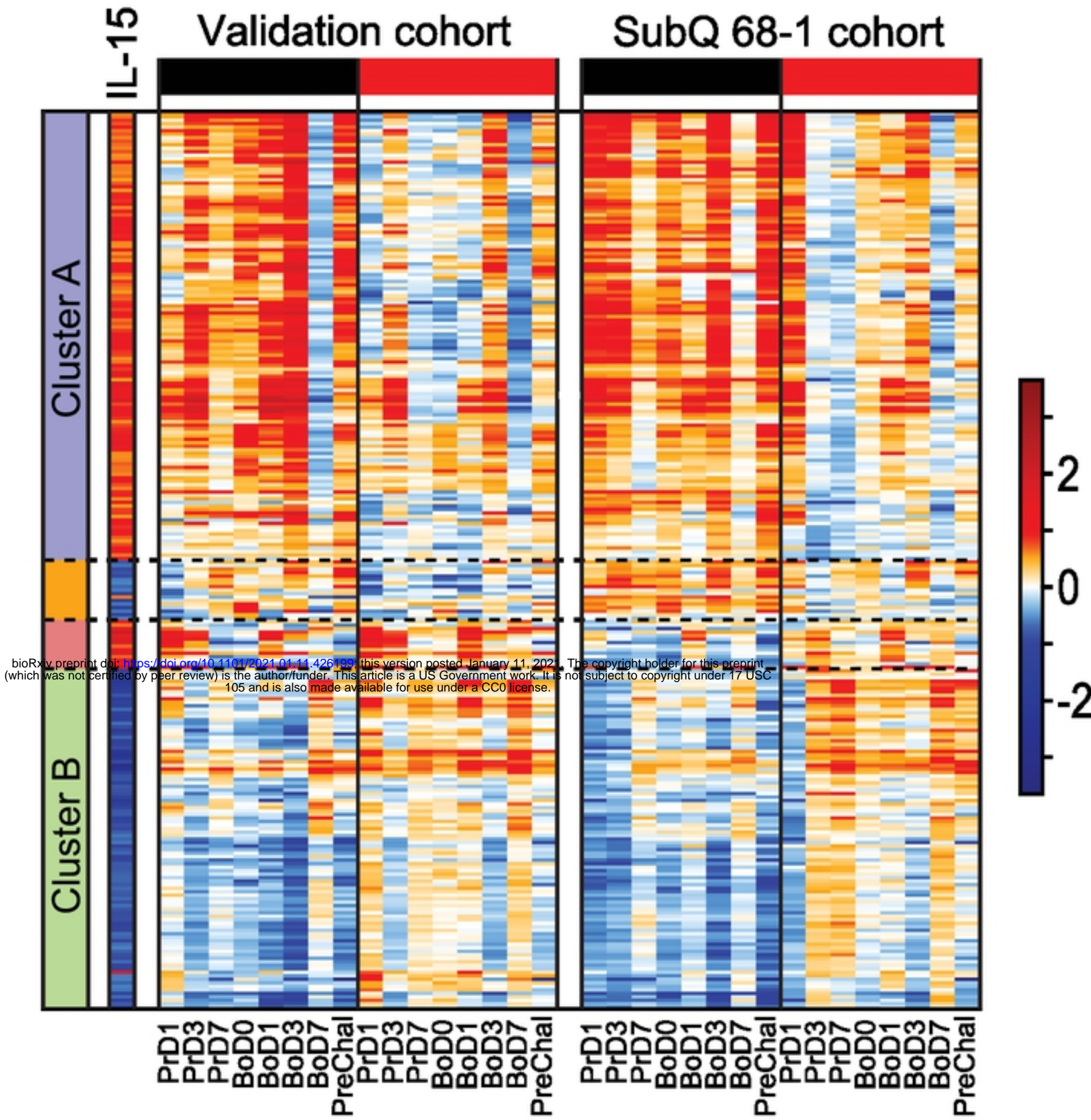
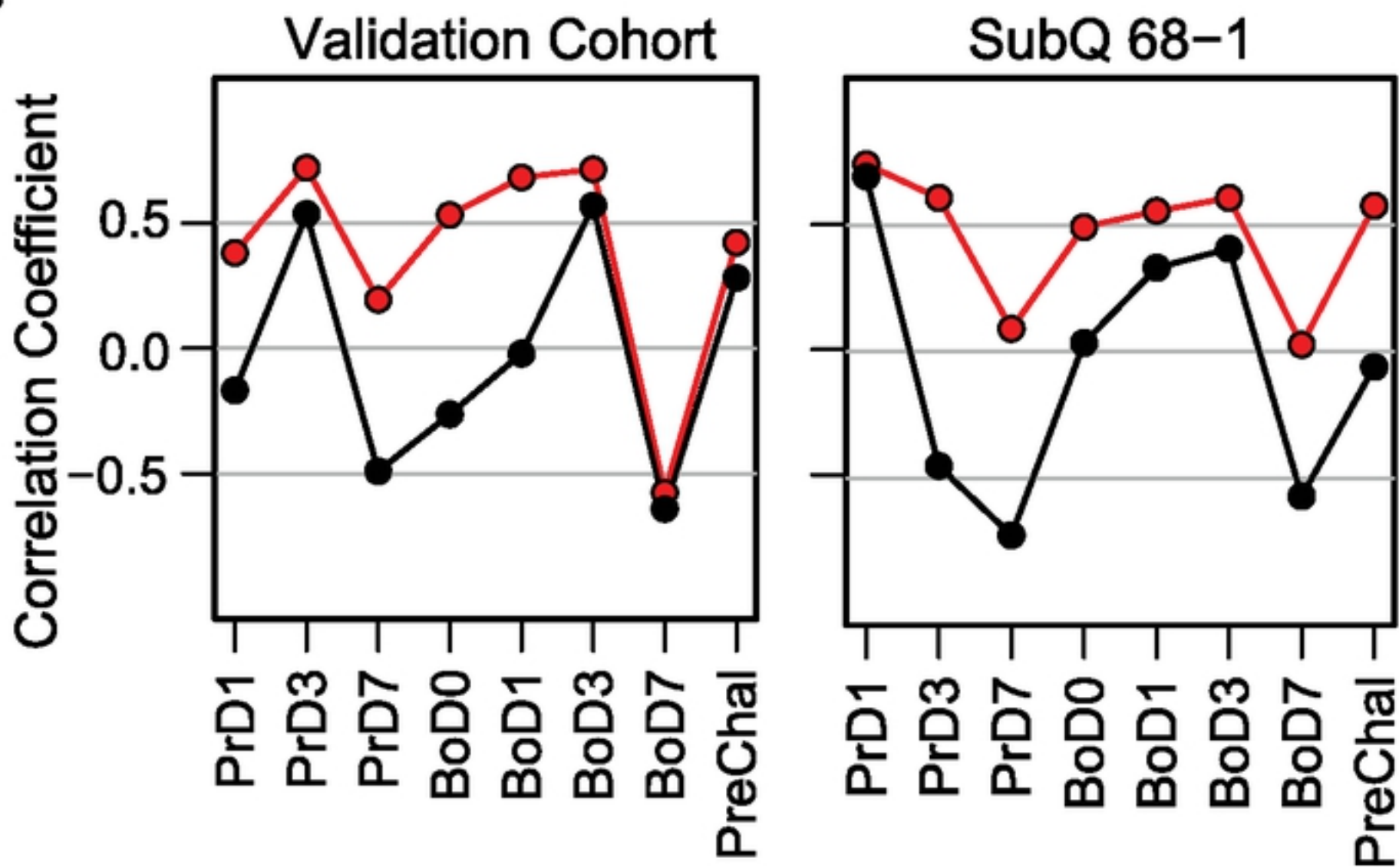
A**B**

Figure 5