

1 **TITLE**

2 Taxonomy-aware, sequence similarity ranking reliably predicts phage-host relationships

3

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15

16 **ABSTRACT**

17 **Motivation:** Similar regions in virus and host genomes provide strong evidence for phage-host  
18 interaction, and BLAST is one of the leading tools to predict hosts from phage sequences.  
19 However, BLAST-based host prediction has three limitations: (i) top-scoring prokaryotic  
20 sequences do not always point to the actual host, (ii) mosaic phage genomes may produce matches  
21 to many, typically related, bacteria, and (iii) phage and host sequences may diverge beyond the  
22 point where their relationship can be detected by a BLAST alignment.

23 **Results:** We created an extension to BLAST, named Phirbo, that improves host prediction quality  
24 beyond what is obtainable from standard BLAST searches. The tool harnesses information  
25 concerning sequence similarity and bacteria relatedness to predict phage-host interactions. Phirbo  
26 was evaluated on two benchmark sets of known phage-host pairs, and it improved precision and  
27 recall by 25 percentage points, as well as the discriminatory power for the recognition of phage-  
28 host relationships by 10 percentage points (Area Under the Curve = 0.95). Phirbo also yielded a  
29 mean host prediction accuracy of 60% and 70% at the genus and family levels, respectively,  
30 representing a 5% improvement over BLAST. When using only a fraction of phage genome  
31 sequences (3 kb), the prediction accuracy of Phirbo was 5-11% higher than BLAST at all  
32 taxonomic levels.

33 **Conclusion:** Our results suggest that Phirbo is an effective, unsupervised tool for predicting  
34 phage-host relationships.

35 **Availability:** Phirbo is available at <https://github.com/aziele/phirbo>.

36

37 **KEYWORDS**

38 phage-host prediction, phage, prokaryote, bacteria, virus, genome sequence

## 39 INTRODUCTION

40 Prokaryotic viruses (phages) are the most abundant entities across all habitats and represent a vast  
41 reservoir of genetic diversity [1]. Phages mediate horizontal gene transfer and constitute a major  
42 selection pressure that shapes the evolution of bacteria [2]. Prokaryotic viruses also affect  
43 biogeochemical cycles and ecosystem dynamics by controlling microbial growth rates and  
44 releasing the contents of microbial cells into the environment [2,3]. Moreover, phages play a key  
45 role in shaping the composition and function of the human microbiome in health and disease [4–  
46 6]. Recently, there has been renewed interest in phage therapy and phage-based biocontrol of  
47 harmful bacteria [7,8] in medical treatment [9,10] and the food industry [11,12]. Hence,  
48 characterizing phage–host interactions is critical to understanding the factors that govern phage  
49 infection dynamics and their subsequent ecological consequences [13].

50  
51 The scope of phage-host interactions is poorly understood, although it has been hypothesized that  
52 all prokaryotic organisms fall prey to viral attacks [1]. Methods for studying phage-host  
53 interactions primarily rely on cultured virus-host systems; however, recent *in silico* approaches  
54 suggest a much broader range of hosts may be susceptible to viral infections [14]. These methods  
55 predict prokaryotic hosts based on sequence composition [15,16], direct sequence similarity  
56 between phages and hosts [14], analysis of CRISPR spacers or tRNAs [13,17], as well as  
57 supervised approaches that integrate several sequence-based methods [18,19].

58  
59 Despite significant progress in phage-host predictions, the classic BLAST [20] algorithm is  
60 currently the most effective, unsupervised method for identifying phage-host interactions [14,15].  
61 Depending on the dataset, the tool finds the correct genus level host for 40-60% of phages [14,15].  
62 The task of finding a host for a given phage using BLAST is conceptualized as obtaining the host  
63 sequence with the highest similarity to the query phage sequence. However, restricting host  
64 predictions to the first top-scored prokaryotic sequence has three limitations. First, the true host  
65 may not be the top-scoring match in the BLAST results. Second, selecting a prokaryotic host based  
66 on the first sequence assumes that a phage infects a single host. Although phages are generally  
67 host-specific, some may infect multiple host species [21,22]. Finally, many distantly-related  
68 prokaryotic species may obtain a comparable BLAST score for a query phage due to spurious  
69 alignments. These ambiguous host predictions require further manual curation of the taxonomic  
70 or phylogenetic relationship between the top-scored prokaryotic species to select the true host(s).

71  
72 We have addressed these issues by developing a simple extension to BLAST, named Phirbo, that  
73 exploits the information contained in the full BLAST results, rather than its top-ranking matches.  
74 Phirbo improved the accuracy of finding hosts, beyond what is found from the best BLAST match,  
75 by relating phage and host sequences through intermediate, common reference sequences that are  
76 potentially homologous to both phage and host queries. Subsequent quantification of the  
77 overlapping signals allows for the reliable prediction of phage-host interactions without the need

78 for direct comparisons between the phage and host sequences and without any prior knowledge of  
79 their phylogenetic or taxonomic context.

80

## 81 RESULTS

82

### 83 Phirbo algorithm overview

84 This algorithm is based on the assumption that the degree of similarity between phage and host  
85 sequences is proportional to the overlap between ranked similarity matches of each sequence to  
86 the same reference data set of prokaryotic sequences. Specifically, to compare a pair of phage ( $P$ )  
87 and host ( $H$ ) sequences, we first perform two independent BLAST searches against the reference  
88 database of prokaryotic genomes ( $D$ )—one BLAST search for phage and the other for the host  
89 query (Fig. 1a). The two lists of BLAST results (Fig. 1b),  $P \rightarrow D$  and  $H \rightarrow D$ , contain prokaryotic  
90 genomes ordered by decreasing sequence similarity (i.e., bit-score). To avoid a taxonomic bias due  
91 to multiple genomes of the same prokaryote species, we rank prokaryotic species according to  
92 their first appearance in the BLAST list (Fig. 1c). In this way, both lists represent phage and host  
93 profiles consisting of the ranks of top-score prokaryotic species.

94

95 The properties of these lists (Fig. 1c) closely resemble the outcome of an Internet search and can  
96 be characterized by four features: (i) species listed at the top of each ranking are more important  
97 (similar) to the query than those listed at the bottom; (ii) the lists may not be conjoint (some species  
98 may appear in one ranking but not in the other); (iii) the ranking lists may vary in length (BLAST  
99 may return few prokaryotic matches in response to virus sequences in contrast to thousands of  
100 matches in cases of multiple-species prokaryotic families); (iv) two or more species from the  
101 database may achieve the same BLAST score and, therefore, occupy the same position on the  
102 ranking list (Fig. 1c). A recently introduced similarity measure used for comparing the rankings  
103 of Web search engine results [23], the Rank-Biased Overlap (RBO), satisfies these four conditions.  
104 The RBO algorithm starts by scoring the overlap between the sub-list containing the single top-  
105 ranked item of each list. It then proceeds by scoring the overlaps between sub-lists formed by the  
106 incremental addition of items further down the original lists. Each consecutive iteration has less  
107 impact on the final RBO score as it puts heavier weights on higher-ranking items by using  
108 geometric progression, which weighs the contribution of overlaps at lower ranks (see ‘Methods’).  
109 An overall RBO score falls between 0 and 1, where 0 signifies that the lists are disjoint (have no  
110 items in common) and 1 means the lists are identical in content and order. Our results indicate that  
111 the extent of the phage-host relationship can be estimated by the application of an RBO  
112 measurement to the ranking lists generated from BLAST results (Fig. 1d).

113

### 114 Phirbo differentiates between interacting and non-interacting phage-host pairs

115 To assess the discriminatory power of Phirbo to recognize phage-host interactions, we used two  
116 published reference data sets: Edwards *et al.* (2016) [14], which contains 2,699 complete bacterial  
117 genomes and 820 phages with reported hosts, and Galiez *et al.* (2017) [16] that has 3,780 complete

118 prokaryotic genomes and 1,420 phage genomes. For each data set, we compared the distribution  
119 of Phirbo scores between all known phage-host interaction pairs and the same number of randomly  
120 selected non-interacting phage-prokaryote pairs (**Fig. 2**). The scores obtained by Phirbo in both  
121 data sets separated the interacting from non-interacting phage-host pairs more than the BLAST  
122 scores. The median Phirbo score across interacting phage-host pairs was nearly 1,500 times greater  
123 than for non-interacting pairs, while the median BLAST score was three times higher for  
124 interacting pairs than non-interacting pairs (**Supplementary Table 1**). Both methods, however,  
125 differentiated between interacting and non-interacting phage-host pairs with higher accuracy than  
126 WIsH — the state-of-the-art, alignment-free, host prediction tool [16].  
127

128 To further examine the discriminatory power of Phirbo across all possible phage-prokaryote pairs,  
129 we used receiver operating characteristic (ROC) curves (**Fig. 2a,b**). The area under the ROC  
130 (AUC), which measured the discriminative ability between interacting and non-interacting phage-  
131 host pairs, was higher for Phirbo (AUC = 0.95) in the Edwards *et al.* and Galiez *et al.* data sets  
132 than for BLAST (AUC = 0.86) and WIsH (AUC = 0.78-0.79). An additional advantage of Phirbo  
133 was its capacity to score phage-host pairs whose sequence similarity could not be established by a  
134 direct BLAST comparison but, instead, through other, ‘intermediate’ prokaryotic sequences that  
135 were detectably similar to both phage and host query sequences. For example, BLAST did not  
136 provide scores for 20% of the interacting phage-host pairs in the Edwards *et al.* and Galiez *et al.*  
137 data sets due to alignment score thresholds (**Supplementary Table 2**). Using the same BLAST  
138 lists, Phirbo evaluated 99% of the interacting phage-hosts pairs. This high coverage indicated that  
139 nearly every pair of phage-prokaryote sequences could be related by at least one common  
140 prokaryotic sequence detectably similar to both the phage and host sequences.  
141

### 142 **Phirbo has the highest host prediction performance**

143 To evaluate host prediction performance, we used precision-recall (PR) curves, which provide  
144 more reliable information than ROC when benchmarking imbalanced data sets for which the non-  
145 interacting pairs vastly outnumber the interacting pairs [24,25]. Accordingly, we plotted PR curves  
146 for Phirbo, BLAST, and WIsH predictions obtained from the Edwards *et al.* (**Fig. 3a**) and Galiez  
147 *et al.* (**Fig. 3b**) data sets. Overall, Phirbo performed better at host prediction at the species level  
148 than BLAST and WIsH, regardless of the data set. The area under the PR curve (AUPR), which  
149 summarized overall performance, was higher in Phirbo by 25 percentage points (AUPR = 0.56-  
150 0.65) than in BLAST (AUPR = 0.33-0.41). Phirbo also reported the highest F1 score (an average  
151 of precision and recall [see ‘Methods’]) in the Edwards *et al.* and Galiez *et al.* data sets (**Fig. 3**).  
152 Specifically, the precision and recall of Phirbo were 59-65% and 57-64%, respectively, while  
153 BLAST had precision and recall in the range of 28-43% (**Fig. 3**). Furthermore, Phirbo yielded  
154 slightly higher specificity (99.7-99.8%) and accuracy (99.5-99.6%) than BLAST or WIsH.  
155

### 156 **Phirbo preserves BLAST top-ranked host predictions**

157 We further evaluated the host prediction accuracy of Phirbo by selecting a top-scored prokaryotic  
158 sequence for each phage [14–16,18]. Briefly, host prediction accuracy is calculated as the  
159 percentage of phages whose predicted hosts have the same taxonomic affiliation as their respective  
160 known hosts (if multiple top-scoring hosts are present, the prediction is scored as correct if the true  
161 host is among the predicted hosts). Phirbo restored all hosts predicted by BLAST in the datasets  
162 by Edwards *et al.* and Galiez *et al.*, achieving the same prediction accuracy as BLAST across all  
163 taxonomic levels (**Table 1**). Of note, BLAST found multiple different host species with equal  
164 scores for 14 phage genomes. This was observed in phages infecting bacteria from the  
165 Enterobacteriaceae family and the Rhodococcus and Bacillus genera. However, Phirbo assigned  
166 the highest score to the correct host species (**Supplementary Table 3**). Additionally, it refined the  
167 host prediction for the Cronobacter phage ENT39118 sequence, which BLAST assigned to the  
168 *Escherichia coli* genome. Phirbo revealed *Cronobacter sakazaki* as the primary host species, as  
169 the BLAST list of the Cronobacter phage is more similar in content and order to the BLAST list  
170 of *C. sakazaki* (Phirbo score = 0.50) than *E. coli* (Phirbo score: 0.48) (**Figure S1**).

171  
172 As Phirbo links phage to host through common sequences, the content of the sequence database  
173 was the main factor defining host prediction quality. Since the similarity between viruses may  
174 indicate a common host [18,26], we expanded the two BLAST databases of prokaryotic sequences  
175 obtained from Edwards *et al.* and Galiez *et al.* by phage sequences ( $n = 820$  and  $n = 1420$ ,  
176 respectively), and recalculated Phirbo scores between every phage-prokaryote pair. The phage-  
177 host linkage through homologous prokaryotic and phage sequences increased the host prediction  
178 accuracy of Phirbo at all taxonomic levels, allowing correct identification of hosts at the genus  
179 level for 56-63% of phages (**Table 1**). Specifically, Phirbo refined BLAST mis-predictions for 55  
180 phage genomes and showed which sequences demonstrated low similarity to the sequences of their  
181 host species. The direct BLAST alignments of these phage sequences, and the sequences of their  
182 corresponding hosts, obtained significantly lower scores than alignments obtained by the other  
183 known phage-host pairs ( $P = 1.9 \times 10^{-45}$ , Mann–Whitney U test). Notably, Phirbo also assigned  
184 correct host species for 18 phages whose hosts were not reported in the BLAST results, mainly  
185 Chlamydia species, *Vibrio cholerae*, and the opportunistic pathogen, *Acinetobacter baumannii*.

### 186 187 **Phirbo is suitable for incomplete phage sequences**

188 We tested the robustness of our host prediction algorithm to fragmentation of the phage sequence.  
189 Following earlier studies [15,16,18], phage genomes from Edwards *et al.* and Galiez *et al.* data  
190 sets were randomly subsampled to generate contigs of different lengths (20 kb, 10 kb, 5 kb, 3 kb,  
191 and 1 kb) with 10 replicates. Host prediction accuracy was calculated as the mean percentage of  
192 phages whose predicted hosts had the same taxonomic affiliation as their respective known hosts  
193 (**Fig. 4**). Although Phirbo achieved equal host prediction accuracy with BLAST across all contig  
194 lengths, it had substantially higher overall performance in terms of AUC and AUPR (**Figure S2**;  
195  $P < 10^{-5}$ , Wilcoxon signed-rank test). Surprisingly, BLAST-based methods obtained higher host

196 prediction accuracy across all contig lengths compared to WIsH, a tool designed to predict the  
197 hosts of short viral contigs (**Fig. 4**).

198  
199 The host prediction accuracy of Phirbo was examined using the expanded BLAST database of  
200 both prokaryotic and phage full-length sequences. To ensure fairness, for each tested phage contig  
201 we removed its corresponding full-length sequence from the BLAST database and recalculated  
202 Phirbo scores between the phage contig and every prokaryotic sequence. This approach  
203 outperformed BLAST at every contig length across all taxonomic levels in both data sets (**Fig. 4**).  
204 Generally, the host prediction accuracy of Phirbo improved by 5-11 percentage points compared  
205 to the BLAST results. For example, when the contig length was 3 kb, the prediction accuracy of  
206 Phirbo was 8-11% higher than BLAST at the family level, and 8-17% higher than WIsH (**Fig. 4**;  
207 **Supplementary Table 4**). Phirbo also achieved the highest AUC and AUPR scores when  
208 discriminating between interacting and non-interacting phage-host pairs (**Figure S2**).

209  
210 **Phirbo uses multiple protein and non-coding RNA signals for host prediction**  
211 We investigated the sequence information used by BLAST and Phirbo for host prediction. For  
212 each phage that was correctly assigned to the host species by both tools ( $n = 485$ ), we calculated  
213 the fraction of the phage genome that was included in the segments aligned with prokaryotic  
214 sequences (sequence coverage). This analysis revealed that our tool used three times more phage  
215 sequence (median sequence coverage: 35%) than BLAST (12%) (**Figure S3**;  $P < 10^{-15}$ , Wilcoxon  
216 signed-rank test). This increased sequence coverage indicates that different genome regions of the  
217 phages map to the genomes of prokaryotic species other than the host species. For 214 of the 485  
218 phages, more than half of their genomes were aligned to genomes of their host species  
219 (**Supplementary Table 5**). Such large regions of homology are likely prophages or phage debris  
220 left by large-scale recombination events during phage replication. The observed high sequence  
221 coverage points to the virus taxa, known for their temperate lifestyle and frequent recombination  
222 with host genomes (i.e., Siphoviridae family as well as the Peduovirinae and Sepvirinae  
223 subfamilies).

224  
225 To further examine the properties of sequences that may be exchanged between a phage and its  
226 host, we selected a population of phages with sequence coverage below 50% ( $n = 271$ ). These  
227 phages, which are less likely to represent complete prophages, belong to 16 viral families  
228 (**Supplementary Table 6**). Next, we re-annotated the genomic sequences of the phages to find  
229 putative protein and non-coding RNA (ncRNA) genes. Phage sequence regions used by Phirbo for  
230 host predictions were significantly enriched ( $P < 10^{-5}$ ) in more than a hundred protein families of  
231 known or probable function. In contrast, only half of the protein families were used in BLAST-  
232 based host predictions (**Supplementary Table 7**). The protein families used by Phirbo covered  
233 most of the processes of the viral life cycle including DNA replication, cell lysis, recombination,  
234 and packaging of the phage genome (**Fig. 5**). In contrast to BLAST, Phirbo also exploited the  
235 information contained in phage ncRNAs while assigning phages to host genomes. The vast

236 majority of these ncRNAs (>90%) were tRNAs, which showed significant overrepresentation in  
237 the phage sequence fragments used by Phirbo ( $P = 6 \times 10^{-12}$ ) (**Supplementary Table 8**). The  
238 remaining ncRNAs belonged to group I introns (3%), RNAs associated with genes associated with  
239 twister and hammerhead ribozymes (1%), skipping-rope RNA motifs (1%), and 12 less abundant  
240 RNA families.

241  
242 **Implementation and availability**  
243 Predicting hosts from phage sequences using BLAST is accomplished by querying phage  
244 sequences against a database of candidate hosts. However, Phirbo also uses information about  
245 sequence relatedness among prokaryotic genomes. Therefore, it requires ranked lists of prokaryote  
246 species generated by BLAST for the phage and host genomes. The computational cost of querying  
247 every host sequence against the database of all candidate hosts using BLAST may still be a limiting  
248 factor. However, for mass host searches, the computational cost of all-versus-all host comparisons  
249 becomes marginal, as it must be done only once. After the relatedness among host genomes is  
250 established, the time required for Phirbo host predictions is negligibly higher than the time for  
251 typical BLAST-based host predictions. For example, running Phirbo between ranked lists of host  
252 species for 1,420 phages and 3,860 candidate hosts from Galiez *et al.* (resulting in ~5.5 million  
253 phage-host comparisons) took 8 minutes on a 16-core 2.60GHz Intel Xeon.

254  
255 As Phirbo operates on rankings, BLAST can be replaced by an alternative sequence similarity  
256 search tool to reduce the time to estimate homologous relationships between host genomes. For  
257 instance, Mash [27] computed host relationships in 5 minutes for the Edwards *et al.* and Galiez *et al.*  
258 *al.* data sets (see ‘Methods’). The host prediction performance of Phirbo using BLAST-based  
259 rankings for phages and Mash-based rankings for host genomes is high compared to the  
260 performance of Phirbo predictions using BLAST rankings for both phage and host genomes  
261 (**Supplementary Table 9**).

262  
263 We envisage Phirbo as a natural extension to standard BLAST-based host predictions. The Phirbo  
264 tool is written in Python and freely available at <https://github.com/aziele/phirbo/>.

265  
266 **DISCUSSION**  
267 The identification of similar sequence regions between host and phage genomes using BLAST has  
268 been a baseline for the identification of putative virus-host connections in numerous metagenomic  
269 projects [13,28,29]. However, a BLAST search requires regions with significant similarity  
270 between the query phage and host [14–16]. Yet, many phage and host sequences lack sufficient  
271 similarity and escape detection with standard BLAST searches. To tackle this issue, alignment-  
272 free tools have been developed to predict hosts from phage sequences [14–16,30]. The rationale  
273 behind these tools is based on the observation that viruses tend to share similar patterns in codon  
274 usage or short sequence fragments with their hosts [14–16]. As virus replication is dependent on  
275 the translational machinery of its host, some phages adapt their codon usage to match the

276 availability of tRNAs during viral replication in the host cell [31–33]. Similar oligonucleotide  
277 frequency use may be driven by evolutionary pressure on the virus to avoid recognition by host  
278 restriction enzymes and CRISPR/Cas defense systems [32,34]. Although state-of-the-art  
279 alignment-free tools (i.e., WIsH [16] and VirusHostMatcher [15]) can rapidly assess sequence  
280 similarity between any pair of phage and prokaryote sequences, they are less accurate for host  
281 prediction than BLAST [14,15]. The relatively high accuracy of BLAST suggests that localized  
282 similarities of genetic material may be a stronger indication of phage-host interactions than global  
283 convergence of their genomic composition. This evidence comes in the form of protein-coding  
284 DNA fragments and non-coding RNAs. The latter group is dominated by tRNA genes, which are  
285 strongly over-represented in direct BLAST alignments between phages and their hosts, and are  
286 even more prevalent among indirect connections used by Phirbo. This may be important, as  
287 previous studies have shown that not all phage tRNA genes come directly from their hosts. Some  
288 appear to be derived from genomes of other, often distantly related, bacteria and may be the result  
289 of earlier evolutionary events [35]. For protein-coding genes, a more diverse picture emerges.  
290 Proteins rich in phage-host BLAST alignments can be assigned into different functional categories  
291 including phage virion components, replication-related proteins, regulatory factors, and proteins  
292 involved in the metabolism of the host. The transfer of some over-represented families in phages  
293 and/or prophages has been previously reported (e.g., lytic proteins, DNA replication and  
294 recombination proteins, and enzymes involved in nucleotide and energy metabolisms [36]) and  
295 some of these genes are connected with the phage-host range [37,38]. However, no clear pattern  
296 emerges after analyzing the functions of the remaining, over-represented proteins.

297  
298 In this study, we attempted to expand the information content of a single local alignment of phage  
299 and host sequences by incorporating the results of multiple local alignments between a phage  
300 sequence and different prokaryotic genomes. This approach may more closely resemble a manual  
301 assignment of phage-host pairs, where an expert analyst not only considers a top-ranked matching  
302 prokaryote in the BLAST results, but also uses the information contained in other, less significant,  
303 matches and their sequence and taxonomic similarity. Through a taxonomically-aware  
304 stratification scheme, this approach tracks the multilateral dynamics of horizontal gene transfer.  
305 Therefore, we propose to relate phage and host sequences through multiple intermediate sequences  
306 that are detectably similar to both the phage and host sequences. By linking phage and host  
307 sequences through similar sequences, Phirbo achieved a more comprehensive list of phage-host  
308 interactions than BLAST. Simultaneously, Phirbo was capable of assessing almost all phage-host  
309 pairs, bringing the method closer to alignment-free tools, which compute scores between all  
310 possible phage and host pairs. Thus, our approach can be directly applied to different phage and  
311 prokaryote data sets without training or optimizing the underlying RBO algorithm. We  
312 intentionally avoided machine learning components in Phirbo to ensure the general applicability  
313 of the approach and avoid possible overfitting.

314



315 Our results show that expanding the information obtained from plain similarity comparisons by  
316 incorporating taxonomically-grounded measurements of phage-host similarity leads to improved  
317 accuracy of phage-host predictions. The Phirbo method provides the phage research community  
318 with an easy-to-use tool for predicting the host genus and species of query phages, which is usable  
319 when searching for phages with appropriate host specificity and for correlating phages and hosts  
320 in ecological and metagenomic studies.

321

## 322 **METHODS**

323

### 324 **Virus and prokaryotic host data sets**

325 The data sets analyzed in this study were retrieved from two previously published phage-host  
326 studies [14,16]. The first set (Edwards *et al.* 2016 [14]) contained 2,699 complete bacterial  
327 genomes obtained from NCBI RefSeq and 820 RefSeq genomes of phages for which the host was  
328 reported. The data set encompassed 16,757 known virus-host interaction pairs and 2,196,424 pairs  
329 for which interaction was not reported (non-interacting phage-host pairs). The second data set  
330 (Galiez *et al.* 2017 [16]) contained 3,780 complete prokaryotic genomes of the KEGG database  
331 and 1420 phages for which host species were reported in the RefSeq Virus database. The data set  
332 consisted of 26,024 interacting- and 5,341,576 non-interacting virus-host pairs.

333

### 334 **Phirbo score**

335 The interaction score for a given phage-host pair was calculated using the RBO metric. RBO [23]  
336 is a measurement of rank similarity that compares two lists of different lengths (giving more  
337 attention to high ranks on the lists). RBO ranges from 0 to 1, where a greater value indicates greater  
338 similarity between lists. Equation 1 was used for the calculation of the RBO value between two  
339 ranking lists,  $S$  and  $T$ .

340

$$341 \quad RBO(S, T, p) = (1 - p) \sum_{d=1}^n p^{d-1} A(S, T, d)$$

342

343 where the parameter  $p$  ( $0 < p < 1$ ) determines how steeply the weight declines (the smaller the  $p$ ,  
344 the more top results are weighted). When  $p = 0$ , only the top-ranked item is considered, and the  
345 RBO score is either zero or one. In this study, we set  $p$  to 0.75, which assigned ~98% of the weight  
346 to the first 10 hosts.  $A(S, T, d)$  is the value of overlap between the two ranking lists,  $S$  and  $T$ , up to  
347 rank  $d$ , calculated by Eq. 2.  $n$  is the number of distinct ranks on the ranking list.

348

$$349 \quad A(S, T, d) = \frac{|S_{:d} \cap T_{:d}|}{|S_{:d} \cup T_{:d}|}$$

350

351 where  $S_{:d}$  and  $T_{:d}$  represents the elements present in the first  $d$  ranks of lists  $S$  and  $T$ , respectively.

352

### 353 **Host prediction tools**

354 The host prediction tools BLAST [20], WIsH [16], and Phirbo were run separately in the Edwards  
355 *et al.* and Galiez *et al.* data sets. For each tool, sequence similarity scores were calculated across  
356 all combinations of phage-host pairs. BLAST 2.7.1+ [39] was run with default parameters (task:  
357 blastn, *e*-value threshold = 10) to query each phage sequence against a database of candidate host  
358 genomes. For each BLAST alignment, the highest bit-score between every phage-host pair was  
359 reported (for phage-host pairs that were absent in the BLAST results, a bit-score of 0 was  
360 assigned). For RBO host prediction, an additional BLAST search was performed to establish  
361 ranked lists of genetically similar host genomes. Specifically, a nucleotide BLAST was run with  
362 default parameters to query each host sequence against a database of candidate host genomes. As  
363 an alternative to BLAST, Mash 2.1 [27] was used with default parameters (*k*-mer size = 21, sketch  
364 size = 1,000) to establish ranked lists for each host by comparing its sequence against the database  
365 of candidate host genomes. RBO scores were calculated between all pairwise combinations of  
366 phage and host ranking lists. WIsH 1.0 [16] was used with default parameters to calculate log-  
367 likelihood scores between all pairwise combinations of phage-host sequences.

368

### 369 **Evaluation metrics**

370 The metrics of host prediction performance were calculated using sklearn (i.e., AUC, AUPR,  
371 recall, precision, specificity, and accuracy) [40]. Optimal score thresholds to calculate recall,  
372 precision, specificity, and accuracy was computed as maximizing the F1 score, an accuracy metric,  
373 which is the harmonic mean of precision and recall. Host prediction accuracy was evaluated  
374 analogous to previous studies [14,16,18]. Specifically, for each query phage, the host with the  
375 highest score to the query virus was selected as the predicted host. In cases where multiple hosts  
376 were predicted, the prediction was scored as correct if the correct host was among the predictions.  
377 The prediction accuracy was calculated at each taxonomic level as the percentage of viruses whose  
378 predicted hosts shared a taxonomic affiliation with known hosts.

379

### 380 **Phage genome annotation**

381 To define phage genes potentially exchanged between phage and host genomes, we re-annotated  
382 485 phage genomes that were correctly assigned to host species by both Phirbo and BLAST. The  
383 genes were classified into predefined pVOGs (prokaryotic Virus Orthologous Groups) [41] and  
384 RNA families [42]. Briefly, open reading frames (ORFs) in the analyzed 485 phage genomes were  
385 identified using Transeq from EMBOSS [43]. The ORFs were then assigned to the respective  
386 orthologue group by HMMsearch (*e*-value < 10<sup>-5</sup>) against the database of Hidden Markov Models  
387 (HMMs) created for every of 9,518 pVOG alignments using HMMbuild of HMMER v3.3.1 [44].  
388 Non-coding RNAs (ncRNAs) were predicted in the phage genomes (*e*-value < 10<sup>-5</sup>) using Rfam  
389 covariance models v14.3 [42] and the Infernal tool v1.1.3 [45]. We counted the number of times  
390 each pVOG and Rfam term was present in phage sequences used by BLAST and Phirbo during  
391 host prediction. To determine whether the observed level of pVOG/Rfam counts was significant

392 within the context of all the terms within the phage genome, we calculated the  $p$ -value using the  
393 hypergeometric distribution implemented in Scipy [46].

394

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397 benchmark data sets used in their studies. We likewise acknowledge William Webber for  
398 assistance with modifying the RBO formula to account for tied ranks. The computations were  
399 performed at the Poznan Supercomputing and Networking Center.

400

#### 401 **AUTHOR CONTRIBUTIONS**

402 AZ conceived the project and designed the experiments. AZ and JB wrote Phirbo and tested its  
403 performance. WMK provided the conceptual framework for sequence comparisons through  
404 intermediate sequences and reviewed the software and manuscript. AZ and JB analyzed the results  
405 and wrote the paper. All authors read and approved the final manuscript.

406 **FIGURE LEGENDS**

407

408 **Figure 1. Calculation of the interaction score between phage and host sequences.** **a.** The  
409 BLAST search of phage and prokaryote sequences against a reference dataset result in **b.** two  
410 BLAST lists containing prokaryote matches ordered by decreasing similarity (i.e., bit-score). **c.**  
411 BLAST lists were converted into rankings of prokaryote species. The ranked lists differ in  
412 content: *Yersinia rohdei* and *Y. ruckeri* are present in the first ranking list but absent in the  
413 second list, while *Shigella dysenteriae* and *Erwinia toletana* are only present in the second list.  
414 Two species, *Y. rohdei* and *Y. ruckeri*, from the first BLAST search have the same scores and are  
415 consequently tied for the same rank. **d.** An interaction score was calculated between two ranking  
416 lists using rank-biased overlap.

417

418 **Figure 2. Discriminatory power of Phirbo, BLAST, and WIsH scores to differentiate**  
419 **between interacting and non-interacting phage-host pairs.** Phage-host pairs were obtained  
420 from **a.** Edwards *et al.* and **b.** Galiez *et al.* data sets. Box plots show the distribution of scores for  
421 all interacting phage-host pairs ( $n = 16,757$  and  $n = 26,024$  in Edwards *et al.* and Galiez *et al.*,  
422 respectively) and the same number of randomly selected, non-interacting phage-host pairs. The  
423 horizontal line in each box displays the median; boxes display the first and third quartiles;  
424 whiskers depict lowest and highest non-outlier scores (details of distributions including outliers  
425 are provided in **Supplementary Table 1**). Receiver operating characteristic curves and the  
426 corresponding area under the curve (AUC) display the classification accuracy of phage–host  
427 predictions across all possible phage-host pairs. Dashed lines represent the levels of  
428 discrimination expected by chance.

429

430 **Figure 3. Host prediction performance of Phirbo, BLAST, and WIsH.** The performance is  
431 provided by Precision-Recall (PR) curves and statistical measures (i.e., F1 score, precision,  
432 recall, specificity, and accuracy) separately for **a.** Edwards *et al.* and **b.** Galiez *et al.* data sets.  
433 Dashed lines in the PR-curve plots represent the levels of discrimination expected by chance.  
434 Score cut-offs for each tool were set to ensure the highest F1 score.

435

436 **Figure 4. Host prediction accuracy over phage contig length.** Prediction accuracy is provided  
437 separately for **a.** Edwards *et al.* and **b.** Galiez *et al.* data sets. Each complete virus genome was  
438 randomly subsampled 10 times for different sequence lengths (i.e., 20 kb, 10 kb, 5 kb, 3 kb, and  
439 1 kb). Hosts were predicted on each subsampling replicate by selecting a prokaryotic sequence  
440 with the highest similarity to the query viral sequence. Points indicate the average of the  
441 resulting accuracies for all the viruses at a given subsampling length and host taxonomic level  
442 (i.e., species, genus, and family). An extended version of this figure containing host prediction  
443 accuracy values is provided in **Supplementary Table 4**.

444

445 **Figure 5. Functional classification of phage coding sequences used by Phirbo for host**  
446 **prediction.** Protein families (pVOGs) were classified into 15 functions related to phage-cycle  
447 (e.g., DNA replication, transcription). Numbers in the dark circles indicate the number of  
448 different pVOGs related to a given function. An extended version of this figure containing the  
449 list of pVOGs is provided in **Supplementary Table 7.**  
450

451 **TABLES**

452

453 **Table 1.** Host prediction accuracies (%) for phage and host genomes from the data sets by  
454 Edwards *et al.* [14] and Galiez *et al.* [16].

Dataset	Method	Species	Genus	Family	Order	Class	Phylum
Edwards <i>et al.</i> (2016)	WIsH	28	44	50	53	62	70
	BLAST	43	59	71	78	87	96
	Phirbo*	43	59	71	78	87	95
	Phirbo (+phages) <sup>†</sup>	<b>48</b>	<b>63</b>	<b>75</b>	<b>82</b>	<b>90</b>	<b>97</b>
Galiez <i>et al.</i> (2017)	WIsH	21	44	48	53	68	77
	BLAST	31	53	62	68	88	95
	Phirbo*	31	53	62	68	88	95
	Phirbo (+phages) <sup>†</sup>	<b>35</b>	<b>56</b>	<b>65</b>	<b>72</b>	<b>90</b>	<b>96</b>

455 The highest accuracies among the methods for each taxonomic level are in bold.

456 \* Interaction scores were calculated using rank-biased overlap (RBO) between BLAST lists containing prokaryotic  
457 sequences. Specifically, the BLAST database contained 2,699 sequences of bacterial genomes in the Edwards *et al.*  
458 data set, and 3,780 sequences of bacterial and archaeal genomes in the Galiez *et al.* data set.

459 † Interaction scores were calculated using RBO between BLAST lists containing both prokaryotic and phage  
460 sequences.

461

462 **SUPPLEMENTARY FIGURES**

463

464 **Supplementary Figure 1.** Host predictions for Cronobacter phage ENT39118 (RefSeq  
465 accession: NC\_019934) using **a.** BLAST and **b.** Phirbo. Querying the Cronobacter phage  
466 sequence with a BLAST search against the host database returned the genomic sequence of  
467 *Escherichia coli* (NC\_017641) as the best match (bit-score = 14,588), and *Cronobacter sakazakii*  
468 (NC\_009778) as the second-best match (bit-score = 14,020). Phirbo predicted *Cronobacter*  
469 *sakazakii* as the top-score host for the Cronobacter phage due to the highest extent of overlap  
470 between the top-ranking BLAST matches of each sequence (NC\_019934 and NC\_009778) of the  
471 same database. For clarity, only the first ten BLAST matches are shown.

472

473 **Supplementary Figure 2.** Host prediction performance of Phirbo, BLAST and WISH over  
474 phage contig length in terms of **a.** Area under the curve (AUC) and **b.** Area under the precision-  
475 recall curve (AUPR). Bars indicate the AUC or AUPR averaged across 10 replicates at a given  
476 subsampling length of phage sequence.

477

478 **Supplementary Figure 3.** Scatter plot of the phage sequence coverage used in host predictions  
479 of Phirbo versus that of BLAST. Each dot represents a phage genome.

480 **SUPPLEMENTARY TABLES**

481

482 **Supplementary Table 1.** Distribution of Phirbo, BLAST and WIsH scores among interacting  
483 and non-interacting phage-host pairs obtained from Edwards *et al.* and Galiez *et al.* data sets.  
484 Score ranges were summarized separately for 16,757 interacting and non-interacting phage-host  
485 pairs from Edwards *et al.*, and 26,024 interacting and non-interacting phage-host pairs from  
486 Galiez *et al.*

487

488 **Supplementary Table 2.** Number of phage-host pairs evaluated by Phirbo, BLAST, and WIsH  
489 in Edwards *et al.* and Galiez *et al.* data sets.

490

491 **Supplementary Table 3.** Phages assigned by BLAST to multiple, equally-scored host species.  
492 Phirbo differentiated between host species and provided the highest score to primary host  
493 species.

494

495 **Supplementary Table 4.** Host prediction accuracy of Phirbo, BLAST, and WIsH over phage  
496 contig length.

497

498 **Supplementary Table 5.** Phage sequence coverage of 485 phages correctly assigned by BLAST  
499 and Phirbo to their host species. Sequence coverage was calculated for each phage as the sum of  
500 the lengths of its non-overlapping high scoring pairs to the genome of the correct host species,  
501 divided by the size of the query-phage genome. Prophages were assumed to have sequence  
502 coverage greater than or equal to 50%.

503

504 **Supplementary Table 6.** Summary of taxonomic affiliations of 271 phages that had sequence  
505 coverage < 50% with the host species genomes.

506

507 **Supplementary Table 7.** Protein families present in sequence regions of 271 phage genomes  
508 that were used by BLAST and/or Phirbo in host prediction. The table provides information on  
509 each protein family (prokaryotic Virus Orthologous Group (pVOG)) used by BLAST and  
510 Phirbo, including: (i) pVOG description and functional assignment (manually curated), (ii)  
511 pVOG count (number of times a given pVOG was present in the phage genome, as well as in  
512 sequences used by BLAST or Phirbo), (iii) pVOG percentage (pVOG count divided by pVOG  
513 count in the genome), and (iii) *P*-value of pVOG enrichment.

514

515 **Supplementary Table 8.** RNA families present in sequence regions of 271 phage genomes that  
516 were used by BLAST and Phirbo in host prediction. The table provides information on each  
517 Rfam family used by BLAST and Phirbo.

518



519 **Supplementary Table 9.** Comparison of Phirbo's host prediction performance between BLAST-  
520 based and Mash-based rankings of prokaryotic species.  
521

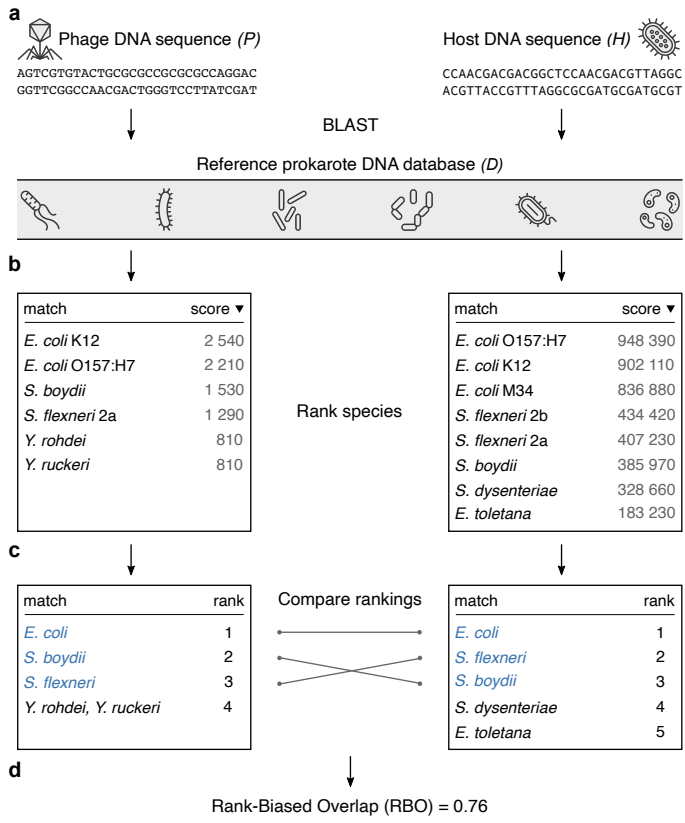
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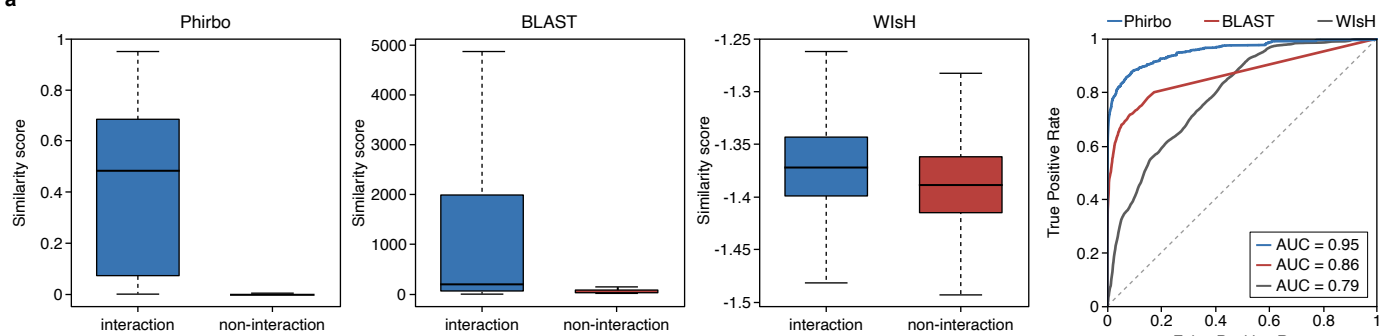
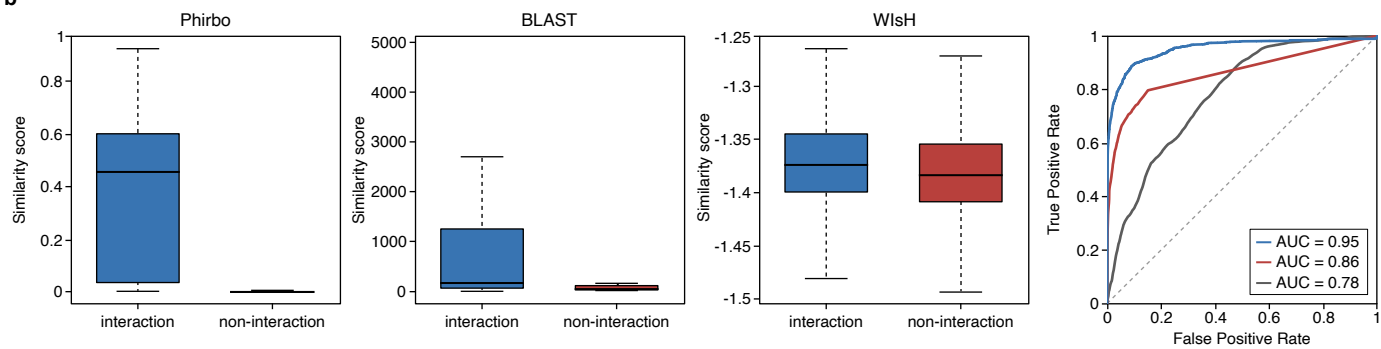
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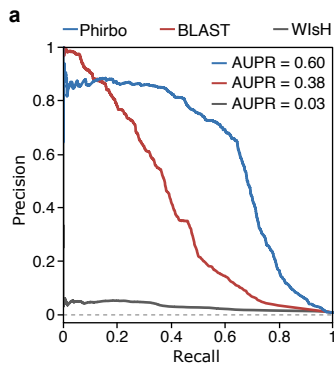
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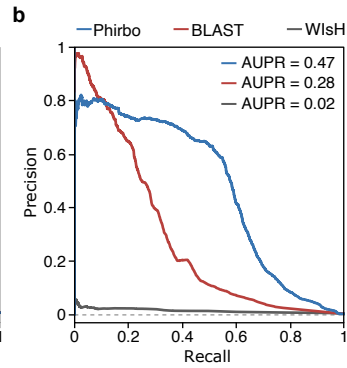
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**a****b**



	Phirbo	BLAST	WlsH
F1 score	<b>0.646</b>	0.434	0.084
Recall	<b>0.641</b>	0.362	0.225
Precision	<b>0.651</b>	0.542	0.052
Specificity	<b>0.997</b>	0.995	0.969
Accuracy	<b>0.995</b>	0.993	0.963
Score cut-off	0.40	731	-1.34



	Phirbo	BLAST	WlsH
F1 score	<b>0.568</b>	0.348	0.045
Recall	<b>0.550</b>	0.279	0.210
Precision	<b>0.589</b>	0.462	0.025
Specificity	<b>0.998</b>	0.998	0.961
Accuracy	<b>0.996</b>	0.995	0.957
Score cut-off	0.40	919	-1.34



