Original article: Neutrophil and monocyte dysfunctional effector response towards bacterial challenge in critically-ill COVID-19 patients Srikanth Mairpady Shambat\*a; Alejandro Gómez-Mejia\*a; Tiziano A. Schweizer\*a; Markus Huemera; Chun-Chi Changa; Claudio Acevedoa; Judith Bergada Pijuana, Clement Vulina, Nataliya Miroshnikova<sup>a</sup>; Daniel A. Hofmänner<sup>b</sup>; Pedro D. Wendel Garcia<sup>b</sup>, Matthias P. Hilty<sup>b</sup>; Philipp Bühler Karl<sup>b</sup>; Reto A. Schüpbach <sup>b</sup>; Silvio D. Brugger<sup>a</sup>; Annelies S. Zinkernagel<sup>a</sup>; a, Department of Infectious Diseases and Hospital Epidemiology, University Hospital of Zurich, University of Zurich, Switzerland b, Institute of Intensive Care, University Hospital of Zurich, University of Zurich, Switzerland \*, These authors contributed equally Correspondence: Annelies S. Zinkernagel Email: Annelies.Zinkernagel@usz.ch Key words: COVID-19, Neutrophils, Monocytes, secondary bacterial infections, hypercytokinemia 33 

**Abstract** 

COVID-19 displays diverse disease severities and symptoms. Elevated inflammation mediated by hypercytokinemia induces a detrimental dysregulation of immune cells. However, there is limited understanding of how SARS-CoV-2 pathogenesis impedes innate immune signaling and function against secondary bacterial infections. We assessed the influence of COVID-19 hypercytokinemia on the functional responses of neutrophils and monocytes upon bacterial challenges from acute and corresponding recovery COVID-19 ICU patients. We show that severe hypercytokinemia in COVID-19 patients correlated with bacterial superinfections. Neutrophils and monocytes from acute COVID-19 patients showed severely impaired microbicidal capacity, reflected by abrogated ROS and MPO production as well as reduced NETs upon bacterial challenges. We observed a distinct pattern of cell surface receptor expression on both neutrophils and monocytes leading to a suppressive autocrine and paracrine signaling during bacterial challenges. Our data provide insights into the innate immune status of COVID-19 patients mediated by their hypercytokinemia and its transient effect on immune dysregulation upon subsequent bacterial infections

#### Introduction

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81 While most patients with Coronavirus-disease-2019 (COVID-19) exhibit only mild to moderate 82 symptoms, approximately 10% to 15% of patients progress to a severe disease. This severe course 83 of COVID-19 may require intensive care unit (ICU) support (Wu and McGoogan, 2020) and is 84 characterized by acute respiratory distress syndrome (ARDS) as well as cardiovascular, 85 gastrointestinal and neurological dysfunctions (Guan et al., 2020; Shi et al., 2020; The, 2012; Wu et 86 al., 2020; Zhou et al., 2020). Despite limited data, bacterial superinfections in COVID-19 pneumonia (Hughes et al., 2020; 87 88 Lansbury et al., 2020), contribute to mortality (Chen et al., 2020; He et al., 2020; Zhou et al., 2020). 89 In our recent prospective single centre cohort study, we showed that 42.2% of the ICU COVID-ARDS 90 patients had bacterial superinfections (Buehler et al., 2020). These were associated with reduced 91 ventilator-free survival and significantly increased ICU length of stay (LOS) (Buehler et al., 2020). 92 Beyond ARDS, COVID-19 patients have been reported to show a complex immune dysregulation, 93 characterized by misdirected host responses and altered levels of inflammatory mediators (Chen et 94 al., 2020; Giamarellos-Bourboulis et al., 2020b; Wang et al., 2020; Wen et al., 2020). Severe COVID-95 19 is characterized by lymphopenia, neutrophilia and myeloid cell-dysregulation (Kuri-Cervantes et 96 al., 2020; Tan et al., 2020; Wang et al., 2020; Wen et al., 2020; Wu et al., 2020) as well as high plasma cytokine levels (Arunachalam et al., 2020; Lucas et al., 2020). These high cytokine levels 97 98 have been suggested to result in functional paralysis of the immune cells, causing respiratory and 99 multiple organ failure (Giamarellos-Bourboulis et al., 2020b). However, there is a limited 100 understanding of how SARS-CoV-2 pathogenesis impedes innate immune signaling and function 101 against secondary bacterial infections. Similarly, the role of neutrophils and monocytes and their 102 ability to respond to bacterial infection during COVID-19 remains to be elucidated. Here, we sought 103 to investigate the functional response of neutrophils and monocytes derived from critically-ill COVID-104 19 patients during their acute illness and their subsequent recovery (rec)-phase towards bacterial

challenge as well as the signaling mediators underlying this response.

Results

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### 107 Extensive COVID-19-mediated hypercytokinemia correlates with subsequent bacterial

superinfections

109 We first assessed the plasma levels of cytokines involved in neutrophil and monocyte functional 110 responses in our prospective cohort of critically-ill COVID-19 ICU patients (acute, n=27), including 111 the same patients in their recovery phase (rec, n=21), as well as healthy donors (n=16) (Table S1 112 and S2). As shown previously (Blanco-Melo et al., 2020; Huang et al., 2020; Lucas et al., 2020) we 113 observed that the cytokines affecting neutrophil function granulocyte colony-stimulating factor (G-114 CSF), interleukin (IL)-8, IL-4, macrophage inflammatory protein (MIP-1α, MIP-1β) and 115 stromal cell-derived factor 1 alpha (SDF-1α) had significantly increased levels in acute-patients. In 116 addition, we showed that these levels decreased upon recovery and were similar to values measured 117 in healthy donors (Fig. S1). For monocyte effectors, we found the most significant changes in the 118 levels of fractalkine (CX<sub>3</sub>CL1), interferon gamma-induced protein 10 (IP10) and monocyte 119 chemotactic protein-1 (MCP-1) (Fig. S1). 120 In a next step, we sought to investigate whether the cytokine levels varied in COVID-19 patients who 121 developed secondary bacterial infections as compared to patients who did not. Principal component 122 analysis (PCA) showed that cytokines measured in COVID-19 patients clustered apart from healthy 123 donors (Fig. 1A). Both, acute- (Fig. 1A, right top panel) and rec-phase COVID-19 patients (Fig. 1A, 124 right bottom panel), who developed a secondary bacterial infection displayed higher degrees of 125 hypercytokinemia with increased separation on the density curve as compared to those without (Fig. 126 1A). This was confirmed by calculating the normalised cytokine values (sum of Z-scores) in the 127 plasma. Patients who developed a secondary bacterial infection showed significantly elevated 128 cumulative cytokine levels in both acute- and rec-phase (Fig. 1B). Additionally, we found a distinct 129 clustering of specific cytokines among critically-ill COVID-19 patients who developed bacterial 130 superinfections versus patients without any bacterial superinfections (Fig. S2A-B). Furthermore, integrative correlation mapping of clinical parameters taken within 24 hours from sampling revealed 131 that cytokine levels correlated with myoglobin levels and bacterial superinfection status, which in 132 turn, correlated with ICU LOS and ventilation days (Fig. 1C) (Buehler et al., 2020). Overall, extensive 133

### Reduced elimination of intracellular bacteria by neutrophils and monocytes in acute-phase

COVID-19 hypercytokinemia correlated with the development of bacterial superinfections.

#### COVID-19 patients

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These above described clinical findings indicated increased susceptibility towards bacterial superinfection in critically-ill COVID-19 patients associated with alterations in plasma cytokine levels. Aiming to further dissect these findings, we assessed neutrophil and monocyte function upon bacterial challenge *ex vivo*. Neutrophils and monocytes derived from critically-ill COVID-19 patients or healthy donors were incubated with either autologous or heterologous plasma prior to bacterial challenge with *Streptococcus pneumoniae* (SP) or *Staphylococcus aureus* (SA) (Fig. 1D-1G and

S2C-F). Neutrophils from acute patients internalized significantly less SP. Stimulation with healthy

145 donor plasma partially restored the internalization ability (Fig. S2C). We did not observe significant 146 differences in the phagocytosis ability of monocytes challenged with SP (Fig. S2D). No plasma-147 mediated effect on phagocytosis ability was observed when either neutrophils or monocytes were 148 challenged with SA (Fig. S2E-F). 149 Our data show that acute COVID-19 neutrophils and monocytes had impaired bactericidal function 150 with a significant reduction in their ability to clear bacteria as compared to the same cells stimulated 151 with healthy plasma (Fig. 1D-G). Similarly, stimulation of healthy neutrophils and monocytes with 152 acute plasma showed significantly impaired clearance of intracellular bacteria (Fig. 1D-G). 153 Neutrophils from rec-phase patients did not show any impairment in their ability to eliminate 154 intracellular bacteria compared to healthy cells (Fig. 1D and F). In contrast, monocytes from rec-155 phase patients still displayed reduced bacterial killing capacity (Fig. 1E and G). Neutrophils and 156 monocytes derived from COVID-19 patients who developed subsequent bacterial superinfections 157 showed a tendency towards decreased intracellular killing capacity compared to COVID-19 patients 158 without (Fig. 1D-G). Further confirmation was achieved by stimulating healthy monocytes with acute 159 plasma, which showed significantly impaired ability to clear intracellular bacteria as compared to 160 monocytes stimulated with rec-phase- patients' or healthy plasma (Fig. S2G-H). These data 161 suggested that hypercytokinemia during COVID-19 impairs neutrophils' and monocytes' ability to 162 eradicate intracellular bacteria.

### Impaired neutrophil and monocyte effector response against bacterial challenges in acutephase COVID-19 patients

To assess the factors involved in the reduced intracellular killing capacity of acute phase neutrophils, we analyzed key neutrophil effector responses. Neutrophils from acute phase patients stimulated with autologous plasma produced significantly lower levels of reactive oxygen species (ROS) upon bacterial challenge compared to stimulation with healthy plasma (Fig. 2A SA and SP). The same effect was observed when healthy neutrophils were stimulated with acute patients' plasma prior to bacterial challenge (Fig. 2A). Conversely, neutrophils from rec-patients stimulated with autologous plasma displayed the same ROS production levels as neutrophils derived from healthy donors (Fig. 2B SA and SP).

173 2B SA and SP).

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174 Additionally, stimulation of neutrophils from acute patients and healthy donors with acute patients' 175 plasma resulted in significantly lower levels of myeloperoxidase (MPO) compared to stimulation with healthy plasma upon bacterial challenge (Fig. 2C, left). In line with the normalized ROS levels during 176 177 recovery, neutrophils from rec-phase stimulated with plasma from rec-phase patients exhibited MPO 178 levels comparable to cells stimulated with healthy plasma, after bacterial challenge (Fig. 2C, right). 179 Since increased rates of dysregulated cell death of various cell types during COVID-19 has been 180 described in literature (Varga et al., 2020; Xu et al., 2020), we investigated whether neutrophils from 181 critically-ill COVID-19 patients showed increased sensitivity towards cell death during bacterial 182 infection. Neutrophils stimulated with acute- COVID-19 plasma, irrespective of their origin, showed 183 increased cell death upon bacterial challenge (Fig. 2D, left). In contrast, neutrophils stimulated with 184 plasma from rec patients or healthy donors prior to bacterial challenge remained viable (Fig. 2D, 185 right). 186 Recently, it has been proposed that neutrophil extracellular traps (NETs) contribute to the formation 187 of microthrombi in COVID-19 ARDS and that sera from COVID-19 patients triggered NETs release 188 in healthy neutrophils (Middleton et al., 2020; Zuo et al., 2020). Since NETs formation is a strategy to eliminate extracellular pathogens (Brinkmann et al., 2004), we tested the hypothesis that bacterial 189 190 challenge-mediated cell death of neutrophils isolated from acute patients is due to increased NETs 191 release. Neutrophils from acute patients exhibited a higher amount of spontaneous extracellular 192 DNA-release (Fig. 2E, top) and elevated levels of MPO-DNA (Fig. 2E, bottom) than neutrophils from 193 recovery patients or healthy donors. However, bacterial challenge resulted in significantly lower 194 release of NETs and MPO-DNA from neutrophils derived from acute patients as compared to 195 neutrophils from rec-phase or healthy donors (Fig. 2F-H). The inability to release NETs upon 196 bacterial challenge was confirmed by fluorescence microscopy (Fig. 2I and J, Fig. S3B). 197 Analysis of monocyte subsets revealed significantly lower proportions of classical (CD14+ CD16-) 198 monocytes during acute COVID-19. Similarly, non-classical (CD14dim CD16+) monocytes 199 proportions were reduced during both acute and rec-phase COVID-19 compared to healthy donors 200 (Fig. S4A). We observed the same plasma-mediated decrease of ROS levels upon bacterial 201 challenge in the acute-phase in classical monocytes, whereas no differences in nitric oxide 202 production were found (Fig. S4B-F). Non-classical monocytes exhibited no difference in ROS 203 production, irrespective of disease status (Fig. S4G-H). Together, these data suggest that neutrophils 204 from acute- COVID-19 patients are in a state of exhaustion causing inability to produce ROS, MPO 205 and to trigger NETs release upon secondary bacterial challenge, whereas classical monocytes were 206 skewed towards a significantly impaired ROS, but not nitric oxide, production.

# Neutrophils cell surface receptor alterations in acute COVID-19 patients contribute to an dysfunctional phenotype

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210 Given the observed impaired neutrophil effector response to bacterial challenges in acute COVID-211 19 patients, we investigated potentially pivotal signaling mechanisms and receptor phenotypes of 212 neutrophils. Neutrophils from acute patients showed a significant decrease in the expression of the 213 receptors CXCR 1, 2, 3, CCR1 and CCR5 (Fig. 3A, B, E, and F) and of the maturation marker CD15 214 compared to neutrophils during recovery or from healthy donors (Fig. 3D). Additionally, we observed 215 higher levels of the activation marker CD66b and chemokine receptor CXCR4 in neutrophils from 216 acute patients, indicating the presence of immature or dysfunctional neutrophils in the blood. (Fig. 217 3C and S5D). Furthermore, upon bacterial challenge a similar pattern of cell surface receptor phenotype with reduced expression of CXCR1, 2, 3, CCR1, 5 and CD15, with increased expression 218 219 of CXCR4 and CD66b was found in neutrophils from acute COVID-19 (Fig. 3A-F and S5C-F).

220 Overall, PCA analysis of acute-COVID-19 patients showed clear separation from rec-phase patients 221 and healthy donors, exhibiting a strong clustering for their receptor phenotype, whereas rec-phase 222 and healthy controls largely overlapped (Fig. 3G-I). Finally, we studied whether this distinct neutrophil 223 phenotype in COVID-19 patients contributed to an impaired cytokine production involved in the 224 autocrine-paracrine signaling upon bacterial challenge. We found that acute COVID-19 neutrophils 225 were characterized by reduced secretion of G-CSF, MIP-1α, MIP-1β, MCP-1, IL-2, SDF-1α, IL-9, IL-226 17A, IL-18, IL-20 and IL-23, but increased secretion of soluble PD1, IP-10 and MIP-2α compared to 227 rec-phase and healthy neutrophils (Fig. 3J-K). Collectively, these data suggest that acute COVID-19 228 is marked by the presence of dysfunctional neutrophils, displaying reduced effector responses upon 229 secondary bacterial challenge. Together with plasma cytokine levels affecting neutrophil function 230 (Fig. S1) and the clinical observation that the patients in our prospective cohort presented with 231 neutrophilia, our data helps to explain the role of neutrophil dysfunction in increased risk of 232 secondary bacterial infections in critically-ill COVID-19 patients.

### Monocyte subpopulation alterations in COVID-19 contribute to impaired response against bacterial challenges

236 The myeloid compartment, especially monocytes, is particularly affected by COVID-19 (Schulte-

Schrepping et al., 2020). Classical monocytes from acute patients displayed more heterogeneity,

with higher expression of CD163, CX<sub>3</sub>CR1 and low expression of HLA-DR compared to recovery

and healthy monocytes (Fig. 4A and C, S6A). Upon bacterial challenge, classical monocytes from

240 COVID-19 patients (acute and recovery) displayed high expression of CD163 and CD11b, but low

expression of the activation markers HLA-DR, CD86 and CD80 (Fig. 4A-D, and S6). Overall, PCA

242 analysis showed that the acute COVID-19 classical monocyte clustering pattern was strongly

243 associated with low expression of HLA-DR, CD86, CD80 and a high expression of CD163, CX3CR1

244 and CD11b (Fig. 4G-I).

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- 245 Moreover, non-classical monocytes showed higher expression of CCR2, CD11b, CD163 and CD86
- 246 in acute-phase, while CD64 was increased in both acute- and rec-phase compared to healthy
- controls, both in the presence and absence of bacterial challenge (Fig. 4E-F, and S6B). Similarly,
- 248 PCA analysis showed that the non-classical monocyte cluster in the acute-phase was characterized
- by an increased expression of CCR2, CD163 CD120b, CD11b and low expression of HLA-DR (Fig.
- 250 S6C). Finally, COVID-19 monocytes showed a dampened cytokine response to challenge with
- 251 bacteria compared to healthy controls (Fig. 4J and K). Particularly, monocytes from patients with
- 252 acute COVID-19 showed reduced secretion of G-CSF, MIP-1α, MIP-1β, MCP-1, TNF-α and IL2 (Fig.
- 253 4J and K).
- 254 Taken together, these data suggest that dynamic changes of monocyte receptor and cytokine
- 255 secretion profile associated with acute- COVID-19- were involved in an aberrant antibacterial
- 256 response.

258 **Discussion** 259 We show that a higher degree of COVID-19 mediated hypercytokinemia in the plasma is positively 260 associated with bacterial superinfections in COVID-19 patients. Neutrophils and monocytes from 261 acute-phase COVID-19 patients exhibited impaired microbicidal capacity, reflected by abrogated 262 ROS and MPO production as well as NETs formation by neutrophils and impaired ROS production 263 in monocytes. This immunosuppressive phenotype was characterized by a high expression of CD15, CXCR4 and low expression of CXCR1, CXCR2 and CD15 in neutrophils and low expression of HLA-264 265 DR, CD86 and high expression of CD163 and CD11b in monocytes. Additionally, neutrophils and monocytes from acute COVID-19 exhibited a blunted cytokine production capacity upon bacterial 266 267 challenge. 268 Studies have shown that severe COVID-19 is accompanied by hypercytokinemia with high levels of 269 pro-inflammatory cytokines such as IL-6 and IL-1β as well as anti-inflammatory cytokines such as 270 IL-4 and IL-10 (Arunachalam et al., 2020; Coperchini et al., 2020; Lucas et al., 2020). Our initial 271 screening detected significantly higher levels of cytokines involved in recruitment and trafficking of 272 neutrophils such as IL-8, G-CSF and SDF-1a, in accordance with previous reports showing that 273 acute COVID-19 patients have elevated neutrophil counts (Chevrier et al., 2020; Morrissey et al., 274 2020; Schulte-Schrepping et al., 2020; Silvin et al., 2020; Wu et al., 2020). We also report a rise in 275 CX<sub>3</sub>CL1, IP10 and MIP-1β levels, indicating increased recruitment of monocytes (Chevrier et al., 276 2020; Lucas et al., 2020). However, the concomitant presence of high levels of IL-4 and IL-10, with 277 broad anti-inflammatory functions, might cause functional impairment of neutrophils and monocytes 278 towards bacterial challenge (Woytschak et al., 2016). We found that higher degree of 279 hypercytokinemia in the plasma correlated with the occurrence of bacterial superinfections in 280 COVID-19 patients (Buehler et al., 2020). Specifically, TNF-α, IFN-γ, G-CSF, MIP-1α, IL-10 and 281 CX<sub>3</sub>CL1 were elevated in patients developing bacterial superinfection. However, further studies 282 using larger cohorts specifically looking at the correlation between elevated levels of certain 283 cytokines and the risk for developing bacterial superinfections are required to elaborate on these 284 observations. 285 We hypothesized that elevated levels of inflammatory mediators in the plasma might lead to impaired 286 functional responses to bacterial challenge. Indeed, neutrophils and monocytes derived from acute 287 COVID-19 showed a decreased capacity to kill intracellular bacteria. The capacity to clear 288 internalized bacteria could be restored when COVID-19 derived cells were stimulated with healthy 289 plasma. Additionally, monocytes but not neutrophils, from rec-patients also showed impaired ability 290 to clear intracellular bacteria. We were able to link this inefficiency in clearing intracellular bacteria 291 in acute COVID-19 by a significant decrease in their ability to produce ROS and intracellular MPO 292 (in neutrophils). 293 This altered functionality is consistent with a recent study showing reduced oxidative burst in 294 response to E. coli in severe COVID-19 patients (Schulte-Schrepping et al., 2020). Since neutrophils 295 and monocytes engage in a complex crosstalk with other immune cells to elicit efficient effector296 response, we were keen on identifying possible autocrine-paracrine signaling mechanisms. 297 Neutrophils and monocytes from critically-ill COVID-19 patients were functionally impaired in their 298 capacity to produce cytokines important for activation and subsequent antimicrobial actions. 299 Significantly lower levels of G-CSF and IL-17 as well as IL-18 in neutrophils from acute patients 300 could be linked to decreased ROS (Castellani et al., 2019; Hu et al., 2017) and MPO (Leung et al., 301 2001) production, respectively. This was consistent with a recent observation regarding the 302 diminished or inexistent expression of cytokine genes (IL6, TNF- $\alpha$ ) by monocytes upon stimulations with TLR ligands (Arunachalam et al., 2020). Several recent studies have proposed that NETs can 303 304 contribute to inflammation-associated lung damage and microthrombi in severe COVID-19 patients 305 (Middleton et al., 2020; Radermecker et al., 2020). The concentration of NETs components was 306 found to be augmented in plasma, tracheal aspirate and lung autopsy tissues from COVID-19 307 patients (Veras et al., 2020; Zuo et al., 2020). Notably, it was found that SARS-CoV-2 infection could 308 directly induce the release of NETs by healthy neutrophils (Veras et al., 2020). In line with these 309 findings, we observed that neutrophils from acute patients released higher levels of DNA upon 310 plasma stimulation. However, upon bacterial challenge the induction of NETs against SA was 311 significantly reduced in acute COVID-19. This phenomenon might be due to neutrophil exhaustion 312 and a subsequent inability to properly respond to bacterial challenges. 313 These findings were confirmed by the fact that neutrophils isolated from acute patients showed lower 314 expression of key receptors CXCR1, CXCR2 as well as CXCR3, important for sensing IL-8 as well 315 as G-CSF (Cummings et al., 1999; Swamydas et al., 2016). On the other hand, SDF-1α receptor 316 CXCR4, involved in neutrophil trafficking from the bone marrow, was significantly higher, whereas 317 the maturation marker CD15 was significantly lower in acute COVID-19 cells. Emergence of 318 CXCR4+ cells has been linked as a neutrophil precursor marker (Evrard et al., 2018) and similarly it 319 has been suggested that these immature neutrophils are being released into the blood during severe 320 COVID-19 (Silvin et al., 2020). Also, presence of abnormal neutrophils in patients with severe COVID-19 has been observed (Wilk et al., 2020). A recent single-cell transcriptomic study proposed 321 322 that premature neutrophils in severe COVID-19 might be programmed towards an anti-inflammatory 323 start or even exert suppressive functions (Schulte-Schrepping et al., 2020). Our data here in addition 324 underline the severity of the impairment of neutrophils' ability to functionally respond to bacterial 325 infection. 326 Acute patients showed a lower proportion of classical monocytes, crucial for anti-bacterial response, 327 compared to rec-phase patient and healthy donors. Additionally, monocytes were characterized by 328 lower numbers of non-classical monocytes that are important for maintaining vascular homeostasis 329 (Giamarellos-Bourboulis et al., 2020a; Hadjadj et al., 2020; Schulte-Schrepping et al., 2020; Silvin 330 et al., 2020; Thevarajan et al., 2020; Wilk et al., 2020). Similar to other studies, HLA-DR expression 331 on classical monocytes was also significantly reduced (Giamarellos-Bourboulis et al., 2020a; 332 Schulte-Schrepping et al., 2020; Silvin et al., 2020), which can be mediated by the IL-6 333 overproduction during severe COVID-19 (Giamarellos-Bourboulis et al., 2020a). Emergence of HLA-

DR<sub>low</sub> monocytes during severe COVID-19 can be linked to a phenotype similar to myeloid derived suppressor cells or dysfunctional monocytes. HLA-DR<sub>low</sub>, CD163<sub>high</sub> monocytes are usually associated with anti-inflammatory tissue-homeostatic functions and are linked to immunosuppressive phenotype in sepsis (Fischer-Riepe et al.; MacParland et al., 2018; Veglia et al., 2018; Venet et al., 2020). Thus, a defective or suppressed monocyte compartment can further add to its inability to respond to bacterial infection. In conclusion, we demonstrated that during acute COVID-19, patients presented with alterations in neutrophil and monocyte effector cytokines, which severely affected their ability to respond to bacterial challenges. These data corroborated the clinical disease course with increased bacterial superinfections observed in critically-ill COVID-19 patients (Buehler et al., 2020). Our study further emphasizes the importance of tailoring treatments, aiming to restore the antibacterial effector functions of neutrophils and monocytes, thereby decreasing the risk of high lethality in COVID-19 due to secondary bacterial infections.

#### Methods

#### **Human subject details**

Patients recruited under the MicrobiotaCOVID prospective cohort study conducted at the Institute of Intensive Care Medicine of the University Hospital Zurich (Zurich, Switzerland) registered at clinicaltrials.gov (ClinicalTrials.gov Identifier: NCT04410263). The study was approved by the local ethics committee of the Canton of Zurich, Switzerland (Kantonale Ethikkommission Zurich BASEC ID 2020 - 00646). Patients were considered to be in the acute phase on the first 5 days after initial ICU admission while the recovery phase was defined as patients were discharged from the ICU or were negative for COVID-19 and under a defined clinical score, in a non-critical state. A list of all patient demographics and clinical scores is available in table S1 and S2.

#### **Bacterial strains**

Staphylococcus aureus (SA) strains JE2 (MRSA-USA300, NARSA) and Cowan I (MSSA, ATCC 12598) were grown in Tryptic Soy Broth (TSB) at 37°C and 220rpm for 16 hours. Stationary phase cultures were diluted in fresh TSB and bacteria were grown to exponential phase for the infection. For Streptococcus pneumoniae (SP), the 603 strain (serotype 6B) (Malley et al., 2001) was passaged twice on blood agar plates (Columbia blood agar, Biomereux) and incubated at 37°C with 5% CO<sub>2</sub> for 14h. A liquid culture was prepared in Todd Hewitt Yeast broth (THY) with a starting OD<sub>600nm</sub> of 0.1 and grown at 37°C in a water bath until OD<sub>600nm</sub> of 0.35 for the infection.

#### Blood collection and plasma preparation

COVID-19 patients and healthy donors' blood was sampled in EDTA tubes as per the protocol under the Microbiota-COVID (ClinicalTrials.gov Identifier: NCT04410263), (BASEC ID 2020 - 00646) and centrifuged at 3000 rpm for 10min for plasma collection. The collected plasma was centrifuged a second time at same conditions to remove any additional debris and supernatants were collected and aliquoted. Fresh plasma was immediately used to prepare a 10% plasma solution in RPMI 1640 (Gibco<sup>TM</sup>) and used for *in vitro* experiments; the remaining plasma was utilized for cell stimulation as well as cytokine quantification and remaining aliquots stored at -80°C until further use.

#### **Cytokine measurement by Luminex**

Cytokine levels in patients and healthy donors' plasma, as well as cell culture supernatants from ex vivo experiments were assessed using the Luminex<sup>TM</sup> MAGPIX<sup>TM</sup> instrument (ThermoFisher). Samples were thawed on ice and prepared according to the manufacturer's instructions using a custom-made 33-plex human cytokine panel (Procartaplex ThermoFisher). In brief, Luminex<sup>TM</sup> magnetic beads were added to the 96-well plate placed on a magnetic holder and incubated for 2min. The plate was washed twice with assay buffer for 30sec. In parallel, provided standards and plasma samples were diluted in assay buffer (cell culture media was used for cell culture supernatants) and added to the plate. The plate was incubated for 2h at RT at 550rpm in a plate

orbital shaker. Next, the plate was washed twice with assay buffer and incubated for 30min at 550rpm with detection antibodies. After two washing steps, the plate was incubated with Streptavidin-PE solution for 30min at 550rpm. Finally, the plate was washed, reading buffer was added and incubated for 10min at RT and 550rpm before running the plate. Data acquisition and analysis were performed using the Xponent software (v. 4.3). Data were validated using the Procarta plex analyst software (ThermoFisher).

#### **Principal Component and Integrated Correlation analysis**

PCA plots of the cytokine analysis from patient and healthy donor plasma as well as receptor analysis from the ex vivo experiments were created using the 'PCA' and the 'fviz\_pca\_biplot' functions available in 'FactoMineR' package in R. Correlation mapping was performed using the 'corrplot' package in R. The color of the circles indicated positive (blue) and negative (red) correlations, color intensity represented correlation strength as measured by the Pearson's correlation coefficient. The correlation matrix was reordered manually to better visualize the variables of interest.

#### Peripheral blood mononuclear cells (PBMCs) isolation

Patients and healthy donor PBMCs were isolated from the cellular fraction of the blood after 1:2 dilution with DPBS using the Lymphoprep (Axis Shield) density gradient method. In brief, the diluted blood was overlaid on Lymphoprep and centrifuged for 25min at 2000rpm with lowest acceleration and break settings. Following the gradient separation, the PBMCs layer was transferred into a new 50ml conical tube and diluted with FACS buffer (2mM EDTA and 1% FBS). Cells were washed twice with FACS buffer. Next, cells were resuspended in red blood cells (RBC) lysis buffer (ThermoFisher), mixed gently and incubated for 10min at 37°C and 5% CO<sub>2</sub>. The lysis reaction was stopped by adding FACS buffer and the suspension was centrifuged. Cells were washed once and resuspended in FACS buffer for counting using the Attune NxT flow cytometer (ThermoFisher).

#### **Monocytes enrichment from PBMCs**

Patient and healthy PBMCs were used for monocyte enrichment using the EasySep™ Human Monocyte Enrichment Kit without CD16 Depletion (StemCell™) following the manufacturer's instructions. In brief, PBMCs (<100 million) were transferred to a 5ml polystyrene tube, the human monocyte enrichment cocktail was added and the sample was gently mixed and incubated for 10min on ice. Following incubation, magnetic beads were added to the mixture and samples were mixed and incubated for 10min on ice. Finally, the mixture was placed in a magnetic holder (StemCell™) for 3min and the cells were decanted into a new tube. Monocytes were then washed, resuspended in RPMI 1640 and counted using the Attune NxT flow-cytometer (ThermoFisher).

#### **Neutrophils isolation**

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447 Neutrophils were isolated from the cellular fraction of the blood, after dilution with DPBS (Gibco™), with the EasySep™ Human Neutrophil Isolation Kit (StemCell™) according to the manufacturer's 448 449 instruction. In brief, Neutrophil enrichment cocktail was added to the diluted blood and incubated for 450 15min at RT. Next, magnetic beads were added for another 15min, after which the tubes were placed 451 into a magnetic holder (StemCell<sup>TM</sup>). PMNs were collected after 15min of cell separation. They were centrifuged at 1500rpm for 6min (low acceleration and brakes) and subsequent red blood cells 452 453 (RBCs) lysis was performed with resuspension in H<sub>2</sub>O followed by addition of DPBS. After a further 454 centrifugation step, neutrophils were resuspended in RPMI 1640 and counted using the Attune NxT 455 flow-cytometer (ThermoFisher).

#### Plasma stimulation

- 458 Isolated neutrophils or monocytes, from both COVID-19 patients and healthy donors, were seeded
- in conical 96-well V-bottom plates (for Flow cytometry assays, around 2x10<sup>5</sup> cells / well) or in 24-well
- F-bottom plates (for phagocytosis and intracellular survival assays, approximately 2.5x10<sup>5</sup> to 3x10<sup>5</sup>
- cells/well) and stimulated with 10% autologous or heterologous (either COVID-19 or healthy donor
- 462 plasma) for 2.5h at 37°C + 5% CO<sub>2</sub>.

#### **Bacterial challenge**

- For phagocytosis and intracellular killing assays, bacteria were opsonized for 20min in RPMI 1640
- supplemented with 2.5% of either patient or healthy plasma at a determined multiplicity of infection
- (MOI) for neutrophils (50 for SP and 10 for JE2) and monocytes (50 for SP and Cowan I) infections
- 468 respectively.
- 469 To analyze intracellular survival of the bacteria in neutrophils, neutrophils were seeded into 24-well
- plates (TPP) and infected with exponentially grown SA at a MOI of 10 or with exponentially grown
- 471 SP at a MOI of 50. After 40min, 1mg/ml flucloxacillin and 25µg/ml lysostaphin were added to kill all
- 472 extracellular SA or penicillin (10µg/ml) / streptomycin (10µg/ml) to kill extracellular SP. Infected cells
- were harvested 30min and 4h after addition of antibiotics, washed twice with PBS, lysed with ddH<sub>2</sub>O,
- 474 serially diluted and drop plated. Bacterial survival was analyzed and calculated relatively to the
- 475 invasion (30min time point).
- 476 To analyze intracellular survival of the bacteria within monocytes were seeded into 24-well plates
- 477 (TPP) and infected with exponentially grown SA or SP at a MOI of 50. After 40min, 1mg/ml
- 478 flucloxacillin and 25µg/ml lysostaphin were added to kill all extracellular SA or penicillin (10u/ml) /
- 479 streptomycin (10µg/ml) to kill extracellular SP. Infected cells were harvested 30min and 90min after
- 480 addition of antibiotics, washed twice with PBS, lysed with 0.02% of Triton X-100 in ddH<sub>2</sub>O, serially
- 481 diluted and drop plated. Bacterial survival was analyses and calculated relatively to the invasion
- 482 (30min time point). End point bacterial free supernatant from both neutrophils and monocytes
- bacterial infection experiments were utilized for cytokine measurement.

#### Flow cytometry

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485 Staining of reactive oxygen species (ROS, for neutrophils and monocytes) and nitric oxide (NO, 486 formonocytes) was performed after 1 hour of bacterial challenge or plasma stimulation only by incubation with 5µM CellROX<sup>TM</sup> green reagent and 5µM DAF-FM<sup>TM</sup> diacetate (ThermoFisher) 487 respectively, for 30min. After the incubation period, cells were washed with DPBS. Cells were stained 488 489 with either LIVE/DEAD™ fixable Near-IR or Aqua stain (ThermoFisher) in DPBS for 25min at 4°C. Next, cells were washed with FACS buffer and stained for surface antigens for 30min at 4°C. 490 491 Antibodies included anti-CD15 eFluor450 (clone: HI98), anti-CD181 FITC (8F1-1-4), anti-CD182 492 PerCP-eFluor710 (5E8-C7-F10), anti-CD183 PE-eFluor610 (CEW33D), anti-CD66b APC (G10F5), 493 anti-HLA-DR eFluor450 (LN3), anti-CD45 eFluor506 (HI30), anti-CD14 SB600 (61D3), anti-CD64 FITC (10.1), anti-CD163 PerCP-eFluor710 (GHI/61), anti-CD16 PE (CB16), anti-CD86 PE-Cyanine 494 495 5.5 (IT2.2), anti-CD206 PE-Cyanine 7 (19.2), anti-CD169 APC (7-239), anti-CD11b AF® 700 (VIM12), anti-CD3 APC-eFluor780 (UCHT1), anti-CD19 APC-eFluor780 (HIB19), anti-CD56 APC-496 497 eFluor780 (CMSSB), anti-CD119 FITC (BB1E2) and anti-CX₃CR1 APC (2A9-1) from ThermoFisher, 498 anti-CD195 BV510 (J418F1), anti-CD184 BV605 (12G5), anti-CD191 PE-Cyanine7 (5F10B29), anti-499 CD88 AF®700 (S5/1), anti-CD192 PerCP-Cyanine5.5 (K036C2), anti-CD80 PE-Dazzle<sup>TM</sup>594 (2D10) 500 and anti-CD120b PE-Cyanine7 (3G7A02) from Biolegend. For intracellular MPO staining, 501 neutrophils were washed, fixed and permeabilized with the Cytofix/Cytoperm™ Fixation/ 502 Permeabilization Solution Kit (BD) for 15min at 4°C and stained subsequently for another 30min with anti-MPO eFluor450 (455-BE6). To assess neutrophil extracellular traps (NETs), cells were stained 503 504 first with LIVE/DEAD™ fixable Aqua, followed by staining for surface antigens as described above, 505 after which they were washed with DPBS and subsequently stained with SYTOX<sup>™</sup> Green in DPBS 506 for 30min. To stain for extracellular MPO-DNA complexes, neutrophils were stained exactly as described for NETs with the addition of the MPO staining during the surface antigen step. Cells were 507 508 analyzed on an Attune NxT (ThermoFisher). All antibodies and concentrations used are listed in 509 Table x. Flow cytometry data were analyzed with FlowJo (v10.2). Neutrophils and monocytes were gated based on their forward- and side-scatter properties, single cells and ultimately live cells. 510 Neutrophils were characterized as CD66b+CD16+, whereas monocytes were divided into subgroup 511 based on CD14+CD16- (classical), CD14+CD16+ (intermediate) and CD14dimCD16+ (non-512 513 classical) for further analysis.

#### Microscopy and NETs quantification

Neutrophils were stimulated as described above and placed within wells of a μ-slide (iBidi) and centrifuged at 200 g for 2 min, after which they were challenged with *S. aureus* for 1.5h. NETs were stained by directly adding SYTOX<sup>TM</sup> Green and 2μM Hoechst 33342 (ThermoFisher) for 30min at room temperature to the wells. The confocal laser scanning microscopy images were obtained with a Leica TCS SP8 inverted microscope using a 63×/1.4 oil immersion objective. The whole wells were inspected for NETs formation and two to three representative spots per condition were imaged. The

obtained images were processed using Imaris 9.2.0 software (Bitplane) to obtain tifs for further analysis. Other standard light microscopy images of fixed cells were obtained on a fully automated Olympus IX83 with a 40X objective (UPLFLN40XPH-2) illuminated with a PE-4000 LED system through a quadband filter set (U-IFCBL50). 16 positions per sample were assigned before the sample was prepared to avoid potential experimenter bias. Automated NET quantification was performed as described in SI Fig.4: after filtering nuclei on DAPI signal (threshold set manually for each 8-samples experiment), extracellular DNA was quantified on Sytox Green signal. Images containing large cell aggregates that could not be resolved were discarded. Nuclei were counted after watershed segmentation on the DAPI mask. Images were processed using ImageJ software (Rasband, W.S., ImageJ, U. S. National Institutes of Health, Bethesda, Maryland, USA, https://imagej.nih.gov/ij/, 1997-2018) and Matlab R2020a (MathWorks).

#### Statistical analysis

- 535 The number of donors is annotated in the corresponding figure legend. Differences between two
- 536 groups were evaluated using either Mann-Whitney test or Wilcoxon signed-rank test. Kruskal-Wallis
- test with Dunn's multiple comparisons test was used to evaluate differences among the three groups
- 538 in all the analyses (GraphPad). Pearson test was used for correlations of normally distributed binary
- 539 data. Significance level with p<0.05 are depicted in individual graphs.
- 540 For the statistical analyses involving several cytokines, measured cytokine values were normalized
- 541 based on the standard z-score formula. This allowed to compare cytokines to each other and to
- obtain a sum of z-scores per patient.

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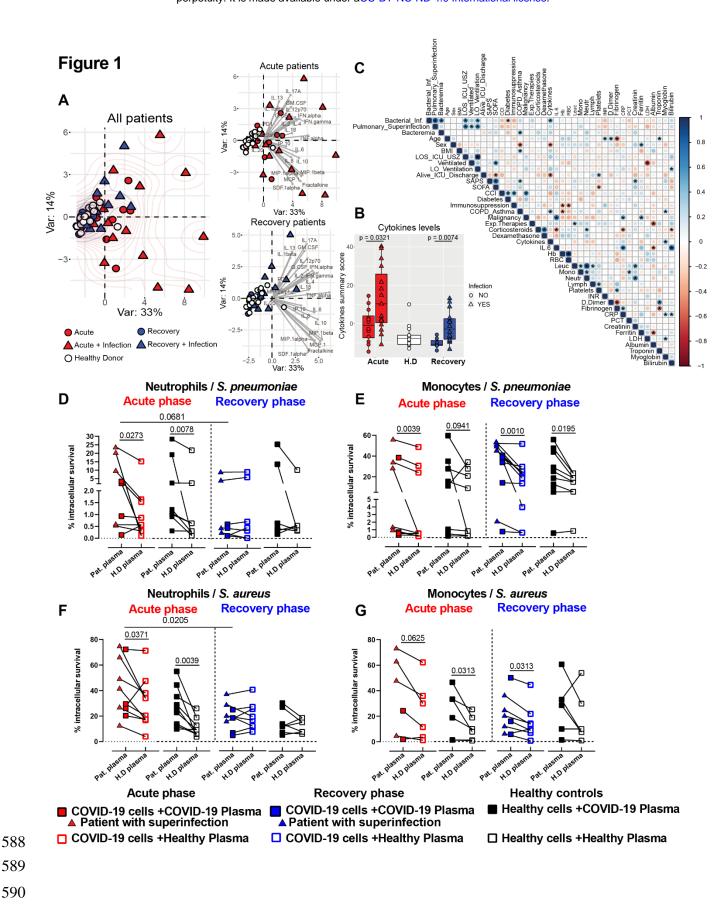
#### 572 Author Contributions

- 573 Conceptualization: SMS, SDB and ASZ
- 574 Investigation: SMS, AGM, TAS, SDB and ASZ
- 575 Experimental design: SMS, AGM, TAS, MH and ASZ
- 576 **Methodology:** SMS, AGM, TAS, MH, CCC, CA, CV, NM, DAH, PBK and SDB
- 577 Data curation: SMS, AGM, TAS, MH, CV, CA, JBP, MPH, PDWG and SDB
- 578 Formal Analysis: SMS, AGM, TAS, MH, CA, JBP, and CV
- 579 Funding acquisition: SDB, PBK, RAS, and ASZ
- 580 Visualization: SMS, AGM, TAS, JBP and CV
- 581 Resources: PBK, SDB, RAS and ASZ
- 582 Writing original draft: SMS, AGM, TAS and MH
- 583 Writing review & editing: SMS, AGM, TAS, MH, CCC, CA, JBP, CV, DAH, PBK, RAS, SDB
- 584 and ASZ

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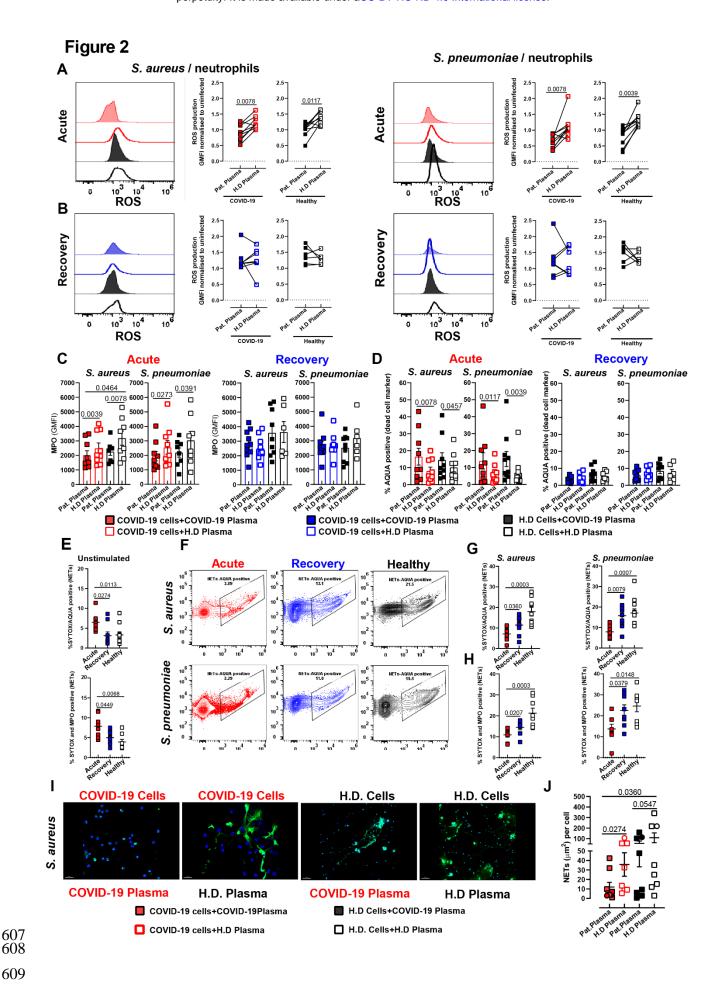
#### 586 Declaration of Interests

The authors declare no competing interests



# Figure 1. Characterization of inflammatory mediators in COVID-19 plasma and impaired bactericidal capacity of innate immune cells

(A) PCA of healthy donors (white) vs acute (red) and rec (blue)—COVID-19 patients grouping the plasma cytokine levels and status of secondary bacterial infections. Patients with secondary bacterial infection are depicted as triangle and patients without superinfection as circle symbols. (B) Normalised cytokine values (sum of Z-scores) in the plasma of acute (red), rec (blue) patients with or without bacterial superinfection and healthy donors (white) (C) Integrated correlation clustering map of relevant clinical parameters; circle-color indicates positive (blue) and negative (red) correlations, color intensity represents correlation strength as measured by the Pearson's correlation coefficient. (D-E) Intracellular killing capacity of COVID-19 patient (acute-red and rec-blue) neutrophils (left) and monocytes (right) (n=8-10) pre-exposed to plasma from patients (solid symbols) vs healthy plasma (open symbols) upon infection with SP (D) or SA (E).



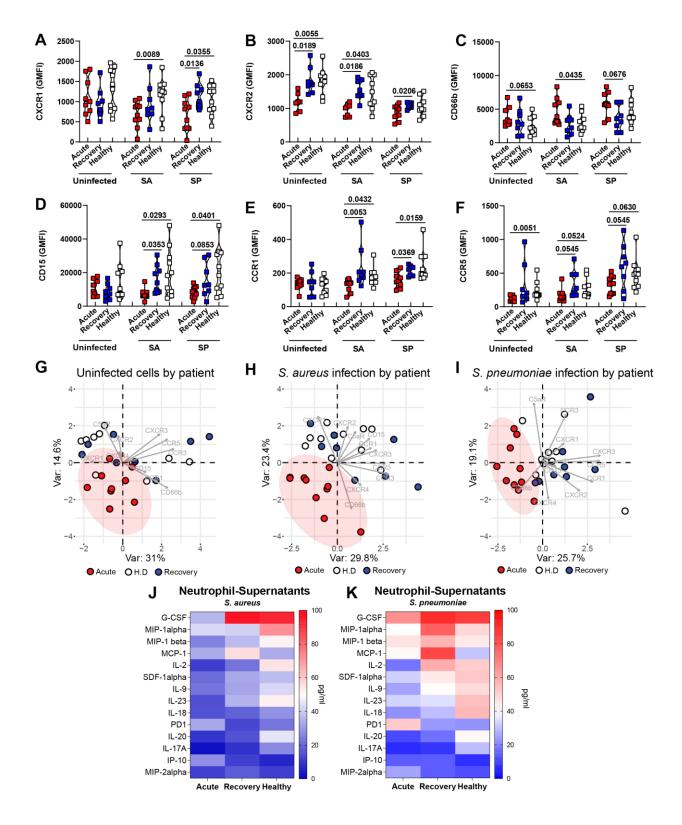
#### Figure 2. Impaired neutrophil effector response against bacterial challenge in acute COVID-

19 patients

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611 612 Functional characterization of neutrophils pre-exposed to plasma from COVID-19 acute (red) or rec 613 (blue) patients (solid symbols) vs healthy plasma (open symbols) upon challenge with either SA or SP. Neutrophil functionality was assessed by quantification of ROS (A- acute) (B- rec) (n=7-8). 614 615 Intracellular MPO (C) (right-acute) (left -rec) and cell viability (D) (right - acute) (left - rec) (n=7-9). 616 The ability to produce NETs was also measured by flow-cytometry. E) SYTOX and AQUA positive 617 cells (top) and MPO-SYTOX positive cells (bottom) under unstimulated COVID-19 conditions. (F, G 618 and H) SYTOX and AQUA positive cells (top) and MPO-SYTOX positive cells (bottom) upon bacterial 619 challenge (n=6-8). I) Representative confocal images on NETs formation upon challenge with SA 620 using HOECHST (nuclei; blue), SYTOX (staining extracellular-DNA; green). J) Quantification of NETs using fluorescence microscopy (squares, n= [226 - 8898]) and confocal microscopy (circles, 621 622 n= [19 - 113]) nuclei per point (n=7-8).

Figure 3



or SP (right) (n=3-4) (**J-K**).

Figure 3. Expression of surface markers and secretion of cytokines in neutrophils upon bacterial challenge

Expression of key surface markers in COVID-19 acute (red), rec (blue) and healthy donors' (white) neutrophils (A-F) (n=8-10). PCA of cell surface phenotype of COVID-19 patients acute (red), rec (blue) and healthy donors' (white) neutrophils at the basal level without bacterial challenge (COVID-19 status) (G), upon SA infection (H) or SP infection (I). Heat map of cytokine secreted by neutrophils from COVID-19 patients (acute and rec) and healthy controls after bacterial challenge with SA (left)

Figure 4

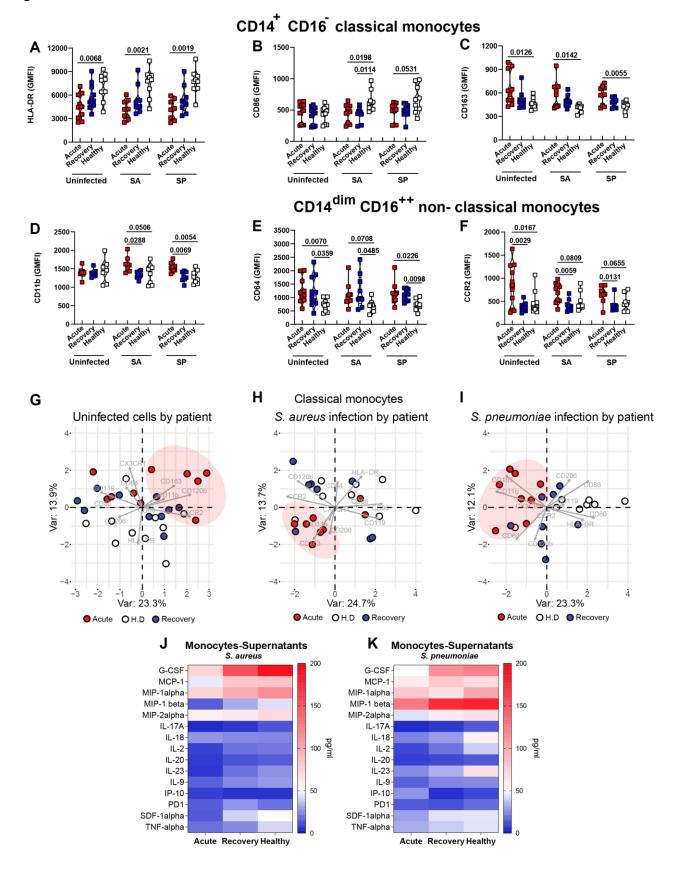


Figure 4. Phenotypic characterization of surface markers and the secretion of cytokines in monocytes upon bacterial challenge Expression of key surface markers in COVID-19 acute (red), rec (blue) and in healthy donors' (white) classical (A-C) and non-classical (D-F) monocytes (n=9-11). PCA of cell surface phenotype of COVID-19 patients acute (red), rec (blue) and in healthy donors (white) of classical monocytes at the basal level without bacterial challenge (COVID-19 status) (G), upon SA infection (H) or SP infection (I). Heat map of cytokine secreted by monocytes from COVID-19 patients (acute and rec) and healthy controls after bacterial challenge with SA (left) or SP (right) (n=2-3) (J-K).

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