

1 Transmission of SARS-CoV-2 in 2 domestic cats imposes a narrow 3 bottleneck

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18 Abstract

19 The evolutionary mechanisms by which SARS-CoV-2 viruses adapt to mammalian hosts and,
20 potentially, escape human immunity depend on the ways genetic variation is generated and
21 selected within and between individual hosts. Using domestic cats as a model, we show that
22 SARS-CoV-2 consensus sequences remain largely unchanged over time within hosts, but
23 dynamic sub-consensus diversity reveals processes of genetic drift and weak purifying
24 selection. Transmission bottlenecks in this system appear narrow, with new infections being
25 founded by fewer than ten viruses. We identify a notable variant at amino acid position 655 in
26 Spike (H655Y) which arises rapidly in index cats and becomes fixed following transmission in
27 two of three pairs, suggesting this site may be under positive selection in feline hosts. We
28 speculate that narrow transmission bottlenecks and the lack of pervasive positive selection
29 combine to constrain the pace of ongoing SARS-CoV-2 adaptive evolution in mammalian hosts.

30 Introduction

31 Understanding the forces that shape genetic diversity of RNA viruses as they replicate within,
32 and are transmitted between, hosts may help us to forecast the future evolutionary trajectories
33 of viruses on larger scales. The level and duration of protection provided by vaccines,
34 therapeutics, and natural immunity against severe acute respiratory syndrome coronavirus 2
35 (SARS-CoV-2) will depend in part on the amount of circulating viral variation and the rate at
36 which adaptive mutations arise within hosts, persist between hosts, and become widespread.
37 Here, to model the evolutionary capacity of SARS-CoV-2 within and between hosts, we
38 characterize viral genetic diversity arising, persisting, and being transmitted in domestic cats.

39 A translational animal model can serve as a critical tool to study within- and between-host
40 genetic variation of SARS-CoV-2 viruses. SARS-CoV-2 productively infects Syrian hamsters,
41 rhesus macaques, cynomolgus macaques, ferrets, cats, and dogs in laboratory experiments.
42 Natural infection with SARS-CoV-2 has also been documented in ferrets, mink, dogs, and small
43 and large cats which makes each of these viable animal models ¹⁻⁵. Among these species,
44 natural transmission has only been observed in mink, cats, and ferrets ^{1,6,7}. Very recently,
45 transmission from humans to mink back to humans has been documented ⁸. Infectious virus has
46 been recovered from various upper- and mid-respiratory tissues in cats and ferrets, including
47 nasal turbinates, soft palate, tonsils, and trachea ^{1,6}. However, only in cats has infectious virus
48 been recovered from lower respiratory tract lung parenchyma, where infection is most
49 commonly linked to severe disease in humans ^{1,6,9,10}.

50 In a recent study, members of our team experimentally infected three index cats with a SARS-
51 CoV-2 human isolate and introduced one naive direct contact cat per index one day following
52 index inoculation ¹¹. Each index cat transmitted SARS-CoV-2 to the corresponding contact cat,
53 and infectious virus was recovered from all six cats over multiple timepoints ¹¹. This study was
54 designed to address whether SARS-CoV-2 could infect and transmit between cats so viruses
55 collected from this study were not characterized beyond determining viral titers. Here, we use
56 deep sequencing to define patterns of SARS-CoV-2 genetic variation over time within the index
57 cats and following transmission to the contact cats.

58 Transmission bottlenecks – dramatic reductions in viral population size at the time of
59 transmission – play an essential role in the overall pace of evolution of respiratory viruses ¹²⁻²¹.
60 For example, in humans airborne transmission of seasonal influenza viruses appears to involve
61 a narrow transmission bottleneck, with new infections founded by as few as 1-2 genetically
62 distinct viruses ^{13,14,17-19}. When transmission involves the transfer of very few variants, even

63 beneficial variants present at low frequencies below consensus in the transmitting host are likely
64 to be lost. Accordingly, antigenic escape variants can sometimes be detected in acute influenza
65 virus infections, and selective transmission of such variants has not been observed in nature
66 ^{22,22,23}. Narrow transmission bottlenecks in which natural selection is weak are expected to slow
67 the pace of seasonal influenza virus adaptation ^{12,24} and may have similar effects on SARS-
68 CoV-2.

69 Accurate estimation of the SARS-CoV-2 transmission bottleneck size could therefore aid in
70 forecasting future viral evolution. Previous studies have reported discordant estimates of SARS-
71 CoV-2 transmission bottleneck sizes in humans, ranging from “narrow” transmission bottlenecks
72 involving 1-8 virions to “wide” bottlenecks involving 100-1,000 virions ²⁵⁻²⁸. However, studies of
73 natural viral transmission in humans can be confounded by uncertainties regarding the timing of
74 infection and directionality of transmission, and longitudinal samples that can help resolve
75 ambiguities are rarely available. Animal models overcome many of these uncertainties by
76 providing access to longitudinal samples in well-defined index and contact infections with known
77 timing.

78 Here we use a cat transmission model to show that SARS-CoV-2 genetic diversity is largely
79 shaped by genetic drift and purifying selection. This finding is in broad agreement with recent
80 analyses of evolutionary forces acting on SARS-CoV-2 in humans ^{25,27-32}. Our results further
81 suggest that human SARS-CoV-2 isolates are relatively well-adapted to feline hosts, and that
82 cat models recapitulate key aspects of SARS-CoV-2 evolution in humans. Notably, we estimate
83 narrow bottlenecks in cats, involving transmission of only 2-6 viruses, consistent with the subset
84 of studies in humans that have reported small bottleneck sizes ²⁵⁻²⁸. We posit that the cat
85 transmission model will be useful for investigating within- and between-host evolution of SARS-
86 CoV-2 viruses.

87 Results

88 Within-host diversity of SARS-CoV-2 in cats is limited

89 Recently, members of our team inoculated three domestic cats with a second-passage SARS-
90 CoV-2 human isolate from Tokyo (hCoV-19/Japan/UT-NCGM02/2020)¹¹. Each index cat was
91 co-housed with a naive contact cat beginning on day 1 post-inoculation (DPI). No new cat
92 infections were performed for this study. Nasal swabs were collected daily up to 10 days post-
93 inoculation, **Fig 1**. Viral RNA burden is plotted in **Supplementary Fig 1A** and infectious viral
94 titers are shown in **Supplementary Fig 1B**.

95 Using conservative variant-calling frequency thresholds previously established for tiled-amplicon
96 sequencing, we called within-host variants (both intrahost single-nucleotide variants “iSNVs”
97 and short insertions and deletions “indels”) throughout the genome against the inoculum SARS-
98 CoV-2 reference (Genbank: MW219695.1)^{33,34}. Variants were required to be present in
99 technical replicates at $\geq 3\%$ and $\leq 97\%$ of sequencing reads³⁵ (all within-host variants detected
100 at $>97\%$ frequency were assumed to be fixed; see Methods for details). iSNVs were detected at
101 least once at 38 different genome sites. Of the 38 unique variants, 14 are synonymous changes,
102 23 are nonsynonymous changes, and one occurs in an intergenic region; this distribution is
103 similar to recent reports of SARS-CoV-2 variation in infected humans³⁰. Similarly, we detected
104 indels occurring at 11 different genome sites across all animals and timepoints. We identified 6-
105 19 distinct variants per cat, of which 4-7 were observed on two or more days over the course of
106 the infection within each cat (**Supplementary Fig 6**). All variants (iSNVs and indels) are plotted
107 by genome location and frequency in **Fig 2A**.

108 Genetic drift and purifying selection shape within-host diversity

109 To probe the evolutionary pressures shaping SARS-CoV-2 viruses within hosts, we first
110 evaluated the proportion of variants shared between cats. Eighty-six percent of variants (42 out
111 of 38 iSNVs and 11 indels) were found in a single cat (42/49), 8% of variants were found in 2-5
112 cats (4/49), and the remaining 6% of variants were found in all 6 cats (3/49).

113 Purifying selection, which acts to purge deleterious mutations from a population, is known to
114 result in an excess of low-frequency variants. In contrast, positive selection results in the
115 accumulation of intermediate- and high-frequency variation ³⁶. Importantly, especially in the
116 setting of an acute viral infection, exponential population growth is also expected to result in an
117 excess of low-frequency variants ³⁷. To determine the type of evolutionary pressure acting on
118 SARS-CoV-2 in cats, we plotted these distributions against a simple “neutral model”
119 (transparent grey bars in **Fig 2B**), which assumes a constant population size and the absence
120 of selection ³⁶. This model predicted that ~43% of polymorphisms would fall in the 3-10%
121 frequency bin, ~25% into the 10-20% bin, ~14% into the 20-30% bin, ~10% into the 30-40% bin,
122 and ~8% into the 40-50% bin. The frequency distribution of variants detected in each index cat
123 across all available timepoints did not differ significantly from this “neutral” expectation
124 ($p=0.265$, $p=0.052$, $p=0.160$, respectively; Mann Whitney U test).

125 Next we compared nonsynonymous (πN) and synonymous (πS) pairwise nucleotide diversity to
126 further evaluate the evolutionary forces shaping viral populations in index and contact animals
127 ³⁸. Broadly speaking, excess nonsynonymous polymorphism ($\pi N/\pi S > 1$) points toward
128 diversifying or positive selection while excess synonymous polymorphism ($\pi N/\pi S < 1$) indicates
129 purifying selection. When $\pi N / \pi S$, is approximately 1 genetic drift – stochastic changes in the
130 frequency of viral genotypes over time – can be an important force shaping genetic diversity.

131 We observe that π_S exceeds or approximately equal to π_N in most genes, although there is
132 substantial variation among genes and cats (**Supplementary Table 1**). π_S is significantly higher
133 than π_N in all 3 index cats in Spike ($p=0.005$, $p=0.004$, $p=0.019$, unpaired t-test) and ORF1ab
134 ($p=2.11e-05$, $p=1.84e-06$, $p=1.99e-06$, unpaired t-test) and in index cats 2 and 3 in ORF8
135 ($p=0.03$, $p=0.04$, , unpaired t-test). π_S and π_N are not significantly different in at least one index
136 cat in ORF3a, envelope, and nucleocapsid. There was not enough genetic variation to measure
137 nucleotide diversity in the remaining four genes (**Supplementary Table 1**). Taken together,
138 these results suggest longitudinal genetic variation within feline hosts is principally shaped by
139 genetic drift with purifying selection acting on individual genes, particularly ORF1ab and Spike.

140 Longitudinal sampling reveals few consensus-level changes 141 within hosts

142 The consensus sequence recovered from all three index cats on the first day post-inoculation
143 was identical to the inoculum or “stock” virus. This consensus sequence remained largely
144 unchanged throughout infection in all index cats with the notable exception of two variants:
145 H655Y in Spike (nucleotide site 23,525) and a synonymous change at amino acid position 67 in
146 envelope (nucleotide site 26,445; S67S) arose rapidly in all 3 index cats and rose to consensus
147 levels ($\geq 50\%$ frequency) at various timepoints throughout infection in all index cats. Neither of
148 these iSNVs were detected above 3% frequency in the inoculum, but when we mined all
149 sequencing reads, S H655Y and E S67S can be detected at 0.85% and 0.34% in the inoculum,
150 respectively. S H655Y was the consensus sequence on days 2-5 and days 7-8 in index cat 1 as
151 well as on days 4 and 8 in index cat 2 and remained detectable above our 3% variant threshold
152 throughout infection (**Fig 3**). Similarly, envelope S67S (E S67S) was the consensus sequence
153 on day 8 in index cat 1 and day 1 in index cat 2. S H655Y and E S67S) were detectable at all

154 timepoints in cat 3 on days that SARS-CoV-2 was detectable $\geq 10^4$ copies/mL and day 8 but
155 stayed below consensus level.

156 Interestingly, S H655Y and E S67S became fixed together following transmission in two
157 transmission pairs (contact cats 4 and 6) and were lost together during transmission to contact
158 animal 5. In cat 5, however, two different variants in ORF1ab, G1756G and L3606F, became
159 fixed after transmission. ORF1ab G1756G was not detected above 3% and L3606F was found
160 at 17.2% in the day 5 sample from the index cat 2 (the cat transmitting to cat 5), and
161 interestingly was not found in the inoculum at any detectable frequency. The categorical loss or
162 fixation of these variants immediately following transmission, and in particular the fixation
163 following transmission of a variant that was undetectable before, are highly suggestive of a
164 narrow bottleneck ³⁹.

165 In addition, a synonymous variant in an alanine codon at amino acid position 1,222 in Spike
166 (nucleotide site 25,174) was found at >50% frequencies on days 4 and 8 in index cat 3, but was
167 not detected above 3% on any other days. All iSNVs over time are shown in **Supplementary**
168 **Fig 6** and all indels over time are shown in **Supplementary Fig 7**. These within-host analyses
169 show that genetic drift appears to play a prominent role in shaping low-frequency genetic
170 variation within hosts.

171 **SARS-CoV-2 transmission in domestic cats is defined by a**
172 **narrow transmission bottleneck**

173 To estimate the size of SARS-CoV-2 transmission bottlenecks, we investigated the amount of
174 genetic diversity lost following transmission in cats. We observed a reduction in the cumulative
175 number of variants detected in each contact cat compared to its associated index: 7 fewer

176 variants in cat 4 (n=9) compared to cat 1 (n=16), 10 fewer in cat 5 (n=19) than cat 2 (n=10), and
177 10 fewer in cat 6 (n=16) than cat 3 (n=6). Likewise, the frequency distribution of variants in all
178 three contact cats following transmission differed from the distribution of variants in all three
179 index cats prior to transmission (p -value=0.052, Mann Whitney U test). Following transmission,
180 variant frequencies became more bimodally distributed than those observed in index cats, i.e.,
181 in contacts most variants were either very low-frequency or near-fixed (**Supplementary Fig 6**).

182 To quantitatively investigate the stringency of each transmission event, we compared the
183 genetic composition of viral populations immediately before and after viral transmission. We
184 chose to use the first timepoint when infectious virus was recovered in the contact cat coupled
185 with the timepoint immediately preceding this day in the index cat, as has been done previously
186 ¹⁸. We used days 2 (index) and 3 (contact) in pair 1, days 5 and 6 in pair 2, and days 4 and 5 in
187 pair 3 (these sampling days are outlined in red in **Fig 1**). We applied the beta-binomial sampling
188 method developed by Sobel-Leonard et al. to compare the shared set of variants ($\geq 3\%$, $\leq 97\%$)
189 in the pre/post-transmission timepoints for each pair ⁴⁰. Maximum-likelihood estimates
190 determined that a mean effective bottleneck size of 5 (99% CI: 1-10), 3 (99% CI: 1-7), and 2
191 (99% CI: 1-3) best described each of the three cat transmission events evaluated here (**Fig 4**).
192 This is in line with previous estimates for other respiratory viruses, including airborne
193 transmission of seasonal influenza viruses in humans ³⁹. Additionally, it has been shown that the
194 route of influenza transmission directly impacts the size of the transmission bottleneck ¹⁷. It is
195 important to note, however, that the cat transmission pairs evaluated here shared physical
196 enclosure spaces so the route of transmission could be airborne, direct contact, fomite, or a
197 combination of these.

198 Discussion

199 While SARS-CoV-2 continues to spread globally at an alarming rate, the vast majority of
200 humans remain immunologically naive to this virus. Whether through ongoing human
201 adaptation, spill-back events from other animal intermediates, or with the distribution of vaccines
202 and therapeutics, the landscape of SARS-CoV-2 variation is certain to change. Understanding
203 SARS-CoV-2 evolution within and between humans will facilitate our ability to uncover the
204 evolutionary trajectories accessible to this virus as it faces changes in population-level
205 immunity. Using domestic cats as a translational model, we show that genetic drift appears to
206 be a major force shaping SARS-CoV-2 evolution. Selection within hosts is weak, and
207 transmission bottlenecks, even with the potential for contact transmission, appear narrow.
208 These observations suggest that SARS-CoV-2 may already be well adapted to mammalian
209 hosts. The strong role of genetic drift may combine with the relatively slow mutation rate and
210 narrow transmission bottlenecks to slow the overall pace of viral evolution.

211 Here we use deep viral sequencing to carefully uncover within-host variants in 6 domestic cats
212 grouped into three defined transmission pairs. We find genetic drift and purifying selection
213 shape SARS-CoV-2 genetic diversity within feline hosts, and a stringent bottleneck defines viral
214 transmission. This latter finding is at odds with some recent studies in humans, which have
215 estimated wide and variable SARS-CoV-2 transmission bottlenecks^{25–28}. Our data, however, is
216 in line with other narrow estimates²⁵. These discordant estimates are likely due to a
217 combination of factors including uncertain sources of infection, difficulty collecting samples
218 which closely bookend the transmission event, and inaccurate variant calls^{25–28}. These studies
219 have commonly identified transmission pairs using intrahousehold infections diagnosed within a
220 defined timeframe. A major weakness with this approach is the possibility that some of these
221 cohabiting individuals will both share an alternative source of exposure. Furthermore, without

222 fine-scale epidemiological and clinical metadata, pinpointing the time of likely transmission is
223 challenging, so even samples collected before and after a real transmission event may be
224 several days removed from the time of transmission. Here, we were able to circumvent many of
225 these challenges by taking advantage of domestic cats experimentally infected with SARS-CoV-
226 2 arranged in defined transmission pairs with clinical monitoring and daily sample collection,
227 making for a useful model system.

228 Reports of natural transmission from humans to cats lends credence to the idea that cats can
229 serve as a viable model for studying transmission ^{11,41–43}. Like in humans, infectious SARS-CoV-
230 2 virus can be recovered from cat nasal turbinates, soft palates, tonsils, tracheas, and lungs ¹.
231 Respiratory droplet transmission, which is thought to drive SARS-CoV-2 infection in humans,
232 has been repeatedly reported in cats ⁴⁴. However, it is still likely that cat-specific processes and
233 characteristics impact patterns of SARS-CoV-2 evolution and transmission in this model system.
234 Our study found that overall genetic diversity in cats was low and signatures support prominent
235 roles for genetic drift and purifying selection. The combination of low genetic diversity, genetic
236 drift, and purifying selection are in line with recent estimates of human within-host diversity ^{25,27–}
237 ³². Importantly, our study only evaluated three transmission pairs with an undefined mode of
238 transmission in the context of a single human isolate. Future studies will need to further explore
239 the role of respiratory droplet transmission among a larger cohort of cats ^{43,45}.

240 As has been demonstrated for other viruses, a narrow transmission bottleneck may act as a
241 brake on the pace with which SARS-CoV-2 will be able to evade humoral immunity and antiviral
242 therapeutics through the stochastic loss of beneficial variants before they become fixed ¹². The
243 size of the transmission bottleneck may have additional implications for individual infections.
244 The total number of founding virions, or the inoculum dose, has been posited to play a role in
245 coronavirus disease 2019 (COVID-19) clinical severity and outcomes ^{46,47}. The relationship

246 between the size of the genetic bottleneck and inoculum dose is not entirely clear, but these are
247 not synonymous concepts. For example, an infection founded by 1,000 genetically identical
248 viruses would be categorized as resulting from a narrow genetic bottleneck but a relatively large
249 inoculation dose, or population bottleneck. Furthermore, levels of viral genetic diversity have
250 been linked to pathogenesis or clinical outcomes in the context of other viruses (e.g., influenza
251 A virus, polio, and respiratory syncytial virus) and because narrow transmission bottlenecks
252 reduce viral genetic diversity, bottlenecks may play an essential role in the outcome of individual
253 infections in this way as well ^{48–52}. The relationship between SARS-CoV-2 viral genetic diversity
254 and COVID-19 severity has been discussed, but remains unclear ^{53,54}.

255 Although within-host diversity was limited in the cats evaluated here, we identify two notable
256 variants. S H655Y and E S67S arose rapidly, despite being found at 0.85% and 0.34% in the
257 stock, and were detectable at intermediate frequencies at the first-day post-inoculation in all
258 three index cats. S H655Y has been previously reported in other settings as well – natural
259 SARS-CoV-2 infections in humans, transmission studies in a hamster model, as well as SARS-
260 CoV-2 tissue culture experiments ^{55–58}. S H655Y is near the polybasic cleavage site, residing
261 between the receptor binding domain and the fusion peptide, and is thought to modulate Spike
262 glycoprotein fusion efficiency ^{55,56,59}. E S67S has not been documented elsewhere. Based on
263 iSNV frequencies, S H655Y and E S67S appear to be in linkage with each other (see cat 2 and
264 cat 5 in **Fig 3** in particular), however with short sequence reads and sequencing approaches
265 relying on amplicon PCR, we cannot rigorously assess the extent of linkage disequilibrium
266 between these variants. It may be that S H655Y arose on the genetic background of an existing
267 S67S variant in envelope. If S H655Y facilitates viral replication in cats, viruses with this variant
268 in linkage with E S67S might have been positively selected in all index cats.

269 Furthermore, S H655Y with E S67S were transmitted and fixed in contact cats in two of the
270 three transmission events evaluated here. Although our sample size is small, the convergent
271 origination of S H655Y with E S67S in all index cats and the fixation of these variants following
272 transmission in two contact cats signals a potentially important functional role for one or both of
273 these variants in feline hosts, and points towards a potential selective bottleneck. Although we
274 cannot easily test this, if the transmission bottleneck were large and S H655Y, in linkage with E
275 S67S, were rapidly selected in contact hosts immediately following transmission we might see a
276 similar pattern to what we observe in cats 4 and 6. More generally, one challenge in interpreting
277 these data is that we would expect to see a similar pattern of iSNVs in contact hosts following a
278 narrow transmission bottleneck (as we suspect is the case here) as well as a wide transmission
279 bottleneck in combination with a rapid selective sweep.

280 Large SARS-CoV-2 outbreaks in mink have been reported recently, some with ‘concerning’
281 mutations that may evade human humoral immunity⁶⁰. This resulted in the Danish authorities’
282 decision to cull 17 million mink as a safeguard against spill-back transmission into humans⁶⁰.
283 Humans are currently the primary reservoir for SARS-CoV-2, but the mink example shows that
284 SARS-CoV-2 is able to infect and transmit among other mammals with the potential for ongoing
285 zoonosis and anthroponosis. This exemplifies the need to understand the evolutionary
286 mechanisms and pace at which SARS-CoV-2 is able to adapt to, and transmit between a broad
287 range of host species. In our study we see variants arising early and being transmitted onward
288 in cats, a potential reservoir species. Our study and the mink example show that species- and
289 context-specific adaptations are inevitable as SARS-CoV-2 explores new hosts. While we do
290 not know the phenotypic impacts of these variants, the rapid rise of variants in potential
291 reservoir species may significantly impact humans if exposed to these new species-specific
292 SARS-CoV-2 adaptations.

293 As more than 300,000 new SARS-CoV-2 cases occur each day worldwide, we must have
294 models in place to recapitulate key evolutionary factors influencing SARS-CoV-2 transmission.
295 With the imminent release of SARS-CoV-2 vaccines and therapeutics and increasing incidence
296 of natural exposure-related immunity, these models can help us forecast the future of SARS-
297 CoV-2 variation and population-level genetic changes. Here, we use six domestic cats to show
298 how SARS-CoV-2 genetic variation is predominantly influenced by genetic drift and purifying
299 selection within individual hosts. Additionally, we find a role for narrow transmission bottlenecks
300 shaping founding diversity in all three contact cats. Continued efforts to sequence SARS-CoV-2
301 across a wide variety of hosts, transmission routes, and spatiotemporal scales will be necessary
302 to determine the evolutionary and epidemiological forces responsible for shaping within-host
303 genetic diversity into global viral variation.

304 Methods

305 Nucleic acid extraction

306 For each sample, approximately 140 μ L of viral transport medium was passed through a
307 0.22 μ m filter (Dot Scientific, Burton, MI, USA). Total nucleic acid was extracted using the
308 Qiagen QIAamp Viral RNA Mini Kit (Qiagen, Hilden, Germany), substituting carrier RNA with
309 linear polyacrylamide (Invitrogen, Carlsbad, CA, USA) and eluting in 30 μ L of nuclease-free
310 H₂O.

311 Complementary DNA (cDNA) generation

312 Complementary DNA (cDNA) was synthesized using a modified ARTIC Network approach^{33,34}.
313 Briefly, RNA was reverse transcribed with SuperScript IV Reverse Transcriptase (Invitrogen,

314 Carlsbad, CA, USA) using random hexamers and dNTPs. Reaction conditions were as follows:
315 1µL of random hexamers and 1µL of dNTPs were added to 11 µL of sample RNA, heated to
316 65°C for 5 minutes, then cooled to 4°C for 1 minute. Then 7 µL of a master mix (4 µL 5x RT
317 buffer, 1 µL 0.1M DTT, 1µL RNaseOUT RNase Inhibitor, and 1 µL SSIV RT) was added and
318 incubated at 42°C for 10 minutes, 70°C for 10 minutes, and then 4°C for 1 minute.

319 Multiplex PCR for SARS-CoV-2 genomes

320 A SARS-CoV-2-specific multiplex PCR for Nanopore sequencing was performed, similar to
321 amplicon-based approaches as previously described^{33,34}. In short, primers for 96 overlapping
322 amplicons spanning the entire genome with amplicon lengths of 500bp and overlapping by 75 to
323 100bp between the different amplicons were used to generate cDNA. Primers used in this
324 manuscript were designed by ARTIC Network and are shown in **Supplementary Table 3**. cDNA
325 (2.5µL) was amplified in two multiplexed PCR reactions using Q5 Hot-Start DNA High-fidelity
326 Polymerase (New England Biolabs, Ipswich, MA, USA) using the following cycling conditions;
327 98°C for 30 seconds, followed by 25 cycles of 98°C for 15 seconds and 65°C for 5 minutes,
328 followed by an indefinite hold at 4°C^{33,34}. Following amplification, samples were pooled together
329 before TrueSeq Illumina library prep.

330 TrueSeq Illumina library prep and sequencing

331 Amplified cDNA was purified using a 1:1 concentration of AMPure XP beads (Beckman Coulter,
332 Brea, CA, USA) and eluted in 30µL of water. PCR products were quantified using Qubit dsDNA
333 high-sensitivity kit (Invitrogen, USA) and were diluted to a final concentration of 2.5 ng/µl (150
334 ng in 50 µl volume). Each sample was then made compatible with deep sequencing using the
335 Nextera TruSeq sample preparation kit (Illumina, USA). Specifically, each sample was

336 enzymatically end repaired. Samples were purified using two consecutive AMPure bead
337 cleanups (0.6x and 0.8x) and were quantified once more using Qubit dsDNA high-sensitivity kit
338 (Invitrogen, USA). A non-templated nucleotide was attached to the 3' ends of each sample,
339 followed by adaptor ligation. Samples were again purified using an AMPure bead cleanup (1x)
340 and eluted in 25µL of resuspension buffer. Lastly, samples were amplified using 8 PCR cycles,
341 cleaned with a 1:1 bead clean-up, and eluted in 30µL of RSB. The average sample fragment
342 length and purity was determined using the Agilent High Sensitivity DNA kit and the Agilent
343 2100 Bioanalyzer (Agilent, Santa Clara, CA). After passing quality control measures, samples
344 were pooled equimolarly to a final concentration of 4 nM, and 5 µl of each 4 nM pool was
345 denatured in 5 µl of 0.2 N NaOH for 5 min. Sequencing pools were denatured to a final
346 concentration of 10 pM with a PhiX-derived control library accounting for 1% of total DNA and
347 was loaded onto a 500-cycle v2 flow cell. Average quality metrics were recorded, reads were
348 demultiplexed, and FASTQ files were generated on Illumina's BaseSpace platform.

349 Processing of the raw sequence data, mapping, and variant 350 calling

351 Raw FASTQ files were analyzed using a workflow called "SARSquencer". Briefly, reads are
352 paired and merged using BBMerge ([https://jgi.doe.gov/data-and-tools/bbtools/bb-tools-user-
353 guide/bbmerge-guide/](https://jgi.doe.gov/data-and-tools/bbtools/bb-tools-user-guide/bbmerge-guide/)) and mapped to the reference (MW219695.1) using BMAP
354 (<https://jgi.doe.gov/data-and-tools/bbtools/bb-tools-user-guide/bbmap-guide/>). Mapped reads
355 were imported into Geneious (<https://www.geneious.com/>) for visual inspection. Variants were
356 called using callvariants.sh (contained within BMAP) and annotated using SnpEff
357 (<https://pcingola.github.io/SnpEff/>). The complete "SARSquencer" pipeline is available in the
358 GitHub accompanying this manuscript in `code/SARSquencer` as well as in a separate GitHub

359 repository – https://github.com/gagekmoreno/SARS_CoV-2_Zequencer. BMap's output VCF
360 files were cleaned using custom Python scripts, which can be found in the GitHub
361 accompanying this manuscript
362 (https://github.com/katarinabraun/SARSCoV2_transmission_in_domestic_cats). Variants were
363 called at $\geq 0.01\%$ in reads that were ≥ 100 bp in length and supported by a minimum of 10 reads.
364 Only variants at $\geq 3\%$ frequency in both technical replicates were used for downstream analysis.
365 In addition, all variants occurring in ARTIC v3 primer-binding sites were discarded before
366 proceeding with downstream analysis.

367 Quantification of SARS-CoV-2 vRNA

368 Plaque forming unit analysis was performed on all nasal swabs as published in Halfmann et al.
369 2019¹¹. Viral load analysis was performed on all of the nasal swab samples described above
370 after they arrived in our laboratory. RNA was isolated using the Viral Total Nucleic Acid kit for
371 the Maxwell RSC instrument (Promega, Madison, WI) following the manufacturer's instructions.
372 Viral load quantification was performed using a sensitive qRT-PCR assay developed by the
373 CDC to detect SARS-CoV-2 (specifically the N1 assay) and commercially available from
374 IDT (Coralville, IA). The assay was run on a LightCycler 96 or LC480 instrument (Roche,
375 Indianapolis, IN) using the Taqman Fast Virus 1-stepMaster Mix enzyme (Thermo Fisher,
376 Waltham, MA). The limit of detection of this assay is estimated to be 200 genome
377 equivalents/ml saliva or swab fluid. To determine the viral load, samples were interpolated onto
378 a standard curve consisting of serial 10-fold dilutions of in vitro transcribed SARS-CoV-2 N gene
379 RNA.

380 Pairwise nucleotide diversity calculations

381 Nucleotide diversity was calculated using π summary statistics (**Supplementary Table 2**). π
382 quantifies the average number of pairwise differences per nucleotide site among a set of
383 sequences and was calculated per gene using SNPGenie
384 (<https://github.com/chasewnelson/SNPgenie>)⁶¹. SNPGenie adapts the Nei and Gojobori
385 method of estimating nucleotide diversity (π), and its synonymous (π_S) and nonsynonymous
386 (π_N) partitions from next-generation sequencing data⁶². When $\pi_N = \pi_S$, this indicates neutral
387 evolution or genetic drift, with neither strong purifying nor positive selection playing a large role
388 in the evolution of the viral population. $\pi_N < \pi_S$ indicates purifying selection is acting to remove
389 deleterious mutations, and $\pi_N > \pi_S$ shows positive or diversifying selection acting on
390 nonsynonymous variation⁶³. We tested the null hypothesis that $\pi_N = \pi_S$ within each gene using
391 an unpaired t-test (**Supplementary Table 1**). The code to replicate these results can be found
392 in the `diversity_estimates.ipynb` Jupyter Notebook in the `code` directory of the GitHub
393 repository.

394 SNP Frequency Spectrum calculations

395 To generate SNP Frequency Spectrums (SFS), we binned all variants detected across
396 timepoints within each index cat into six bins – 3-10%, 10-20%, 20-30%, 30-40%, 40-50%, 50-
397 60%. We plotted the counts of variants falling into each frequency bin using Matplotlib 3.3.2
398 (<https://matplotlib.org>). We used code written by Dr. Louise Moncla to generate the distribution
399 of SNPs for a given population assuming no selection or change in population size, which is
400 expected to follow a $1/x$ distribution³⁶. The code to replicate this can be found in the GitHub
401 accompanying this manuscript, specifically in the `code/SFS.ipynb` Jupyter Notebook. This
402 model predicts 42.8% of variants will fall within the 3-10% frequency range, 24.6% will fall within

403 the 10-20% frequency range, 14.4% of variants will fall within the 20-30% frequency range,
404 10.2% of variants will fall within the 30-40% frequency range, and 7.9% of variants will fall within
405 the 40-50% frequency range. We used a Mann-Whitney U test to test the null hypothesis that
406 the distribution of variant frequencies for each index cat was equal to the neutral distribution.
407 The code to replicate these results can be found in the `SFS.ipynb` Jupyter Notebook in the
408 `code` directory of the GitHub repository.

409 Data availability

410 Source data after have been deposited in the Sequence Read Archive (SRA) under bioproject
411 PRJNA666926[<https://www.ncbi.nlm.nih.gov/bioproject/666926>]. The consensus genome
412 sequences for national and international genomes are available from GISAID (www.gisaid.org;
413 see Supplementary Table 3). Derived data, analysis pipelines, and figures have been made
414 available for easy replication of these results at a publically-accessible GitHub repository:
415 https://github.com/katarinabraun/SARSCoV2_transmission_in_domestic_cats.

416 Code availability

417 Code to replicate analyses and re-create most figures is available at
418 https://github.com/katarinabraun/SARSCoV2_transmission_in_domestic_cats. **Figure 1** was
419 created by hand in Adobe Illustrator and **Supplementary Figures 2 and 3** were created using
420 samtools command line tools, were visualized in JMP Pro 15
421 (https://www.jmp.com/en_in/software/new-release/new-in-jmp-and-jmp-pro.html), and were then
422 edited for readability in Adobe Illustrator. Code to process sequencing data is available at
423 https://github.com/gagekmoreno/SARS_CoV-2_Zequencer and dependencies are available
424 through Docker⁶⁴. Results were visualized using Matplotlib 3.3.2(<https://matplotlib.org>),

425 Seaborn v0.10.0 (<https://github.com/mwaskom/seaborn>), and Baltic v0.1.0
426 (<https://github.com/evogytis/baltic>).

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451 editing.

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456 Competing Interests

457 The authors declare no competing interests.

458

459

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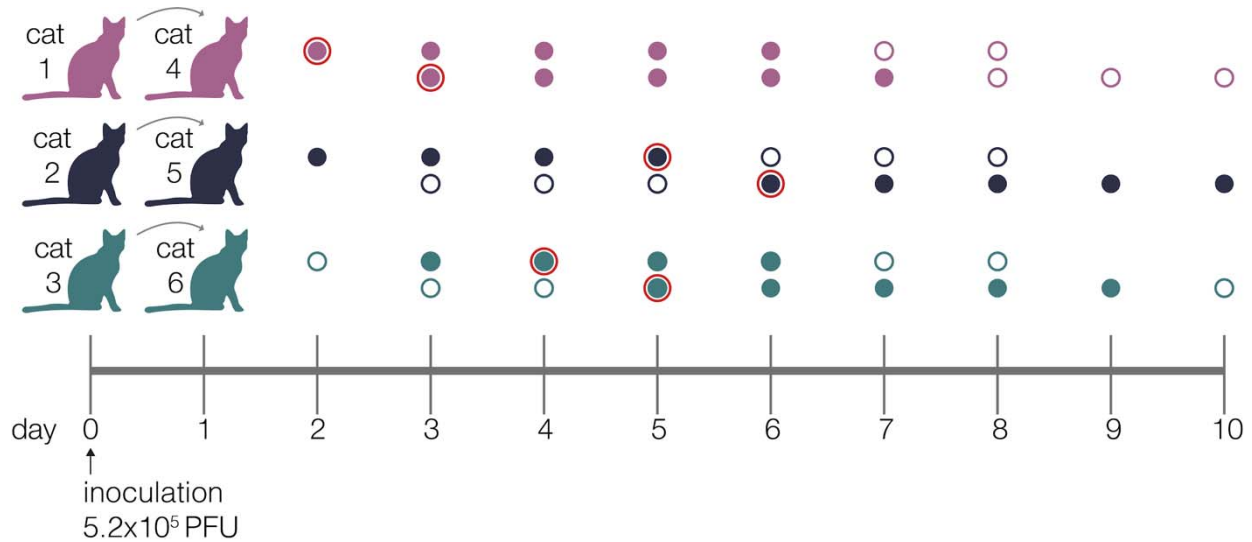
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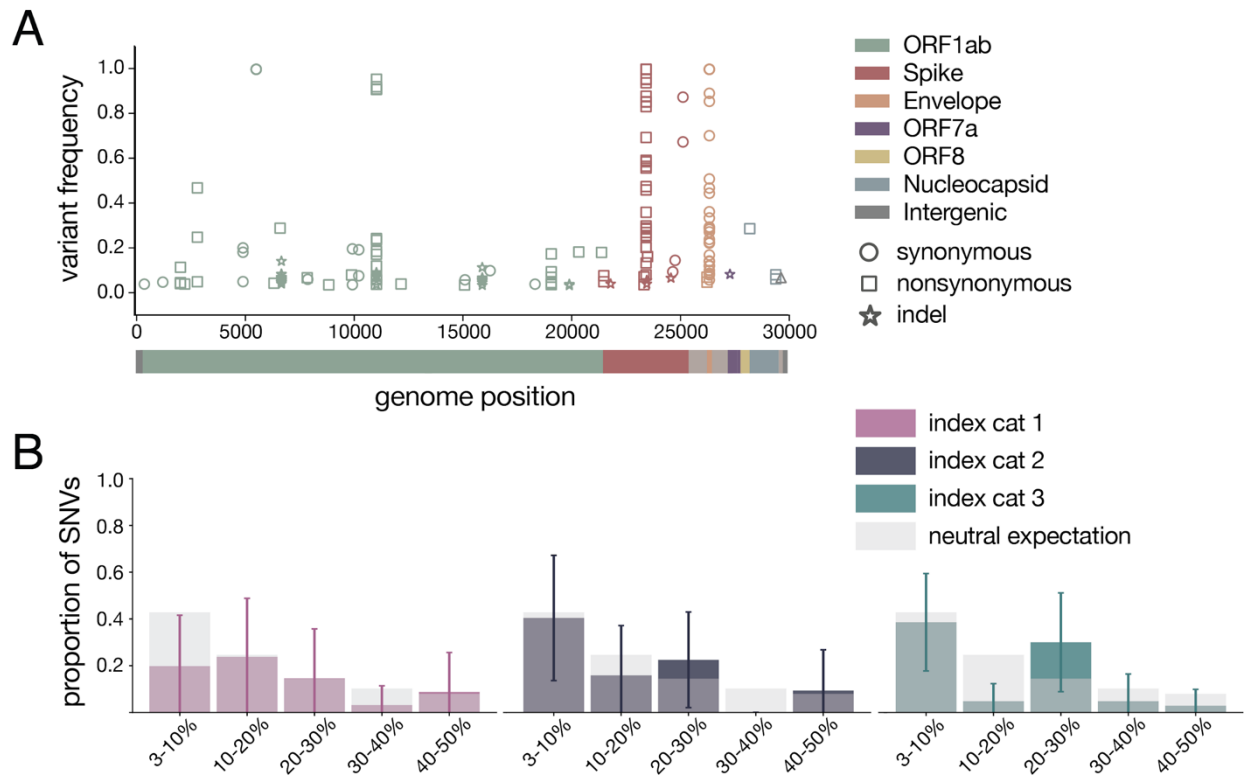
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603 **Figures**



604

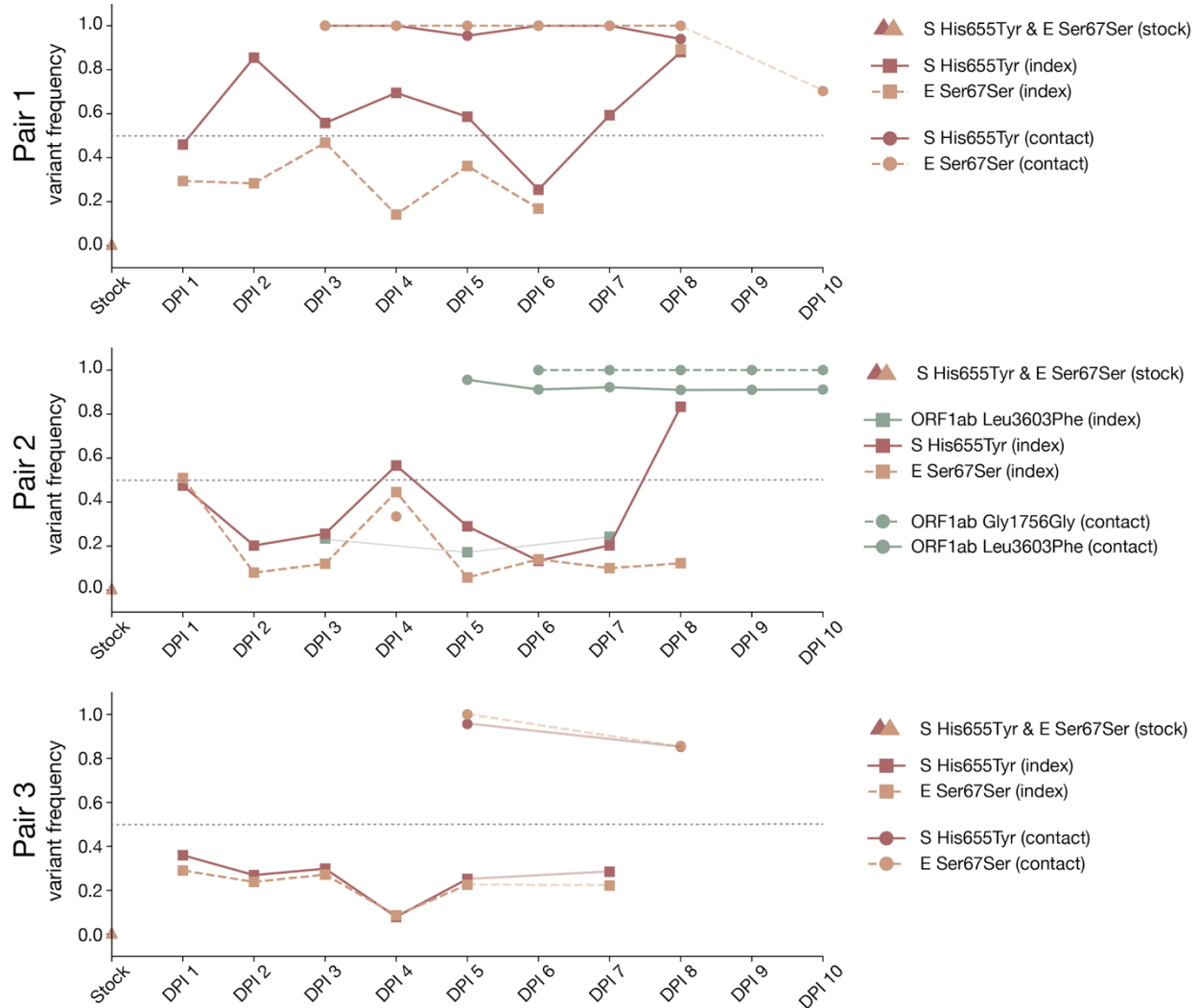
605 **Figure 1. Experimental timeline.** Schematic representing the sampling timeline for the three
606 transmission pairs. Index cats were inoculated on day 0 with 5.2e5 PFU of a human isolate (hCoV-
607 19/Japan/UT-NCGM02/2020) and were co-housed with a naive cat starting on day 1. Within each
608 transmission pair, the top row of circles represent the index cat and the bottom row represents the contact
609 cat. Open circles represent days on which there was no detectable infectious virus as indicated by plaque
610 assay, and closed circles highlight days when live virus was recovered. Circles with a red outline indicate
611 timepoints which were used in the betabinomial estimate to calculate transmission bottleneck sizes.



612

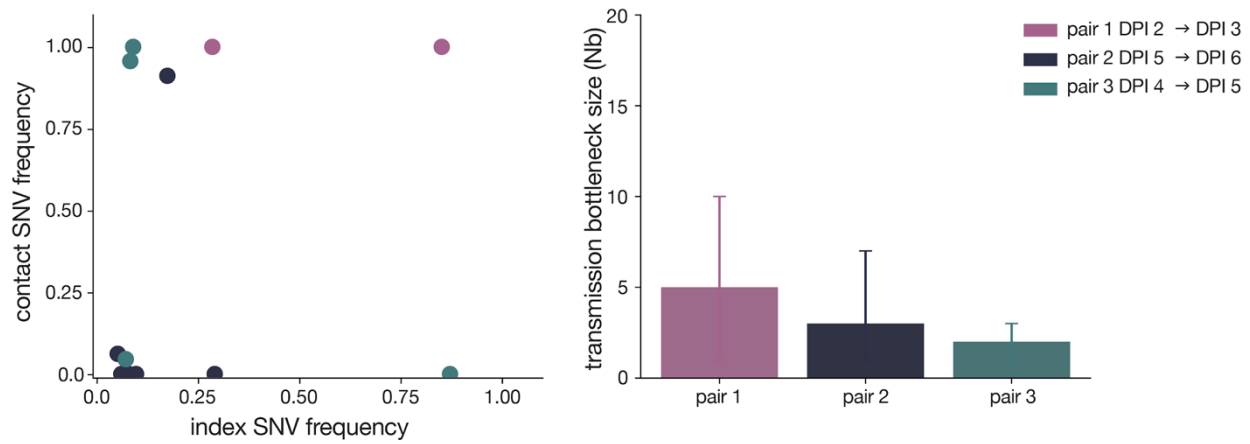
613 **Figure 2. Within-host diversity of SARS-CoV-2 viruses in domestic cats.** A) Plot representing all
614 variants (iSNVs and indels) detected in any cat at any timepoint. Variant frequencies are plotted by
615 genome location and are colored by gene. Circles represent synonymous iSNVs, squares represent
616 nonsynonymous iSNVs, and stars represent indels. B) iSNV frequency spectrums with error bars showing
617 standard deviation for index cats plotted against a “neutral model” (light gray bars) which assumes a
618 constant population size and the absence of selection.

619



620

621 **Figure 3. Frequency of iSNVs over time in each index and contact cat.** The frequency of iSNVs
 622 discussed in the results over time in all six cats are shown. All iSNVs over time are shown in
 623 **Supplementary Figure 6** and all indels over time are shown in **Supplementary Figure 7**. Each variant is
 624 colored by gene location. Nonsynonymous variants are plotted with solid lines and synonymous variants
 625 are plotted with dashed lines. Variants detected in index cats are denoted with squares and variants
 626 detected in contact cats are denoted with circles. Timepoints with viral loads too low to yield high quality
 627 sequences are shown by the gaps in data, but iSNVs are connected across these gaps using light lines
 628 for readability (i.e. cat 1 day 9). The dotted line at 50% frequency represents the consensus threshold.



629

630 **Figure 4. SARS-CoV-2 transmission is defined by a narrow bottleneck.** Variant frequencies in the
631 index cats (x-axis) compared with frequencies of the same variants in the corresponding contact cats (y-
632 axis) that were used in the beta-binomial estimate are shown on the left. Estimates of SARS-CoV-2
633 transmission bottleneck with 99% confidence intervals shown on the right.

634