1 2 3	Risk perceptions and preventive practices of COVID-19 among healthcare
4	professionals in public hospitals in Ethiopia
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17	professionals

18 Abstract

Healthcare professionals are at higher risk of contracting the novel coronavirus due to their work 19 exposure in the healthcare settings. Practicing appropriate preventive measures to control COVID-20 19 infection is one of the most important interventions that healthcare workers are expected to use. 21 The aim of this study was to assess the level of risk perception and practices of preventive measures 22 23 of COVID-19 among health workers in Addis Ababa, Ethiopia. A hospital-based cross-sectional study was conducted from 9th to 26th June 2020 among healthcare professionals working at six 24 public hospitals in Addis Ababa. Data were collected using a self-administered structured 25 26 questionnaire. Frequency, percentage, and mean were used to summarize the data. A binary logistic regression analyses were performed to identify factors associated with risk perception 27 about COVID-19. A total of 1,134 participants were surveyed. Wearing facemask (93%), hand 28 washing for at least 20 seconds (93%), covering mouth and nose while coughing or sneezing 29 (91%), and avoiding touching eyes, nose, and mouth (91%) were the commonly self-reported 30 preventive practices. About 88% perceived that they were worried about the risk of becoming 31 infected with coronavirus, and majority (91%) worried about the risk of infection to their family. 32 The mean score of overall fear and worry of COVID-19 was 2.37 on a scale of 1 to 3. Respondents 33 34 who ever provided clinical care to COVID-19 patients were more likely to report fear and worry (adjusted OR=1.34, 95% CI:1.02-1.91), however those who ever participated in Ebola or SARS 35 outbreaks were less likely to report fear and worry due to COVID-19 crisis (adjusted OR=0.66, 36 37 95% CI:0.48-0.90). This study has revealed widespread practices of preventive measures and the highest perceived risk of COVID-19 among healthcare workers. Therefore, an effective risk 38 communication intervention should be implemented to ensure the maintenance of appropriate 39 40 practices during the current COVID-19 pandemic.

- 42 Keywords: Coronavirus, COVID-19, Ethiopia, Healthcare professionals, Preventive practices,
- 43 *Risk perception, SARS-COV-2*

45 Introduction

The novel coronavirus disease 2019 (COVID-19) that was declared as a pandemic by the World 46 Health Organization (WHO) on the 11th of March 2020 [1] has affected over 37 million people 47 and has caused more than one million deaths globally as of 12th October 2020 [2]. The new severe 48 acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has now spread to 213 countries and 49 territories around the world. Up to 20th September 2020, Ethiopia reported a total of 68,820 50 confirmed coronavirus disease 2019 (COVID-19) cases and 28,314 recoveries from over 51 1,202,818 total tests, among whom 1,096 have died [3]. Over 1,311 health workers have contracted 52 coronavirus in Ethiopia as of 17th September 2020. 53

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Healthcare providers who are in the healthcare settings to care for the COVID-19 patients are 55 highly vulnerable to SARS-COV-2 infection [4]. Most healthcare workers are working in isolation 56 units, critical care units, intensive care units (ICUs), emergency units, working in frontline 57 positions, and having contact with suspected and confirmed COVID-19 cases. During the early 58 stage of COVID-19 pandemic in the USA, the prevalence of SARS-CoV-2 infection among 59 healthcare workers was 7.3% and particularly, infections were most common among nurses [5]. In 60 61 the south of the Netherlands, 96 (5%) of 1796 health care workers screened in three hospitals were tested positive for SARS-CoV-2 just 10 days after the first reported COVID-19 case in the country 62 [6]. More than 278 physicians from almost all medical specialties have died due to COVID-19 as 63 64 of 15 April 2020 with the majority (44%) from Italy mainly because of lack understanding of the virus and its preventive measures [7]. Studies in China reported 3,387 COVID-19 cases among 65 HCWs (4.4% of all cases), with 23 attributable deaths [8]. In some countries at the peak of their 66 67 infection, such as Spain, they have reported that 13% to 14% of the country's cases were in

healthcare workers [9]. Overall, as much as 10% of healthcare workers are infected with SARSCoV-2 in some countries [4] and the WHO has developed infection prevention and control
guidance to be implemented at the national and healthcare facility level in order to reduce
coronavirus infection among healthcare workers [10].

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Studies have identified major sources of worry and anxiety among healthcare professionals due to 73 lack of appropriate PPE; being exposed to COVID-19 at work and taking the infection home to 74 their family; not having rapid access to testing if they develop COVID-19 symptoms and 75 76 concomitant fear of propagating infection at work; uncertainty that their organization will support/take care of their personal and family needs if they develop infection; access to childcare 77 during increased work hours and school closures; and support for other personal and family needs 78 as work hours and demands increase [11]. A recent qualitative study from China reported the 79 challenges facing frontline healthcare workers during the COVID-19 outbreak, including a high 80 risk of infection, insufficient PPE, heavy workloads and manpower shortages, confusion, 81 discrimination, isolation, separation from their families, and burnout [12]. Under these stressful 82 conditions, healthcare professionals have been challenged to effectively engaged in the fight 83 COVID-19. 84

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A good level of understanding the risk perception and preventive practices of healthcare professionals is essential to protect the health workers and prevent the COVID-19 pandemic through effective risk communication. Studies conducted during the early stages of a pandemic have suggested that perceived personal risk of infection and the health effects are linked to engagement in protective behaviors [13]. Since the occurrence of the epidemic in Ethiopia, the MoH, in collaboration with its partners, conducted different trainings on preventive measures for healthcare professionals at several hospitals and health centers, with supplies of PPE materials. However, so far, no study has been undertaken in Ethiopia on risk perception and preventive practices of healthcare professionals during the current COVID-19 pandemic. In addition, levels of confidence and feelings of healthcare workers about COVID-19 are unknown. It was therefore necessary to carry out this study to investigate the level of risk perception and preventive practice of healthcare professionals towards the COVID-19.

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99 Methods

100 Study setting and design

This hospital-based cross-sectional study was conducted from 9th to 26th June 2020 at six public 101 102 hospitals in Addis Ababa city administration, three months after the first confirmed COVID-19 case in Ethiopia in March 2020. Addis Ababa city is the most populated urban city in the country, 103 and had a population of about 3.6 million in 2019 [14]. The city also had better health infrastructure 104 and the highest number of qualified medical personnel compared with any city or region in the 105 country. There were 12 hospitals and close to 100 health centers belonging to the public center, 106 and about 25 private hospitals in Addis Ababa city. There were also over 17,000 healthcare 107 professionals in the city, including 2,441 (14%) physicians and 8,172 (47%) nurses by the end of 108 July 2019 (MOH 2011 EC Health Indicators). The hospitals selected for the current study provide 109 110 outpatient and inpatient services for the city residents and patients coming from different parts of 111 the country.

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114 Study population and sampling

The study was conducted among all healthcare professionals working in the different clinical 115 departments or units of six public hospitals in Addis Ababa, mainly Gyn&Ob, Surgery, Pediatrics, 116 Internal Medicine, OPD, emergencies, intensive care, operation room/ward, screening/triage, 117 laboratory and anesthesia. The selected hospitals included: Tikur Anbessa Specialized Hospital 118 119 (TASH), Zewditu Memorial Hospital (ZMH), Ghandi Memorial Hospital (GMH), Menelik II Hospital, Yekatit 12 Hospital Medical College (Y12HMC) and St. Paul Hospital Millennium 120 Medical College (SPHMMC). The study population included intern doctors, resident doctors, 121 122 general practitioners, medical specialists and sub-specialists, health officers, anesthetists, nurses, midwives, pharmacists, laboratory technologists, physiotherapists, X-ray and laboratory 123 technicians, all of whom may expect to encounter suspected or confirmed COVID-19 patients. 124

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A multi-stage sampling, using a mix of purposive and non-random sampling, was applied to select 126 the study participants. In the first stage, the six hospitals were purposively selected from 12 127 hospitals in the city. In the second stage, clinical departments or units were selected, and in the 128 third stage, study participants were selected proportionally to the estimated number of healthcare 129 130 professionals working in different departments and units of the hospital. All eligible participants in each department/unit who consented to participate were recruited into the study. Since COVID-131 19 is a new disease, we assumed that at least 50% of study participants had higher risk perception 132 133 regarding COVID-19, and the estimated sample size was calculated with 95% confidence limit, with 4% precision and a design effect equal to 1.5 using 20 % non-response rate. Accordingly, the 134 135 minimum total sample size targeted for this survey was 1,080 respondents. A total of 1,200 136 participants were targeted for the study.

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138 Data Collection

A structured paper-based self-administered questionnaire was used to collect the data. The 139 questionnaire is composed of parts on the demographic (gender, age) and occupational 140 characteristics of the respondents (hospital, department/unit, professional category, and work 141 experience), as well as their preparedness to combat COVID-19, potential risk of becoming 142 infected with the virus, worries about the potential risk to their family and loved ones, feelings and 143 fears about COVID-19. Questions related to measures taken to prevent infection from the virus 144 145 included hand washing for at least 20 seconds, use of disinfectants, wearing facemask, physical distancing, covering mouth and nose while coughing and sneezing and other preventive measures. 146 The questionnaire was developed in English by the authors of the study based on the previously 147 conducted studies and visiting the WHO websites for frequently asked questions on risk perception 148 of healthcare professionals. Most of the questions were designed as 'yes/no', 'agree/disagree', and 149 'worried/not worried' using different rating scales. 150

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A total of 12 experienced data collectors with health backgrounds were involved in the data 152 collection of this survey. A guideline was developed by the research team to guide the data 153 collectors and supervisors for data collection, quality assurance of data and ethical conduct. 154 Training and orientation on the survey tool and methodology including how to administer the SAQ 155 were conducted for the data collectors using webinar on 2nd June 2020. After explaining the 156 purpose of the study and obtaining written or oral informed consent, study participants were given 157 a paper-based questionnaire at their workplace and they filled out their own questionnaires. The 158 159 purpose of the study was clearly stated in the questionnaire and the participants were asked to

160 complete the questionnaire with honest answers after giving their consents. The study participants 161 were encouraged to fill out the questionnaire whilst the data collectors were still in the hospital 162 during the data collection period. A collection center was also prepared in the Hospital Director's 163 office to also gather the questionnaires from the healthcare workers that were unable to directly 164 deliver the completed questionnaires to the data collectors. The data collection took place 165 simultaneously in the six hospitals. The questionnaires were checked for completeness and 166 consistency upon collection. All responses were anonymous.

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Risk perception among the healthcare professionals in this study was measured using questions on perceived fears and worries, vulnerability and feelings, and behavioral responses regarding COVID-19 [15-16]. Preventive practices of COVID-19 in this study include hygiene behaviors (such as hand washing; covering mouth and nose with a hand or tissue while coughing or sneezing; avoiding touching eyes, nose and mouth with unwashed hands; using hand sanitizer; disinfecting surfaces); mask wearing, physical distancing and avoiding crowds and public places [17].

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175 Data analysis

Data were entered into the Census Surveys Professional (CSPro) Version 7.2 statistical software package and subsequently exported to SPSS version 23.0 (SPSS Inc., IBM, USA) for cleaning and data analysis. Descriptive analysis was applied to calculate the frequencies, proportions and mean scores, and the results were presented as a proportion for the categorical variables, and as a mean \pm standard deviation for the quantitative variables. A Chi-square was used to establish significance and relationship between variables. The study participants were asked 12 questions related to their fears and worries (risk perception) about COVID-19, such as losing someone they love due to the

disease, health system overcrowding, mental and physical health, etc., on a 3-point scale, where 183 1=don't worry at all, 2=worry somehow and 3=worry a lot. A sum of scores (ranged 12-36) was 184 made and the level was classified into two groups using the Visual Binning in SPSS (low 185 fear/worry <29 and high fear/worry >29 score). Univariate odds ratios (crude OR) and multivariate 186 odds ratio (adjusted OR) were derived by using univariate and multivariate logistic regression 187 188 models, respectively, to identify the main factors associated with healthcare workers high risk perception. Statistical significance was considered for P < 0.05. The internal consistency 189 (reliability) of the questions was tested by applying Cronbach's alpha and the Cronbach's alpha 190 191 coefficient of the reliability of scale was estimated at 0.91, which is highly acceptable.

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193 Ethical considerations

194 The study protocol was reviewed and approved by the Institutional Review Board of the College of Health Sciences at Addis Ababa University (AAU). Permission to undertake this study was 195 obtained from every relevant authority at all levels. Official letters from AAU were written to each 196 hospital to cooperate and participate in the survey. The purpose and significance of the study was 197 introduced to the study participants, and all participants provided written or oral consent before 198 participating in the study. Anonymity and data confidentiality were ensured, and no identifiable 199 data from participants were collected. All study respondents were asked to only fill the 200 questionnaire once to avoid duplication of data and that their participation in the study was entirely 201 202 on voluntary basis. All personnel involved in the survey received orientation on COVID-19 infection prevention and control measures. 203

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206 Results

207 Characteristics of study participants

A total of 1,134 (92%) healthcare professionals consented and completed the questionnaires, out 208 of 1,228 possible participants from six public hospitals in Addis Ababa. Among 1,134 healthcare 209 210 personnel, nearly 40% of them were nurses, followed by physicians (22.4%) and interns (10.8%). 211 Table 1 summarizes the demographic and occupational characteristics of the study participants and their professional affiliation. Among 1,102 respondents reporting gender, 45.9% were males, with 212 females making 51.3% of all respondents. Among 982 participants with available data on age, the 213 214 mean (\pm SD) age was 30.3 \pm 6.4 years and ranged from 22 to 70 years old, with the majority within the age group of 20-29 years (57.9%) (31.0 ± 5.6 years for physicians, 25.6 ± 3.3 years for interns 215 and 30.7±6.5 years for nurses). Among 252 physicians participated in the study, general 216 217 practitioners and resident doctors accounted for 44.8% and 42.9%, respectively, while medical specialists and sub-specialists accounted for the remaining 12.3%. About 17% of the respondents 218 represented other professional categories such as anesthetist, pharmacist, health officer, 219 220 radiographer and laboratory technologist. Majority (17.2%) of the respondents worked in Gny&Ob department, while 13.8% were in surgical department, 13.3% in pediatrics, 13.0% in medical and 221 10.5% in OPD departments. Most respondents worked as staff for less than 10 years in the hospital 222 (73.2%), and nearly 10% worked for 10 or more years. 223

	Professional category, n (%)					
Characteristics	Physician	Intern	Nurse	Midwife	Other*	Total, n (%)
Gender (n=1134)						
Male	157 (62.3)	58 (47.2)	175 (38.6)	44 (37.6)	103 (54.5)	537 (47.4)
Female	95 (37.7)	65 (52.8)	278 (61.4)	73 (62.4)	86 (45.6)	597 (52.6)
Age group (years) (n=982)	, , , , , , , , , , , , , , , , , , ,		, , ,	, , , , , , , , , , , , , , , , , , ,	, , ,	, ,
20-29	101 (45.9)	99 (91.7)	220 (57.0)	80 (79.2)	69 (41.3)	569 (57.9)
30-39	106 (48.2)	8 (7.4)	119 (30.8)	14 (13.9)	70 (41.9)	317 (32.3)
≥ 40	13 (5.9)	1 (0.9)	47 (12.2)	7 (6.9)	28 (16.8)	96 (9.8)
Mean (±SD)	31.0 (±5.6)	25.6 (±3.3)	30.7 (±6.5)	28.3 (±5.7)	32.6 (±7.5)	30.3 (±6.4)
Median (Range)	30.0 (22-70)	25.6 (22-45)	30.7 (22.57)	28.3 (22-52)	32.3 (23-60)	30.3 (22-70)
Department/Unit (n=1134)	, ,	, , , , , , , , , , , , , , , , , , ,				
Gyn&Ob	27 (10.7)	31 (25.2)	36 (7.9)	97 (82.9)	4 (2.1)	195 (17.2)
Surgical	43 (17.1)	31 (25.2)	65 (14.3)	2(1.7)	16 (8.5)	157 (13.8)
Pediatrics	39 (15.5)	35 (28.5)	71 (15.7)	2(1.7)	4 (2.1)	151 (13.3)
Medical	62 (24.6)	17 (13.8)	62 (13.7)	0.0	6 (3.2)	147 (13.0)
OPD/Screening/Triage	16 (6.3)	2 (1.6)	83 (18.3)	6 (5.1)	37 (19.6)	144 (12.7)
Emergency	28 (11.1)	4 (3.3)	34 (7.5)	10 (8.5)	19 (10.1)	95 (8.4)
Anesthesia/OR/IC	12 (4.8)	1 (0.8)	66 (14.6)	0.0	14 (7.4)	93 (8.2)
Other***	25 (9.9)	2 (1.6)	36 (7.9)	0.0	89 (47.1)	152 (13.4)
Hospital (n=1134)***	l				, í	
TASH	79 (31.3)	17 (13.8)	128 (28.3)	19 (16.2)	40 (21.2)	283 (25.0)
ZMH	39 (15.5)	36 (29.3)	54 (11.9)	15 (12.8)	33 (17.5)	177 (15.6)
GMH	17 (6.7)	7 (5.7)	51 (11.3)	21 (17.9)	19 (10.1)	115 (10.1)
Y12HMC	35 (13.9)	12 (9.8)	48 (10.6)	15 (12.8)	42 (22.2)	152 (13.4)
MH	39 (15.5)	29 (23.6)	68 (15.0)	20 (17.1)	18 (9.5)	174 (15.3)
SPHMMC	43 (17.1)	22 (17.9)	104 (23.0)	27 (23.1)	37 (19.6)	233 (20.5)
Work experience (n=938)						
<5	167 (79.5)	84 (90.3)	168 (44.0)	65 (67.0)	68 (43.6)	552 (58.8)
5-9	33 (15.7)	7 (7.5)	160 (41.9)	25 (25.8)	53 (34.0)	278 (29.6)
10-14	5 (2.4)	2 (2.2)	29 (7.6)	4 (4.1)	21 (13.5)	61 (6.5)
15-34	15 (2.4)	0.0	25 (6.5)	3 (3.1)	14 (9.0)	47 (5.0)
Total, n (%)	252 (22.2)	123 (10.8)	453 (39.3)	117 (10.3)	189 (16.7)	1134 (100)

Table 1. Characteristics of study participants by professional category (n=1134)

226 *Other: Includes anesthetist, pharmacist, health officer, lab technologist and radiographer.

**Other: Includes Isolation room/ward, Pharmacy, Oncology, etc.

***TASH: Tikur Anbessa Specialized Hospital; ZMH: Zewditu Memorial Hospital; GMH:Ghandi Memorial Hospital; Y12HMC: Yekatit 12
 Hospital Medical College; MH: Menelik II Hospital; SPHMMC: St. Paul Hospital Millennium Medical College.

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231 COVID-19 preventive practices

The self-reported prevalence of different preventive measures practiced by healthcare professionals to prevent themselves from coronavirus infection is shown in Table 2. The overall highest practice showed among healthcare participants were wearing facemask (93%), hand washing for at least 20 seconds (92.7%), covering mouth and nose when coughing or sneezing (90.9%), and avoiding touching eyes, nose, and mouth with unwashed hands (90.5%). These measures were commonly reported (>90%) for physicians, intern doctors, nurses and other

- healthcare professionals except the midwives who reported <90%. A lower percentage of self-
- reported practices were observed in physical distancing (84.3%), the use of disinfecting surfaces
- 240 (76.1%), and staying home when feeling cold or sick (64.6%), with similar pattern across the
- 241 different categories of healthcare workers.

242	Table 2. Self-reported prevalence of preventive measures practiced by healthcare professionals to
242	-1124

243 prevent coronavirus infection by professional category (n=1134)

		Professional category, %					
Variable	Physician	Intern	Nurse	Midwife	Other*	Total, %	
Wearing face mask	95.6	95.9	90.9	89.7	94.7	93.0	
Hand washing for at least 20 seconds	95.2	95.1	90.9	88.9	94.2	92.7	
Covering your mouth and nose when you cough or sneeze	93.7	96.7	89.0	87.2	90.5	90.9	
Avoiding touching your eyes, nose, and mouth with unwashed hands	90.9	92.7	90.1	88.0	91.0	90.5	
Use of disinfectants to clean hands when water and soap was not available for washing hands	92.9	93.5	83.9	83.8	90.5	88.0	
Physical distancing	84.1	85.4	85.9	79.5	83.1	84.3	
Disinfecting mobile phone	84.2	82.1	83.4	84.6	83.6	83.6	
Disinfecting surfaces	73.0	73.2	79.0	74.4	76.2	76.1	
Staying home when you were sick or when you had a cold	63.1	65.9	66.9	61.5	62.4	64.6	
Total, n (%)	252 (22.2)	123 (10.8)	453 (39.3)	117 (10.3)	189 (16.7)	1134 (100)	

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This study also investigated the attitude of the healthcare workers with regard to which group of people they recommend to use a facemask or N95 respirator. The vast majority of the respondents (94.8%) recommended the use of a facemask by all healthcare professionals, all healthy people to protect themselves from coronavirus infection (90.1%), and people with close contact with suspected or confirmed COVID-19 (88.8%). About 87% of all respondents suggested that N95 respirator should be used by all healthcare professionals as well as by people who are being in

close contact with suspected or confirmed COVID-19 patients. About five in 10 (48%) of the
respondents recommended the use of N95 respirator by healthy people to protect themselves
against coronavirus infection. About 65% and 48% of the respondents from TASH and SPHMMC,
respectively, recommended the use of N95 respirator for all healthy people to protect themselves
from COVID-19.

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Exposure and preparedness in providing care to COVID-19 and other infectious disease outbreaks

Only about one-third (30.7%) of the study participated reported that they ever participated in direct 259 clinical care to patients affected by infectious disease outbreaks such as Ebola, SARS and cholera. 260 261 Nearly three in 10 (28.9%, n=328) respondents reported that they ever provided direct clinical care to at least one suspected/confirmed COVID-19 patient, with 39.1% participants from SPHMMC, 262 34.5% from MH and 31.1% from TASH. Regarding the level of preparedness of healthcare 263 professionals to provide direct clinical care to COVID-19 patients, 33.6% (n=381) reported that 264 they were prepared to provide direct clinical care to COVID-19 patients. In contrast, about two-265 266 third (66.4%) of the healthcare workers reported that they were not prepared to manage COVID-19 patients. 267

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269 *Risk perception of healthcare professionals due to their role in the COVID-19 pandemic*

The study participants were asked questions about their personal health, potential risks of becoming infected with COVID-19 or the potential risks to their families and loved ones due to their clinical role in the hospital. About 30% and 43% of the participants somewhat or strongly worried, respectively, that their personal health is at risk during the COVID-19 pandemic due to their role in the hospital (Table 3). Nevertheless, 6% and 13.5% of respondents reported that they

275	somewhat not worried or even not worried at all that their personal health was not at risk due to
276	COVID-19. About 38% and 50% of all respondents perceived that they were somewhat worried
277	or extremely worried about themselves, respectively, due to the potential risk of becoming infected
278	with coronavirus by their clinical role in the hospital setting these days, with only 5.6% perceived
279	that they were not worried about the risk of being infected with the virus. Majorities of the
280	respondents (64.4%) extremely worried about the potential risk of infection to their family and
281	loved ones, and the remaining 26.7% were somewhat worried. Only 4.4% of the respondents were
282	not worried about the risk of COVID-19 to their family and loved ones.

Table 3. Healthcare professional's worry about their clinical role in the hospital during COVID-19 by professional category (n=3 items)

	Professional category, %						
Variable	Physician	Intern	Nurse	Midwife	Other*		
	(n=244)	(n=120)	(n=431)	(n=108)	(n=181)		
How worried are you about your	· · ·						
personal health due to your role in the							
hospital during COVID-19 pandemic?							
Extremely worried	47.1	50.0	39.7	40.7	42.0		
Somewhat worried	35.2	27.5	25.5	28.7	37.0		
Average	4.9	8.3	9.5	6.5	5.5		
Somewhat not worried	3.7	5.0	7.9	7.4	4.4		
Not worried at all	9.0	9.2	17.4	16.4	11.0		
How worried are you about the							
potential risk of becoming infected							
with COVID-19 due to your role in the							
hospital?							
Extremely worried	47.1	56.7	48.5	58.3	46.4		
Somewhat worried	47.5	35.0	34.8	29.6	40.3		
Average	3.3	6.7	8.6	5.6	6.6		
Somewhat not worried	2.0	1.7	5.1	2.8	4.4		
Not worried at all	0.0	0.0	3.0	3.7	2.2		
How worried are you about the							
potential risk COVID-19 to your							
family, loved ones or others due to							
your role in the hospital?							
Extremely worried	66.8	75.8	61.9	63.0	60.2		
Somewhat worried	29.5	19.2	25.5	28.7	29.3		
Average	2.5	4.2	7.4	4.6	5.0		
Somewhat not worried	0.4	0.8	3.2	2.8	2.8		
Not worried at all	0.8	0.0	1.9	0.9	2.8		

287 The study participants were asked 12 questions to quantify their fears and worries (risk perception) 288 about COVID-19 crisis, on a 3-point scale, where 1=don't worry at all, 2=worry somehow and 3=worry a lot. Of the total 1134 study participants, 952 (84%) had complete responses on all the 289 290 12-items for computing the total score. About 66% of the respondents reported that they worried a lot about losing someone due to COVID-19, 66.7% worried a lot about the health of their loved 291 ones, and 67.5% worried a lot about the health system being overloaded by the patients of COVID-292 293 19, followed by a lot of worries about the economic recession in the country (58%), and restricted access to food supplies (56.1%) (Table 4). The study also revealed that there were respondents 294 295 who were ambivalent or didn't worry at all about COVID-19 crisis.

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298	Table 4. Healthcare professional's fears and worries about COVID-19 crisis by hospital (n=12 items)
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	Professional category, %					
	Physician	Intern	Nurse	Midwife	Other*	Total, %
Fear and worry question	(n=221)	(n=110)	(n=374)	(n=95)	(n=152)	(n=952)
Loosing someone I love			, í			
Don't worry at all	7.2	10.0	12.6	15.8	12.5	11.3
Worry somehow	25.3	19.1	21.1	22.1	23.7	22.4
Worry a lot	67.4	70.4	66.3	62.1	63.8	66.3
Health system being overloaded						
Don't worry at all	7.7	5.5	8.8	9.5	9.2	8.3
Worry somehow	17.2	30.0	24.1	29.5	27.0	24.2
Worry a lot	75.1	64.5	67.1	61.1	63.8	67.5
My own mental health						
Don't worry at all	19.9	30.0	22.2	21.1	25.0	22.9
Worry somehow	44.8	30.9	35.6	37.9	36.2	37.5
Worry a lot	35.3	39.1	42.2	41.1	38.8	39.6
My own physical health		0,11			20.0	27.0
Don't worry at all	11.8	12.7	17.4	11.6	17.8	15.0
Worry somehow	45.2	40.9	38.8	45.3	38.2	41.1
Worry a lot	43.0	46.4	43.9	43.2	44.1	43.9
My loved ones' health	10.0	10.1		13.2		13.7
Don't worry at all	12.7	8.2	10.2	10.5	9.2	10.4
Worry somehow	21.3	14.5	26.5	26.3	20.4	22.9
Worry a lot	66.1	77.3	63.4	63.2	70.4	66.7
Restricted liberty of movement	00.1	11.5	05.4	05.2	70.4	00.7
Don't worry at all	13.6	18.2	12.8	13.7	13.2	13.8
Worry somehow	44.8	41.8	43.9	43.2	49.3	44.6
Worry a lot	41.6	40.0	43.3	43.2	37.5	44.0
Small companies running out of business	41.0	40.0	45.5	43.2	57.5	41.0
Don't worry at all	10.9	13.6	14.2	16.8	12.5	13.3
Worry somehow	50.2	50.0	37.2	35.8	38.2	41.7
	30.2	36.4	48.7	47.4	49.3	41.7
Worry a lot	38.9	50.4	40.7	47.4	49.5	43.0
Economic recession in my country						
Don't worry at all	7.7	7.3	9.1	7.4	10.5	8.6
Worry somehow	37.1	47.3	29.7	36.8	25.0	33.4
Worry a lot	55.2	45.5	61.2	55.8	64.5	58.0
Restricted access to food supplies						
Don't worry at all	11.3	5.5	9.9	6.3	8.6	9.1
Worry somehow	37.1	35.5	31.6	36.8	37.5	34.8
Worry a lot	51.6	59.1	58.6	56.8	53.9	56.1
Becoming unemployed						
Don't worry at all	51.1	27.3	25.4	22.1	28.9	31.8
Worry somehow	19.5	27.3	32.9	32.6	26.3	28.0
Worry a lot	29.4	45.5	41.7	45.3	44.7	40.1
Not being able to pay my bills						
Don't worry at all	30.8	23.6	18.2	17.9	17.1	21.5
Worry somehow	37.1	33.6	42.2	43.2	41.4	40.0
Worry a lot	32.1	42.7	39.6	38.9	41.4	38.4
Unable to visit people who depend on me						
Don't worry at all	10.9	14.5	8.8	4.2	12.5	10.1
Worry somehow	32.6	29.1	34.8	4.2	27.6	33.0
Worry a lot	56.6	56.4	56.4	55.8	59.9	56.9

301 An overall fear and worry index about COVID-19 was created using 12 questions. The overall 302 score for the scale was calculated by summing up the score of all questions (from 12 to 36). The higher the score, the greater the fear and worry of the COVID-19. Table 5 presents the mean scores 303 for each and the overall worry indicators of COVID-19 crisis by professional category. Overall, 304 the participants reported an average of moderate-to-high levels of COVID-19 worry (2.37) on each 305 306 item, ranging from 2.1 on 'becoming unemployed' to 2.6 on 'losing someone they love', 'health system being overloaded' and 'someone's loved health'. The overall average worry score of the 307 12 items for the COVID-19 crisis was high, with a mean (\pm SD) of 28.4 (\pm 5.9), ranging from 12 to 308 309 36. The total average fear and worry scores for the hospitals ranged from 25.6 (± 6.8) at TASH to 31.3 (±5.0) at GMH; and was further categorized into three levels i.e. low, moderate, and high fear 310 and worry level. Figure 1 shows the pattern of the total fear and worry scores of COVID-19 crisis, 311 312 and about 56% of respondents from TASH showed a relatively low fear and worry score compared to the highest (50.9%) fear and worry score reported by participants from GMH. 313

314

Table 5. Mean fear and worry scores of healthcare professionals about COVID-19 crisis by

317 professional category (n=12 items)

318

COVID-19 worry items	Physician	Intern	Nurse	Midwife	Other*	Mean (SD)
	(n=221)	(n=110)	(n=374)	(n=95)	(n=152)	(n=952)
Losing someone I love	2.6 (0.6)	2.6 (0.7)	2.5 (0.7)	2.5 (0.8)	2.5 (0.7)	2.6 (0.7)
Health system being overloaded	2.7 (0.6)	2.6 (0.6)	2.6 (0.6)	2.5 (0.7)	2.6 (0.7)	2.6 (0.6)
My own mental health	2.2 (0.7)	2.1 (0.8)	2.2 (0.8)	2.2 (0.8)	2.1 (0.8)	2.2 (0.8)
My own physical health	2.3 (0.7)	2.3 (0.7)	2.3 (0.7)	2.3 (0.7)	2.3 (0.7)	2.3 (0.7)
My loved one's health	2.5 (0.7)	2.7 (0.6)	2.5 (0.7)	2.5 (0.7)	2.6 (0.7)	2.6 (0.7)
Restricted liberty of movement	2.7 (0.7)	2.2 (0.7)	2.3 (0.7)	2.3 (0.7)	2.2 (0.7)	2.3 (0.7)
Companies running out of business	2.3 (0.7)	2.2 (0.7)	2.4 (0.7)	2.3 (0.7)	2.4 (0.7)	2.3 (0.7)
Economic recession in my country	2.5 (0.6)	2.4 (0.6)	2.5 (0.7)	2.5 (0.6)	2.5 (0.7)	2.5 (0.7)
Restricted access to food supplies	2.4 (0.7)	2.5 (0.6)	2.5 (0.7)	2.5 (0.6)	2.6 (0.6)	2.5 (0.7)
Becoming unemployed	1.8 (0.9)	2.2 (0.8)	2.2 (0.8)	2.2 (0.8)	2.2 (0.8)	2.1 (0.8)
Not being able to pay my bills	2.0 (0.8)	2.2 (0.8)	2.2 (0.7)	2.2 (0.7)	2.2 (0.7)	2.2 (0.8)
Not able to visit people	2.5 (0.6)	2.4 (0.7)	2.5 (0.7)	2.5 (0.6)	2.5 (0.7)	2.5 (0.7)
Overall mean (SD)	28.7 (6.1)	28.6 (5.8)	28.6 (5.7)	28.4 (5.9)		
*Numbers in parentheses represent standard deviations.						

³¹⁹

321

323

322 Fig. 1. Pattern of fear and worry scores of COVID-19 crises by hospital

The total fear and worry scores of COVID-19 was finally changed into binary using the Visual 324 325 Binning in SPSS (low fear/worry <29 and high fear/worry >29 score). Table 6 shows the results of bivariate and multivariable logistic regression analyses of predictors associated with 326 respondents mean scores of fears and worries about COVID-19 crisis. In the bivariate analyses 327 departments/units and the hospitals were significantly associated with fear and worry scores of 328 COVID-19 crises. Nurses were 1.52 times more likely to report fear and worry (OR=1.52, 95% 329 330 CI:1.09-2.13, P<0.015), and healthcare workers who ever participated in clinical care to Ebola, SARS and cholera patients were 0.67 times less likely to report fear and worry due to COVID-19 331 crisis (OR=1.67, 95% CI:0.51-0.88, P<0.005). 332

³²⁰

Table 6. Factors associated with worries about COVID-19 crisis in the study population using

multiple logistic regression analyses (n=952)

336

	Fear and worry	v level, n (%)	Crude		Adjusted		
Predictor	Low (≤29)	High (>29)	OR (95% CI)*	P-value	OR (95% CI)	<i>P</i> -value	
Gender							
Male	255 (55.8)	202 (44.7)	0.84 (0.65-1.09)	0.186	0.96 (0.73-1.28)	0.792	
Female	255 (51.5)	240 (48.5)	1.0		1.0		
Professional category							
Physician	131 (59.3)	90 (40.7)	1.0		1.0		
Intern	61 (55.4)	49 (44.5)	1.17 (0.74-1.86)	0.507	0.78 (0.47-1.30)	0.336	
Nurse	183 (48.9)	191 (51.1)	1.52 (1.09-2.13)	0.015	1.33 (0.91-1.93)	0.139	
Midwife	54 (56.8)	41 (43.2)	1.11 (0.68-1.80)	0.687	0.69 (0.37-1.26)	0.226	
Other***	81 (53.3)	71 (46.7)	1.28 (0.84-1.94)	0.252	1.37 (0.83-2.24)	0.218	
Department/Unit							
Gyn&Ob	78 (45.9)	92 (54.1)	1.0				
Surgical	75 (59.1)	52 (40.9)	0.59 (0.37-0.94)	0.025	0.65 (0.37-1.16)	0.142	
Pediatrics	69 (51.9)	64 (48.1)	0.79 (0.50-1.24)	0.300	0.82 (0.47-1.44)	0.492	
Medical	74 (60.2)	49 (39.8)	0.56 (0.35-0.90)	0.016	0.63 (0.35-1.13)	0.119	
OPD/Screening/Triage	57 (47.9)	62 (52.1)	0.92 (0.58-1.48)	0.735	0.84 (0.47-1.50)	0.546	
Emergency	55 (68.8)	25 (31.3)	0.39 (0.22-0.68)	0.001	0.40 (0.21-0.77)	0.006	
Anesthesia/OR/IC	31(40.8)	45 (59.2)	1.23 (0.71-2.13)	0.458	1.11 (0.57-2.16)	0.761	
Other***	71 (57.3)	53 (42.7)	0.63 (0.40-1.01)	0.055	0.52 (0.28-0.96)	0.0.8	
Hospital							
TASH	154 (68.8)	70 (31.1)	0.44 (0.30-0.66)	< 0.001	0.49 (0.32-0.75)	0.001	
ZMH	64 (45.1)	78 (54.9)	1.18 (0.77-1.82)	0.448	1.34 (0.85-2.11)	0.209	
GMH	38 (33.9)	74 (66.1)	1.89 (1.17-3.06)	0.009	1.87 (1.10-3.18)	0.020	
Y12HMC	88 (62.2)	45 (33.8)	0.50 (0.34-0.78)	0.003	0.52 (0.32-0.84)	0.008	
MH	69 (47.9)	75 (52.1)	1.05 (0.69-1.62)	0.809	1.12 (0.72-1.75)	0.607	
SPHMMC	97 (49.2)	100 (50.8)	1		1		
Prepared to provide							
direct care to COVID-							
19 cases							
Yes	165 (49.5)	168 (50.5)	1.28 (0.98-1.67)	0.068	1.04 (0.78-1.40)	0.776	
No	345 (55.7)	274 (44.3)	1.0		1.0		
Ever provided clinical							
care to suspected/							
confirmed COVID-19							
patients	1 47 (50 2)	1.45 (40.5)	1 21 (0 02 1 52)	0.104	1.24 (1.02.1.01)	0.027	
Yes	147 (50.3)	145 (49.7)	1.21 (0.92-1.59)	0.184	1.34 (1.02-1.91)	0.037	
No	363 (55.0)	297 (45.0)	1.0		1.0		
Ever participated in							
clinical care to Ebola,							
SARS and cholera							
patients	101 ((0.2)	110 (20.7)	0 (7 (0 51 0 00)	0.005		0.000	
Yes	181 (60.3)	119 (39.7)	0.67 (0.51-0.88)	0.005	0.66 (0.48-0.90)	0.009	
No	329 (50.5)	323 (49.5)	1.0		1.0		

337

338 In the multivariable logistic regression analyses, hospitals retained the statistical significance for

the fear and worry score, where respondents from TASH (adjusted OR=0.49, 95% CI:0.32-0.75,

340 *P*=0.001) and Y12HMC (adjusted OR=0.52, 95% CI:0.32-0.84, *P*=0.008) were less likely to report

fear and worry about COVID-19 crisis (Table 6). In contrast, respondents from GMH were more 341 likely to fear and worry for COVID-19 crisis (adjusted OR=1.77, 95% CI:1.10-3.18) than those 342 from the SPHMMC respondents. Healthcare professionals ever provided clinical care to suspected/ 343 confirmed COVID-19 patients were 1.34 times more likely to report fear and worry due to COVID-344 19 crises (OR=1.34, 95% CI:1.02-1.91, P=0.037), however respondents who ever participated in 345 346 clinical care to Ebola, SARS and cholera patients were 0.66 times less likely to report fear and worry due to COVID-19 crisis (OR=0.66, 95% CI:0.48-0.90, P=0.009). Gender, professional 347 category and preparedness to provide direct care to COVID-19 patients did not appear significant 348 349 in the multivariable logistic regression model to predict the odds of fear and worry score for COVID-19 crisis. 350

351

352 Discussion

Since its emergence in December 2020, the COVID-19 pandemic is a global public health concern 353 and the most current topic of discussion across every facet of life, especially among the healthcare 354 professionals and patients. This study was conducted in Addis Ababa city during 09-26 June 2020, 355 three months after detection of the first confirmed case of COVID-19 in Ethiopia. Addis Ababa 356 357 city is the most affected part in the country. The study aimed to assess the risk perceptions and protective behaviors of COVID-19 among healthcare professionals in the city. Our study 358 participants include medical doctors, interns, nurses, midwives, pharmacists, medical laboratory 359 360 technologists, and technicians. These categories of healthcare professionals have direct or indirect close personal exposures with suspected or confirmed COVID-19 patients while performing their 361 clinical duties. 362

The overwhelming majority of the participants in our study reported a high level of practice 364 towards the prevention of COVID-19 infection particularly regarding using facemask, hand 365 washing for at least 20 seconds, covering mouth and nose when coughing or sneezing, and 366 avoiding touching eyes, nose, and mouth with unwashed hands as far as possible. This finding is 367 consistent with the finding of a similar study conducted in China, where the risk of spread of 368 369 COVID-19 has largely improved the infection prevention and control behaviors of healthcare professionals working in hospitals [18]. In a study conducted in Egypt, hand washing, refraining 370 from touching eyes, mouth and nose, and using surgical facemask were the most frequently 371 372 accepted preventive measures among health workers [19]. The WHO recommends the use of primary preventive measures that includes regular hand washing, social distancing, and respiratory 373 hygiene (covering mouth and nose while coughing or sneezing) by healthcare workers in order to 374 375 prevent the spread of the virus among themselves and patient's close contacts [20].

376

Studies conducted during the early stage of the pandemic revealed that healthcare workers had 377 insufficient knowledge about COVID-19 pandemic to protect themselves from coronavirus 378 infection [21]. In one study in Greece, only 25% of healthcare practitioners washed their hands 379 after touching a patient, despite the fact that 94% of the respondents knew that SARS-CoV-2 380 transmission could be reduced with hand washing [22]. Although hand washing is recommended 381 for the general public in order to prevent the transmission of COVID-19, hand hygiene is 382 383 mandatory for health care practitioners, in order to prevent infections, both for oneself and for the patients [23]. In the present study, the use of facemask was reported to be 93%. A recent study 384 385 conducted in Addis Ababa just before our study revealed that about two-third of the healthcare 386 workers demonstrated a poor practice of facemask utilization [24]. Similar results were reported

in North-East India that majority of the healthcare workers (91%) reported that they used surgical
masks, 97% were using hand sanitizer and 97% participants were properly using hand hygiene
[25].

390

In the present study, the majority of the study participants recommended mask-wearing by all 391 healthcare professionals, all healthy people to protect themselves from coronavirus infection, and 392 people with close contact with suspected or confirmed COVID-19. Similarly, about 87% of the 393 respondents suggested that N95 respirator should be used by all healthcare professionals as well 394 as by people who are being in close contact with suspected or confirmed COVID-19 patients. In 395 Pakistan, 71% of the healthcare workers believed that wearing general medical masks was 396 protective against COVID-19 [26], and studies also suggested that surgical masks are similarly as 397 398 effective as N95 respirators if used with hand wash and other infection prevention precautions [27]. However, a rapid systematic review on the efficacy of facemasks and respirators against 399 coronaviruses and other respiratory transmissible viruses reported that continuous use of 400 401 respirators is more protective compared to the medical masks, and medical masks are more 402 protective than cloth masks among health workers in healthcare settings [28].

403

This study demonstrated that about one-third of all respondents in our study either participated in direct clinical care to patients affected by an infectious disease outbreak (e.g., Ebola virus, SARS, cholera, Zika virus) (31%) or provided direct clinical care at least for one suspected or confirmed COVID-19 patients (29%) during the current COVID-19 epidemic. This percentage is higher from other studies on this subject in the early days of the COVID-19 outbreak in China [29]. A significant number (38%) of healthcare professionals in the current study expressed lack of or low level of preparedness to manage suspected or confirmed COVID-19 patients. This raises a concern

regarding the ability and confidence of the healthcare workers to combat COVID-19 infection. 411 Despite these concerns, along with the shortage of PPE and inadequate training during the COVID-412 19, the healthcare workers continue to work with the management of suspected or confirmed 413 COVID-19, working in the hospital setting where COVID-19 patients were admitted, risking their 414 lives to save their patients. However, this could highlight the risk of infection among healthcare 415 416 workers and cross-contamination within hospitals and could lead to a higher rate of hospitalacquired infections. Therefore, our study provides considerable insights into the necessity of 417 immediate and determined efforts focused on training programs and providing an adequate supply 418 419 of PPE to ensure the safety of health personnel during the COVID-19 pandemic [30]-

420

In the present study, about 88% of the healthcare professionals were afraid of being infected with 421 422 the disease and about 91% were worried about the potential risk of transmitting the virus to their family and loved ones. The risk of contracting the virus was perceived to be very high at the time 423 of the study. Healthcare workers expressed worry and fear of infection due to the contagious nature 424 425 of the virus, close contact with suspected and confirmed COVID-19 patients, and infection 426 happening to their family and colleagues. In Iran, it was found that about 92% of the healthcare 427 workers worried about being infected with the virus and transmitting it to the family [31]. In a 428 study conducted in Henan province of China, 89% of healthcare workers had sufficient knowledge 429 of COVID-19, 85% were concerned about infection with the virus, and 90% followed correct 430 practices regarding the prevention of COVID-19 [32]. About 83% of the healthcare workers in 431 Egypt reported increased risk perception because of the concern of being infected with COVID-19 and fear of transmitting the disease to their families, and 89% stated that they were more 432 susceptible to COVID-19 infection mainly due to the shortage of PPE [19]. 433

In the current study, the overall risk perception expressed in fear and worry score of the study 435 participants regarding COVID-19 crisis was considerably higher, with a mean of 28, ranging from 436 12 to 36. Various studies have reported the psychological impact of COVID-19 on healthcare 437 professionals [33]. A recent scoping review found that the frontline healthcare workers are at an 438 increased risk of direct physical and mental consequences as the result of providing care to patients 439 440 with COVID-19 [34]. Studies demonstrated that more than 50% of healthcare professionals report symptoms of depression, insomnia, and anxiety due to COVID-19 [35]. A recent study carried out 441 in Pakistan on fear and anxiety among healthcare professionals reported that about three-fourth of 442 443 them had fear of getting infected during the management of COVID-19 patients, and another twothird reported severe anxiety, which was particularly more common among nurses [36]. Studies 444 also reported excessive workload, isolation, mental stress and discrimination among frontline 445 health professionals, thus, contributing to physical exhaustion, emotional disturbance, worry and 446 fear [37]. A Cochrane review reported the suffering of healthcare workers from work-related or 447 occupational stress, which can be reduced by cognitive-behavioral training as well as mental and 448 physical relaxation [38]. A multicenter study conducted among frontline nurses in China showed 449 poor mental health during the COVID-19 outbreak, mainly due to the fear of contracting the virus 450 451 and high workload [39]. Moreover, the same study revealed that nurses who were confident in 452 their infection control knowledge and skills had lower stress levels than those who felt less 453 prepared.

454

Finally, this study had several limitations. First, the study had a potential to be affected by selection bias and eligible participants might be excluded. Second, this study was conducted in six public hospitals in Addis Ababa, and may possibly limit the generalization of the results and findings to other public and private hospitals. Third, the study focused on more general populations of healthcare professionals similar to other studies [32,40] rather than healthcare workers who might have direct contact with COVID-19 patients [41]. Finally, the results of this study are based on self-reported data, and the respondents may overestimate or underestimate the responses in a way that they believe is socially acceptable rather than reporting actual or genuine answers. Despite these limitations, the results obtained provide important information to guide health communication efforts that can support prevention efforts of COVID-19 among healthcare professionals.

466

467 **Conclusions**

In conclusion, our study has illuminated the current level of risk perception and preventive 468 practices of COVID-19 among healthcare professionals, with a special focus on those working in 469 470 the clinical departments of the hospitals who have direct or indirect contact with COVID-19 patients. The present study findings demonstrated that healthcare professionals participated in the 471 study showed a universally higher preventive practices to prevent COVID-19 infections. The 472 healthcare workers perceived high level of COVID-19 risk particularly due to shortage of PPE, 473 and majority reported that they didn't receive any training in infection prevention and control 474 measures since COVID-19, although they had adequate level of practice to protect themselves 475 from the infection of novel coronavirus. Likewise, majority of the participants reported that they 476 worried about the potential risk of becoming infected with COVID-19 and transmitting the disease 477 478 to their family. The present study also was able to identify factors associated with fear and worry related to COVID-19 crisis in order to address them during the implementation of risk 479 communication programs with the public and healthcare during the current COVID-19 pandemic. 480 481

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496

497 **Contributors**

- 498 Conceptualization: WD, AW, WAA, WA
- 499 Designing of the study: WD, AW, MG, WAA, WA
- 500 Data curation: WD, AW
- 501 Statistical analysis: WD, AW
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- 503 Writing original draft: WD, AW
- 504 Writing review & editing: WD, AW, MG, WAA, WA
- 505 Substantial contribution to the interpretation of the data: WD, AW, MG, WAA, WA
- All the authors read and approved the final manuscript.

507	
508	Competing interests
509	The authors declare no competing interests.
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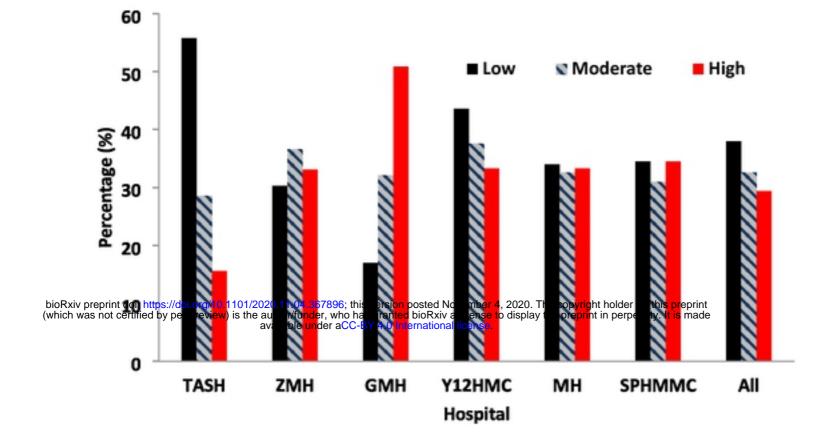


Fig. 1. Pattern of fear and worry scores of COVID-19 crises by hospital