### An engineered decoy receptor for SARS-CoV-2 broadly binds

## 2 protein S sequence variants

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#### 7 ABSTRACT

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- 8 The spike S of SARS-CoV-2 recognizes ACE2 on the host cell membrane to initiate entry. Soluble decoy
- 9 receptors, in which the ACE2 ectodomain is engineered to block S with high affinity, potently neutralize
- infection and, due to close similarity with the natural receptor, hold out the promise of being broadly
- active against virus variants without opportunity for escape. Here, we directly test this hypothesis. We
- 12 find an engineered decoy receptor, sACE22.v2.4, tightly binds S of SARS-associated viruses from
- humans and bats, despite the ACE2-binding surface being a region of high diversity. Saturation
- mutagenesis of the receptor-binding domain followed by in vitro selection, with wild type ACE2 and the
- engineered decoy competing for binding sites, failed to find S mutants that discriminate in favor of the
- wild type receptor. We conclude that resistance to engineered decoys will be rare and that decoys may be
- active against future outbreaks of SARS-associated betacoronaviruses.

#### INTRODUCTION

Zoonotic coronaviruses have crossed over from animal reservoirs multiple times in the past two decades, and it is almost certain that wild animals will continue to be a source of devastating outbreaks. Unlike ubiquitous human coronaviruses responsible for common respiratory illnesses, these zoonotic coronaviruses with pandemic potential cause serious and complex diseases, in part due to their tissue tropisms driven by receptor usage. Severe Acute Respiratory Syndrome Coronaviruses 1 (SARS-CoV-1) and 2 (SARS-CoV-2) engage angiotensin-converting enzyme 2 (ACE2) for cell attachment and entry (1-7). ACE2 is a protease responsible for regulating blood volume and pressure that is expressed on the surface of cells in the lung, heart and gastrointestinal tract, among other tissues (8, 9). The ongoing spread of SARS-CoV-2 and the disease it causes, COVID-19, has had a crippling toll on global healthcare systems and economies, and effective treatments and vaccines are urgently needed.

As SARS-CoV-2 becomes endemic in the human population, it has the potential to mutate and undergo genetic drift and recombination. To what extent this will occur as increasing numbers of people are infected and mount counter immune responses is unknown, but already a variant in the viral spike protein S (D614G) has rapidly emerged from multiple independent events and effects S protein stability and dynamics (10, 11). Another S variant (D839Y) became prevalent in Portugal, possibly due to a founder effect (12). Coronaviruses have moderate to high mutation rates. For example,  $10^{-4}$  substitutions per year per site occur in HCoV-NL63 (13), an alphacoronavirus that also binds ACE2, albeit via a smaller interface that is only partially shared with the RBDs of SARS-associated betacoronaviruses (14). Additionally, large changes in coronavirus genomes have frequently occurred in nature from recombination events, especially in bats where co-infection levels can be high (15, 16). Recombination of MERS-CoVs has also been documented in camels (17). This will all have profound implications for the current pandemic's trajectory, the potential for future coronavirus pandemics, and whether drug resistance in SARS-CoV-2 becomes prevalent.

The viral spike is a vulnerable target for neutralizing monoclonal antibodies that are progressing through clinical trials, yet in tissue culture escape mutations in the spike rapidly emerge to all antibodies tested (18). Deep mutagenesis of the isolated receptor-binding domain (RBD) by yeast surface display has easily identified mutations in S that retain high expression and ACE2 affinity, yet are no longer bound by monoclonal antibodies and confer resistance (19). This has motivated the development of cocktails of non-competing monoclonals (18, 20), inspired by lessons learned from the treatment of HIV-1 and Ebola, to limit the possibilities for the virus to escape. Notably, drug maker Eli Lilly has a monoclonal monotherapy (LY-CoV555) in advanced trials (NCT04427501) where the emergence of resistant virus variants has occurred; the trial has been updated to include an arm with a second monoclonal (LY-CoV016). However, even the use of monoclonal cocktails does not address future coronavirus spill overs from wild animals that may be antigenically distinct. Indeed, large screening efforts were required to find antibodies from recovered SARS-CoV-1 patients that cross-react with SARS-CoV-2 (21), indicating antibodies have confined capacity for interacting with variable epitopes on the spike surface, and are unlikely to be broad and pan-specific for all SARS-related viruses.

An alternative protein-based antiviral to monoclonal antibodies is to use soluble ACE2 (sACE2) as a decoy to compete for receptor-binding sites on the viral spike (6, 22-25). In principle, the virus has limited potential to escape sACE2-mediated neutralization without simultaneously decreasing affinity for the native ACE2 receptor, rendering the virus less virulent. Multiple groups have now engineered sACE2 to create high affinity decoys for SARS-CoV-2 that rival matured monoclonal antibodies and potently neutralize infection (25-27). In our group, deep mutagenesis was used to identify a large number of mutations in ACE2 that increase affinity for S (25). These mutations were dispersed across the interface and also at distal sites where they are predicted to enhance folding of the virus-recognized conformation. A combination of three mutations, called sACE2, v2.4, increases affinity 35-fold and binds SARS-CoV-2 S (K<sub>D</sub> 600 pM) with affinity comparable to the best monoclonal antibodies (25). Even tighter apparent affinities are reached through avid binding to trimeric spike expressed on a membrane. Despite engineering being focused exclusively on SARS-CoV-2 affinity, sACE22.v2.4 potently neutralized authentic SARS-CoV-1 and -2 infection in tissue culture, suggesting it's close resemblance to the wild type receptor confers broad activity against ACE2-utilizing betacoronaviruses generally. Soluble ACE22.v2.4 is dimeric and monodisperse without aggregation, catalytically active, highly soluble, stable after storage at 37°C for days, and well expressed at levels greater than the wild type protein. Due to both its high activity and favorable properties for manufacture, sACE22.v2.4 is a genuine drug candidate for preclinical development.

Engineered, high affinity decoy receptors, while very similar to natural ACE2, nonetheless have mutations present at or near the interaction surface. There is therefore an opportunity for viral spike variants to discriminate between an engineered decoy and wild type receptors, providing a route towards resistance. Here, we show that the engineered decoy sACE22.v2.4 binds broadly and tightly to the RBDs of diverse SARS-associated betacoronaviruses that use ACE2 for entry. We further fail to find mutations within the RBD, which directly contacts ACE2 and is where possible escape mutations will most likely reside, that redirect specificity towards the wild type receptor. We conclude that resistance to an engineered decoy receptor will be rare, and sACE22.v2.4 targets common attributes for affinity to S in SARS-associated viruses.

#### RESULTS

#### An engineered decoy receptor broadly binds RBDs from SARS-associated CoVs with tight affinity

The affinities of the decoy receptor sACE2<sub>2</sub>.v2.4 were determined for purified RBDs from the S proteins of five coronaviruses from *Rhinolophus* bat species (isolates LYRa11, Rs4231, Rs7327, Rs4084 and RsSHC014) and two human coronaviruses, SARS-CoV-1 and SARS-CoV-2. These viruses fall

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within a common clade of betacoronaviruses that use ACE2 as an entry receptor (7). They share close sequence identity within the RBD core while variation is highest within the functional ACE2 binding site (Figures 1 and S1), possibly due to a co-evolutionary 'arms race' with polymorphic ACE2 sequences in ecologically diverse bat species (28). Affinity was measured by biolayer interferometry (BLI), with sACE2<sub>2</sub> (a.a. S19-G732) fused at the C-terminus with the Fc moiety of human IgG1 immobilized to the sensor surface and monomeric 8his-tagged RBD (Figure S2) used as the soluble analyte. arrangement excludes avidity effects, which otherwise cause artificially tight (picomolar) apparent affinities whenever dimeric sACE2<sub>2</sub> in solution is bound to immobilized RBD decorating an interaction surface. Wild type sACE22 bound all the RBDs with affinities ranging from 16 nM for SARS-CoV-2 to 91 nM for LYRa11, with median affinity 60 nM (Table 1). The measured affinities for the RBDs of SARS-CoV-1 and SARS-CoV-2 are comparable to published data (4, 25, 29-31). Engineered sACE22.v2.4 displayed large increases in affinity for all the RBDs, with K<sub>D</sub>s ranging from 0.4 nM for SARS-CoV-2 to 3.5 nM for isolate Rs4231, with median affinity less than 2 nM (Table 1). The approximate 35-fold affinity increase of the engineered decoy applies universally to coronaviruses in the test panel and the molecular basis for affinity enhancement must therefore be grounded in common attributes of RBD/ACE2 recognition.

Figure 1. SARS-associated coronaviruses have high sequence diversity at the ACE2-binding site. The RBD of SARS-CoV-2 (PDB 6M17) is colored by diversity between 7 SARS-associated CoV strains (blue, conserved; red, variable).

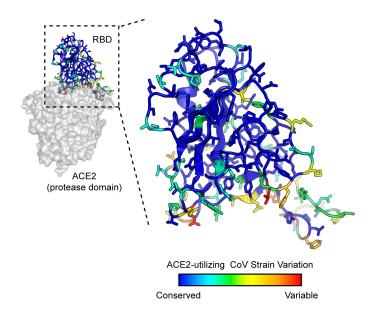


Table 1. BLI kinetics for immobilized sACE22-IgG1 binding to coronavirus RBDs												
CoV strain a	Wild type sACE2 <sub>2</sub> -IgG1 <sup>b</sup>				sACE2 <sub>2</sub> .v2.4-IgG1							
	k <sub>on</sub> (M <sup>-1</sup> s <sup>-1</sup> )	$k_{\rm off} (s^{-1})$	K <sub>D</sub> (nM)	χ <sup>2 c</sup>	k <sub>on</sub> (M <sup>-1</sup> s <sup>-1</sup> )	$k_{off}(s^{-1})$	K <sub>D</sub> (nM)	$\chi^2$				
LYRa11	$8.7 \times 10^{5}$	$7.9 \times 10^{-2}$	91	0.12	$1.4 \times 10^{6}$	$2.5 \times 10^{-3}$	1.8	0.10				
Rs7327	$6.4 \times 10^5$	$4.0 \times 10^{-2}$	63	0.25	$9.8 \times 10^{5}$	$1.8 \times 10^{-3}$	1.9	0.11				
Rs4231	$3.2 \times 10^{5}$	$2.2 \times 10^{-2}$	69	0.04	$4.5 \times 10^5$	$1.6 \times 10^{-3}$	3.5	0.10				
Rs4084	$2.9 \times 10^{5}$	$2.5 \times 10^{-2}$	85	0.24	$4.8 \times 10^{5}$	$1.5 \times 10^{-3}$	3.1	0.10				
RsSHC014	$8.8 \times 10^{5}$	$2.6 \times 10^{-2}$	29	0.20	$1.6 \times 10^{6}$	$2.0 \times 10^{-3}$	1.3	0.29				

SARS-1	$6.6 \times 10^{3}$	$1.2 \times 10^{-4}$	58	0.03	$3.0 \times 10^{3}$	$5.6 \times 10^{-6}$	2.1	0.03
SARS-2	$1.4 \times 10^{6}$	$8.1 \times 10^{-3}$	16	0.25	$6.6 \times 10^5$	$2.8 \times 10^{-4}$	0.4	0.09

<sup>&</sup>lt;sup>a</sup> Purified RBDs at 5 to 7 concentrations were used as the soluble analytes.

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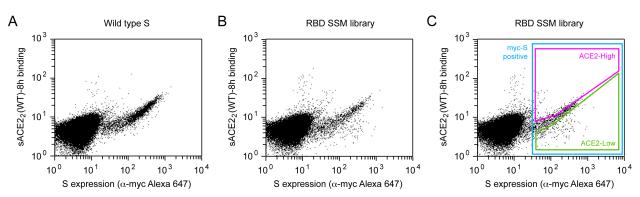
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# A deep mutational scan of the RBD in the context of full-length S reveals residues in the ACE2 binding site are mutationally tolerant

To explore potential sequence diversity in S of SARS-CoV-2 that may act as a 'reservoir' for drug resistance, the mutational tolerance of the RBD was evaluated by deep mutagenesis (32). Saturation mutagenesis was focused to the RBD (a.a. C336-L517) of full-length S tagged at the extracellular Nterminus with a c-myc epitope for detection of surface expression. The spike library, encompassing 3,640 single amino acid substitutions, was transfected in human Expi293F cells under conditions where cells typically acquire no more than a single sequence variant (33, 34). The culture was incubated with wild type, 8his-tagged, dimeric sACE22 at a sub-saturating concentration (2.5 nM). Bound sACE22-8h and surface-expressed S were stained with fluorescent antibodies for flow cytometry analysis (Figure 2A). Compared to cells expressing wild type S, the library was poorly expressed, indicating many mutations are deleterious for folding and expression. A cell population was clearly discernable expressing S variants that bind ACE2 with decreased affinity (Figure 2B). After gating for c-myc-positive cells expressing S, cells with high and low levels of bound sACE22 were collected by fluorescence-activated cell sorting (FACS), called the ACE2-High and ACE2-Low populations, respectively (Figure 2C). Both the expression and sACE2<sub>2</sub> binding signals decreased over minutes to hours during sorting, possibly due to shedding of the S1 subunit. Cells were therefore collected and pooled from three separate FACS experiments for a combined 8 hours sort time.

Figure 2. FACS selection for variants of S with high or low binding signal to ACE2. (A) Flow cytometry analysis of Expi293F cells expressing full-length S of SARS-CoV-2 with an N-terminal c-myc tag. Staining for the myc-epitope is on the x-axis while the detection of bound  $sACE2_2-8h$  (2.5 nM) is on the y-axis. S plasmid was diluted 1500-fold by weight with carrier DNA so that cells typically express no more than one coding variant; under these conditions most cells are negative. (B) Flow cytometry of cells transfected with the RBD single site-saturation mutagenesis (SSM) library shows cells expressing S variants with reduced  $sACE2_2-8h$  binding. (C) Gating strategy for FACS. S-expressing cells positive for the c-myc epitope were gated (blue) and the highest ("ACE2-High") and lowest ("ACE2-Low") 20% of cells with bound  $sACE2_2-8h$  relative to myc-S expression were collected.

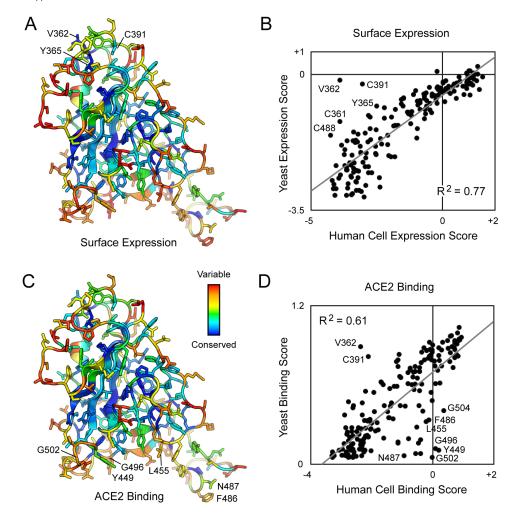


<sup>&</sup>lt;sup>b</sup> IgG1-Fc fused sACE2<sub>2</sub> was immobilized to anti-human IgG Fc capture biosensors.

 $<sup>^{\</sup>rm c}\chi^2$  values represent the goodness of curve fitting. Acceptable values were considered to be less than 3.

expressed are depleted from both sorted populations. Positional conservation scores were calculated by averaging the log<sub>2</sub> enrichment ratios for each of the possible amino acids at a residue position. By adding conservation scores for both the ACE2-High and ACE2-Low sorts we derive a score for surface expression, which shows that the hydrophobic RBD core is tightly conserved for folding and trafficking of the viral spike (Figure 3A). By comparison, residues on the exposed RBD surface are mutationally permissive for S surface expression. This matches the mutational tolerance of proteins generally.

Figure 3. Deep mutagenesis reveals that the ACE2-binding site of SARS-CoV-2 tolerates many mutations. (A) Positional scores for surface expression are mapped to the structure of the SARS-CoV-2 RBD (PDB 6M17, oriented as in Figure 1). Blue residues in the protein core are highly conserved in the FACS selection for surface S expression (judged by depletion of mutations from the ACE2-High and ACE2-Low gates), while surface residues in red tolerate mutations. (B) Correlation plot of expression scores from mutant selection in human cells of full-length S (x-axis) versus the conservation scores (mean of the  $\log_2$  enrichment ratios at a residue position) from mutant selection in the isolated RBD by yeast display (y-axis). Notable outliers are indicated. (C) Conservation scores from the ACE2-High gated cell population are mapped to the RBD structure, with residues colored from low (blue) to high (red) mutational tolerance. (D) Correlation plot of RBD conservation scores for high ACE2 binding from deep mutagenesis of S in human cells (x-axis) versus deep mutagenesis of the RBD on the yeast surface (mean of  $\Delta K_{D app}$ ; y-axis).



For tight ACE2 binding (i.e. S variants in the ACE2-High population), conservation increases for RBD residues at the ACE2 interface, yet mutational tolerance remains high (Figure 3C). The sequence diversity observed among natural betacoronaviruses, which display high diversity at the ACE2 binding site, is therefore replicated in the deep mutational scan, which predicts the SARS-CoV-2 spike tolerates substantial genetic diversity at the receptor-binding site for function. From this accessible sequence diversity SARS-CoV-2 might feasibly mutate to acquire resistance to monoclonal antibodies or engineered decoy receptors targeting the ACE2-binding site.

#### Comparison to a deep mutational scan of the isolated RBD by yeast surface display

Two deep mutational scans have been reported for the isolated RBD displayed on the surface of yeast (36, 37). We compare our data, from a selection of full-length S expressed in human cells, to the publicly accessible Starr et al data set (36). Important residues within the RBD for surface expression of full-length spike in human cells are closely correlated with data from yeast surface display of the isolated RBD (Figure 3B), with the exception of a notable region. The surface of the RBD opposing the ACE2-binding site (e.g. V362, Y365 and C391) is free to mutate for yeast surface display, but its sequence is constrained in our experiments; this region of the RBD is buried by connecting structural elements to the global fold of an S subunit in the closed-down conformation (this is the dominant conformation for S subunits and is inaccessible to receptor binding) (2, 4, 38, 39). We used targeted mutagenesis to individually test alanine substitutions to all the cysteines in the RBD (Figure S4). We found all cysteine-to-alanine mutations severely diminish S surface expression in Expi293F cells, including C391A and C525A on the RBD 'backside' that were neutral in the yeast display scan (36). These differences demonstrate that there are tighter sequence constraints on the RBD in the context of a full spike expressed at a human cell membrane, yet overall we consider the two data sets to closely agree.

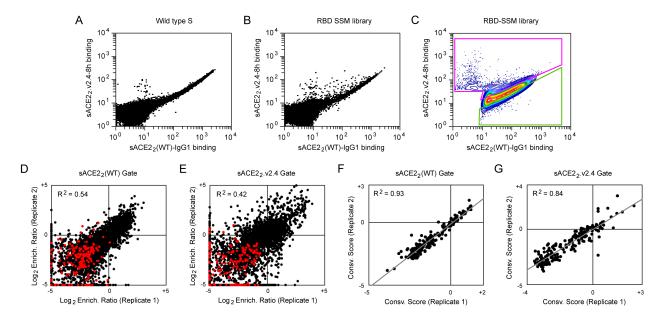
For binding to dimeric sACE2<sub>2</sub>, we note that interface residues were more tightly conserved in the Starr et al data set (Figure 3D), possibly a consequence of three differences between the deep mutagenesis experiments. First, our selections for ACE2 binding of S variants at the plasma membrane appears to primarily reflect mutational effects on surface expression, which is almost certainly more stringent in human cells. Yeast permit many poorly folded proteins to leak to the cell surface (40). Second, the yeast selections were conducted at multiple sACE2 concentrations from which apparent K<sub>D</sub> changes were computed (36); the Starr et al data in this regard is very comprehensive. Due to the long sort times required for our human cell libraries where only a small fraction of cells express spike, we sorted at a single sACE2<sub>2</sub> concentration that cannot accurately capture a range of different binding affinities quantitatively. Third, dimeric sACE2<sub>2</sub> may geometrically complement trimeric S densely packed on a human cell membrane, such that avidity masks the effects of affinity-reducing mutations. Nonetheless, there is overall agreement that ACE2 binding often persists following mutations to the RBD surface, and our data simply suggests mutational tolerance may be even greater than that already observed by Starr et al

#### A screen for S variants that preferentially bind wild type ACE2 over the engineered decoy

Having shown that the ACE2-binding site of SARS-CoV-2 protein S tolerates many mutations, we asked whether mutations might therefore be found that confer resistance to the engineered decoy sACE22.v2.4. Resistance mutations are anticipated to lose affinity for sACE22.v2.4 while maintaining binding to the wild type receptor, and are most likely to reside in the RBD where physical contacts are made. Similar reasoning formed the foundation of a deep mutagenesis-based selection of the isolated RBD by yeast surface display to find escape mutations to monoclonal antibodies, and the results were predictive of escape mutations in pseudovirus growth selections (19).

To address whether escape mutations from the engineered decoy might be found in the RBD, we repurposed the S protein library for a specificity selection. Cells expressing the library, encoding all possible substitutions in the RBD, were co-incubated with wild type sACE2<sub>2</sub> fused to the Fc region of IgG1 and 8his-tagged sACE2<sub>2</sub>.v2.4 at concentrations where both proteins bind competitively (25). It was immediately apparent from flow cytometry of the Expi293F culture expressing the S library that there were cells expressing S variants shifted towards preferential binding to sACE2<sub>2</sub>.v2.4, but no significant population with preferential binding to the wild type receptor (Figures 4A and 4B). Cells expressing S variants that might preferentially bind sACE2<sub>2</sub>(WT)-IgG1 or sACE2<sub>2</sub>.v2.4 were gated and collected by FACS (Figure 4C), followed by deep sequencing of S transcripts to determine enrichment ratios. There was close agreement between two independent replicate experiments (Figures 4D-4G). Most RBD mutations were depleted following sorting, consistent with deleterious effects on S folding and expression.

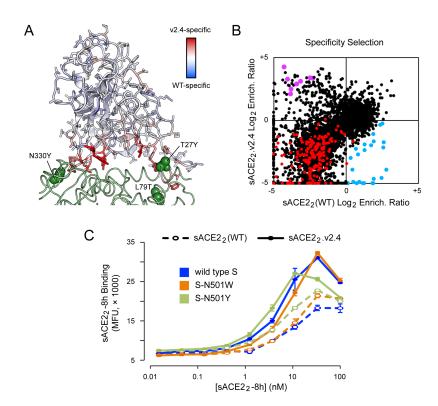
Figure 4. A competition-based selection to identify RBD mutations within S of SARS-CoV-2 that preferentially bind wild type or engineered ACE2 receptors. (A) Expi293F cells were transfected with wild type myc-S and incubated with competing sACE22(WT)-IgG1 (25 nM) and sACE22.v2.4-8h (20 nM). Bound protein was detected by flow cytometry after immuno-staining for the respective epitope tags. (B) As in A, except cells were transfected with the RBD SSM library. A population of cells expressing S variants with increased specificity towards sACE22.v2.4 is apparent (cells shifted to the upper-left of the main population). (C) Gates used for FACS of cells expressing the RBD SSM library. After excluding cells without bound protein, the top 20% of cells for bound sACE22.v2.4-8h (magenta gate) and for bound sACE22(WT)-IgG1 (green gate) were collected. (D-E) Agreement between log2 enrichment ratios from two independent FACS selections for cells expressing S variants with increased specificity for (D) sACE22(WT) or (E) sACE22.v2.4. R<sup>2</sup> values are calculated for nonsynonymous mutations (black). Nonsense mutations are red. (F-G) Conservation scores are calculated from the mean of the log2 enrichment ratios for all nonsynonymous substitutions at a given residue position. Correlation plots show agreement between conservation scores for two independent selections for cells within the (D) sACE22(WT) or (E) sACE22.v2.4 specific gates.



Soluble ACE2<sub>2</sub>.v2.4 has three mutations from wild type ACE2: T27Y buried within the RBD interface, and L79T and N330Y at the interface periphery (Figure 5A). A substantial number of mutations in the RBD of S were selectively enriched for preferential binding to sACE2<sub>2</sub>.v2.4 (Figure 5B, upper-left quadrant). While sACE2<sub>2</sub>.v2.4-specificity mutations could be found immediately adjacent to the sites of engineered mutations in ACE2 (in particular mutations to S-F486 adjacent to ACE2-L79 and S-T500

adjacent to ACE2-N330), major hot spots for sACE2<sub>2</sub>.v2.4-specificity mutations were also mapped to RBD loop 498-506, contacting the region where the ACE2-α1 helix packs against a β-hairpin motif (Figure 5A). By comparison, there were no hot spots in the RBD for sACE2<sub>2</sub>(WT)-specificity mutations. Indeed, only a small number of mutations were selectively enriched for preferential binding to wild type receptor (Figure 5B), and the abundance of these putative wild type-specific mutations barely rose above the expected level of noise in the deep mutagenesis data. In this competition assay, S binding to wild type sACE2<sub>2</sub> is therefore more sensitive to RBD mutations than S binding to engineered sACE2<sub>2</sub>.v2.4.

Figure 5. Mutations within the RBD that confer specificity towards wild type ACE2 are rare. (A) The SARS-CoV-2 RBD is colored by specificity score (the difference between the conservation scores for cells collected in the sACE2<sub>2</sub>(WT) and sACE2<sub>2</sub>.v2.4 specific gates). Residues that are hot spots for mutations with increased specificity towards sACE2<sub>2</sub>(WT) are blue or towards sACE2<sub>2</sub>.v2.4 are red. The contacting surface of ACE2 is shown as a green ribbon, with sites of mutations in sACE2<sub>2</sub>.v2.4 labeled and shown as green spheres. (B) Log<sub>2</sub> enrichment ratios for mutations in S expressed by cell populations collected in the sACE2<sub>2</sub>(WT) (x-axis) and sACE2<sub>2</sub>.v2.4 (y-axis) specific gates. Data are the mean from two independent sorting experiments. S mutants in blue were predicted to have increased specificity for sACE2<sub>2</sub>(WT) and were tested by targeted mutagenesis in Figure S5. S mutants in purple were predicted to have increased specificity for sACE2<sub>2</sub>.v2.4 and were tested by targeted mutagenesis in Figure S6. Other nonsynonymous mutations are black. Nonsense mutations are red. (C) Wild type myc-S (blue) and two variants, N501W (orange) and N501Y (green), were expressed in Expi293F cells and tested by flow cytometry for binding to sACE2<sub>2</sub>(WT)-8h (dashed lines) or sACE2<sub>2</sub>.v2.4-8h (solid lines). Minor increases in specific binding for wild type sACE2<sub>2</sub> are observed.



To determine whether the potential wild type ACE2-specific mutations found by deep mutagenesis are real as opposed to false predictions due to data noise, we tested 24 mutants of S selectively enriched in the wild type-specific gate by targeted mutagenesis (blue data points in Figure 5B). Only minor shifts towards binding wild type sACE22 were observed (Figure S5). Two S mutants were investigated further in sACE22 titration experiments, N501W and N501Y, which both retained high receptor binding and displayed small shifts towards wild type sACE22 in the competition experiment. N501 of S is located in

the 498-506 loop and its substitution to large aromatic side chains might alter the loop conformation to cause steric strain with nearby ACE2 mutation N330Y in sACE2<sub>2</sub>.v2.4. After titrating the concentrations of 8his-tagged sACE2<sub>2</sub>(WT) and sACE2<sub>2</sub>.v2.4 and measuring bound protein to S-expressing cells by flow cytometry, it was found S-N501W and S-N501Y do show enhanced specificity for wild type sACE2<sub>2</sub>, but the effect is small and sACE2<sub>2</sub>.v2.4 remains the stronger binder (Figure 5C); these mutations therefore will not confer resistance in the virus to the engineered decoy. By comparison, multiple independent escape mutations are readily found in S of SARS-CoV-2 that diminish the efficacy of monoclonal antibodies by many orders of magnitude (18, 19).

Finally, 8 representative mutations to S predicted from the deep mutational scan to increase specificity towards sACE22.v2.4 (purple data points in Figure 5B) were cloned and 7 were found to have large shifts towards preferential sACE22.v2.4 binding in the competition assay (Figure S6). These S mutations were Y449K/Q/S, L455G/R/Y and G504K. None of the mutated sites is in direct contact with an engineered residue on sACE22.v2.4 and the molecular bases for specificity changes are therefore ambiguous, but we speculate may involve local conformational perturbations. Validation by targeted mutagenesis therefore confirms that the selection can successfully find mutations in S with altered specificity. The inability to find mutations in the RBD that impart high specificity for the wild type receptor means such mutations are rare or may not even exist, at least within the receptor-binding domain where direct physical contacts with receptors occur. We cannot exclude mutations elsewhere having long-range conformational effects. Engineered, soluble decoy receptors therefore live up to their promise as broad therapeutic candidates against which a virus cannot easily escape.

#### **DISCUSSION**

The allure of soluble decoy receptors is that the virus cannot easily mutate to escape neutralization. Mutations that reduce affinity of the soluble decoy will likely also decrease affinity for the wild type receptor on host cells, thereby coming at the cost of diminished infectivity and virulence. However, this hypothesis has not been rigorously tested, and since engineered decoy receptors differ from their wild type counterparts, even if by just a small number of mutations, it is possible a virus may evolve to discriminate between the two. Here, we show that an engineered decoy receptor for SARS-CoV-2 broadly binds with low nanomolar K<sub>D</sub> to the spikes of SARS-associated betacoronaviruses that use ACE2 for entry, despite high sequence diversity within the ACE2-binding site. Mutations in S of SARS-CoV-2 that confer high specificity for wild type ACE2 were not found in a comprehensive screen of all substitutions within the RBD. The engineered decoy receptor is therefore broad against zoonotic ACE2utilizing coronaviruses that may spill over from animal reservoirs in the future and against variants of SARS-CoV-2 that may arise as the current COVID-19 pandemic rages on. We argue it is unlikely that decoy receptors will need to be combined in cocktail formulations, as is required for monoclonal antibodies or designed miniprotein binders to prevent the rapid emergence of resistance (18, 41), facilitating manufacture and distribution. Our findings give insight into how a potential therapeutic can achieve breath with a low chance of virus resistance for a family of highly infectious and deadly viruses.

Soluble decoy receptors have proven effective in the clinic, especially for modulating immune responses. Etanercept (trade name Enbrel; soluble TNF receptor), aflibercept (Eylea; a soluble chimera of VEGF receptors 1 and 2) and abatacept (Orencia; soluble CTLA-4) are just three examples of soluble receptors that have profoundly impacted the treatment of human disease (42), yet no soluble receptors for a viral pathogen are approved drugs. There are two main reasons for this. First, the affinity of entry receptors for viral glycoproteins is often moderate to low, which reduces neutralization potency compared to affinity-matured monoclonal antibodies. For SARS-CoV-2, we and others have solved this problem by engineering ACE2 to have picomolar affinity for viral S (25-27). Second, virus entry receptors have endogenous functions for normal physiology and their soluble counterparts may impact this normal physiology to exert unacceptable toxicity. For example, the entry receptor for human cytomegalovirus is

a growth factor receptor, and growth factor interactions had to be knocked out to make a virus-specific decoy suitable for in vivo administration (43). However, ACE2 in this regard is different and its endogenous activity – the catalytic conversion of vasoconstrictive and inflammatory peptides of the reninangiotensin system – may be of direct benefit for addressing COVID-19 symptoms. During infection, ACE2 activity is dysregulated and the renin-angiotensin system becomes imbalanced, possibly driving aspects of acute-respiratory distress syndrome (ARDS) (44-46). Administration of recombinant sACE2 converts angiotensin (Ang) I and II to the protective peptides Ang-(1-9) and Ang-(1-7), respectively, with potential benefits for the pulmonary and cardiovascular systems that include decreased lung elastance, increased blood oxygenation, reduced hypertension and diminished inflammation (44, 45, 47-50). Soluble, wild type ACE2<sub>2</sub> has been developed as a drug for ARDS with an acceptable safety profile in humans (51, 52) and is currently under evaluation in a clinical trial by Apeiron. Promisingly, a first clinical case report found that administration of wild type sACE22 was correlated with reduced viral burden and improved clinical outcomes in a 45 year old woman with severe COVID-19 (DOI:https://doi.org/10.1016/S2213-2600(20)30418-5). Engineered, high affinity sACE22 decoys, most likely as fusions with immunoglobulin Fc for increased serum stability (23, 50, 53), represent next generation therapeutics with dual mechanisms of action: (i) potent virus neutralization due to high affinity blockade of the viral spike and (ii) proteolytic turnover of peptide hormones for direct relief of COVID-19 symptoms.

#### MATERIALS AND METHODS

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332 **Plasmids.** Residue numbers for constructs begin from the start methionine as amino acid (a.a.) 1. The 333 cloning of human codon-optimized, mature S from SARS-CoV-2 (GenBank Acc. No. YP 009724390.1; 334 a.a. V16-T1273) into the NheI-XhoI sites of pCEP4 (Invitrogen) with an N-terminal, extracellular c-myc 335 tag is described elsewhere (25). Soluble ACE2 (a.a. 1-732 encoding a dimer; wild type or engineered 336 variant sACE2<sub>2</sub>,v2.4) fused to an 8his purification tag or to human IgG1-Fc (a.a. D221-K447; nG1m1 337 isoallotype; GenBank KY432415.1) and cloned in to the NheI-XhoI sites of pcDNA3.1(+) (Invitrogen) is 338 also previously described (25). The RBDs of SARS-CoV-1 (Urbani isolate: GenBank AAP13441.1; a.a. 339 T320-D518), SARS-CoV-2 (YP 009724390; a.a. T333-K529), LYRa11 (AHX37558.1; a.a. T324-D522), 340 Rs7327 (ATO98218.1; a.a. T321-D519), Rs4231 (ATO98157.1; a.a. T320-D518), Rs4084 (ATO98132.1; 341 a.a. T321-D519) and RsSHC014 (AGZ48806.1; a.a. T321-D519) were cloned with N-terminal influenza 342 HA leader peptides (sequence MKTIIALSYIFCLVFA) and C-terminal 8-his tags (sequence GSGHHHHHHHH) into the Nhel-Xhol sites of pcDNA3.1(+). These plasmids are deposited with 343 344 Addgene under accession numbers 145145 and 161821-161826. Mutations were made by overlap 345 extension PCR and verified by Sanger sequencing.

Tissue Culture. Expi293F cells (ThermoFisher) were grown in Expi293 Expression Medium (ThermoFisher) at 125 rpm, 8 % CO2, 37 °C.

Recombinant Protein Production. Plasmids (500 ng DNA per ml culture) and polyethylenimine (MW 25,000; Polysciences; 3 μg per ml culture) were mixed with OptiMEM (Gibco; 100 μl per ml culture), incubated 20 minutes at room temperature and added to Expi293F cells at a density of 2 × 10<sup>6</sup> / ml. Transfection Enhancers (ThermoFisher) were added 18-23 h post-transfection. Culture supernatant was harvested 4-6 days later by two centrifugation steps (800 × g for 10 minutes to remove cells and 20,000 × g for 20 minutes to remove debris). IgG1 Fc fused and 8his-tagged proteins were subsequently purified as previously described (25) using KANEKA KanCapA 3G Affinity (Pall) and HisPur Ni-NTA (Thermo Scientific) resins, respectively. Eluted proteins from affinity chromatography were then separated on a Superdex 200 Increase 10/300 GL column (GE Healthcare Life Sciences) equilibrated with Dulbecco's phosphate-buffered saline (PBS). Proteins from peak fractions were concentrated using centrifugal ultrafiltration devices (Millipore) to final concentrations of ~1 mg/ml (RBD-8h proteins), ~10 mg/ml (sACE2<sub>2</sub>-8h proteins) and ~50 mg/ml (sACE2<sub>2</sub>-IgG1 proteins). Concentrations were determined by

- 360 absorbance at 280 nm using calculated extinction coefficients. Reported concentrations for sACE2<sub>2</sub> are
- 361 based on monomeric subunits. Aliquots were snap frozen in liquid N<sub>2</sub> and stored at -80 °C.
- 362 Biolayer Interferometry. BLI kinetics were collected on an Octet RED96a and analyzed with a 1:1
- 363 binding model (global fit) using instrument software (Molecular Devices), IgG1 Fc-fused sACE2, (wild
- 364 type or engineered variant sACE22.v2.4) were immobilized at 100 nM for 10 minutes to anti-human IgG
- 365 Fc biosensors (Molecular Devices). The assay buffer was 10 mM HEPES pH 7.6, 150 mM NaCl, 3 mM
- 366 EDTA, 0.05% polysorbate 20, 0.5% non-fat dry milk (Bio-Rad). Loaded sensors were equilibrated for 30
- 367 s in buffer, then dipped in RBD-8h solutions for 60 s to measure association and transferred back to
- 368 buffer to measure dissociation over 300 s.
- 369 Library Construction, FACS and Illumina Sequencing Analysis. Using plasmid pCEP4-myc-S
- 370 encoding tagged, full length S of SARS-CoV-2, saturation mutagenesis was focused to residues C336-
- 371 L517 forming the RBD. Degenerate NNK codons were introduced at all RBD positions using overlap
- extension PCR as previously described (54). Transient transfection conditions were used that typically 372
- 373 provide no more than a single coding variant per cell (33, 34). Expi293F cells at  $2 \times 10^6$  / ml were
- 374 transfected with a mixture of 1 ng coding plasmid (i.e. library DNA) with 1.5 μg pCEP4-ΔCMV carrier
- 375 plasmid (described in (34)). The medium was replaced 2 h post-transfection and cells were collected 24 h
- 376 post-transfection for FACS. Cells were washed with ice-cold PBS supplemented with 0.2 % bovine serum
- 377 albumin (PBS-BSA).
- For investigations of binding to wild type sACE2, the cells expressing the S library were resuspended in 378
- 379 2.5 nM sACE22(WT)-8h and incubated 30 minutes on ice. Cells were washed twice with PBS-BSA and
- 380 then co-stained for 20 minutes with anti-myc Alexa 647 (clone 9B11, 1/250 dilution; Cell Signaling
- Technology) and anti-HIS-FITC (chicken polyclonal, 1/100 dilution; Immunology Consultants 381
- 382 Laboratory). Cells were again washed twice before sorting on a BD FACS Aria II at the Roy J. Carver
- 383 Biotechnology Center. Dead cells, doublets and debris were excluded by first gating on the main
- 384 population by forward/side scattering and then excluding DAPI positive cells. From the myc-S-positive
- 385 (Alexa 647) population, the 20 % of cells with the highest and 20% of cells with the lowest anti-HIS-
- 386 FITC fluorescence for bound sACE2<sub>2</sub>(WT)-8h were collected (Figure 2C). Collection tubes were coated
- 387 overnight with fetal bovine serum prior to sorting and contained Expi293 Expression Medium. Collected
- 388 cell pellets were frozen at -80°C and were pooled across separate sort experiments prior to extraction of
- 389 total RNA.
- 390 The competition selection was performed similarly, with the exception that cells expressing the S library
- were incubated for 30 minutes in a mixture of 20 nM sACE2, v2.4-8h and 25 nM sACE2, (WT)-IgG1. 391
- 392 After washing twice, bound proteins were stained for 30 minutes with anti-human IgG-APC (clone
- 393 HP6017, 1/250 dilution; BioLegend) and anti-HIS-FITC (chicken polyclonal, 1/100 dilution;
- 394 Immunology Consultants Laboratory). Cells were washed twice and sorted. After gating for the main
- 395 population of viable cells as described above, the 20 % of cells with the highest FITC-relative-to-APC
- 396 and highest APC-relative-to-FITC signals were collected (Figure 4C).
- 397 Total RNA was extracted from the collected cells using a GeneJET RNA purification kit (Thermo
- 398 Scientific). First strand cDNA was synthesized with Accuscript (Agilent) primed with a gene-specific
- 399 oligonucleotide. The region of S scanned by saturation mutagenesis was PCR amplified as 3 overlapping
- 400 fragments that together span the full RBD sequence. Following a second round of PCR, primers added
- 401 adapters for annealing to the Illumina flow cell and sequencing primers, together with barcodes for
- 402 experiment identification. The PCR products were sequenced on an Illumina NovaSeq 6000 using a
- 403  $2\times250$  nt paired end protocol. Data were analyzed using Enrich (35), where the frequencies of S variants
- 404 in the transcripts of the sorted populations were compared to their frequencies in the naive plasmid
- 405 library. Log<sub>2</sub> enrichment ratios for all the individual mutations were calculated and normalized by

- subtracting the log<sub>2</sub> enrichment ratio for the wild type sequence across the same PCR-amplified fragment.
- 407 Conservation scores at residue positions were calculated by averaging the log<sub>2</sub> enrichment ratios for all
- 408 non-synonymous mutations at the residue.
- 409 Flow Cytometry Analysis of SARS-CoV-2 S Mutants. Expi293F cells at  $2.0 \times 10^6$  cells/ml were
- 410 transfected with plasmid DNA (300 ng per ml of culture for measuring myc-S surface expression and
- sACE2<sub>2</sub> competition binding, 500 ng per ml for titration experiments) encoding myc-S variants using
- Expifectamine (ThermoFisher) according to the manufacturer's directions. At 24 h post-transfection, cells
- were washed with PBS-BSA. To detect surface expressed myc-S, cells were incubated with anti-myc
- Alexa 647 (clone 9B11, 1/250 dilution; Cell Signaling Technology) on a rocker at 4°C for 30 minutes. To
- measure competitive binding of wild type and engineered receptors, cells were instead incubated with 25
- nM sACE2<sub>2</sub>(WT)-IgG1 and 20 nM sACE2<sub>2</sub>.v2.4-8h for 30 minutes at 4°C, washed twice, and stained
- with anti-human IgG-APC (clone HP6017, 1/250 dilution; BioLegend) and anti-HIS-FITC (chicken
- 418 polyclonal, 1/100 dilution; Immunology Consultants Laboratory) secondary antibodies for 20 minutes at
- 419 4°C. Finally, in titration experiments, transfected cells were incubated for 30 minutes at 4°C with 1/3
- serial dilutions of sACE22(WT)-8h or sACE22.v2.4-8h, followed by two washes and a 30 minute
- incubation with anti-myc Alexa 647 (clone 9B11, 1/250 dilution) and anti-HIS-FITC (chicken polyclonal,
- 422 1/100 dilution). For all experiments, cells were washed twice prior to analysis on an Accuri C6 Flow
- 423 Cytometer (BD Biosciences) and data were processed with FCS Express (De Novo Software).
- Quantification of myc-S surface expression is detailed in Figure S4.
- Reagent and Data Availability. Plasmids for RBD protein expression are deposited with Addgene
- 426 (numbers 145145 and 161821-161826). Illumina sequencing data are deposited in NCBI's Gene
- 427 Expression Omnibus (GEO) under series accession number GSE159372.

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- patent for engineered decoy receptors and E.P. and K.K.C. are co-founders of Orthogonal Biologics, Inc.

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