

Using local convolutional neural networks for genomic prediction

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2 ABSTRACT

3 The prediction of breeding values and phenotypes is of central importance for both livestock
4 and crop breeding. With increasing computational power and more and more data to potentially
5 utilize, Machine Learning and especially Deep Learning have risen in popularity over the last few
6 years. In this study, we are proposing the use of local convolutional neural networks for genomic
7 prediction, as a region specific filter corresponds much better with our prior genetic knowledge
8 of traits than traditional convolutional neural networks. Model performances are evaluated on a
9 simulated maize data panel ($n = 10,000$) and real Arabidopsis data ($n = 2,039$) for a variety of
10 traits with the local convolutional neural network outperforming both multi layer perceptrons and
11 convolutional neural networks for basically all considered traits. Linear models like the genomic
12 best linear unbiased prediction that are often used for genomic prediction are outperformed by
13 up to 24%. Highest gains in predictive ability was obtained in cases of medium trait complexity
14 with high heritability and large training populations. However, for small dataset with 100 or 250
15 individuals for the training of the models, the local convolutional neural network is performing
16 slightly worse than the linear models. Nonetheless, this is still 15% better than a traditional
17 convolutional neural network, indicating a better performance and robustness of our proposed
18 model architecture for small training populations. In addition to the baseline model, various other
19 architectures with different windows size and stride in the local convolutional layer, as well as
20 different number of nodes in subsequent fully connected layers are compared against each other.
21 Finally, the usefulness of Deep Learning and in particular local convolutional neural networks
22 in practice is critically discussed, in regard to multi dimensional inputs and outputs, computing
23 times and other potential hazards.

24 **Keywords:** genomic prediction, deep learning, machine learning, local convolutional neural network, Keras, phenotype prediction,
25 prediction

1 INTRODUCTION

26 The prediction of breeding values and phenotypes is of central importance for both livestock and crop
27 breeding. Obtaining accurate estimates of breeding values at an earlier time point can impact the decision

28 on which individuals and lines to keep in a breeding programs, reducing the generation cycle and therefore
29 leading to higher genomic gains per year (Schaeffer, 2006). Optimizing breeding schemes is of key
30 importance for overcoming the global challenges of feeding a planet with a rising Human population (Foley
31 et al., 2011).

32 The most commonly applied method for the prediction of breeding values and phenotypes consider a mixed
33 model or bayesian linear models (Meuwissen et al., 2001; Gianola et al., 2009; Erbe et al., 2012). With the
34 availability of genomic data, traditional methods that rely on parental relationships and pedigrees have been
35 replaced by genomic evaluations in which the pedigree-based relationship matrix has been replaced by a
36 variant constructed from genomic data (VanRaden, 2008). Currently, variations of this approach have been
37 successfully implemented in both animal (Hayes et al., 2009; Hayes and Goddard, 2010; Gianola and Rosa,
38 2015) and plant breeding (Jannink et al., 2010; Albrecht et al., 2011; Nakaya and Isobe, 2012; Heslot et al.,
39 2015). As breeding values are additive by design, most of these models only account for additive single
40 marker effects, but adaptations to account for dominance and epistatic interactions have been proposed (Da
41 et al., 2014; Jiang and Reif, 2015; Martini, 2017) and are regularly applied for the prediction of phenotypes.
42 In recent years the use of deep learning (DL) and in particular artificial neural networks (ANN) have become
43 more and more popular in a variety of fields in genetics (Eraslan et al., 2019). This is further enhanced by
44 a variety of available open-source libraries like Keras (Chollet, 2015) and Tensorflow (Abadi et al., 2016)
45 which combine options for a simple and flexible set up of ANNs with a highly efficient computational back
46 end.

47 The transition from traditional mixed models and bayesian linear models to the use of DL for genomic
48 prediction seems like a natural next step, as reflected by a variety of recent studies (Bellot et al., 2018;
49 Waldmann, 2018; Ma et al., 2018; Montesinos-López et al., 2019; Pérez-Enciso and Zingaretti, 2019;
50 Azodi et al., 2019; Khaki and Wang, 2019) reporting performance of multi-layer perceptrons (MLP) and
51 convolutional neural networks (CNN) for a variety of traits in both humans and a wide set of livestock and
52 crop species. The common result in those studies is that traditionally applied statistical methods such as
53 genomic best linear unbiased prediction (GBLUP) or methods from the bayesian alphabet (Meuwissen
54 et al., 2001; Gianola et al., 2009; Erbe et al., 2012) lead to similar or slightly higher predictive ability.
55 In cases for which improvements were achieved, either very specific trait architectures are considered
56 (Waldmann, 2018), improvements are not consistent across traits (Bellot et al., 2018; Montesinos-López
57 et al., 2019) or additional data like environmental information is used (Khaki and Wang, 2019). For most
58 traits considered in those studies, the best performing ANNs are usually MLPs with one or sometimes two
59 fully-connected layers (FCL) between input and output layer (Bellot et al., 2018; Montesinos-López et al.,
60 2019). Predictive ability obtained with CNNs is usually similar or even slightly worse (Bellot et al., 2018)
61 with best performing models using very small filters. On first glance, this might be surprising since in other
62 fields one of the biggest reasons for the rise of ANNs is attributed to the use of CNNs and convolutional
63 layers (CL) (Krizhevsky et al., 2012; Goodfellow et al., 2016; Ubbens and Stavness, 2017). One must
64 consider here that SNP arrays only contain markers and no full genome sequence. Therefore, a specific
65 sequence of alleles on a SNP-chip in one region can not be linked to the same sequence of alleles in
66 another region. As traditional CLs are directly assuming this, naive use of a CL does not make much sense
67 from a modelling perspective. Therefore, we here propose the use of local convolutional layers (LCL)
68 to allow for the use of region specific filters while still maintaining the positive features of a CL like the
69 massively reduced number of parameters in the model. Region specific filter means that in contrast to a CL,
70 parameters of the layers can vary based on the region, e.g. for a toy example given in Figure 1 *a, d, g* can be
71 different whereas a CNN architecture would result in $a = d = g$. In the following, the performance of local

convolutional neural networks (LCNN) is compared to both traditional methods for genomic prediction and other more commonly applied ANN architectures.

2 MATERIAL AND METHODS

2.1 Material

As a first dataset, a simulated data panel containing 10,000 maize lines that were genotyped at 34,595 SNPs with 17 traits of different trait complexities ranging from traits with 10 additive single locus QTL to traits caused by epistatic interaction between potentially physically linked QTL was considered. Individual effect sizes were drawn from a gaussian, gamma and binomial distribution. The dataset was generated based on simulations in the R-package MoBPS (Pook et al., 2020) and original genotypes stem from 501 doubled haploid lines of the European maize landrace Kemater Landmais Gelb that were genotyped via the Affymetrix Axiom Maize Genotyping Array (Unterseer et al., 2014) and reduced via LD pruning in PLINK (Purcell et al., 2007). The interested reader is referred to Hölker et al. (2019) for details on the data generation procedure. The R-code used to generate the 10,000 individuals and the 17 traits in MoBPS is available in Supplementary File S1. For each trait, residuals variances were varied to obtain traits with a heritability h^2 of 0.1, 0.5, 0.8 and 1.

As a second dataset, a real data panel from the 1001 genomes project of *Arabidopsis thaliana* (Alonso-Blanco et al., 2016) was considered. After quality control, filtering for minor allele frequency and LD pruning, we reduced the available 10.7 M SNPs to 180k SNPs for 2,029 lines. Tests were conducted for 50 different traits that were available and contained measurements for between 83 and 468 lines (Atwell et al., 2010; Li et al., 2010; Meijón et al., 2014; Strauch et al., 2015; Seren et al., 2016). The interested reader is referred to Freudenthal (2020) for details on the data preparation steps.

Scripts used to perform the model fitting in Keras (Chollet, 2015) are available in Supplementary File S2 and S3. The R-packages rrBLUP (Endelman, 2011) and BGLR (Pérez and de los Campos, 2014) were used for fitting of the linear models.

2.2 Design of the neural network

For all tested ANNs, the SNP dataset with genotypes coded as 0,1,2 was used as the input layer and (centered) phenotypes were used as the output layer. In genomic prediction and in particular when using an ANN, the number of parameters is substantially higher than the number of individuals that can be used for the model fitting. Thus, leading to $n \ll p$ problems (Fan et al., 2014). In this study, we will compare four main classes of models:

1. Linear models (LM)
2. Multi-layer perceptrons (MLP)
3. Convolutional neural networks (CNN)
4. Local convolutional neural networks (LCNN)

For the class of LMs a variety of models have been proposed. The most frequently applied linear model in today's applications is the genomic best linear unbiased predictor (GBLUP, (Meuwissen et al., 2001)) that is using a mixed model in which the variance of the random effect is given by a relationship matrix like the one proposed by VanRaden (2008). An alternative to this are methods typically referred to as the bayesian alphabet (Gianola et al., 2009; de los Campos et al., 2013) that perform bayesian linear regression with prior assumptions on the individual marker variance, e.g. BayesA is using a scaled-t-distribution as the prior. In particular for phenotype prediction, there are a variety of other genomic relationship matrices for the mixed model have been proposed to account for non-additive effects. The extended genomic best

113 linear unbiased predictor (EGBLUP, (Martini, 2017)) is designed to assign linear effects to specific marker
114 combination and therefore is able to include epistatic interactions into the mixed model.

115 All three other classes describe different types of ANNs. Here, we define the class of MLP as ANNs that
116 only contain FCLs. In CNN / LCNN we are using an additional single CL / LCL in front of the FCLs
117 without any use of pooling. For all three ANN classes we tested different layer designs ranging from just
118 one up to three FCLs with varying number of nodes. For the CNN and LCNN we also tested different
119 filters for the convolutional layer ranging from windows size and strides between 3 and 40 with potential
120 overlap between windows. For all models the relu function was used as the activation function with an
121 adam optimizer (Kingma and Ba, 2014) to minimize the mean squared errors with a dropout rate of 0.3
122 after each layer (Chollet, 2015; Goodfellow et al., 2016). Changes to activation function, optimizer, dropout
123 rate and target function were also tested but only had neglectable effects and are therefore are neglected in
124 the following.

125 Models are compared based on their predictive ability on the test set (80% of the samples used for model
126 fitting, 20% as a test set), and we define the predictive ability as the correlation of the predicted genomic
127 values and their phenotypes.

128 **2.3 Size and structure of the training data**

129 A well-known problem of ANNs is that overfitting can occur after a high number of training epochs
130 (Goodfellow et al., 2016). Therefore, we split the 8,000 samples used for model fitting for the simulated
131 maize data into 7,000 samples used for the actual training of the model (training set) and 1,000 samples
132 that are just used to determine at what state training should be stopped (validation set). After each epoch
133 the predictive ability of the model was derived based on the validation set and the best performing model
134 from up to 50 epochs was used as the final model. In the same way the validation set can also be used to
135 derive the ideal architecture of the ANN.

136 To further investigate the impact of the size of the training population, we considered different sizes of the
137 training data (100, 250, 500, 1,000, 2,000, 3,000, 4,000, 6,000, 8,000). The size of the validation set was
138 adapted based on the size of the training data (20, 50, 100, 200, 300, 400, 500, 750, 1,000), as with smaller
139 data panels an higher impact of the validation set was observed. For the Arabidopsis data, the data used for
140 model fitting was split into 80% used for model fitting and 20% used for validation. As the training data for
141 most of the Arabidopsis traits was already extremely small, a second study was conducted in which a fixed
142 number of 25 epochs was performed with no validation set and therefore larger training set.

143 All tests for the simulated data / Arabidopsis data were repeated 25 / 100 times respectively, with randomly
144 sampled training and test sets.

3 RESULTS

145 **3.1 Comparison between model types**

146 In the following, we will report results for a representative model from each of the three ANN class:

- 147 1. MPL: 2 FCL with 64 nodes
- 148 2. CNN: CL with kernel size and stride 10 + 2 FCL with 64 nodes
- 149 3. LCNN: LCL with kernel size and stride 10 + 2 FCL with 64 nodes

150 Minor improvements were obtained by tweaking parameter settings for selected traits but overall tendencies
151 of predictive ability across filter size and number of nodes as well as layers were stable. More details on
152 differences will be provided for the LCNN at the end of the results section. For the LMs there was no
153 clear best model for all traits. We will consider GBLUP as the baseline, but also report results for BayesA

154 (Meuwissen et al., 2001) and the EGBLUP model (Martini, 2017). As results for effect sizes drawn from
155 gaussian, gamma and binomial distribution were very similar, we will only report results for the effect sizes
156 drawn from a gaussian distribution.

157 **3.2 Simulated data**

158 In the following, we will first report results for the traits with a simulated heritability of 0.5. In the purely
159 additive setting with just 10 underlying QTL the highest predictive ability was obtained with the LCNN
160 (0.666), outperforming the other three baseline models by around 0.03-0.04 (Table 1, Figure 2 (A)). When
161 increasing the number of QTL to 1,000, differences between LCNN (0.606) and the other three baseline
162 models increased to around 0.06-0.09 (Table 1, Figure 2 (B)). The BayesA model led to similar predictive
163 ability (0.660) as the LCNN for 10 QTL but was outperformed (0.538) in case of 1,000 underlying QTL.
164 Even though the simulated traits had a purely additive genetic background, the EGBLUP model led to very
165 similar or even slightly higher predictive ability as the GBLUP model. A potential reason for this could be
166 "phantome epistatis" (de los Campos et al., 2019) as the use of pair-wise marker interactions could lead to
167 a better overall representation of haplotype similarities.

168 When considering a purely epistatic trait architecture with 10 underlying QTL, differences between the
169 LCNN and the other three baseline models are also around 0.06-0.08 (Figure 3 (A)), whereas results
170 in the case of 1,000 underlying QTL were very similar for all four baseline models (Table 1, Figure 3
171 (B)) with the GBLUP model (0.416) leading to slightly higher predictive ability (0.01-0.02). In case the
172 underlying QTL of the epistatic trait were played on physically linked markers to imitate a trait caused by
173 local interactions in a gene, both the LCNN and CNN obtained higher predictive ability when only 10 QTL
174 were involved in the trait, whereas the MLP and GBLUP performed worse (Figure 4 (A)). The relative
175 differences between LCNN (0.625) and GBLUP (0.488) were here highest among all considered cases. In
176 the case of 1000 locally linked underlying QTL, results of the four baseline models were again very similar
177 with GBLUP performing about 0.01 better than the ANNs (Figure 4 (B)). In all cases of epistatic QTL, the
178 use of the EGBLUP model led to higher predictive abilities than GBLUP. For both cases of 10 underlying
179 epistatic QTL the LCNN model was still superior, whereas the EGBLUP model was best for traits with
180 1,000 underlying epistatic QTL.

181 When considering traits with varying heritability, higher overall predictive ability for traits with higher
182 heritability was observed. This was even the case after standardizing the predictive ability by dividing
183 with the squared root of the heritability as this is the highest achievable correlation between phenotypes
184 and estimated breeding values (Figure 5). Overall obtained standardized predictive ability for the additive
185 traits are higher and close to the maximum in the case of 10 additive underlying QTL (Figure 5 (A)). In
186 particular for cases of high heritability, the LCNN is outperforming all other models for both the additive
187 trait with 1,000 QTL and the epistatic traits with 10 QTL (Figure 5 (B,C,E)). For the epistatic traits with
188 1,000 QTL all models are on a similar level for all considered heritabilities (Figure 5 (D,F)).

189 When comparing the predictive ability depending on the number of individuals used for training, we
190 observed worse performance of all three classes of ANN models relative to GBLUP for small training
191 sets. In particular training sets of size 100 and 250 led to massive drops in predictive ability. Of the
192 three ANN classes considered, the LCNN performed best and with the exception of the epistatic traits
193 with 1,000 underlying QTL was at least close to the performance of GBLUP. In particular for traits with
194 1,000 purely additive QTL and 10 epistatic QTL the increase in predictive ability was substantially higher
195 than in all three considered linear models (Figure 6). As ANNs are known to be extremely data hungry
196 (Goodfellow et al., 2016) this should not be that surprising. Overall, the ANN architectures with less layers
197 and parameters were less affected by the reduced size of the training set.

198

199 3.3 Comparison between LCNN models

200 When comparing different layer designs for the LCNN, we observed small, but still significant differences
201 between the different model architectures. In particular for purely additive traits, larger window sizes
202 (WS) in the LCL led to higher accuracies (WS 5: 0.603; WS 10: 0.606; WS 20: 0.616), whereas the stride
203 had neglectable impact (Figure 7). In regard to the design of the following FCLs, we observed increased
204 predictive abilities when using a high number nodes (128 / 256) per layer (Figure 8). Differences between
205 the highest obtain predictive ability for the different number of layers were neglectable, as long as at least
206 one FCL was used.

207 3.4 Arabidopsis data

208 When comparing the different ANN models for the Arabidopsis dataset, the highest average predictive
209 ability was observed for the LCNN model (0.340) compared to 0.316 for the MLP and 0.312 for the
210 CNN (Table 2). All three ANNs were however outperformed by the three linear models (GBLUP, BayesA,
211 EGBLUP). The differences between the ANNs and the linear models is decreasing for traits with higher
212 number of individuals used in the training set. Whereas differences for traits with less than 100 individuals
213 on average were 0.078 between GBLUP and the LCNN, this differences is reduced to 0.037 / 0.021 for
214 traits with more than 100 / 250 lines in the training set (Table 2). The variance in obtained predictive
215 ability was highest for MLP (0.031) and CNN (0.031) compared to the LCNN (0.029) and lowest for the
216 linear models (0.024). Note that no traits with more than 468 phenotyped lines were considered here and
217 gains in the simulated data were typically only obtained for training set with at least 1,000 lines (Figure
218 6). When not using a validation set the overall accuracies are going up for all three considered ANN
219 architectures and performances are more similar to GBLUP (Table 3, Figure 9). One exception to this is
220 the trait FT_field which resulted in extremely unstable models for all three ANNs with 20% of all trained
221 models leading to basically zero predictive ability and on average 55% lower predictive ability. Details on
222 the predictive ability of the individual traits and the number of phenotypes considered for each trait are
223 given in Supplementary S4. Additional minor improvement were obtained by modifying the layer design
224 for the FCLs after the LCNN. The interested reader is referred to Freudenthal (2020) for details on those
225 extended benchmarking tests. Note that after trait-specific model architecture tunings in Freudenthal (2020)
226 higher predictive ability with the LCNN compared to GBLUP were obtained for 33 of the 52 traits with
227 $h^2 > 0.5$ were obtained, whereas only 27 of the 93 traits with $h^2 < 0.5$ benefited from the use of an LCNN
228 compared to GBLUP.

229 4 DISCUSSION

230 A common misconception of ANNs is that they are handled and used as black-boxes, leading to back
231 propagation of causal variants and fundamental model design questions to be second order problems.
232 Note that the baseline MLP models used in our tests results in a model with 2.2 million parameters and
233 8,000 individuals and thereby leading to potential massive problems of overparametrization (Fan et al.,
234 2014). The use of a CL is reducing this problems substantially with our baseline CNN "only" needing
235 225,610 parameters. However, CLs assign effects to specific sequences of input variants. As the same
236 sequence of markers on an array in different segments of the genome is usually not linked in any way, this
237 modelling approach does not really make sense from a genetics perspective and thus makes it potentially
238 more difficult to obtain a good model fit. The LCNN fixes these issues by introducing region-specific filters.
239 This increases the number of required parameters in the model slightly (260,195), but still is a massive
240 improvement in terms of number of parameters compared to the MLP. When working with whole genome
241 sequence the use of CNNs has shown to be quite useful (Washburn et al., 2019). However, whole-genome
242 sequence data does not reflect the currently available standard for genomic prediction, as no significant

242 gains in most applications are reported when using more than just low to medium density SNP arrays (Ober
243 et al., 2012; Erbe et al., 2013), generating such sequence data is still costly (Schwarze et al., 2018) and
244 problems of even higher overparametrization can arise here.

245 As shown by the results above, the use of a LCNN can massively improve the accuracy of genomic
246 prediction compared to frequently applied ANNs architectures like MLPs and CNNs for both simulated
247 and real datasets and in particular for traits with small training sets. In the case of the simulated data,
248 improvements compared to linear models like GBLUP were obtained for both simulated purely additive
249 and purely epistatic traits. However, for the real Arabidopsis data panel with at most a couple of hundred
250 lines per phenotype, average predictive ability was slightly reduced as in particular for traits with small
251 training sets, predictive abilities was substantially lower for the ANNs when a validation set was used.
252 However when using a set number of training epoch and no validation set were almost on the level of
253 GBLUP. Note however that the use of no validation set, requires prior knowledge on a reasonable number
254 of training epochs and model architecture, therefore leading to potential model instability. The use of a
255 LCNN was an improvement compared to more commonly applied ANN architectures (MLPs/CNNs) in
256 both cases. The variance in predictive ability for the ANN models was slightly higher than for the linear
257 models, but the differences were not large enough to cause major concerns in regard to model stability of
258 the ANNs.

259 Whereas significantly higher numbers of genotyped lines in the setting of plant breeding are not realistic,
260 even larger populations with potentially millions of animals are available in livestock breeding. As in
261 particular for traits of medium complexity (1,000 additive QTL & 10 epistatic QTL) substantially gains
262 for the LCNN compared to all other models were obtained, these results indicate high potential for
263 genomic prediction in such traits as traditional linear models tend to reach a plateau in predictive ability
264 (Erbe et al., 2013). However, a potential problem for the use in animal breeding is that for all considered
265 individuals the same inputs have to be provided and therefore requiring the genotyping of all individuals.
266 Particularly to be mentioned here is that there is no direct equivalent to single step GBLUP (Legarra et al.,
267 2009; Christensen and Lund, 2010) to combine pedigree and genotype data in a joint relationship matrix up
268 till now. Furthermore, one needs to consider that breeding values are additive by design and even if higher
269 predictive ability is obtained with non-additive models, this will not necessarily result in higher genetic
270 gains under a random mating environment (Martini et al., 2017). This leads us to conclude that ANNs (and
271 in fact epistatic models like EGBLUP in general) are much better suited for the prediction of phenotypes
272 than breeding values (Martini et al., 2017).

273 A further potential application for the use of ANNs that is in particular relevant for plant breeding is the
274 inclusion of other omics, environmental data or even information about weather conditions, as ANNs
275 are very flexible in their design and it is relatively easy to add additional input and/or output layers to an
276 existing model. Computing times and model complexity in the framework of ANNs are far less affected by
277 such additional inputs than GBLUP-based models (Gillberg et al., 2019). As such ANNs typically contain
278 separate layers for each input dimension and those are concatenated in later steps, the use of an LCL for the
279 SNP-based inputs should be highly beneficial for such applications.

280 When deciding between the use of ANNs and traditional linear models there are however more things to
281 consider than just the plain predictive abilities. This particularly includes potential economic issues, as
282 the use of ANNs would at this moment require genotyping of all individuals, and required conceptual
283 changes to modern breeding programs as terms like reliability do not have a direct equivalent in ANNs
284 and therefore among others require changes in the design of selection indices (Hazel and Lush, 1942;
285 Miesenberger, 1997). Additional work in checking if higher predictive ability also translate into higher
286 genomic gains is a further topic that needs to be investigated, as even the use of epistatic models have

287 shown to not always lead to higher gain, despite higher predictive ability (Martini et al., 2017).
288 Nonetheless, we can conclude that there is considerable potential in the use of ANNs and in particular
289 LCNN in genomic prediction when working with large individual numbers and high heritability. and/or
290 additional input dimensions like other omics. We would expect the highest potential of ANNs to be
291 especially relevant with more complex input and output layers, as present when considering different omics
292 (Li et al., 2019), weather data (Gillberg et al., 2019) or prediction across environments (Freudenthal, 2020)
293 as inputs, or multiple correlated traits as outputs (Lyra et al., 2017). Accounting for such input/outputs
294 in the traditional models, even in a linear way, was shown to be extremely costly from a computational
295 side and oftentimes does not significantly improve results (Calus and Veerkamp, 2011). With generation of
296 such datasets becoming cheaper and widely available, we would expect the use of DL techniques to be of
297 increasing importances for quantitative genetics and in particular genomic prediction in the near future.

CONFLICT OF INTEREST STATEMENT

298 The authors declare that the research was conducted in the absence of any commercial or financial
299 relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

300 TP lead the development of the methodology, performed the analysis and wrote the initial manuscript.
301 JF, AK, HS provided critical feedback to both analysis and the manuscript. HS supervised the study. All
302 authors read and approach the final manuscript.

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DATA AVAILABILITY STATEMENT

310 Publicly available datasets were analyzed in this study. This data can be found here:
311 <https://arapheno.1001genomes.org/>
312 <https://link.springer.com/article/10.1007/s00122-019-03428-8>.

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Table 1. Predictive ability for the different models on different traits with $h^2 = 0.5$.

Trait architecture	GBLUP	BayesA	EGBLUP	MPL	CNN	LCNN
10 additive QTL	0.639	0.660	0.635	0.637	0.627	0.666
1,000 additive QTL	0.516	0.538	0.543	0.524	0.538	0.606
10 epistatic QTL	0.511	0.527	0.519	0.503	0.491	0.572
1,000 epistatic QTL	0.416	0.414	0.448	0.395	0.403	0.401
10 locally linked epistatic QTL	0.488	0.501	0.529	0.504	0.544	0.625
1,000 locally linked epistatic QTL	0.524	0.523	0.541	0.519	0.517	0.510

Table 2. Average predictive ability for the different models for the Arabidopsis traits in relation to the size of the training set.

Trait architecture	GBLUP	BayesA	EGBLUP	MPL	CNN	LCNN
Average predictive ability (all)	0.390	0.382	0.382	0.316	0.312	0.340
Average predictive ability (training set < 100)	0.404	0.390	0.399	0.300	0.299	0.326
Average predictive ability (100 < training set < 250)	0.364	0.358	0.354	0.318	0.311	0.327
Average predictive ability (training set > 250)	0.477	0.477	0.472	0.358	0.370	0.456

Table 3. Average predictive ability for the different models for the Arabidopsis traits in relation to the size of the training set and no validation set.

Trait architecture	MPL	CNN	LCNN
Average predictive ability (all)	0.346	0.348	0.354
Average predictive ability (training set < 100)	0.342	0.341	0.353
Average predictive ability (100 < training set < 250)	0.344	0.344	0.334
Average predictive ability (training set > 250)	0.370	0.392	0.468

FIGURE CAPTIONS

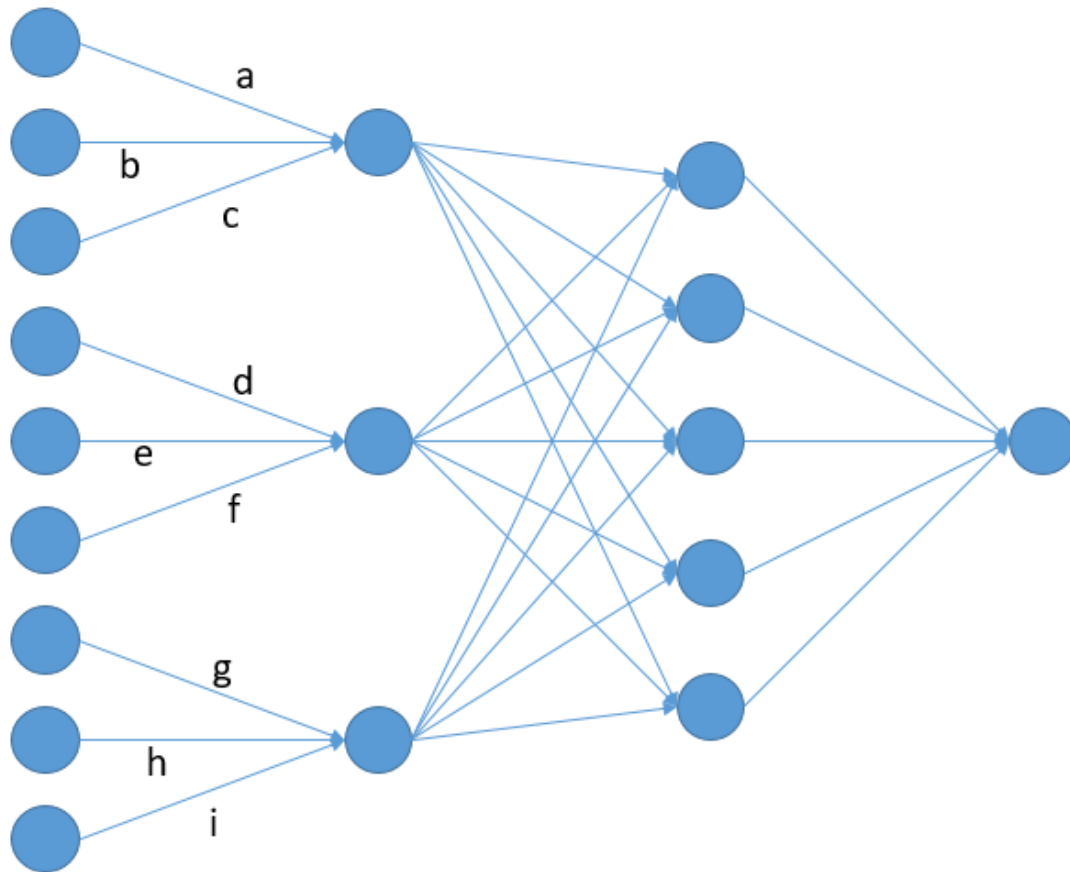


Figure 1. Node architecture of an LCNN containing a LCL with window size and stride of 3 and a FCL with 5 nodes.

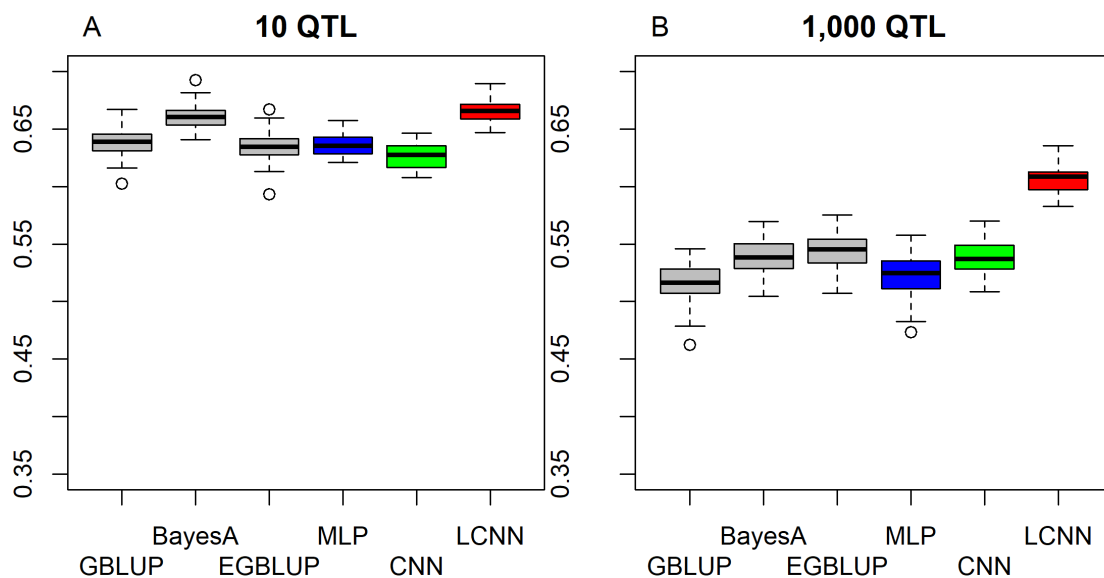


Figure 2. Predictive ability of different methods for genomic prediction for a simulated trait with 10 (A) and 1,000 (B) purely additive QTL.

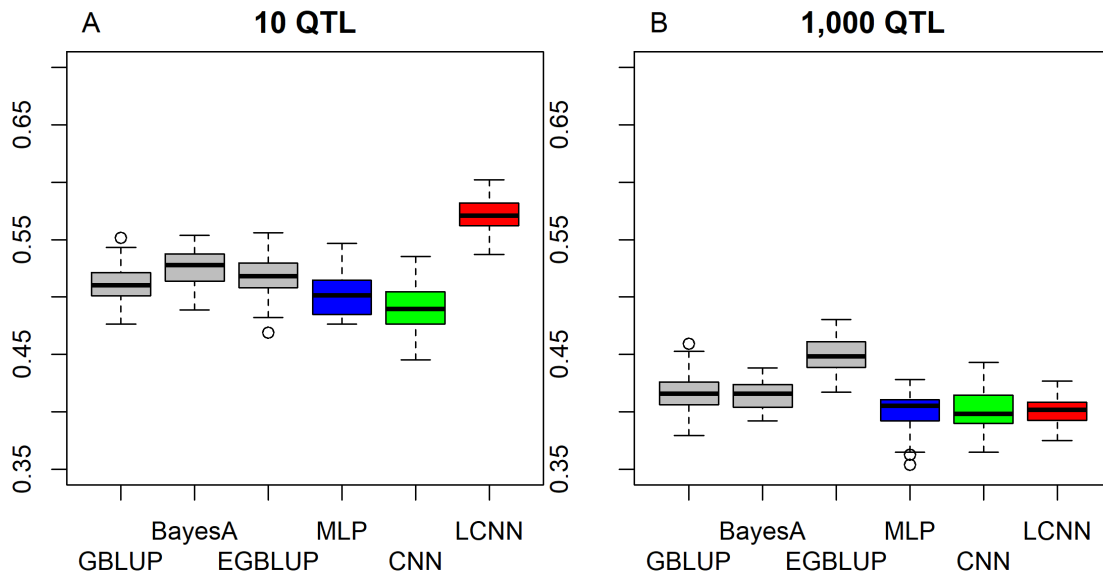


Figure 3. Predictive ability of different methods for genomic prediction for a simulated trait with 10 (A) and 1,000 (B) purely non-linked epistatic QTL.

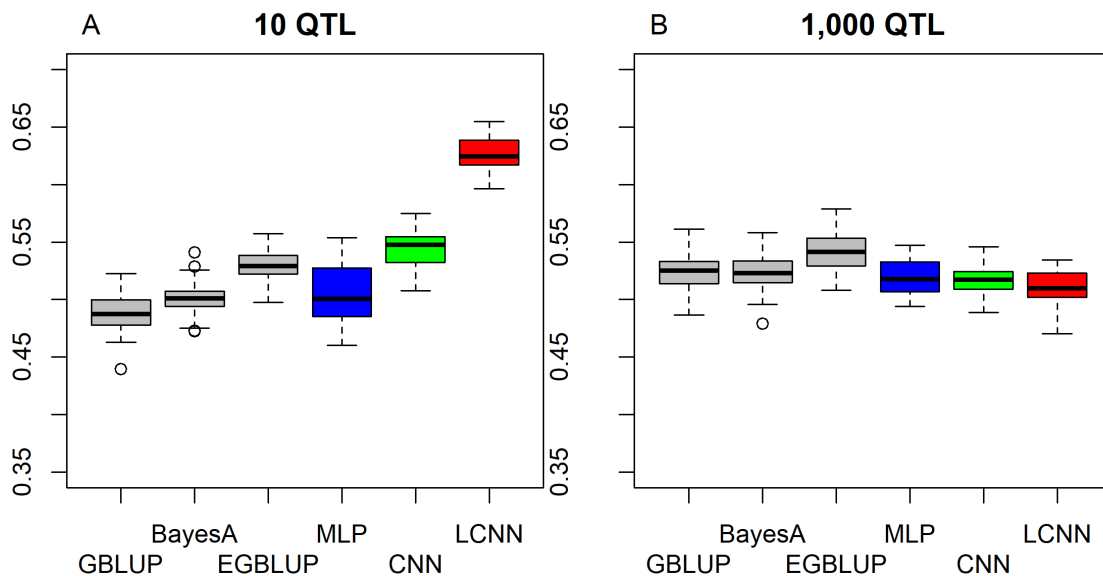


Figure 4. Predictive ability of different methods for genomic prediction for a simulated trait with 10 (A) and 1,000 (B) purely non-linked epistatic QTL.

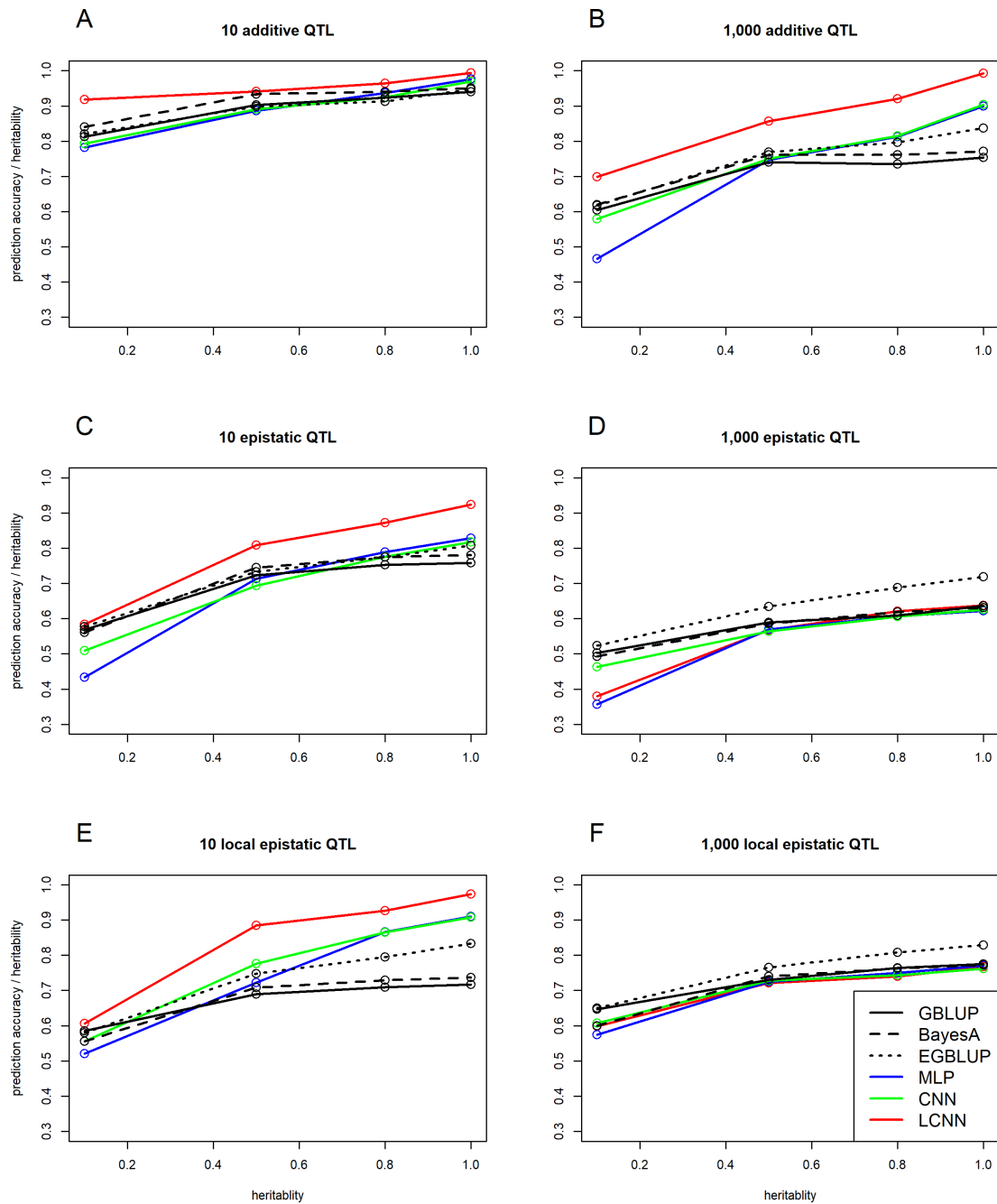


Figure 5. Predictive ability of the LCNN compared to the GBLUP model in relation to the trait heritability for the purely additive (A/B), epistatic (C/D) and physically linked epistatic (E/F) trait with 10/1,000 underlying QTL.

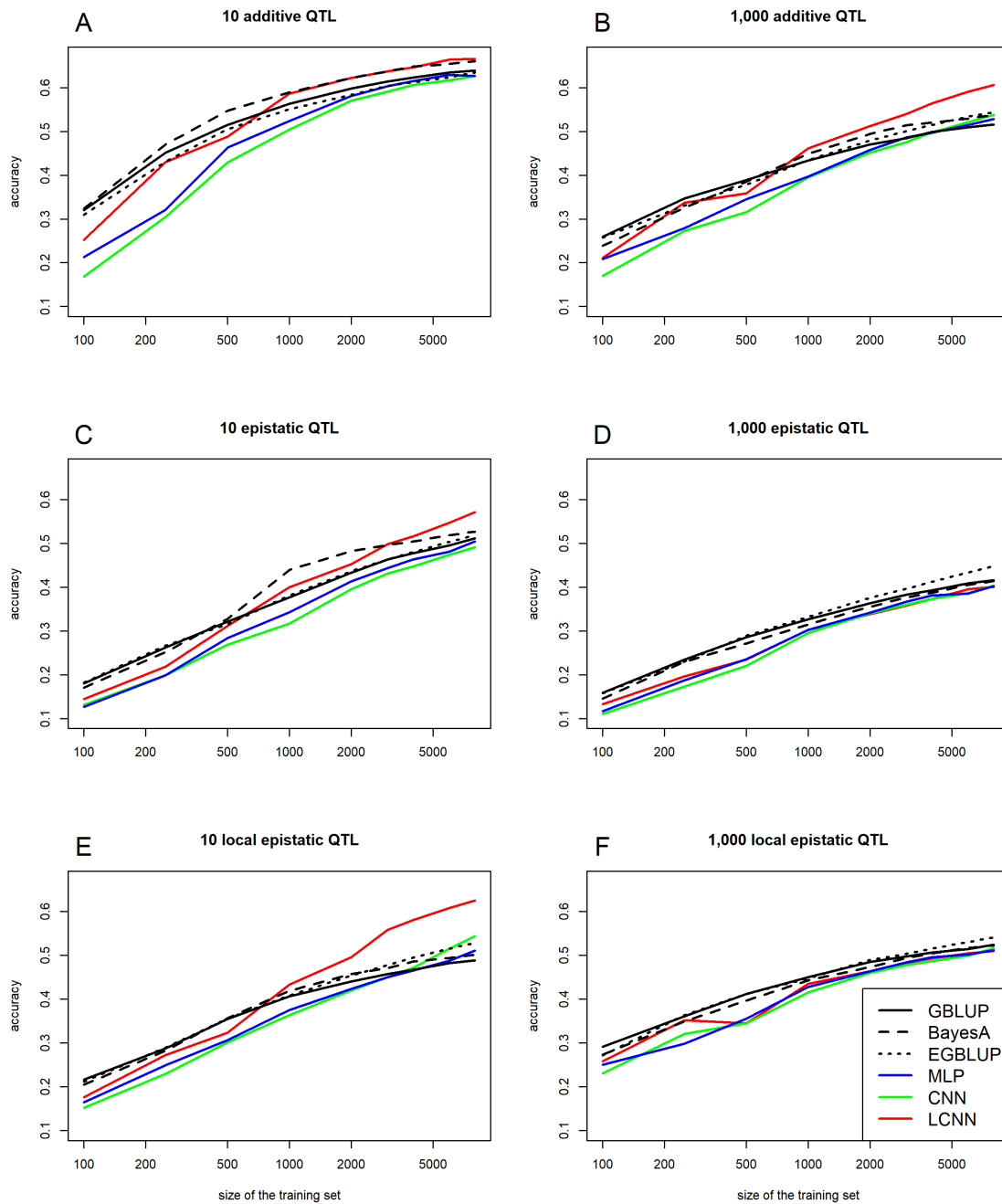


Figure 6. Predictive ability of the representative LCNN model and BayesA depending on the size of the training set for purely additive (A/B), epistatic (C/D) and physically linked epistatic (E/F) trait with 10/1,000 underlying QTL.

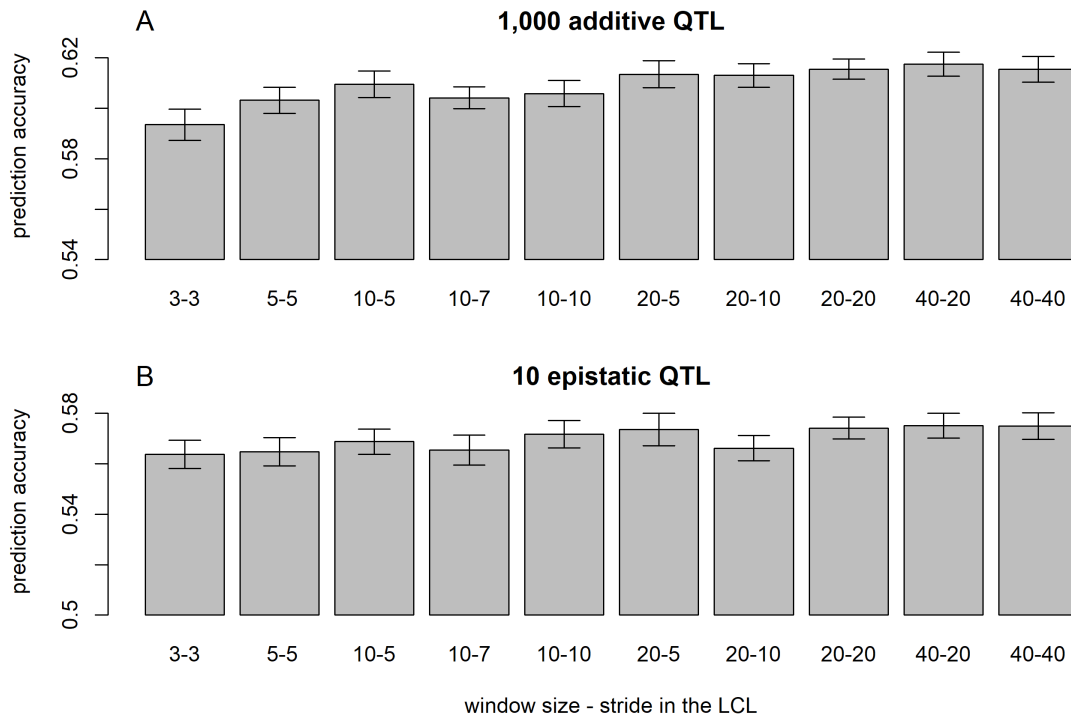


Figure 7. Predictive ability of different layer designs of the LCNN with modifications to the LCL for the purely additive trait with 1,000 QTL (A) and the epistatic trait with 10 QTL (B).

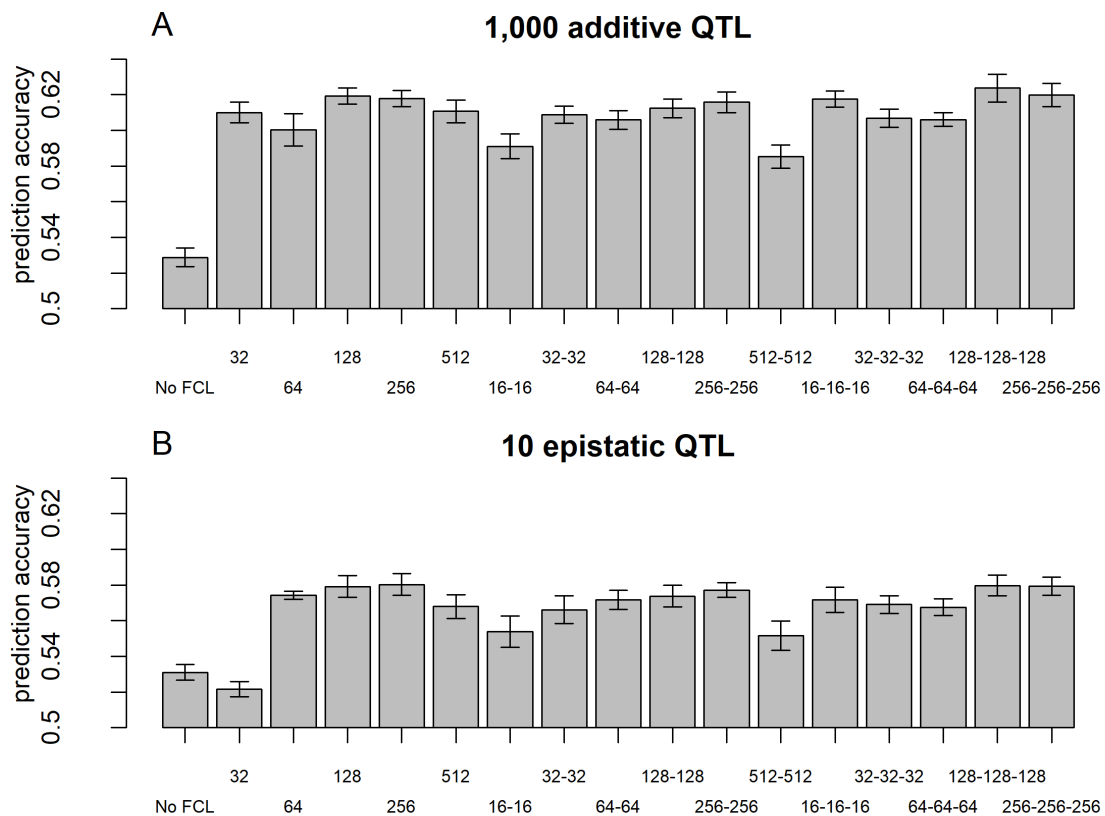


Figure 8. Predictive ability of different layer designs of the LCNN with modifications to the FCLs for the purely additive trait with 1,000 QTL (A) and the epistatic trait with 10 QTL (B).

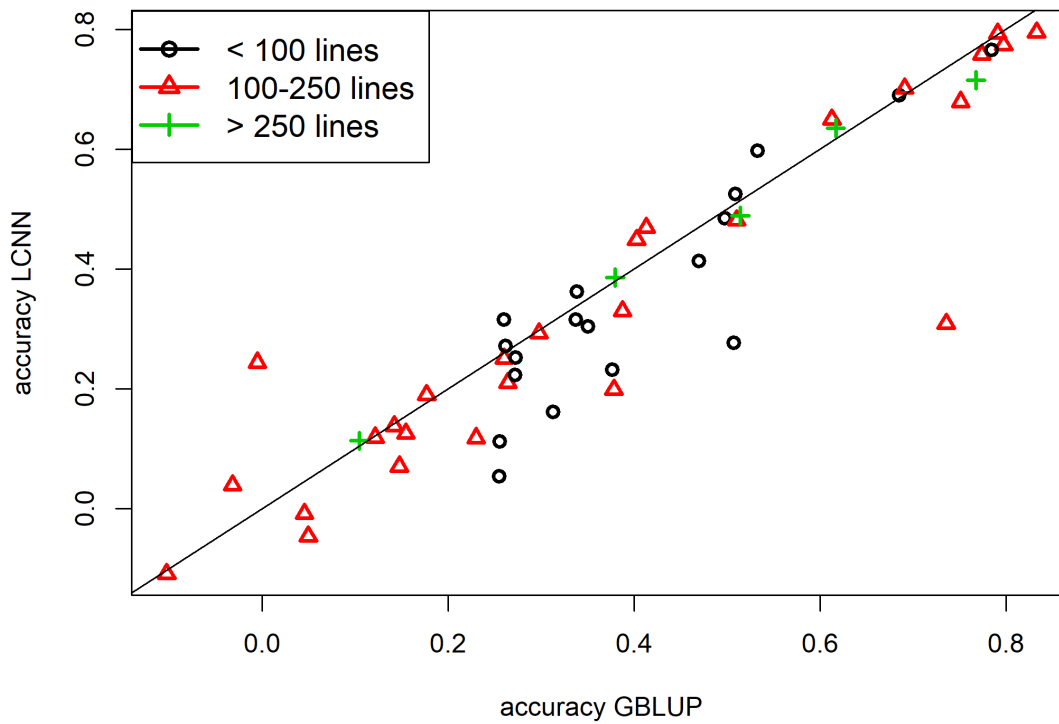


Figure 9. Predictive ability for GBLUP and the LCNN model for the different arabidopsis traits in relation to the size of the training set and no validation set.