- 1 Acute effects of passive listening to Indian musical scale on blood pressure and heart rate
- 2 variability among healthy young individuals a randomized controlled trial
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51 Abstract

52 Background:

Listening to music is entertaining but also has different health benefits. Music medicine involves passive listening to music, while music therapy involves active music making. Indian music is broadly classified into Hindustani and Carnatic music, each having their own system of musical scales (*ragas*). Scientific studies of Indian music as an intervention is meagre. Current study determines the effect of passive listening to one melodic scale of Indian music on cardiovascular electrophysiological parameters.

59 **Methods:** After informed consent, healthy individuals aged 18 - 30 years, of either gender 60 were recruited and randomly divided into 2 groups (n=34 each). Group A was exposed to 61 passive listening to the music intervention [Hindustani melodic scale elaboration (Bhimpalas 62 raga alaap], while group B received no intervention except for few natural sounds (played once in every 2 minutes). Blood pressure (BP, systolic - SBP; diastolic - DBP) and 63 64 Electrocardiogram in lead II were recorded with each condition lasting for 10 minutes (pre, 65 during, post). Heart rate variability (HRV) analysis was done. Data was analysed using SPSS 66 20.0 version and p<0.05 was considered significant.

67 **Results:**

68 Passive listening to the musical scale employed had a unique effect. In group A, the SBP did not change during the intervention but increased insignificantly after the intervention 69 70 was stopped (P=0.054). The DBP increased in both the groups during intervention and was 71 significant among subjects in group A (P=0.009), with an increase of 1.676 mm Hg (P=0.012) 72 from pre-during and 1.824 mm Hg (P=0.026) from pre-post intervention. On HRV analysis 73 mean NN interval increased and HR reduced in both the groups, but was significant only in 74 group B (P=0.041 and 0.025 respectively). In group A, most of HRV parameters reduced 75 during music intervention, and tended to return towards baseline after intervention, but was 76 statistically significant for Total Power (P=0.031) and Low Frequency (P=0.013) change; while 77 in group B a consistent significant rise in parasympathetic indicators [SDNN, RMSSD, Total power and HF (ms²)] over 30 minutes was observed. 78

79 Conclusion:

80 Unique cardiovascular effects were recorded on passive listening to a particular Indian
81 music melodic scale, *raga Bhimpalas*, wherein, a mild arousal response, was observed. This

82	could be due to attention being paid to the melodic scale as it was an unfamiliar tune or due to
83	certain notes of this melodic scale, that particularly caused an arousal or excitation response.
84	In contrast, the control group had only relaxation response. Exploring electrophysiological
85	effects of different genres, melodic scales and its properties after familiarizing with the music
86	may be illustrative.
87	Keywords: Indian Music, melodic scale, Blood pressure, ECG, heart rate variability
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107 Main Manuscript

108 Acute effects of passive listening to Indian musical scale on blood pressure and heart

109 rate variability among healthy young individuals – a randomized controlled trial

110 Introduction

Music is an aesthetic stimulus that has unique properties such as pitch, tempo, rhythm, scale, dynamic contrasts etc. The property, that when kept constant or varied, can specifically produce health benefits remains to be elucidated. Recent research recognized that specific acoustic factors within the musical signal induces a change in the processing of human cognitive and perceptual systems, to generate different bodily responses (1,2).

Indian music is broadly classified into Carnatic (South Indian) and Hindustani (North Indian) music, each with its own unique style. Music in India is said to have originated from Vedic chant tradition and traditional Persian music, as per ancient Indian music texts (3). *Ragas*, or musical or melodic scale, are permutations and combinations of various notes, in a specific order, in order to produce a melody. *Raga* may also be defined as a series of tones with specific melodic motifs that when improvised results in expression of certain emotions and creates a specified aesthetic experience (4,5).

123 In music theory, an interval is the difference in pitch between two sounds. An octave is 124 the interval between one musical pitch and another pitch, that is double its frequency. The basic 125 set of tones and relationships between them, that are used in *ragas* are derived are the 12-tone 126 octave divisions / chromatic scale (6). Each interval is a tone defined by the ratio of its 127 fundamental frequency to the tonic (Sa). Swara / note implies a note in the successive steps of 128 the octave (7). With just 3 notes/ swara during Vedic times, the number increased to 5 and later 129 7 notes (saptaswara – represented as Sa, Ri, Ga, Ma, Pa, Dha and Ni, equivalent to Do, Re, 130 *Mi*, *Fa*, *So*, *La*, *Ti* of western music), which is now considered ideal to produce a melodic scale 131 / raga (6,8). Each melodic scale is organized as Aarohana (ascending sequence of notes) and 132 Avarohana (descending sequence), is further improvised, within the framework of the scale, in 133 vocal or instrumental performances, presenting the various aspects of the scale (e.g., sustainance of notes, elaboration, timing, ending notes, repeated notes etc.). The 'major' 134 135 intervals are the shuddh swaras or the natural notes namely, second, third, sixth, and seventh 136 while the 'minor' intervals are the *komal swaras* (flat) positions of the same tones. Indian music 137 improvisation has unique set of rules that is pre-determined but yet creative, and *alaap (vistar)*, 138 jor, swarakalpana, taan, tanam, neraval and so on, all form different parts of this

improvisation. *Alaap* is quasi-creative improvisation, seen in both Carnatic and Hindustani music, where, note by note is elaborated, presenting the prominent phrases of the scale, usually beginning in a slow tempo, with progress to medium and faster tempos, but not bound by any rhythmic cycle (9–11). It is beyond the scope of this article to describe all types of improvisations.

Music not only has benefits that are psychological but physiological as well. It can regulate stress mechanism, sleep wake cycle, improve cognitive skills, beneficially effect the blood pressure (BP), heart rate (HR), respiration rate (RR), body temperature, and biochemical parameters as well as sensitivity to pain (12–17). Music literature as well as past studies have shown that specific *ragas* elicit distinct emotions / *rasas* (18–22). As a result of increased interest and research in this field, musical auditory stimulation is now proposed as a nonpharmacological intervention or as a complementary therapy (23–25).

151 One of the initial works exploring the cardiovascular effects of music, was in 1918, by 152 Hyde et al, who found a decrease in systolic blood pressure (SBP) and diastolic blood pressure 153 (DBP) when minor tones were used, whereas, the stirring notes of Toreador's song increased 154 the SBP and HR (26). Cardiorespiratory parameters were modified on repeated rhythmic 155 recitation of a prayer, poetry or yoga mantra (27,28). Listening to sedative music (slow tempo, 156 legato phrasing, and minimal dynamic contrasts) was shown to reduce HR and BP. BP was 157 shown to be proportional to the crescendo present in music, whereas music with uniform 158 emphasis reduced the BP (16). A study has shown that music was as effective as 159 benzodiazepines in reducing BP (29).

160 Several studies reported that under various conditions music decreases sympathetic nervous system (SNS) and increases parasympathetic nervous system (PNS) activity as 161 162 measured by HR and heart rate variability (HRV), indicating physiological relaxation (30–34). However, no difference in HR or HRV was observed by a few investigators (35,36), an increase 163 164 was reported by some (32,37). A few works showed that music decreased Low frequency / 165 High frequency (LF/HF) ratio (34), while a few others showed an increase in LF/HF (32,37). 166 When music was intervened with randomly inserted 2 minute pauses it was observed that 167 passive listening to music increases BP, HR and LF/HF in proportion to tempo and perhaps to 168 the complexity of the rhythm. It was found that silence (pauses), that followed the music, 169 induced more parasympathetic stimulation. One Indian track (raga Maru Behag played on 170 Sitar) used by the authors, which had a tempo of 55 beats/min, however, induced a significant 171 large fall in HR (32). People with different tastes in music respond differently, and those who 172 are not involved in the music have no response (38). One study, where use of *Rabindra sangeet* improved HRV, the authors hypothesized that the effect differed from person to person (39).
A recent systematic review also concluded that music does have positive effects on autonomic
nervous system (12).

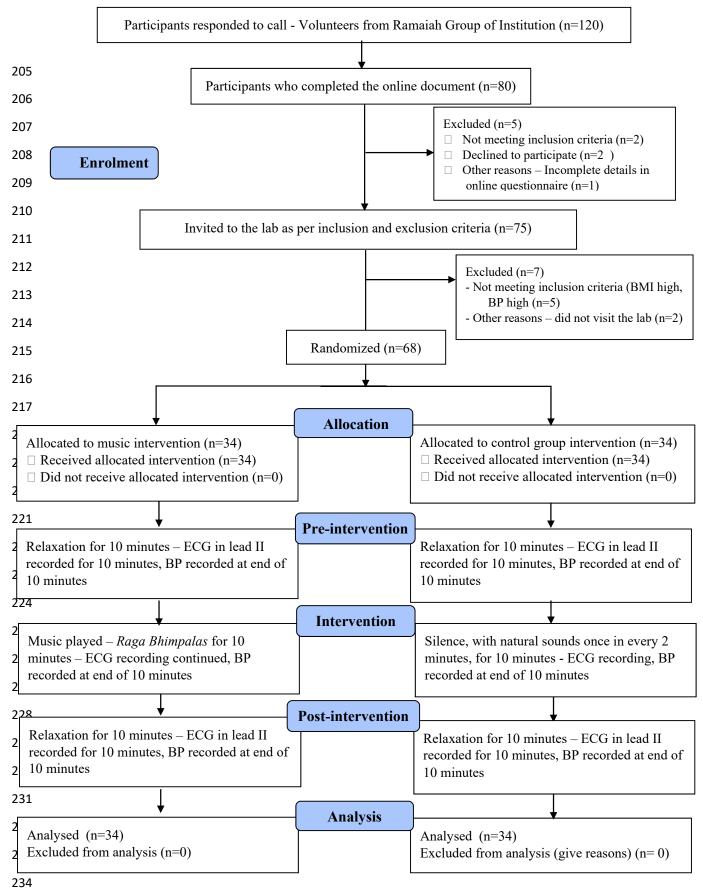
176 We thus observe that there is varying literature available on the effects of music on the 177 cardiovascular parameters and the mechanisms behind it. However, there are very few studies 178 that have used Indian music scientifically as an intervention for health benefits. In India, music 179 is predominantly used as entertainment. Despite ample vedic literature available on the 180 beneficial effects of melodic scales / ragas on human mind and body, scientific evidence for 181 the same is extremely meagre. In our previous work we showed that BP reduced significantly 182 after listening to Indian music among prehypertensives. All subjects were given a musical piece 183 composed on raga 'bhimpalas' (raga that is said to normalize BP(40)) to be heard daily, for 184 15 minutes a day, for at least 5 days a week, for 3 months. Here, 24 hour ambulatory BP and 185 HRV were recorded once on recruitment and followed up after 3 months. On retrospection into 186 our methodology, the acute effect of passive listening to the raga on BP or HRV was not 187 explored, nor the effect of other ragas listed in Gandharva veda (that could normalize the BP) 188 were scientifically evaluated, for their electrophysiological effects (15,41).

The hypothesis of the present study was that, an Indian musical scale, the *Hindustani raga, Bhimpalas*, would reduce BP and increase parasympathetic activity analysed through HRV, during a passive listening task, that would return to baseline after intervention, among young healthy individuals.

193 Methodology

A double blinded, prospective, randomized controlled trial was conducted with an experimental study design, with a total sample of 68, randomized into 2 groups (n=34 subjects in each group). Group A was exposed to passive listening to the music intervention [Hindustani melodic scale elaboration (*alaap*)], while group B received no intervention except for few natural sounds (played for 10 seconds once in every 2 minutes to avoid sleeping). The study protocol was approved by the institutional scientific committee on human research and ethical review board.

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235 Figure 1: Consort diagram of participant recruitment, random allocation, data collection and follow up.

237 **Basis for sample size**

The sample size was calculated (using nMaster 2.0 sample size software, Department of Biostatistics, CMC, Vellore) based on a study conducted by Okada *et al* (34) it was found that RMSSD (root mean square standard deviation of NN intervals on ECG) was 17.4 (7.2) ms and 24.1 (15.5) ms before and after music therapy. With an effect size of 0.59 and power of 90% and confidence interval of 95%, the minimum sample size required for the present study was estimated to be 32, in each group.

244 Recruitment of subjects for the study

245 Ramaiah group of institutions comprise of people from medical, dental, pharmacy, 246 physiotherapy, engineering etc. backgrounds. Healthy subjects aged 18 - 30 years were invited 247 to participate in the study via advertisements in notice boards of various institutions, social 248 media posts and posters. Participants who responded to the call were sent an online 249 questionnaire via google forms, as explained further. About 100-120 responded to the call, of 250 which 80 completed the google form. Inclusion criteria were healthy subjects, aged 18-30 251 years, of either gender, non-smokers and alcoholics. Exclusion criteria was any medical 252 disorder (cardiovascular, renal, respiratory, endocrine, hearing problem, psychiatric disorders, 253 stroke, epilepsy), pregnancy, body mass index (BMI)>30 kg/m²; intake of drugs which are 254 known to affect the BP or autonomic status of the individual, other impairments that would 255 prevent the subject from performing few experimental procedures. The healthy cardiovascular 256 system of the volunteers was defined by measuring BP, that confirmed their non-hypertensive 257 state and by measuring baseline HR that confirmed their non-tachycardiac state.

258 Baseline demographic data collection

259 A pre-tested, pre-designed web-based questionnaire (Google forms) was implemented, so 260 that it is convenient for the subjects enrolled to enter their data easily. This questionnaire 261 contained details such as subject's name, gender, socio-demographic details, education 262 background, drug history, present or past history of non-communicable diseases if any and 263 family history of non-communicable disorders, smoking and alcohol history. A few questions 264 inquiring the subjects' preference to any type of music, previous experience with music 265 (instrumental or vocal) was also included. Following the collection of data online, the subjects 266 were invited, for further data collection, to the lab.

267 Out of 80 subjects who answered the online questionnaire, 75 subjects reported to the lab. 268 The subjects were interviewed and all the information was collected after establishing rapport 269 with them. After overnight fasting, they were asked to take a light breakfast and abstain from 270 exhaustive exercise, for the past 24 hrs. They were asked to abstain from tea, coffee about 2 271 hours prior to the recording. A general health check-up was done for all subjects. The BMI 272 was calculated and BP in sitting position was measured twice after five minutes' rest 273 (Sphygmomanometer) in between, and was noted (42). Only normotensives were included as 274 per inclusion criteria. Recruited subjects (n=68) were explained about the study protocol, and 275 co-operation expected from them and informed consent was obtained to participate in the study. 276 They were informed about their rights to withdraw their participation from the study.

277 Randomization

278 All subjects were randomized into 2 groups using simple randomization technique. The 279 random numbers were computer generated using MS Excel (2 sets of 34 each). The random 280 number indicating intervention or control was kept in an opaque and sealed envelope and the 281 serial number of the subjects were written on the top of the envelope. The envelope was opened 282 by the research assistant after the baseline assessment of each participant had been completed 283 and assigned the participants randomly to both the arms, into intervention and control 284 categories. All the investigators who did the outcome assessments were blinded to the interventions. 285

286 Baseline (Pre) and Post intervention readings

287 All the recordings were carried out between 08:00 am and 10.00 am in an isolated 288 examination room at a stable temperature between 20 and 22°C, in a noise free atmosphere. It 289 was ensured no one entered the lab once recordings began. The subjects were asked to relax in 290 a bed for about 10 minutes prior to the tests, with their eyes closed. They were asked to remain 291 as still as possible to exclude movement induced artefacts, and also refrain from talking, falling 292 asleep and intentionally altering their respiration during the recording. We also instructed the 293 subjects to breathe at a rate of 6 breaths per minute, throughout the procedure that was 294 monitored on the computer software. Subjects were carefully monitored to ensure there were 295 no significant respiratory or postural changes during the session.

During the first ten minutes, BP cuff was tied to the left arm of the subjects and one reading was taken for the subject to know the feel of automatic cuff inflation and deflation. Recording of BP, was done using digital BP monitor as a normal sphygmomanometer recording would not only disturb the subject during the intervention but also delay the recordings and eliminate the effect the intervention. A standardized digital BP monitor was
used (Omron HEM-7130L, Europe), the reliability of which has been established (43). Electrocardiogram (ECG) was recorded in Lead II (sample rate of 1000 Hz) for ten minutes, as it is

- twice the minimum window required for HRV analysis. The recording of the data began in thePower lab 15 T Lab chart hardware & software (AD instruments).
- 305 After all the attachments, within the first 10 minutes, baseline ECG recording 306 commenced. At the end of 10 minutes, baseline digital measurement of BP (systolic, diastolic 307 BP and pulse rate) was done and recorded as pre-intervention readings. This event was marked 308 in ECG and the recordings continued. After this music intervention began, and the event was 309 marked. At the end of 10 minutes of music, without disturbing the subject the BP was recorded. 310 ECG monitoring was continued for another 10 minutes and at the end, the event was marked. 311 Post intervention BP was recorded and the subjects were made to feel comfortable and were 312 relieved.

313 Intervention

The 2 mp3 recordings were coded as A and B by a person uninvolved in the present study. We instructed the subjects to listen to this with eyes closed, mind relaxed, for the duration it was played. The subjects listened to the music through headphones [studies have previously used headphones, which is considered ideal as per the review (44)], connected to a laptop, at uniform volume (50%).

319 Music intervention

For music intervention, the previously standardized melodic scale *Bhimpalas* was used for the present study (15,41). It contained instrumental (*Bansuri*) music recorded by an eminent flautist, playing the respective *alaap* in the *raga* (musical scale). The melodic scale / *raga* was played for 10 mins duration. The subjects in Group A listened to music.

Bhimpalas raga, belongs to the Kapi thaat, is a soft, poignant and passionate raga that 324 325 evokes a feeling of love and yearning. It is generally classified as a 'late-afternoon' raga. In 326 Carnatic music (South Indian classical music) the raga 'Abheri' is the closest counterpart of 327 this Hindustani raga (45). The scale of this raga is as follows: Arohana: S G₂ M₁ P N₂ S. Avarohana: S N₂ D₂ P M₁ G₂ R₂ S (shadja, shuddha Rishabh, komal Gandhar, suddha 328 329 Madhyam, pancham, shuddha Dhaivath, komal Nishadh). Equivalent notes on western scale 330 are Bb C Eb F G Bb C as ascent and C Bb A G F Eb D C as descent on western scale (46). Thus 331 the scale is made up of two flat keys and no sharps.

Svara /	Hindustani name	Staff	Western scale	Frequency	Just	12-TET
Note		note	Interval name		intonation	(Cent)
					(Cents)	
Sa	Shadja	С	Perfect unison	1	0	0
re	Shuddha rishab	D	Major second	10/9	183	200
ga	Komal gandhar	ЕЬ	Minor third	6/5	316	300
Ma	Shuddha madhyam	F	Perfect fourth	4/3	498	500
Pa	Pancham	G	Perfect fifth	3/2	702	700
Dha	Shuddha daivat	A	Major sixth	5/3	884	900
ni	Komal nishad	Вβ	Minor seventh	9/5	1018	1000

Note: Interval names, abbreviations, frequency ratios, and sizes in cents for just intonation (JI) as well as 12-tone

332Table 1: Scale of Raga Bhimpalas, the names of the notes in Hindustani music and Western333scale, with their equivalent frequencies, just intonations and 12-TET

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equal temperament (12-TET) tunings are shown. The 12 intervals of the Western chromatic scale, comparably

336 presented (More anout JI and 12-TET in supplementary file).

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338 Intervention to the control group

The control group (Group B) did not receive any intervention, but since the complete recording lasted for 30 - 40 minutes' duration, it was possible for the subjects to feel sleepy or fall asleep. Sleep would cause its own electrophysiological effects, which would alter the objective of the present study. Further, silence during the middle 10 minutes would not be an ideal to compare, when the other group received music. For these 2 reasons, natural sounds (birds chirping and flowing river) was played for 10 seconds duration once in every 2 minutes in the mid-10 minutes (intervention phase).

346 **BP** Analysis

The readings given by the BP monitor were recorded as SBP (in mm Hg), DBP (in mm Hg) and HR (in beats per minute). The readings were taken before (end of 10 minutes of relaxation – pre-intervention), during (end of 10 minutes of intervention) and after (end of 10 minutes after the intervention was stopped) intervention.

351 HRV Analysis

Of the whole recording the first 1-2 minutes of each segment of data were excluded in case of any transition or adjustment effect. Only series with more than 95% of sinus beats was used for analysis. Time domain paramters analysed using fast Fourier transformation (FFT size: 1024) were SDNN—the standard deviation of NN intervals, RMSSD—Root square of the mean squared difference of successive NNs, NN50—number of pairs of successive NNs that differ by more than 50 ms, pNN50—proportion of NN50 divided by total number of NNs, spectral components such as Very Low Frequency (VLF), Low Frequency (LF) and High Frequency (HF) components in absolute values of power (ms²) and in normalized units (nu), and LF/HF.

361 A region in the channel that contained data without much variation and ectopics was 362 selected and analysed. A threshold value was set to detect the beats (R waves - R component 363 of QRS complex) and it was increased to avoid detection of unwanted peaks or decreased to 364 detect genuine beats that would have been missed. Beats which fall outside of the timing of a 365 normal sinus rhythm were considered ectopics. Ectopics were excluded as they do not represent 366 ANS activity and are not believed to contribute to HRV. Inclusion of ectopics during analysis 367 results in falsely higher representation of HF component of HRV (47,48). Poincare plot, where 368 RR interval is plotted against the preceding RR interval, in a scatter plot analysis, has been 369 widely used as a quantitative visual tool for HRV analysis (49–51). After referring the Poincare 370 plot (for the best possible ellipse), RR interval Tachogram, a plot of successive RR interval 371 values against the interval number, and the spectrum for any ectopics and detection of R waves, 372 a report was generated and results were entered onto an excel sheet and tabulated. Sources of 373 error were minimized by only having one of the investigator perform the recording of ECG and 374 analysis of HRV of the subjects. The paramters analysed were pre, during and post intervention 375 mean NN interval, HR (Average of 10 minutes), SDNN, RMSSD, NN50, pNN50, VLF, LF, 376 HF (ms²), LF nu, HF nu and LF/HF.

377 Statistical analysis

Data was analysed using SPSS software version 18.0 (SPSS Inc. Released in 2009. PASW Statistics for Windows, Version 18.0. Chicago: SPSS Inc.). The continuous variables were analysed using descriptive statistics using mean and SD. The categorical variables were analysed using frequency and percentage. The normalcy of the data was checked by applying the Kolmogorov-Smirnov Test. All the variables namely BP, HR, and HRV were found to follow the normal distribution.

Baseline comparisons between the groups were carried out using students' t-test for continuous variables and chi-square test for categorical variables. All HRV parameters were compared between groups and at pre, during and post-intervention using repeated measures of ANOVA (RM ANOVA) test. Bonferroni multiple comparisons test was used to compare the pairwise differences. All the baseline parameters were comparable between the groups. Only 389 baseline DBP showed a significant difference between the groups and hence multivariate

regression analysis and stratified analysis were carried out to adjust for the various covariates.

Two-tailed P value <0.05 was considered for statistical significance.

392 **Results**

A total of 68 subjects were enrolled into the study, with each group consisting of 34 subjects. The two groups were comparable based on mean age, age distribution and gender. There were more subjects in the age group of 19-21 years in both the groups. Groups were comparable with respect to BMI with statistically no significant difference (P=0.307).

About 30% of individuals out of 68 recruited were musically trained, with about 29.4% in

398 group A and 32.4% in group B (P=0.793). Subjects were predominantly trained in Indian music

399 (91.7%). Most of the subjects preferred listening to old *Hindi* movie songs.

Table 2: Distribution of subjects under music intervention group (Group A) and control group (Group B).

		Group A	Group B	Total		
Variables	Group	n (%)	n (%)	n (%)	P -Value	
		N=34	N=34	N=68		
	<=18	7 (20.6)	4 (11.8)	11 (16.2)		
	19-21	19 (55.9)	19 (55.9)	38 (55.9)	0.651	
Age (Years)	22-24	4 (11.8)	4 (11.8)	8 (11.8)		
	>=25	4 (11.8)	7 (20.6)	12 (16.2)		
Age (years)		20.3, 2.60	21.0, 2.71	20.8, 2.80	0.278	
Mean, SD		20.5, 2.00	21.0, 2.71	20.8, 2.80	0.278	
Gender	Female	16 (47.1)	9 (26.5)	25 (36.8)	0.078	
Genuer	Male	18 (52.9)	25 (73.5)	43 (63.2)	0.078	
Mean BMI						
(kg/m^2)		23.4, 4.67	22.3, 4.02	23.0, 4.45	0.307	
Mean, SD						
Training in	No	24 (70.6)	23 (67.6)	47 (69.1)		
Music	Yes	10 (29.4)	11 (32.4)	21 (30.9)	0.793	
Genre of	Indian	9 (90.0)	13 (92.9)	22 (91.7)	0.803	
music	Western	1 (10.0)	1 (7.1)	2 (8.3)	0.805	

402 Note:

a) N is the number of subjects in each group. N=34, in each group.

b) Values are represented as Mean, SD (Standard deviation)

c) Group A (Raga A - Intervention group), Group B (Control)

d) All the values are in absolute values and in parenthesis are in percentages.

e) P calculated using Chi square test / Fisher exact test.

f) P Value of < 0.05 is considered significant.

409 g) Mean age, BMI comparison was done using ANOVA

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- 411 Baseline comparison of the parameters were carried out between the 2 groups, which
- 412 revealed that SBP (P=0.501), HR (P=0.8) and all the parameters of HRV were comparable.
- 413 However, DBP showed statistically significant difference between the groups (P=0.003).

414 Table 3 : Comparison of absolute values and logarithmic levels of BP (in mm Hg), HR and HRV

in between 2 groups, pre, during and post intervention

		Group A	(N=34)						
	Pre During Post		P within group	Pre	During	Post	P within group	P (between groups)**	
SBP (mm Hg) ^a #	107.6, 12.79	107.4, 13.96	109.4, 13.20	0.054	105.7, 9.98	105.4, 10.26	103.8, 11.43	0.22	0.259
DBP (mm Hg) ^a #	67.2, 6.10	68.9, 7.37	69.1, 6.51	0.009*	71.8, 6.09	73.0, 6.82	72.4, 7.83	0.403	0.010*
HR (bpm) ^a #	71.91, 8.5	71.68, 9.26	72.03, 7.01	0.937	72.59, 12.94	72.18, 8.81	71.62, 9.64	0.74	0.903
Mean NN (ms)	2.9, 0.04	2.9, 0.05	2.9, 0.04	0.091	2.9,0.07	2.9,0.07	2.9, 0.06	0.041*	0.541
HR (bpm) ^a ^	72.9, 7.09	72.1, 7.26	71.7, 7.18	0.061	72.8, 11.29	71.1, 10.49	71.0, 10.59	0.025*	0.778
SDNN	1.8, 0.17	1.7, 0.17	1.7, 0.21	0.405	1.8, 0.18	1.8, 0.17	1.8, 0.18	0.004*	0.231
RMSSD	1.7, 0.26	1.7, 0.26	1.7, 0.26	0.337	1.7, 0.28	1.8, 0.24	1.8, 0.25	0.040*	0.384
NN50	2.2, 0.57	2.2, 0.48	2.2, 0.46	0.802	2.2, 0.58	2.2, 0.50	2.2, 0.51	0.278	0.914
pNN50	1.3, 0.52	1.3, 0.48	1.3, 0.49	0.864	1.3, 0.62	1.3, 0.54	1.4, 0.37	0.128	0.412
TP (ms ²)	3.5, 0.35	3.4, 0.34	3.5, 0.36	0.031*	3.5, 0.38	3.5, 0.35	3.6, 0.37	0.009*	0.348
VLF (ms ²)	3.0,0.33	2.9,0.31	2.9, 0.40	0.173	2.9, 0.38	3.0, 0.29	3.0, 0.34	0.113	0.336
LF (ms ²)	2.8,0.37	2.8,0.38	2.9,0.37	0.013*	2.9, 0.39	3.0, 0.38	3.0, 0.35	0.025*	0.084
LF (nu)	1.6, 0.20	1.5, 0.25	1.6, 0.22	0.269	1.6, 0.17	1.6, 0.19	1.6, 0.19	0.573	0.275
HF (ms ²)	3.0, 0.45	3.0, 0.44	3.0, 0.46	0.555	3.0, 0.50	3.1, 0.43	3.1, 0.46	0.023*	0.527
HF (nu)	1.7, 0.16	1.7, 0.17	1.7, 0.16	0.233	1.7, 0.19	1.7, 0.16	1.7, 0.15	0.387	0.79
LF/HF	0.9, 0.32	0.9, 0.36	1.0, 0.33	0.206	0.9, 0.31	0.9, 0.36	1.0, 0.34	0.682	0.751

416 Note:

417 a) N is the number of subjects in each group.

418 b) ^aabsolute level #one-time measurement; ^Average of continuous monitoring over 10 minutes

c) BP is given in (mm Hg) and HRV all parameters have been log converted, except HR, which is in beats per
 minute (bpm)

d) For all HRV parameters, the null hypothesis (H₀) considered was that mean values is the same at all the time
 points (pre, during and post). The alternative hypothesis is that mean value is significantly different at one
 or more time points.

- e) For absolute values of all HRV parameters refer supplementary file
- 425 f) All the values are in mean, standard deviation (SD) univariate ANOVA.
- 426 g) P value < 0.05 was considered significant Levene's test of equality
- 427 h) **P calculated using RM-ANOVA.
- 428

429 Before intervention

All sociodemographic and baseline parameters were comparable between the two groups, except DBP (P=0.010), which was higher in the control group prior to intervention. However, on regression analysis of all the variables (age, gender, education, diet, marital status, involvement in physical activity, mind body relaxation techniques, family history of noncommunicable disorders, training in music, preference to music along with differences in BP based on conditions), none of the parameters seemed to affect the change in DBP that was observed.

437 *BP During and after intervention:*

The key findings in BP at 20th minute (during music) in group A were - SBP was similar to 438 pre-intervention levels during intervention and increased after intervention (P=0.054). When 439 440 the differences amongst the groups were tested, statistically no significant difference was found 441 (P = 0.259). The DBP increased significantly (P=0.009), by 1.676 mm Hg (P=0.012) during 442 intervention and by 1.824 mm Hg; (P=0.026) after intervention in comparison to pre-443 intervention levels. The HR insignificantly reduced during and increased after intervention 444 (P=0.937). In control group, SBP reduced during intervention and after intervention (P=0.22), DBP increased during intervention and later reduced (P=0.403). The HR continued to reduce 445 446 throughout the 30 minutes' duration (P=0.74) [Table 3; Figure 2]. Note that the HR measured 447 using BP apparatus was recorded one time along with BP and was not an average of continuous 448 monitoring before, during or after intervention.

449 *HRV During and after intervention:*

Note that the changes in HRV were the average of 10.1th to 20th minute of ECG analysis.
For HRV analysis, all parameters except HR were log transformed due to skewness in data
obtained (absolute levels of all HRV parameters and pairwise comparison has been shown in
Supplementary file). ANOVA with repeated measures¹ was used with sphericity assumption.

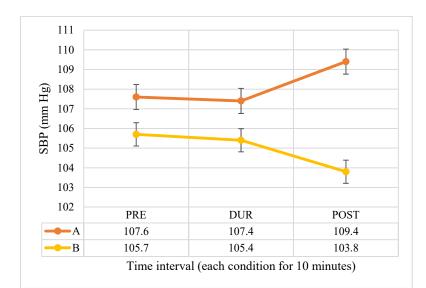
¹ The repeated measures ANOVA tests for whether there are any differences between related population means. The null hypothesis (H₀) states that the means are equal: H₀: $\mu_1 = \mu_2 = \mu_3 = ... = \mu_k$

where μ = population mean and k = number of related groups. The alternative hypothesis (H_A) states that the related population means are not equal (at least one mean is different to another mean):

Further, two way repeated measures of ANOVA analysis was done to inspect the interactionbetween intervention group and time. The results are as follows.

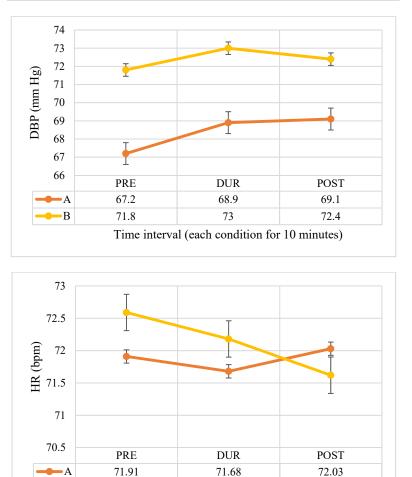
456 In group A, during intervention, the various parasympathetic parameters of HRV 457 [SDNN, RMSSD, TP, VLF, LF, HF (ms²)] reduced along with HR, but was statistically not 458 significant. The mean NN interval increased, but not significantly (P=0.091). After intervention mean NN interval continued to increase, HR reduced, while SDNN, RMSSD, TP (ms²), HF 459 460 (ms^2) and LF (ms^2) increased towards pre-intervention levels. The change was significant for TP (ms²) (Global HRV) (P=0.031) and LF (ms²) (P=0.013). On pairwise comparison LF ms2, 461 462 the change was maximal after intervention compared to during music (P=0.005). Though NN50 463 and pNN50 reduced in group A, after log transformation the change was statistically not significant. 464

465 In control group, during and after intervention, sustained and significant increase 466 $(P \le 0.05)$ in mean NN interval (P=0.041), SDNN (P=0.004), RMSSD (P=0.040), TP (ms²) (P=0.009), LF (ms²) (P=0.025) and HF (ms²) (P=0.023) was observed along with reduced HR 467 468 reduced (P=0.025). On pairwise comparison, maximum change in Mean NN interval was 469 observed from pre-intervention to during intervention interval (P=0.005). The reduction in HR 470 was maximum during intervention compared to baseline levels (P=0.002). The unit drop in HR was very less (hardly 1 beat per minute). SDNN change was significant after intervention 471 472 compared to baseline (P=0.014) and during (P=0.024) levels. Total power change was 473 significant after intervention compared to baseline levels (P=0.026). The change in LF (ms^2) 474 was maximal after intervention, compared to during (P=0.049) intervention. These changes 475 observed in HRV parameters in its absolute power were statistically not significant after 476 normalized unit (nu) conversion.



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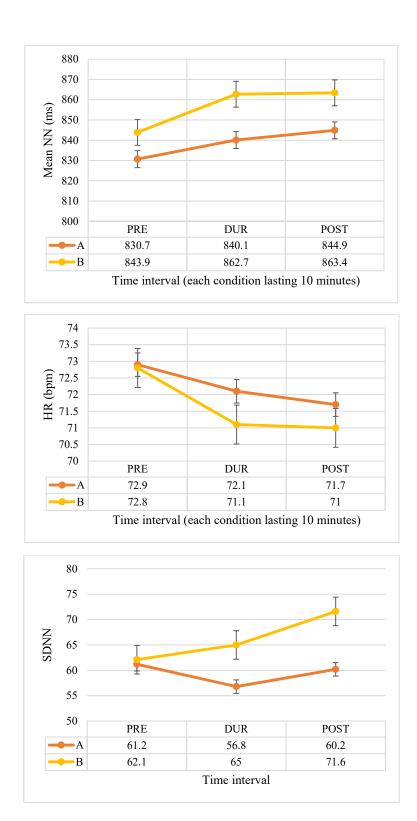
481 Figure 2: Comparison of SBP, DBP (in mmof Hg) and HR (in bpm) between 2 groups (Pre, Dur
482 and Post intervention)

72.18

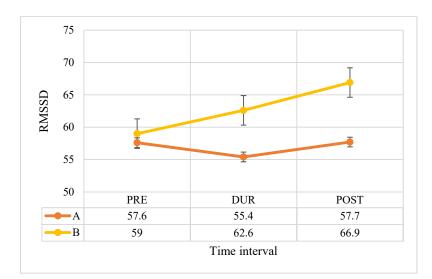
71.62

В

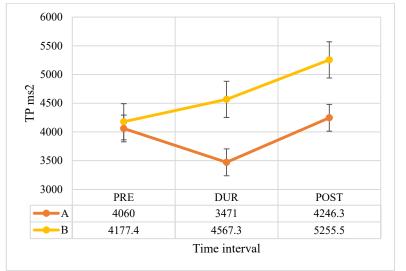
72.59

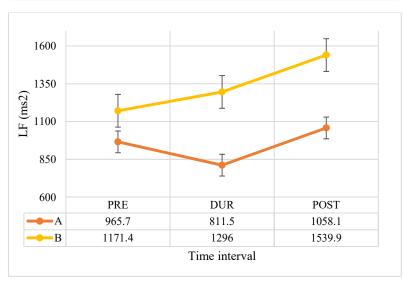


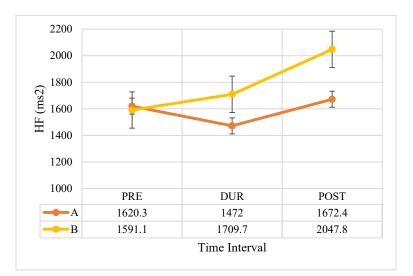












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Figure 3: Comparison of Absolute values of Mean NN Interval (ms), HR (Lead II ECG,
Averaged), SDNN, RMSSD, TP(ms2), LF(ms2) and HF (ms2) between 2 groups (Pre, Dur and
Post intervention). Note that the absolute values have been given in data table below each graph.
For all absolute values, refer supplementary file.

494 **Discussion**

In this study, to the best of our knowledge, for the first time, an Indian musical scale
(*Hindustani raga*) has been evaluated scientifically for its effect on electrophysiological
parameters such as BP and HRV in young, clinically normal, normotensive individuals.

498 Vedic literature (sama veda) and raga chikitsa literature specifies about 7 ragas to be 499 useful in BP control (Ahir bhairav, Kausi Kanada, Bhimpalas, Todi, Puriya, Hindol and 500 Bhupali). In our previous study we observed that Bhimpalas raga could effectively control 501 DBP among prehypertensives, after 3 months of music intervention (41). Though we found 502 noticeable reduction using single raga Bhimpalas music intervention, along with standard 503 management protocols, there was not enough scientific evidence regarding the acute effect of 504 the raga on normal healthy individuals, be it on BP or on other electrophysiological parameters. 505 Therefore, in the current study, previously standardized melodic scale, raga Bhimpalas, was 506 scientifically evaluated, for its acute effects.

Those in the intervention arm (group A), passively listened to *bansuri* (Indian flute) recording containing only *alaap* (a type of improvisation in Indian music) in *raga Bhimpalas*, against the drone instrument in the background, without percussion instruments, through headphones connected to a laptop for 10 min. The BP and HRV was digitally monitored thrice [pre, during and post – each condition lasting for 10 min]. Those in control arm (group B) relaxed for 30 minutes, when the physiological parameters were recorded. To make the control

513 group matched for the intervention and to avoid sleeping (and its effects), control arm received

acoustic stimuli with natural sounds lasting for 10 seconds, played once in every 2 minutes,

515 during intervention condition (mid 10 minutes).

516 *Main findings:*

517 *Before intervention:*

All sociodemographic and baseline parameters were comparable between the two groups, except DBP, which was higher in the control group prior to intervention. However, on regression analysis of all the probable confounding variables, none of the parameters seemed to affected the change in DBP that was observed.

522 *During intervention:*

The key findings in BP at 20^{th} minute (during music) in group A were - SBP was similar to pre-intervention levels (indicating no effect /relaxation response), DBP increased significantly by 1.676 mm Hg, while HR insignificantly reduced. The various parasympathetic parameters of HRV [SDNN, RMSSD, VLF, HF (ms²)] reduced along with HR, TP (ms²) and LF (ms²). Mean NN interval increased, but not significantly. Thus a sympathetic predominance or reduction in parasympathetic activity was observed with music intervention. This might be due to the arousal effect of music as observed in few other studies (52–54).

530 In control group, SBP reduced very slightly, DBP increased, but was not significant, while HR continued to reduce throughout the 30 minutes' duration. Adding to this finding, in 531 532 control group sustained and significant increase in mean NN interval, along with parasympathetic HRV parameters along with TP and LF (ms²) was observed along with 533 534 reduction in HR, implying increased parasympathetic activity, when a person is relaxing 535 completely for 30 minutes' duration, with larger amount of silence and natural sounds 536 interspersing, for very short duration, in the mid 10 minutes (total 50 seconds). Nevertheless, 537 the unit drop in HR was very less (hardly 1 beat per minute)

538 *After intervention:*

After the intervention was stopped, in group A, SBP, HR increased mildly. The DBP
increase was significant on comparison to pre-intervention levels (increase by 1.824 mm Hg).
All the HRV parameters increased towards pre-intervention levels with reduction in HR

(change being significant only for TP and LF). In the control group (group B), the SBP, DBP
and HR reduced insignificantly after the intervention. Among the HRV parameters, mean NN
interval, HR remained similar to during intervention levels. Other HRV parameters increased
(change being significant for SDNN, TP and LF).

Note that LF power is produced by both SNS and PNS activity and is not a pure index of SNS drive. While SDNN, RMSSD, HF power is predominantly controlled by the PNS activity. Total power is said to reflect overall autonomic activity but has predominant vagal influence. (49).

550 Discussion of main findings:

It can also be observed that passive listening task to raga Bhimpalas caused sympathetic 551 arousal [as shown by increased DBP - indicating mild vasoconstriction in the periphery and 552 drop in parasympathetic parameters of HRV – SDNN, RMSSD, HF (ms²)] during music, while 553 554 regaining autonomic balance, after the music was stopped. This seems similar to the classic 555 paper by Bernardi et al, where playing music for 2 minutes exhibited arousal response as 556 against after stopping the music (32). Note that over ten minutes of music listening, the SBP 557 did not change much, while HR reduced mildly, with increase in mean NN interval, though insignificant. The subjects involved in the current study were clinically normal, normotensives, 558 559 (autonomically sound), and a large change in BP with music intervention, may be too high an 560 expectation. In our previous study, music intervention caused a significant drop in DBP (~ 2 561 mm Hg) among prehypertensives (41). In the current study, BP was measured acutely as 562 subjects listened to music (in the lab) unlike in the previous study where BP was measured 563 using 24 hour ambulatory BP device, prior to and after 3 months of intervention. Continuous 564 BP monitoring, over 30 minutes, like ECG could have been better in indicating the real-time 565 changes in BP. However, the observed significant DBP and HRV changes may be pointing 566 towards the exciting / joyous emotion /arousal effect of raga Bhimpalas, as observed in the 567 Indian Bollywood compositions based on this scale - nainon mein badra chaave (movie: mera 568 saaya), E neele gagan ke tale (movie: Badshah), Kuch dil ne kaha (movie: Anupama), khilte 569 hain gul yahan (movie: Sharmili) (13).

570 This arousal response could be due to passive listening to an unfamiliar tune. Studies 571 show that passive listening task produces a sympathetic response, when it is an emotionally 572 arousing music (55). Similarly, in another study, authors interpreted that passive listening to 573 positively valenced music increased HR, and listening to music in general was associated with 574 a mind wandering state (56). As opposed to current study findings, Weiss et al., demonstrated 575 greater pupil dilations (sympathetic arousal) during listening to familiar folk melody, compared 576 to unfamiliar (novel) stimulus (57). Further in sports research, using music, it has been shown 577 that unfamiliar relaxing music was the most relaxing (physiologically recorded using galvanic 578 skin resistance, HR and peripheral temperature) and unfamiliar arousing music was the most 579 arousing (58,59). A repeated exposure might have resulted in familiarity to the tune that was 580 offered in this study and a different result. The probability of listening to music with an 581 intention of relaxation, over a longer period of time, producing familiarity, under non-582 laboratory condition and thus a cumulative relaxation effect cannot be ruled out. To this 583 thought, one study examined HRV among 13 students, after repetitive exposure to sedative 584 music, excitatory music and no music condition. Each participant went through four sessions 585 of one condition in a day. The LF and LF/HF ratio increased during sedative and excitatory 586 music sessions but decreased during non-music conditions. The HF was higher during sedative 587 music than during excitatory music listening but similar to non-music condition (60).

Non-expert listeners have a different physiological effect on listening to different genres of music (of different style and emotional outcome). In fifty untrained individuals, listening to atonal music was associated with a reduced HR and increased BP (SBP and DBP), possibly reflecting an increase in alertness and attention, psychological tension, and anxiety (61). Seventy percent of subjects in the current study were not trained in music. Further, regression analysis of the current study showed no effect of training on BP and HRV parameters.

595 The components in the music heard is also important to understand its physiological 596 effect. Bowling et al., observed that melodies that are positive / excitatory had more major 597 intervals (>200 cents) while negative/ subdued ragas have more minor intervals (6). A recent study on emotions caused by *thaats* (scales with all 7 notes) of Hindustani music by varying 598 599 the tones, and tempo of Hindustani music concluded that ragas with major intervals (shuddh 600 swaras - shuddh Re and shuddh Ga) were rated as 'calm' while those with minor intervals 601 (komal swaras - komal re and komal dha) were rated as 'sad' (22). Bhimpalas raga is a unique 602 scale with 3 perfect notes and equal number of major and minor notes. This might explain the 603 mild sympathetic effect seen in the current study.

The frequency which is usually used to tune musical instruments is about 440 Hz. A study noted that 432 Hz music was associated with an insignificant decrease of SBP and DBP, a significant decrease in HR, compared to 440 Hz and that the subjects were more focused and more satisfied after they heard to music tuned at 432 Hz (62). In the present study music was played at Scale 'E' the frequency of which is 329.36 Hz – Note Sa (fundamental note).

The genre of music preferred by the subjects may be important as well. In the present 609 610 study, experimenter chosen standardized music stimulus was used. Self-selected sedative 611 music has been shown to induce both aroused and sedative emotions and a slight but significant 612 increase in HR (63). In contrast another study, using sedative and stimulating music among 613 cardiac rehabilitation patients, showed no effect of the type of music on BP (64). Music by 614 Mozart, Strauss and the control group resulted in lower SBP, DBP; whereas listening to pop 615 music (ABBA) caused no change. HR reduced significantly with Mozart music compared to 616 control group. None of the above effects correlated with the music preference of the subjects 617 (65).

618 In a crossover study of 40 min of active and passive intervention, active music therapy 619 reduced LF/HF while passive music intervention (as seen in present study) increased LF/HF 620 (25). Acute effect of different genres of music (heavy metal music, classical baroque music) 621 on HRV parameters showed that listening to heavy metal music reduced SDNN significantly. LF (ms^2 and nu) reduced with both types of music, while HF (ms^2) reduced only with heavy 622 623 metal music and LF/HF ratio reduced with classical baroque music. Authors thus concluded 624 that heavy metal music decreased the autonomic modulation, while exposure to a classical 625 baroque music reduced sympathetic regulation on the heart (66,67). When HRV was analysed 626 during no sound condition RMSSD significantly increased, which authors attributed to the supine posture, when cardiac PNS inputs were maximal (68). In the current study protocol 627 628 supine posture was followed for all participants, with the control group rested for complete 30 629 minutes, without any disturbance, while music intervention group, rested with music being 630 played in the middle 10 minutes. Music chosen was not guided with breathing frequency, though the respiratory rate was recorded. Music combined with guided breathing exercises 631 have shown better control of physiological parameters in a few studies (69,70). Nevertheless, 632 633 recently a study concluded that both listening to music and deep breathing exercise were 634 associated with a clinically significant reduction in SBP and DBP and that deep breathing 635 exercise did not augment the benefit of music in reducing BP (71). A review based on the effect 636 of auditory stimulation and cardiac autonomic regulation hypothesized that dopamine release 637 in the striatal system, that is induced by pleasurable songs was involved in cardiac autonomic 638 regulation (72).

639 The strengths of the study are that, to the best of our knowledge, for the first time an640 Indian melodic scale has been studied systematically and scientifically, via a randomized trial

641 (avoiding different types of bias), among normal healthy individuals, with recording of various 642 physiological parameters. All parameters were free from measurement errors as the recordings 643 were performed by a single, well trained, but blinded, research assistant (reducing observer's 644 error) along with validation from the PI (who was also blinded) for accuracy of the data 645 collected. Further the devices used to collect the data was standard, reliable and well validated, 646 through prior research studies. The chosen music intervention was standardized and was based 647 on existing music literatures. Music used was composed of pure *alaap* and drone instrument. 648 Percussion instruments and lyrical component was avoided, as they have their own respective 649 effects on physiological parameters. Passive listening to music was chosen to maintain 650 uniformity, as active music making may not be an option for all subjects. The control group 651 was well matched and the intervention received by the control group was also standardized. 652 The sample size was calculated based on prior research work, with appropriate power and was 653 adequate to show measurable, significant effects of the intervention. Subjects of both genders, 654 with homogenous age groups were compared. Thus the evidence obtained through this work is 655 true and scientifically authentic.

656 The limitations of the study were that choice of music was not given to the subjects. 657 Literature review shows music has better effect, especially with respect to pain, when self-658 chosen (73–77). However there have been quite a number of other research works that prove 659 that experimenter chosen music is better than self-chosen music (13) and a few others showing 660 that choice of music did not matter (78). One fact of this study was that the participants knew 661 the aim of the study, and might have listened to the music with a particular intention, that is different from that of control group, as once the intervention started, control group knew they 662 663 were not in the music intervention group. This limitation was difficult to overcome, as research 664 question demanded this design and the results obtained may be interpreted with this 665 background. A subject's involvement in the music and subjective emotions were not captured. 666 Self-reported parameters are however less reliable than actual physiological recordings. 667 Though all subjects were clinically normal, laboratory measurements of their blood/serum or 668 urine was not conducted to conclusively say that everyone was normal. Further extraction of 669 the musical components through pre-determined software, and its correlation with the 670 continuous monitoring of BP and HRV may further elaborate on the cause-effect response seen.

671 Conclusion

672 For the first time, through this study we have shown the acute effect of Indian music on 673 cardiovascular electrophysiological parameters, in a systematic fashion. Passive listening to a north Indian Hindustani classical musical scale, raga bhimpalas caused mild arousal response 674 675 during intervention, that trended to return to baseline levels after the intervention was stopped. 676 This may be attributed to a combination of major and minor intervals in the scale as well as a 677 normal response to an unfamiliar stimulus, that was heard with enough attention so as to 678 produce a mild sympathetic arousal. Future studies should try to evaluate the physiological 679 responses during passive listening to different genres, scales of music, and the musical, after 680 familiarizing to the stimuli.

681 Credit roles:

682 Conceptualization, Funding acquisition – UKK; Data curation – UKK, VJ, Formal analysis –

683 UKK, RK, NSM; Investigation – UKK, VJ; Methodology – UKK, VJ, GJ; Project

administration – UKK, VJ, GJ, NSM, RK; Resources – UKK, VJ; Software – UKK, RK, NSM;

685 Supervision – UKK, VJ, GJ, VSP; Validation – RK, NSM; Writing original draft – UKK, GJ;

686 Review & editing – VJ, RK, NSM, VSP.

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691 **Competing interests**

692 Authors declare no conflicts of interest.

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