

1 TITLE: Transcriptional mediators of treatment resistance in lethal prostate cancer

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28 ABSTRACT (201 words)

29 Metastatic castration resistant prostate cancer (mCRPC) is primarily treated with
30 therapies that prevent transcriptional activity of the androgen receptor (AR), cause DNA damage,
31 or prevent cell division. Clinical resistance to these therapies, including second-generation
32 androgen-targeting compounds such as enzalutamide and abiraterone, is nearly universal. Other
33 treatment modalities, including immune checkpoint inhibitors, have provided minimal benefit
34 except in rare subsets of patients^{1,2}. Both tumour intrinsic and extrinsic cellular programs
35 contributing to therapeutic resistance remain areas of active investigation. Here we use full-
36 length single-cell RNA-sequencing (scRNA-seq) to identify the transcriptional states of cancer
37 and immune cells in the mCRPC microenvironment. Within cancer cells, we identified
38 transcriptional patterns that mediate a significant proportion of inherited risk for prostate cancer,
39 extensive heterogeneity in *AR* splicing within and between tumours, and vastly divergent
40 regulatory programs between adenocarcinoma and small cell carcinoma. Moreover, upregulation
41 of TGF- β signalling and epithelial-mesenchymal transition (EMT) were both associated with
42 resistance to enzalutamide. We found that some lymph node metastases, but no bone metastases,
43 were heavily infiltrated by dysfunctional CD8⁺ T cells, including cells undergoing dramatic
44 clonal expansion during enzalutamide treatment. Our findings suggest avenues for rational
45 therapeutic approaches targeting both tumour-intrinsic and immunological pathways to combat
46 resistance to current treatment options.

47 MAIN (2,745 words)

48 Despite advances in targeting androgen receptor signalling and other drivers, mCRPC is
49 typically lethal². The identities and proportions of cells within human mCRPC niches is largely
50 unknown. By defining treatment resistant states in human mCRPC, we may reveal biological

51 drivers that inform new treatment strategies. Thus, we collected fresh biopsies from mCRPC
52 patients from representative metastatic sites for whole exome sequencing, bulk RNA-seq, and
53 scRNA-seq using the Smart-seq2 protocol, which generates full-length transcript sequences³. At
54 time of biopsy, patients had experienced varied treatment histories, with approximately even
55 representation before and after treatment with enzalutamide. Smaller proportions of patients had
56 experienced abiraterone, taxanes, and other therapies (Fig. 1a). In addition to adenocarcinomas,
57 one biopsied tumour (09171135) had a small cell carcinoma histology.

58 After quality control, our cohort consisted of 2,170 deeply sequenced cells from 14
59 patients and 15 biopsies, including cells from both before and after enzalutamide treatment for
60 one patient (01115655) (Methods; Supplementary Fig. 1a). Following clustering of the single-
61 cell transcriptomes, we manually labelled cell clusters for dominant cell type based on cluster-
62 specific expression of marker genes (Fig. 1b; Methods; Supplementary Table 2). Cancer cells,
63 represented in multiple clusters marked by expression of the adenocarcinoma markers *AR* and
64 *KLK3* (which encodes prostate-specific antigen) or the neuroendocrine marker *CHGA*, were
65 recovered from 12 biopsies, comprising over a third of the cells (n=836). The remainder included
66 cells from the B cell lineage, natural killer (NK) and T cells, monocytes and macrophages,
67 erythroid cells, and neutrophils.

68 Prostate cancer is highly heritable, with an estimated 57% of variation in risk attributed to
69 inherited variants⁴. Genome wide association studies (GWAS) have not only identified
70 significant risk alleles but also generated results that allow the analysis of even non-significantly
71 associated variants in aggregate to link risk to subsets of the genome. We sought to identify cell
72 types relevant to prostate cancer development by integrating cell-type specific expression
73 patterns from our scRNA-seq data with results from a recent large-scale GWAS of prostate

74 cancer risk⁵. Using LD score regression applied to specifically expressed genes (LDSC-SEG),
75 we identified significant enrichment of germline heritability for prostate cancer in genomic
76 intervals near genes that were specifically expressed in cancer cells ($q = 0.031$, Benjamini-
77 Hochberg) (Fig. 1c; Methods)⁶. No significant enrichment was observed for any other cell type,
78 indicating that when assessed during advanced disease, inherited risk for prostate cancer is
79 primarily mediated through tumour-intrinsic mechanisms.

80 *Complex androgen receptor splicing*

81 We therefore assessed transcriptional programs in cancer cells across metastatic niches
82 and clinical contexts. As prostate adenocarcinomas are dependent on androgen signalling for
83 survival, significant attention has been focused on the description and detection of a diverse set
84 of *AR* splice variants. The AR protein contains a DNA-binding domain with transcriptional
85 regulatory activity and a ligand-binding domain required for control of its activity by androgens.
86 Splice variants that omit the ligand-binding domain, particularly AR-V7, have been hypothesized
87 to constitutively activate downstream transcriptional programs independent of androgen binding,
88 providing a resistance mechanism to second generation androgen-targeting therapies^{7,8}. Taking
89 advantage of our dataset's even sequencing coverage along transcripts, we detected the presence
90 of specific *AR* splice variants. First, we curated a transcriptome annotation of literature described
91 isoforms (Methods). Then, we remapped all reads from individual cancer cells initially mapping
92 to the *AR* locus, counting the number of reads that uniquely map to individual isoforms (Fig. 2a;
93 Methods). We detected isoform-informative reads indicating the presence of many previously
94 described splice variants within our clinical biopsies, with AR-45, AR-V7, and AR-V12 being
95 uniquely identified in the most cells. AR-45 was detected in every biopsy with any isoform-
96 specific reads. AR-V7 was present in biopsies from both before and after enzalutamide exposure.

97 Strikingly, we detected multiple *AR* splice variants within the same biopsy and even within the
98 same cell, highlighting the complexity of *AR* splicing in mCRPC.

99 Isoform-informative reads comprise only a small fraction of reads mapping to any gene,
100 and *AR* splice variants described in literature may not represent a complete census of all isoforms
101 expressed *in vivo* (Supplementary Fig. 2). Therefore, we defined two alternative summary
102 measures of *AR* splicing that permitted characterization within more of the individual cancer
103 cells. *AR* intron 3 contains many of the terminal cryptic/alternative exons included in truncated
104 *AR* isoforms lacking the ligand-binding domain, including AR-V7⁷. We quantified the proportion
105 of total *AR* coverage that lies in intron 3 or in a larger interval that includes intron 3 and upstream
106 exons, which encode the DNA-binding domain (Fig. 2b). Again, we detected significant
107 variation between cancer cells within the same biopsy. Moreover, we detected a clear increase in
108 both measures after enzalutamide treatment for patient 01115655, suggesting decreased
109 transcription of full-length *AR* compared to truncating variants after treatment (Fig. 2c,d).
110 Overall, *AR* splicing patterns in mCRPC cells were highly heterogeneous between and within
111 tumours regardless of treatment resistance state.

112 *Enzalutamide resistance programs*

113 Resistance to second generation androgen-targeting therapies poses a major clinical
114 challenge, and previous work based on bulk whole exome and transcriptome sequencing have
115 identified alterations in *RBI*, *TP53*, and *AR* as associated with poor outcomes⁹. Taking advantage
116 of the single-cell resolution of our data, we examined cancer cells in our cohort to identify
117 changes in expression in cells naïve and exposed to enzalutamide, which functions as a
118 competitive inhibitor of AR that prevents nuclear localization and downstream transcriptional
119 regulatory activity within cancer cells¹⁰. We scored cancer cells for expression of the MSigDB

120 hallmark gene sets and select literature-derived gene sets, including several reported as
121 mediating resistance mechanisms, such as genes regulated by the glucocorticoid receptor or AR-
122 V7 and genes associated with a neuroendocrine phenotype^{11–22} (Methods). Compared to
123 enzalutamide-naïve cells, exposed cells upregulated several MSigDB hallmark gene sets,
124 including for EMT and TGF- β signalling (Fig. 3a,b; Supplementary Table 1). We sought to
125 corroborate these findings in a published cohort of bulk-sequenced mCRPC transcriptomes and
126 found a similar effect for TGF- β signalling upregulation in enzalutamide-exposed lymph node
127 biopsies, although the number of exposed biopsies was small, and the effect was not statistically
128 significant (Fig. 3c)⁹. We could not analyse bone biopsies due to scarcity of post-enzalutamide
129 samples, and EMT scores were confounded with tumour purity, limiting our ability to draw
130 conclusions from bulk sequencing for this specific finding (Supplementary Fig. 3).

131 *Small cell carcinoma regulatory programs*

132 One patient sample within our cohort derived from a small cell carcinoma, a rare
133 aggressive form of prostate cancer that is not responsive to androgen-targeting therapies²³. As
134 expected, cancer cells from this biopsy differed drastically in their expression programs, with no
135 detectable *AR* expression, strong downregulation of an *AR* regulated gene set, and marked
136 upregulation of a gene set associated with neuroendocrine prostate cancer (Fig. 4a,b; Extended
137 Data Fig. 1)^{12,14}.

138 To mitigate overestimating the importance of idiosyncratic gene expression patterns from
139 a single biopsy, we inferred transcriptional regulatory factor regulons using all cancer cells from
140 our cohort and compared the inferred regulon activities between small cell carcinoma and
141 adenocarcinoma cells²⁴. Additionally, we scored small cell carcinoma and adenocarcinoma bulk
142 transcriptomes from a published cohort for expression of the gene lists inferred to comprise each

143 regulatory factor's regulon^{9,22} (Methods). Comparing our data and the published cohort, we
144 observed concordant patterns of differential regulon activity between adenocarcinoma and small
145 cell carcinoma (Fig. 4c). Among the transcriptional regulators with decreased activity in small
146 cell carcinoma are *HOXB13*, which mediates *AR* regulatory activity and response to androgens,
147 and *BHLHE40*, previously reported to be regulated by AR²⁵⁻²⁷. Several ETS family transcription
148 factors showed reduced activity in small cell carcinoma, including *ETV1*, which increases
149 prostate adenocarcinoma invasiveness, *EHF*, whose loss confers stem-like features, and *SPDEF*,
150 an AR-regulated transcription factor whose downregulation promotes EMT²⁸⁻³⁰. On the other
151 hand, considering transcriptional regulators with increased regulon expression in small cell
152 carcinoma, we noted the stemness-promoting factors *NANOG* and *SOX2* and the epigenetic
153 regulator *EZH2*, all of which have been reported to promote lineage plasticity and resistance to
154 androgen-targeting therapies^{23,31-33}. Among the transcriptional regulators with the most increased
155 activity in small cell carcinoma cells are *E2F1*, which promotes cell cycle progression upon
156 release from RB1 inhibition and is overexpressed in treatment-emergent small cell
157 neuroendocrine prostate cancer and *LHX2*, previously reported in an expression signature of N-
158 myc driven neuroendocrine prostate cancer³⁴⁻³⁶. We also observed increased activity of three
159 transcriptional regulators whose role in small cell carcinoma has not been previously reported:
160 *HOXB5* and *HOXB6*, two homeobox containing transcription factors, and *NR1D2*, a circadian
161 rhythm regulator (Fig. 4c,d)³⁷. Thus, even from a single small cell carcinoma case, we recover
162 generalizable patterns of tumour-intrinsic expression differences, implicating both novel
163 regulons and known transcription regulators mediating treatment resistance.

164 *Cytotoxic cell states and dynamics*

165 To provide a therapeutic axis independent of AR signalling and complementing tumour-
166 intrinsic targeting modalities, clinical trials have tested immune checkpoint inhibitors in prostate
167 cancer. While such therapies have yielded major improvements in a variety of solid tumours,
168 responses in advanced prostate cancer have been muted^{1,2}. To improve our understanding of the
169 biology underlying this gap, we characterized infiltrating cytotoxic cells in the mCRPC
170 microenvironment. We sub-clustered T and NK cells identified from initial clustering into 6
171 clusters, including 2 CD4⁺ T cell populations, 3 largely CD8⁺ T cell populations, and a
172 population of strongly CD16⁺ and largely CD3⁻ cells dominated by NK cells (Fig. 5a; Extended
173 Data Fig. 2a). One population of CD8⁺ T cells chiefly derived from bone biopsies was marked by
174 expression of *CXCR4*, consistent with reports in mice that *CXCR4* is necessary for localization of
175 CD8⁺ T cells to the bone marrow and their subsequent survival³⁸ (Fig. 5b; Extended Data Fig. 2a,
176 3a). This cluster had minimal expression of the effector molecule *GZMB*, while all three other
177 cytotoxic clusters exhibited *GZMB* expression, albeit to varying degrees (Fig. 5b; Extended Data
178 Fig. 2b). Another CD8⁺ T cell population, largely derived from lymph node biopsies, was marked
179 by expression of co-inhibitory receptors *PDCDI*, which encodes PD-1, and *HAVCR2*, which
180 encodes TIM-3, along with elevated expression of *TOX*, *TIGIT*, *ICOS*, *FASLG*, and *LAG3* and
181 minimal *TCF7* expression, suggestive of a dysfunctional effector phenotype (Fig. 5b; Extended
182 Data Fig. 2b,e,f). This population exhibited elevated expression of both *ENTPDI* (encoding
183 CD39, a marker of terminally exhausted CD8⁺ T cells) and *ITGAE* (encoding CD103), whose co-
184 expression identifies infiltrating cytotoxic cells reactive to cancer cells in other human
185 cancers^{39,40} (Extended Data Fig. 2c). Both the NK cell-dominant cluster and the remaining
186 cytotoxic T cell cluster, which included CD8⁺ T cells and likely $\gamma\delta$ T cells, were marked by
187 expression of *GNLY* and substantial fractions of cells expressing *CX3CRI* (Fig. 5b; Extended

188 Data Fig. 2d). Cells expressing *CX3CR1* also highly expressed *GZMB* and *PRFI*, consistent with
189 previous reports that *CX3CR1* marks a CD8⁺ T cell population with superior cytolytic function
190 corresponding to a more differentiated effector phenotype that has been observed in models of
191 chronic infection and other cancers^{41–43}. We did not observe a distinct cluster of *TCF7* and
192 *SLAMF6* dual-expressing progenitor cells previously reported to mediate response to anti-PD-1
193 therapy in melanoma (Extended Data Fig. 2e,f)⁴⁴. Broadly, these findings demonstrate that
194 prostate cancer metastases are infiltrated by cytotoxic cells with distinct phenotypes, including
195 dysfunctional and effector states relevant to therapy, that may vary based on metastatic site.

196 Next, we reconstructed T cell receptor (TCR) complementarity-determining region 3
197 (CDR3) sequences in our scRNA-seq and corresponding bulk RNA-seq data to better understand
198 the clonal dynamics of infiltrating T cells that expand in response to antigen stimulation. Groups
199 of T cells forming part of an expanded clonotype group, indicated by a shared productive CDR3
200 sequence, were detected in 6 patients. Clonotype groups detected in lymph node metastases were
201 largely comprised of cells from the CD8⁺ T cell cluster with elevated co-inhibitory receptor
202 expression, while clonotype groups detected in bone metastases were largely comprised of cells
203 from the *CXCR4*-expressing CD8⁺ T cell cluster with low *GZMB* expression (Fig. 5d). In one
204 bone biopsy (09171144), a large clonotype group was detected that included both cells from the
205 *CXCR4*-expressing cluster and cells with high *CX3CR1* expression, indicating that cells derived
206 from the same progenitor could take on both phenotypes.

207 From patient 01115655, we collected cells from biopsies taken both before and after
208 treatment with enzalutamide and noted marked changes in the infiltrating T cell populations (Fig.
209 5c,e). Before treatment, cytotoxic cells formed a minority of infiltrating T cells, which were
210 dominated by a *SELL*-expressing CD4⁺ T cell population and cells from a CD4⁺ T regulatory

211 cell-enriched cluster with elevated *FOXP3* and *CTLA4* expression (Fig. 5c; Extended Data Fig.
212 2a). Following treatment, the majority of infiltrating T cells were dysfunctional *PDCDI*-
213 expressing CD8⁺ T cells (Fig. 5c,e). Of note, for the clonotype group with the most cells
214 recovered from this patient, we detected both the corresponding TCR α and TCR β CDR3
215 sequences in bulk RNA-seq of biopsies from both timepoints. As inferred from the bulk
216 sequencing data, the clonal fraction increased sharply from ~5% before treatment to ~25% after
217 treatment, making it the largest detected clone (Fig. 5f). All cells of this clonotype group detected
218 in scRNA-seq were part of the *PDCDI*-expressing dysfunctional cluster. Collectively, these
219 observations suggest that CD8⁺ T cells can mount an aggressive response against cancer cells
220 during enzalutamide treatment but also that they take on a dysfunctional phenotype that may
221 limit sustained efficacy.

222 *Discussion*

223 To overcome limitations in bulk genomic characterization in uncovering cell-type
224 specific contributions to therapeutic resistance in mCRPC, we describe the transcriptomes of
225 individual cells collected from 15 biopsies covering diverse treatment histories, metastatic sites,
226 and histological types. We find that only cancer cell expression significantly explains the
227 sizeable inherited component of prostate cancer risk. Within small cell carcinoma, in addition to
228 recapitulating expression programs promoting lineage plasticity, we identify novel regulators
229 such as *HOXB5*, *HOBX6*, and *NR1D2*, which show dramatically increased activity both in our
230 study and in an external cohort^{9,32,33}. For adenocarcinomas, where resistance to second-
231 generation androgen targeting therapies poses a major clinical challenge, significant attention is
232 devoted to *AR* splice variants encoding constitutively active truncated proteins that promote
233 resistance^{7,8}. We find that *AR* splicing varies widely across cells within a single biopsy, with

234 multiple isoforms detectable in individual cells, including those naïve to second-generation
235 androgen targeting therapies. These findings suggest that focused mechanistic understanding of
236 individual isoforms may be insufficient and that additional studies on the overlapping regulatory
237 activity of co-expressed *AR* splice variants are necessary to fully understand their role in
238 therapeutic resistance. More broadly, we identify upregulation of expression programs associated
239 with TGF- β signalling and EMT following exposure to enzalutamide. This is consistent with
240 evidence from pre-clinical models that inhibition of TGF- β signalling promotes reversion of
241 EMT and may sensitize cancer cells to enzalutamide^{45,46}. Recent work focused on human
242 mCRPC bone metastases identify tumour associated macrophages as a source of *TGFBI*
243 expression, providing a target cell population for further study and possible therapeutic targeting
244 (Baryawno, N. *et al.* manuscript submitted). Further studies of mCRPC shortly after initiation of
245 enzalutamide may elucidate earlier cellular responses that ultimately precipitate EMT.

246 Within infiltrating CD8⁺ T cells, a subset expressed dysfunction markers such as *PDCDI*,
247 and this population included cells that underwent a dramatic clonal expansion within a patient
248 after enzalutamide treatment, suggestive of tumour reactivity. The presence of this cell
249 population may explain why some patients with advanced prostate cancer respond to immune
250 checkpoint inhibition in combination with androgen-targeting therapies⁴⁷. *ENTPDI* (CD39)
251 expression in this population suggests that targeting immunosuppressive adenosine signalling
252 may provide benefit in addition to targeting the PD-1 axis⁴⁸. This population was uncommon in
253 bone biopsies, which instead contained clonally expanded CD8⁺ T cells with high effector
254 molecule, low exhaustion marker, and *CX3CRI* expression. This cell state has previously been
255 linked in model systems and other cancers to high cytolytic activity but poor proliferative
256 potential and a requirement for CD4 help^{41–43}. Similar cells have been reported as being

257 unresponsive to PD-L1 blockade, potentially explaining the poor performance of immune
258 checkpoint inhibition in mCRPC bone metastases^{1,49}. These results highlight the need for
259 additional immunological dissection of mCRPC, where immune checkpoint inhibition has only
260 been indicated for patients with tumour microsatellite instability^{1,2}. Importantly, additional
261 investigation should focus on systematic comparisons of bone and lymph node metastases to
262 confirm whether the observed differences in cytotoxic cell infiltration are generalizable.
263 Intriguingly, TGF- β blockade was recently shown to promote response to immune checkpoint
264 inhibition in prostate bone metastases in mice, potentially enabling rational therapeutic
265 combinations to simultaneously act along both androgen and immune axes⁵⁰. Taken together, we
266 report multiple tumour and immune mechanisms across diverse mCRPC metastatic niches that
267 contribute to treatment resistance and provide therapeutic opportunities for this lethal disease.

268 **References**

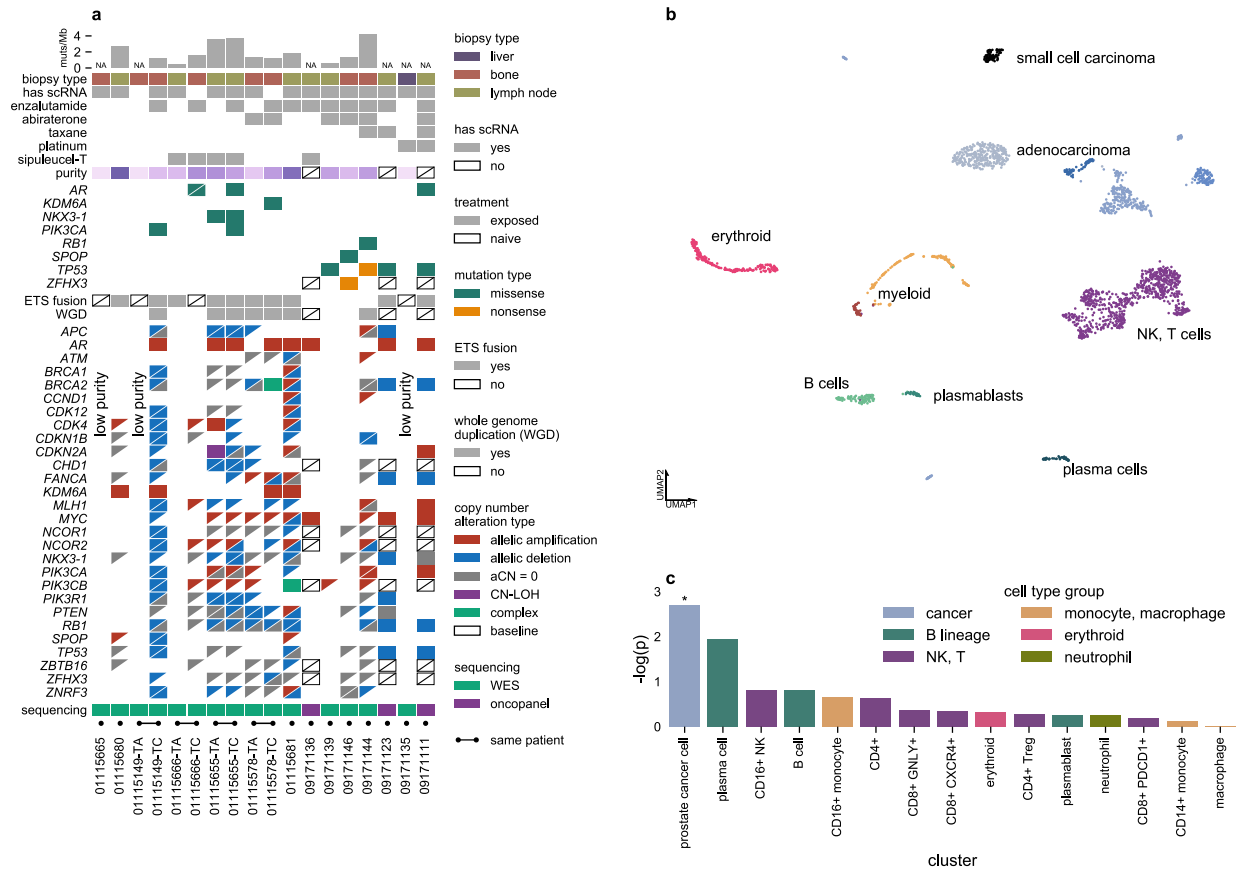
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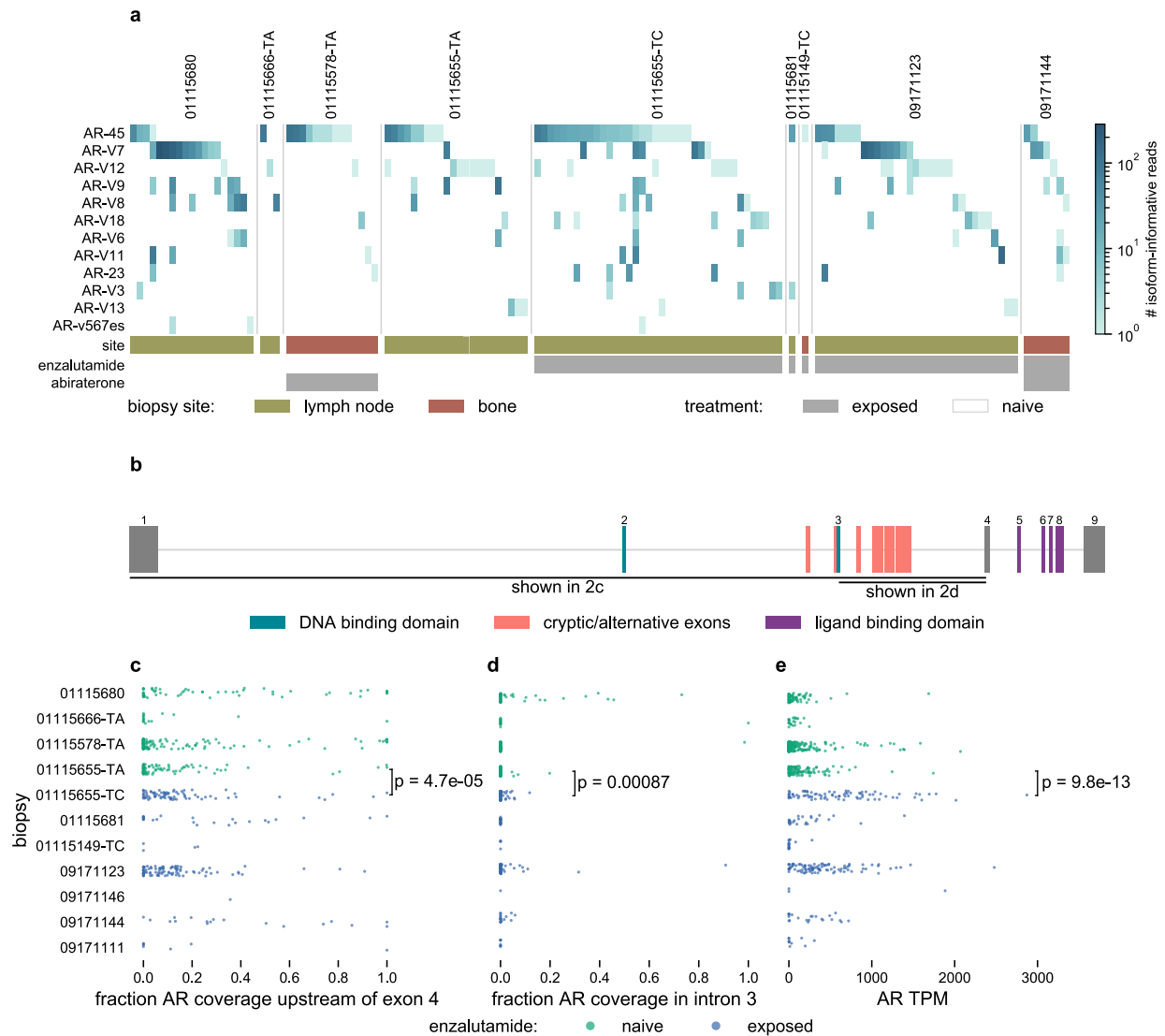
377

378 **Figure 1.** Cellular atlas of mCRPC, identifying heritability for prostate cancer enriched near
 379 genes specifically expressed in prostate cancer cells.

380 **a)** Summary of clinical and select genomics features of patients and biopsies forming the study
 381 cohort. Each column represents a single biopsy. Where available, multiple biopsies from the
 382 same patient are displayed in adjacent columns. Patients are identified by numerical prefix, while
 383 suffixes after a dash, when present, identify biopsies from the same patient. Boxes with diagonal
 384 slashes indicate missing data, e.g. for genes not included in OncoPanel.

385 **b)** Projection of single-cell expression onto the first two dimensions of UMAP space. Each dot
 386 represents a single cell, and colours correspond to clusters identified by the Louvain algorithm.
 387 Clusters are manually labelled with dominant cell type(s) inferred from cluster-specific
 388 expression of marker genes.

- 389 c) Enrichment of heritability for prostate cancer near genes specifically expressed in each cell
390 type (compared to cell types in other cell type groups). *: Benjamini-Hochberg FDR < 0.05



391

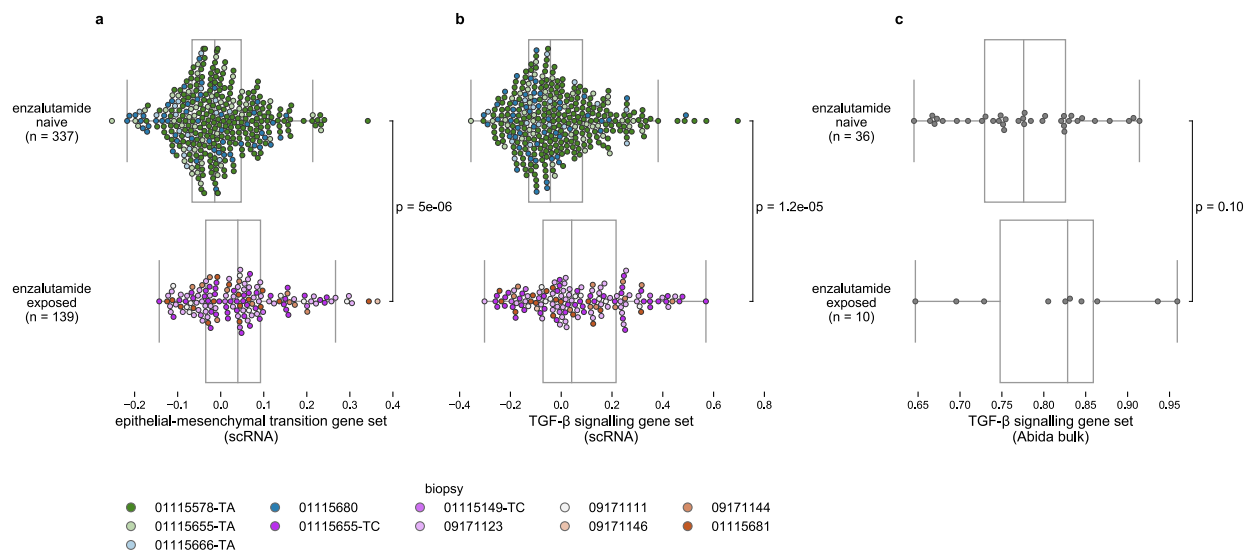
392 **Figure 2.** *AR* splicing varies widely across cells within the same tumour and across treatment

393 resistance states.

394 **a)** Heatmap displaying number of isoform-informative reads mapping to *AR* variants from single
 395 cells. Each column represents *AR* variants detected in a single cell, with only cells that had at
 396 least one isoform-informative read shown.

397 **b)** Schematic representation of *AR* locus. Rectangles indicate exons. Exons corresponding to the
 398 full-length *AR* transcript are numbered, with exons comprising different functional domains
 399 coloured. Select alternative exons included in *AR* splice variants are indicated.

400 **c)** Fraction of total *AR* coverage upstream of exon 4 (including the DNA-binding domain but
401 excluding the ligand-binding domain) in single cells.
402 **d)** Fraction of total *AR* coverage in intron 3 (including multiple cryptic/alternative exons
403 included in truncated splice variants) in single cells.
404 **e)** Total *AR* expression in single cells.
405 **c, d, e)** *P* value compares cells before ($n = 112$) and after ($n = 83$) enzalutamide treatment for
406 patient 01115655 (two-sided Mann-Whitney *U* test).



407

408 **Figure 3.** Enzalutamide-exposed adenocarcinoma cells upregulate expression programs

409 associated with epithelial-mesenchymal transition and TGF-β signalling.

410 **a, b)** Hallmark epithelial-mesenchymal transition and TGF-β signalling gene set expression

411 scores for individual cells collected before and after enzalutamide treatment. Each dot represents

412 a single cell and is coloured corresponding to biopsy. *P* values from two-sided Mann-Whitney *U*

413 test.

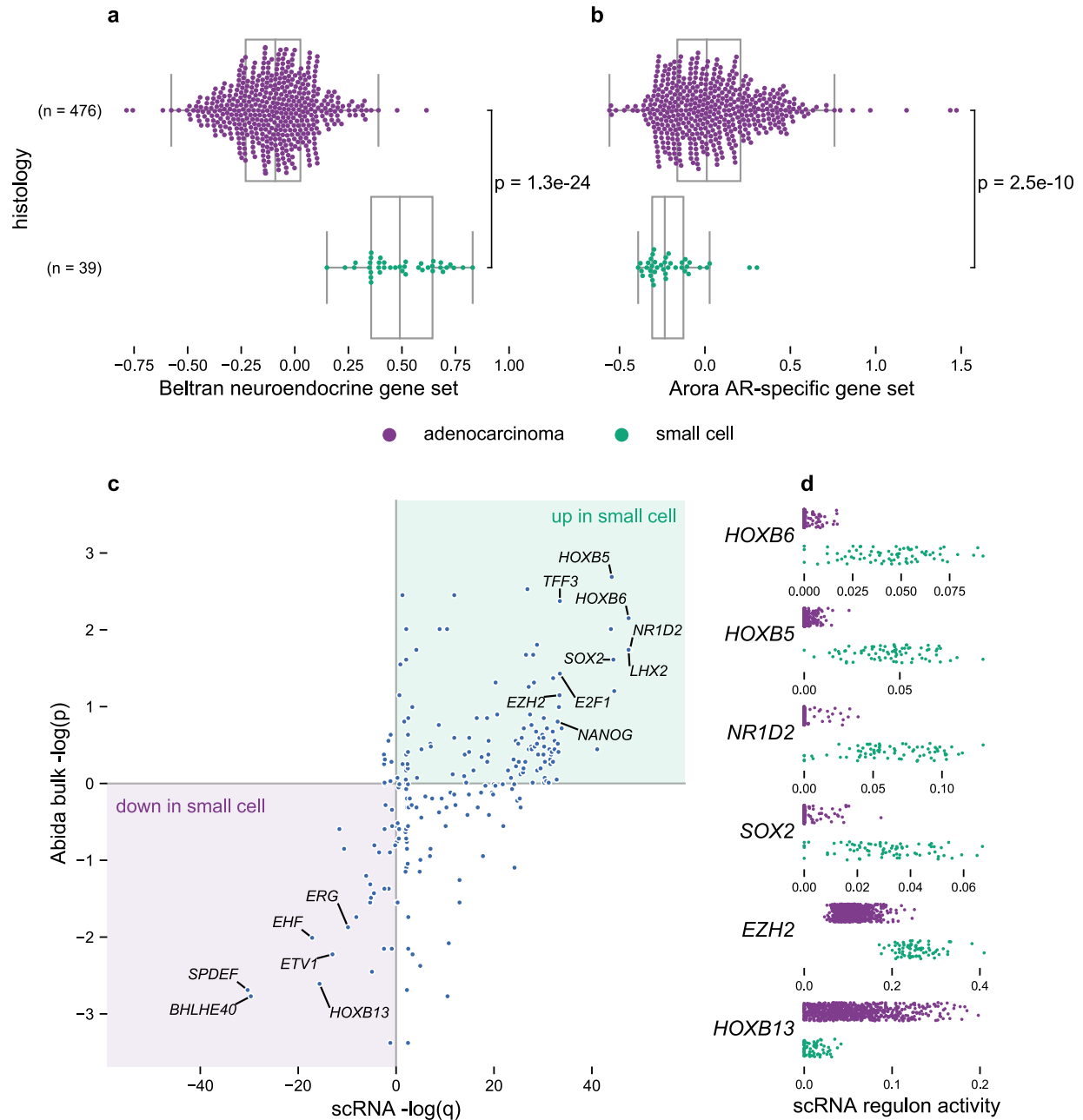
414 **c)** Hallmark TGF-β signalling gene set expression scores for bulk RNA-seq of prostate

415 adenocarcinoma lymph node biopsies⁹ collected before and after enzalutamide treatment. Each

416 dot represents a single tumour. *P* value from one-sided Mann-Whitney *U* test.

417 Boxplots: centre line: median; box limits: upper and lower quartiles; whiskers extend at most

418 1.5x interquartile range past upper and lower quartiles.



419

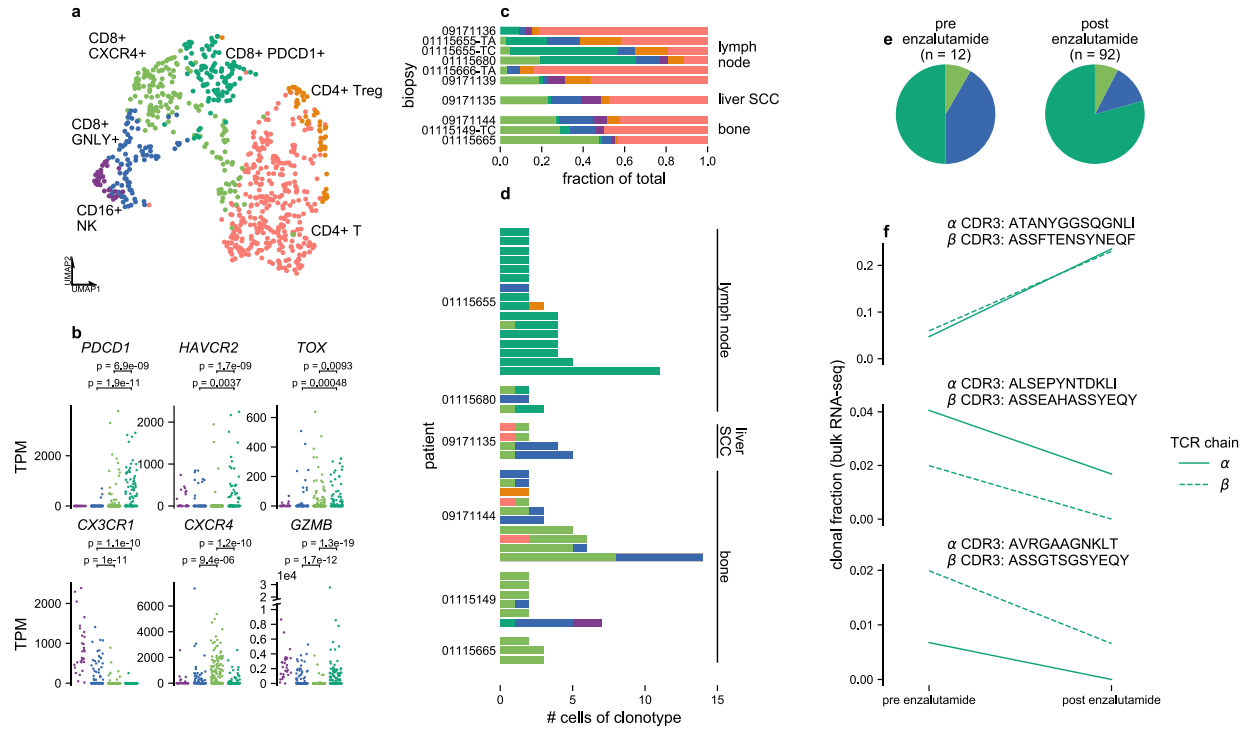
420 **Figure 4.** Cancer cells from small cell carcinoma are dominated by distinct regulons compared to
 421 adenocarcinoma cells.

422 **a, b)** Gene set expression scores in single cells using an expression signature of neuroendocrine
 423 prostate cancer¹⁴ and of a set of genes under regulation by *AR*¹². Boxplots: centre line: median;

424 box limits: upper and lower quartiles; whiskers extend at most 1.5x interquartile range past upper
425 and lower quartiles.

426 **c)** Inferred activity of regulons of different transcriptional regulators. x-axis: q -values from
427 comparison of inferred regulon activity in cancer cells from small cell carcinoma ($n = 76$) vs
428 cancer cells from adenocarcinomas ($n = 188$, sampled as described in Methods) (negative values
429 indicate regulon is less active in small cell carcinoma; two-sided Mann-Whitney U test, median
430 outcome of sampling iterations (Methods) with Bonferroni FWER correction). y-axis: P values
431 (two-sided Mann-Whitney U test, signed as previous) from comparison of expression scores of
432 scRNA-inferred regulons in bulk RNA-seq of small cell carcinomas ($n = 8$) vs adenocarcinomas
433 ($n = 18$) from a published cohort⁹.

434 **d)** Regulon activity in single cells for select transcriptional regulators.



435

436 **Figure 5.** Clonally expanded cytotoxic lymphocytes have different effector phenotypes in
 437 distinct metastatic niches.

438 **a)** Sub-clustering of NK and T cells. Each dot represents a single cell projected onto UMAP
 439 space coloured corresponding to clustering via the Louvain algorithm. Clusters are manually
 440 labelled with dominant phenotype/cell type from patterns of marker gene expression. Cluster
 441 colours are used throughout subpanels.

442 **b)** Expression of select marker, effector, and co-inhibitory receptor genes within cytotoxic
 443 clusters, CD16⁺ NK (n = 30), CD8⁺ GNLY⁺ (n = 84), CD8⁺ CXCR4⁺ (n = 157), and CD8⁺
 444 PDCD1⁺ (n = 106). P values from two-sided Mann Whitney U test.

445 **c)** Proportions of cellular phenotypes from each biopsy, grouped by metastatic site, for all
 446 biopsies from which high-quality T and NK cells were recovered.

447 **d)** T cell clonotypes from TCR reconstruction. Each bar represents cells sharing a reconstructed
448 productive TCR CDR3 sequence and are grouped by patient. Colours indicate phenotype/cell
449 type.

450 **e)** Proportions of cytotoxic cell phenotypes in patient 01115655 before and after enzalutamide
451 treatment.

452 **f)** Changes in clonal fractions of cytotoxic T cell clonotypes in patient 01115655 following
453 enzalutamide treatment. Each subplot corresponds to a single clonotype with TCR α and β CDR3
454 amino acid sequences inferred from single-cell RNA-seq. Clonal fractions for the same CDR3
455 sequences (matching at both nucleotide and amino acid level) inferred from TCR reconstruction
456 in bulk RNA-seq are plotted. All detected single cells of the displayed clonotypes come from the
457 *PDCDI*-expressing CD8⁺ T cell cluster.

458 METHODS (2,578 words)

459 *Reference versions*

460 We used human genome reference b37 and the GENCODE⁵¹ release 30 gene annotation
461 lifted over to GRCh37.

462 *Statistical software*

463 Statistical tests were conducted with SciPy⁵² v1.3.2 running on Python 3.7. R packages
464 were run on R v3.5.1.

465 *Whole exome analyses*

466 For biopsies with paired tumour and normal samples available, we performed whole
467 exome sequencing with a customized version of a previously described protocol⁵³. After DNA
468 shearing, hybridization and exome capture were performed using Illumina's Rapid Capture
469 Exome Kit (with the exception of the normal sample for 01115149 and the tumour sample for
470 biopsy 01115149-TA, which used the Agilent SureSelect Human All Exon 44Mb v2.0 bait set⁵⁴).
471 Libraries were sequenced with 76 bp paired-end reads on an Illumina instrument.

472 Reads were aligned using BWA⁵⁵ v0.5.9 and somatic mutations called using a customized
473 version of the Getz Lab CGA WES Characterization pipeline
474 (https://portal.firecloud.org/#methods/getzlab/CGA_WES_Characterization_Pipeline_v0.1_Dec2018/)
475 developed at the Broad Institute. Briefly, we used ContEst⁵⁶ to estimate contamination,
476 MuTect⁵⁷ and Strelka⁵⁸ to call SNVs and indels, DeTiN⁵⁹ to estimate tumour-in-normal
477 contamination, and Orientation Bias Filter⁶⁰ and MAFPoNFilter⁶¹ to filter sequencing artefacts.
478 Variants were annotated using VEP⁶², Oncotator⁶³, and vcf2maf v1.6.17
479 (<https://github.com/mskcc/vcf2maf>). Copy number alterations, purity, ploidy, and whole genome

480 doubling status were called using FACETS⁶⁴ v0.5.14. Copy number alterations were evaluated
481 with respect to whole genome doubling status.

482 *OncoPanel*

483 For biopsies where whole exome sequencing failed, somatic mutation calls, copy number
484 alterations, and ETS fusion status were taken from OncoPanel, a clinical panel sequencing test
485 available at DFCI⁶⁵.

486 *Sample collection and dissociation for single-cell RNA-seq*

487 Tumour samples were collected and transported in Dulbecco's Modified Eagle Medium,
488 high glucose, pyruvate ("DMEM", ThermoFisher Scientific, #11995073) on ice. Single-cell
489 suspensions for single-cell RNA-seq were obtained from tumour core needle biopsies through
490 mechanical and enzymatic dissociation. Samples were first cut into pieces smaller than 1 mm³
491 using a scalpel. For bone biopsies, soft tissue was also scraped from the hard bone surface using
492 a scalpel blade. Samples were then dissociated using one of two protocols, chiefly to optimize
493 for yield of viable cells from different metastatic sites. Cells obtained from the two protocols
494 were comparable, and findings were consistent in sub-analyses of cells processed with the same
495 protocol (Supplementary Fig. 4).

496 For biopsies, 01115655-TC, 01115666-TA, 01115680, 01115681, 09171111, 09171135,
497 09171136, and 09171139, the resulting tissue fragments were incubated in 3 mL Accumax
498 (Innovative Cell Technologies, #AM105) for 10 min at room temperature on a rocking shaker
499 ("ACC" protocol). Cell suspensions were then filtered with a 100 µm cell strainer (ThermoFisher
500 Scientific #08-771-19) and spun at 580 g for 5 min at 4°C. In cases where cell pellets appeared
501 bloody, red blood cells were lysed with ACK Lysing Buffer (ThermoFisher Scientific,
502 #A1049201) on ice for 1 min, followed by quenching with PBS and an additional centrifugation.

503 The final cell pellet was resuspended in PBS (Fisher Scientific, #MT21040CV) with 2% FBS
504 (Gemini Bio-Products, #100-106).

505 For biopsies 01115655-TA, 01115665, 01115149-TC, 01115578-TA, 09171123,
506 09171144, and 09171146, tissue fragments were incubated in 2-3 mL Medium 199, Earle's Salts
507 ("M199", ThermoFisher Scientific, #11150059) with 1 mg/mL Collagenase 4 (Fisher Scientific,
508 #NC9836075), and 10-20 µg/mL DNase I (StemCell Technologies, #7900) for 5-10 min in a
509 37°C water bath with intermittent mixing, followed by additional mixing and pipetting ("CD"
510 protocol). Cell suspensions were then filtered with a 100 µm cell strainer, spun at 580 g for 5 min
511 at 4°C, and the resulting pellet resuspended in PBS with 2% FBS. The blood clot from biopsy
512 09171144 was processed in a similar manner, with the exception that red blood cells were lysed
513 with ACK Lysing Buffer on ice at 5-minute increments for a total of 15 min. For the bone
514 marrow aspirate from biopsy 09171144, mechanical and enzymatic dissociation were not
515 performed, and red blood cells were lysed with ACK Lysing Buffer on ice at 5-minute
516 increments for a total of 10 min.

517 *Single-cell sorting*

518 Single cell suspensions in PBS with 2% FBS were stained by incubating for 15 minutes
519 at room temperature protected from light with anti-human PTPRC (CD45) monoclonal antibody
520 conjugated to FITC (1:200 dilution, VWR #ABNOMAB12230), anti-human EPCAM antibody
521 conjugated to PE (1:50 dilution, Miltenyi Biotec #130-091-253), and either Calcein-AM (1:200
522 dilution, ThermoFisher Scientific #C3100MP; biopsies 01115655-TA and 01115665), 7-
523 Aminoactinomycin D (7-AAD) (1:200 dilution, ThermoFisher Scientific #A1310; all other
524 biopsies except sample 01115149-TC), or both (sample 01115149-TC). We first sorted cells with
525 biological dimensions (high FSC-A and high SSC-A), selected single cells, and excluded

526 doublets or triplets (low FSC-W). Next, we sorted live cells (low 7AAD/ high Calcein-AM) that
527 were CD45⁺ (high FITC, enriching for immune cells), EPCAM⁺ (high PE, enriching for cancer
528 cells), or double negative (low FITC/low PE, only in biopsy 09171144) (see Supplementary Fig.
529 5 for example gating). Cell sorting was performed using a BD Biosciences FACSria cell sorter
530 (Ilu or UV) with FACSDiva software. Individual cells were sorted into the wells of 96-well
531 plates with 10 μ L TCL buffer (Qiagen, #1070498) with 1% beta-mercaptoethanol (Sigma 63689)
532 per well. Plates were then sealed, vortexed for 10 s, spun at 3,700 rpm for 2 min at 4°C, and
533 frozen on dry ice.

534 *Transcriptome sequencing, alignment, and quantification*

535 Library preparation for bulk RNA-seq was performed using the Illumina TruSeq Stranded
536 mRNA Sample Preparation Kit (except for biopsy 01115149-TA, which was prepared using the
537 unstranded Illumina TruSeq RNA Sample Preparation protocol (Revision A, 2010)). Libraries
538 were sequenced with 101 bp paired-end reads (except biopsy 01115149-TA, which was
539 sequenced with 76bp paired-end reads) on an Illumina instrument.

540 For scRNA-seq, RNA was captured from single-cell lysates with 2.2x RNAClean SPRI
541 beads (Beckman Coulter Genomics) without the final elution⁶⁷. After air drying and secondary
542 structure denaturation at 72°C for three minutes, library construction was performed using a
543 slightly customized Smart-seq2 protocol⁶⁶ with 21 cycles of PCR for preamplification. cDNA
544 was purified with 0.8x Ampure SPRI beads (Beckman Coulter Genomics) and eluted in 21 μ L
545 TE buffer. During tagmentation and PCR amplification, we used 0.2ng of cDNA per cell and
546 one-eighth of the Illumina NexteraXT (Illumina FC-131-1096) reaction volume. Individual cells
547 were sequenced to a mean depth of ~1.5 million 38 bp paired-end reads on an Illumina NextSeq
548 500 instrument with 75 cycle high output kits (Illumina TG-160-2005).

549 After adapter trimming with cutadapt⁶⁸ v2.2, reads were aligned using STAR aligner⁶⁹
550 v2.7.2b with parameters: --outFilterMultimapNmax 20 --outFilterMismatchNmax 999 --
551 outFilterMismatchNoverReadLmax 0.04 --alignIntronMin 20 --alignMatesGapMax 1250000 --
552 alignIntronMax 1250000 --chimSegmentMin 12 --chimJunctionOverhangMin 12 --
553 alignSJstitchMismatchNmax 5 -1 5 5 --chimMultimapScoreRange 3 --
554 chimScoreJunctionNonGTAG -4 --chimMultimapNmax 20 --chimNonchimScoreDropMin 10 --
555 peOverlapNbasesMin 12 --peOverlapMmp 0.1 --chimOutJunctionFormat 1. sjdbOverhang was
556 set to 1 less than the untrimmed read length. We used multi-sample 2-pass mapping for all
557 samples from each patient, first mapping all samples (bulk and single-cell transcriptomes),
558 merging the SJ.out.tab files, then running the second pass with the jointly called splice junctions.
559 STAR BAMs were passed into Salmon⁷⁰ v0.14.1 to generate gene-level transcript per million
560 (TPM) quantifications with parameters: --incompatPrior 0.0 --seqBias --gcBias --
561 reduceGCMemory --posBias. STAR chimeric junctions were supplied to STAR-Fusion⁷¹ v1.7.0 in
562 kickstart mode to call ETS family fusions.

563 *Single-cell quality control and clustering*

564 After removing low quality cells (fewer than 500 or more than 10,000 detected genes,
565 fewer than 50,000 reads, or more than 25% expression from mitochondrial genes), we used
566 Seurat⁷² v3.1.0 to perform first-pass clustering using the TPM matrix rescaled to exclude
567 mitochondrial genes. We manually identified and removed a small number of cells with
568 anomalous expression patterns (chiefly co-expression of high levels of haemoglobin with marker
569 genes for non-erythroid cells). Additionally, some cells that did not cluster with erythroid cells
570 (easily identified with dominant haemoglobin expression) nonetheless had low levels of
571 haemoglobin detected, suggestive of contamination from ambient RNA released from lysed
572 erythroid cells. To account for this, we identified genes whose expression was correlated

573 (Pearson correlation > 0.2) with total haemoglobin expression levels in non-erythroid cells with
574 detectable haemoglobin. This consisted of a small set of genes with known function in erythroid
575 cell development and function: *AHSP*, *GATA1*, *CAI*, *EPB42*, *KLF1*, *SLC4A1*, *CA2*, *GYP A*, *TFR2*,
576 *RHAG*, *FAXDC2*, *RHD*, *ALAS2*, *SPTA1*, and *BLVRB*. To mitigate batch effects driven by
577 different degrees of contaminating ambient erythroid transcripts, we removed these genes, along
578 with the genes encoding haemoglobin subunits, from the expression matrix for all non-erythroid
579 cells.

580 We repeated the clustering and conducted all downstream analyses with the filtered
581 expression matrix. After joint clustering of all cells (Fig. 1b), we performed sub-clustering on 3
582 cell subsets: 1) NK and T cells 2) B-lineage cells 3) myeloid cells. We manually labelled clusters
583 by dominant cell identity, as assessed by marker gene expression patterns (Supplementary Table
584 2). Briefly, cancer cell clusters were identified by expression of *AR*, *KLK3*, or *CHGA*; T cell
585 populations by *CD3D* and *CD3G*; Tregs by *CD4*, *FOXP3*, and *CTLA4*; NK cells by absence of
586 *CD3D* and *CD3G* and expression of *FCGR3A*, *FCGR3B*, and *GZMB*; erythroid cells by *HBA* and
587 *HBB*; neutrophils by *ELANE*, *CEACAM8*, *AZU1*, and *DEF A1*; macrophages by *APOE*, *CIQA*,
588 and *CIQB*; monocytes by *ITGAX*, *CD14*, *FCGR3A*, and *FCGR3B*; B cells by *CD19* and *MS4A1*;
589 plasmablasts by *CD19* and absence of *MS4A1*; and plasma cells by *SDCI* and high expression of
590 immunoglobulin genes. Additionally, we confirmed the identity of cancer cell clusters by
591 matching transcriptome-inferred copy number alteration profiles generated from inferCNV
592 v0.99.7 (<https://github.com/broadinstitute/inferCNV>) with those obtained from corresponding
593 bulk whole exome sequencing.

594 *Cluster specifically expressed genes and LDSC-SEG*

595 We grouped cell clusters into ‘superclusters’ of related cell types (Supplementary Table 2)
596 and performed differential expression to identify markers for each cell cluster, omitting cells in
597 the same supercluster. To mitigate uneven representation of cell types, when comparing against
598 any cluster, we subsampled the same number of cells from each other supercluster and used as
599 even representation as possible of the contained clusters. In determining cancer cell markers, we
600 used as even representation as possible of cells from each biopsy while sampling 200 cancer
601 cells total per iteration. For each cluster, we repeated the sampling 500 times. In each sampling,
602 we performed a one-sided Mann Whitney U test for differential expression on all genes with at
603 least 1 TPM expression in at least 10% of the cluster’s cells. We then selected the top 10% most
604 upregulated genes (lowest median P value across samplings) as cluster specifically expressed
605 genes. We used a 100kb interval around genes for heritability partitioning with LDSC-SEG
606 v1.0.1, additionally including an annotation corresponding to all genes and the baseline v1.1
607 model⁶.

608 *AR isoform-informative reads*

609 To identify reads that uniquely map to an *AR* splice variant, we generated a FASTA
610 transcriptome annotation of spliced sequences from isoforms described in literature^{7,73–79}. We
611 extracted all reads initially mapped by STAR to the *AR* genomic interval X:66753830-67011796
612 and then remapped them to our *AR* isoform transcriptome, disallowing clipping, multimapping,
613 or chimeric reads, and requiring end-to-end mapping (STAR parameters: --
614 outFilterMultimapNmax 1 --alignEndsType EndToEnd --alignSoftClipAtReferenceEnds No --
615 outFilterMismatchNmax 999 --outFilterMismatchNoverReadLmax 0.04 --peOverlapNbasesMin
616 10). As our *AR* isoform transcriptome corresponded to transcript sequences *after* splicing, we
617 further excluded reads that mapped with gaps corresponding to additional inferred splice events.
618 We reported all reads that mapped uniquely to an isoform with at most 1 mismatch in Figure 2a.

619 *Gene set scoring, regulon activity*

620 For both bulk samples and single cells, we scored the activity of gene sets with VISION²²
621 v2.0.0. From single cancer cells, we inferred regulons and transcriptional regulatory factor
622 activity with SCENIC²⁴ v1.1.2.2. In Figure 4, for single cells, we used SCENIC AUC directly as
623 a measure of regulon activity. For Figure 4c, to infer regulon activity in bulk samples, we
624 extracted the gene sets corresponding to regulons from SCENIC and scored bulk samples for
625 activity of the genes sets using VISION.

626 When comparing VISION scores in cells from biopsies exposed and naïve to treatment
627 with enzalutamide, we included only cells inferred to be in G1 by Seurat to reduce discovery of
628 signals introduced by different proportions of cycling cells between tumours⁷². We restricted our
629 initial analyses to biopsies with at least 10 G1 cancer cells. As we were interested in
630 generalizable patterns of expression change related to enzalutamide exposure, we attempted to
631 filter out signals driven primarily by expression patterns in any single biopsy by undertaking a
632 subsampling procedure. By considering subsets of the data more balanced for representation
633 from different biopsies, we traded reduced power for more robustness. From either class
634 (enzalutamide naïve vs exposed), we sampled up to 20 cells per biopsy to prevent results from
635 being dominated by tumours with many recovered cells. Additionally, across repeated sampling
636 iterations, we omitted each biopsy in turn, instead sampling cells from other biopsies within its
637 class, keeping the total number of cells the same. We performed 501 iterations of sampling for
638 each biopsy being excluded. For each gene set being scored with VISION, we used the sampling
639 with the median effect size as the summary of all iterations. When measuring effect size, we
640 consistently compared one class vs the other (i.e. always exposed relative to naïve) to ensure

641 consistency in comparisons of direction of effect. We used the corresponding two-sided Mann
642 Whitney U test P value as the nominal P value for the given gene set.

643 We additionally took the following steps to filter results that appeared to be driven by a
644 single biopsy: for any given biopsy, we compared samplings when cells from the biopsy were
645 held out vs when cells from the biopsy were included. If the proportion of nominally significant
646 results ($P < 0.05$, same direction of effect as the overall median outcome for the given signature)
647 when the biopsy was excluded was less than 80% of the proportion of nominally significant
648 results when the biopsy was included, we considered any overall finding of differential gene set
649 expression as non-robust and did not report it. We reported signatures with FDR < 0.05 in
650 Supplementary Table 1⁸⁰. Note that P values shown in Figures 3a, 3b, 4a, and 4b are based on all
651 G1 cells and confirmed the findings from this sampling approach.

652 For comparisons of regulon activity in small cell carcinoma and adenocarcinoma, we
653 took a similar approach, except that in comparing SCENIC AUC scores, we did not restrict to
654 only G1 cells, as the regulons had been inferred with all cancer cells together. As there was one
655 small cell carcinoma biopsy, cells from that biopsy were never selected for omission across
656 samplings.

657 *Bulk RNA-seq analyses of Abida cohort*

658 In Figures 3c and 4c, we compared our findings to bulk RNA-seq data from a published
659 cohort⁹. Clinical annotations and expression quantifications were obtained from the published
660 supplementary materials and from the authors directly. We converted gene expression values
661 from FKPM to TPM for consistency with the rest of our study. As this cohort included samples
662 sequenced at different centres and from different metastatic sites, we further restricted our
663 analyses to avoid batch effects. For Figure 3c, we analysed only samples sequenced via

664 transcriptome capture at the University of Michigan, as this was the largest identifiably
665 uniformly sequenced subset. For Figure 4c, as the largest number of small cell carcinoma
666 samples were sequenced at Cornell, we included only small cell carcinoma and adenocarcinoma
667 cases from Cornell in our analyses.

668 *TCR reconstruction*

669 We performed TCR reconstruction and clonotype inference from single-cell RNA-seq
670 with TraCeR⁸¹ v0.6.0. We performed TCR reconstruction and estimation of clonal fraction from
671 bulk RNA-seq using MiXCR⁸² v3.0.12. TCRs were inferred as detected in both bulk and single-
672 cell RNA-seq if the CDR3 nucleic acid (and therefore amino acid) sequence matched.

673 **Methods References**

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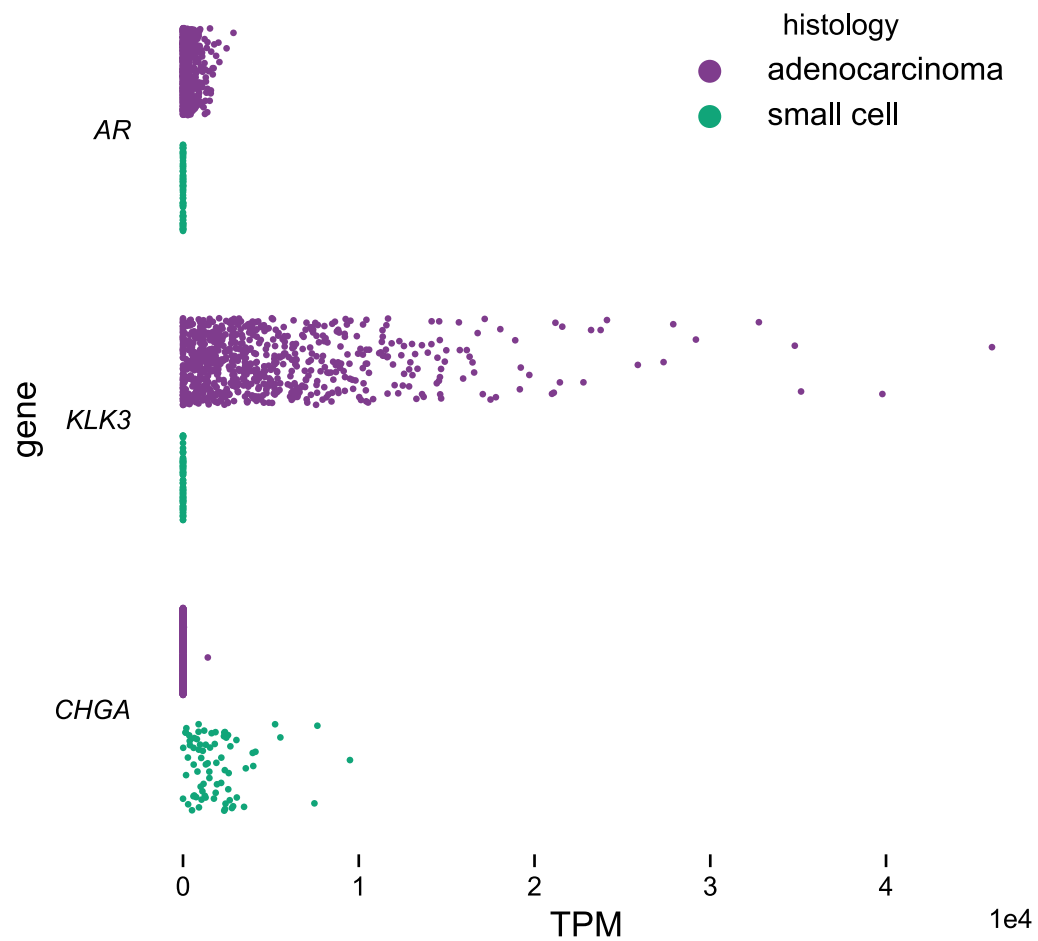
757 AUTHOR CONTRIBUTIONS

758 M.X.H., A. Rotem, A. Regev, M.-E.T., and E.M.V.A. conceived and designed the overall
759 study. M.S.C., A.K., M.-J.S., and C.R. processed the samples and prepared libraries for scRNA-
760 seq. L.D., J.P., A. Rotem, and O.R.-R. supervised and organized the sample collection and
761 preparation. P.S., B.I., and A. Rotem developed the tissue dissociation protocols. Z.Z., L.D.,
762 Z.B., and A.K.T. collected the clinical information. M.X.H. and J.C. analysed the bulk exome
763 sequencing data. M.X.H. performed the heritability enrichment analysis. M.X.H. and A.B.-M.
764 analysed *AR* splicing. M.X.H., D.L., and N.I.K. contributed to the analysis of enzalutamide
765 resistance. M.X.H. and K.B. performed the single-cell regulon analyses. M.X.H., K.B., and
766 K.P.B. analysed the immune cells. M.X.H., L.F., M.-E.T., and E.M.V.A. interpreted the data.

767 M.X.H., S.V., and E.M.V.A. wrote the manuscript. All authors reviewed and approved the final
768 manuscript.

769 COMPETING INTERESTS

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784

785 **Extended Data Figure 1.** Adenocarcinoma and small cell carcinoma cells are clearly

786 distinguished by marker genes.

787 *AR* and *KLK3* (which encodes PSA) expression marks adenocarcinoma cells (n = 760), while

788 *CHGA* marks small cell carcinoma cells (n = 76).



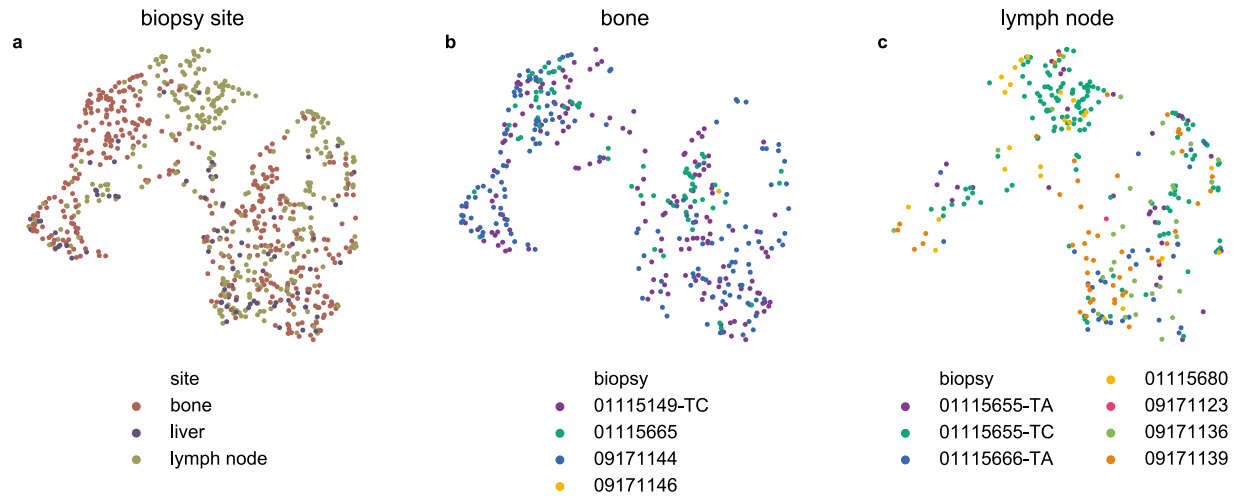
789

790 **Extended Data Figure 2.** Marker gene expression in NK and T cells.

791 Darker colours indicate higher expression of **a)** cell type markers, **b)** dysfunction and activation

792 markers, **c)** markers of tumour-reactive cytotoxic cells, **d)** genes expressed in a *GNLY*-positive

793 cytotoxic subset, and **e)** genes reported to mark a progenitor population necessary for response
794 after anti-PD-1 therapy in melanoma⁴⁴. Cells are projected onto UMAP space as in Fig. 5a.
795 **f)** Scatterplots showing pairwise co-expression of *HAVCR2*, *SLAMF6*, and *TCF7* in CD8⁺ T
796 cells. Expression values are in TPM. Points are coloured according to cluster membership as in
797 Fig. 5a.



798

799 **Extended Data Figure 3.** Different cytotoxic subsets are represented in different proportions

800 across metastatic sites.

801 NK and T cells are projected onto UMAP space as in Fig. 5a.

802 **a)** Cells are labelled by site of biopsy.

803 Cells infiltrating **b)** bone and **c)** lymph node metastases are labelled by originating biopsy.