

1 **Genomic plasticity and rapid host switching promote the evolution of**  
2 **generalism in the zoonotic pathogen *Campylobacter***

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21

## 22 **Abstract**

23

24 Horizontal gene transfer accelerates bacterial adaptation to novel environments, allowing  
25 selection to act on genes that have evolved in multiple genetic backgrounds. This can  
26 lead to ecological specialization. However, little is known about how zoonotic bacteria  
27 maintain the ability to colonize multiple hosts whilst competing with specialists in the  
28 same niche. Here we develop a stochastic evolutionary model and show how genetic  
29 transfer of niche specifying genes and the opportunity for host transition can interact to  
30 promote the emergence of host generalist lineages of the zoonotic bacterium  
31 *Campylobacter*. Using a modelling approach we show that increasing levels of  
32 recombination enhance the efficiency with which selection can fix combinations of  
33 beneficial alleles, speeding adaptation. We then show how these predictions change in a  
34 multi-host system, with low levels of recombination, consistent with real  $r/m$  estimates,  
35 increasing the standing variation in the population, allowing a more effective response to  
36 changes in the selective landscape. Our analysis explains how observed gradients of host  
37 specialism and generalism can evolve in a multihost system through the transfer of  
38 ecologically important loci among coexisting strains.  
39

## 40 **Introduction**

41

42 Adaptation is typically thought to lead to gradual ecological specialization, in which  
43 populations progress towards an optimal phenotype. This can occur among competing  
44 organisms in sympatry, particularly when resources diversify or if the cost of maintaining  
45 homeostasis in different environmental conditions is high (Van Tienderen 1991).  
46 However, resource or host generalism are also widely observed in nature (Fried et al  
47 2010, Kassen 2002, Woolhouse et al 2001) and it is generally accepted that natural  
48 environmental heterogeneity can promote the maintenance of phenotypic variation where  
49 it confers an ecological advantage (Kassen 2002, Van Tienderen 1991).

50

51 Host generalism is exhibited by some bacteria that infect multiple hosts resulting in  
52 important implications for the spread of disease from animals to humans. In some  
53 zoonotic bacteria, such as *Staphylococcus aureus*, livestock-associated lineages are  
54 largely host-restricted (Fitzgerald 2012), allowing the direction and time-scale of host  
55 transfer to be estimated by comparison of genotype information (Lowder et al 2009). In  
56 contrast, in *Escherichia coli* it is difficult to link ecological niche with genotype as  
57 isolates from all major phylogroups are represented in multiple isolate sources (Meric et  
58 al 2013). Other organisms including *Campylobacter jejuni* and *Salmonella enterica*  
59 (Baumler and Fang 2013) represent an intermediate between these. For example,  
60 comparison of *C. jejuni* isolates from various sources, by multilocus sequence typing  
61 (MLST) (Sheppard et al 2011) and whole genome sequencing (Dearlove et al 2015,  
62 Sheppard et al 2014), has shown evidence for host restricted lineages, found  
63 predominantly in one host species, as well as lineages commonly isolated from hosts as  
64 diverse as chickens, cattle and wild birds (Gripp et al 2011).

65

66 Out-competition by host specialists, might be expected to select against generalist  
67 *Campylobacter* lineages. However, generalists remain among the most common lineages  
68 in agricultural animals and are a major cause of human disease (Sheppard et al 2009).  
69 There are several factors that may be involved in the emergence of generalism as a  
70 successful strategy. First, the development, industrialisation and globalisation of livestock

71 farming have created a vast open niche in which *Campylobacter* has expanded from pre-  
72 agriculture wild animal hosts. Second, factors associated with livestock husbandry and  
73 habitations promote close contact between different livestock species providing  
74 opportunities for *Campylobacter* transmission from one host to another. Third,  
75 *Campylobacter* is a highly recombinogenic organism (Wilson et al 2009) and lineages  
76 regularly acquire genetic elements through horizontal gene transfer (HGT). This genomic  
77 plasticity has the potential to introduce DNA segments, or whole genes, into the recipient  
78 genome, potentially conferring novel function.

79

80 The coexistence of *Campylobacter* lineages with generalist and specialist ecology  
81 remains poorly understood and little is known about the factors that promote the  
82 emergence and maintenance of lineages with distinct ecology (ecotypes). Here, using  
83 information on natural genomic variation in 130 *C. jejuni* genomes from chicken and  
84 cattle (Sheppard et al 2013b), as well as a computational model of bacterial evolution, we  
85 find that the maintenance of genetic variance of putative niche-specifying genes in the  
86 population can promote the emergence of generalism as seen in nature. By quantifying  
87 how resource competition, rapid host switching, and horizontal gene transfer interact to  
88 affect the variance in the population, we provide a generalised framework for considering  
89 the emergence of generalist ecotypes.

90

## 91 **Material and methods**

92

### 93 **Bacterial genomes**

94 A total of 130 *C. jejuni* and *C. coli* isolates were used in this study, including 87  
95 representative strains sampled from chicken and cattle (Table S1). The genomes were  
96 previously published and isolates were described as belonging to clonal complexes on the  
97 basis of sharing 4 or more identical alleles at seven multilocus sequence typing loci  
98 (Sheppard et al 2013a, Sheppard et al 2013b). In total for this study, 30 genomes from the  
99 ST-21 clonal complex, 28 from the ST-45 clonal complex, 7 from the ST-353 clonal  
100 complex, 6 from the ST-206 clonal complex, 6 from the ST-61 clonal complex, and 5  
101 from the ST-48 clonal complex were used. ST-353 complex isolates are known to be

102 chicken-associated and ST-61 complex isolates to be cattle-associated, whereas ST-21,  
103 ST-45, ST-206 and ST-48 are host generalists (Sheppard et al 2014). Genomes were  
104 archived on a web-based platform system based on BIGSdb, which also implements  
105 analytic and sequence exporting tools (Jolley and Maiden 2010). An additional 75  
106 genomes representing 74 different STs from *C. jejuni* and *C. coli* were used. These  
107 genomes were sequenced as part of other studies (Food Standards Scotland i-CaMPS-3  
108 Contract S14054, DEFRA project OZ0625), and from the PubMLST Database (Jolley  
109 and Maiden 2010).

110

### 111 **Model input data**

112 Using a gene-by-gene approach (Maiden et al 2013, Sheppard et al 2012), loci in the 130  
113 genomes were identified by BLAST comparison to the *C. jejuni* strain NCTC11168  
114 reference genome (Genbank accession number: AL111168) with a >70% nucleotide  
115 sequence identity on  $\geq 50\%$  of sequence considered sufficient to call a locus match, as in  
116 other studies (Meric et al 2014, Meric et al 2015, Pascoe et al 2015). A whole-genome  
117 MLST (Maiden et al 2013, Sheppard et al 2012) matrix was produced summarizing the  
118 presence/absence and allelic diversity at each locus in each genome, based upon these  
119 BLAST parameters. From this matrix, 1080 core genes were found to be shared by all  
120 cattle and chicken isolates from our dataset. The proportion of each allele at each locus  
121 was calculated in both cattle and chicken and then subtracted to identify alleles that were  
122 common in one group, but rare in the other. These were then summed these values at  
123 each locus to get the discriminative capacity of each locus for each host species. Loci in  
124 which the alleles segregated by host were considered as a proxy for niche-specifying  
125 genes in the model. While this was done in preference to simulating data, no inference is  
126 made based on the function or potential adaptive advantage conferred. A total of 5  
127 putative niche-specifying genes per host (chicken and cattle) and 5 MLST genes picked  
128 at random (15 genes in total) were used as the input genotype for the model (**Table S2**).  
129 The inclusion of MLST loci (*aspA*, *uncA*, *pgm*, *glnA*, *gltA*) provides a reference for  
130 comparison.

131

### 132 **The Genome Evolution by Recombination and Mutation (GERM) model**

133 The GERM model is a simplified representation of a bacterial population and associated  
134 processes, which allows us to simulate bacterial evolution *in silico* by tracking individual  
135 bacteria of variable genotypes as they are exposed to various selective environmental  
136 pressures. Furthermore, by simulating with a stochastic sampling algorithm, we can also  
137 incorporate some degree of the randomness inherent in natural populations, and hence  
138 investigate the importance of stochastic effects by performing simulations with the same  
139 initial population. Similar models have been proposed previously (Levin and Cornejo  
140 2009), and our approach extends and builds on these models in a number of ways. In the  
141 model in this study, each individual bacterial cell is represented as a 15-locus genotype as  
142 described above. In a population of  $N$  cells, each cell  $C$  is described entirely by the  
143 alleles  $a$  at locus  $j$  which compose the genome, denoted  $a_j$ ,  $j = 1, 2, \dots, 15$ . Each allele at  
144 each locus is represented by an integer ranging from 0 to  $\infty$ . Basing our algorithm on real  
145 data, where there are approximately 20 possible alleles at each locus ( $20^{15} \approx 3.27 \times 10^{19}$   
146 different combinations) presents computational challenges if we model the population  
147 using proportions of genotypes as in previous models (Levin and Cornejo 2009). To  
148 account for this we store the entire population at any one time and perform operations at  
149 the individual level. Working with the population directly, instead of adjusting  
150 proportions of STs, allows the investigation of the population dynamics at different  
151 population sizes, which is particularly pertinent after selective sweeps when the number  
152 in the population itself will drop as the population adapts to the new environment. The  
153 model incorporates six basic processes: mutation, recombination, resource consumption,  
154 cell death, cell division and host migration. Each process occurs once per generation and  
155 is stochastic, therefore occurring with a probability defined for each cell. These can be  
156 interpreted as rates per generation.

157

### 158 **Cell division, mutation and recombination**

159 Mutation and recombination occur at the level of the individual locus and cell death and  
160 cell division occur at the individual bacterial cell level. With cell division, an identical  
161 copy of the cell that divides is added to the population, this occurs with probability  $b$ .  
162 Mutation occurs with probability  $m$  and, unlike existing models (Levin and Cornejo  
163 2009) any allele that mutates is deemed to offer no selective advantage (the fitness of that

164 allele is 0). Similarly, a recombination event occurs at probability  $r$  and an allele that  
165 recombines is assigned the value of another allele randomly chosen from those at the  
166 same locus within the current population. In natural systems, these processes are typically  
167 considered rare with upper rate estimates for homologous recombination of  $10^{-6}$  per gene  
168 per generation (Wiedenbeck and Cohan 2011). However, these rate estimates can be  
169 affected by a number of factors (Barrick and Lenski 2013, Vos and Didelot 2009) and so  
170 typically in studies of bacterial evolution, the preferred measure of the magnitude of  
171 recombination is the relative frequency of recombination compared to mutation, the  $r/m$   
172 ratio (Falush et al 2001, Fearnhead et al 2005, Feil 2004, Fraser et al 2005, Milkman and  
173 Bridges 1990). To quantify the effects of varying levels of homologous recombination on  
174 niche adaptation in the GERM model, we used  $r/m$  ratio as the ratio of rates at which  
175 alleles are substituted as a result of recombination and mutation. Partly for reasons of  
176 computational tractability, the GERM model simulates a simplification of the size and  
177 complexity of a natural system, and imposes enhanced selection against maladapted  
178 sequences types. Because of this,  $r$  and  $m$  rate estimates from natural populations are  
179 adjusted so that sequence types that arise in the population have a similar opportunity on  
180 average to proliferate in both the model and the natural environment and are not removed  
181 from the population by chance alone. Consistent with existing estimates from multiple  
182 bacterial species including *Campylobacter* (Vos and Didelot 2009), we run simulations at  
183  $r/m$  ratios ranging from 0 to 100 corresponding to a mutation rate of 0.01, with  
184 recombination rates of 0, 0.001, 0.01, 0.1 and 1 to facilitate comparisons with natural  
185 populations.

186

### 187 **Fitness**

188 In this study, host-specific alleles at niche specifying genes are considered to confer a  
189 fitness advantage to the cell in one or other host. The fitness of allele  $a$ , in a given host  
190  $h$  is defined as  $f^{(h)}(a)$  and this reflects the fitness conferred by that allele to its  
191 environment, with 1 corresponding to a perfectly adapted allele conferring maximal  
192 fitness, ranging to an allele that provides no benefit to the survival of the cell and has 0  
193 fitness. We then follow Levin (Levin and Cornejo 2009) and calculate the fitness of an  
194 individual cell as the sum of the allelic fitness values assuming that each allele

195 contributes equally. The fitness function is therefore:  $F(C) = \frac{1}{n} \sum_{j=1}^{15} f^{(h)}(a_j)$ . As a  
196 consequence, a different fitness landscape, defined by another host, affects the fitness of a  
197 cell through the fitnesses of its constituent alleles. It is by changing the fitness  
198 landscapes that host transition is simulated. Linkage disequilibrium is not factored into  
199 the model, although genes were selected from divergent genomic positions to limit  
200 linkage effects, and the model was not designed to test the specific function of individual  
201 genes. In nature, complex interactions between genes and the environment are likely to  
202 correspond to fitness function involving operations somewhere between additive and  
203 multiplicative (Phillips 2008) but less information on this is available for bacteria than in  
204 the more well studied diploid scenario.

205

## 206 **Resource**

207 The fate of a bacterium in the GERM model is not only dependent on fitness, but also on  
208 the availability of resources. This introduces a dynamic relationship between the fate of  
209 cells, their fitness, and the population size, and confers a soft carrying capacity for a  
210 niche dependence on the interplay between these aspects. Resource is modeled as a  
211 generic entity for which no distinction is made for the type of resource in a given niche,  
212 and the affinity for the consumption of a resource by a bacterium is independent of  
213 genotype. For each cell, the chance of using a resource occurs with probability  $u^+$ ,  
214 multiplied by the amount of available resource. If a cell is already using a resource, it  
215 finishes with probability  $u^-$  in which case the resource is then consumed and is not  
216 returned to the environment. A resource is generated with constant probability  $g$  and is  
217 added to the pool of available resource. As such, for any given death in the population,  
218 there is a probability that it is caused either by a lack of fitness, or the inability to find and  
219 utilize resource. For a given fitness, the chance of death due to fitness or resources  
220 changes as the number of cells rises. For very small populations, the cause of death is  
221 predominantly fitness-related as resources are abundant. As the population increases, and  
222 the chance of finding a resource diminishes, resource-related death quickly starts to  
223 influence the fate of the cell but then plateaus as the population approaches carrying  
224 capacity. This nonlinear relationship is because, when unconstrained, the population will

225 double in size during the course of a generation, where the resources will only increase  
226 by a fixed amount each time, regardless of population size. Conversely, as fitness  
227 increases, the proportion of deaths caused by fitness decreases to the point that resource  
228 related death becomes dominant. This is important as the mechanism of death is different  
229 in each case: in fitness-related death, the probability of death is inversely proportional to  
230 the fitness. However, for resource-related death, the chance of death is independent of  
231 fitness and occurs entirely at random. As such, when resources become scarce, the  
232 fitness of the members of a population becomes largely less important to their fate.  
233 Therefore, resource availability is fundamental to the population dynamics, as well as  
234 suppressing the speed of convergence to the optimal genotype and maintaining genetic  
235 variance.

236

### 237 **Cell death**

238 Cell death occurs in two stages, fitness-related death and resource-related death. Fitness-  
239 related death is dependent on a fitness function, as discussed above and detailed further  
240 the supplementary material, which provides a probability of dying per generation for a  
241 given genotype. Resource-related death can happen only when a cell is not using a  
242 resource and occurs with probability  $d$  regardless of fitness. In both cases, the cell is  
243 removed from the population. The algorithm also incorporates a host transition or a  
244 selective sweep by switching between fitness functions. In this case, the resources can  
245 also be reset (although those already using a resource will continue as before). Further  
246 details of the model and stochastic simulation are included in the supplementary material.

247

### 248 **Simulation**

249 To account for the randomness inherent in the process of evolution, we simulated the  
250 system with a variant of a stochastic sampling algorithm (SSA) (Gillespie 1977),  
251 involving Monte Carlo decision processes, was used for simulating the system. The  
252 number of cells required to represent a natural population is extremely large, therefore  
253 some constraints were imposed to ensure computational tractability. We sampled from  
254 Poisson distributions and binomial distributions when the quantities in question were  
255 unbounded and bounded respectively. For simplicity, we set the probability of a cell

256 division event  $b$  to be equal to 1 so that every iteration can be interpreted as a generation.  
257 For mutation and recombination we imposed a constraint that each of these events can  
258 occur only once every time step. There were ten time steps per generation. The algorithm  
259 iterated through the five processes in order of resource allocation, mutation,  
260 recombination, cell death and cell division. We use three fitness functions, one each  
261 from chicken and cow, which we subsequently refer to as “Host 1” and “Host 2”  
262 respectively, and one derived using data from both hosts referred to as the composite  
263 fitness function. The composite fitness function is used to initialize the simulation and  
264 allow a burn-in period where the genetic composition to arrange themselves in a way that  
265 favors neither host. The algorithms start in using the composite fitness function to allow  
266 the algorithm to burn-in and deplete unfit allele combinations without purging alleles  
267 from one of the hosts. When the population has recovered and reached equilibrium with  
268 resource generation, we switch the fitness function to one of the single hosts. This  
269 occurred after 200 generations. We subsequently alternated the fitness function between  
270 chicken and cattle with the frequency defined by the user. This corresponds to the  
271 movement of the entire population to the new host, a simplification of the more realistic  
272 process in which bacteria would move to one of several distinct and concurrently  
273 evolving ecosystems. This layer of abstraction was partially chosen for computational  
274 tractability, but also to aid in the interpretation of results as the number of stochastic  
275 events would increase exponentially as the model complexity increases which would  
276 potentially dominate any patterns in our results. As such, this constraint is suitable for  
277 the scope of this study, particularly as our model is predicated on *Campylobacter*  
278 populations which are often transmitted *en masse* through stool. To initialize the  
279 algorithm, we generated a population of 50 million cells consisting of alleles found in the  
280 data set, randomly assigned throughout the population in a uniform manner rather than  
281 weighted by their abundance in the data set. The same initial population was used for  
282 every simulation run. This way, the populations and processes can be kept identical, and  
283 so that the only differences between runs with the same parameters were stochastic  
284 effects.

285

286 Two experiments were carried out at a range of recombination to mutation ratios (0-100).

- 287 1. Long term adaptation followed by host switch – after the first composite burn in,  
288 a switch to host 2 was performed. This was run for 1000 generations to simulate  
289 long term colonization and adaptation and was then followed by a switch to host  
290 1.  
291 2. Rapid host switching – after the composite burn in – rapid host switching (every  
292 200 generations) was performed.

293

## 294 **Host generalism and phylogeny**

295 We used 75 genomes from isolates representing 74 *C. jejuni* and *C. coli* STs, from 30239  
296 pubMLST isolate records (<http://pubmlst.org/campylobacter/>), to investigate the degree  
297 of host generalism among different lineages. From these, concatenated gene-by-gene  
298 alignments of 595 core genes (Sheppard et al 2013b), constructed as previously published  
299 (Meric et al 2014, Meric et al 2015), were used to infer phylogenetic relationships. A tree  
300 was constructed using the neighbor-joining algorithm and each ST was labelled with the  
301 number of distinct hosts from which it has been isolated, based on data submitted to the  
302 pubMLST database. The 74 STs were reported to have been isolated from 20 distinct  
303 animal hosts, including humans. The maximum number of hosts associated with a single  
304 ST was 12 (ST-45) and the minimum was 1 (ST-58). A tree and the heatmap representing  
305 the number of hosts, was prepared and visualized in Evolview (Zhang et al 2012). The  
306 mean host species richness score was correlated with the genetic distance (derived from  
307 the number of SNPs) from the tip of the tree to the first branching point for each of the  
308 isolates.

309

## 310 **Results**

311

### 312 **Long term adaptation promotes specialism but recombination enhances colonization** 313 **in a subsequent host transition**

314 The effect of homologous recombination was characterized in 200 independent  
315 population GERM simulations, with a transition from the composite niche to Host 1 after  
316 200 generations, followed by a transition to Host 2 after another 1000 generations.  
317 Simulations were performed at five  $r/m$  ratios: 0; 0.1; 1; 10; 100. In all simulations, the

318 mean number of cells decreased sharply from the initial condition and then recovered to  
319 approach an equilibrium level between birth and death just before the transition to Host 1  
320 (Figure 1). In the composite niche, level of proliferation was proportional to the rate of  
321 recombination with concomitant increase in mean fitness and population genetic variance  
322 (Figures 1C and 1D). This is because higher recombination rates result in greater genetic  
323 variance, and so by Fisher's fundamental theorem of natural selection (Fischer 1930), the  
324 rate of increase in the mean fitness will be greater and the population will thrive.  
325 Following the first host transition (Figure 1A, numeral I), there was a brief increase in the  
326 population due to increased resource availability, but in all cases the mean number of  
327 cells quickly returned to the equilibrium state where it continued until the next host  
328 transition (numeral II), with the mean number of cells ordered largely as before, with the  
329 number of cells increasing with recombination rate (Figure 1C). After the transition to  
330 Host 2, the populations were decimated in all cases, as the alleles which would have  
331 conveyed enhanced fitness in Host 2 have been purged from the population, meaning that  
332 the bacteria are ill-equipped to survive in the new host and so they will die. Almost all of  
333 the populations died out in the low recombining groups ( $r/m=0$  and 0.1), a large  
334 proportion died out in the intermediate group ( $r/m=1$ ), with the greatest number of  
335 surviving populations in the highly recombining groups.

336

### 337 **Intermediate recombination rates enhance population mean fitness after multiple** 338 **rapid host transitions**

339 As in the single host transition model, the mean number of cells in the composite niche  
340 reached equilibrium levels ordered by their recombination rate (Figure 2A) and consistent  
341 with their mean fitness levels (Figure 2B). At the end of growth in the composite niche,  
342 the intermediate and high recombination rates ( $r/m=1$ , 10 and 100) have a similar ability  
343 to survive, with the highest recombination level displaying high variance (**Figure 2C**).  
344 After the composite niche a number of host transitions were simulated where the mean  
345 number of cells shifted between two equilibrium states depending on the host species.  
346 The mean number of cells was always higher in Host 1 because some alleles conferring  
347 increased fitness in Host 2 will inevitably be purged from the populations in the Host 1  
348 niche. Reversing the species order had the same effect for Host 2. At the end of the last

349 Host 2 growth cycle all the recombining populations ( $r/m > 0$ ) had a similar mean number  
350 of cells, but the variance differed, with the smallest variance at  $r/m=1$  and  $r/m=10$ .  
351 Similarly, in the final Host 1 niche, we can see in Figure 2A that the intermediate  
352 recombination rate ( $r/m=1$ ) is associated with the highest mean number of cells. This  
353 shows that in contrast to the single host transition model, recombination at a high level is  
354 not advantageous under repeated host switching.

355

### 356 **Emergence of host generalist strategy as a consequence of frequent host switches**

357 We used a Dirichlet process clustering algorithm (Kurihara et al 2007) on all simulations  
358 to identify characteristic profiles of population dynamics for the different recombination  
359 rates (Figure 3). Three broad population dynamic profiles were observed: (i) populations  
360 that were primarily adapted to Host 1 (Clusters 1-5); (ii) populations that were primarily  
361 adapted to Host 2 (Clusters 7-9); (iii) populations that were adapted to both niches  
362 (Cluster 6). This is consistent with a classification as a specialist for either species, or as a  
363 generalist. The membership of these 3 adaptation profile types relates to recombination  
364 rate (Table 1). Simulations with no recombination were predominantly found in Cluster  
365 2, with a substantial amount found in other clusters. This is to be expected as the outcome  
366 was driven entirely by stochasticity acting on the population and so genes were purged  
367 almost at random in the composite niche, yielding a set of outcomes which were  
368 maintained during the host transitions as more alleles were lost. In contrast, it can be seen  
369 that simulations of all of the recombining populations are predominantly found in Cluster  
370 4, which is a Host 1 specialist cluster, albeit with a relatively high equilibrium population  
371 number during the Host 2 niche compared to the other Host 1 specialist clusters. In  
372 Cluster 4, it can be seen that an  $r/m=1$  gives the greatest occupancy, at 91.8%, explaining  
373 the high numbers of cells seen in Figure 2. The membership of the generalist cluster,  
374 Cluster 6, is also represented across all recombination rates, with the highest percentage  
375 coming from a relatively low recombination rate ( $r/m=0.1$ ).

376

### 377 **The gradient of host generalism is mirrored in natural *Campylobacter* populations**

378 The degree of host specialism and generalism in *in silico*, resulting from model  
379 simulations, was compared to data from natural *Campylobacter* populations. Mapping

380 isolation source data of 30239 *Campylobacter* STs within the PubMLST database (Jolley  
381 and Maiden 2010) to a core genome neighbor joining tree of the 74 common *C. jejuni*  
382 and *C. coli* STs revealed that few STs demonstrate absolute generalism or specialism  
383 (Figure 4a). Rather there is a gradient ranging from STs predominantly found in one host  
384 to those frequently isolated from multiple host sources (Figure 4b). The clustering of  
385 isolate pairs, with shared host source richness, was estimated by correlating nucleotide  
386 divergence in the core genome with the number of hosts (Figure 4c) and by a  
387 randomisation/ permutation test which showed  $p < 0.000001$  (data not shown). STs on the  
388 phylogeny were located close to STs with similar host species richness suggesting that  
389 there is some evolutionary signal which determines the likelihood of an ST's degree of  
390 specialism.

391

## 392 **Discussion**

393

394 The GERM model provides a context for considering how genome plasticity may  
395 influence the proliferation of *Campylobacter* in a multihost environment. In simple  
396 simulations, rapid acquisition of niche-specifying genes promoted better colonization in a  
397 new host. This is consistent with the Fisher–Muller evolutionary model (Fisher 1930,  
398 Muller 1932) where recombination functions to bring together fit alleles, which would  
399 otherwise compete for fixation in the population, into a single lineage speeding the  
400 overall increase in population mean fitness (Gerrish and Lenski 1998). In line with  
401 classical population genetic theory for sex (Barton and Charlesworth 1998, Felsenstein  
402 1974, Weismann 1904), the efficiency of selection on bacteria is enhanced by this  
403 shuffling of alleles. Therefore, the population with the highest recombination rate will  
404 expand to fill the niche more rapidly after a genetic bottleneck. This demonstrates a clear  
405 short-term adaptive benefit to rapid recombination, but does not explain why most  
406 bacteria recombine at low rates in nature.

407

408 Where survival is predominantly influenced by a few genetic determinants, for example  
409 the acquisition of essential antibiotic resistance genes (Spratt et al 1989), high  
410 recombination rates would be favoured. However, this is an unusually simple

411 evolutionary scenario and bacterial habitats comprise numerous interacting selective  
412 pressures. Because increased genetic variation leads to faster adaptation (Fisher 1930),  
413 the potential for the population to survive future genetic bottlenecks is related to the  
414 fitness variance. In populations that recombine at a low rate, a relatively high fitness  
415 variance is often maintained. Therefore, if the species is likely to encounter frequent  
416 environmental changes, such as host switches, it may be beneficial to have a lower  
417 recombination rate than would be optimal in the Fisher-Muller model.

418

419 In nature, each niche will have an associated carrying capacity. As a species expands to  
420 approach this carrying capacity, competition will inevitably increase, meaning that the  
421 influence of external factors will be of increased importance to the fate of the organism.  
422 To avoid this competition, an organism could adapt to a new less competitive niche,  
423 consistent with the ‘tangled bank hypothesis’ (Bell 1982, Doebeli 1996, Koella 1988) for  
424 the evolution of sexual reproduction. In our competitive model, fitness variance is higher  
425 in populations with low recombination rates, providing a competitive advantage under the  
426 tangled bank hypothesis that would facilitate a transition to a new niche. This provides an  
427 explanation for the low recombination rates observed in some natural bacterial  
428 populations, and explains the presence of multiple lineages as infrequent recombination  
429 will allow the uptake of adaptive genes but may be too infrequent to prevent adaptive  
430 divergence between lineages (Wiedenbeck and Cohan 2011).

431

432 Based on model simulations, the most favorable recombination to mutation ratio for  
433 promoting *Campylobacter* survival in the new niche whilst maintaining fitness variance  
434 within the population was  $r/m=0.1-1$  which is comparable to that calculated in natural  
435 *Campylobacter* populations ( $r/m = 0.44$ ) (13). In multiple niche transition simulations,  
436 at intermediate recombination rates ( $r/m = 1$ ), many populations did not completely die  
437 out, but resisted the introduction to a novel host recovering after a few passages, as seen  
438 by population size and mean fitness increases over time. This provides a context for  
439 considering the balance between rapid adaptation, mediated by recombination, and  
440 maintenance of genetic variance, allowing each population to survive in both host  
441 environments over time (Figure 1 and Figure 2). Absolute host specialists, which went

442 extinct in the second host, were uncommon, and most populations demonstrated some  
443 capacity to survive in both hosts.

444

445 In most cases, simulated genotypes were more successful in one or other niche. This is  
446 consistent with evidence from natural populations where lineages such as the ST-257 and  
447 ST-61 clonal complexes are predominantly associated with chicken and cattle  
448 respectively but are also isolated from both niches (Sheppard et al 2014). However, in  
449 some multihost simulations, populations emerged that were affected very little by the  
450 host switches (Figure 3). These populations can be considered true ecological generalists,  
451 comparable to the ST-21 and ST-45 clonal complexes that are regularly isolated from  
452 chickens, cattle and other hosts (Sheppard et al 2014).

453

454 Ecological specialism and generalism have been well described in animals. For example,  
455 the Giant Panda, *Ailuropoda melanoleuca*, is a paradigm of specialism, confined to six  
456 isolated mountain ranges in south-central China, where bamboo comprises 99% of its diet  
457 (Lü et al 2008), while the American Black Bear, *Ursus americanus*, is a generalist,  
458 opportunist omnivore with a broad range including temperate and boreal forests in  
459 northern Canada and subtropical areas of Mexico (Garshelis et al 2008). Specialization is  
460 a potentially precarious strategy as change to the environment can cause extinction if  
461 organisms are unable to move between niches or hosts. Consistent with this, generalist  
462 lineages would be expected to be older, preceding specialists which cluster closer to the  
463 tips of the phylogenetic tree (Stireman 2005). In *Campylobacter*, there was no correlation  
464 between tree tip length and number of hosts suggesting similar evolutionary timescales  
465 for specialist and generalist STs. This contrast with studies of metazoans may, in part, be  
466 explained by the ability of *Campylobacter* to rapidly acquire niche-specifying elements  
467 leading to rapid adaptation of multiple lineages.

468

469 In addition to genomic plasticity, the scale of environmental variation can act on the type  
470 of ecological strategy observed among the inhabitants (Futuyma and Moreno 1988,  
471 Kassen 2002). For example, in a highly stratified environment, adaptation may occur  
472 early with traits becoming fixed, whereas in a graduated environment individuals may be

473 more likely to show a reversible phenotype response (Kassen 2002). Specific niches of  
474 different bacterial lineages may be less well defined than for some animal species, but  
475 isolation source data from the PubMLST database indicates that *Campylobacter* STs  
476 show a gradient of host generalism. This is influenced by the opportunity to colonize the  
477 new niche and the capacity to survive the transition. Consistent with the findings in this  
478 study, rapid host transitions provide an opportunity for the proliferation of organisms  
479 with varying levels of host specialization including generalists that are capable of  
480 proliferating in more than one host.

481

482 In this study, by combining data from natural bacterial populations and a selection driven  
483 computer modeling, we simulated and predicted the evolution of host association  
484 strategies in the zoonotic pathogen *Campylobacter*. In practice, bacteria in natural  
485 populations usually exist in complex changeable ecosystems with numerous selection  
486 pressures. Here we show that recombination allows a more rapid response after a genetic  
487 bottleneck, as in a host transition, by increasing the efficiency with which selection can  
488 fix combinations of beneficial alleles. Furthermore, in a dynamic setting of host  
489 switching, recombination rate was observed to be a key factor in the colonization and  
490 maintenance in multiple niches. Livestock in modern intensive agricultural systems are  
491 different to ancestral host populations in numerous ways associated with diet and  
492 stocking density. The implications of this are potentially significant as, under conditions  
493 favoring rapid host switching, the emergence of host generalist zoonotic pathogens can  
494 be simulated. Our model therefore provides a context for considering how recombining  
495 bacteria, such as *Campylobacter*, could evolve to meet the challenges of anthropogenic  
496 environmental change. This could promote the emergence of multi-host pathogens and  
497 increase their capacity to overcome deliberate human interventions.

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499

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504

## 505 **Conflict of interest statement**

506

507 Authors declare no conflict of interest.

508

## 509 **Figure and table legends**

510

511 **Figure 1. Long term host adaptation followed by a host switch with different**  
512 **recombination rates.** The number of cells (A), population mean fitness (C) and  
513 population variance (D) were monitored in 200 independent simulations performed at  
514 recombination to mutation ratios of:  $r/m=0$  (blue),  $r/m=0.1$  (red),  $r/m=1$  (green),  $r/m=10$   
515 (magenta) and  $r/m=100$  (brown). Model parameters were different in phases I, II and III.  
516 Phase I corresponds to a composite niche no fitness-related selection. The transition from  
517 I to II corresponds to the addition of selective pressure favoring genes specifying  
518 adaptation to host 1, and the transition from phase II to III, corresponds to a single host  
519 transition, with a change to selective pressures favoring genes specifying adaptation to  
520 host 2. Panel B shows the number of cells at the end of every phase for populations with  
521 different recombination rates.

522

523 **Figure 2. Rapid multiple host transitions with different recombination rates.**

524 Number of cells (A) and mean fitness (B) for recombination to mutation ratios of  $r/m=0$   
525 (blue),  $r/m=0.1$  (red),  $r/m=1$  (green),  $r/m=10$  (magenta) and  $r/m=100$  (brown) as they  
526 progress through several niche transfers (broken lines), for which selective pressures are  
527 alternatively imposed to favor genes specifying adaptation to one or the other host. (C)  
528 Population growth distribution at various recombination rates at generations 200

529 (transition from composite niche to the first host), 1400 (last transition growth phase in  
530 host 2) and 1600 (end of simulation after 6 host switches).

531

532 **Figure 3. Population dynamic profile clusters.** Population profiles for all simulates at  
533 tested recombination rates. Nine distinct profile clusters were identified and the mean  
534 number of cells (A) and the mean fitness (B) is shown for example simulations for profile  
535 cluster. Black broken lines indicate a host transition where selective pressures switch in  
536 favour of the other host. Error bars are given at the midpoint of each niche and  
537 correspond to one standard deviation. Ecological groups were inferred from the profiles,  
538 with specialist groups for Host 1 (red line) and Host 2 (blue line) as well as a generalist  
539 group (black line).

540

541 **Figure 4. Phylogeny of generalist and specialist *Campylobacter* lineages.** (A)  
542 Phylogenetic tree and isolation source of 74 common *C. jejuni* and *C. coli* sequence types  
543 (STs). The tree was constructed from a concatenated gene-by-gene alignment of 595 core  
544 genes, using the neighbor joining (NJ) algorithm. The heatmap represents the number of  
545 hosts in which particular STs were isolated, based on analysis of 30239 pubMLST isolate  
546 records. The scale bar represents the number of substitutions per site; (B) Quantification  
547 of the variation in number of hosts for all lineages shown in the tree, highlighting a  
548 gradient between host specialism and generalism; (C) Comparison of clustering on the  
549 tree, calculated as the estimated number of SNP corresponding to the average branch tip  
550 distance to the last common ancestor (LCA) with the number of hosts of isolation of each  
551 examined ST. There is no correlation between the two datasets (linear regression;  
552  $r^2=0.037$ ).

553

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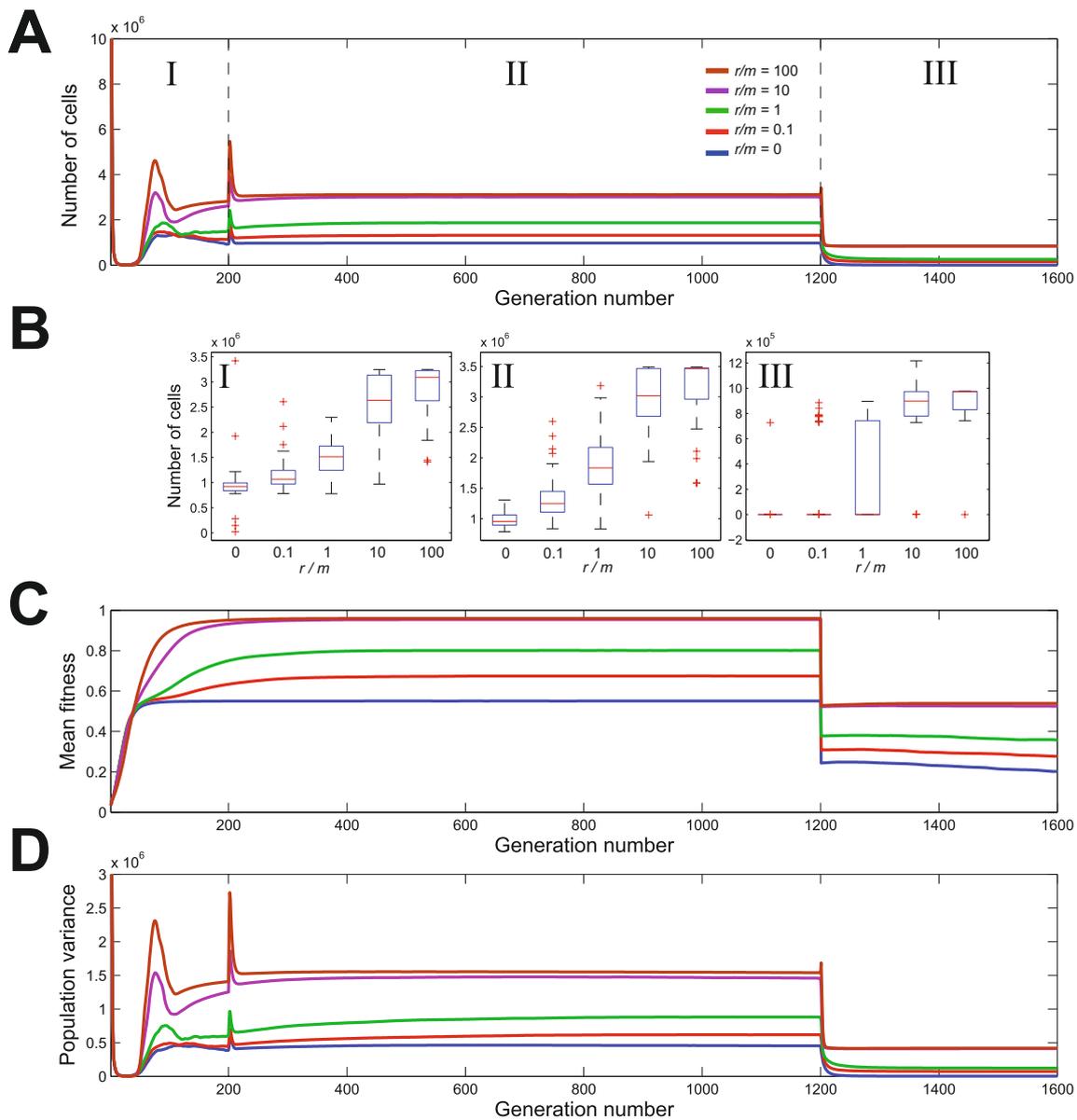
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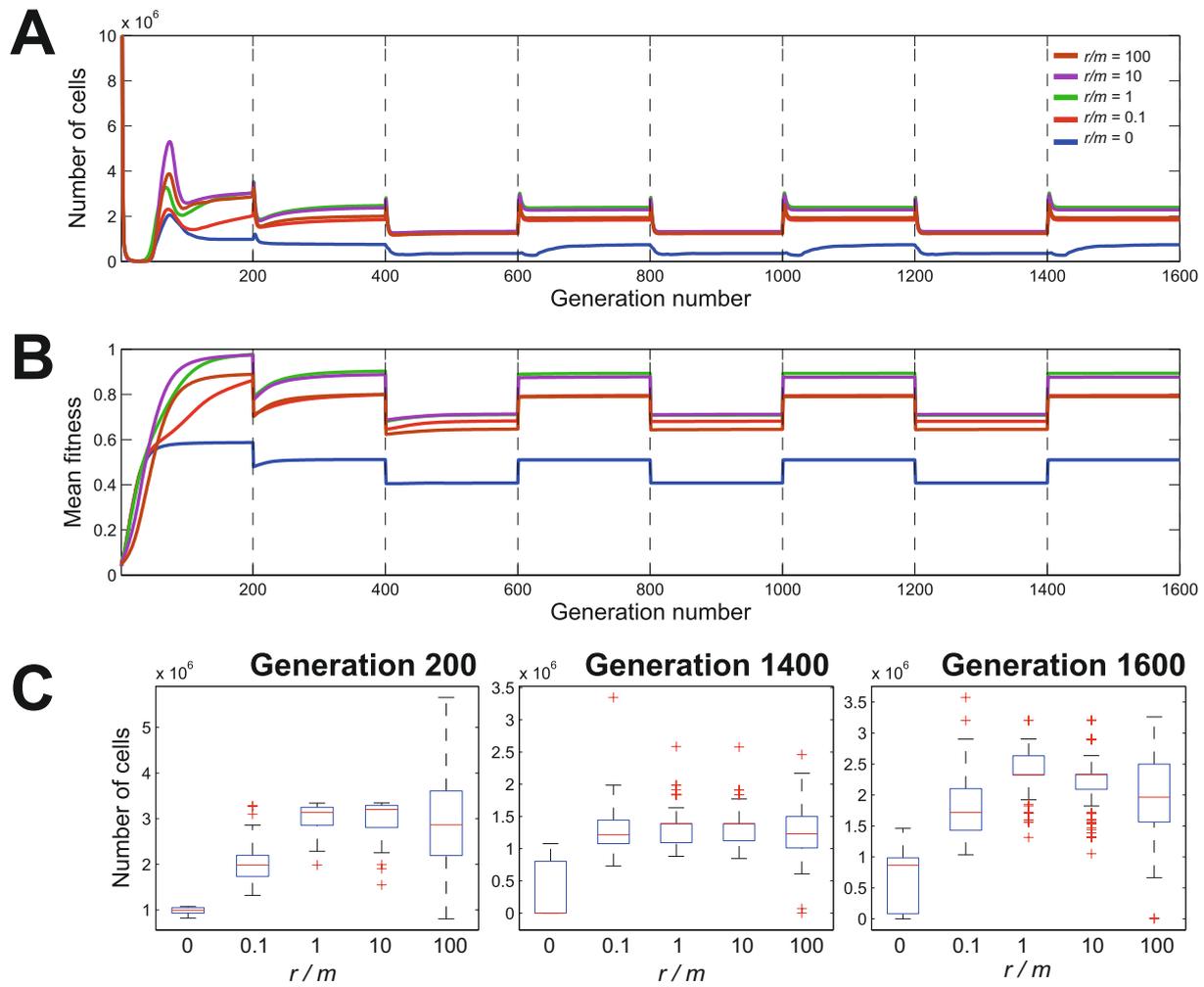
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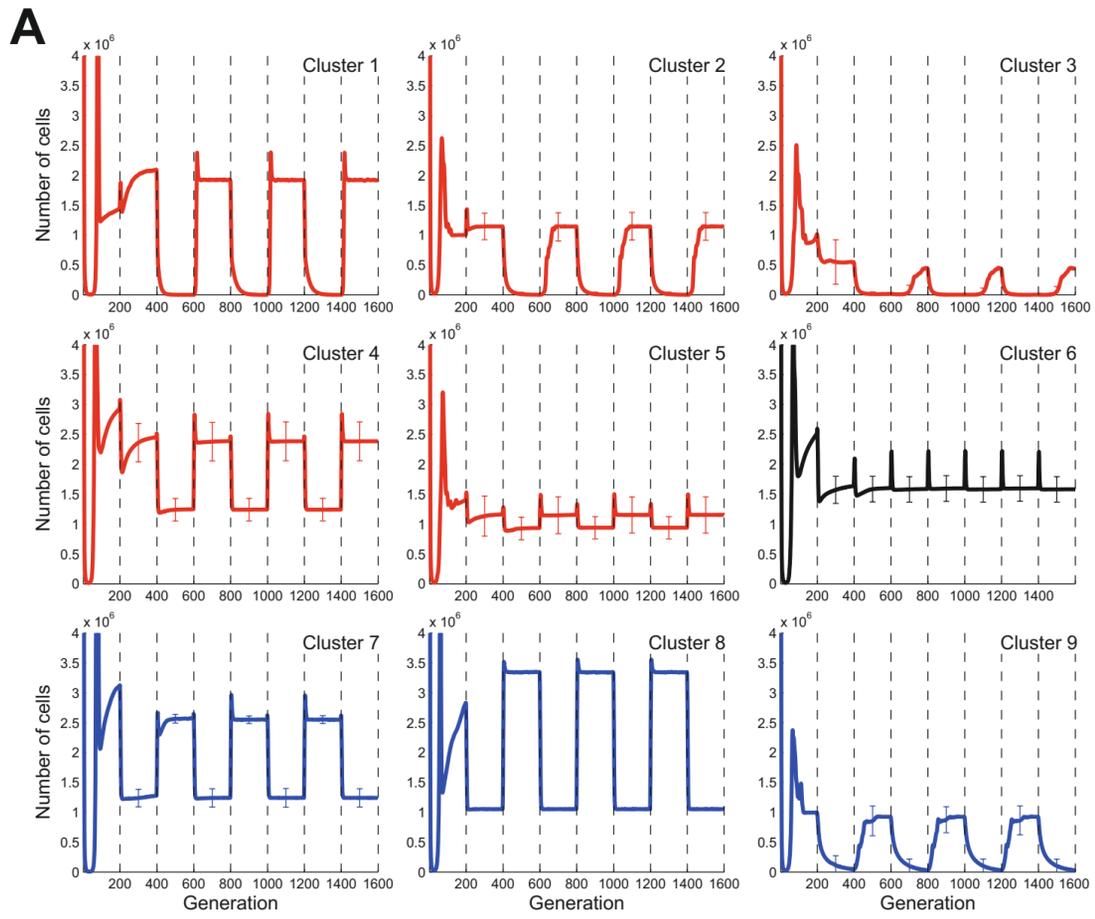
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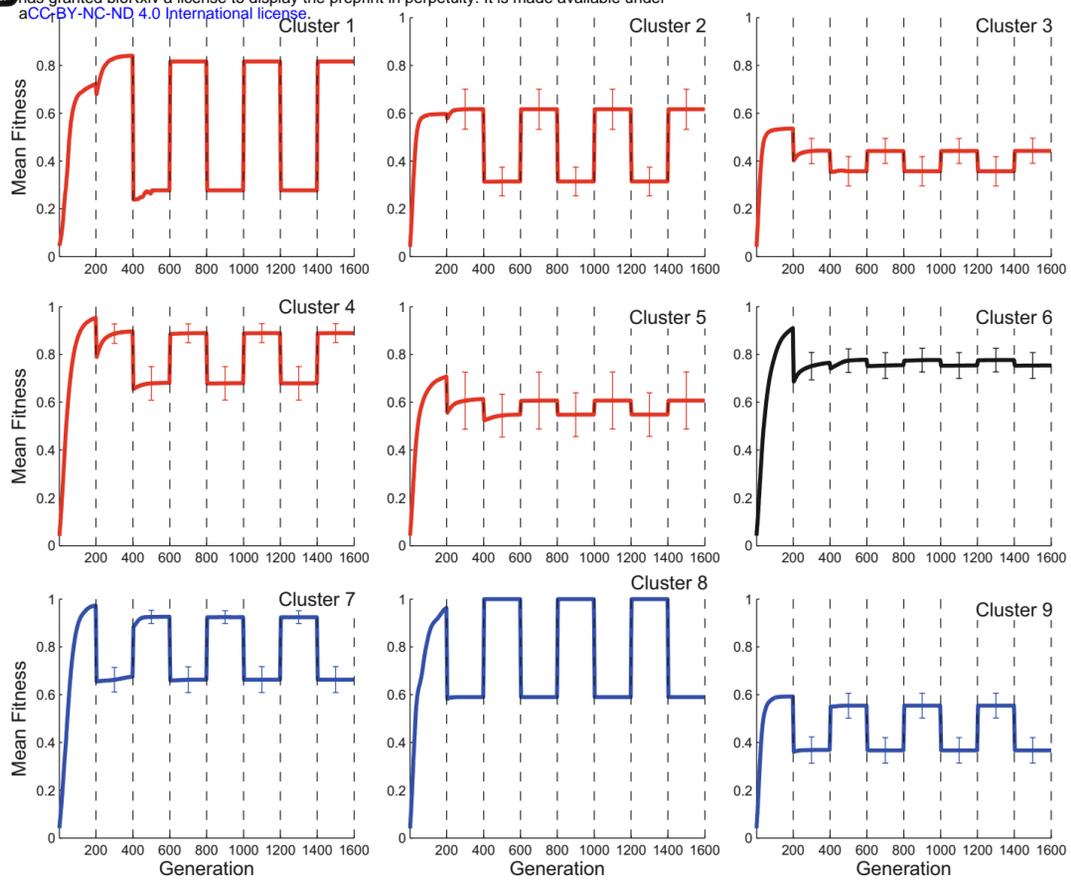
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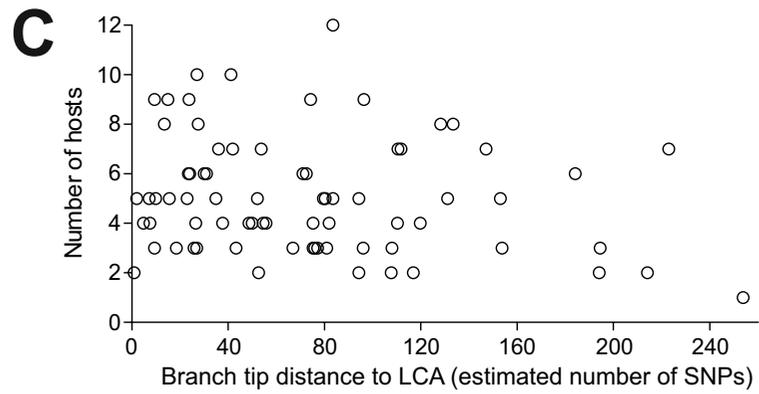
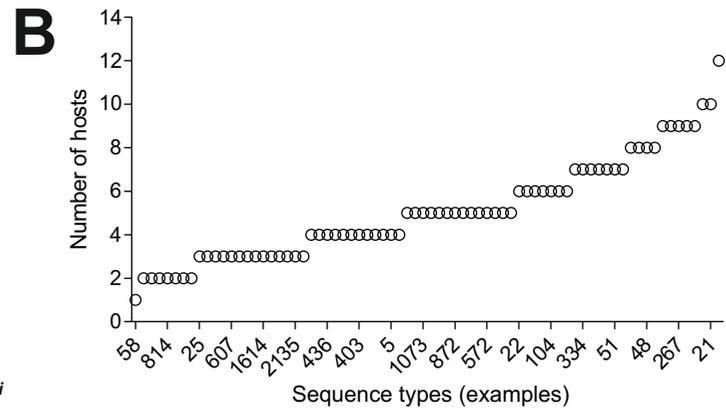
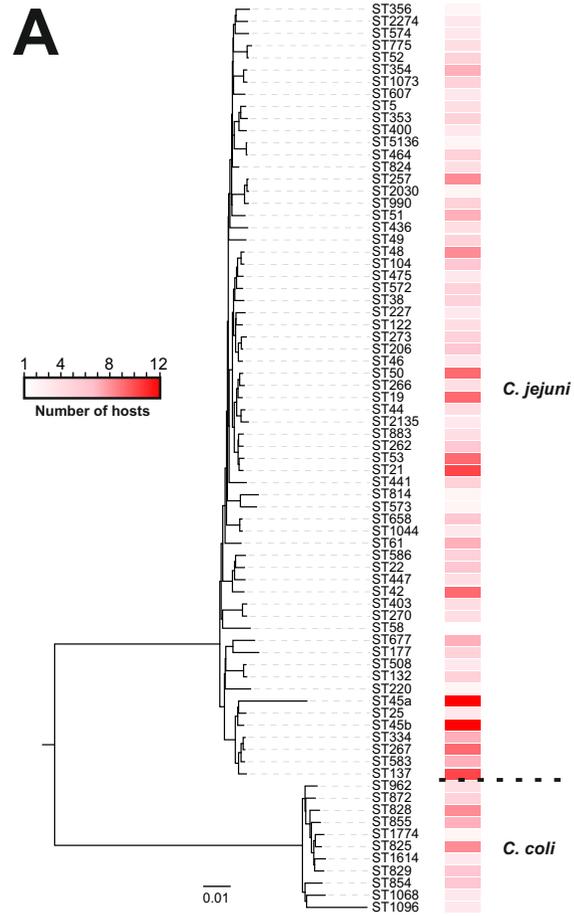






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**Table 1. Proportion of the representative clusters of population profiles at various recombination to mutation ratios.** For each ratio ( $r/m$ ), the percentage of simulations that followed particular representative patterns (clusters 1-9 from Figure 3) from a total of 200 simulations were indicated. Ecological groups were inferred from Figure 3.

Cluster	Inferred ecological group	Number of simulations (%)				
		$r/m=0$	$r/m=0.1$	$r/m=1$	$r/m=10$	$r/m=100$
1	Specialist Host 1	0	0	0	0	0.6
2	Specialist Host 1	41.1	0	0	0	1.2
3	Specialist Host 1	17.3	0	0	0	0.6
4	Specialist Host 1	0	48.2	91.8	86.4	56.7
5	Specialist Host 1	21.8	18.3	0	1	18.7
6	Generalist	0	33	7.7	11.5	19.9
7	Specialist Host 2	0	0	0.5	1	0.6
8	Specialist Host 2	0	0.5	0	0	0
9	Specialist Host 2	19.8	0	0	0	1.8