

1 **Neuronal gamma-band synchronization regulated by instantaneous** 2 **modulations of the oscillation frequency**

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13 **Neuronal gamma-band synchronization shapes information flow during sensory and**
14 **cognitive processing. A common view is that a stable and shared frequency over time is**
15 **required for robust and functional synchronization. To the contrary, we found that non-**
16 **stationary instantaneous frequency modulations were essential for synchronization. First,**
17 **we recorded gamma rhythms in monkey visual area V1, and found that they synchronized**
18 **by continuously modulating their frequency difference in a phase-dependent manner. The**
19 **frequency modulation properties regulated both the phase-locking and the preferred**
20 **phase-relation between gamma rhythms. Second, our experimental observations were in**
21 **agreement with a biophysical model of gamma rhythms and were accurately predicted by**
22 **the theory of weakly coupled oscillators revealing the underlying theoretical principles that**
23 **govern gamma synchronization. Thus, synchronization through instantaneous frequency**
24 **modulations represents a fundamental principle of gamma-band neural coordination that**
25 **is likely generalizable to other brain rhythms.**

26

27 **INTRODUCTION**

28 Synchronization, the ability of oscillators to mutually adapt their rhythms (Pikovsky et al., 2002;
29 Winfree, 1967), is a ubiquitous natural phenomenon. Neural synchronization in the gamma-range
30 (25-80Hz) has been reported both in subcortical structures (Akam et al., 2012; Steriade et al.,
31 1993; Zhou et al., 2016) and in cortical areas (Fries, 2015; Gray and Singer, 1989; Gregoriou et
32 al., 2009). Gamma rhythms emerge in activated neural circuits, in which fast-spiking inhibitory
33 neurons play a central role (Cardin et al., 2009; Tiesinga and Sejnowski, 2009; Traub et al.,
34 1996). A prime example is the emergence of gamma rhythms in the early visual cortex during

35 visual stimulus processing (Brunet et al., 2013; Gail et al., 2000; Gray and Singer, 1989; Hermes
36 et al., 2014; Ray and Maunsell, 2010; Roberts et al., 2013). Gamma synchronization has been
37 found to relate to the formation of neural assemblies within (Gail et al., 2000; Gray and Singer,
38 1989; Havenith et al., 2011; Vinck et al., 2010) and across brain areas (Bosman et al., 2012;
39 Gregoriou et al., 2009; Jia et al., 2013a; Roberts et al., 2013; Sirota et al., 2008; Zhou et al.,
40 2016). Precise temporal coordination of presynaptic spikes increases their effectiveness on
41 postsynaptic targets (Fries et al., 2001; Tiesinga et al., 2005) and can thereby modulate the
42 effectiveness of neural communication (Börgers et al., 2005; Cannon et al., 2014; Womelsdorf et
43 al., 2007), as shown between V1 and V4 during visual attention (Bosman et al., 2012; Grothe et
44 al., 2012). Temporal coordination in terms of spike timing (phase code) might be an efficient and
45 robust mechanism for information coding (Havenith et al., 2011; Jensen et al., 2014; Maris et al.,
46 2016; Tiesinga et al., 2008; Vinck et al., 2010). Further, gamma rhythmic inhibition might
47 increase coding efficiency through sparsening (Chalk et al., 2015; Jadi and Sejnowski, 2014;
48 Vinck and Bosman, 2016) and normalization (Gieselmann and Thiele, 2008; Ray et al., 2013) of
49 neural activity. These network consequences of gamma have led to influential hypotheses about
50 the function of gamma for sensation and cognition (Buehlmann and Deco, 2010; Buzsáki and
51 Wang, 2012; Eckhorn et al., 2001; Fries, 2015; Gray and Singer, 1989; Maris et al., 2016; Miller
52 and Buschman, 2013), including a role in perceptual grouping (Eckhorn et al., 2001; Engel et al.,
53 1999; Gray and Singer, 1989) and in visual attention (Bosman et al., 2012; Fries, 2015;
54 Gregoriou et al., 2009; Miller and Buschman, 2013).

55 Surprisingly, in spite of important scientific advances, it is not well understood how
56 gamma rhythms synchronize and what the underlying principles of synchronization are. For
57 example, recent experimental observations of large variability of the precise oscillation
58 frequency have raised doubts on the robustness and functionality of gamma synchronization in
59 the brain. It has been observed that the precise frequency fluctuates strongly over time (Atallah
60 and Scanziani, 2009; Burns et al., 2011, 2010) and that different cortical locations can express
61 different preferred frequencies (Bosman et al., 2012; Ray and Maunsell, 2010). That these
62 observations have led to doubts on the functionality of gamma synchronization indicates that
63 research into gamma synchronization often starts from the premise that continuously matched
64 frequencies are a requirement for the occurrence of stable phase-relations. The observation of
65 frequency variations and frequency differences would then suggest that meaningful
66 synchronization cannot be maintained. These ideas reveal a stationary view of synchronization,
67 which assumes that the underlying oscillatory dynamics are stable at a fixed phase-relation and
68 shared frequency. This is also reflected in the widespread use of stationary methods to assess
69 gamma synchronization, of which spectral coherence is a prime example (Carter et al., 1973).
70 From a dynamic systems perspective however, synchronization is primarily a non-stationary
71 process (Izhikevich and Kuramoto, 2006; Izhikevich, 2007; Kopell and Ermentrout, 2002;
72 Pikovsky et al., 2002; Winfree, 1967), because oscillators adjust their rhythms through phase
73 shifts (i.e., changes in the instantaneous frequency).

74 Here, by using a combination of theoretical and experimental techniques, we studied the
75 dynamical principles of gamma synchronization in monkey visual area V1. We simultaneously
76 recorded gamma-rhythmic neural activity at different V1 cortical locations and studied their
77 synchronization properties while using local stimulus contrast (Ray and Maunsell, 2010) to
78 modulate the frequency difference (detuning). Strikingly, we observed that frequency-variable
79 gamma rhythms still synchronized, even when the mean frequencies did not match. This was
80 achieved by continuously varying their instantaneous frequency difference in a manner
81 depending on the phase difference. The function relating phase difference to frequency
82 difference had a sinusoidal-like shape. The interplay between the detuning, representing a
83 desynchronization force, and the amount of instantaneous frequency modulations, representing a
84 synchronization force, regulated the phase-locking strength and the preferred phase-relation
85 between V1 locations. Further, detuning was dependent on visual grating contrast difference,
86 whereas frequency modulation strength was dependent on the cortical distance.

87 To assess the biophysical underpinning of our V1 observations, we simulated two
88 interacting pyramidal-interneuron gamma (PING) networks (Bartos et al., 2007; Börgers et al.,
89 2005; Tiesinga and Sejnowski, 2009). In line with our observation in V1, we found gamma
90 synchronization to be associated with rapid frequency modulations. The modulation strength was
91 modulated by synaptic connectivity, whereas detuning was dependent on the excitatory input
92 drive. To achieve a principled understanding of our observations, we applied the theoretical
93 framework of weakly coupled oscillators (Ermentrout and Kleinfeld, 2001; Hoppensteadt and
94 Izhikevich, 1998; Kopell and Ermentrout, 2002; Kuramoto, 1991; Pikovsky et al., 2002). We
95 found that a single differential equation accounted well for the non-stationary frequency
96 modulations and further allowed for precise predictions of how the phase-locking and the phase-
97 relation between gamma rhythms changed across conditions.

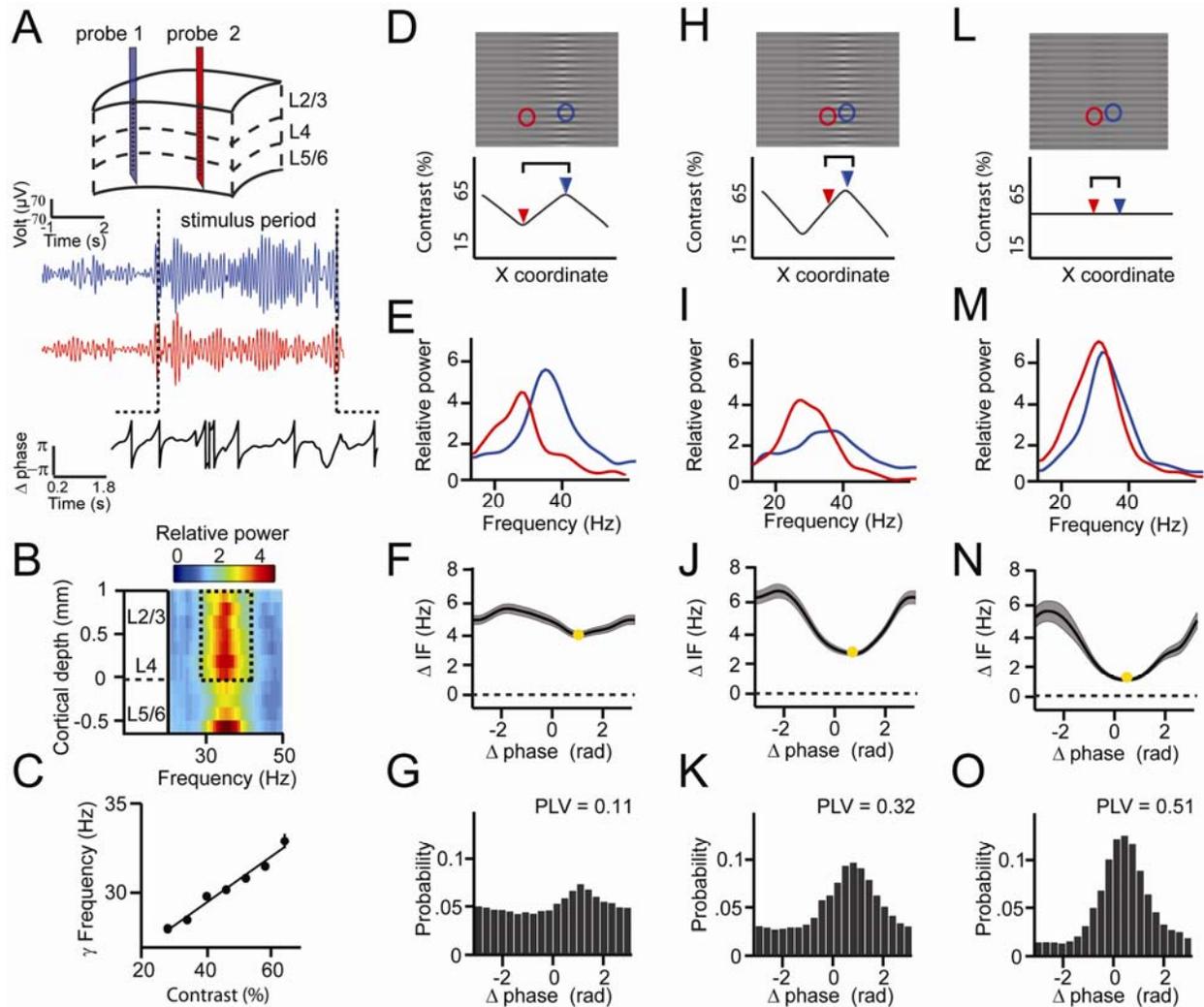
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99 **RESULTS**

100 **Local frequency differences regulate the dynamic synchronization process between V1** 101 **gamma rhythms**

102 We first asked how synchronization within V1 was influenced by frequency differences, and by
103 the distance between recording sites. To this aim, we recorded from 2 to 3 laminar probes
104 simultaneously in cortical area V1 of two macaques (M1 and M2) (Fig.1A). We used distances in
105 the order of magnitude of V1 horizontal connectivity (Stettler et al., 2002), hence probes were
106 separated by 1 to 6mm. Using laminar probes enabled us to reduce the influence of volume
107 conduction by calculating current-source density (CSD) as a network signal. Using CSD, we
108 estimated the instantaneous frequency, phase and phase difference of gamma signals. The
109 monkeys fixated centrally while a whole-field static grating with spatially variable contrast was
110 shown. Gamma power was induced in layers 2-4 and in the deepest layer (Fig.1B, Fig.S1). V1
111 locations showed increased gamma frequency with increased local contrast (linear regression,
112 single contact level, M1: $R^2=0.38$, M2: $R^2= 0.27$, both $p<10^{-10}$, Fig.1C, Fig.S2) allowing us to

113 parametrically vary the frequency difference between probes by varying the contrast difference.
114 We will first show the key results through three illustrative examples. In the first example, we
115 chose two cortical locations separated by a relatively large distance of ~5mm, presented with a
116 visual contrast difference of 17% (Fig.1D). Their frequency difference was 5Hz as shown by
117 their non-overlapping power spectra (Fig.1E). This would imply that the phase difference would
118 not be constant, but would advance at a phase precession rate of 2π every 200ms, which could be
119 expected to preclude synchronization. However, the frequency difference was not constant.
120 Instead, the instantaneous frequency difference was modulated as a function of phase difference
121 (Fig.1F, Fig.S3) with a modulation amplitude of 1Hz. At the smallest frequency difference (4Hz,
122 yellow point) the phase precession was slowest, at 2π every 250ms, meaning that the oscillators
123 stayed relatively longer around that phase difference. As a result, the probability distribution of
124 phase differences over time (Fig.1G) was non-uniform giving a phase-locking value (Lachaux et
125 al., 1999) (PLV) of 0.11. The peak of the distribution, the ‘preferred phase’, was at 1.3rad, in line
126 with the minimum of the instantaneous frequency modulation function. In the second example,
127 we chose a pair with a similar frequency difference of 4.8Hz but a closer distance (~2.5mm,
128 Fig.1H). The instantaneous frequency modulation amplitude was larger with a modulation
129 amplitude of 1.8Hz (Fig.1J) and a modulation minimum around 3Hz at the preferred phase.
130 Because phase precession at the preferred phase was slower, the phase difference distribution
131 was narrower than in the previous example, indicating higher synchrony (PLV=0.32, Fig.1K)
132 with a peak centered at a different phase (0.78rad). In the third example the cortical distance
133 remained the same but the frequency difference was reduced (2.8Hz) by eliminating the contrast
134 difference (Fig.1M, the remaining frequency difference might be due to eccentricity, see Fig.S2).
135 The frequency modulation amplitude did not change however, with a lower mean difference, the
136 modulation minimum was close to zero (1Hz, Fig.1N), thus the associated phase difference
137 (0.48rad) could be maintained for even longer periods and the phase difference probability
138 distribution was even narrower (PLV=0.51, Fig.1O). The three examples were representative for
139 the 805 recorded contact pairs in monkey M1 and 882 contact pairs in monkey M2 where each
140 pair was recorded at 9 levels of contrast difference.

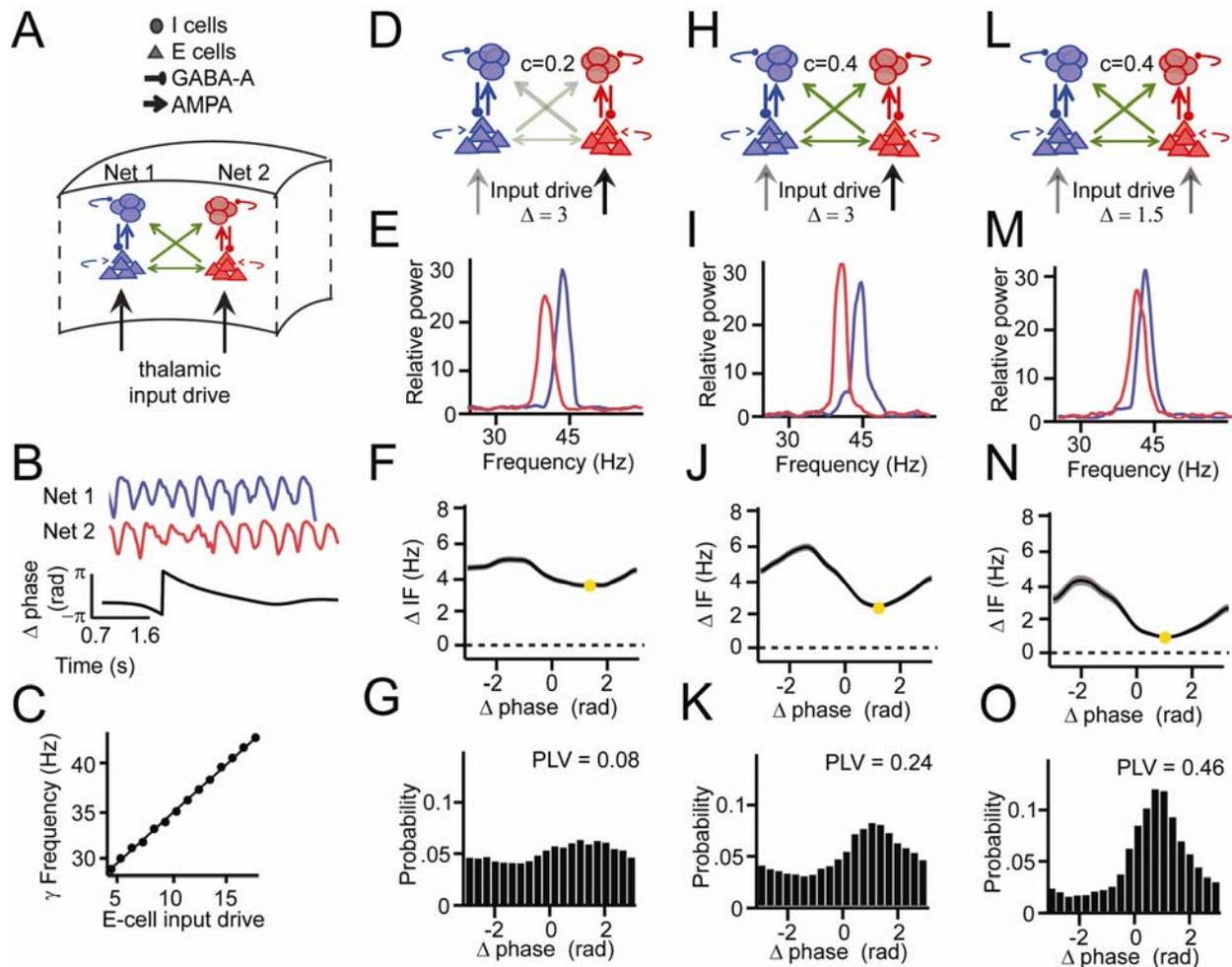


141
 142 **Fig.1.** *Experimental paradigm and intermittent synchronization. (A) Recordings preparation and*
 143 *example CSD (blue and red) traces from which phase difference (black) trace was extracted. The*
 144 *gradient of the black trace indicates the rate of phase precession. (B) Spectral power relative to*
 145 *baseline as a function of V1 cortical depth (36.5% contrast, population average, M1) dashed box*
 146 *indicates gamma in the layers taken for main analysis (C) Local contrast modulated gamma*
 147 *frequency (population average, M1). (D-G) Example 1 showing synchronization despite*
 148 *frequency difference. (D) Section of the stimulus grating. Two receptive fields (RF) from different*
 149 *probes are superimposed (blue and red circles). Below, black line gives contrast over space,*
 150 *arrowheads mark RF positions. (E) Power spectra of the two probes showing different peak*
 151 *frequencies. (F) Instantaneous frequency difference (ΔIF), equivalent to the phase precession*
 152 *rate, as a function of phase difference. Yellow dot indicates the modulation minimum, equivalent*
 153 *to the preferred phase difference, shading is $\pm SE$ (G) The phase difference probability*
 154 *distribution and phase-locking value (yellow dot, PLV). (H-K) Example 2; probes were closer,*
 155 *gamma peak frequency difference was similar. Conventions as in D-G. (L-O) Example 3; same*
 156 *distance, reduced frequency difference. Compare F, J, N; the RF distance determined IF*
 157 *modulation amplitude, whereas contrast difference determined mean gamma frequency*
 158 *difference*

159

160 **Experimental observations reproduced by two weakly coupled pyramidal-interneuron**
161 **gamma (PING) networks**

162 To gain a first understanding of our experimental observations, we tested whether the findings
163 were reproducible by a well-established biophysical model of cortical gamma rhythms (see
164 Supplementary Information for more details). We simulated two coupled pyramidal-interneuron
165 gamma (PING) networks (Fig.2A), which have been shown to capture many properties of
166 cortical gamma rhythms (Börgers et al., 2005; Jadi and Sejnowski, 2014; Lowet et al., 2015;
167 Tiesinga and Sejnowski, 2009, 2010). The network consisted of excitatory regular-spiking
168 spiking neurons, representing pyramidal neurons, and fast-spiking inhibitory interneurons. We
169 used the Izhikevich neural model (Izhikevich, 2003). Neurons were connected through excitatory
170 AMPA and inhibitory GABA-A synapses. To mimic V1 horizontal connections (Stettler et al.,
171 2002), the two PING networks were weakly coupled through excitatory cross-network
172 connections that targeted the excitatory and inhibitory neurons of the receiving network. Each
173 network received an independent source of excitatory drive, mimicking the effect of local visual
174 contrast (Sclar et al., 1990). Neurons also received additional noise, such that the oscillation
175 frequency was instable over time as observed for V1 gamma. For each network we estimated a
176 population signal from which we extracted the instantaneous phase (Fig.2B). In line with our
177 experimental observations and previous studies (Jia et al., 2013b; Lowet et al., 2015; Roberts et
178 al., 2013; Tiesinga and Sejnowski, 2009), the input drive set the frequency of the gamma rhythm
179 ($R^2=0.98$, Fig.2C). To reproduce the experimental V1 findings shown in Fig.1 (Fig.2D-O) we
180 modulated the cross-network connection strength, mimicking cortical distance, and the
181 difference of input drive between networks, mimicking the local contrast difference (Fig.2D, H,
182 L). These manipulations led to effects on the spectra (Fig.2E,I,M), on the relationship of
183 instantaneous frequency difference to phase difference (Fig.2F,J,N), and on phase-relation
184 distributions (PLV and preferred phase difference) that were similar to those observed in the
185 empirical V1 data. In particular, the modulation of the frequency difference between the gamma
186 rhythms as a function of phase difference had an approximatively sinusoidal shape in the model
187 data, as in the empirical V1 data. Stronger synchronization of gamma rhythms was associated
188 with larger non-stationary modulations of the frequency difference. The strength of the
189 modulation was changed by the synaptic connectivity between networks, whereas the input drive
190 difference changed the frequency difference. As in V1, the phase difference probability
191 distribution was determined by the frequency difference modulations: The mean frequency
192 difference and the amplitude of the frequency modulation defined both the preferred phase-
193 relation and the narrowness of the distribution (PLV). Taken together, this shows that the
194 observations of V1 gamma can be accurately modelled by mutually interacting PING networks,
195 in which synchronization is shaped by the phase-dependent instantaneous frequency
196 modulations.



197

198 **Fig.2.** PING network simulations and intermittent synchronization. (A) Two coupled pyramidal-
 199 interneuron gamma (PING) networks (Net 1 and Net 2). (B) Simulation output example network
 200 signals (red and blue) and phase difference θ (black) (C) The frequency of gamma in a single
 201 network depends on input strength. (D-G) Example 1 showing synchronization despite frequency
 202 difference. (D) Net 1 and Net 2 were relatively weakly coupled ($c=0.2$, where c defines max
 203 synaptic connection strength of a uniform distribution $[0, \max]$) and received a relatively large
 204 input difference. (E) Power spectra of the two networks showed different peak frequencies. (F)
 205 Instantaneous frequency difference (ΔIF), equivalent to phase precession rate, as a function of
 206 phase difference. Yellow dot indicates the modulation minimum equivalent to the preferred phase
 207 difference, shading is $\pm SE$ (G) The phase difference probability distribution and phase-locking
 208 value (PLV). (H-K) Example 2; networks were more strongly connected ($c=0.4$), gamma peak
 209 frequency difference was similar. Conventions as in D-G. (L-O) Example 3; same connection
 210 strength, yet reduced frequency difference. Compare F, J, N; the connection strength determined
 211 IF modulation amplitude, whereas input difference determined mean gamma frequency
 212 difference.

213

214 **The theory of weakly coupled oscillators (TWCO): A framework for cortical gamma**
215 **synchronization**

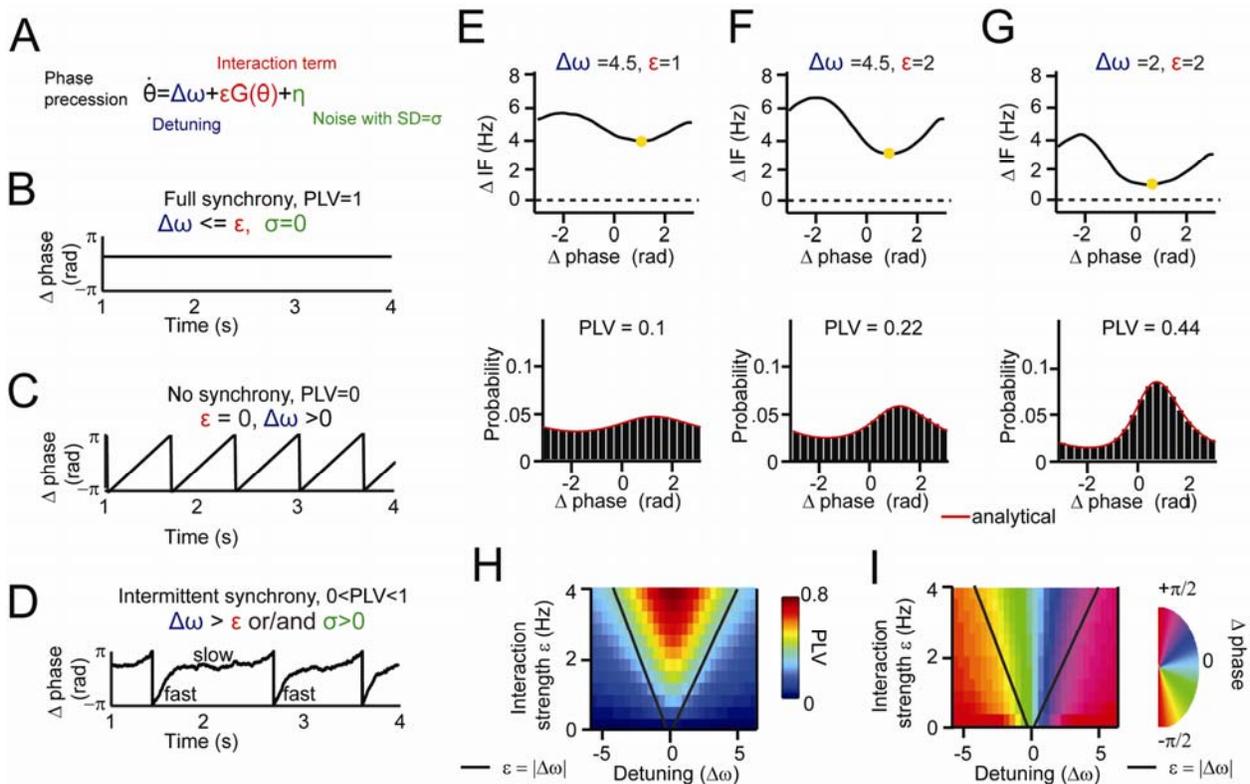
216 We now show how the observed synchronization behavior can be accounted for within the
217 mathematical framework of the theory of weakly coupled oscillators (Ermentrout and Kleinfeld,
218 2001; Hoppensteadt and Izhikevich, 1998; Kopell and Ermentrout, 2002; Kuramoto, 1991;
219 Pikovsky et al., 2002; Winfree, 1967). Many oscillatory phenomena in the natural world
220 represent dynamic systems with a limit-cycle attractor (Winfree, 2001). Although the underlying
221 system might be complex (e.g. a neuron or neural population), the dynamics of the system can be
222 reduced to a phase-variable if the interaction among oscillators is weak. If interaction strength is
223 weak, amplitude changes are relatively small and play a minor role in the oscillatory dynamics.
224 In this way, V1 neural populations can be approximated as oscillators, ‘weakly coupled’ by
225 horizontal connections. The manner in which mutually coupled oscillators adjust their phases, by
226 phase-delay and phase-advancement, is described by the phase response curve, the PRC (Brown
227 et al., 2004; Canavier, 2015; Izhikevich, 2007; Kopell and Ermentrout, 2002; Schwemmer and
228 Lewis, 2012). The PRC is important, because if the PRC of a system can be described, the
229 synchronization behavior can be understood at a more general level and hence predicted across
230 various conditions.

231 According to the theory, the synchronization of two coupled oscillators can be predicted from the
232 forces they exert on each other as a function of their instantaneous phase difference. The amount
233 of force is here defined as interaction strength and the interaction function as the PRC. Each
234 oscillator has an intrinsic (natural) frequency and additionally an own source of phase noise,
235 making the oscillators stochastic. The phase precession of two oscillators is given by (Fig.3A):

236
$$(1) \dot{\theta} = \Delta\omega + \varepsilon G(\theta) + \eta$$

237 where $\dot{\theta}$ is the time derivative of the phase difference θ (the rate of phase precession), $\Delta\omega$ the
238 detuning (the intrinsic frequency difference), ε the interaction strength, $G(\theta)$ is defined as the
239 mutual PRC, and η the combined phase noise, where $\eta \sim N(0, \sqrt{2\sigma^2})$. Phase noise is defined here
240 as variation, unrelated to interaction, that occurs for neural oscillators due to inherent instabilities
241 of the generation mechanism (Atallah and Scanziani, 2009; Burns et al., 2010). This type of
242 variation is distinct from measurement noise that is unrelated to the dynamics of the system. We
243 express ω , ε and η in units of Hz (1Hz=2 π *rad/s). The time derivative $\dot{\theta}$ is also expressed in Hz
244 (instantaneous frequency, IF). The equation was solved analytically (see Supplementary
245 Information) to study changes in the phase-difference probability distribution, here characterized
246 by the PLV and the mean (preferred) phase difference, as a function of detuning $\Delta\omega$ and
247 interaction strength ε . The model’s behavior as a function of detuning $\Delta\omega$ and interaction
248 strength ε can be understood more easily by considering the noise-free case first. In the noise-
249 free case ($\sigma=0$) one can solve the equation for zero-points (equilibrium points), meaning that the
250 phase precession is zero, ($\dot{\theta} = 0$, i.e. zero frequency difference). To reach equilibrium, the
251 detuning $\Delta\omega$ and the interaction term $\varepsilon G(\theta)$ need to be counterbalanced. When detuning is

252 smaller than the interaction strength ($\Delta\omega \leq \varepsilon$), then there is a particular phase difference θ at
 253 which an equilibrium can be reached. At equilibrium, there is no phase precession (Fig.3B) and
 254 thus a PLV of 1 (full synchronization). When interaction strength is zero ($\varepsilon=0$), the asynchronous
 255 oscillators display continuous linear phase precession and have zero PLV (Fig.3C), with the
 256 exception of zero detuning. When detuning is larger than a nonzero interaction strength ($\Delta\omega > \varepsilon$,
 257 $\varepsilon > 0$), oscillators exhibit a nonlinear phase precession over time, characteristic for the intermittent
 258 synchronization regime (Izhikevich, 2007; Pikovsky et al., 2002, Fig.3D). The phase precession
 259 rate (instantaneous frequency difference) is determined by the detuning $\Delta\omega$, the modulation
 260 shape $G(\theta)$, and the modulation amplitude ε . Around the preferred phase-relation, the
 261 instantaneous frequency difference is reduced ('slow' precession in Fig.3D), whereas away from
 262 the preferred phase-relation, the instantaneous frequency is larger ('fast' precession in Fig.3D).
 263 In this regime, PLV between 0 and 1 can be obtained. Including phase noise ($\sigma > 0$) has important
 264 effects on the synchronization behavior (Izhikevich, 2007; Pikovsky et al., 2002). The noise
 265 flattens the phase-relation distribution and can induce full cycles of phase precession (phase
 266 slips) that also lead to instantaneous frequency modulations. Hence, for noisy oscillators, the
 267 intermittent synchronization regime is the default regime for a large parameter range.



268
 269 **Fig.3.** Theory of weakly coupled oscillators (TWCO). (A) The single differential equation used
 270 for analysis, with colors representing different key parameters. (B-D) Rate of phase precession
 271 plotted in different synchronization regimes, with (B) full synchrony, (C) no synchrony and (D)
 272 intermittent synchrony. For each plot, the corresponding range of the parameters and the PLV
 273 are indicated. (E-G) Equivalent behavior as in the examples as Fig.1 and 2. Top is the

274 *modulation of the instantaneous frequency difference as a function of phase difference. Bottom is*
275 *the phase difference probability distribution. Black bars are numerical simulation results,*
276 *whereas the red line indicates the analytical solution. (E) Large detuning and low interaction*
277 *strength. (F) Large detuning and strong interaction strength. (G) Small detuning and strong*
278 *interaction strength. (H) The Arnold tongue. The analytically derived PLV is plotted as a*
279 *function of interaction strength (y-axis) and detuning (x-axis). (I) The same as in (H), but for the*
280 *mean (preferred) phase-relation. Black lines mark the predicted Arnold tongue borders in the*
281 *noise-free case ($\varepsilon=|\Delta\omega|$).*

282

283 To show the applicability of the theory, we first reproduced the three examples shown in
284 Fig.1 and 2 by numerical simulations of equation 1 and by varying detuning $\Delta\omega$ and interaction
285 strength ε . We assumed a sinusoidal $G(\theta)$ (see Kuramoto model, Breakspear et al., 2010;
286 Kuramoto, 1991) and a phase variability of $SD=18\text{Hz}$. As shown in Fig.3E-G, the same relation
287 between the instantaneous frequency difference modulations and the properties of the phase
288 difference probability distribution were observed as for V1 gamma data. Detuning defined the
289 mean of the frequency modulations, whereas the interaction strength defined the amplitude of the
290 modulations. To obtain a general description of the effect of detuning $\Delta\omega$ and interaction strength
291 ε , we mapped the PLV and the mean phase difference (derived analytically) in the $\Delta\omega$ - ε
292 parameter space. We observed a triangular synchronization region (Fig.3H) described as the
293 Arnold tongue (Pikovsky et al., 2002). This reflects the fact that stronger interaction strengths
294 ‘tolerate’ larger detuning ($\Delta\omega \leq \varepsilon$). Further, a clear phase gradient along the detuning dimension
295 can be observed (Fig.3I). The oscillator with a higher frequency led the oscillator with a lower
296 frequency in terms of their phases.

297

298 **Estimating the underlying parameters and function of TWCO in observed data**

299 To demonstrate the underlying principles of V1 gamma synchronization, we aimed to reconstruct
300 its Arnold tongue, a central prediction of the theory. For comparison, we did the same for the
301 coupled PING networks. Further, by estimating the parameters and function of equation 1, we
302 aimed to directly test its accuracy by comparing analytical predictions to experimental
303 observations in V1, and to simulation data from coupled PING networks.

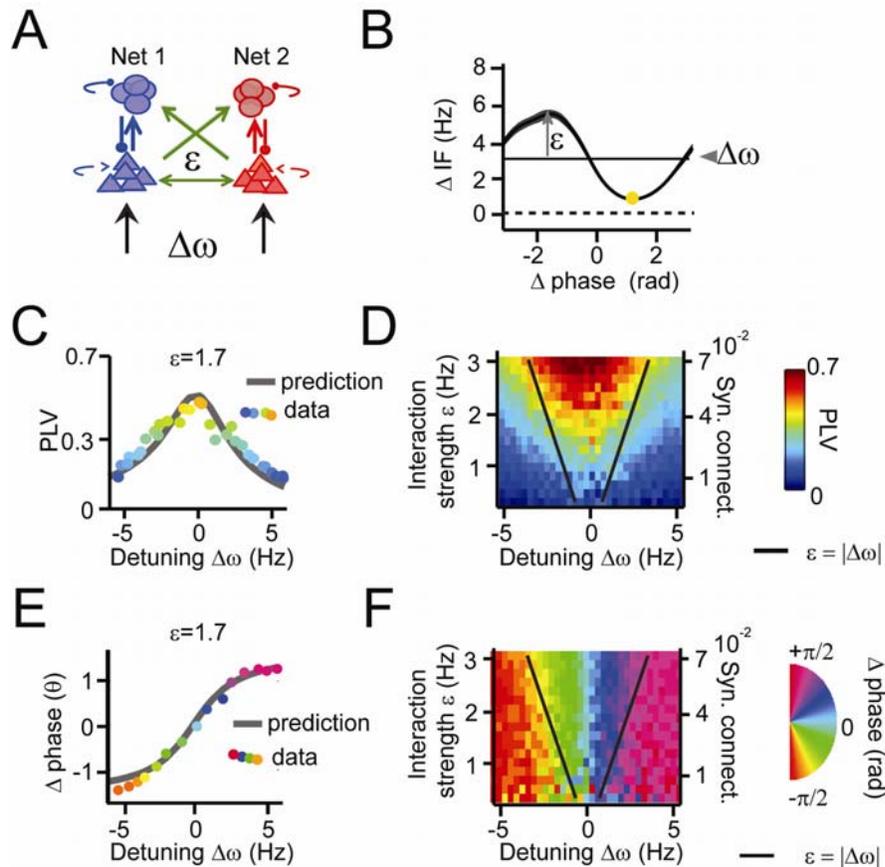
304 The theory predicts that the phase difference dependent modulations of instantaneous
305 frequency difference ($\Delta IF(\theta)$) are determined by the detuning $\Delta\omega$ and the interaction term $\varepsilon G(\theta)$.
306 In experimental data, we observed these systematic modulations. Thus, these modulations give
307 information about the detuning and the properties of the interaction term. Specifically, the time-
308 averaged modulation of the instantaneous frequency $\Delta \overline{IF}(\theta)$ directly relates to the deterministic
309 term $\Delta\omega + \varepsilon G(\theta)$, as noise is averaged out (see Supplementary Information). We estimated a
310 single $G(\theta)$ function (mutual PRC) and σ value for a given dataset (i.e. each monkey and the
311 PING networks) assuming stability of underlying PRCs and of the noise sources, whereas $\Delta\omega$
312 and ε were estimated for each contact pair and condition. $G(\theta)$ was estimated by the $\Delta \overline{IF}(\theta)$

313 modulation shapes put to unity. The interaction strength ε was estimated by the modulation
314 amplitude of the $\Delta\overline{IF}(\theta)$. The detuning $\Delta\omega$ was estimated by the average value of the $\Delta\overline{IF}(\theta)$
315 computed over $[-\pi, \pi]$. The remaining parameter σ was approximated by finding the σ value for
316 equation 1 that reproduced the observed overall instantaneous frequency variability (see
317 supplementary materials). Given $G(\theta)$ and the value σ , the equation can be mathematically
318 (analytically) solved for any values of detuning $\Delta\omega$ and interaction strength ε .

319

320 **TWCO predicts synchronization properties of weakly coupled PING networks**

321 We first tested the applicability of TWCO for the PING network simulation data. To test for the
322 presence of the Arnold tongue in simulation data, we modulated detuning and interaction
323 strength by varying input drive difference and cross-network connection strength respectively
324 (Fig.4A). From the instantaneous frequency difference modulations (Fig.4B) we reconstructed
325 $G(\theta)$, which was approximately a sinusoidal function. This is noteworthy given that the
326 excitatory cross-network connections mainly advanced the phase (Cannon and Kopell, 2015). As
327 discussed later, this was because networks were mutually connected. Further, we estimated the
328 remaining parameters: detuning, interaction strength and the phase noise variance ($\sigma=15\text{Hz}$). Fig
329 4C shows for an example level of interaction strength that the analytical predictions of PLV
330 accurately predicted the simulated PLV (model accuracy: $R^2=0.93$). Fig 4D demonstrates that
331 mapping the gamma PLV in the $\Delta\omega$ vs. ε parameter space yielded the Arnold tongue with a
332 shape similar to the prediction by the TWCO. Likewise, Fig.4E shows the excellent match
333 between analytical prediction and simulation data for the mean phase difference (model
334 accuracy: $R^2= 0.94$), and Fig.4F shows that the mean phase difference of simulated data in the
335 $\Delta\omega - \varepsilon$ parameter space yielded the Arnold tongue (Fig.4E-F) with a shape similar to that
336 predicted by the TWCO.



337
 338 **Fig.4.** Applying the theory of weakly coupled oscillators to coupled PING networks. (A) Two
 339 coupled pyramidal-interneuron gamma (PING) networks (Net 1 and Net 2). Detuning $\Delta\omega$ was
 340 varied by excitatory input drive, whereas interaction strength ε was varied by inter-network
 341 connectivity strength. (B) An example plot of averaged phase-dependent modulation of the
 342 instantaneous frequency difference (ΔIF) used for estimating ε and $\Delta\omega$. The shape of the
 343 modulation indicates the $G(\theta)$. (C) The simulation PLV at different detuning values $\Delta\omega$ (dots
 344 colored by PLV) at a single interaction strength value ($\varepsilon = 1.7$) was well predicted by the model
 345 (gray line). (D) The PLV at many interaction strengths and detuning values mapped the Arnold
 346 tongue. Black lines mark the predicted Arnold tongue borders in the noise-free case ($\varepsilon = |\Delta\omega|$).
 347 (E-F) As (F-G), but for preferred phase difference θ .

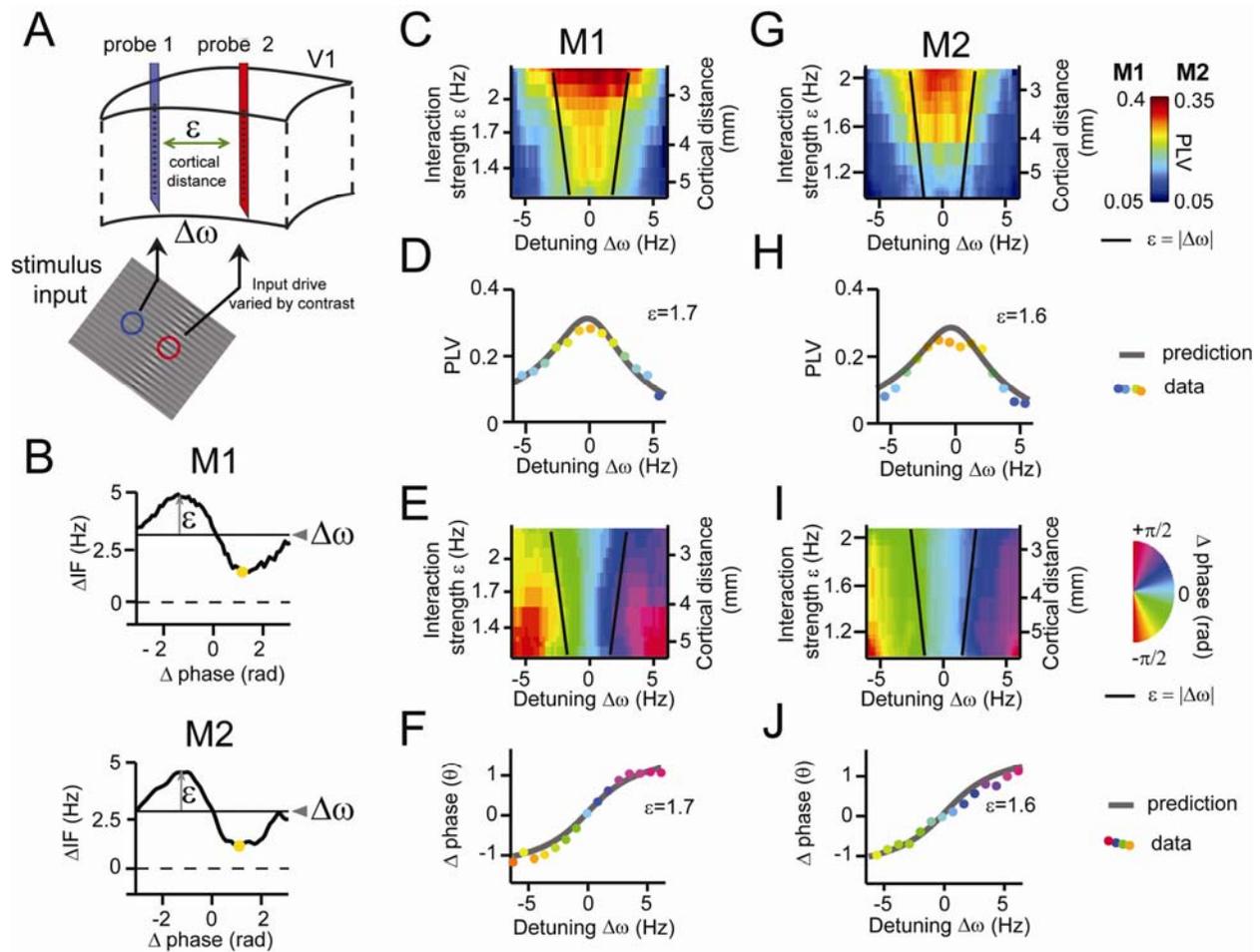
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349 TWCO predicts synchronization properties of V1 cortical gamma rhythms

350 We then tested whether the theory predicted the in vivo data with equal success. In the same
 351 manner as with the PING modeling data, we estimated the underlying parameters using the
 352 observed modulations of the instantaneous frequency difference (see $\Delta \overline{IF}(\theta)$ examples in
 353 Fig.5A,F), and the phase variance (M1: $\sigma=19$ Hz, M2: $\sigma=20$ Hz). The interaction strengths and
 354 detuning values were estimated for each channel pair and condition separately. $G(\theta)$ was again
 355 approximately a sinusoidal function with symmetric negative and positive components (Akam et

356 al., 2012). The interaction strength ε was found to be inversely correlated with the cortical
357 distance between probes (linear regression, M1: $R^2=0.41$, M2: $R^2=0.29$, both $p < 10^{-10}$), in line
358 with V1 horizontal connectivity (Stettler et al., 2002). The detuning $\Delta\omega$ was correlated with the
359 contrast difference between probes (linear regression, M1: $R^2=0.31$, M2: $R^2=0.25$, both $p < 10^{-10}$,
360 Fig.S2). Combining gamma PLV estimates from all recorded V1 pairs, we were able to reconstruct
361 the Arnold tongue as a function of $\Delta\omega$ and ε in both M1 and M2 (Fig.5C/G) confirming a central
362 theoretical prediction. To better evaluate the accuracy of the theory, we derived analytical
363 predictions for different $\Delta\omega$ and ε by solving equation 1 using the estimated parameters. We
364 found that the gamma PLV variation over all single contact pairs were substantially captured by
365 the analytical predictions as a function of $\Delta\omega$ and ε (model accuracy: M1: $R^2=0.18$, $n=7245$, M2:
366 $R^2=0.32$, $n=7938$). The observed population means for different $\Delta\omega$ and ε values followed the
367 analytical predictions well (model accuracy: M1: $R^2=0.83$, M2: $R^2=0.86$, both $n=638$). In
368 Fig.5D/H we plotted a horizontal cross-section of the Arnold tongue that shows the good fit
369 between the prediction and observed population means. The observation of a gamma Arnold
370 tongue across the V1 middle-superficial layers was confirmed also for deep layer contacts
371 (Fig.S4). We then mapped the mean phase difference (preferred phase-relation) between V1
372 gamma rhythms as function of $\Delta\omega$ and ε . We observed a clear phase gradient in both monkeys
373 across the detuning dimension (Fig.5E/I). The phase spread (see also Fig.5F/J) had a range of
374 nearly $-\pi/2$ to $\pi/2$ in both M1 and M2, as predicted by the shape of $G(\theta)$. Gamma rhythms with
375 the higher frequency of a pair had the leading preferred phase relation. The mean phase
376 difference increased with increased detuning. For given detuning, stronger interaction strength
377 led to a reduction of the phase difference. Over all single contact pairs the mean phase difference
378 was substantially captured by the analytical predictions (model accuracy: M1: $R^2=0.56$, $n=7245$,
379 M2: $R^2=0.3$, $n=7938$). The observed population means for different $\Delta\omega$ and ε values followed the
380 analytical predictions precisely (model accuracy: M1: $R^2=0.92$, M2: $R^2=0.88$, both $n=638$).

381 We confirmed the PLV and phase difference analysis in spike-CSD (spike-field) and
382 spike-spike measurements (Fig.S5).



383

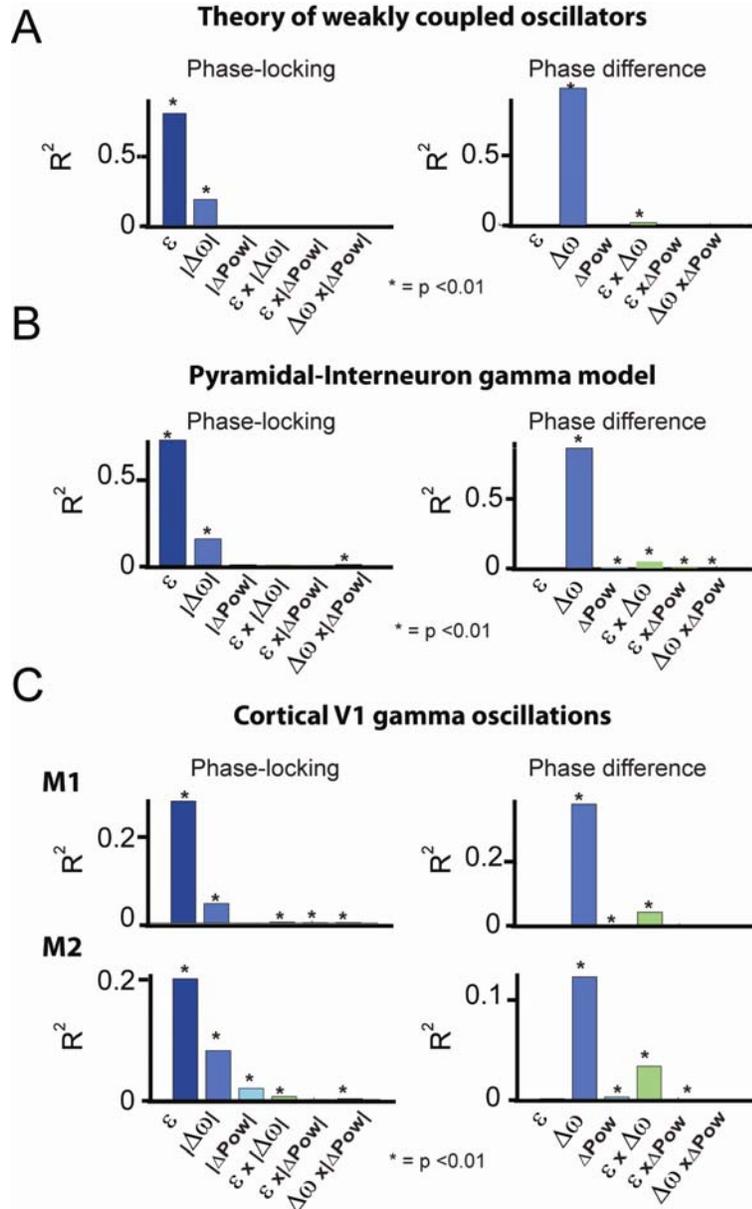
384 **Fig.5** Predicting V1 gamma synchronization. (A) Illustrative schema showing how detuning $\Delta\omega$
 385 and interaction strength ε of V1 gamma relate to local stimulus contrast and cortical distance
 386 respectively. (B) An example plot of averaged phase-dependent modulation of the instantaneous
 387 frequency difference (ΔIF) used for estimating ε and $\Delta\omega$ for monkey M1 (top) and M2 (bottom).
 388 The shape of the modulation indicates the $G(\theta)$. (C-F) Results from M1. (C) Observed PLV (dots)
 389 and analytical prediction (gray line) as a function of detuning $\Delta\omega$ for one level of interaction
 390 strength ($\varepsilon=1.7$). (D) Combining different detuning $\Delta\omega$ and interaction strengths ε we observed a
 391 triangular region of high synchronization, the Arnold tongue. Black lines mark the predicted
 392 Arnold tongue border as expected from the noise-free case ($\varepsilon=|\Delta\omega|$) (E) Analytical prediction
 393 (gray) and experimentally observed preferred phase differences (dots colored by phase
 394 difference) as a function of detuning $\Delta\omega$ for one level of interaction strength ($\varepsilon=1.7$). (F) Similar
 395 to D), but now plotting the preferred phase difference. (G-J) As (C-F) but for M2 population
 396 data. Color coding of dots in C, H, E, I is as indicated in color scales in panels just below them.

397

398

399 **Comparison of TWCO, PING and V1 gamma synchronization**

400 To reveal the individual contributions of detuning and interaction strength in regulating the PLV
401 and the mean phase difference, we applied a multiple regression approach with detuning,
402 interaction strength and amplitude as factors (Fig.6). The contributions were expressed in
403 explained variance (R^2). We found that the TWCO (Fig.6A) reflected the same pattern of
404 contributions as we observed for PING (Fig.6B) and V1 gamma rhythms (Fig.6C). The phase
405 locking value (PLV) was mainly determined by interaction strength and more weakly by
406 detuning. The mean phase difference was however primarily determined by detuning and only
407 weakly by interaction strength. Interaction strength affected the mean phase difference through
408 an interaction effect with detuning by changing the detuning-to-phase-difference slope
409 (interaction effect in Fig.6). In addition to the predictions of TWCO, we observed weak effects of
410 the oscillation amplitude on the PLV and on the mean phase difference in PING and V1 gamma
411 data. Amplitude differences between gamma rhythms can lead to asymmetric interaction
412 strengths that shift the precise PLV and the preferred phase-relation. Further, in both PING and
413 V1 data, we observed phase-dependent instantaneous amplitude modulations (Fig.S6). However,
414 the analytical predictions and multiple regression analysis are in agreement in showing that
415 detuning and interaction strength (frequency modulations) represent the main parameters for
416 regulating V1 gamma synchronization.
417



418
 419 **Fig.6:** Multiple regression analysis of PLV and mean phase difference. (A) TWCO numerical
 420 simulations ($n=673$) including different detuning (-6Hz to 6Hz) and interaction strengths ($0 < \epsilon <$
 421 3.5). (B) PING network simulations ($n=697$) including different inter-network connection
 422 strengths (0.008 - 0.072) and input drive differences (-5 to 5). (C) Macaque V1 single contact
 423 data including all contact pairs and conditions (M1: $n=7245$, M2: $n=7938$). A significance value
 424 below $P < 0.01$ is marked with an asterisk. The results for PLV are on the left and for phase
 425 difference are on the right. Contributions are expressed in explained variance (R^2).

426
 427
 428
 429

430 **DISCUSSION**

431 The present study shows that gamma synchronization in awake monkey V1 adheres to
432 theoretical principles of weakly coupled oscillators (Ermentrout and Kleinfeld, 2001;
433 Hoppensteadt and Izhikevich, 1998; Kopell and Ermentrout, 2002; Kuramoto, 1991; Pikovsky et
434 al., 2002; Winfree, 1967), thereby providing insight into the synchronization regime of gamma
435 rhythms and its principles. Given the generality of the synchronization principles, they are likely
436 to apply to other brain regions and frequency bands.

437

438 **Intermittent synchronization: The role of non-stationary frequency modulations**

439 Our findings reveal the importance of phase-dependent frequency modulations for synchronizing
440 V1 gamma rhythms. The same modulations were observed in a general biophysical model of
441 gamma rhythms. These modulations show that a fixed and common frequency is not required for
442 phase coordination. To the contrary, stronger non-stationary frequency modulations led to
443 stronger synchronization, and thus to more reliable phase coordination. These modulations arise
444 naturally in the intermittent synchronization regime (Izhikevich, 2007; Pikovsky et al., 2002),
445 when oscillators cannot remain in a stable equilibrium due to detuning and noise. Given the
446 variable nature of gamma rhythms in vivo (Atallah and Scanziani, 2009; Burns et al., 2010; Ray
447 and Maunsell, 2010; Roberts et al., 2013), intermittent synchronization is the most likely regime
448 for their phase coordination. Although complete synchronization is not achieved in this regime,
449 phase coordination remains sufficiently robust to influence the strength and directionality of
450 information flow (Battaglia et al., 2012; Buehlmann and Deco, 2010; Fries, 2015; Maris et al.,
451 2016), by rendering particular phase-relations more likely than others. The observation of non-
452 stationary frequency modulations also has methodological implications. Gamma rhythms are
453 often studied with stationary methods, for example spectral coherence or stationary granger
454 measures, yet our findings are not in line with the (weak-sense) stationarity assumption (Lachaux
455 et al., 1999; Lowet et al., 2016). Time-resolved non-stationary methods are therefore more
456 appropriate to study the dynamics underling gamma synchronization.

457

458 **The interaction function of V1 gamma rhythms**

459 We show that the shape of the frequency modulations reflects the underlying interaction
460 function, the PRC (Hoppensteadt and Izhikevich, 1998; Kopell and Ermentrout, 2002;
461 Kuramoto, 1991; Pikovsky et al., 2002; Winfree, 1967). The PRC defines how the oscillators
462 advance or delay each other's phase development to coordinate their phase-relation. We
463 observed symmetric sinusoidal-like functions in both PING and in V1 gamma that resemble the
464 basic function of the widely-used Kuramoto-model (Breakspear et al., 2010). This is in
465 agreement with the biphasic PRC of gamma rhythms observed in the rat hippocampus (Akam et
466 al., 2012). In agreement with our symmetric $G(\theta)$, we observed symmetric Arnold tongues
467 (Izhikevich, 2007; Kopell and Ermentrout, 2002; Pikovsky et al., 2002). Importantly, here we
468 estimated the mutual (bidirectional) PRC, the $G(\theta)$. This function can be symmetric (equal
469 magnitude of phase advance and delay), despite asymmetric individual (unidirectional) PRCs, as

470 long as the rhythms interact approximatively equally strongly, which is a plausible assumption
471 between V1 locations. Therefore, our results are not per se at odds with other studies that have
472 indicated asymmetric individual PRC in neural data (Cannon and Kopell, 2015; Wang et al.,
473 2013). Unidirectionally connected neural groups, for example between certain cortical areas,
474 might have asymmetric PRC and hence an asymmetric Arnold tongue. In this situation a
475 frequency difference between cortical areas (Bosman et al., 2012; Cannon et al., 2014) might be
476 favorable for optimal information transmission. This hypothesis could be tested between gamma
477 rhythms recorded from unidirectionally connected cortical areas.

478

479 **The Arnold tongue and the regulative parameters of gamma synchronization**

480 Previous studies have established diversity in the phase-locking (Eckhorn et al., 2001; Gray and
481 Singer, 1989; Ray and Maunsell, 2010) and in the phase-relation (Maris et al., 2016; Vinck et al.,
482 2010) of gamma rhythms in the primate visual cortex. However, how this diversity is regulated
483 was not well established. Here, we show that two parameters mainly determined gamma
484 synchronization: the detuning (frequency difference) and the interaction strength ε (frequency
485 modulations). This was highlighted in the mapping of the Arnold tongue, offering a graphical
486 understanding of how these parameters shape gamma-band synchronization. Detuning represents
487 a desynchronization force, whereas the interaction strength represents a synchronization force.
488 The former was modulated by input drive differences, and the latter by connectivity strength.
489 Their interplay defined the resultant phase-locking strength and the preferred phase-relation
490 between gamma rhythms. The observed role of detuning is in agreement with a previous study in
491 the rat hippocampus (Akam et al., 2012), in which optogenetic entrainment strength and phase of
492 gamma rhythms were dependent on the frequency-detuning. The results also agree with
493 theoretical conceptions on oscillatory interactions (Ermentrout and Kopell, 1984; Hoppensteadt
494 and Izhikevich, 1998; Sancristóbal et al., 2014; Tiesinga and Sejnowski, 2010). We suggest that
495 small detuning values (mainly $<\Delta 10\text{Hz}$) reported in the present study and much larger shifts in
496 the gamma frequency-range (25-50Hz to 65-120Hz) reported in the rat hippocampus and cortex
497 (Colgin et al., 2009) represent different but complementary mechanisms for controlling gamma
498 synchronization. In this perspective, large shifts in the frequency-range could selectively turn on
499 or off gamma-mediated information flow between brain regions, whereas fine frequency
500 detuning modulates the exact strength and direction of the gamma-mediated information flow.
501 The role of instantaneous frequency modulations, defining the interaction strength, reflects the
502 overall ability of two cortical locations to engage in gamma-band synchronization. These
503 modulations are mediated by anatomical connectivity and further modified by oscillation
504 amplitude. Hence, an important source of instantaneous V1 gamma frequency modulations
505 (Bosman et al., 2009; Burns et al., 2011, 2010; Roberts et al., 2013) is the underlying network
506 (intermittent) synchronization process. Instantaneous gamma frequency fluctuations have also
507 been observed in the rat hippocampus by Atallah and Scanziani (2009). Their data suggested that
508 these fluctuations, which reflected rapid phase shifts due to changes in excitation-inhibition
509 balance, might be critical for gamma-mediated information flow. In line with this notion, we

510 show that these cycle-by-cycle modulations are essential for regulating synchronization
511 properties between gamma rhythms.

512

513 **Role of V1 gamma synchronization for visual processing**

514 In our experiment, detuning was dependent on the local contrast difference (Ray and Maunsell,
515 2010; Roberts et al., 2013), known to change neural excitation in V1 (Sclar et al., 1990), while
516 the interaction strength was dependent on the underlying horizontal connectivity strength, here
517 varied by cortical distance (Stettler et al., 2002). Gamma synchronization is therefore
518 informative about the sensory input (Besserve et al., 2015) and informative about the underlying
519 structure of connectivity. Indeed, the frequency of gamma rhythms is modulated by various
520 sensory stimuli (Fries, 2015) and by cognitive manipulations (Bosman et al., 2012; Buzsáki and
521 Wang, 2012; Fries, 2015) suggesting that frequency control is critical for functional V1 gamma-
522 band coordination. The horizontal connectivity in V1 is not only local, but also exhibits
523 remarkable tuning to visual features, orientation being a prime example (Stettler et al., 2002).
524 Hence, innate and learned connectivity patterns likely affect the interaction strength and hence
525 the synchronization patterns of gamma rhythms within V1. These properties suggest V1 gamma
526 as a functional mechanism for early vision (Eckhorn et al., 2001; Gray and Singer, 1989) by
527 temporally coordinating local neural activity as a function of sensory input and connectivity.
528 However, in line with previous studies (Eckhorn et al., 2001; Palanca and DeAngelis, 2005), V1
529 gamma synchronization was found to be mainly local and hence not likely to ‘bind’ whole
530 perceptual objects. Furthermore, recent studies on the gamma-band response during natural
531 viewing (Brunet et al., 2013; Hermes et al., 2014) have found variable levels of synchronization
532 power for different natural images. In line with these observations, the revealed Arnold tongue of
533 V1 gamma implies that natural image parts with high input/detuning variability (heterogeneity)
534 will induce no or weak synchronization, whereas parts with low input/detuning variability
535 (homogeneity) will induce strong synchronization. This is also in line with proposals linking
536 gamma synchronization with surround suppression/normalization (Gieselmann and Thiele, 2008;
537 Ray et al., 2013) and predictive coding (Vinck and Bosman, 2016). Our findings and
538 interpretation shed new light onto the operation of gamma synchronization in the brain and will
539 permit new and more detailed description of the mechanisms by which synchronization is
540 regulated by cognitive and sensory inputs.

541

542 **Experimental Procedures:**

543 Species used and surgical procedures

544 Two adult male rhesus monkeys were used in this study. A chamber was implanted above early
545 visual cortex, positioned over V1/V2. A head post was implanted to head-fix the monkeys during
546 the experiment. All the procedures were in accordance with the European council directive
547 2010/63/EU, the Dutch ‘experiments on animal acts’ (1997) and approved by the Radboud
548 University ethical committee on experiments with animals (Dier-Experimenten-Commissie,
549 DEC).

550

551 Recording methods

552 V1 recordings were made with 2 or 3 Plexon U-probes (Plexon Inc.) consisting of 16 contacts
553 (150 μ m inter-contact spacing). We recorded the local field potential (LFP) and multi-unit
554 spiking activity (MUA). For the main analysis we used the current-source density (CSD, (Vaknin
555 et al., 1988)) to reduce volume conduction. We aligned the neural data from the different laminar
556 probes according to their cortical depth and excluded contacts coming from deep V2. Layer
557 assignment was based on the stimulus-onset CSD profile (Schroeder et al., 1991) and the inter-
558 laminar coherence pattern (Maier et al., 2010). Receptive field (RF) mapping was achieved by
559 presenting at fast rate high-contrast black and white squares pseudorandomly on a 10x10 grid
560 (Roberts et al., 2013). For RF mapping we used CSD signals and spikes.

561

562 Task and visual stimuli

563 The monkeys were trained for head-fixation and were placed in a Faraday-isolated darkened
564 booth at a distance of 57cm from a computer screen. Stimuli were presented on a Samsung TFT
565 screen (SyncMaster 940bf, 38 $^{\circ}$ x30 $^{\circ}$ 60Hz). During stimulation and pre-stimulus time the monkey
566 maintained a central eye position (measured by infra-red camera, Arrington, 60Hz sampling
567 rate). The monkey's task was to passively gaze on a fixation point while a stimulus was shown.
568 The monkey was rewarded for correct trials. The local stimulus contrast was manipulated in a
569 whole-field static square-wave grating (2 cycles/degree, presented at two opposite phases
570 randomly interleaved). Contrast was varied smoothly over space such that different RFs had
571 different contrast values. The direction of the contrast difference was parallel to the arrangement
572 of RFs and orthogonal to the orientation of the grating. The stimulus was isoluminant at all
573 points and was isoluminant with the pre-stimulus grey screen. We presented 9 different contrast
574 modulation conditions (Table.S1). Cortex software (<http://dally.nimh.nih.gov/index.html>) was
575 used for visual stimulation and behavioral control.

576

577 Data analysis

578 To investigate dynamical changes in the gamma phase and frequency over time we estimated the
579 instantaneous gamma phase and frequency using the singular spectrum decomposition of the
580 signal (SSD (Bonizzi et al., 2014), see <https://project.dke.maastrichtuniversity.nl/ssd/>) combined
581 with Hilbert-Transform or wavelet-decomposition. The phase-locking value (PLV) was
582 estimated as the mean resultant vector length (Lachaux et al., 1999) and the preferred phase-
583 relation as the mean resultant vector angle. For experimental data, we estimated the signal-to-
584 noise ratio (SNR) to reduce the influence of measurement noise on estimates. Phase flipping due
585 to CSD computation was corrected.

586

587 Theoretical and computational modelling

588 Using the theory of weakly coupled oscillators we investigated the phase-locking as well as the
589 mean phase difference of two mutually coupled noisy phase-oscillators with variable frequency

590 difference (detuning) and interaction strength. The stochastic differential equation was solved
591 analytically (Pikovsky et al., 2002). The analytical results correctly predicted the numerical
592 simulations. In addition, we simulated two coupled excitatory-inhibitory spiking networks
593 generating gamma oscillations using the Izhikevich-type neuronal model (Izhikevich, 2003). The
594 detuning between the networks was altered by changing the difference in excitatory input drive.
595 The interaction strength was altered by changing the cross-network synaptic connection strength.

596

597 Statistics

598 The accuracy of the theoretical predictions for the experimental data was quantified as the
599 explained variance R^2 . In addition, to evaluate the contribution of different parameters we used a
600 multiple regression approach (Matlab function fitlm, The MathWorks Inc.).

601

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612

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