

Synaptonemal complex components are required for meiotic checkpoint function in *C. elegans*

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1 **Abstract**

2 Synapsis involves the assembly of a proteinaceous structure, the synaptonemal complex (SC),
3 between paired homologous chromosomes and is essential for proper meiotic chromosome
4 segregation. In *C. elegans*, the synapsis checkpoint selectively removes nuclei with unsynapsed
5 chromosomes by inducing apoptosis. This checkpoint depends on Pairing Centers (PCs), *cis*-
6 acting sites that promote pairing and synapsis. We have hypothesized that the stability of
7 homolog pairing at PCs is monitored by this checkpoint. Here, we report that SC components
8 SYP-3, HTP-3, HIM-3 and HTP-1 are required for a functional synapsis checkpoint. Mutation of
9 these components does not abolish PC function, demonstrating they are bonafide checkpoint
10 components. Further, we identify mutant backgrounds in which the instability of homolog pairing
11 at PCs does not correlate with the synapsis checkpoint response. Altogether, these data
12 suggest that, in addition to homolog pairing, SC assembly may be monitored by the synapsis
13 checkpoint.

14

15 **Introduction**

16 Meiosis is the specialized cell division by which cells undergo one round of DNA duplication
17 and two successive rounds of division to produce haploid gametes from diploid organisms.
18 During sexual reproduction, fertilization restores diploidy in the resulting embryo. In order for
19 meiotic chromosomes to segregate properly in meiosis I and II, homologs pair, synapse and
20 undergo crossover recombination (BHALLA *et al.* 2008). If homologous chromosomes fail to
21 segregate properly, this can produce gametes, such as egg and sperm, with an improper
22 number of chromosomes, termed aneuploidy. Embryos that result from fertilization of aneuploid
23 gametes are generally inviable, but can also exhibit developmental disorders (HASSOLD AND
24 HUNT 2001). Therefore, checkpoint mechanisms monitor early meiotic prophase events to avoid
25 the production of aneuploid gametes (MACQUEEN AND HOCHWAGEN 2011).

26 Synapsis involves the assembly of a proteinaceous complex, the synaptonemal complex

1 (SC), between paired homologous chromosomes and is essential for crossover recombination
2 (BHALLA AND DERNBURG 2008). In *C. elegans*, the synapsis checkpoint induces apoptosis to
3 remove nuclei with unsynapsed chromosomes and prevent aneuploid gametes (BHALLA AND
4 DERNBURG 2005) (Figure 1A). The synapsis checkpoint requires Pairing Centers (PCs) (BHALLA
5 AND DERNBURG 2005), *cis*-acting sites near one end of each chromosome. PCs also promote
6 pairing and synapsis (MACQUEEN *et al.* 2005) by recruiting factors, such as the zinc-finger
7 containing proteins ZIM-1, ZIM-2, ZIM-3 and HIM-8 (PHILLIPS AND DERNBURG 2006; PHILLIPS *et*
8 *al.* 2005), and the conserved polo-like kinase PLK-2 (HARPER *et al.* 2011; LABELLA *et al.* 2011).
9 We have hypothesized that the synapsis checkpoint monitors the stability of pairing at PCs as a
10 proxy for proper synapsis (BOHR *et al.* 2015; DESHONG *et al.* 2014). However, whether the
11 process of synapsis is also monitored by the synapsis checkpoint is currently unknown.

12 Upon entry into meiosis, axial elements assemble between replicated sister chromatids to
13 support homolog pairing and synapsis. In most species, HORMA domain proteins (HORMADs)
14 associate with axial elements (CARYL *et al.* 2000; FUKUDA *et al.* 2010; HOLLINGSWORTH *et al.*
15 1990; WOJTASZ *et al.* 2009). These proteins share structural features with the well-characterized
16 spindle checkpoint protein, Mad2 (ARAVIND AND KOONIN 1998; KIM *et al.* 2014), and have been
17 implicated in monitoring meiotic prophase events, such as recombination and synapsis
18 (CARBALLO *et al.* 2008; DANIEL *et al.* 2011; WOJTASZ *et al.* 2012), thus coupling meiotic
19 chromosome architecture to checkpoint function. In *C. elegans*, four HORMAD proteins, HTP-3,
20 HIM-3, HTP-1, and HTP-2, comprise the axial elements of the SC and play overlapping but
21 distinct roles during meiotic prophase, including but not limited to meiotic checkpoint function
22 (COUTEAU *et al.* 2004; COUTEAU AND ZETKA 2005; GOODYER *et al.* 2008; KIM *et al.* 2015;
23 MARTINEZ-PEREZ AND VILLENEUVE 2005; ZETKA *et al.* 1999).

24 Synapsis is complete when the central element of the SC is assembled between paired axial
25 elements of homologous chromosomes. In *C. elegans*, the central element includes the factors
26 SYP-1, SYP-2, SYP-3 and SYP-4 (COLAIACOVO *et al.* 2003; MACQUEEN *et al.* 2002; SMOLIKOV

1 *et al.* 2007; SMOLIKOV *et al.* 2009). Loss of any one of these proteins produces a similar mutant
2 phenotype: extensive asynapsis of all chromosomes that is accompanied by a delay in meiotic
3 progression in which chromosomes remain asymmetrically localized in meiotic nuclei
4 (COLAIACOVO *et al.* 2003; MACQUEEN *et al.* 2002; SMOLIKOV *et al.* 2007; SMOLIKOV *et al.* 2009)
5 and factors that normally localize transiently to meiotic chromosomes persist (HARPER *et al.*
6 2011; LABELLA *et al.* 2011; ROSU *et al.* 2013; STAMPER *et al.* 2013; WOGLAR *et al.* 2013). We've
7 shown that *syp-1* mutants also induce germline apoptosis as a result of the synapsis checkpoint
8 (Figure 1A) (BHALLA AND DERNBURG 2005). However, it's unclear whether *syp-2*, *syp-3* or *syp-4*
9 mutants similarly elicit an increase in germline apoptosis in response to the synapsis
10 checkpoint. Genetically ablating the synapsis checkpoint does not affect the meiotic delay
11 associated with asynapsis in *syp-1* mutants (BOHR *et al.* 2015; DESHONG *et al.* 2014), indicating
12 that these two events are not mechanistically coupled. Meiotic HORMAD proteins regulate this
13 delay (KIM *et al.* 2015; MARTINEZ-PEREZ AND VILLENEUVE 2005).

14 Here, we report that some SC components are required for the synapsis checkpoint. *syp-2*
15 mutants resemble *syp-1* mutants and elevate apoptosis in response to the synapsis checkpoint.
16 *syp-4* mutants also exhibit elevated apoptosis similar to *syp-1* and *syp-2* mutants. However, the
17 elevation in apoptosis observed in *syp-4* mutants is not dependent on PCH-2 but is dependent
18 on MDF-1. Since both PCH-2 and MDF-1 are synapsis checkpoint components (BHALLA AND
19 DERNBURG 2005; BOHR *et al.* 2015) that act redundantly to regulate synapsis (BOHR *et al.* 2015),
20 these data suggest there may be molecular differences in how the synapsis checkpoint can be
21 activated. By contrast, *syp-3* mutants do not elicit a synapsis checkpoint response, indicating
22 that SYP-3 is required for the synapsis checkpoint. Similarly, *htp-3*, *him-3* and *htp-1* mutants are
23 also defective in the synapsis checkpoint. The ability to generate a synapsis checkpoint
24 response does not correlate with less stable homolog pairing at PCs, suggesting that the
25 synapsis checkpoint may instead monitor SC assembly through these factors. Finally, loss of

1 SYP-3, HTP-3, HIM-3 or HTP-1 does not abrogate PC function, consistent with these proteins
2 playing a direct role in the checkpoint.

3

4 **Results and Discussion**

5 **SYP-3 is required for the synapsis checkpoint**

6 *syp-1* mutants exhibit increased germline apoptosis as a result of the synapsis checkpoint
7 (due to asynapsis) and the DNA damage checkpoint (due to an inability to repair double strand
8 breaks [DSBs]) (Figure 1A) (BHALLA AND DERNBURG 2005). SPO-11 is required for the
9 introduction of meiotic DSBs (DERNBURG *et al.* 1998) and PCH-2 is required for the synapsis
10 checkpoint (BHALLA AND DERNBURG 2005). We've previously shown that loss of SPO-11 or
11 PCH-2 in otherwise wild-type backgrounds does not affect germline apoptosis (BHALLA AND
12 DERNBURG 2005). However, *spo-11;syp-1* and *pch-2;syp-1* double mutants display lower levels
13 of germline apoptosis than *syp-1* single mutants because of loss of the DNA damage or
14 synapsis checkpoint response, respectively. (Figure 1A) (BHALLA AND DERNBURG 2005). Loss of
15 both checkpoints in *pch-2;spo-11;syp-1* triple mutants result in wild-type levels of apoptosis
16 (Figure 1A) (BHALLA AND DERNBURG 2005).

17 To determine if other *syp* mutants behave similarly we quantified apoptosis in null *syp-2*,
18 *syp-3* and *syp-4* mutants (Figure 1B, C and D). Mutation of *syp-2* elevated germline apoptosis
19 levels similar to those seen in *syp-1* mutants (Figure 1B), suggesting that *syp-2* mutants exhibit
20 both DNA damage and synapsis checkpoint responses. To verify that *syp-2* mutants exhibit a
21 DNA damage checkpoint response, we introduced a mutation of *spo-11* into a *syp-2*
22 background. We observed decreased apoptosis to intermediate levels in *spo-11;syp-2* double
23 mutants (Figure 1B), indicating that *syp-2* mutants exhibit a DNA damage checkpoint response.
24 To determine if *syp-2* mutants exhibit a synapsis checkpoint response we observed apoptosis in
25 *pch-2;syp-2* double mutants which also had intermediate levels of germline apoptosis (Figure
26 1B). This verifies that *syp-2* mutants elevate germline apoptosis due to the synapsis checkpoint.

1 Furthermore, mutation of both *pch-2* and *spo-11* reduced apoptosis to wild-type levels in a *syp-2*
2 background (Figure 1B). These data show that the elevation of apoptosis observed in *syp-2*
3 mutants is in response to both the DNA damage and synapsis checkpoints, similar to *syp-1*
4 mutants (BHALLA AND DERNBURG 2005).

5 Next we analyzed *syp-4* mutants and found that germline apoptosis was also elevated
6 (Figure 1C) comparable to *syp-1* and *syp-2* mutants (Figure 1B). Moreover, *spo-11;syp-4*
7 double mutants resembled *spo-11;syp-1* and *spo-11;syp-2* double mutants (BHALLA AND
8 DERNBURG 2005) (Figure 1B and C), demonstrating that *syp-4* mutants have elevated apoptosis
9 due to the DNA damage checkpoint. However, germline apoptosis was unaffected in *pch-2;syp-*
10 *4* and *pch-2;spo-11;syp-4* mutants compared to *syp-4* and *spo-11;syp-4* mutants, respectively
11 (Figure 1C).

12 We reasoned that these results with the *pch-2* mutation could either reflect that an additional
13 meiotic checkpoint was active in *syp-4* mutants or *syp-4* mutants produced a synapsis
14 checkpoint response independent of PCH-2. We distinguished between these two possibilities
15 by monitoring germline apoptosis in *mdf-1;syp-4* double mutants and *mdf-1;spo-11;syp-4*; triple
16 mutants (Figure 1C). We previously reported that MDF-1, the *C. elegans* ortholog of the spindle
17 checkpoint gene Mad1, is also required for the synapsis checkpoint and regulates synapsis in
18 an independent, parallel pathway to PCH-2 (BOHR *et al.* 2015). Loss of MDF-1 reduced
19 apoptosis to intermediate levels in *syp-4* mutants and wild-type levels in *spo-11;syp-4* mutants,
20 indicating that the synapsis checkpoint contributes to the increase in apoptosis observed in *syp-*
21 *4* mutants (Figure 1C). Thus, the genetic requirements for the synapsis checkpoint in *syp-4*
22 mutants are different than that of *syp-1* and *syp-2* mutants.

23 We also quantified apoptosis in *syp-3* mutants and observed increased apoptosis
24 compared to wild-type worms but not to levels observed in *syp-1* single mutants (Figure 1D).
25 This suggests that unlike *syp-1*, *syp-2* and *syp-4* mutants, *syp-3* mutants either have a
26 functional DNA damage or synapsis checkpoint, but not both. To determine which checkpoint

1 was responsible for the elevated apoptosis observed in *syp-3* mutants we first quantified
2 apoptosis in *spo-11;syp-3* double mutants (Figure 1D). Mutation of *spo-11* in a *syp-3*
3 background reduced apoptosis to wild-type levels (Figure 1D), demonstrating that the elevation
4 in apoptosis observed in *syp-3* mutants is dependent on the DNA damage checkpoint. To
5 ensure that the elevation in apoptosis observed in *syp-3* mutants is due solely to the DNA
6 damage checkpoint and not due to the synapsis checkpoint, we monitored germline apoptosis in
7 *pch-2;syp-3* mutants. Mutation of *pch-2* in the *syp-3* background did not reduce apoptosis
8 (Figure 1D), illustrating that the elevation in apoptosis observed in *syp-3* mutants is not
9 dependent on the synapsis checkpoint. Therefore, although chromosomes are unsynapsed in
10 *syp-3* mutants (SMOLIKOV *et al.* 2007), the synapsis checkpoint response is abrogated.

11 These data suggest that SYP-3 is required for the synapsis checkpoint. To verify this, we
12 quantified apoptosis in *syp-1;syp-3* double mutants (Figure 1D). *syp-1;syp-3* double mutants
13 had intermediate levels of germline apoptosis (Figure 1D), indicating loss of either the DNA
14 damage checkpoint or the synapsis checkpoint but not both. Mutation of *syp-3* in a *pch-2;syp-1*
15 background did not further decrease apoptosis (Figure 1D), confirming that SYP-3 is not
16 required for the DNA damage checkpoint. However, *spo-11;syp-1;syp-3* triple mutants had wild-
17 type levels of apoptosis (Figure 1D), signifying loss of the synapsis checkpoint. Altogether these
18 data show that SYP-3, but not SYP-2 or SYP-4, is required for the synapsis checkpoint.

19

20 ***syp-3* and *syp-4* mutants exhibit more stable PC pairing than *syp-1* mutants**

21 In the absence of synapsis (for example, in *syp-1* mutants), we can visualize pairing
22 intermediates that typically precede and promote synapsis (MACQUEEN *et al.* 2002). Loss of
23 PCH-2 further stabilizes pairing in *syp-1* mutants (DESHONG *et al.* 2014), leading us to
24 hypothesize that this stabilization of pairing, particularly at PCs, satisfies the synapsis
25 checkpoint in *pch-2;syp-1* and *pch-2;syp-2* double mutants. We reasoned that since *syp-3* and
26 *syp-4* mutants behaved differently than *syp-1* and *syp-2* mutants in the context of checkpoint

1 activation, there might be similar differences with respect to PC pairing. We monitored pairing of
2 X chromosomes as a function of meiotic progression by performing immunofluorescence
3 against the PC protein HIM-8 (PHILLIPS *et al.* 2005) in *syp-1*, *syp-3* and *syp-4* mutants, both in
4 the presence and absence of PCH-2 (Figure 2A). Meiotic nuclei are arrayed in a spatiotemporal
5 gradient in the germline, allowing for the analysis of the progression of meiotic events as a
6 function of position in the germline (Figure 2B, see cartoon). We divided the germline into six
7 equivalently sized zones and assessed the number of nuclei with paired HIM-8 signals in each
8 zone. All six strains initiated pairing in zone 2, achieved maximal pairing by zone 4 and
9 destabilized pairing in zones 5 and 6 (Figure 2B). Although we observed that loss of PCH-2 had
10 effects on pairing in zone 6 in both *syp-3* and *syp-4* mutants (Figure 2B), signifying a role for
11 PCH-2 in these backgrounds independent of the synapsis checkpoint, we focused our analysis
12 on zone 2 based on the more stable pairing we detected in *pch-2;syp-1* double mutants in
13 comparison to *syp-1* single mutants in this region (Figure 2B). PCs were more frequently paired
14 in both *syp-3* and *syp-4* single mutants, similar to *pch-2;syp-1* mutants, in zone 2. *pch-2;syp-3*
15 double mutants exhibited less steady-state pairing at X chromosome PCs than *syp-3* single
16 mutants in zone 2, suggesting that in this background PCH-2 somehow promotes stable PC
17 pairing. *pch-2;syp-4* double mutants resembled *syp-4* single mutants in zone 2, indicating that
18 loss of PCH-2 in *syp-4* mutants does not further stabilize pairing at PCs and providing a
19 potential explanation for why PCH-2 is not required for the synapsis checkpoint in *syp-4*
20 mutants. Further, since *syp-4* mutants present similar frequencies of stable homolog pairing at
21 PCs as *pch-2;syp-1* double mutants and nonetheless elicit a synapsis checkpoint response
22 (Figure 1C) while *pch-2;syp-3* double mutants have paired PCs as infrequently as *syp-1* single
23 mutants and do not activate germline apoptosis via the synapsis checkpoint (Figure 1D), these
24 results suggest that stable PC pairing cannot be the sole criteria that satisfies the synapsis
25 checkpoint.

26

1 **HORMAD proteins HTP-3, HIM-3 and HTP-1 are required for the synapsis checkpoint**

2 We also tested whether axial element proteins, specifically HORMADs, are required for the
3 synapsis checkpoint using null mutations of each gene (Figure 3). First, we tested whether HTP-
4 3 and HIM-3 are required for the synapsis checkpoint by monitoring apoptosis in *htp-3* and *him*-
5 3 mutants (Figure 3A). *htp-3* and *him-3* mutants produced wild-type levels of apoptosis (Figure
6 3A), despite their inability to synapse chromosomes (COUTEAU *et al.* 2004; GOODYER *et al.*
7 2008; ZETKA *et al.* 1999). Thus, these mutants produce neither a DNA damage checkpoint nor a
8 synapsis checkpoint response. HTP-3 is required for DSB formation in meiosis (GOODYER *et al.*
9 2008) and HIM-3 is thought to promote inter-homolog recombination by inhibiting inter-sister
10 repair (COUTEAU *et al.* 2004). These phenotypes could explain the inability of these mutants to
11 generate a DNA damage response. To further investigate a possible role for HTP-3 and HIM-3
12 in the synapsis checkpoint, we introduced mutations of *htp-3* and *him-3* into *syp-1* mutants and
13 quantified apoptosis. *syp-1;htp-3* and *syp-1;him-3* double mutants have wild-type levels of
14 germline apoptosis (Figure 3A), demonstrating that, even in the *syp-1* background, HTP-3 and
15 HIM-3 are indeed required for the synapsis checkpoint.

16 We then tested whether HTP-1 and HTP-2 are required for the synapsis checkpoint. *htp-1*
17 single mutants synapse their chromosomes non-homologously (COUTEAU AND ZETKA 2005;
18 MARTINEZ-PEREZ AND VILLENEUVE 2005) and had intermediate levels of apoptosis (Figure 3B).
19 These data suggest that *htp-1* mutants elicit a DNA damage or synapsis checkpoint response
20 but not both. *htp-2* single mutants have no obvious meiotic defects (COUTEAU AND ZETKA 2005)
21 and exhibited wild-type levels of apoptosis (Figure 3B), indicating that *htp-2* mutants do not
22 produce a DNA damage or synapsis checkpoint response. *htp-1* is linked to *spo-11* on
23 chromosome IV, making it difficult to create *spo-11 htp-1* double mutants. Therefore, to
24 investigate which checkpoint was responsible for the intermediate levels of apoptosis observed
25 in *htp-1* mutants, we abrogated the DNA damage checkpoint using a mutation in *cep-1*, the *C.*
26 *elegans* p53 orthologue (DERRY *et al.* 2001; SCHUMACHER *et al.* 2001). Mutation of *cep-1* in the

1 *htp-1* background reduced apoptosis to wild-type levels while mutations of *pch-2* had no effect
2 on germline apoptosis when compared to *htp-1* single mutants (Figure 3B). This indicates that
3 the elevation in apoptosis observed in *htp-1* mutants is dependent on the DNA damage
4 checkpoint and not the synapsis checkpoint. Therefore, unlike *htp-3* and *him-3* mutants (Figure
5 3A), *htp-1* mutants activate germline apoptosis in response to the DNA damage checkpoint
6 (Figure 3B), supporting the idea that meiotic HORMADS also play distinct roles during meiotic
7 checkpoint activation. Furthermore, these data suggest that either non-homologous synapsis
8 does not result in a synapsis checkpoint response or that HTP-1 may be required for the
9 synapsis checkpoint.

10 To test if HTP-1 is required for the synapsis checkpoint, we took advantage of the partially
11 redundant roles of HTP-1 and HTP-2 during meiotic synapsis. *htp-1 htp-2* double mutants have
12 unsynapsed chromosomes (COUTEAU AND ZETKA 2005), similar to *htp-3* and *him-3* single
13 mutants (COUTEAU *et al.* 2004; GOODYER *et al.* 2008; ZETKA *et al.* 1999), allowing us to evaluate
14 whether unsynapsed chromosomes elicit a synapsis checkpoint response in the absence of
15 HTP-1. Similar to *htp-1* single mutants, *htp-1 htp-2* double mutants exhibited intermediate
16 apoptosis (Figure 3B), suggesting that abrogation of the synapsis checkpoint in *htp-1* mutants is
17 not the product of non-homologous synapsis and supporting the possibility that HTP-1 is
18 required for the synapsis checkpoint. In addition, these data demonstrate that HTP-1 and HTP-2
19 do not appear to play redundant roles in the DNA damage checkpoint's induction of germline
20 apoptosis. This is in contrast to the redundant roles they play in regulating meiotic progression
21 when chromosomes are unsynapsed (KIM *et al.* 2015).

22 To validate that HTP-1 is required for the synapsis checkpoint we observed apoptosis in
23 *syp-1;htp-1* and *syp-1;htp-2* double mutants (Figure 3C). While mutation of *htp-2* had no effect
24 on apoptosis in the *syp-1* background, we observed reduced apoptosis to intermediate levels in
25 *syp-1;htp-1* double mutants compared to *syp-1* single mutants (Figure 3C), indicating loss of
26 one checkpoint. To verify that the synapsis checkpoint but not the DNA damage checkpoint is

1 abrogated in the *syp-1;htp-1* background we observed apoptosis in *pch-2;syp-1;htp-1* and *cep-*
2 *1;syp-1;htp-1* triple mutants. Mutation of *cep-1* in the *syp-1;htp-1* background reduced apoptosis
3 to levels comparable to wild-type worms (Figure 3C) demonstrating that the elevation of
4 apoptosis observed in *syp-1;htp-1* mutants is dependent on the DNA damage checkpoint. In
5 addition, mutation of *pch-2* did not further decrease apoptosis in the *syp-1;htp-1* background
6 (Figure 3C), showing that the elevation of apoptosis observed in *syp-1;htp-1* mutants is not
7 dependent on the synapsis checkpoint. Therefore, the synapsis checkpoint is abrogated in *syp-*
8 *1;htp-1* mutants. However, while apoptosis in *pch-2;syp-1;htp-1* triple mutants was significantly
9 higher than wild-type, *pch-2;syp-1;htp-1* triple mutants had reduced levels of apoptosis in
10 comparison to *pch-2;syp-1* double mutants (Figure 3C), suggesting that loss of HTP-1 affects
11 the synapsis checkpoint more severely than loss of PCH-2. Alternatively, loss of HTP-1 may
12 partially reduce the DNA damage response in this background given its role in enforcing
13 meiotic-specific DNA repair mechanisms (MARTINEZ-PEREZ AND VILLENEUVE 2005). Lastly,
14 similar to *syp-1;htp-1* double mutants, *syp-1;htp-1 htp-2* triple mutants exhibited intermediate
15 levels of apoptosis compared to *syp-1* single mutants and wild-type worms (Figure 3C), further
16 verifying that HTP-2 is not redundant with HTP-1 when considering checkpoint activation of
17 apoptosis. Altogether, these data illustrate that HTP-3, HIM-3, and HTP-1, but not HTP-2, are
18 required for the synapsis checkpoint.

19

20 **HTP-3 and HIM-3 disrupt localization of some but not all PC proteins.**

21 HTP-3, HIM-3 and HTP-1 could be directly required for the synapsis checkpoint or these
22 proteins could be involved in regulating other mechanisms that are required for the synapsis
23 checkpoint. For example, since PCs are required for the synapsis checkpoint (BHALLA AND
24 DERNBURG 2005), we were concerned that *htp-3*, *him-3* and *htp-1* mutants might have defects in
25 PC function. Since *htp-1* single mutants produce non-homologous synapsis (COUTEAU AND
26 ZETKA 2005; MARTINEZ-PEREZ AND VILLENEUVE 2005) and our analysis of apoptosis shows that

1 loss of HTP-2 has no effect on synapsis checkpoint signaling (Figures 3C), we performed
2 experiments to address this using *htp-1 htp-2* double mutants, which have unsynapsed
3 chromosomes (COUTEAU AND ZETKA 2005), allowing better comparison with *htp-3* and *him-3*
4 single mutants. We localized ZIM-2, a protein that binds to and is required for PC function of
5 Chromosome V (PHILLIPS AND DERNBURG 2006), in wild-type worms and *htp-3*, *him-3* and *htp-1*
6 *htp-2* mutants in early meiotic prophase nuclei (Figure 4A). In wild-type worms ZIM-2 forms
7 robust patches at the nuclear periphery (Figure 4A) (PHILLIPS AND DERNBURG 2006). We
8 observed ZIM-2 staining in *htp-1 htp-2* double mutants similar to wild-type worms (Figure 4A).
9 However, *htp-3* and *him-3* mutants had less robust ZIM-2 localization compared to wild-type
10 worms (Figure 4A). We saw similar results in *htp-3*, *him-3* and *htp-1 htp-2* mutants when we
11 stained for ZIM-1 and ZIM-3 (Figure S1A and B), which bind the PCs of Chromosomes I and IV
12 and Chromosomes II and III, respectively (PHILLIPS AND DERNBURG 2006).

13 The defect in robustly localizing ZIMs to PCs in *htp-3* and *him-3* mutants (Figure 4A, S1A
14 and B) might explain why these mutants are defective in the synapsis checkpoint. However, a
15 single unsynapsed X chromosome, with an active PC, is sufficient to elicit a checkpoint
16 response (BHALLA AND DERNBURG 2005). Therefore, we also localized the X chromosome PC
17 binding protein, HIM-8 (Figure 4B) (PHILLIPS *et al.* 2005). We observed staining patterns similar
18 to wild-type worms in *htp-3*, *him-3* and *htp-1 htp-2* mutants (Figure 4B). However, consistent
19 with published reports (COUTEAU *et al.* 2004; COUTEAU AND ZETKA 2005; GOODYER *et al.* 2008),
20 HIM-8 foci were more often unpaired in *htp-3* and *him-3* mutants, while in wild-type and *htp-1*
21 *htp-2* double mutants a single HIM-8 focus per nucleus could often be observed in early meiotic
22 prophase nuclei. We also determined whether X chromosome PCs were functional in these
23 mutant backgrounds by localizing PLK-2 (Figure 4B), a kinase that is recruited by PCs to
24 promote synapsis and the synapsis checkpoint (HARPER *et al.* 2011; LABELLA *et al.* 2011). In
25 *htp-3*, *him-3* and *htp-1 htp-2* mutants, PLK-2 co-localized with HIM-8 (Figure 4B), indicating X
26 chromosome PCs were active. Altogether, these data argue against the interpretation that

1 mutations in HORMAD proteins abrogate the synapsis checkpoint indirectly due to defects in
2 PC function and support the conclusion that they are involved in the synapsis checkpoint
3 response.

4

5 ***syp-3* mutants have active PCs**

6 Similar to *htp-3*, *him-3* and *htp-1 htp-2* mutants, *syp-3* mutants have unsynapsed
7 chromosomes but fail to elevate germline apoptosis in response to the synapsis checkpoint
8 (Figure 1D). Unlike *htp-3*, *him-3* and *htp-1 htp-2* mutants, *syp-3* mutants display a delay in
9 meiotic progression (SMOLIKOV *et al.* 2007), likely because HTP-3, HIM-3, HTP-1 and HTP-2 are
10 present to promote this delay (KIM *et al.* 2015; MARTINEZ-PEREZ AND VILLENEUVE 2005).
11 However, this delay in meiotic progression does not depend on PC function (KIM *et al.* 2015),
12 raising the possibility that *syp-3* mutants abrogate the synapsis checkpoint due to defective
13 PCs. To directly test this, we localized PLK-2 in meiotic prophase in *syp-3* mutants and
14 compared them to wild-type worms, *syp-1*, *syp-2* and *syp-4* mutants. Similar to wild-type
15 animals and *syp-1* (HARPER *et al.* 2011; LABELLA *et al.* 2011), *syp-2* and *syp-4* mutants, *syp-3*
16 mutants robustly localized PLK-2 to PCs (Figure 5A). Moreover, unlike wild-type germlines,
17 PLK-2 localization on PCs is extended in *syp-3* mutants, similar to *syp-1*, *syp-2* and *syp-4*
18 mutants (Figure 5A).

19 We complemented this evaluation of PC function by localizing ZIM-2 and HIM-8 in *syp-3*
20 mutants and compared this to *syp-1*, *syp-2* and *syp-4* mutants. ZIM-2 forms robust patches in
21 meiotic nuclei in *syp-3* mutants, similar to *syp-1*, *2 and 4* mutants (Figure 5B). Furthermore,
22 HIM-8 localizes to all meiotic nuclei in *syp-3* mutants and co-localizes with PLK-2 (Figure 5C).
23 These data show that SYP-3 is required for the synapsis checkpoint in a mechanism distinct
24 from regulating PC function.

25 Altogether, our data demonstrate that some SC components, namely SYP-3, HTP-3, HIM-3
26 and HTP-1, are required for the synapsis checkpoint (Figure 6). Furthermore, their involvement

1 in the synapsis checkpoint does not correlate with their effects on PC pairing (Figure 2 and
2 COUTEAU *et al.* 2004; COUTEAU AND ZETKA 2005; GOODYER *et al.* 2008), suggesting they
3 contribute to synapsis checkpoint function in some unique fashion. We propose that the
4 synapsis checkpoint monitors SC assembly via these SC components. Uncovering which
5 specific functions of SYP-3 and the HORMADs are required for the synapsis checkpoint are
6 intriguing questions to be addressed in future studies.

7 Surprisingly, despite having similar defects in synapsis, we found that not all central element
8 components of the SC are equivalent in the context of checkpoint function. While *syp-2* mutants
9 essentially phenocopy *syp-1* mutants, *syp-4* mutants have a functional synapsis checkpoint that
10 is independent of PCH-2 but dependent on MDF-1. When combined with our pairing analysis
11 (Figure 2B), these data raise the possibility that SYP-4 could be playing another role during the
12 synapsis checkpoint. SYP-4 was identified by virtue of its two-hybrid interaction with SYP-3.
13 However, unlike SYP-3, SYP-4 does not show an interaction with either SYP-1 or SYP-2 by
14 two-hybrid (SMOLIKOV *et al.* 2009). While there are a variety of reasons why relevant protein-
15 protein interactions might not be recapitulated by yeast two-hybrid assays, these negative data
16 suggest that SYP-4 could uniquely interact with SYP-3 during synapsis. For example, one
17 scenario consistent with our data is that when SYP-3 is not bound to SYP-4, SYP-3 signals to
18 the synapsis checkpoint and when it is bound to SYP-4, this signal is silenced. Future
19 experiments will address this hypothesis.

20

21 **Materials and Methods**

22 Genetics and Worm Strains

23 The wildtype *C. elegans* strain background was Bristol N2 (BRENNER 1974). All experiments
24 were performed on adult hermaphrodites at 20° under standard conditions. Mutations and
25 rearrangements used were as follows:

1 LG I: *htp-3(tm3655)*, *syp-4(tm2713)*, *cep-1(gk138)*, *syp-3(ok258)*, *hT2[bli-4(e937) let-*
2 *?(q782) qIs48]* (I;III)

3 LG II: *pch-2(tm1458)*

4 LG IV: *htp-1(gk174)*, *htp-2(tm2543)*, *him-3(gk149)*, *spo-11(ok79)*, *nT1[unc-?(n754) let-*
5 *?(m435)]* (IV, V), *nT1[qIs51]* (IV, V)

6 LG V: *syp-2(ok307)*, *syp-1(me17)*, *mdf-1(av19)*, *bcls39(Pim::ced-1::GFP)*

7

8 Quantification of Germline Apoptosis

9 Scoring of germline apoptosis was performed as previously described in (BHALLA AND
10 DERNBURG 2005). L4 hermaphrodites were allowed to age for 22 hours at 20°C. Live worms
11 were mounted under coverslips on 1.5% agarose pads containing 0.2mM levamisole. A
12 minimum of twenty-five germlines were analyzed for each genotype by performing live
13 fluorescence microscopy and counting the number of cells fully surrounded by CED-1::GFP.
14 Significance was assessed using a paired t-test between all mutant combinations. All
15 experiments were performed at least twice.

16

17 Antibodies, Immunostaining and Microscopy

18 Immunostaining was performed on worms 20 to 24 hours post L4 stage. Gonad
19 dissection were carried out in 1X EBT (250 mM HEPES-Cl pH 7.4, 1.18 M NaCl, 480 mM KCl,
20 20 mM EDTA, 5 mM EGTA) + .1% Tween 20 and 20mM sodium azide. An equal volume of 2%
21 formaldehyde in EBT (final concentration was 1% formaldehyde) was added and allowed to
22 incubate under a coverslip for five minutes. The sample was mounted on HistoBond
23 (75x25x1mm from Lamb) slides and freeze-cracked and incubated in methanol at -20°C for one
24 minute and transferred to PBST. Following several washes of PBST the samples were
25 incubated for 30-min in 1% bovine serum albumin diluted in PBST. A hand-cut paraffin square
26 was used to cover the tissue with 50 µL of antibody solution. Incubation was conducted in a

1 humid chamber overnight at 4°C. Slides were rinsed in PBST, then incubated for 2 hours at
2 room temperature with fluorophore-conjugated secondary antibody at a dilution of 1:500. The
3 samples were then mounted in 13 ul of mounting media (20 M N-propyl gallate (Sigma) and
4 0.14M Tris in glycerol) with a No. 1 ½ (22mm²) coverslip and sealed with nail polish.

5 Primary antibodies were as follows (dilutions are indicated in parentheses): guinea pig anti-
6 ZIM-2 (1:2500; (PHILLIPS AND DERNBURG 2006), guinea pig anti-PLK-2 (1:750; HARPER *et al.*
7 2011) and rat anti-HIM-8 (1:250; (PHILLIPS AND DERNBURG 2006) Secondary antibodies were
8 Cy3 anti-rabbit (Jackson Immunochemicals) and Alexa-Fluor 488 anti-guinea pig and anti-rat
9 (Invitrogen).

10 Quantification of pairing was performed with a minimum of three whole
11 germlines per genotype as in (PHILLIPS *et al.* 2005) on animals 24 hours post L4 stage.

12 All images were acquired at room temperature using a DeltaVision Personal DV system
13 (Applied Precision) equipped with a 100X N.A. 1.40 oil-immersion objective (Olympus), resulting
14 in an effective XY pixel spacing of 0.064 or 0.040 µm. Images were captured using a “camera”
15 Three-dimensional image stacks were collected at 0.2-µm Z-spacing and processed by
16 constrained, iterative deconvolution. Imaging, image scaling and analysis were performed using
17 functions in the softWoRx software package. Projections were calculated by a maximum
18 intensity algorithm. Composite images were assembled and some false coloring was performed
19 with Adobe Photoshop.

20

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- 3

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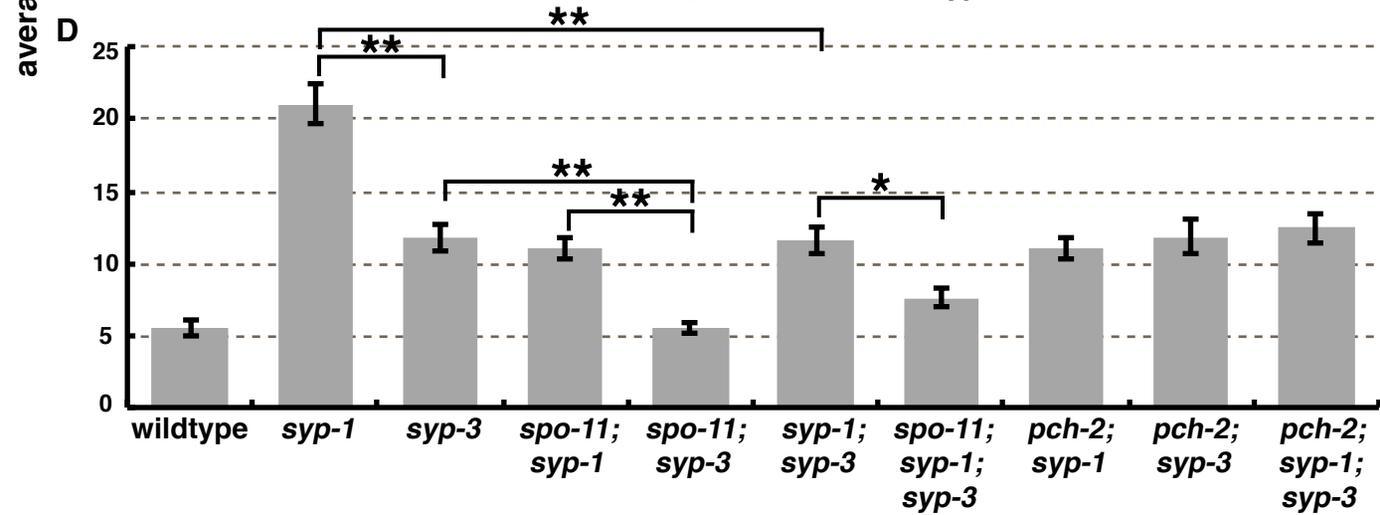
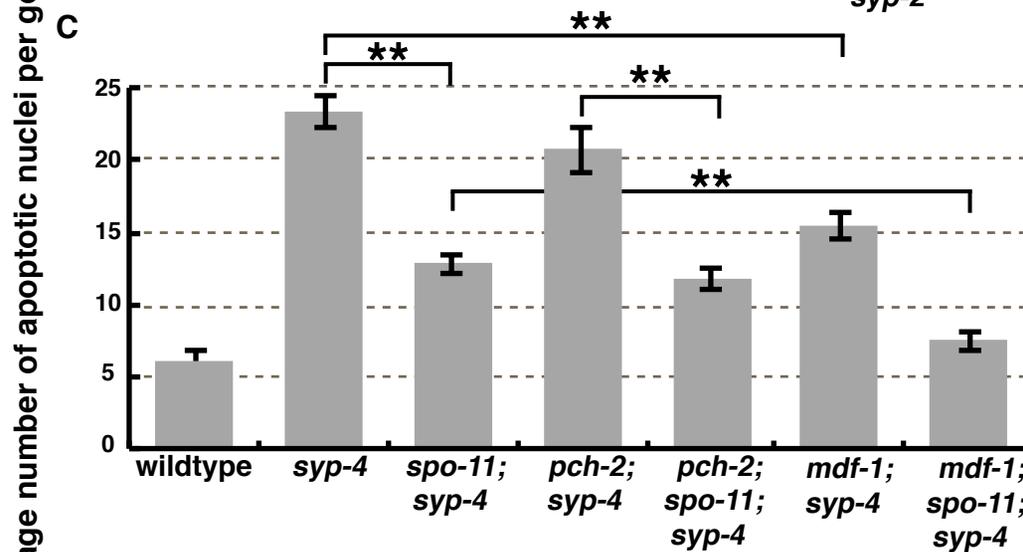
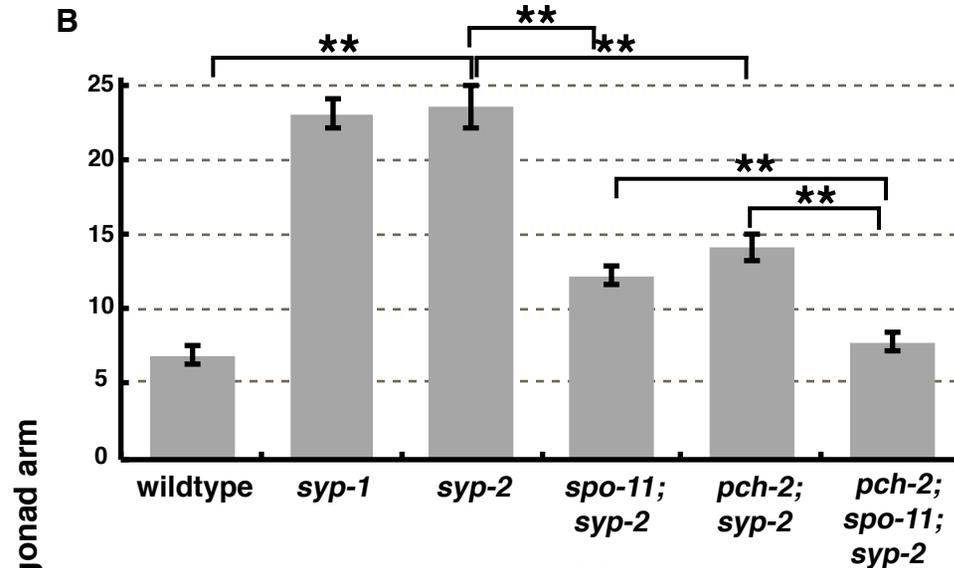
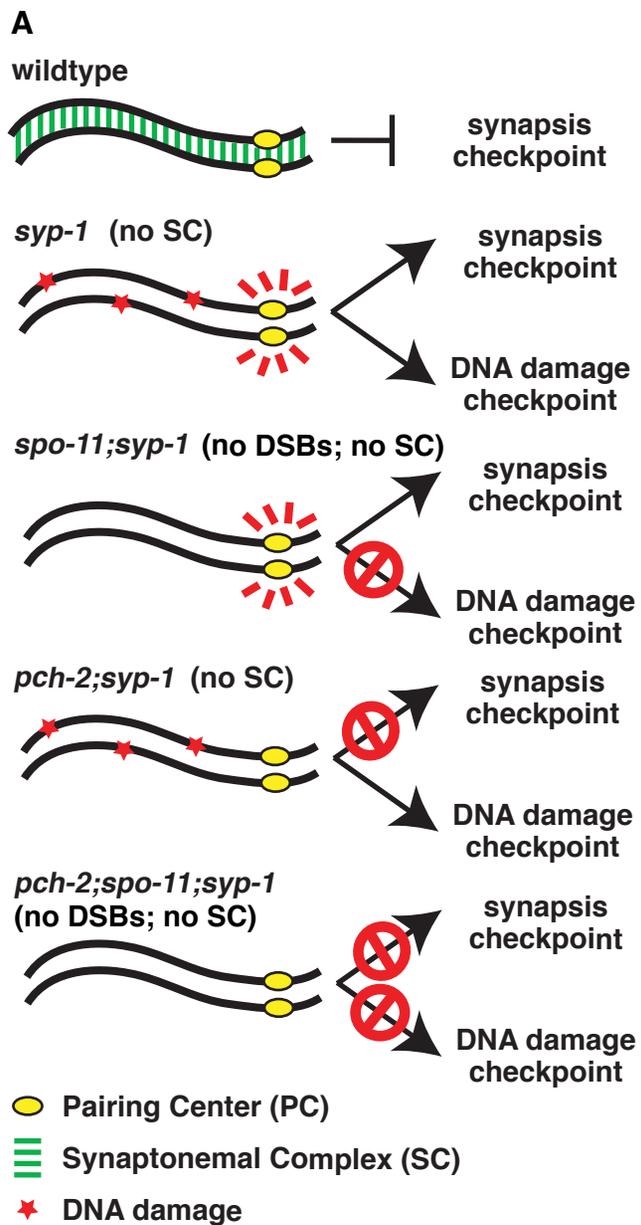
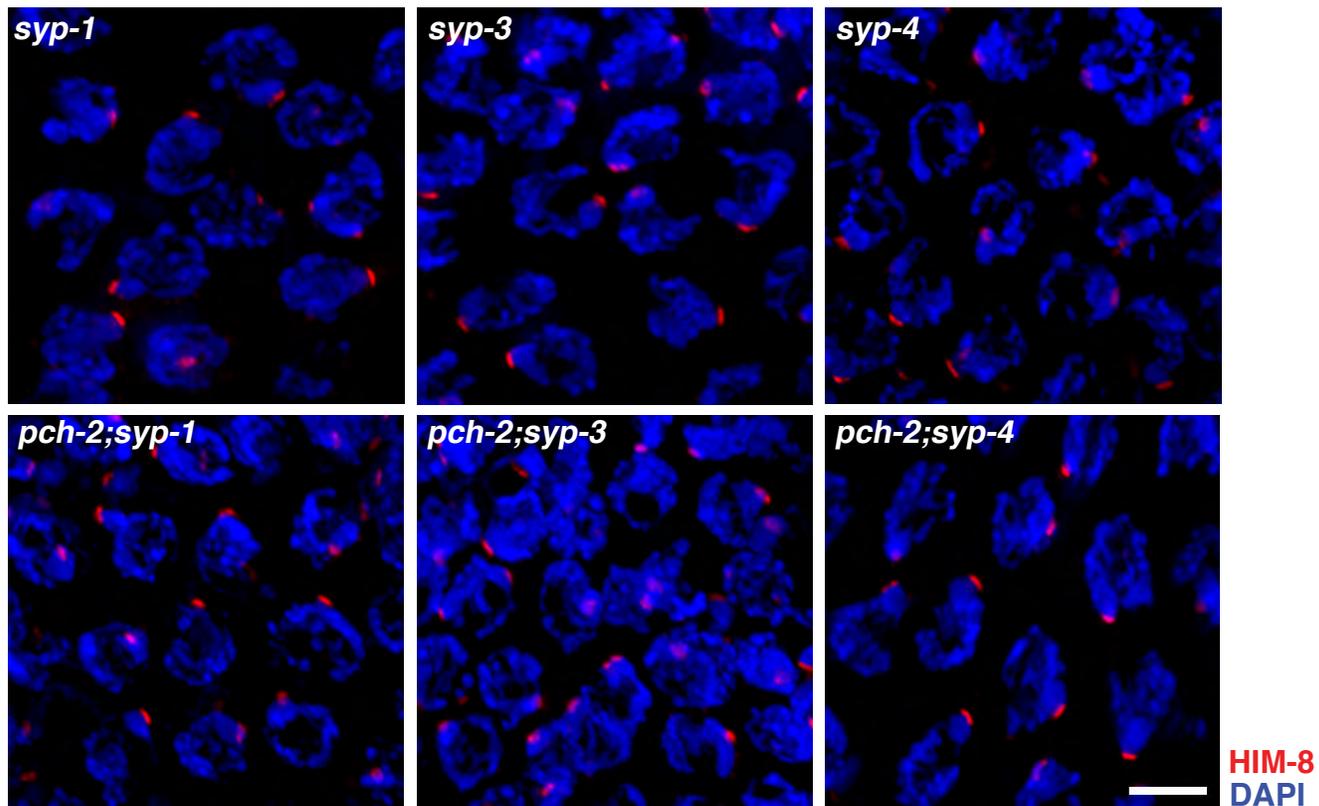


Figure 1. SYP-3 is required for the meiotic synapsis checkpoint. (A) Cartoons depicting meiotic checkpoint activation in *C. elegans*. (B) Elevation of germline apoptosis in *syp-2* mutants is dependent on *spo-11* and *pch-2*. (C) Elevation of germline apoptosis in *syp-4* mutants is dependent on *spo-11* and *mdf-1* but not on *pch-2*. (D) Elevation of germline apoptosis in *syp-3* mutants is dependent on *spo-11* but not on *pch-2*. Mutation of *syp-3* reduces apoptosis in *syp-1* and *syp-1;spo-11* double mutants but not *syp-1;pch-2* double mutants. Error bars represent \pm SEM. A * indicates a p value < 0.01 and a ** indicates a p value < 0.0001.

A



B

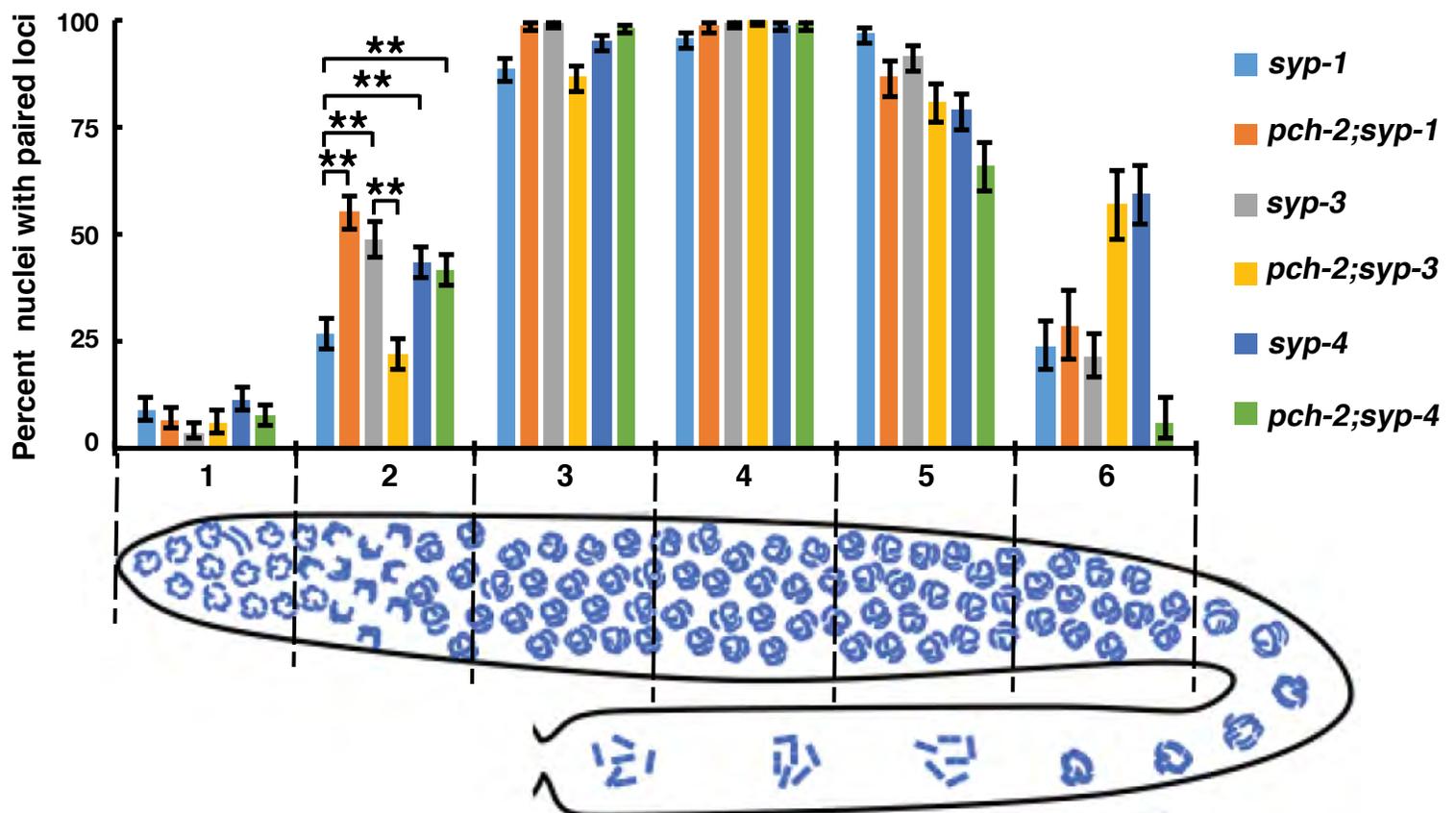
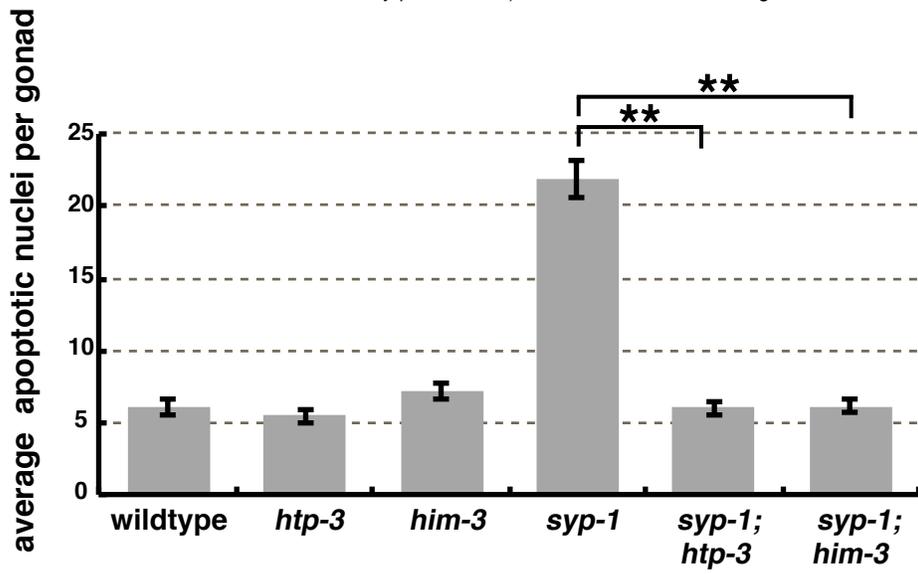


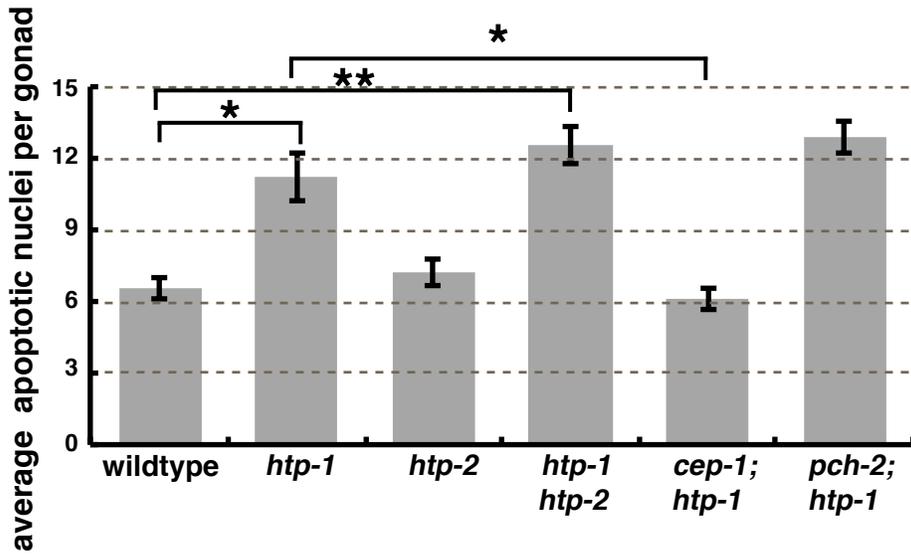
Figure 2. *syp-3* and *syp-4* mutants exhibit more stable PC pairing than *syp-1* mutants. (A)

Images of meiotic nuclei in *syp-1*, *pch-2;syp-1*, *syp-3*, *pch-2;syp-3*, *syp-4* and *pch-2;syp4* mutants stained to visualize HIM-8 (red) and DNA (blue). Scale bars represent 5 μ m. (B) Pairing at the X chromosome PC is more stable in *syp-3*, *syp-4* and *pch-2;syp-4* mutants than in *syp-1* mutants. The numbers on the x-axis correspond to regions of the gonad depicted in the cartoon in Figure 2B. Meiotic progression is from left to right. Error bars represent 95% confidence intervals. A ** indicates a p value < 0.0001. Significance was assessed by performing Fisher's exact test.

A



B



C

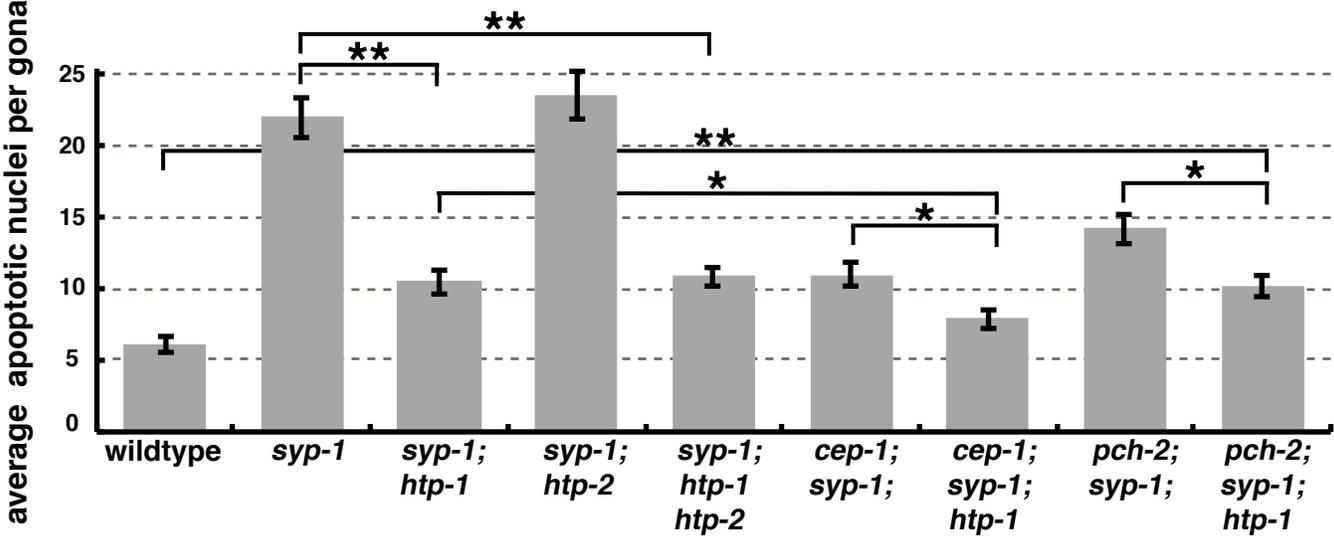
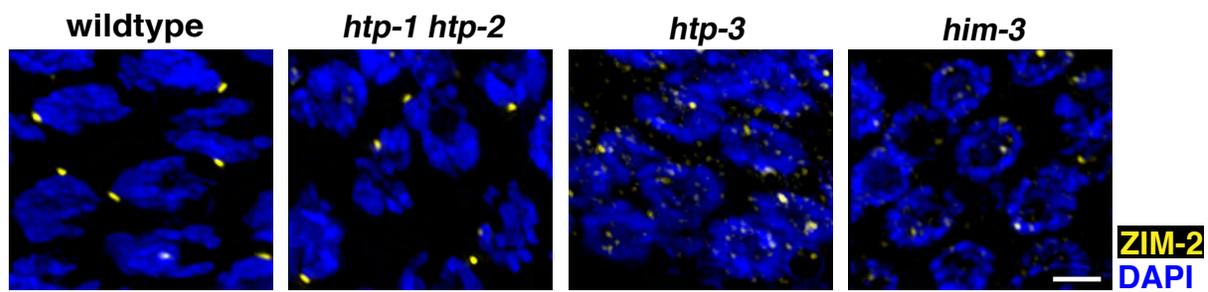


Figure 3. HTP-3, HIM-3 and HTP-1 are required for the synapsis checkpoint. (A) *htp-3* and *him-3* mutants have wild-type levels of germline apoptosis and reduce germline apoptosis in *syp-1* mutants. (B) The elevation of germline apoptosis in *htp-1* mutants is *cep-1* dependent but not *pch-2* dependent. (C) Mutation of *htp-1* reduces germline apoptosis in *syp-1* single and *cep-1;syp-1* double mutants. Error bars represent \pm SEM. A * indicates a p value < 0.01 and a ** indicates a p value < 0.0001.

A



B

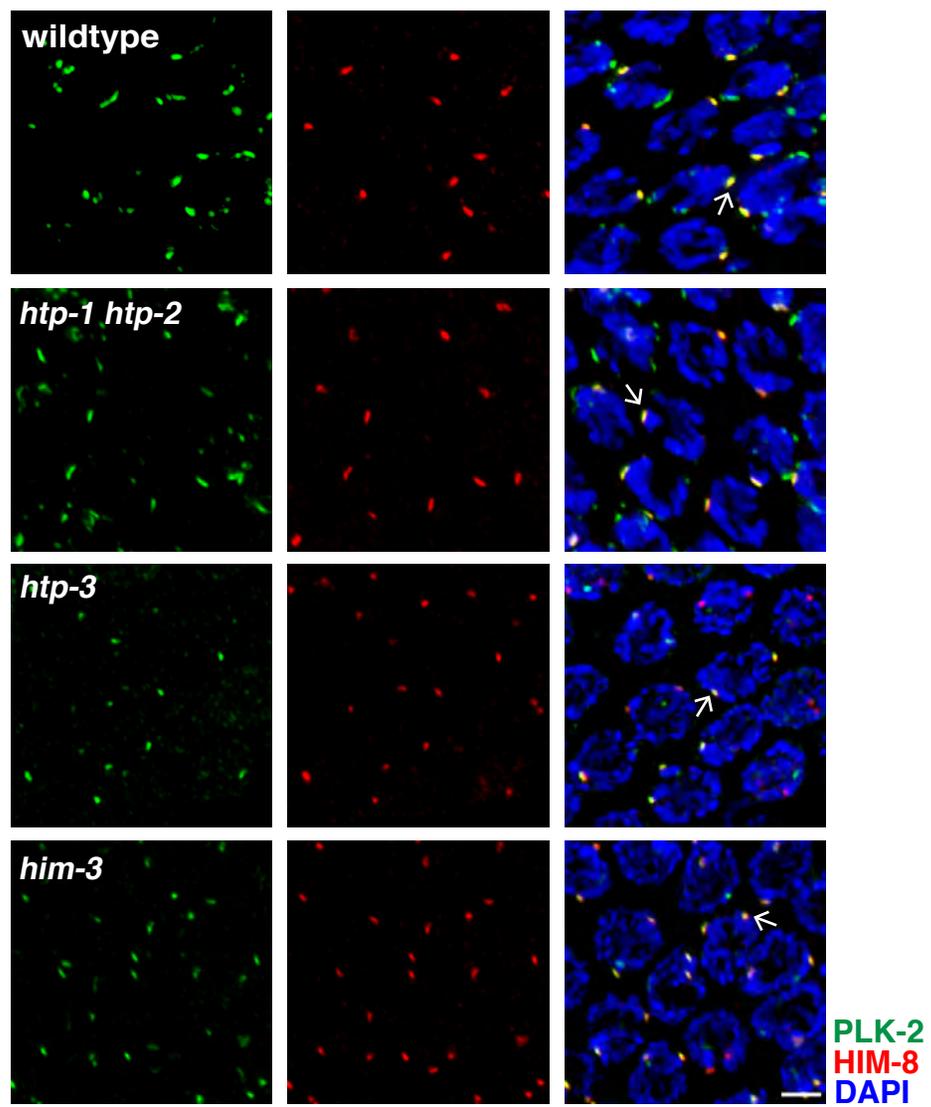


Figure 4: Loss of HTP-3 and HIM-3 disrupts localization of some but not all PC proteins. (A) Images of early meiotic prophase nuclei in wild-type worms, *htp-1/2*, *htp-3*, and, *him-3* mutants stained to visualize ZIM-2 (yellow) and DAPI (blue). (B) Images of early meiotic prophase nuclei in wild-type worms, *htp-1/2*, *htp-3*, and, *him-3* mutants stained to visualize PLK-2 (green), HIM-8 (red) and DAPI (blue). Arrow indicates an example of colocalization of PLK-2 and HIM-8. Scale bar represents 2 μ m.

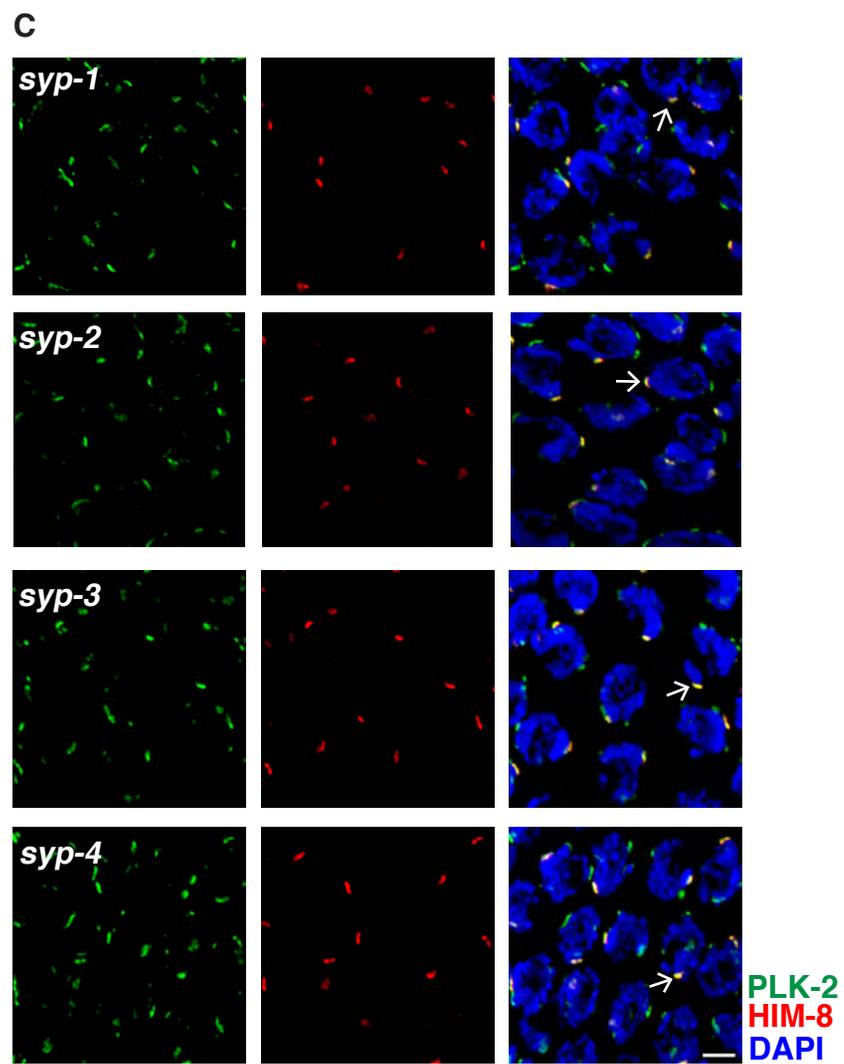
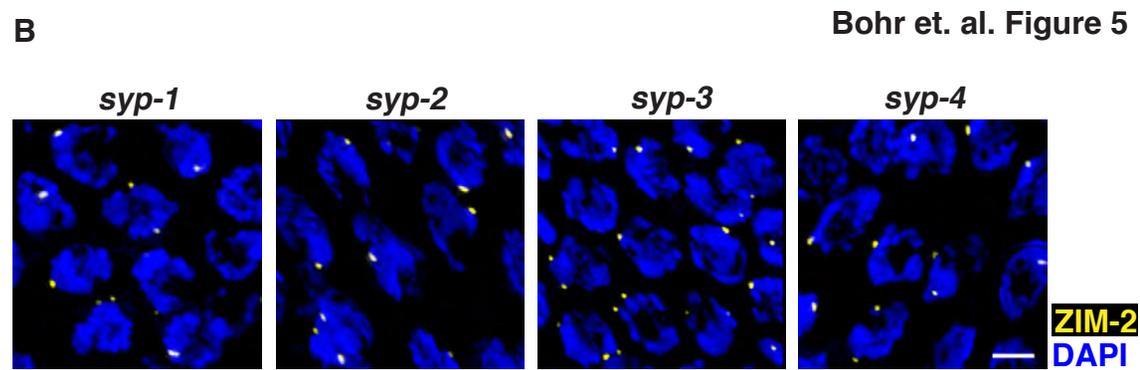
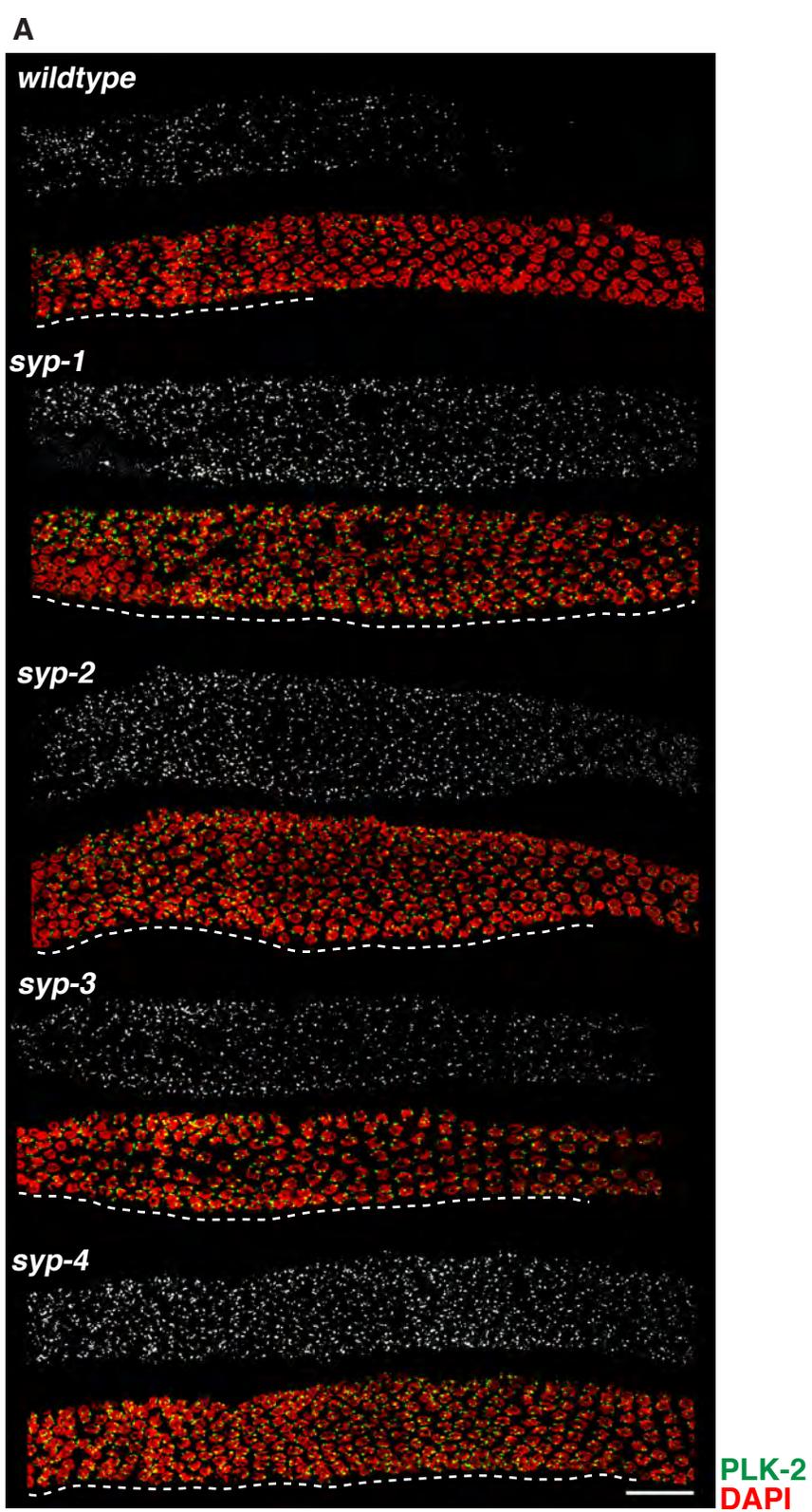


Figure 5: *syp-3* mutants have active PCs. (A) Images of germlines, from entry into meiosis until late meiotic prophase, of wild-type worms, *syp-1*, *syp-2*, *syp-3*, and *syp-4* mutants stained to visualize PLK-2 (green and grayscale) and DAPI (red). Delay in meiotic progression indicated by white dashed line. Scale bar represents 30 μm . (B) Images of early meiotic prophase nuclei in wild-type worms, *syp-1*, *syp-2*, *syp-3*, and *syp-4* mutants stained to visualize ZIM-2 (yellow) and DAPI (blue). (C) Images of early meiotic prophase nuclei in wild-type worms, *syp-1*, *syp-2*, *syp-3*, and *syp-4* mutants stained to visualize PLK-2 (green), HIM-8 (red) and DAPI (blue). Arrow indicates an example of colocalization of PLK-2 and HIM-8. Scale bar represents 2 μm .

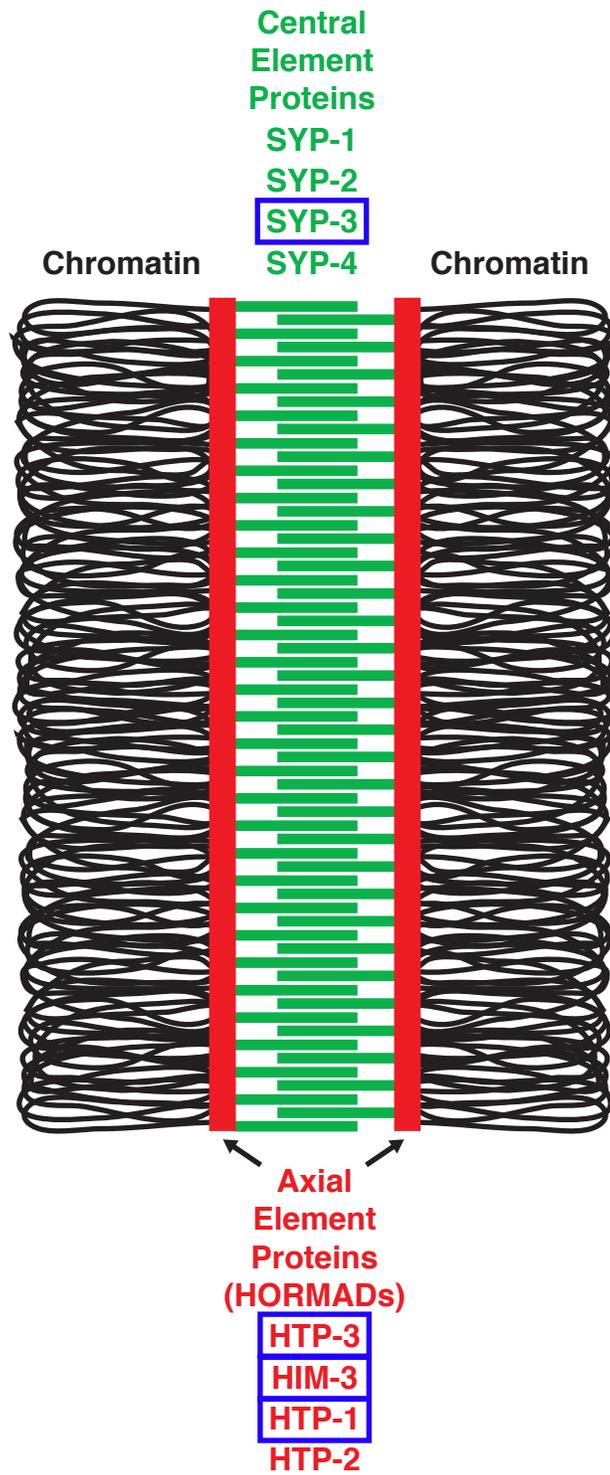
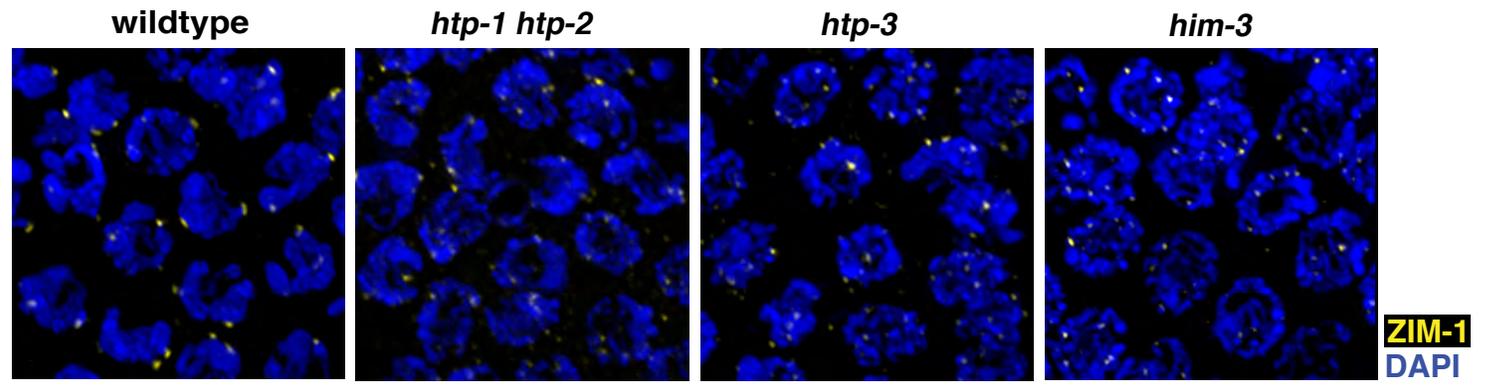


Figure 6: Cartoon of the synaptonemal complex (SC) in *C. elegans*. Central element components are in green (SYP-1, SYP-2, SYP-3 and SYP-4) and axial element components (HORMADs) are in red (HTP-3, HIM-3, HTP-1 and HTP-2). Chromatin is depicted as black loops tethered by axial elements. SC components that are required for the synapsis checkpoint are boxed in blue.

A



B

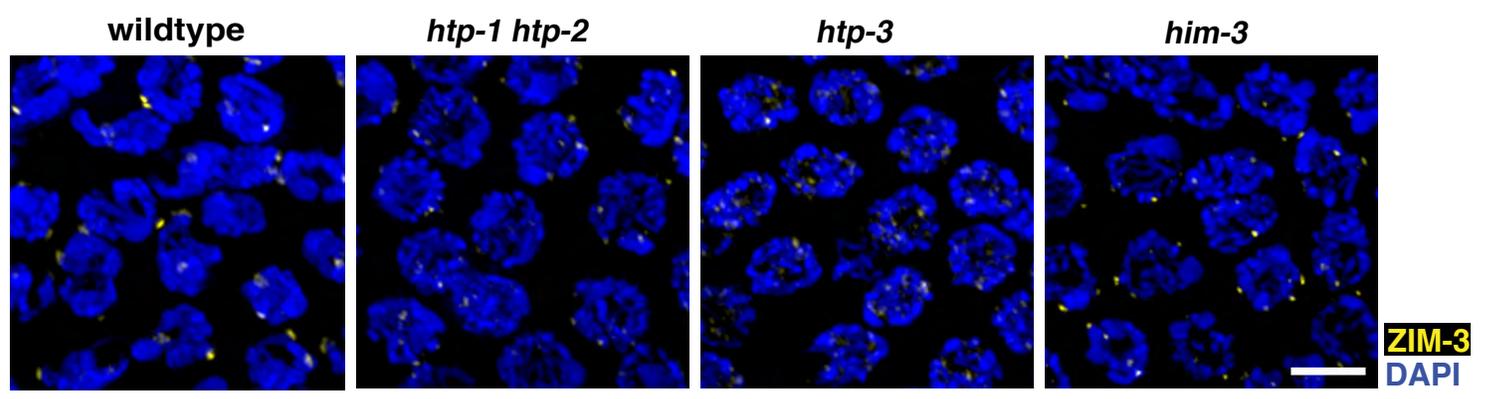


Figure S1: ZIM-1 and ZIM-3 localization in *htp-1 htp-2*, *htp-3* and *him-3* mutants. (A) Images of early meiotic prophase nuclei in wild-type worms, *htp-1/2*, *htp-3*, and, *him-3* mutants stained to visualize ZIM-1 (yellow) and DAPI (blue). (B) Images of early meiotic prophase nuclei in wild-type worms, *htp-1/2*, *htp-3*, and, *him-3* mutants stained to visualize ZIM-3 (yellow) and DAPI (blue). Scale bar represents 5 μ m.