

23 **Abstract**

24 Delayed quota adjustments, and/or lagged fishing effort and catch by harvesters, to
25 changes in stock abundance may induce unstable population dynamics and exacerbate the risk of
26 fishery collapse. We examined a 39-y time series of change to quotas by managers, and to effort
27 and catch by both commercial harvesters and anglers, in response to changes in Lake Erie
28 walleye abundance (*Sander vitreus*) estimated both contemporaneously and retrospectively.
29 Quotas, commercial effort and catch were entrained by contemporaneous estimates of stock
30 abundance. Recreational effort and harvest were not; they had better tracked abundance, as better
31 estimated today, than did the commercial fishery. During the 1990s, a significant mismatch
32 developed between the quota-driven commercial harvest and stock abundance that persisted until
33 a new assessment process obtained. The quasi-open access recreational fishery, instead, freed
34 anglers to respond better to stock abundance. Further elaboration of adaptive risk governance
35 processes, including multi-model inference for stock assessments, may bode well to further
36 reduce risk to fisheries imposed by lagged adjustments to variation in stock abundance.

37 **Introduction**

38 Substantial theoretical effort has been devoted to the development of sophisticated models
39 where resource abundance and harvest rate are dynamically coupled, and where uncertainty
40 induced by environmental stochasticity, age structure, delayed life-history effects and density
41 dependence is addressed (Lande et al. 1995, Punt and Hilborn 1997, Engen et al. 1997, Milner-
42 Gulland et al. 2001, Jonzén et al. 2002, Beckerman et al. 2002, Coulson et al. 2008). Less
43 attention has been devoted to managers' and harvesters' responses to changes in resource
44 abundance in the short term, and the potential consequences for fisheries sustainability in the
45 longer term (Botsford et al. 1983, Fryxell et al. 2010, Fulton et al. 2011). Accumulating
46 empirical evidence and models suggest that weak or delayed compensatory responses by
47 managers and harvesters to changes in resource abundance can induce cyclic or unstable
48 population dynamics that could result in overfishing and increase the probability of a fishery
49 becoming overfished (Bell et al. 1977, Botsford et al. 1983, Allen and McGlade 1986, Berryman
50 1991, Walters and Pearse 1996, Packer et al. 2009, Fryxell et al. 2010).

51 The outcome should depend on the degree of responsiveness by managers (through stock
52 assessment and setting of annual quotas) and harvesters (through changes in fishing effort and
53 harvest) to variation in stock abundance. For a fishery in which responses to variation in stock
54 abundance are perfectly compensatory, rates of change in annual quota might be expected to be
55 scaled to the magnitude and direction of expected rates of changes in stock abundance (Clark
56 1990, Walters and Pearse 1996). However, estimates of stock abundance used in stock
57 assessments for purposes of establishing quotas may lack the precision or accuracy needed to
58 detect changes in recruitment or natural mortality before stocks become overfished (Beamish and
59 McFarlane 1983, Peterman and Bradford 1987, Walters and Maguire 1996). Managers

60 attempting to balance ecological, economic and social objectives can face considerable
61 uncertainty associated with stock assessments and model interpretation (Smith et al. 1999, Fulton
62 et al. 2011). Similarly, responses by commercial and recreational harvesters to changes in stock
63 abundance may also be expected to vary in magnitude and direction and to differ between the
64 two types of harvesters. For example, management agencies often do not have the same control
65 over effort and harvest of recreational fisheries as they do over quota-managed commercial
66 fisheries (Post et al. 2012, MacKenzie and Cox 2013). Further, whereas recreational effort and
67 harvest should be strongly driven by angler satisfaction (*i.e.*, angler catch rates), commercial
68 harvesters should, so long as it is profitable, fish until quota is reached for a given year.

69 To examine responses by managers and harvesters to variation in stock abundance, we
70 developed a conceptual framework with which to compare the magnitude and direction of annual
71 rates of change in quota by managers, as well as effort and harvest by recreational and
72 commercial harvesters, to annual rates of change in stock abundance. In this context, deviations
73 from perfectly scaled fishery responses to variation in rates of change in stock abundance will
74 vary with respect to the consequences they pose to the stability of the entire fishery (Fig. 1). A
75 fishery more highly responsive to variation in stock abundance should exhibit (1) slopes of rates
76 of change in quota, effort and harvest on rates of change in stock abundance close to 1; and (2)
77 greater R^2 such that there are fewer, smaller deviations in directions that would, on one hand,
78 increase the probability of overexploitation (positive deviations) and, on the other, increase the
79 probability of poor economic or social outcomes for recreational (*i.e.*, low catch rates) and
80 commercial fisheries (low catch rates, low quotas; negative deviation; Fig. 1).

81 We used our framework and rich datasets for commercial and recreational walleye
82 (*Sander vitreus vitreus*) fisheries in Lake Erie. Specifically, we examined to what degree annual

83 rates of change in quotas set by managers, and corresponding annual rates of change in effort and
84 harvest by commercial and recreational harvesters, tracked annual rates of change in estimates of
85 walleye abundance available to managers at the time (*i.e.*, contemporaneous estimates) between
86 1975 and 2014. We also compared fishery responses to stock abundance retrospectively
87 estimated from the statistical catch-at-age model (*i.e.*, retrospective estimates; stock catch at age-
88 ADMB 2014) currently used by Lake Erie fisheries managers (Locke et al. 2005).

89 **Methods**

90 *Study system*

91 The Lake Erie walleye fishery is uniquely characterized by commercial and recreational fisheries
92 that are largely confined to Canadian and to US waters, respectively, but share the same stock
93 and management authority (Fig. 2), providing the opportunity to contrast between commercial
94 and recreational fisheries controlling, to the extent possible, for potentially confounding sources
95 of variation.

96 In recent years, an average of about three million walleye were harvested annually by both
97 commercial and recreational fisheries in Lake Erie (Lake Erie WTG 2015). The quota-regulated
98 commercial fishery consists almost exclusively of a large mesh gill net fishery. The recreational
99 fishery is officially assigned quota for purposes of allocating the annual total allowable catch
100 (TAC) between it and the commercial fishery; in practice, it functions in a quasi-open access
101 fashion. It consists of a charter boat industry, as well as boat- and shore-based individual anglers
102 (and to a comparatively limited extent in Ontario; Brenden et al. 2012).

103 Lake Erie is the shallowest of the Laurentian Great Lakes, at a maximum depth of 64m, with
104 surface area 25320 km² and volume 473 km³. It comprises three natural basins and five walleye
105 management units (MUs; Fig. 2). The western basin is most shallow (mean depth = 7.4 m; MU
106 1), biologically productive and the principal walleye spawning and nursery area (Nepszy 1977).
107 The eastern basin (24.4 m; MUs 4 and 5) is least productive and the central basin (18.5 m; MUs
108 2 and 3) is intermediate (Ryan et al. 2003). The western walleye stock, the focus of the present
109 analysis, spans mainly the west and central basins (MUs 1-3), the eastern stock spans MUs 4 and
110 5. Since 1978, western stocks consistently produced more than 95% of the total annual walleye
111 harvest in Lake Erie (Lake Erie WTG 2015).

112 *Management of the Lake Erie walleye fishery*

113 The Lake Erie Committee (LEC) comprised of members from each of four U.S. states and
114 Ontario, Canada, proportionally allocates the TAC as quotas to the commercial and recreational
115 fisheries based on recommendations from the Lake Erie Standing Technical Committee and the
116 Walleye Task Group (Locke et al. 2005). Walleye stock abundance is cooperatively assessed on
117 an annual basis using fishery-dependent and fishery-independent data and a statistical catch at
118 age analysis (SCAA; (Lake Erie WTG 2015). A 39-y (1975-2014) time series of estimated
119 walleye stock abundance, TAC (hereinafter quota), and fishing effort and harvest were available
120 for both the commercial and recreational walleye fisheries from annual reports of the Walleye
121 Task Group (<http://www.glf.org/lakecom/lec/WTG.htm#pub>). Detailed histories of methods to
122 estimate walleye stock abundance, determine quotas, and estimate commercial and recreational
123 fishing effort and harvest are included in Appendix A.

124 *Data Analysis*

125 To compare responses by managers (*i.e.*, annual rate of changes in quota), commercial and
126 recreational fisheries (*i.e.*, changes in effort and harvest) to changes in estimated walleye
127 abundance, we used generalized linear models (GLS) to regress annual rates of change in quota,
128 commercial and recreational effort and harvest against the annual rate of change in abundance
129 (*e.g.*, $\ln N_{t+1}/N_t$). For these relationships, we tested whether slopes of regressions forced through
130 the origin differed from 1 (perfect compensatory response to change in stock abundance, see
131 framework in Fig. 1) using the following format: $(y-x) \sim x$ where x = rate of change in
132 abundance and y = rate of change in quota or harvest. We extracted the regression slope estimate
133 and standard error of the relationships and calculated a pseudo- R^2 using the deviance explained
134 to get an estimate of the dispersion of the residuals. We used an autoregressive correlation
135 structure (corAR1) to control for temporal autocorrelation in the GLS. We determined the
136 autoregressive process in each time series by plotting each time series and by observing the
137 autocorrelation function (ACF) and the partial autocorrelation function (PACF) on detrended
138 data using an ARIMA (autoregressive integrated moving average model) diagnostic (astsa
139 package v. 1.3 in R; Stoffer 2014). For each time series, errors were specified to follow an
140 autoregressive process of degree 1 (*i.e.* the autocorrelation is highest between sequential years).
141 GLS models with autocorrelated structure always had stronger AICc support (Burnham and
142 Anderson 2002) than models without autocorrelated structure. We present only GLS models with
143 the autocorrelated structure.

144 **Results**

145 *General trends in walleye abundance, quota, effort and harvest*

146 Between 1975 and 2014, estimates of walleye abundance, quotas, effort and harvest for
147 commercial and recreational fisheries fluctuated widely (Table 1, Fig. 3). Quota adjustments by

148 managers followed changes in stock abundance estimated at the time (contemporaneous
149 estimates) quite well, both in magnitude and direction (Fig. 3 a). Commercial effort and harvest,
150 tracked changes in quota and thus contemporaneous estimates in abundance (Fig. 3 a-b), whereas
151 recreational effort and harvest did not (Fig. 3 a-c). Instead, recreational effort and harvest
152 corresponded more closely with changes in abundance as derived from the latest catch-at-age
153 model (Fig. 3).

154 *Direction and magnitude of compensatory responses*

155 Overall, annual rates of change in quotas, effort and harvest for both fisheries were positively
156 correlated with annual rates of change in abundance (Fig. 4), but the slope of all regressed
157 variables differed significantly from hypothetical values 1. The strength of the relation between
158 rate of change in effort and harvest and the rate of change in abundance differed between
159 commercial and recreational fisheries (Fig. 4). The annual rate of the change in quotas matched
160 the rate of change in abundance (slopes = 0.71 and 0.73; $R^2 = 0.28$ and 0.32 for commercial and
161 recreational fisheries, respectively; Fig. 4) Recreational harvest was more strongly (*i.e.*, higher
162 slope), though more variably (*i.e.*, lower R^2), compensatory to changes in abundance than was
163 commercial harvest (recreational: slope = 0.31; $R^2 = 0.03$, commercial: slope = 0.27; $R^2 = 0.25$;
164 Fig. 4). Changes in commercial effort and harvest were more strongly entrained by change in
165 quotas (slopes, 0.51-0.57; $R^2 = 0.50$ -0.69) than were changes in recreational effort and harvest
166 (slopes, 0.22-0.39; R^2 , 0.10-0.11; Fig. 4). Harvest was strongly entrained by effort in both
167 fisheries (Fig. 4).

168 Deviations between the annual rate of change in quota and contemporaneous abundance
169 estimates were relatively evenly distributed around the 1:1 relationship, *i.e.*, between increased
170 probability of overexploitation (positive deviations) and increased probability of low or negative

171 profits for commercial harvesters and poor angler satisfaction in recreational fisheries (negative
172 deviations; Fig. 5 a). The magnitudes of the deviations from the 1:1 line were greater during the
173 late 1980s and early 1990s and of much smaller magnitude since 2007, suggesting greater
174 responsiveness, of improved magnitude and direction, to change in stock abundance (Fig. 6 a).
175 Commercial harvest was strongly entrained by quota, so the direction and magnitude of the
176 deviations between commercial harvest and abundance are comparable to those of quota (Fig. 5
177 b, Fig. 6 b). In recreational harvest, 56% of the deviations were in the regions corresponding with
178 increased probability of overfishing (Fig. 5 c) and, contrary to quota and commercial harvest, the
179 deviations in recent years were of high magnitude suggesting that the recreational fishery may be
180 becoming less responsive to changes in abundance (Fig. 6 c).

181 **Discussion**

182 We developed a conceptual framework to evaluate compensatory responses of managers
183 and harvesters to changes in stock abundance and used it to examine a rich dataset from Lake
184 Erie walleye commercial and recreational fisheries. Managers were responsive in changing and
185 setting quotas in response to available information about changes in stock abundance at the time
186 (contemporaneous estimates). Commercial fisheries responded differently to changes in stock
187 abundance and quotas than recreational fisheries. Commercial harvest and effort were strongly
188 entrained by quotas whereas recreational fisheries better tracked abundance as retrospectively
189 estimated.

190 There was an important discrepancy between contemporaneous abundance estimates and
191 retrospective abundance estimates, arising in the early 1990s and until about 2000. Because
192 commercial harvest and effort were strongly regulated by quotas in response to available

193 information about stock abundance at the time, a mismatch developed between quota-driven
194 commercial harvest and actual stock abundance. Specifically, quotas increased while stocks were
195 declining, potentially generating the decline, observed today, in walleye abundance between the
196 early 1990s and 2000.

197 *Disentangling decision making process from harvesting decisions*

198 Accurate stock abundance estimates are essential to the setting of meaningful population
199 abundance reference points and fishing quotas (Hilborn and Walters 2001, Hilborn 2002). Quota
200 decisions were well reasoned at the time, though they were considerably vested in a single stock
201 abundance model that, in retrospect, was unreliable (Locke et al. 2005) and led to a series of
202 deviations in rates of change of quota in relation to rates of change in actual stock abundance that
203 increased ecological risk (Fig. B1 a-b). Quotas entrained commercial harvest, resulting in harvest
204 rates in excess of $F=0.40$ (Locke et al., 2005) and consequently a high probability of overfishing
205 (Jiao et al. 2010). In the compensatory framework, the number of deviations between the annual
206 rate of change in commercial harvest and abundance in the regions corresponding with
207 increasing probability of overfishing increased from 53% to 65% (Fig. B1 c-d). Thus, a series of
208 small, consecutive, unscaled and untimely compensatory adjustments of quotas in response to
209 changes in walleye abundance generated potentially long-term social, economic and ecological
210 negative impacts. These unscaled adjustments were apparently due to a false impression of a
211 healthy walleye stock at that time.

212 After 2003, Lake Erie walleye fisheries managers adopted a state-dependent harvest
213 control rule which allowed a more nimble management response to changes in resource
214 abundance (see Locke et al. (2005) for details). This management rule obliged managers to

215 rapidly increase quotas in 2005 and 2006 following the recruitment of the strong 2003 year class,
216 and to gradually decrease them during the 2007–2011 period as that exceptionally strong year
217 class progressed through the fishery (corresponding to smaller magnitudes of deviations between
218 annual rate of change in abundance and quota). Nonetheless, deviation using retrospective
219 estimates in abundance suggest that quota responses continue to periodically strongly over- and
220 under-compensate for changes in estimated stock abundance (Fig. B1 b), consistent with the idea
221 that model uncertainty still manifests and might lend to lagged or weak responses to changing
222 stock abundance, exacerbating the likelihood of negative outcomes for the entire fishery.

223 By trusting erroneous projections from the CAGEAN model, managers were not aware of
224 a decrease in population, even though some indicators of stock status (*i.e.*, increase in
225 commercial effort, decrease in commercial CPUE, general decrease in effort and recreational
226 harvest) indicated different trends from model estimates. This situation is similar to what
227 happened in the Icelandic cod fishery in late 1990s where, following an appearance of a healthy
228 cod stock (*i.e.*, increases in catches and high estimates from stock assessment models), managers
229 raised the quotas to capitalize on the apparent increase. When they discovered that stock was
230 overestimated, they drastically reduced quotas, causing severe economic losses to the fishery
231 (Rosenberg 2003). Similar erroneous projections from incorrect estimates of natural mortality or
232 fish age structure in the Pacific Ocean perch (*Sebastes alutus*; Canada) in the orange roughy
233 (*Hoplostethus atlanticus*; New Zealand) and in the Northern cod (*Gadus morhua*; Canada) are
234 suspected to be contributing factors in the failure of these fisheries (Beamish and McFarlane
235 1983, Mace et al. 1990, Walters and Maguire 1996, Myers et al. 1997).

236 ***Reasons for different responses of the two fisheries***

237 To the best of our knowledge, no study has compared responses of commercial and
238 recreational fisheries to changes in stock abundance and quota. Other studies comparing
239 recreational and commercial fisheries contrasted the characteristics, short-term impacts and
240 behaviours of commercial and recreational fisheries in relation to conservation and management
241 (e.g. by-catch, trophic changes, fisheries induced selection, management strategies; Murray-
242 Jones & Steffe 2000; Policansky 2001; Cooke & Cowx 2004, 2006; Sutter *et al.* 2012; Cooke &
243 Murchie 2013). Detailed information on the responses of recreational anglers to changing
244 resource abundance is rare, particularly recreational catch in relation to effort (Post *et al.* 2002;
245 Cooke & Cowx 2004).

246 The observed differences in the responsiveness of the two fisheries may be related to
247 respective motivations of recreational and commercial harvesters, from social pressures and
248 degrees of confidence in stock assessments from a manager's perspective. In either case,
249 outcomes depend on the degree of responsiveness of harvesters and managers to change in stock
250 abundance. If harvesters' effort changes rapidly in response to changing resource abundance,
251 harvest should simply track variation in stock abundance induced by environmental variation.
252 We attribute this rapid adjustment of effort by anglers to the large, highly-networked charter boat
253 fleet and angling groups in Ohio and Michigan, to numerous online resources about the status of
254 the walleye fishery for anglers, and intense coverage of the fishery in print media and other news
255 outlets. Social sharing of information about the state and quality of these fisheries is likely very
256 rapid in this particular valuable fishery system. However, recreational harvest and effort was less
257 responsive to more recent rapid changes in quota and abundance (Fig. 3 b) as indicated by higher
258 magnitude deviations after 2000 (lower R^2 ; Fig. 6 c and Fig. B1 e-f). This reduced
259 responsiveness may be attributable to ongoing changes in angler demographics. Recruitment of

260 new anglers is not compensating for the older anglers that are leaving the freshwater recreational
261 fishery, especially in the U.S. (The Outdoor Foundation 2014), but also in Canada where the
262 total number of days spent angling had been in decline since the mid-1990 (Hofmann 2008, DFO
263 2012). In Lake Erie, the reduced fishing power of the recreational fishery, possibly in
264 combination with reduced rates of communication and networking among fewer and younger
265 anglers, appears to constrain the responsiveness of the recreational fishery.

266 On the other hand, our analysis suggests that the commercial walleye fishery was strongly
267 regulated by annual variation in quotas. As long as it is profitable, commercial fishermen have a
268 strong incentive to continue fishing for walleye until the quota is reached, but, unlike recreational
269 anglers, they will tend to stay in the fishery because of significant investment in quota, vessels
270 and gear, and because many commercial fishermen have options to gain alternative revenues by
271 fishing for species other than walleye. Management of a declining fish stock is typically a delay-
272 ridden process. Because catch reductions impose short-term losses on fishers, merchants, and
273 processors, managers face political opposition—and even legal challenges—and they may
274 hesitate to change the *status quo* (Rosenberg 2003).

275 ***Management, harvesting decisions and stock stability***

276 Several empirical and theoretical studies have suggested that delayed harvesting responses can
277 contribute to fluctuations in stock abundance (Bell et al. 1977, Botsford et al. 1983, Allen and
278 McGlade 1986, Berryman 1991, Fryxell et al. 2010, Nilsen et al. 2011). Harvest data on
279 whitefish (*Coregonus clupeaformis*) in Lesser Slave Lake (Alberta) suggest cyclic fluctuations in
280 whitefish abundance driven by a lagged relationship between fishing effort (the number of
281 licenses issued) and fish abundance (catch per licence; Bell et al. 1977). Fryxell et al. (2010)

282 suggested that delayed changes in hunting effort and quotas contributed to quasi-cyclic
283 fluctuations in populations of white-tailed deer (*Odocoileus virginianus*) in Ontario and moose
284 (*Alces alces*) in Norway. Two studies of the northern California dungeness crab (*Cancer*
285 *magister*) fishery suggested that delayed entry or departure from the crab fishery and lags in
286 market expansion and contraction following changes in abundance contributed to crab
287 population cycles (Botsford et al. 1983, Berryman 1991). A simulation study (McGarvey 1994)
288 applied to Georges Bank sea scallop (*Placopecten magellanicus*) fishery suggested that density-
289 dependent recruitment and environmental variability combined with a dynamic harvest process
290 dictated by variable effort could generate irregular cycles of stock abundance and effort.
291 Simulations based on a dynamic model of a Nova Scotian groundfish fisheries suggest that
292 lagged responses in fishing effort could amplify rapid fluctuations in haddock (*Melanogrammus*
293 *aeglefinus*; Allen and McGlade 1986). Delays in the response of commercial fishing effort and
294 quotas to changes in walleye stock abundance could have contributed similarly to fluctuations
295 over time.

296 In contrast, effort by recreational walleye anglers changed quickly in response to changing
297 walleye stock abundance, perhaps due to changes in fishing satisfaction (Smith 1999, Post et al.
298 2002), resulting in a better match between exploitation rates and fish stocks than in the
299 commercial fishery (Johnson and Carpenter 1994, Hilborn and Walters 2001, Fryxell et al.
300 2010). In this case, the annual harvest data for the walleye commercial fishery seem less reliable
301 as an indicator of stock status than are the same data from the recreational fishery, because the
302 latter was seemingly more responsive to biological conditions. These results reinforce the
303 potential utility of long term time series data from both commercial and recreational fisheries to
304 understand fish population dynamics.

305 Finally, our results suggest that continued improvement in fishery responses to changes in
306 stock abundance, to minimize both ecological and economic risk, will involve a better
307 appreciation, and exploitation, of knowledge about the dynamical relationships among fish
308 stocks, managers and fishermen. Success in fishery management lies not only in understanding
309 ecological uncertainty arising from stock dynamics, but also the critical role and uncertainty
310 coming from the human behaviour (Fulton et al. 2011). The success of fishery management
311 relies not only on understanding and dealing with uncertainty arising from stock assessments but
312 also on accounting for the critical role of human-induced uncertainties (Haapasaari et al. 2007,
313 Fulton et al. 2011). Our results are consistent with the idea that explicit ecological modelling of
314 manager and harvester behaviour in response to stock dynamics, and vice versa, should help to
315 achieve more pragmatic management policies. In addition to seeking better understanding of the
316 relationships among manager and harvester behaviour and fish population dynamics, a pragmatic
317 governance system would eschew management reliance on any single stock assessment model;
318 relying instead on an increasing role for interdisciplinarity, multimodel inference and other
319 decision analytical tools to foster management decisions that are ecologically, economically and
320 socially robust to both ecological and human-induced uncertainty.

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455 doi:10.1007/BF00058518.
- 456

457 Table 1. Mean, standard deviation (SD) and range of variables used to describe the dynamics of
458 commercial and recreational walleye fisheries on Lake Erie between 1975 and 2014. Estimated
459 walleye abundances were extracted from simulations of the SCAA used at the time of
460 determining quota at year t (contemporaneous estimates) and from the most recent SCAA-
461 ADMB model (2014; retrospective estimates). Values for abundance and quotas are in millions
462 of walleye. See Appendix A for details about the SCAA models used for projecting
463 contemporaneous and retrospective abundances.

Variable	Mean \pm SD	Range (Min – Max)
Contemporaneous walleye abundance	31.7 \pm 15.5	9.46 – 68.2
Retrospective walleye abundance	45.5 \pm 23.7	8.57 – 115
Commercial Quota	2.36 \pm 1.23	0.32 – 4.76
Recreational Quota	3.24 \pm 1.60	0.51 – 6.23
Commercial harvest	2.06 \pm 1.15	0.16 – 4.47
Recreational harvest	2.26 \pm 1.54	0.98 – 6.91
Commercial effort (1000 kms of gill net/year)	18.4 \pm 12.7	3.73 – 51.6
Recreational effort (1000 angler hours/year)	4.96 \pm 3.04	0.62 – 14.7

464

465 **Figure captions**

466 Figure 1. Conceptual framework for assessing compensatory adjustments by fisheries to annual
467 rates of change in stock abundance by the magnitude and direction of deviations in annual
468 rates of change in quota (and by extension, fishing effort or harvest). A fishery perfectly
469 responding to variation in abundance should exhibit a slope of 1 (dotted red line). Above
470 the dotted white line (positive deviations), changes in quota increase the probability of
471 overexploitation; below it (negative deviations), changes to quota increase probability of
472 poor economic or social outcomes for recreational and commercial fisheries (*i.e.*,
473 opportunity costs, satisfaction). In regions 1 and 4, annual rates of change in quota
474 overcompensate for changes in abundance, *i.e.*, they increase or decrease, respectively,
475 faster than the rate at which abundance size changes. Similarly, in regions 3 and 6, quota
476 responses undercompensate for changes in abundance. In regions 2 and 5, quota responses
477 to changes in stock size diverged as to be opposing; in these regions, ecological risk to
478 stocks and economic risk to fisheries, respectively, should be particularly exacerbated,
479 corresponding to greater risk of fishery decline.

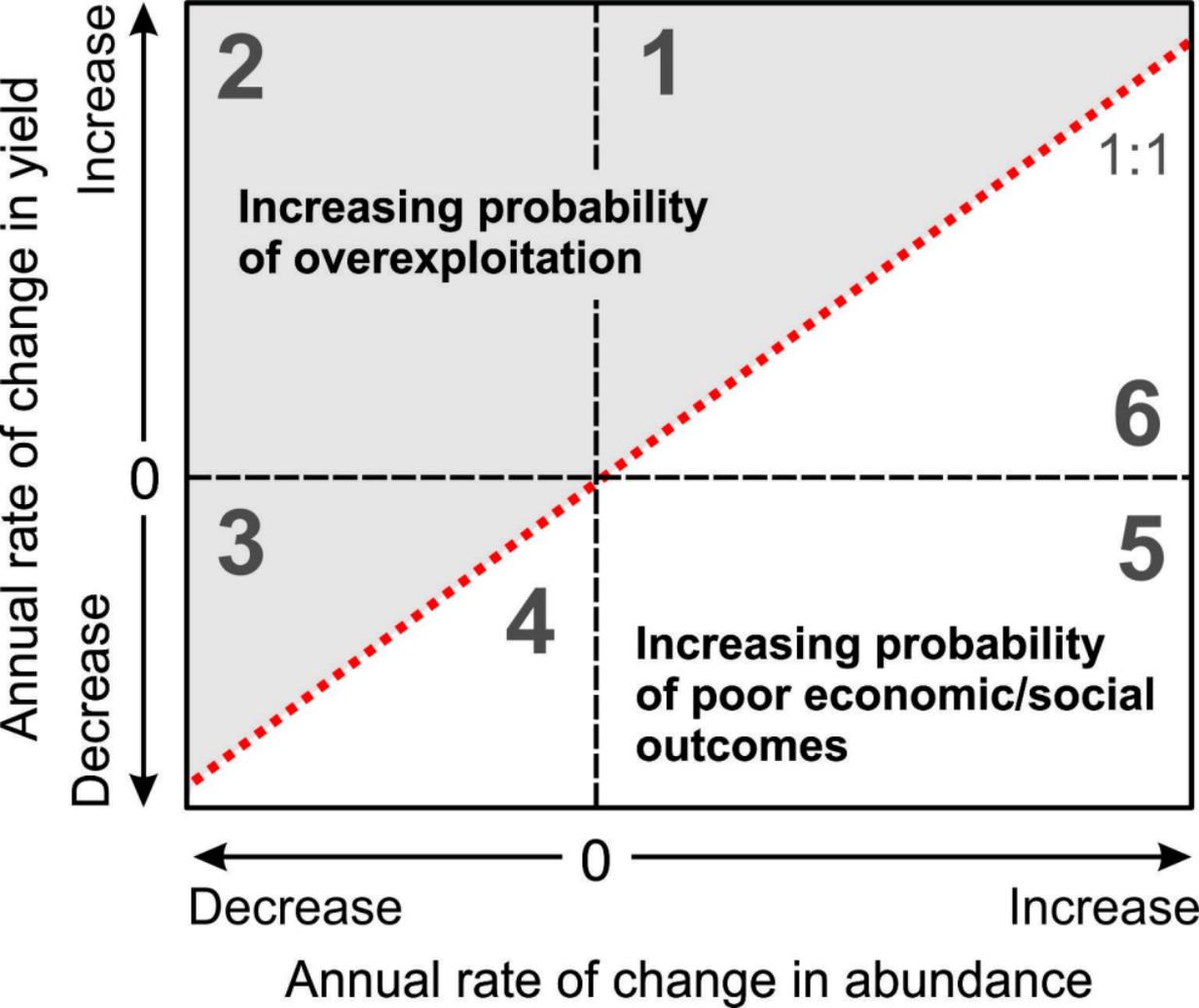
480 Figure 2. Five walleye management units (MUs) spanning west-central basins of Lake Erie.

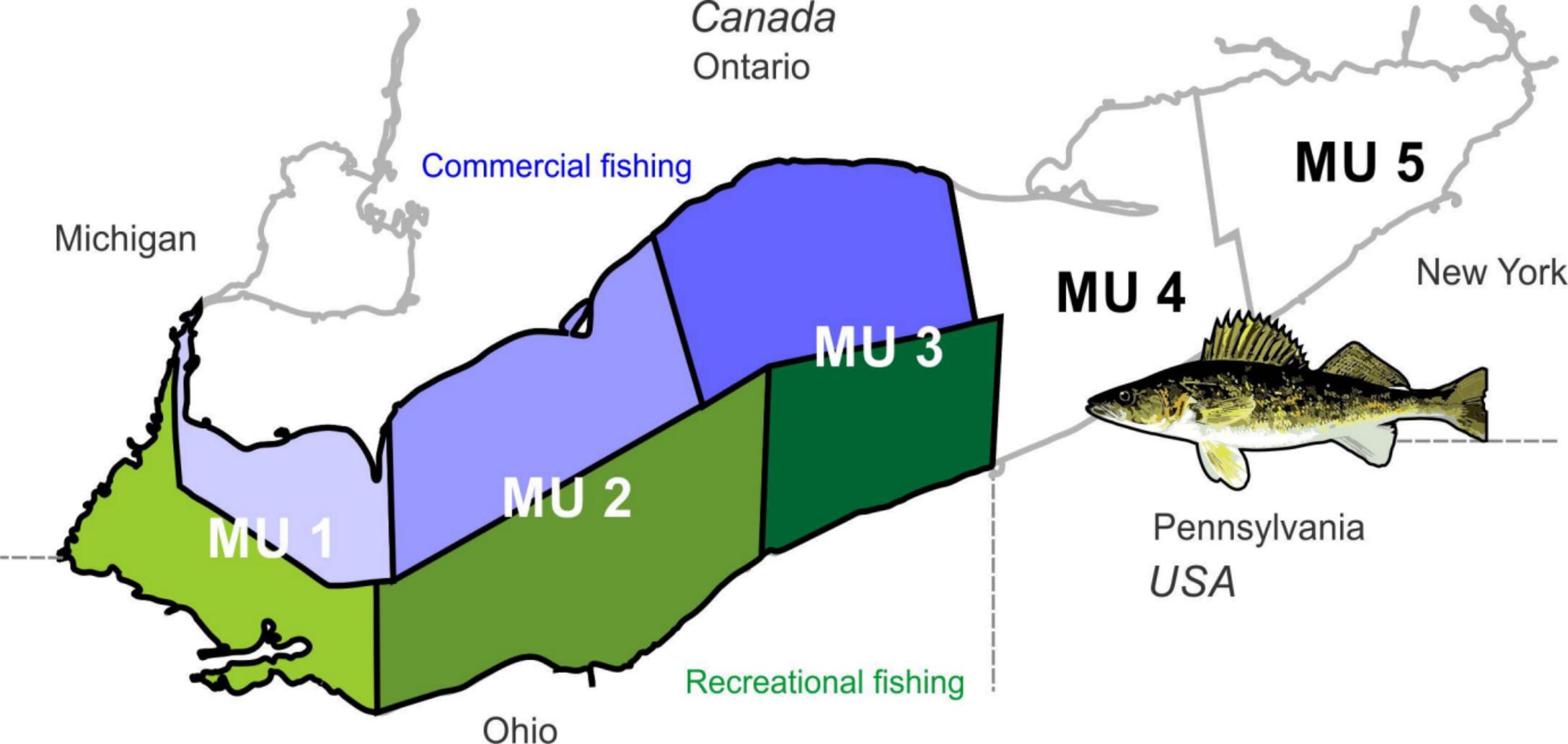
481 Figure 3. Time series (1975–2014) of a) walleye abundance contemporaneously estimated at
482 year t (solid line; projected abundance extracted from the SCAA model used at that time),
483 abundance retrospectively estimated in 2014 (solid line; using the latest SCAA-ADMB
484 model 2014) and quotas (red dashed line), b) commercial effort (kms of gill net/year) and
485 harvest and c) and recreational effort (angler hours/year) and harvest. Data were
486 normalized. See Appendix A for details about SCAA models used.

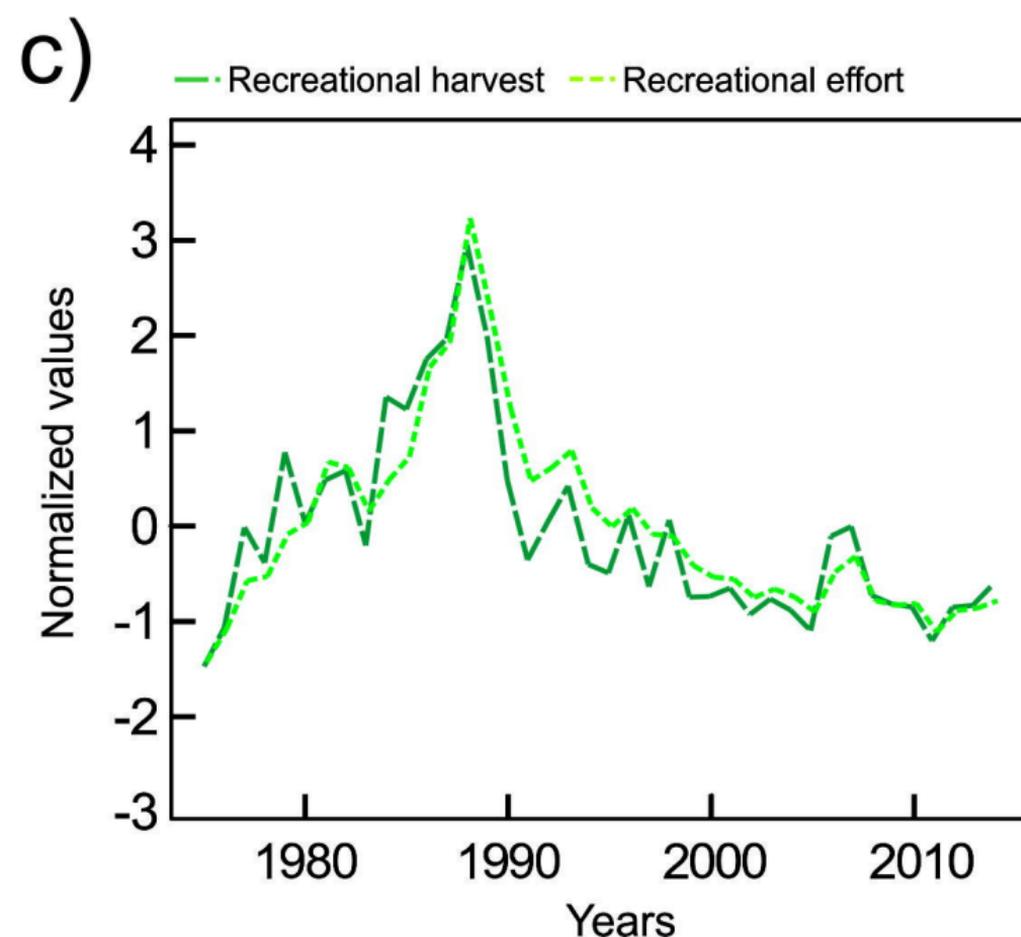
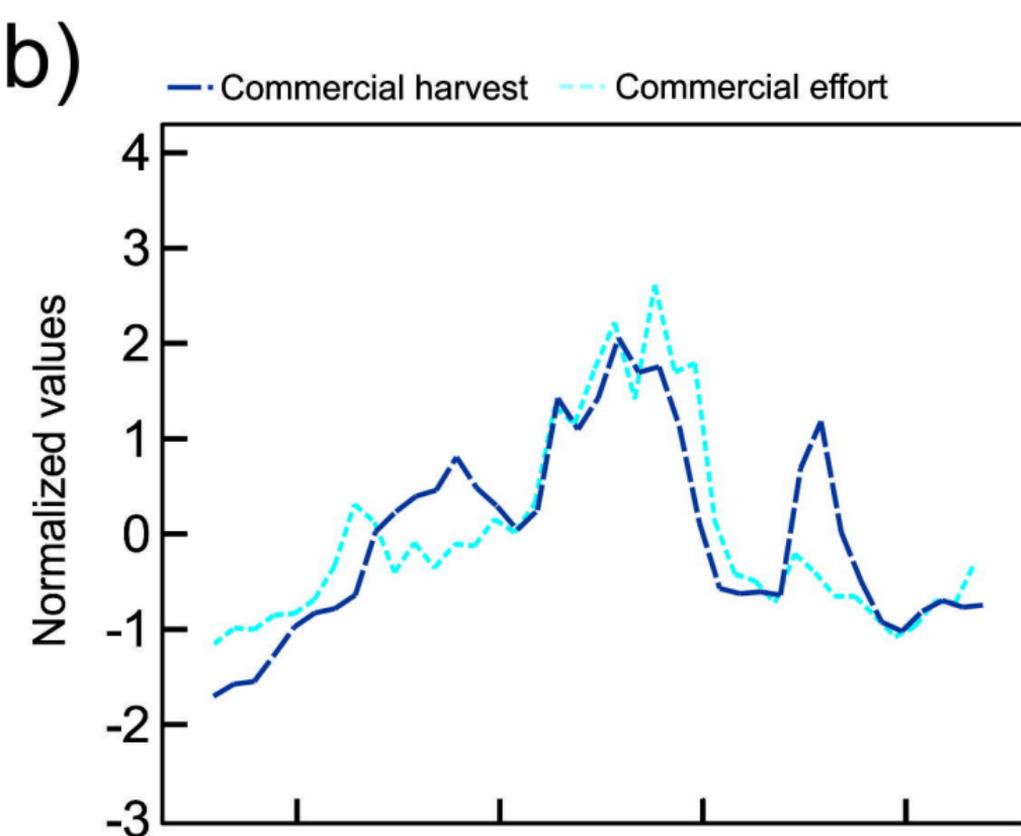
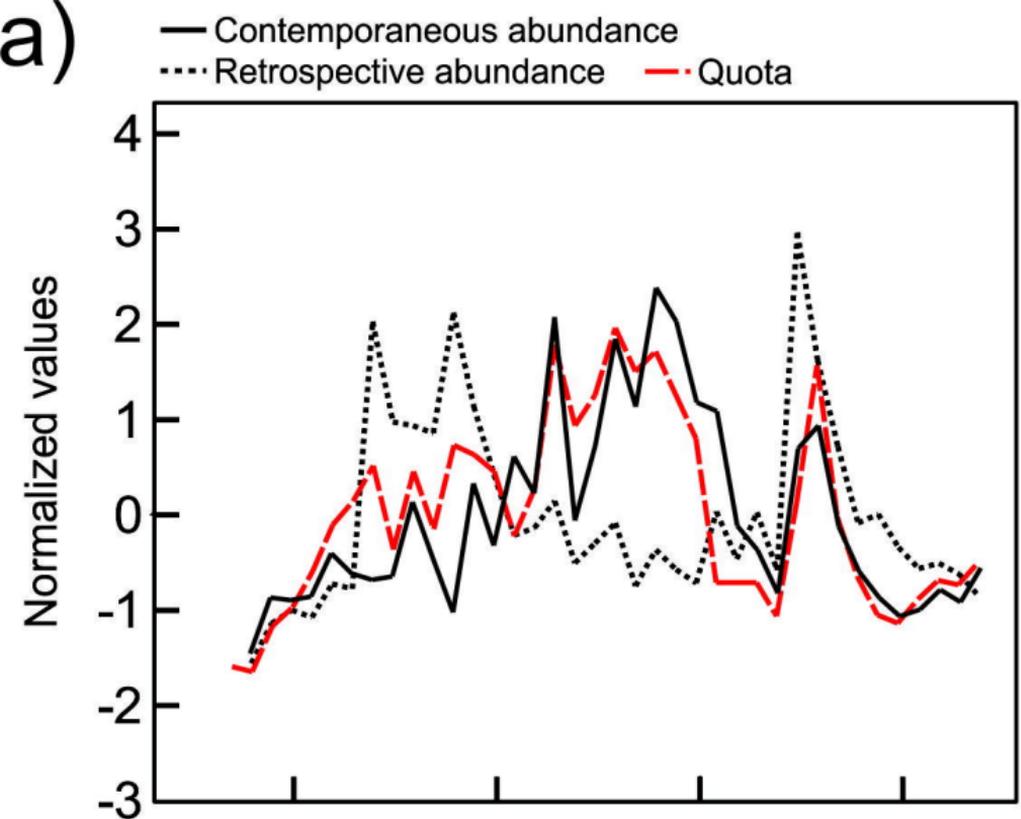
487 Figure 4. Estimate and standard error of the regression slope between contemporaneous
488 abundance, quota, effort and harvest and pseudo R^2 calculated to estimate the goodness-of-
489 fit of the relationships for commercial and recreational Lake Erie walleye fisheries.
490 Estimates are extracted from GLS with an autoregressive correlation structure (corAR1).

491 Figure 5. Observed annual rate of change in Lake Erie walleye contemporaneous abundance in
492 relation to a) annual rate of change in quota, b) annual rate of change in commercial
493 harvest and c) annual rate of change in recreational harvest. The grey dotted line represents
494 a fishery perfectly synchronized with stock dynamics (see Fig. 1; conceptual framework).

495 Figure 6. Deviations from perfect correspondence between the annual rates of change in
496 contemporaneous abundance and the annual rate of change in a) quota, b) commercial
497 harvest and c) recreational harvest between 1975 and 2014.







Commercial fisheries

