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# Nonequilibrium Thermodynamics and Fitness Costs Associated with Information Preservation May Explain Longevity Differences between Species

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## Abstract

The aging process in most organisms is enormously complex, involving a multitude of integrated molecular pathways that define and modulate the gradual cellular, tissue and system-level changes that evoke the aging phenotype. Despite this sophistication, the root causes underlying the susceptibility of an organism to aging may be comparatively straightforward. Here, I posit that biological aging can be explained using established principles from physics (nonequilibrium thermodynamics and Newtonian), evolutionary theory, and information theory. A logical conclusion of the theory presented here is that aging is inevitable in all individual organisms given sufficient time. It is also argued here how stipulations derived from the second law of thermodynamics and Newtonian physics may be critical in defining evolutionary fitness landscapes that vary according to the ability of an organism to resist the loss of data in information-encoding biomolecules (DNA), and possibly other biocomponents subject to irreversible fidelity loss in some organisms, and that this may largely explain the differences in longevity amongst many organisms.

## 1 Introduction

Biological aging is the gradual loss of physiological function that occurs with the passing of time in individual organisms after reproductive maturity. This functional deficit leads to progressively decreased fecundity, increased mortality risk, and an increased risk of failure of most tissues, organs, and systems. Many theories have been proposed that attempt to explain why organisms age. Concepts from evolutionary theory, genetics, biochemistry, and cellular and molecular biology are most often used as the basis of these theories. Despite the fact that these efforts have resulted in a multitude of theories, each with serious anomalies, the focus over the last half century has remained in these areas—more fundamental physical law has been under or incorrectly applied, or simply ignored altogether. Notwithstanding the fact that deterioration is implicated nearly universally in the aging process, the connection to nonequilibrium thermodynamics and entropy production has not been firmly established and is infrequently mentioned. There have been a few notable exceptions; for example, Hayflick contends that entropy alone is sufficient to explain biological aging (Hayflick, 2007a; 2000; 2004; 2007b).

The second law of thermodynamics (hereafter abbreviated to “second law”) stipulates that all energy, regardless of form, has a propensity to transition from a localized state to one that is more spread out, dispersed in space, at a rate that is determined by the ability of contributing external factors to counteract this tendency. In any system that is not at thermodynamic equilibrium, this tendency will result in entropy production by means of irreversible processes. A nonequilibrium system will continue to produce entropy indefinitely until equilibrium is reached, resulting in a transition from a higher concentration of molecular bond energy to a lower bond energy concentration (Demirel, 2014a).

It has been argued that the second law only relates to closed systems and that since organisms are open systems the second law does not apply (Mitteldorf, 2010). This is false—the second law is universally applicable and always satisfied (Kondepudi and Prigogine, 2014). According to modern nonequilibrium thermodynamics, the second law describes the tendency for internal entropy to be produced by any system that is not in equilibrium. Clearly organisms are not in thermodynamic equilibrium and therefore internal entropy is produced continuously in all organisms.

Organisms combat entropy increases by exchanging heat and other forms of energy with their surroundings and importing/exporting entropy in the form of metabolites and catabolites. It has been suggested that any entropy increase in an organism can always be counteracted without repercussions by simply expending energy; from this, some have concluded that there is no thermodynamic stipulation for aging to occur and no role for thermodynamics in explaining aging. This is a rather obvious *non sequitur*—yet this notion has been perpetuated in the aging literature, both explicitly and implicitly (Kirkwood, 1999; Mitteldorf, 2010; Trindade et al., 2013). It will be demonstrated here why this inference is a logical fallacy and how it neglects to consider the effects of internal entropy production within an organism—particularly the influence of internal entropy production on the flow of biomolecular-encoded information over time.

Despite existing in a nonequilibrium state, individual organisms resist the decay towards equilibrium, which eventually results in death, long enough to allow them to mature and reproduce. The term “longevity”, as used in this discussion,

45 refers to the average period of time that individuals of a species live under ideal conditions. This can vary from hours to  
46 centuries depending on the species.

47 It will be demonstrated how internal entropy production is combatted within an organism and why various classes of  
48 biomolecules are impacted differently by thermodynamically-explained phenomena. For example, DNA molecules face  
49 inevitable reductions in mutual genetic information as a result of internal entropy production. While death due to other  
50 circumstances may occur first, individuals must eventually succumb to this effect with adequate time. Although germ cells  
51 will also inevitably lose mutual DNA information, species are able to survive and adapt due to selective pressures favoring  
52 the resulting genotypes that maximize fitness—losses in genetic information (i.e. mutations) that reduce species fitness  
53 are gradually eliminated from the gene pool.

54 It will also be established how strategies that increase or decrease the rate of loss of mutual DNA information in the  
55 individuals of a species may produce concomitant changes in other factors that impact fitness. Long-established allometric  
56 trends related to longevity can predict the state of many of these factors across a diverse range of species. The described  
57 logic suggests the existence of correlations between longevity, the rate of loss of mutual DNA information in individuals  
58 of a species and these fitness-modulating factors.

## 59 2 Nonequilibrium Thermodynamics Stipulates Biomolecular Damage in Living Organisms

60 Thermodynamic equilibrium can be characterized as the total absence of thermodynamic potentials within a system. Free  
61 energy is minimized in systems that have reached thermodynamic equilibrium. In living organisms, energy remains highly  
62 concentrated due to the chemical bonds that hold biomolecules together. For this reason, considerable thermodynamic  
63 potentials exist and therefore organisms are not in thermodynamic equilibrium. Organisms establish an ordered structure  
64 with low entropy by utilizing energy and matter that is exchanged with the environment. In terms of the preservation of  
65 overall biomolecular<sup>1</sup> integrity, an organism could be characterized as a near steady-state nonequilibrium system—at least  
66 if considered over a snapshot-in-time that is short compared to total lifespan. As the atomic arrangement of biomolecules  
67 fails to maximize free energy<sup>2</sup>, the second law stipulates that irreversible processes driving the system in the direction of  
68 equilibrium will occur and impose insults on biomolecular structure. This degradative phenomenon must be counteracted  
69 by the organism to prevent loss of biomolecular integrity.

70 Within any organism, thermodynamic fluxes (thermal, chemical, mechanical, electrical and others) exist and contain  
71 significant spatial and temporal heterogeneity at both the mesoscopic and macroscopic levels. As a result, a multitude of  
72 opportunities exist for biomolecular interactions that result in transitions to undesirable structural states. Mechanical  
73 force-based unfolding of proteins and unzipping or shearing of nucleic acids can occur, as can protein folding alterations  
74 and improper protein associations due to crowding (Zhou, 2010). DNA is subject to hydrolysis, oxidation, and methylation  
75 reactions among others (Lindahl, 1993). Denaturation of DNA and protein from excessive temperature is also possible.  
76 The disruption of hydrophobic interactions can occur in many situations and can alter protein structure such that it is  
77 nonfunctional. These are some of the more obvious ways by which a biomolecule could be damaged in a living organism.  
78 It should be apparent that while it is beneficial to the organism for the probabilities of these occurrences to be minimized,  
79 it is impossible to reduce them to zero.

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<sup>1</sup> Unless otherwise noted, the biomolecules being referred to are the proteins, carbohydrates, lipids, nucleic acids, and other macromolecules that define the structure of an organism and facilitate function.

<sup>2</sup> Not to be confused with free-energy minimization during protein folding and the conformational changes of other biomolecules. In these examples, the free-energy being minimized only considers conformation options for a given atomic structure (transitions that can occur within a very short time period). Even in its lowest free-energy conformation, any given biomolecule will still possess considerable excess energy, largely stored in the bonds between its atoms, compared to an equilibrium state where this energy has been maximally dispersed.

## 2.1 A Model System for Analyzing Thermodynamically-Derived Biomolecular Degradation

As stipulated by thermodynamic principles, biomolecules face degradation even in conditions of relative homogeneity. For example, biomolecular structure can be compromised by a variety of undesirable chemical reactions that directly alter the molecular arrangement. To demonstrate the degradative effects of thermodynamic chemical forces on biomolecules, we will consider a system consisting of a fixed volume of cytosol. The analysis will focus on a single type of biomolecule; this could be an expressed protein, synthesized lipid or any other biomolecule that is produced by the organism's cellular machinery. We will assume for this example that the biomolecule of interest is a protein. The temperature and pressure of the system are in equilibrium with the surroundings and equivalent to physiological values. The concentrations of all molecules aside from the protein of interest are held constant by chemiostats. The system is assumed to remain in thermomechanical equilibrium at all times but not chemical equilibrium. Chemical reactions not involving the protein of interest are inhibited (reaction rates and affinities are zeroed), as are all biomolecular repair and replacement mechanisms. At time  $t_0$ , every molecule of the protein of interest is in a state consistent with that immediately following successful protein synthesis, proper folding and post-translational modification (if applicable).

In accordance with the second law, the described system will produce internal entropy and transition irreversibly from an initial state at time  $t_0$  through a very large number of nonequilibrium states until all chemical reaction affinities have been reduced to zero. Since the system as defined has no means of counteracting internal entropy production, the second law requires that the only stable or steady state is the chemical equilibrium state. Although some reaction affinities may be low, even the most improbably reactions must have nonzero reaction rates. The presence of reactive oxygen species (ROS) may generate reactions with particularly high affinities but such reactions are not the only source of internal entropy production. During the progression towards chemical equilibrium, the protein can exist in a very large number of alternative internal states representing various degradative arrangements. The transitions between internal states can be characterized by reactions of the form



where  $n$  and  $m$  are the initial and new protein internal states,  $a_{\alpha}^{nm}$  and  $b_{\beta}^{nm}$  are the number of molecules of reactant ( $A_{\alpha}$ ) or product ( $B_{\beta}$ ) involved in the reaction, and  $N_a$  and  $N_b$  are the number of different reactants and products involved. As these reactions proceed, internal entropy will be produced until the system reaches equilibrium. The rate of internal entropy production  $d_i S/dt$  at any time  $t$  up until equilibrium can be expressed as

$$\frac{d_i S}{dt} = \sum_{j=1}^r \sum_{i=1}^k \left( \frac{-v_i^{(j)} \mu_i}{T} \right) \frac{d\varepsilon_j}{dt} > 0 \quad (2)$$

where  $k$  is the number of chemical species involved in a particular reaction,  $r$  is the total number of reactions taking place in the system,  $v_i^{(j)}$  are the stoichiometric coefficients,  $\mu_i$  are the chemical potentials, and  $d\varepsilon_j/dt$  represents the reaction velocity at time  $t$ . At thermodynamic equilibrium, both the reaction velocity and the reaction affinity  $A_r = \sum_{i=1}^k (-v_i^{(j)} \mu_i/T)$  will be zero.

Nonspontaneous processes can also occur during the degradation towards system equilibrium by way of being driven through thermodynamic coupling (Wang, 2009). Although these processes will usually also result in a biomolecule transitioning into a biologically undesirable internal state, negative entropy may be produced locally. Per the second law, internal entropy production must be non-negative in nonequilibrium systems at all times. Therefore, any negative internal entropy produced by a nonspontaneous process will be offset by positive internal entropy production elsewhere in the system.

The described system illustrates why biomolecular degradation will occur continuously within all organisms, tissues, and cells—due to both spontaneous and nonspontaneous reactions. For homeostasis (steady-state) to be preserved, this degradation must be combatted by biological mechanisms capable of producing sufficient negative entropy to offset the internal entropy being produced. Obviously, degradative internal entropy production in a living organism is not limited to chemical reactions, as in the system above, but will also include contributions due to heat, mass, and momentum transfer as well as electrical, magnetic, and other effects. Each of these factors can be modelled similarly using modern nonequilibrium thermodynamic theory and the second law in particular, establishing an arrow of time which stipulates that the future can be distinguished from the past by an ever increasing quantity of internal entropy produced.

## 2.2 Preservation of Steady-state Nonequilibrium within a Biomolecular System

Returning to our model system, the ultimate endpoint of this system represents a state where all of the examined biomolecule have fully degraded and internal entropy production has ceased. Once this state is reached, all reaction affinities  $A_r$  and reaction velocities  $d\varepsilon_j/dt$  will be zero, and the system will be in equilibrium.

The total entropy increase in the system at any time  $t$  is

$$dS_{sys,t} = \int_{t_0}^t \left( \frac{d_e S}{dt} + \frac{d_i S}{dt} \right) dt = \frac{Q_{tot}}{T} + \int_{t_0}^t \sum_{j=1}^r \sum_{i=1}^k \left( \frac{-v_i^{(j)} \mu_i}{T} \right) \frac{d\varepsilon_j}{dt} dt \quad (3)$$

Here,  $d_e S/dt$  represents the rate of entropy gain/loss in the system due to the exchange of energy with the surroundings (heat flowing into or out of the system).  $Q_{tot}$  represents the total heat that has been transferred to/from the system between time  $t_0$  and time  $t$ . Utilizing the change in system entropy  $dS_{sys,t}$  for any time  $t$  and the increase in system entropy corresponding to the equilibrium condition  $dS_{sys,max}$ , we can define a new parameter to represent the degree to which the biomolecular ensemble under examination has degraded. This will be called “degradation state”  $D$  and is calculated

$$D(t) = \frac{dS_{sys,t}}{dS_{sys,max}} \quad (4)$$

A  $D$  of 1 corresponds to full degradation, while a value of 0 is representative of a pool of fully intact biomolecules. To prevent the described system from transitioning to an equilibrium state with maximum disorder and to preserve a steady state, the entropy being produced must be counteracted such that the following equation is satisfied

$$\dot{S}_{sys} = \frac{d_e S}{dt} + \frac{d_i S}{dt} = 0 \quad (5)$$

Since the second law stipulates that  $d_i S/dt > 0$ , in order to maintain a steady state

$$\frac{d_e S}{dt} = -\frac{d_i S}{dt} < 0 \quad (6)$$

As expected, negative entropy must be introduced into the system in order for biomolecular integrity to be preserved (i.e. prevent an increase in system entropy). Suppose that the system incorporates a replacement mechanism that replaces  $\dot{N}_{rc}$  moles  $s^{-1}$  of degraded biomolecules with newly expressed or fully-repaired biomolecules. A steady state can be maintained if

$$D_{rep} \frac{-dS_{sys,max}}{N_{tot}} \dot{N}_{rc} = -\frac{d_i S}{dt} \quad (7)$$

143 where  $D_{rep}$  represents the average degradation state of a replaced biomolecule and  $N_{tot}$  is the total number of the  
 144 biomolecule of interest in moles. Eq. (7) can be rearranged to solve for replacement rate. (The rate of internal entropy  
 145 production will be denoted by  $\dot{S}_i$  instead of  $\frac{d_i S}{dt}$  for purposes of clarity.)

$$\dot{N}_{rc} = \dot{S}_i N_{tot} (D_{rep} * dS_{sys,max})^{-1} \quad (8)$$

146 The degradation state  $D$  of a biomolecular pool specifies the level of degradation of the average biomolecule but it does  
 147 not indicate how well biomolecules perform at that degradation state. A new term, “biomolecular performance”  $P$ , will  
 148 be used to quantify the relative ability of a biomolecule to perform its intrinsic biological function(s). A value for  $P$  of 1  
 149 indicates that the average biomolecule in an ensemble is able to perform 100% of its intrinsic biological function(s), or in  
 150 other words, the ensemble will perform as if all biomolecules were in ideal condition. A  $P$  of 0 denotes that the average  
 151 biomolecule is unable to perform any of its intrinsic biological function. Ultimately, we would like to express biomolecular  
 152 replacement rate  $\dot{N}_{rc}$  as a function of biomolecular performance. Several more relationships must be defined before this  
 153 is possible.

154 Let us examine how biomolecular performance relates to degradation state. Biomolecular insults are inevitable and  
 155 common occurrences; for this reason, biomolecules must retain the ability to perform their intrinsic biological function  
 156 even when some level of damage is present. If a biomolecule did not have this capability, only a very small percentage of  
 157 biomolecules within a pool would be functional at any given time.

158 Many small singular insults to a biomolecule will have little to no effect on biomolecular performance (although certainly  
 159 some singular insults can render a biomolecule nonfunctional or significantly compromised). As the number of insults  
 160 incurred by a biomolecular pool begins to accumulate, biomolecular performance must decrease at a rate which will  
 161 increase with further degradation. As the degradation state continues to increase, an inflection point will eventually be  
 162 reached where the rate of decrease in  $P$  has achieved a maximum and further increases in degradation state will result in  
 163 increasingly lower rates of decrease in  $P$ . The described relationship between biomolecular performance and degradation  
 164 state can be approximated by a logistic curve. This can be represented as

$$P(D) = [1 + e^{k(D-D_{P50})}]^{-1} \quad (9)$$

165 Parameter  $D_{P50}$  specifies the biomolecular degradation state value that corresponds to a biomolecular performance of  
 166 0.5. In other words  $D_{P50}$  is a way to signify how much degradation a biomolecular ensemble can incur before losing half  
 167 its performance.  $D_{P50}$  can be thought  
 168 of as a measure of biomolecular  
 169 durability. The parameter  $k$  specifies  
 170 the steepness of the curve, or the  
 171 relative ability of a biomolecule to  
 172 resist decreases in performance with  
 173 increasing degradation; for this  
 174 reason,  $k$  can be viewed as a measure  
 175 of biomolecular resiliency (lower  
 176 values indicate increased resiliency).

177 Fig. 1 illustrates some hypothetical  
 178 graphs of  $P$  as a function of  $D$  for  
 179 various values of  $k$  and  $D_{P50}$ .

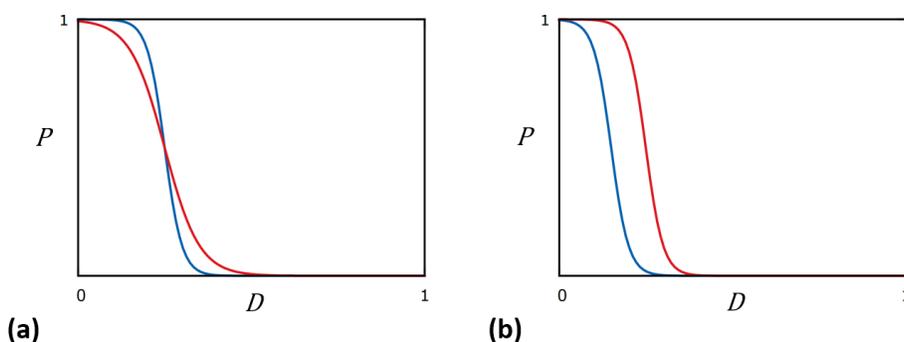


Fig. 1. Hypothetical biomolecular performance - degradation state curves that demonstrate the result of modulating different parameters from Eq. (9). (a)  $D_{P50} = 0.25$ ,  $k = 40$  (blue),  $k = 20$  (red). (b)  $D_{P50} = 0.15$  (blue),  $D_{P50} = 0.25$  (red),  $k = 40$ .

180 Next, we will derive a means to express the average degradation state of a biomolecule undergoing turnover in terms of  
 181 the biomolecular performance of the ensemble. For this purpose, it will be assumed that the biomolecular  
 182 repair/replacement mechanisms are able to differentiate between the performance state of individual molecules and that

183 the average biomolecular performance of a repaired/replaced biomolecule is  $m\%$  of the average biomolecular  
 184 performance of the ensemble. By rearranging Eq. ( 9 ) and incorporating the  $m$  term we arrive at the desired expression

$$D_{rep}(P) = D_{P50} + k^{-1} \ln\left(\frac{100}{mP} - 1\right) \quad (10)$$

185 Obviously, this relation does not perfectly describe the exact behavior of a cellular biomolecular repair and replacement  
 186 strategy. However, for the purposes of the current discussion this approximation will be sufficient.

187 Lastly, to express biomolecular replacement rate in terms of biomolecular performance for a steady-state scenario, we  
 188 require an expression for the rate of internal entropy production as a function of degradation state. Eq. ( 2 ) described the  
 189 rate of internal entropy production in terms of chemical reaction affinities and velocities. We can approximate a  
 190 transformation of this equation into one that is a function of degradation state by considering some aspects of the  
 191 reactions occurring within the system. For the time being, we will disregard radical and other chain-type reactions. We  
 192 will assume that there are a very large number of potential reactions and that the reaction velocities of these reactions  
 193 are widely and relatively evenly dispersed. Reactions with high reaction velocities will tend to occur before those with  
 194 lower reaction velocities. In other words, reactions with high reaction velocities will be more prevalent at low degradation  
 195 states. As degradation state increases, reactions with lower reaction velocities will begin to represent a larger proportion  
 196 of the internal entropy being produced. However, there will be fewer total reactions because reactions with higher  
 197 reaction velocities will have already completed. Therefore, as degradation state increases, the reaction velocity of the  
 198 average reaction will decrease (reducing  $\dot{S}_i$ ) and there will be fewer total possible reactions (further reducing  $\dot{S}_i$ ). For this  
 199 reason,  $\dot{S}_i$  as a function of degradation state can be approximated by an exponential decay relationship.

$$\dot{S}_i(D) = \dot{S}_{max} e^{-rD} \quad (11)$$

200 where  $\dot{S}_{max}$  is the maximum rate of internal entropy production (corresponding to a  $D$  of zero) and  $r$  is the exponential  
 201 decay constant. Actual values of  $r$  should always be greater than 0.

202 Finally, we have all the requisite relationships to express biomolecular replacement rate  $\dot{N}_{rc}$  as a function of biomolecular  
 203 performance. Combining Eqs. ( 8 ) thru ( 11 ), and solving for  $\dot{N}_{rc}$  yields

$$\dot{N}_{rc}(P) = \dot{S}_{max} e^{-rD_{P50}} (P^{-1} - 1)^{-\frac{r}{k}} \left( D_{P50} + k^{-1} \ln\left(\frac{100}{mP} - 1\right) \right)^{-1} \frac{N_{tot}}{dS_{sys, max}} \quad (12)$$

204 Biomolecular replacement rate is of particular importance as it closely correlates with the rate of energetic resource  
 205 consumption required to maintain a specific level of biomolecular performance. The performance of a given biomolecular  
 206 ensemble must satisfy cellular/organismal requirements. Therefore, it is of interest to consider how biomolecular  
 207 performance and replacement rate relate to each other and how other parameters may effect this relationship.

208 In the first hypothetical scenario, we examine the effects of modulating the exponential decay constant  $r$  (Fig. 2, Case A).  
 209 Higher values of  $r$  equate to an increase in the rate of decay of internal entropy production with increasing degradation  
 210 state (demonstrated in Fig. 2A.2 by plotting Eq. ( 11 ) for three different values of  $r$ ). As long as  $r$  is not less than or equal  
 211 to zero (or in other words, provided that internal entropy production decreases with increasing degradation state), there  
 212 will be a particular performance value above and below which any change in replacement rate will have a diminishing  
 213 effect on biomolecular performance. This is illustrated by plotting the derivative of Eq. ( 12 ),  $\frac{d\dot{N}_{rc}}{dP}$  as a function of  $P$ , as  
 214 shown in Fig. 2A.4. The minima in this graph represent the biomolecular performance values where the return on  
 215 investment (in terms of rate of consumption of cellular energetic resources) towards biomolecular replacement rate is  
 216 maximized. This demonstrates the presence of a tradeoff between biomolecular performance and cellular energetic  
 217 resource return on investment (ROI).

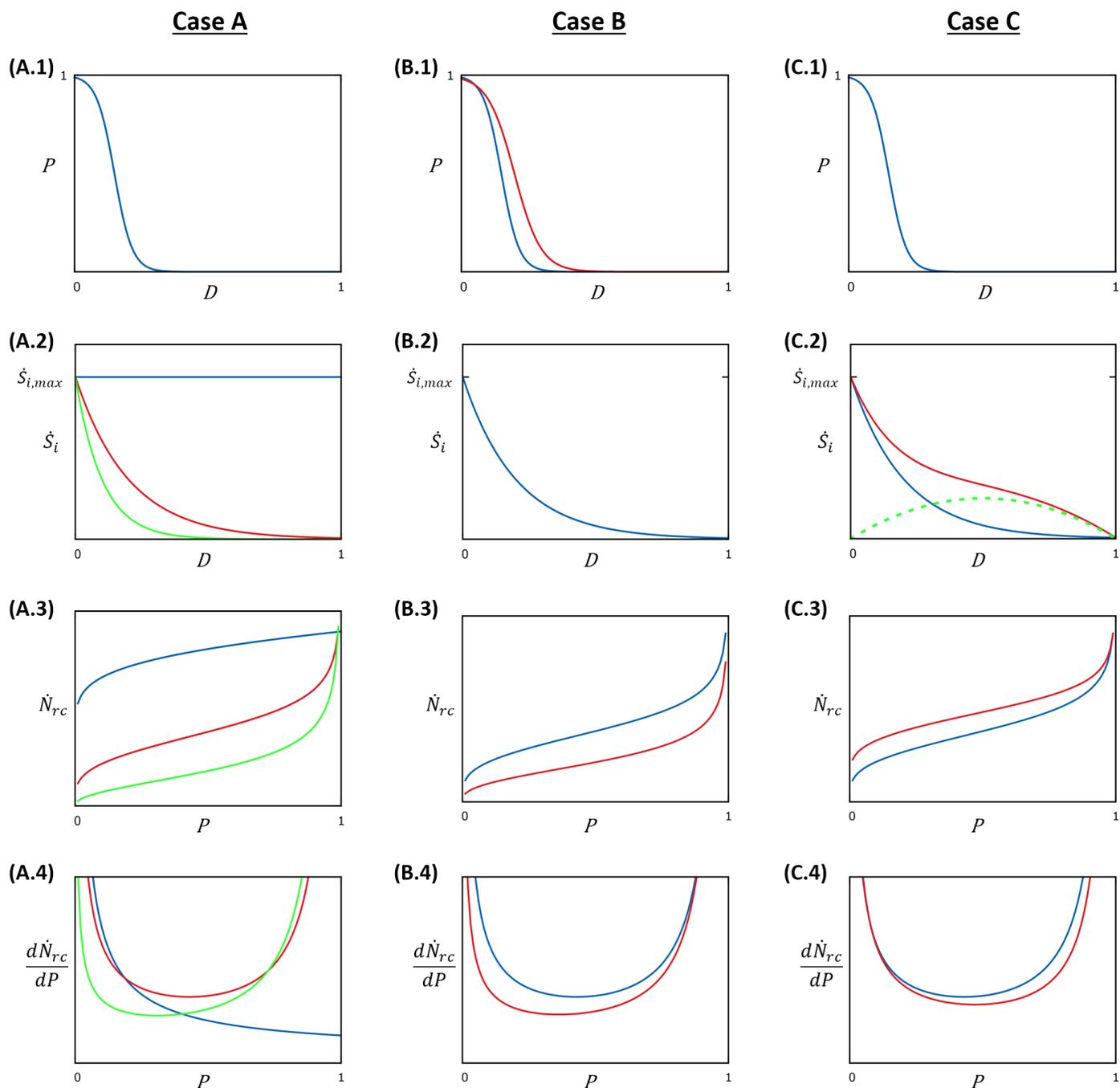


Fig. 2. Three hypothetical biomolecular repair/replacement scenarios demonstrating the relationships between biomolecular performance, degradation state, and repair/replacement rate by plotting Eqs. ( 9 ), ( 11 ), ( 12 ), ( 14 ), and ( 15 ). Case A: Effects of altering parameter  $r$ .  $D_{P50} = 0.15$ ,  $k = 30$ ,  $m = 10$ ,  $r = 0$  (blue),  $r = 5$  (red),  $r = 10$  (green). Case B: Increasing biomolecular resiliency (lowering  $k$ ) reduces the biomolecular replacement rate required to achieve a given performance. Both variations have the same degradation state at a performance value of 0.95.  $D_{P50} = 0.15$  (blue),  $D_{P50} = 0.199$  (red, calculated),  $k = 30$  (blue),  $k = 20$  (red),  $m = 10$ ,  $r = 5$ ,  $P_{match} = 0.95$ . Case C: Introduction of a radical reaction term into the internal entropy production rate equation.  $D_{P50} = 0.15$ ,  $k = 30$ ,  $m = 10$ ,  $r = 5$ ,  $h = 1.0$ .

219 Next we will consider two variations of a biomolecule that share the same degradation state for a particular performance  
 220 value but differ in resiliency (parameter  $k$ ) due to differences in biomolecular structure. This is depicted in Fig. 2B.1 for a  
 221 shared performance value of 0.95.  $\dot{S}_i(D)$  is similar for both variations (Fig. 2B.2). Increasing biomolecular resiliency  
 222 (decreasing  $k$ ) allows for the same biomolecular performance to be achieved with lower replacement rates (Fig. 2B.3). All  
 223 else being equal, selective pressure should favor biomolecular configurations that maximize resiliency.

224 For the last scenario, we will consider the impact of radical and other chain-type reactions. The presence of these reactions  
 225 will impact  $\dot{S}_i(D)$ . At low degradation states, there will be relatively few chain-type reactions as reactive product is  
 226 required to generate these reactions. As degradation state increases, more reactive product will be available, leading to  
 227 an increase in the number of chain-type reactions and a corresponding increase in internal entropy production. As the  
 228 amount of reactive product increases, the amount of available reactant will decrease. At some degradation state value,  
 229 the amount of reactant remaining will have decreased to the point that the quantity of remaining available reactant  
 230 becomes the factor limiting the reaction rate. This will result in a maximal contribution to  $\dot{S}_i$  at this condition and a  
 231 continual decrease in the magnitude of the contribution to  $\dot{S}_i$  for higher degradation states. If we assume that this  
 232 switchover occurs at a degradation state of 0.5, we can roughly approximate this behavior with the relation

$$\dot{S}_{i,rad}(D) = \dot{S}_{max}h(D - D^2) \quad (13)$$

233 where  $h$  is a scaling term. The total internal entropy production equation becomes

$$\dot{S}_{i,tot}(D) = \dot{S}_{max}(e^{-rD} + h(D - D^2)) \quad (14)$$

234 Combining this equation with Eqs. (8) thru (10), and solving for  $\dot{N}_{rc}$  yields the new expression

$$\begin{aligned} \dot{N}_{rc}(P) = \dot{S}_{max} & \left( e^{-rD_{P50}}(P^{-1} - 1)^{-\frac{r}{k}} \right. \\ & \left. + h(D_{P50} + k^{-1} \ln(P^{-1} - 1) - (D_{P50} + k^{-1} \ln(P^{-1} - 1))^2) \right) \left( D_{P50} \right. \\ & \left. + k^{-1} \ln\left(\frac{100}{mP} - 1\right) \right)^{-1} \frac{N_{tot}}{dS_{sys,max}} \end{aligned} \quad (15)$$

235 By plotting Eq. (14), we can see the influence of chain-type reactions on total internal entropy production for a  
 236 hypothetical scenario (Fig. 2C.2). Not surprisingly, per Eq. (15), incorporating chain-type reactions results in increased  
 237 replacement rates for all performance values (Fig. 2C.3).

238 Of note is how it is conceivable for a biomolecular pool to have considerable radical-induced damage when functioning at  
 239 a degradation state corresponding to that which maximizes cellular energetic resource ROI. In addition, it demonstrates  
 240 that it is reasonable to expect more radical-induced damage (i.e. increased degradation state) in situations where  
 241 energetic resource availability is limited and energetic resource ROI is more highly prioritized over peak performance.  
 242 Biomolecular performance levels that maximize energetic resource ROI are likely to correspond with higher levels of  
 243 biomolecular radical damage compared to the higher performance levels utilized by organisms with lower energetic  
 244 resource availability restrictions and where maximal biomolecular performance is a higher priority.

245 The naked mole-rat (*Heterocephalus glaber*) may be an example of this phenomenon in action. The naked mole-rat has a  
 246 maximum lifespan (MLSP) of ~31 years while their similar-sized cousin, the house mouse (*Mus musculus*), has an MLSP of  
 247 only ~4 years (Tacutu et al., 2012). Yet, the naked mole-rat has been found to have significantly higher levels of oxidative  
 248 stress than the house mouse (Andziak et al., 2005). Naked mole-rats also have higher levels of oxidative damage, including  
 249 increased lipid peroxidation and total protein oxidation (Andziak and Buffenstein, 2006; Andziak et al., 2006). Examination  
 250 of mitochondrial protein fractions from heart tissue found that mitochondrial proteins are also more damaged on average  
 251 in naked mole-rats compared to mice (Andziak et al., 2006). Despite this, naked mole-rats do not have superior

biochemical defenses and in fact, they do not possess an antioxidant assemblage that is any more effective or efficient than that of mice (Andziak et al., 2005).

Naked mole-rats live in a hypoxic environment and have extremely low metabolic rates for their size (McNab, 1966). It has been considered a paradox that the naked mole-rat exhibits high levels of oxidative stress and protein damage while having such extreme longevity compared to similarly sized, closely-related species. I believe that not only is this not paradoxical, it is actually predictable and straightforward to explain. The first piece of the puzzle is explaining why oxidative damage levels are substantially elevated in the naked mole-rat. The naked mole-rat's limited access to oxygen restricts the rate of cellular ATP production via oxidative phosphorylation, thereby requiring that a very high priority be placed on the energetic ROI of cellular processes. As demonstrated, biomolecular performance levels that maximize energetic ROI are likely to correspond with higher loads of radical (oxidative) damage. Related species that are not as energetic resource restricted, for example the house mouse, may operate at higher biomolecular performance levels (to help maximize athletic ability, growth rate, etc.) which will correspond to lower levels of oxidative, and other, damage present in their biomolecular pools. Therefore, it should not be surprising that the naked mole-rat has elevated levels of oxidative damage, which is indicative of a higher biomolecular degradation state. The second part of solving the naked mole-rat paradox is explaining why their high biomolecular degradation states do not determine, nor adversely affect, MLSP. This will be addressed in section 7.5.

### 3 Combatting Degradative Internal Entropy Production within an Organism

From examining Eq. (5) we can see that in order for an individual organism to avoid a permanent increase in total entropy (i.e. to maintain a steady-state in terms of biomolecular degradation), the organism must be capable of producing sufficient negative entropy  $d_e S/dt$  to offset any degradative internal entropy that is produced  $d_i S/dt$ . Many of the mechanisms utilized for this purpose have been characterized. These mechanisms include biomolecular expression systems, molecular chaperones, degradation systems (proteasomes, lysosomes) and DNA repair enzymes, to name a few. It is clear that organisms would be unable to maintain (or even attain) a developed state without biomolecular repair and replacement mechanisms (Zimniak, 2008). At the cellular level, stem cells and mitotic cell division, together with apoptosis, provide a means to replace entire cells and, in some organisms, even tissues—thereby preserving (or at least attempting to preserve) the degradation state in these populations.

Consideration should also be given to the factors that influence the rate of degradative internal entropy production  $d_i S/dt$  within a system, as this will determine the amount of negative entropy required to satisfy a particular steady-state condition. Reducing the rate of internal entropy production will decrease the amount of negative entropy needed, and therefore the energetic investment required, to preserve homeostasis. The rate of internal entropy production is proportional to the sum of the contributions from all thermodynamic potentials acting on a biomolecular ensemble. This includes chemical reactions, heat, mass, momentum transfer, and other effects. The magnitudes of the thermodynamic potentials depends on the strengths of the respective “damage-inflicting” forces (which may vary significantly with time, particularly when a biomolecule is in an active state) and the ability of an organism's biomolecular structures to resist these forces.

The rate of degradative internal entropy production will tend to decrease with increasing degradation state. The steady-state condition that is achieved will be the state where the rate of negative entropy production from repair and replacement is equivalent in magnitude to the rate of degradative internal entropy production. Regardless of the degradation state, all biomolecular ensembles will consist of biomolecules in various states of degradation. Perfect fidelity cannot be achievable in any biomolecular ensemble. (As degradation state decreases, less and less negative entropy will be produced by each biomolecular repair/replacement event; therefore, infinite resources would be required to attain perfect fidelity, i.e. a degradation state of zero.)

### 294 3.1 Optimization of Biomolecular Structure

295 Biomolecular structural optimizations can modulate the effects of degradative thermodynamic potentials by resisting  
296 biomolecular state changes. As an illustration, consider how a protein may be affected by hydroxyl radicals, which are  
297 capable of generating very strong chemical reaction potentials. The amino acids cysteine and methionine are particularly  
298 vulnerable to oxidation reactions (Suto et al., 2006). The inclusion of cysteine in a protein could be avoided, and another  
299 amino acid substituted, to protect from aberrant structural modifications due to hydroxyl radical reactions. Alternatively,  
300 cysteine could be implemented in non-critical locations within a protein as a sacrificial means to scavenge free radicals  
301 and help prevent damage to more critical domains. It should be considered, however, that a cysteine or methionine  
302 residue in a particular location could bestow an advantageous trait to a protein (improved catalytic activity, energy  
303 utilization, substrate specificity, etc.)—thus any benefits to inclusion must be weighed against the costs associated with  
304 the increased susceptibility to insult.

305 Some of the other ways that biomolecular structural optimizations could help resist damage-inducing thermodynamic  
306 forces include modifications that improve resistance to undesirable hydrophobic interactions capable of generating  
307 conformation changes and structural variations that resist temperature-induced denaturation. These biomolecular  
308 modifications could result in a deleterious increase in the physical size of the biomolecule or otherwise be  
309 disadvantageous, such as limiting the rate at which a biomolecule can perform its intrinsic biological function.  
310 Modifications to biomolecular structure could also affect the amount of energetic resources required for the  
311 production/replacement/repair of a biomolecule.

312 On the other hand, high durability/resiliency or low rates of internal entropy production may be sacrificed by structural  
313 alterations that maximize the specific rate of work that can be performed by a biomolecule. An example of this is discussed  
314 in detail in section 7.4, where it is demonstrated that the polyunsaturated fatty acid content levels of membrane lipids,  
315 which varies across species, likely represent evolved tradeoffs between the specific rate of biomolecular work that can be  
316 performed by transmembrane proteins and the rate of internal entropy production.

317 Clearly, biomolecule structural optimization is a multifactorial compromise. It is apparent that through evolution,  
318 biomolecular arrangements are “tested” iteratively and through selective pressure converge towards arrangements that  
319 provide the appropriate balance between these factors such that evolutionary fitness is maximized in the species.

### 320 3.2 Microenvironment Optimization

321 Also relevant to the rate of degradative internal entropy production within an organism are the microenvironmental  
322 conditions, as these will define the magnitude of the degradative thermodynamic forces acting upon biomolecules.  
323 Temperature, which is a measure of the kinetic energy of molecules, has a significant effect on reaction velocities and  
324 bond forces/energies. Although biomolecular conformation can change when temperature is increased or decreased,  
325 lower temperature will generally improve molecular stability and reduce the rate of internal entropy production. However,  
326 higher temperatures will produce increased kinetic energy transfer during intermolecular collisions. This will increase the  
327 specific rate of biomolecular work that can be performed. Evolution establishes a compromise between these and other  
328 temperature-dependent factors such that the defined species body temperature maximizes fitness.

329 Other attributes of a microenvironment may have less obvious, and even somewhat counterintuitive, ramifications. For  
330 example, conditions of higher oxidative stress are expected to increase the magnitude of degradative thermodynamic  
331 forces. At first glance, this may seem purely undesirable from a biological standpoint. Yet, it was demonstrated earlier  
332 how arrangements that highly prioritize energetic resource ROI may exhibit elevated levels of oxidative stress.

### 333 3.3 Biomolecular Work Rate can Influence the Magnitude of Degradative Internal Entropy Production Rates

334 Consider the state of biomolecules actively performing their intrinsic biological function. The process taking place will  
335 involve a transfer of energy. This will result in a brief period of time when energy is highly concentrated in close proximity  
336 to the biomolecule. The magnitude of the thermodynamic potentials contributing towards biomolecular degradation will

337 be amplified during this time. For this reason, internal entropy will be produced at an increased rate when biomolecules  
338 are in the active state.

339 All else being equal, a biomolecular pool that is inactive (not performing any intrinsic biological function) will have lower  
340 rates of degradative internal entropy production than one where biomolecules spend a significant percentage of time in  
341 the active state. The average rate of internal entropy production can be approximated by

$$\dot{S}_i = (100 - p)\dot{S}_{i,static} + p\dot{S}_{i,active} \quad (16)$$

342 where  $\dot{S}_{static}$  is the degradative internal entropy production rate of the system when biomolecules are not performing  
343 any intrinsic biological function,  $\dot{S}_{active}$  is the rate of internal entropy production when all biomolecules are actively  
344 performing their intrinsic biological functions, and  $p$  represents the percentage of time the average biomolecule spends  
345 in the active state. It should be evident that configurations where biomolecules spend more time in the active state (work  
346 rate is higher) will require increased rates of biomolecular repair/replacement to preserve the steady-state condition.

### 347 3.4 Extrapolation of Degradation State Concepts to Larger Physical Scales

348 The system described in sections 2.1-2.2 applies to biomolecules; many of the same concepts can also be applied to larger  
349 physical scales. For example, organelles are repaired and replaced, and face damage due to degradative internal entropy  
350 production in much the same manner as the individual biomolecules that they are assembled from. Mitotic cell  
351 populations can also be considered as described earlier, with individual cells utilized as the expressed (replicating) unit.

## 352 4 Preservation of DNA Molecular Information

353 Most biomolecules can be replaced directly by expression of a genetic sequence or are the metabolic products of  
354 expressed biomolecules. The performance of these biomolecular pools can be preserved through replacement by the  
355 successful expression of the appropriate genetic sequence or the relevant metabolic processes, and the removal or repair  
356 of any dysfunctional counterparts. With a given rate of turnover and assuming intact expression machinery, the  
357 preservation of biomolecular performance within a cell becomes dependent on: (1) the integrity of the genetic material  
358 responsible for biomolecular expression, and (2) the cell's ability to remove all dysfunctional biomolecules. It is suggested  
359 that, while the last requirement should not be trivialized, this is a very attainable objective: That is to say, the specificity  
360 of degradation pathways can afford to err on the side of casting a wider net to help ensure that any dysfunctional  
361 biomolecule is eventually recognized since these biomolecules can be resynthesized anew. Indiscriminate purging of  
362 cellular content would eventually dispose of any unwanted products—it is much easier to discard in excess to rid of waste  
363 than it is to preserve ultimate integrity in a structure. For these reasons, integrity preservation in biomolecules that cannot  
364 be expressed, the genetic-encoding biomolecules—DNA, warrants further scrutiny.

### 365 4.1 Decreases in Mutual Information of DNA Molecules

366 Combatting degradative internal entropy production via replacement requires intact information-containing biomolecules  
367 encoding for both the biomolecules being replaced and the expression machinery. DNA molecules contain the information  
368 encoding for all other classes of biomolecules, either directly or as the metabolic products of expressed products.  
369 Furthermore, DNA molecules hold the instructions for all cellular processes. Like all molecules within an organism, DNA is  
370 subject to molecular insults resulting from internal entropy production and will incur an insult rate proportional to the  
371 damage-inflicting thermodynamic potentials of the microenvironment. DNA molecules are unique among classes of  
372 biomolecules as they depend on their own integrity for their replacement.

373 There are a number of ways that DNA damage can result in base alterations, cross-linking, strand breaks, and other  
374 modifications (De Bont and van Larebeke, 2004). Consider some of the possible outcomes when a double-stranded DNA  
375 molecule has suffered a single base excision:

- 376 1. The damage is properly repaired by endogenous base excision repair (BER) mechanisms
- 377 2. The damage is improperly repaired by BER mechanisms
- 378 3. An additional insult occurs at this site before repair can take place
- 379 4. No repair or further damage occurs for a length of time

380 DNA replication takes place far from thermodynamic equilibrium. The accuracy of DNA polymerase is largely dependent  
381 on the differences in the free energy released or absorbed by the various possible base-pairing combinations of incoming  
382 nucleotides to template strand nucleotides (Arias-Gonzalez, 2012). Utilizing thermodynamic theory, polymerase error  
383 rates have been estimated and demonstrated to be non-zero, in alignment with empirical findings. Although single-base  
384 excision damage is very often repaired by BER (scenario 1), restoring redundancy and preventing changes in stored  
385 information, there is always a possibility that a replication error will occur. Additionally, repair machinery must translocate  
386 to the site of the insult and perform the repair. This will not occur instantaneously. If the site is further damaged before  
387 repair takes place then information loss could occur.

388 Only a single level of redundancy is definite at all DNA base pairs—that provided by the pairing base on the opposite  
389 strand. Even an insult restricted to a single base will deplete this redundancy and can lead to a permanent change in DNA  
390 information. This does not imply that more serious insults are not repairable. For example, double-stranded breaks may  
391 be completely repaired by homologous recombination in some cases, but there is no guarantee that a homologous site  
392 will exist or that the repair will be successful.

393 Once a DNA molecule has suffered an insult, there is no means to guarantee restoration of redundancy. *As the second law*  
394 *stipulates that molecular insults are inevitable, the genetic data stored in DNA molecules must change with time—*  
395 *indefinite preservation of data is not possible. The concept of “perfect” DNA repair is flawed and unattainable.*

396 The second law can therefore be causally implicated as mandating that losses in mutual DNA information will occur over  
397 time. This same conclusion has been drawn previously utilizing information theory; Yockey (1974) suggested that the  
398 noisy-channel coding theorem stipulates that, in the right conditions, the stability of the genetic message can be such that  
399 the error is “arbitrarily small” but that the probability of error must always be non- zero.

400 Permanent losses in genetic data are typically discussed in terms of discrete mutations or the rate at which mutations are  
401 occurring (Sniegowski et al., 2000). An alternative way to assess these losses is to consider the amount of DNA-encoded  
402 information that is preserved using the concept of mutual information<sup>3</sup>, which is a measure of the amount of information  
403 shared between two datasets. This provides a means to quantitate the amount of data retained in DNA molecules, be it  
404 the same DNA molecule at different points in time or between different DNA molecules. Methods for calculating the  
405 mutual information between DNA sequences have been discussed elsewhere (Demirel, 2014b; Grosse et al., 2000;  
406 Mahony et al., 2007). As genetic data must change with time, the mutual information of a discrete, non-replicating DNA

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<sup>3</sup> Although thermodynamics is useful for examining the causes of DNA molecular insults and assessing the magnitude of the damage-inducing potentials, concepts from information theory are more appropriate for analyzing DNA integrity quantitatively. So as to not confuse the fields, any use of the term entropy in this manuscript can be assumed to refer to thermodynamic entropy. Direct reference to Shannon entropy is avoided.

407 molecule must also decrease with time; therefore, the rate of change in mutual information of DNA molecules will be  
408 negative and can be represented by

$$MIR_{DNA} = \frac{I_{DNA}}{t} < 0 \quad (17)$$

409  $I_{DNA}$  represents the amount of mutual information between the data stored in the DNA molecule at any initial time and  
410 after a period of time  $t$  has passed.

#### 411 4.2 Applicability of the Degradation State Concept to DNA Molecular Ensembles

412 Synthesized biomolecules depend on the integrity of DNA for their correct expression. If the full integrity of DNA is  
413 preserved then, theoretically, negative entropy could be produced at a rate that results in a steady state of performance  
414 in any expressed biomolecular pool (as discussed in section 2.2). Since DNA molecules rely on their own integrity for  
415 identical replacement, this biomolecular replacement scenario is not applicable to DNA molecules.

#### 416 4.3 Considerations for Mutual DNA Information Preservation in Different Cell Types

417 For a sexually reproducing multicellular organism, the zygote contains the truest representation of the parentally derived  
418 genetic data anywhere in the individual and of any stage in life, i.e. the mutual DNA information between parent and  
419 offspring is maximal in the zygote. The informational integrity of an organism's DNA at any later point in life can be  
420 quantified by comparing to this baseline standard.

421 Let us consider how the requirements for preservation of mutual DNA information are likely to vary over the course of an  
422 individual multicellular organism's life and as a function of cell type. Somatic cellular function must remain at a sufficiently  
423 high level for a certain minimum period of time in order for the organism to successfully reproduce. Selective pressure for  
424 preservation of function begins to decrease as an individual ages past reproductive maturity (Hamilton, 1966; Medawar,  
425 1952). The progeny of adult stem cells are the replenishment source for somatic cells; therefore, it could be predicted that  
426 adult stem cells, on average, must retain a higher degree of mutual DNA information than non-stem somatic cells for any  
427 given point in an individual's lifespan.

428 Singular events that generate losses in mutual DNA information (i.e. mutations) most commonly have little or no effect  
429 on offspring fitness. Some mutations will result in decreases in fitness while only the rare insult produces increased fitness  
430 (Eyre-Walker and Keightley, 2007; Fisher, 1930). The distribution of these fitness effects can vary considerably between  
431 organisms. Evolutionary pressures must be sufficiently strong to select against "negative" mutations in order to prevent a  
432 loss of fitness.

433 The redundancy provided by diploidy/polyploidy, gene duplication, and functional overlap likely provides a degree of  
434 robustness that enables non-germ cells to tolerate a certain level of mutual DNA information loss with minimal  
435 performance impact on the individual (Medvedev, 1972; Plata and Vitkup, 2013; Riggs, 1994; Yockey, 1974). Similar levels  
436 of damage would be more detrimental in germ cells as they would propagate to all cells of the progeny. Therefore, we  
437 can confidently state that the average mutual DNA information retained by germ cells must be greater than that of adult  
438 stem cells at the time of reproduction, which in turn must be greater than the mutual DNA information retained in non-  
439 stem somatic cells at the time of reproduction. This relationship can be written

$$\bar{I}_{DNA}(zyg; som_{rep}) < \bar{I}_{DNA}(zyg; stem_{rep}) < \bar{I}_{DNA}(zyg; germ_{rep}) \quad (18)$$

440 where  $\bar{I}_{DNA}(zyg; som_{rep})$  represents the average mutual information between the non-stem somatic cells of an  
441 individual at the time of reproduction and the same individual when it was a zygote,  $\bar{I}_{DNA}(zyg; stem_{rep})$  is the average  
442 mutual information between adult stem cells and the zygote, and  $\bar{I}_{DNA}(zyg; germ_{rep})$  is the average mutual information  
443 between germ cells and the zygote.

444 In addition to the redundancy within DNA base-sequences, which provides some level of tolerance for loss of mutual DNA  
445 information, alternative redundancy strategies could help to preserve the mutual DNA information in somatic cells. One

446 such approach is to utilize multiple copies of DNA, as could be provided by cellular populations together with strategies  
447 that select for cells containing DNA molecules that retain the most mutual DNA information. This could be accomplished  
448 by the collective pooling and segregation of population of cells, together with specialized, rigid insult-detection and data  
449 preservation strategies.

450 In agreement with Eq. ( 18 ), organisms appear to come closest to preserving mutual DNA information in germ cells. This  
451 is the result of evolved strategies that place extraordinary emphasis on the preservation of both nuclear and mitochondrial  
452 genetic data in germ cells. The fidelity of mtDNA is effectively reset during oogenesis through a genetic bottlenecking  
453 process that selects for the healthiest mtDNA and eliminates less efficient, mutated mtDNA molecules (Lee et al., 2012;  
454 Wai et al., 2008); likewise, nuclear DNA is subject to very strict insult detection mechanisms (Bailly and Gartner, 2013;  
455 Hochwagen and Amon, 2006; Jaramillo-Lambert et al., 2010). Germ cells are more likely than somatic cells to undergo  
456 apoptosis when DNA damage is detected, rather than attempt to repair the damage (which often results in the loss of  
457 mutual DNA information). Germ cells are also sequestered in a protected microenvironment with various support cells  
458 whose sole function is the support and maintenance of the germ cells (Schulz et al., 2002).

459 Assessing the situation from a thermodynamic perspective suggests that the rate of mutual DNA information loss can be  
460 minimized by keeping the thermodynamic potentials acting on the DNA molecules as low as possible. Primordial germ  
461 cells (gametogonia), as well as oocytes and spermatocytes, have relatively low rates of oxygen consumption (Brinster and  
462 Troike, 1979). Most adult stem cells are quiescent and frequently prioritize glycolysis over oxidative phosphorylation for  
463 meeting ATP requirements, resulting in relatively low levels of free radicals and ROS (Rossi et al., 2008; Shyh-Chang et al.,  
464 2013; Suda et al., 2011; Tothova et al., 2007) and lower mtDNA replication rates. This supports the notion that  
465 manipulation of thermodynamic potentials acting on DNA molecules through modulation of cellular processes and  
466 manipulation of the microenvironment is a realizable and effective means of reducing the rate of mutual DNA information  
467 loss in cells.

468 The genetic information in the gametes derived from an individual that is common to the same individual when it was a  
469 zygote  $I_{DNA}(zyg; gametes)$  will depend not only on the inevitable germ cell mutual information losses caused by internal  
470 entropy production over the course of its life but also those losses resulting from genetic recombination during meiosis  
471  $\Delta MI_{recom}$ :

$$I_{DNA}(zyg; gametes) = I_{DNA}(zyg; germ_{rep}) - \Delta MI_{recom} \quad (19)$$

472 Since advantageous mutations are rare, loss of mutual information between parent and offspring will result in a loss of  
473 fitness of the species absent effective evolutionary selection mechanisms. The proportion of progeny with lower fitness  
474 must not be so excessive that evolution cannot successfully select for the neutral and higher fitness offspring. Thus, a  
475 minimal limit  $I_{DNA}(zyg; gametes_{min})$  is effectively placed on the mutual information of the progeny:

$$I_{DNA}(zyg; gametes) \geq I_{DNA}(zyg; gametes_{min}) \quad (20)$$

476 Germ cells must be maintained with adequate redundancy levels and a sufficiently stringent support strategy providing  
477 fidelity preservation to satisfy Eq. ( 20 ). In this way, mutual DNA information is largely preserved generation-to-generation.

478 There is a direct correlation between the lifetime risk of cancer in a tissue and the number of divisions of the stem cells  
479 maintaining that tissue (Tomasetti and Vogelstein, 2015). It is clear that the strategies used to preserve stem cell integrity  
480 do not match the fidelity achieved by germ cell preservation strategies. Since the preservation of stem cell mutual DNA  
481 information requires dedicated niches with specialized microenvironments, there must be associated negative fitness  
482 costs to scaling these niches excessively—even though doing so may result in further reductions in the rate of mutual DNA  
483 information loss in the cell type in question. For this reason, an organism's stem cell niches must be configured to  
484 adequately support the respective target tissue over the lifespan of the individual, but not be so unnecessarily  
485 burdensome that they lower species fitness.

## 5 Establishing a Connection between Thermodynamic, Information, and Evolutionary Theory in Biological Aging

Modern nonequilibrium thermodynamic theory stipulates that all biomolecules must suffer degradative insults due to the production of internal entropy. Biological repair and replacement mechanisms cannot guarantee that mutual DNA information is preserved or restored in individual cells. As a result, cellular mutual DNA information must decrease with time. We will next examine what repercussions the irreversible loss of mutual DNA information in discrete cells could have on both the individual and the species as a whole.

### 5.1 In the Individual

Most germline mutations are neutral or detrimental to fitness, with only the rare mutation being beneficial. It follows that mutations occurring in the somatic cells of an individual organism would exhibit this same pattern with regards to their contribution towards the performance of the individual. Therefore, without selection for only those changes that are neutral or beneficial to the individual, mutual DNA information loss in somatic cells would reduce individual performance with time, i.e. individual organisms will age.

Although evolution and selection are traditionally thought of as occurring between generations of a species, similar concepts are in play in the life and death cycles of the cells of a multicellular organism during an individual's life. Single cells are the replicating unit in this scenario. For an individual multicellular organism containing cells undergoing mitosis, natural selection will occur on the cellular level and favor those cells that display the highest fitness. These configurations may not necessarily be the most beneficial to the individual multicellular organism as a whole. As natural selection will always be present at the level of the replicating unit (Baum et al., 2013; Szathmary and Smith, 1995)—cells in these cases—the individual must rely on imperfect innate biological mechanisms that attempt to select for only those configurations that do not reduce the viability of the individual.

The only way to guarantee that the mutual DNA information in a cell is perfectly preserved is by comparing the DNA base sequence to a known-good reference sequence base-by-base. This master template does not exist in any organism and there is no means for an organism to perform a comparative DNA sequence analysis. Cells with undesirable base-sequence modifications must be detected by phenotype. In the case of more severe damage, the cell is often able to detect the damage and initiate apoptosis (Zhou and Elledge, 2000). At the other end of the scale, singular mutation events may exhibit very mild or no detectable undesirable phenotype; these cells are likely to avoid detection completely. For example, mutations whose effect is masked by redundancy are likely to have no detectable phenotype. A mutation may also occur in a region of the genome that is not currently active or relevant to the particular cell; as a result there may be no immediate negative phenotype. This genomic region could become active at some later time, at which point the mutation may have already spread to the cell's progeny.

Even in the most ideal embodiment, the effects of multiple mutation events must eventually decrease individual viability; at some point, removing cells determined by biological mechanisms to be undesirable will no longer provide reprieve from losses in viability since cellular mutual DNA information will continue to decrease until all cells approach the detectable threshold of dysfunction. At this point, there would be no "good" configurations to select for to replace those cells determined to be undesirable, even if such cells could be detected with complete accuracy.

For a period of time, genetic redundancies would likely be able to mostly compensate for the loss of mutual DNA information in an individual—essentially delaying a detectable aging phenotype to at least the age of reproductive maturity (Fig. 3a). A second line of defense is provided by innate mechanisms that identify specific types of cellular dysfunction and eliminate cells displaying those phenotypes (Zhou and Elledge, 2000). Once the utility of these redundancies is expended and ever-increasing numbers of compromised cells circumvent innate detection mechanisms, the individual will no longer be able to avoid a loss of viability. This resulting dysfunction becomes progressively worse with time. *As no existing, or theoretical, biological means has been demonstrated or postulated to be capable of selecting only for those changes in cellular DNA information that are neutral or beneficial to the individual, it is inevitable that all individual organisms must eventually age if they live long enough.* Due to the impossibility of an organism achieving an

530 indefinitely sustentant phenotype, the claim by Hamilton (1966) that senescence arises inevitably due to declining selection  
531 pressure with age at the level of the species, while not challenged here, is redundant.

532 Additionally, no mechanism selecting for changes benefiting an individual multicellular organism could prevent natural  
533 selection from also occurring at the level of the individual cell<sup>4</sup>. Therefore, cancer is also inevitable in any individual  
534 organism given sufficient time—despite the fact that cancer has yet to be detected in a small number of studied species.  
535 (The naked mole-rat has long been considered one such species. This was challenged in a recent article where cancer was  
536 reported in the naked mole-rat for the first time (Delaney et al., 2016)).

## 537 5.2 In the Species

538 As previously discussed, gametes must suffer losses in mutual DNA information with time. The total loss suffered between  
539 a gamete and the zygote that gave rise to the individual that produced the gamete will generally be less than that which  
540 occurs in somatic cells of an individual due to mechanisms that enhance gamete quality (Bailly and Gartner, 2013;  
541 Jaramillo-Lambert et al., 2010). By limiting the amount of loss present in the gamete to a level low enough that selection  
542 for neutral and higher fitness offspring is possible, the fitness of the species can be preserved and even increase. Natural  
543 selection is the only means by which inevitable mutual DNA information losses can be prevented from generating  
544 mandatory fitness losses in the species.

545 Since evolving to maximize species fitness involves genomic changes, configurations that maximize fitness under new  
546 selective pressures may generate mutual DNA information losses in the species that are greater than conditions where  
547 selection is nearly absent (as calculated by the average mutual DNA information loss between parent and offspring,  
548  $\bar{I}_{DNA}(zyg; gametes)$ ). Fluctuating selective pressures prevent mutual DNA information loss from being minimized in the  
549 species.

550 Consider, however, what would happen if selective pressures were held constant. The rate of mutual DNA information  
551 loss will begin at some initial value as fitness of the species increases at some positive rate (Fig. 3b). Through the course  
552 of many generations, fewer configurations will be available that are capable of producing higher fitness than the current  
553 configuration. As a result, the rate of mutual DNA information loss must decrease and the rate of fitness increase becomes  
554 less rapid across generations. With fewer “positive” mutations available and selection tending to eliminate “negative”  
555 mutations, the rate of mutual DNA information loss in a species under static selective pressure could be much lower than  
556  $\bar{I}_{DNA}(zyg; gametes)$ . In conditions where selective pressure were static, fitness would eventually approach a theoretical  
557 limit as the rate of mutual DNA information loss approaches zero.

558 Since conditions of perfectly static selective pressure are not realizable and variations in selective pressures result in  
559 adaptive genetic changes, species mutual DNA information must decrease with time. This logic establishes a  
560 correspondence between the directionality of the second law and mutual DNA information loss in both individuals of a  
561 species and species themselves.

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<sup>4</sup> Or from selection occurring on a subcellular level amongst DNA-containing organelles (mitochondria and chloroplasts)

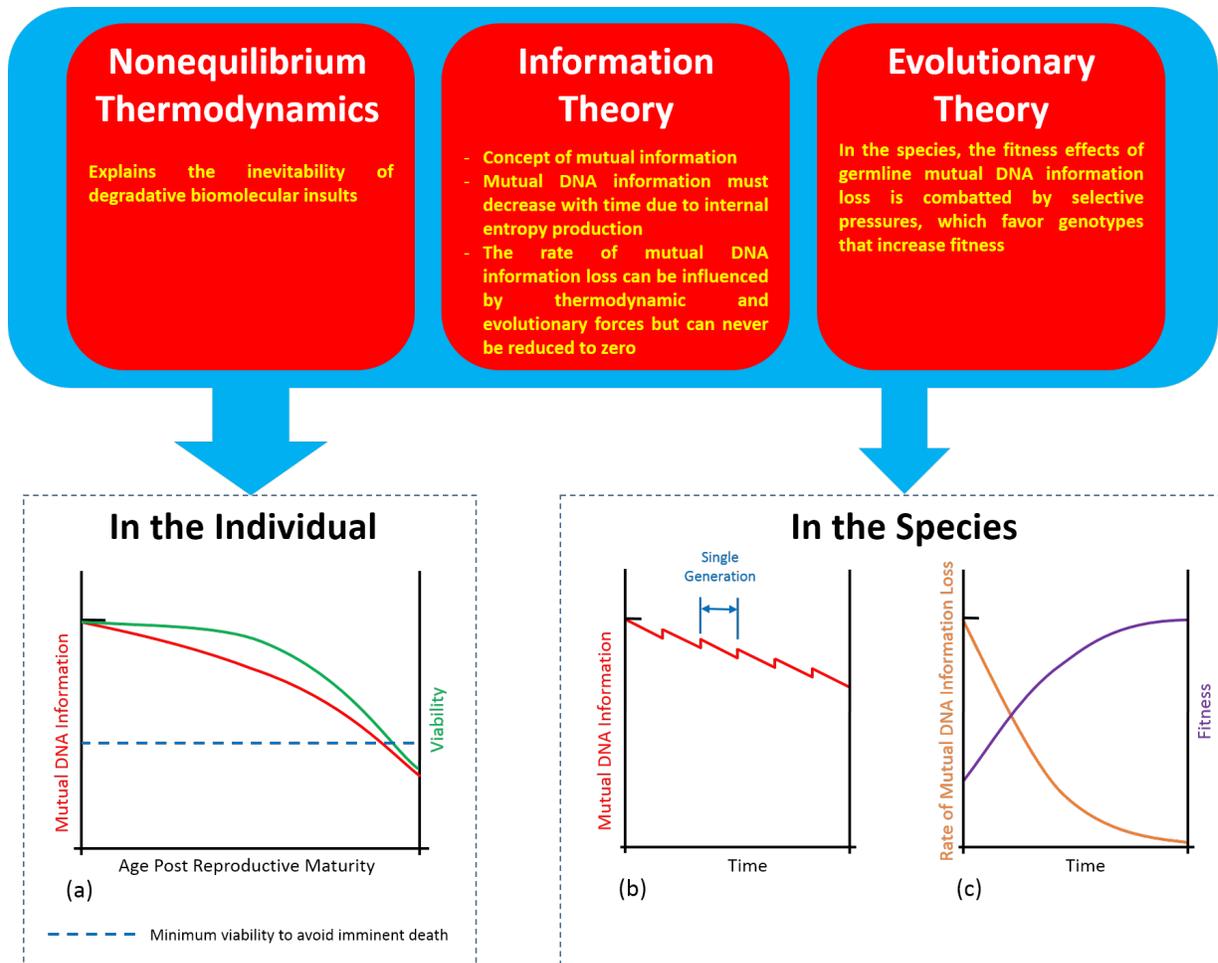


Fig. 3. The proposed connection between thermodynamics, information, and evolutionary theory in generating mandatory mutual DNA information losses in both the individual and the species. (a) Although a correlation between individual viability and somatic cell mutual DNA information loss is predicted, genetic redundancies and other compensating mechanisms may attenuate reductions in individual viability due to mutual DNA information losses. (b) The average mutual DNA information in cells of all individuals of a species will decline as a generation ages. This loss can be largely reverted in subsequent generations by portioning germ cells in conditions optimized for preservation of genetic data. (Generations have been aligned for illustration purposes.) (c) In conditions of static selective pressures, the rate of mutual DNA information loss is predicted to decrease as fitness approaches a maximum value.

## 6 Examining Degradation Increases in Aging Organisms

Living organisms are highly ordered entities that exist in a state far from thermodynamic equilibrium. As a result, degradation will occur within organisms as internal entropy is produced. Cellular mechanisms work towards counteracting these damaging effects, establishing what is very close to being a steady state (over a limited time-window) in terms of preservation of biomolecular integrity. Despite these efforts, the overall degradation state (entropy) of an individual organism increases with age.

### 6.1 Energetic Expenditures towards Biomolecular Repair and Replacement – a Paradox?

Proteins account for the majority of biomolecules within a cell. The rate of total protein synthesis has been empirically determined for a number of species. Smaller organisms synthesize proteins at higher rates than larger species (Fig. 4a). Protein synthesis in mice is estimated to occur at a rate sufficient to replace total body protein mass every 5.27 days, while the same occurs in man approximately every 31.07 days (Table 1). Of course, individual protein turnover rates can vary widely protein-to-protein, from minutes to years (Hetzer, 2013).

Over the course of a lifetime, a long-living human will synthesize enough protein to replace total body protein mass 1439 times over (Table 1). Let us assume for the moment that degraded/dysfunctional proteins are “accumulating” as an individual grows older due to an insufficient amount of energy being spent on repair and replacement, as suggested by the disposable soma theory of aging (Kirkwood, 1977; Kirkwood and Holliday, 1979; Kirkwood and Rose, 1991). Considering a worst-case scenario where all of the protein in an aged human is in need of replacement, it would only require an estimated 0.07% increase in daily resource investment in protein synthesis to offset the average daily increase in protein degradation state. This translates to 0.23 calories per day<sup>5</sup>. With a daily dietary intake of 2500 calories, this is only 0.0092% of daily energy intake. Although this figure does not include the energetic repair and replacement costs of

Table 1. Protein Synthesis Rates, Number of Days to Turnover Total Body Protein Mass, and Number of Turnovers per Lifespan for Different Metazoan Species

Species	BW (kg)	Protein synthesis			Body Protein Composition (%)	Days to Replace Total Body Protein Mass	Maximum Lifespan (years) <sup>4</sup>	Turnovers Per Life	Synthesis Rate Reference
		g/day	g/kg BW per day	g/kg <sup>0.75</sup> BW per day					
Honey possum	0.01	0.2798	29.15	9.12	-	6.86	2.00	106.4	Bradshaw and Bradshaw, 2009
Mouse, small	0.02	0.768	38.40	14.44	20.25 <sup>1</sup>	5.27	4	276.9	Garlick and Marshall, 1972
Rat	0.35	7.7	22.00	16.92	20.81 <sup>1</sup>	9.46	3.80	146.6	Reeds and Harris, 1981
Rabbit	3.6	33	9.17	12.63	19.44 <sup>1</sup>	21.20	9.00	154.9	Reeds and Harris, 1981
Cat (HP)	4.8	31.4	6.54	9.68	21.81 <sup>1</sup>	33.34	30.00	328.4	Russell et al., 2003
Dog	10.2	123.37	12.10	21.62	22.06 <sup>1</sup>	18.24	24	480.2	Everett et al., 1977
Sheep	63	351	5.57	15.70	16.00 <sup>2</sup>	28.72	22.80	289.8	Reeds and Harris, 1981
Man	67	310	4.63	13.24	14.38 <sup>3</sup>	31.07	122.50	1439.2	Pacy et al., 1994
Cow	575	1740	3.03	14.82	22.50 <sup>1</sup>	74.35	20.00	98.2	Reeds and Harris, 1981

Note: Body protein concentration for honey possum not available, used 17% for “days to turnover” calculation. <sup>1</sup>Moulton, 1923.

<sup>2</sup>Reid et al., 1968. <sup>3</sup>Mitchell et al., 1945. <sup>4</sup>Tacuta et al., 2012.

<sup>5</sup> Protein synthesis requires approximately 4.5 kJ of energy per gram of protein (Waterlow, 2006, p.170). Producing 310 g/day of protein (human rate) equates to roughly 1395 kJ or 333 Cal per day; 0.07% of this value is 0.23 Cals per day.

583 all classes of biomolecules, the total amount of protein  
584 dedicated to translation is 2-15 times greater than that  
585 dedicated to transcription and DNA maintenance  
586 (Liebermeister et al., 2014); protein synthesis represents  
587 a significant fraction of the total energy spent by an  
588 organism on biomolecular repair and replacement. In light  
589 of the very small additional investment predicted to be  
590 needed to offset the increase in protein degradation state  
591 in the described scenario, the disposable soma theory's  
592 claim that aging is caused by an energetic  
593 underinvestment in repair and maintenance resulting in  
594 an accumulation of damage (Kirkwood, 1977; Kirkwood  
595 and Holliday, 1979; Kirkwood and Rose, 1991) is difficult  
596 to accept. Although the numbers above are just estimates,  
597 even if they were off by two orders of magnitude this  
598 argument would still hold merit. In addition, organisms  
599 that turnover their proteins more frequently exhibit  
600 decreased longevity—not increased (Fig. 4b). Smaller  
601 organisms turnover protein at a higher rate than larger  
602 organisms (Fig. 4a) and have reduced longevity (Austad,  
603 2005; Calder, 1984; de Magalhães et al., 2007).

604 Caloric restriction has been demonstrated to extend  
605 longevity in some organisms. This also appears to  
606 contradict the disposable soma theory. Proponents of the  
607 disposable soma theory have attempted to explain this  
608 “paradox” by suggesting that caloric restriction generates  
609 a shift in resources away from reproduction and towards somatic maintenance (Shanley and Kirkwood, 2000). While  
610 extended caloric restriction has been found to attenuate the reduction in protein synthesis in muscle tissue that occurs  
611 with advanced age in mice (Zangarelli et al., 2006), recent studies have demonstrated that caloric restriction does not  
612 produce a direct increase in the rate of mixed protein synthesis in mice (Miller et al., 2013), nor is mitochondrial protein  
613 synthesis significantly affected by caloric restriction in liver, heart, or skeletal muscle (Miller et al., 2011). Although it has  
614 been proposed that caloric restriction does indeed increase protein turnover (Tavernarakis and Driscoll, 2002), an  
615 examination of supporting data suggests that the decreased attenuation in protein synthesis with age resulting from  
616 extended caloric restriction was interpreted as an increase in protein turnover in direct response to caloric restriction—  
617 yet these are two distinct phenomena with very different implications.

618 A corollary of the disposable soma theory suggests that an increase in the amount of energy available to an organism  
619 would allow it to devote more resources towards somatic maintenance and thus delay aging; however, studies  
620 demonstrating that increased caloric intake increases longevity do not exist—yet it is well-known that obesity leads to  
621 increased rates of aging and diabetes (Ahima, 2009). It is clear that energetic expenditures towards repair and replacement  
622 alone cannot explain the differences in longevity between species nor does it provide a solid rationale for why aging must  
623 occur in the first place.

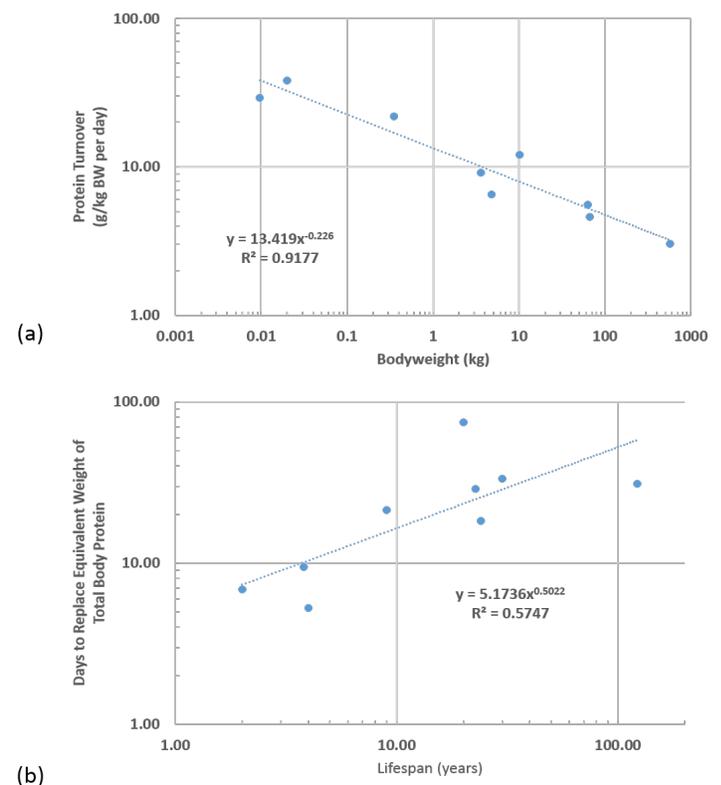


Fig. 4. (a) Protein turnover as a function of bodyweight for the species listed in Table 1 and (b) frequency at which the equivalent weight of total body protein is synthesized as a function of MLSP. Data from Table 1.

## 6.2 Total Entropy Increases Slowly with Age in Comparison to Internal Entropy Production Rate

The high frequency at which the animals depicted in Table 1 replace their total body protein mass demonstrates that the rate of degradative internal entropy production  $d_i S/dt$  within an individual is much greater than the rate at which the total entropy of an individual organism increases with age  $dS_{age}/dt$ .

$$\frac{dS_{age}}{dt} \ll \frac{d_i S}{dt} \quad (21)$$

Eq. ( 21 ) is also intuitively evident when the speed at which biological material degrades at biologically relevant temperatures, even when conditions are sterile and optimized, is contrasted against the lifespan of an organism with even moderate longevity. Nevertheless, the overall degradation state of an individual organism eventually worsens with time. The degradation of an aging organism could be viewed as a progression through many discrete steady-state nonequilibrium conditions which ultimately results in an overall degradation state that renders the individual nonviable. But why do organisms transition between these states and why is youthful homeostasis always lost? It was argued earlier that DNA molecules face inevitable losses in mutual DNA information as individual organisms age. This offers an explanation for why this transition occurs in DNA molecules—yet if they are the *only* class of biomolecule in an organism directly subject to inevitable, irreversible loss then the reasons why other classes of biomolecules reach elevated degradation states with age must be more complex.

## 6.3 Biomolecular Degradation - Accumulation versus Homeostatic Shifts

A closer look at the increased degradation exhibited in other classes of biomolecules could help to elucidate what may be occurring. Aging has been described as the accumulation of unrepaired damage. This implies that all of the biomolecular degradation in an aged individual is the result of lifelong accumulation. Perhaps this assessment is not entirely accurate.

As demonstrated earlier, an organism is unable to sustain a steady-state condition of perfect biomolecular fidelity (a degradation state  $D$  of zero) as this would require infinite resources—thus even in a youthful state of “homeostasis” organisms will have some level of biomolecular degradation (a non-zero degradation state). “Misrepair” is not required in order to have degraded biomolecules as degradative internal entropy production is present in all living organisms.

A reduction in biomolecular replacement or repair rates is predicted to increase degradation state (i.e. decrease average biomolecular performance). Should such a transition occur in an organism for a particular biomolecule, it is expected that a new degradation state would eventually be established, at which time no further reductions in biomolecular performance should occur unless outside factors are at play.

This logic suggests that biomolecular replacement and repair rates alone do not explain how an accumulation of damaged biomolecules could occur. Restoration of proteasome function in aged human dermal primary fibroblasts largely restores markers of protein aging to youthful levels (Hwang et al., 2007). This is analogous to a shift in the protein pool from a higher to a lower degradation state, and this demonstrates that the increase in degradation state occurring with age is at least partially reversible. If the degraded protein was truly representative of accumulated, irreparable damage then upregulation of proteasomal function should not eliminate any damage. The fact that the condition is essentially reversible suggests that the increased biomolecular degradation found in aged individuals is more likely attributable to reduced biomolecular turnover which leads to a corresponding shift in biomolecular degradation state and is not due to damage “accumulation”.<sup>6</sup> Consistent with this notion, protein turnover does indeed significantly decline during aging (Rattan, 1996; Richardson and Cheung, 1982; Ryazanov and Nefsky, 2001).

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<sup>6</sup> A small number of biomolecules evidently *can* accumulate into dysfunctional products when an organism has declined (aged) to a certain degree; for example, advanced glycation end products (AGEs) and certain other aggregates (Verzija et al., 2000). A decline in global biomolecular repair and replacement processes can lead to biases generating significant differences in repair and replacement rates between biomolecules. With infrequent turnover, the proportion of certain types of damaged product can expand, even when youthful turnover levels prevent accumulation. Superficially, this type of damage could be thought of as “accumulated”. An example of this phenomenon was demonstrated by De Baets et al. (2011). I am not aware of any published data

660 A distinction between “accumulated” dysfunctional biomolecules and a shift in biomolecular degradation state caused by  
661 reduced turnover can be made by simply examining whether turnover is occurring. “Damage” that is actively and  
662 continuously turned over should not be referred to as accumulated damage, even if the rate of turnover has decreased  
663 and the degradation state of the biomolecular pool is high.

664 This raises further doubt over the disposable soma theory’s assertion that aging is caused by an energetic underinvestment  
665 in repair and maintenance resulting in an accumulation of damage (Kirkwood, 1977; Kirkwood and Holliday, 1979;  
666 Kirkwood and Rose, 1991). The idea of an “energetic underinvestment” is a misnomer as there is no amount of energetic  
667 investment that will produce a perfect population of biomolecules (a degradation state of zero). Increasing biomolecular  
668 turnover rates will reduce biomolecular degradation state but energetic resource ROI will continually worsen as turnover  
669 rate is increased. An energetic underinvestment in repair and replacement cannot explain why youthful homeostasis is  
670 lost—there must be a higher-level initiating cause.

671 The fact that biomolecular degradation state is partly determined by resource allocation towards repair and replacement,  
672 and therefore must involve factors that affect fitness, suggests that species have evolved to operate at biomolecular  
673 degradation states, and with internal entropy production rates, that balance many factors including athletic performance,  
674 metabolic rate, physical size, etc. This alone does not provide direct insight into why organisms age. However, the concept  
675 of biomolecular degradation states is useful when considered together with the inevitability of mutual DNA information  
676 loss in helping to explain why youthful homeostasis cannot be indefinitely preserved.

#### 677 6.4 Entropy-Driven Managed Deterioration – Basic Concepts

678 The energetic cost of repairing the degraded biomolecules in an aged individual once is small relative to the continuous  
679 investment made to sustain viable biomolecular degradation states. So why does biomolecular degradation state increase  
680 in an aging individual?

681 Fig. 5 depicts the basic interrelationships that may explain the progression of the aging phenotype in many metazoans. In  
682 this model, the key top-level factor initiating the transition from youthful homeostasis is internal entropy production,  
683 which inevitably generates losses in mutual DNA information for both mitochondrial and nuclear DNA. Mutual DNA  
684 information losses in mitochondrial DNA (mtDNA) will cause mitochondria from aged individuals to exhibit lower peak  
685 energy output (Yaniv et al., 2013). This decline in mutual mtDNA information may be partially modulated by a controlled  
686 deceleration in mitochondrial biogenesis (Figge et al., 2012), which reduces the rate of clonal expansion of degraded  
687 mtDNA and limits the exposure of mtDNA to the high thermodynamic stress conditions of replication events. The  
688 escalating deficit in cellular energy currency production in the aging individual results in a progressively worsening inability  
689 to fund all cellular processes at youthful levels. This generates forced reductions in biomolecular turnover that lead to  
690 increased biomolecular degradation states and lower biomolecular performance, representative of a transition away from  
691 youthful homeostasis.

692 Losses in nuclear DNA fidelity will result in a mosaic of stochastic cellular dysfunction that worsens with age (Bahar et al.,  
693 2006; Lodato et al., 2015). Together with the described mitochondrial dysfunction, this could be largely responsible for  
694 age-linked cellular dysfunction and the overall aging phenotype of the individual. “Longevity optimization” genes may  
695 have evolved to attenuate the negative effects of mutual information losses in nuclear and mitochondrial DNA through  
696 reallocation of resources and physiological alterations. This model is discussed in more detail in section 8.

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suggesting that this accumulation occurs under normal circumstances absent significantly decreased turnover (such as that which occurs with advanced age). Many of the same protein species found to aggregate with age have been shown to be produced, and are concomitantly cleared, in younger individuals.

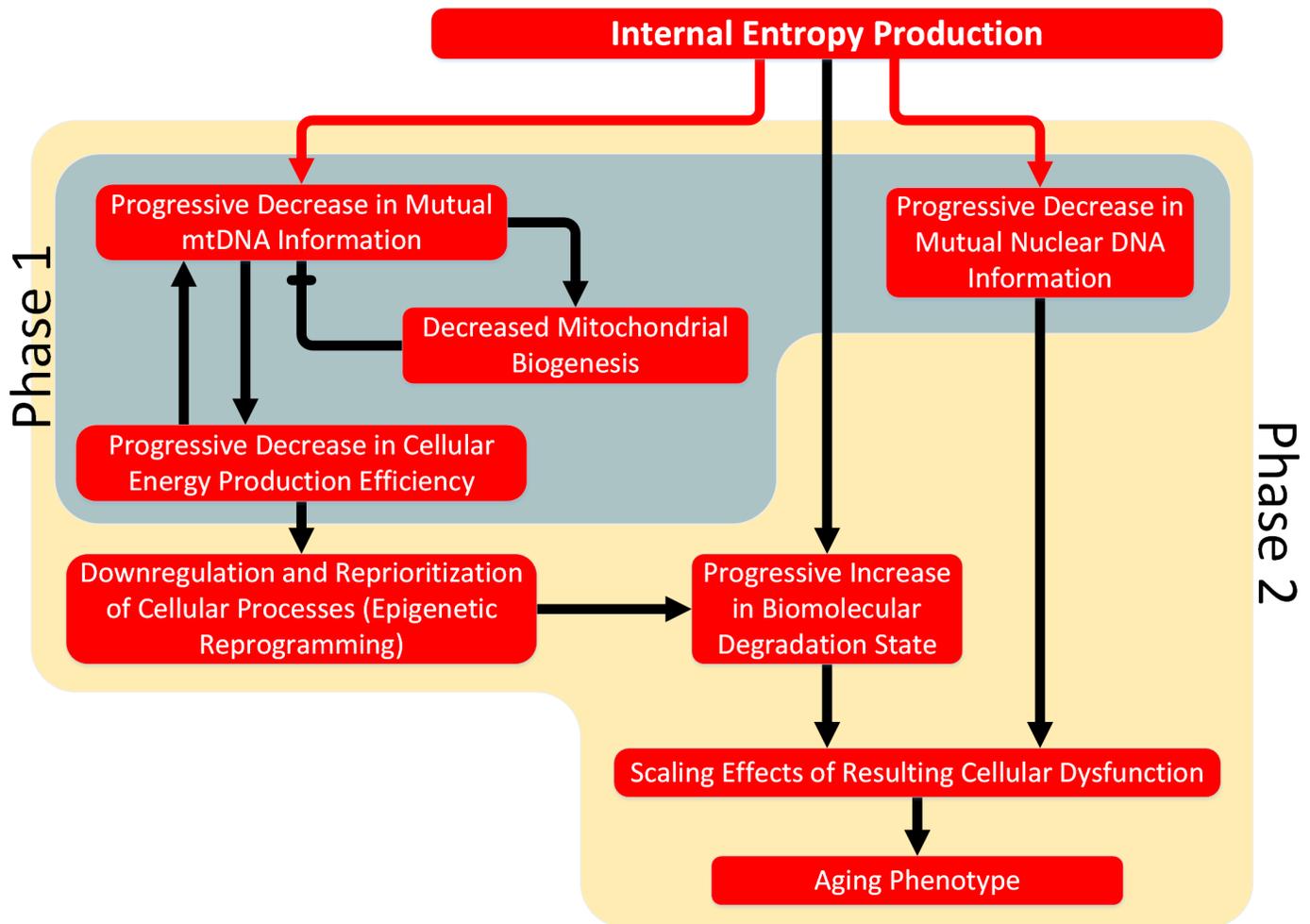


Fig. 5. The basic interrelationships between primary factors that may largely describe the progression of the aging phenotype in many metazoa. During 'Phase 1' of an individual's life, mutual DNA information loss has not reached levels sufficient to generate an aging phenotype. 'Phase 2' begins when dysfunction has progressed to the point that aspects of the aging phenotype begin to take hold.

## 7 Longevity Determination

Leonard Hayflick has stated that aging is not driven by genes but by thermodynamics (Hayflick, 2004), while he has argued that the genome does, on the other hand, govern longevity. Additionally, Hayflick maintains that natural selection has led towards biomolecular arrangements that are capable of preserving fidelity until reproductive maturity, but that the survival value for arrangements that exceed this longevity is considerably diminished (Hayflick, 2007b).

If aging is driven by thermodynamics, as suggested by Hayflick and further supported here, then any and all factors that contribute towards resisting (or promoting) permanent thermodynamically-induced changes in any biocomponent subject to irreversible loss are implicated in longevity determination. This includes factors that directly or indirectly affect the magnitude of the thermodynamic stresses on these biostructures as well as factors that specify redundancy levels, which can offer varying degrees of protection from permanent information loss.

The loss of mutual DNA information is inevitable in an individual given sufficient time. If such loss is paramount to aging, then a closer examination of the thermodynamics affecting DNA molecules is warranted and may assist in identifying primary longevity determinants.

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## 7.1 Investigating the Rate of Mutual DNA Information Loss in Individuals

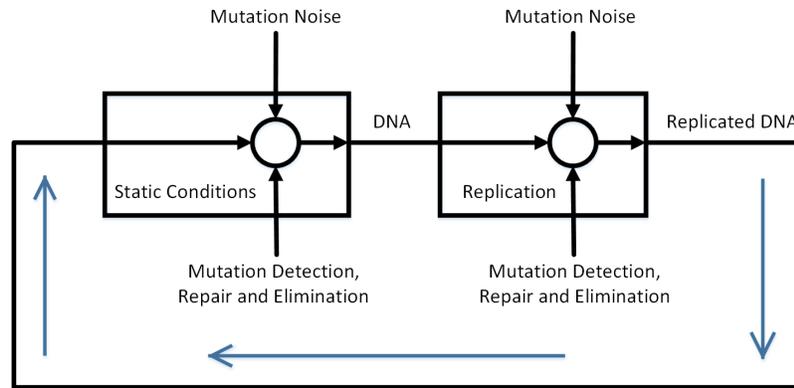


Fig. 6. A systems flow diagram of mutual information loss in a DNA ensemble within a living organism.

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To further examine the rate of mutual DNA information loss, it is useful to separate the various contributing conditions and factors. DNA undergoing replication is significantly more likely to undergo mutation due to the impaired stability of single-stranded DNA (Frederico et al., 1990) and the imperfect nature of DNA polymerases (Arias-Gonzalez, 2012). Therefore, replicating and non-replicating conditions should be considered independently. In a living cell, many DNA insults are eliminated by damage-detection and repair systems, but a certain percentage will not be eliminated. A systems flow diagram of this scenario is depicted in Fig. 6. DNA actively being transcribed is also more susceptible to mutation (Kim and Jinks-Robertson, 2012), but it is generally believed that the vast majority of mutations arise from replication events or random DNA damage. For this reason, transcription will not be considered as a separate state condition in this analysis.

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Assuming that the time spent in the replicative state is comparatively much less than the time in the static state, a general representation of the average rate of mutual DNA information loss takes the form

$$\overline{MIR}_{DNA} = -(k_{rep}r_{rep}p_{noise} + k_{static}r_{mut})(1 - p_{det}) \quad (22)$$

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where  $k_{rep}$  is the amount of mutual information lost in the average mutation event during replication and  $k_{static}$  is the same for static (non-replicating) conditions,  $r_{rep}$  is the DNA replication rate,  $p_{noise}$  is the probability that a replication event will result in mutation,  $p_{det}$  is the probability that a mutation will be detected and eliminated by the cell (assumes similar probability for replicating and non-replicating conditions), and  $r_{mut}$  is the rate at which mutations are occurring in non-replicating conditions.

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## 7.2 Preserving mtDNA Integrity

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The cells of most eukaryotes contain mitochondria, which range in number from several hundred to thousands per cell. Each mitochondrion contains at least one copy of mtDNA. As the primary function of mitochondria is to generate ATP, mitochondrial dysfunction has the potential to produce deleterious downstream effects on every cellular biochemical reaction that requires ATP. Compared to the nuclear genome, the mitochondrial genome is more susceptible to mutation (Larsson, 2010) and these mutations are more likely to cause dysfunction. There are several reasons for this. For one, the mitochondrial genome is replicated during mitobiogenesis, which is required for preservation of a healthy pool of mitochondria (i.e. a low degradation state). Generally speaking, in any given cell a pool of mitochondria will be maintained at relatively steady quantities by a combination of mitochondrial fusion, fission, mitophagy, and mitobiogenesis processes; this results in mtDNA replication rates that are very high compared to the rate at which nuclear DNA replicates (which, of course, only occurs when cells divide). Due to the imperfect fidelity of replication with DNA polymerase (Zheng et al., 2006) and the vulnerability of the single-stranded mtDNA replicon (Frederico et al., 1990), each replication event involves a period of time where the possibility for a mutation is considerably higher than non-replicating conditions (Kennedy et al., 2013). The microenvironment within a mitochondrion is also particularly harsh compared to other cellular compartments due to the relatively high concentrations of ROS (Wallace, 1999), resulting in larger internal entropy-

741 producing thermodynamic potentials and higher molecular insult rates. Furthermore, as the mitochondrial genome has  
742 evolved to be extremely compact, mitochondria are very susceptible to dysfunction resulting from single-base alterations.

743 Eq. ( 22 ) can be applied to mtDNA to help identify factors that could influence the rate at which mutual mtDNA information  
744 is lost. Each mtDNA replication event carries an associated probability of resulting in mtDNA mutation ( $p_{noise,mtDNA}$ ).  
745 Mutations can also occur when mtDNA are not replicating. Many of these mutated mtDNA molecules will be eliminated  
746 or repaired by the cell's mitochondrial quality-control mechanisms, but a certain percentage of these mutations will  
747 escape detection (represented by  $1 - p_{det,mtDNA}$ ).

748 The only known human mtDNA polymerase, DNA polymerase  $\gamma$ , is highly conserved across species as diverse as *Drosophila*  
749 *melanogaster* and *Saccharomyces cerevisiae* (Chan and Copeland, 2009). Nuclear DNA repair pathways are also highly  
750 conserved (Gredilla et al., 2010); although mtDNA repair pathways have not been investigated as thoroughly,  
751 mitochondria have been found to possess many of the same repair mechanisms and even share some of the nuclear DNA  
752 repair enzymes. The GTPases implicated in mitochondrial fission and fusion are also highly conserved (Ashrafi and Schwarz,  
753 2012). PTEN-induced putative kinase protein 1 (PINK1) and the E3 ubiquitin ligase parkin regulate mitophagy in many  
754 metazoans and have homologs across species as diverse as humans and *Drosophila melanogaster* (Cookson, 2012). These  
755 similarities suggest that the probability of a mtDNA replication event resulting in a mutation  $p_{noise,mtDNA}$  and the  
756 probability that a mutated mtDNA molecule will be detected and eliminated or repaired  $p_{det,mtDNA}$  are comparable across  
757 a wide range of species.

758 In addition, since the molecular configuration of DNA is conserved, as are the potential reactions that can result in  
759 molecular modifications, it follows that the mutual information lost in the average mutation-causing event is relatively  
760 constant; i.e.  $k_{rep}$  and  $k_{static}$  should be similar across species. This leaves the mtDNA replication rate  $r_{rep,mtDNA}$  and the  
761 static-condition mutation rate  $r_{mut,mtDNA}$  as the likely primary factors from Eq. ( 22 ) responsible for any variation in the  
762 rate of mutual mtDNA information loss between species.

### 763 7.3 MtDNA Information Loss in Aged Organisms is Primarily the Result of Mutations During Replication

764 MtDNA mutations increase in an age-dependent manner. High-sensitivity sequencing of human brain tissue from young  
765 and old individuals found that most mtDNA point mutations are transition mutations (Kennedy et al., 2011), consistent  
766 with replication errors. In addition, 90% of all age-related mutations in mtDNA from human colon are transitions (Greaves  
767 et al., 2012). The mtDNA mutation burden in aged *Drosophila melanogaster* is similar to vertebrate levels and also  
768 demonstrates a prevalence of transition mutations (Itsara et al., 2014). G:C to T:A transversions, which are typical of  
769 oxidative damage, only represented a small percentage of the mutations in these studies.

770 MtDNA mutation patterns display strand asymmetry consistent with spontaneous cytosine deamination on the lagging  
771 strand template during replication (Frederico et al., 1990) in both aged human brain (Kennedy et al., 2011) and aged  
772 somatic and germline cells of *Drosophila melanogaster* (Haag-Liautard et al., 2008; Itsara et al., 2014). Mitochondrial  
773 mutational spectra produced with purified human DNA polymerase  $\gamma$  accounted for 83% of the mutations found *in vivo*  
774 (Zheng et al., 2006). These data strongly suggest that: 1) the majority of mutations in mtDNA result from errors during  
775 replication, 2) the rate of mutual mtDNA information loss varies across species but reaches similar levels in aged organisms  
776 despite longevity differences, 3) oxidatively-damaged mtDNA is repaired or eliminated with very high efficiency, and 4)  
777 oxidatively-damaged mtDNA accounts for only a small percentage of mtDNA mutations occurring with age. Furthermore,  
778 these results are inconsistent with theories that implicate ROS levels and the resulting direct oxidative damage to DNA as  
779 a primary causative factor in aging.

780 A logical deduction from this is that mtDNA replication rate is higher in shorter-living animals. Unfortunately, the  
781 availability of data to support or refute this assertion is limited. Measuring the mtDNA turnover rate *in vivo* has historically  
782 proven difficult, although more recent techniques have overcome some of the issues (Collins et al., 2003). Primary cell  
783 cultures are required for deriving accurate mtDNA replication rates *in vitro*. Surprisingly, no studies that quantitate mtDNA  
784 replication rates across a range of species have been published.

785 Mitobiogenesis is required to maintain mitochondrial component quality. Reducing the rate of mitobiogenesis excessively  
786 will compromise mitochondrial performance since less negative entropy will be produced to counteract degradative  
787 internal entropy production and, as a result, a shift to a higher degradation state will occur. Yet mitobiogenesis  
788 incorporates mtDNA replication, so a reduction in mitobiogenesis will also generate reductions in the replication rate of  
789 mtDNA  $r_{rep,mtDNA}$ . Thus, although reduced mitobiogenesis could negatively impact mitochondrial performance, provided  
790 that the rate of mutation during non-replicating conditions  $r_{mut,mtDNA}$  does not drastically increase, reduced  
791 mitobiogenesis will lead to a lower rate of mutual mtDNA information loss per Eq. ( 22 ).

792 On the other hand, a higher mitobiogenesis rate will increase the amount of negative entropy available to offset  
793 degradative internal entropy production affecting mitochondrial components (other than mtDNA), effectively lowering  
794 the degradation state in those components. However, this will also raise the mtDNA replication rate  $k_{rep,mtDNA}$  and  
795 generate increased exposure of mtDNA to the high thermodynamic-stress conditions experienced during replication—  
796 resulting in an increase in the rate of mutual mtDNA information loss.

797 Preservation of youthful mitochondrial homeostasis requires that the rate of negative entropy production from  
798 mitobiogenesis equals or exceeds the rate of degradative internal entropy produced within the mitochondrial network  
799 when in a youthful degradation state. If the rate of degradative internal entropy production within mitochondria varies  
800 between species, then the rate of mitobiogenesis required to preserve youthful homeostasis in mitochondrial components  
801 is likely to also vary. In addition, differences in the intrinsic mitochondrial degradation state between species could affect  
802 the rate of mitobiogenesis. This suggests that variations in the rate of mutual mtDNA information loss between species  
803 are likely due to either differences in the rate of degradative internal entropy production within mitochondria or different  
804 mitochondrial degradation states.

#### 805 7.4 A Closer Look at Mitochondrial Configurations and Membrane Composition

806 In order to preserve youthful cellular homeostasis, mitobiogenesis must occur at a rate sufficient to sustain mitochondrial  
807 component quality at youthful levels by producing negative entropy to offset degradative internal entropy production.  
808 Yet since mitobiogenesis encompasses mtDNA replication, which accelerates losses in mutual mtDNA information,  
809 forfeiture of youthful mitochondrial homeostasis is not only inevitable but must occur after a period of time dictated, at  
810 least in part, by the rate of mitobiogenesis. How then might this rate differ by species, and why?

811 An examination of cellular metabolic demands provides some clues. Across species, whole-organism basal metabolic rate  
812 scales allometrically with body mass:  $BMR \propto M_b^f$ . Kleiber (1932) estimated  $f$  to be 3/4 for the basal metabolisms of  
813 mammals and birds. This same value was found to hold for most multicellular organisms, including many other animals  
814 (Peters, 1986) and plants (Niklas, 1994).<sup>7</sup> When expressed per unit body mass, resting oxygen consumption scales  
815 proportionally with  $M_b^{-1/4}$ . In other words, mass-specific BMR decreases by approximately 16% across species for every  
816 doubling of body mass. The inverse correlation between relative oxygen consumption and body mass has been verified in  
817 isolated hepatocytes from mammals (Porter and Brand, 1995) and birds (Else, 2004) as well as in mammalian liver slices  
818 (Couture and Hulbert, 1995). Porter and Brand (1995) found a 5.5-fold decrease in hepatocyte oxygen consumption for  
819 every 12,500-fold increase in body mass and concluded that this was due to a decrease in the intrinsic metabolic activity  
820 of the cell, not increased cell volume.

821 Therefore, on average, cells from smaller species have increased oxygen consumption and ATP turnover rates compared  
822 to cells from larger organisms. As a result, cells from smaller species place greater energetic demands on their  
823 mitochondrial networks. It has been known for some time that mitochondrial count correlates with mass-specific changes  
824 in tissue metabolic rate (Smith, 1956). However, the differences in mitochondrial number per cell cannot fully explain the  
825 variation in respiration rate with body mass (Porter and Brand, 1995).

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<sup>7</sup> The universal value of  $f$  is contentious. Scientists are largely divided into two camps: one arguing for 2/3 and the other for 3/4 (White and Seymour, 2005).

826 Increasing the mitochondrial inner membrane surface area per unit volume of mitochondrial matrix allows for additional  
827 transmembrane-localized oxidative phosphorylation enzymatic machinery in the same volume of space. Organisms with  
828 higher ATP demands may benefit from increased membrane density. Indeed, a significant negative correlation has been  
829 found between mitochondrial inner membrane surface area per unit volume of matrix and body mass. In a study involving  
830 mammalian hepatocytes, membranes were as dense as 555 cm<sup>2</sup> per μL of matrix in mice while, on the other end of the  
831 spectrum, horse hepatocytes contained only 170 cm<sup>2</sup> membrane surface area per μL of matrix (Porter et al., 1996).

832 Mitochondrial membrane phospholipid composition also differs widely across species, specifically in fatty acid  
833 composition (Daum, 1985). The fatty acid composition of mitochondrial membranes in tissues was found to correlate with  
834 body size, with smaller mammals having more polyunsaturated mitochondrial membranes than larger mammals (Porter  
835 et al., 1996). The “membrane pacemaker hypothesis of metabolism” (Hulbert and Else, 1999), and subsequently the  
836 “membrane pacemaker theory of aging” (Hulbert, 2003), were developed from these and other observations linking not  
837 only mitochondrial but overall tissue membrane composition to metabolic rate, body mass, and longevity. Hulbert (2003)  
838 suggested that changes in membrane fatty acid composition can make membranes more prone to oxidation, resulting in  
839 an increase in reactive molecules that can damage cellular molecules and impact longevity.

840 Why does membrane fatty acid composition vary allometrically? Some light was shed on this question when the molecular  
841 activity of transmembrane proteins were examined in different membrane compositions. The cytoplasmic membrane-  
842 localized sodium pump (Na<sup>+</sup>-K<sup>+</sup>-ATPase) varies in molecular activity from approximately 8,000 ATP/min in mammals  
843 compared to 2,500 ATP/min in ectotherms (all data taken at 37°C) (Else et al., 1996). Cytoplasmic membrane crossover  
844 studies demonstrated that the activity of ectothermic sodium pumps increased significantly when transferred to  
845 mammalian membranes, while mammalian sodium pump activity was attenuated in ectothermic membranes (Else and  
846 Wu, 1999).

847 It was hypothesized that the higher sodium pump activities seen in endotherms were due to influences from surrounding  
848 lipids, with polyunsaturated membranes promoting increased molecular activity compared to membranes with more  
849 monounsaturated membranes. Hulbert and Else (1999) proposed a mechanism by which this may occur: The lateral  
850 diffusion coefficient of lipids within a membrane bilayer is greater than that of transmembrane proteins by two orders of  
851 magnitude (Storch and Kleinfeld, 1985). As such, membrane proteins are continuously colliding with membrane lipids. The  
852 kinetic energy exchanged during these collisions is believed to be critical in facilitating membrane protein function. The  
853 acyl chains of saturated and monounsaturated fatty acids are more flexible than polyunsaturated fatty acids. Therefore, a  
854 collision involving a lipid containing polyunsaturated fatty acids is expected to transfer more energy to membrane proteins  
855 and result in increased molecular activity of the protein than a collision with a lipid containing only highly saturated fats.  
856 Of the fatty acids found in membrane lipids, docosahexanoic acid (DHA or 22:6 n-3) contains the largest number of evenly  
857 spaced double bonds but is also particularly susceptible to peroxidation. DHA has been referred to as the “acme” of  
858 polyunsaturates and may serve as a membrane “energizer” (Hulbert and Else, 1999). Sodium pump molecular activity  
859 correlates with membrane DHA concentration in both ectotherms (Turner et al., 2005) and endotherms (Turner et al.,  
860 2003).

861 Peroxidation index is a measure of the susceptibility of membrane lipids to peroxidation and is closely tied to fatty acid  
862 unsaturation. The peroxidation index of mitochondrial phospholipids, predominantly driven by DHA content, negatively  
863 correlates with MLSP (Pamplona et al., 1998). Importantly, the same trend line holds for both mammals and birds (Hulbert  
864 et al., 2007). In addition, mitochondrial membrane remodeling resulting from various levels of caloric restriction in mice  
865 produced changes in peroxidation index and MLSP that fit the same trend line (Faulks et al., 2006; Hulbert, 2008).

866 In addition to the negative allometry of metabolic rate, body mass positively correlates with MLSP (Austad, 2005; Calder,  
867 1984; de Magalhães et al., 2007). The discussed findings suggest that smaller organisms with reduced longevity may utilize  
868 membranes with more polyunsaturated membranes—largely dictated by DHA content—in order to increase the rate of  
869 work that can be performed by each transmembrane protein molecule and, as discussed in section 7.6, to satisfy functional  
870 requirements largely specified by recognized allometric relationships that characterize fitness optimization across species.

871 A downside of polyunsaturated fatty acids is their susceptibility to oxidative damage and contribution towards increased  
872 free radical generation. In other words, polyunsaturated fatty acids are less resistant to molecular alterations resulting  
873 from the thermodynamic forces of their environment; the presence of higher levels of polyunsaturated fatty acids will  
874 lead to increased rates of degradative internal entropy production within mitochondria and will necessitate that  
875 mitobiogenesis rates be increased to maintain a given mitochondrial degradation state.

## 876 7.5 Identifying Longevity Determinants

877 In his “membrane pacemaker theory of aging”, Hulbert (2005) proposed that membrane lipid peroxidation influences the  
878 cellular levels of ROS, resulting oxidative stress and consequently longevity. He posited that this feedback effect is variable  
879 and determined by the membrane fatty acid composition. Hulbert further suggested that this results in accumulated  
880 damage to proteins, genetic material and membrane lipids over an individual’s life—finally reaching a tipping point where  
881 antioxidant defenses are exceeded and youthful homeostasis can no longer be sustained.

882 The argument for membrane composition as a longevity determinant is a strong one, even though the exact mechanisms  
883 by which a longevity determining effect is exerted have yet to be fully elucidated. However, Hulbert’s theory is not in  
884 agreement with the negative relationship found between levels of antioxidant defenses and longevity. Species with  
885 greater longevity have very low levels of antioxidants (Perez-Campo et al., 1998) and most mtDNA mutations do not  
886 appear to be directly due to oxidative stress (Greaves et al., 2012; Haag-Liautard et al., 2008; Itsara et al., 2014; Kennedy  
887 et al., 2011; Zheng et al., 2006). If organisms with more peroxidation-susceptible membranes utilize upregulated  
888 antioxidant levels as a countering effect then why do they have reduced longevity? Furthermore, why must youthful  
889 homeostasis be lost at all?

890 I offer an explanation for how a longevity-determining effect could arise from the influence of membrane composition on  
891 biomolecular turnover rate, metabolism, and ultimately the rate of loss of mutual DNA information. This concept is  
892 depicted in Fig. 7. I postulate that membrane composition, and certain other defining characteristics of an organism, are  
893 largely stipulated by an organism’s peak biological power density, which has evolved to the level that maximizes fitness in  
894 each species. Here the term “peak biological power density” represents the maximum localized volume-specific rate of  
895 external work (power per unit volume) that is achievable within an organism. The cells or tissues where this potentiality  
896 exists may vary by species (for example, skeletal muscle in some organisms, neurons in others, etc.). “External work”, in  
897 the context of peak biological power density, refers to the sum of the biomechanical, biochemical and bioelectrical work  
898 that is brought to bear on the immediate environment surrounding the localized region where this work originates within  
899 an organism. Examples include the mechanical work generated by myocytes, the chemical and electrical work produced  
900 by neurons, and the chemical work performed on metabolized products by hepatocytes. External work does not include  
901 work that is associated with housekeeping or “overhead” cellular processes such as biomolecular repair and replacement,  
902 maintenance of baseline membrane potentials or mitotic cell turnover.

903 To illustrate the sequence of interactions implicated in this theory, we will consider an arbitrary organism where fitness is  
904 maximized by a high level of peak biological power density compared to some reference organism (Fig. 7). A higher level  
905 of peak biological power density implies an increase in the maximum potentiality for external work rate per unit volume  
906 that is achievable by some cell, or group of cells, within an organism. I postulate that high-output proteins are utilized for  
907 realizing this external work in both transmembrane and non-transmembrane locations. To maximize the rate of work  
908 attainable from a given volume, the molecule-specific rate of work of the proteins must be as high as possible. One  
909 requirement for achieving this is to optimize the structure of the protein for peak work rate, **1** (bold numbers in this section  
910 refer to Fig. 7). This is likely to reduce biomolecular durability and resiliency (due to lower selective pressure on these  
911 parameters), and protein repair/replacement rate (turnover) may increase as a result. Secondly, the biomolecular  
912 performance of the protein pool should be maintained at a high level (i.e. degradation state should be low), **2**. In this way,  
913 the contribution from the average protein molecule will be closer to the theoretical maximum. Maintaining low  
914 degradation states will increase the rate of protein turnover and lead to a less-than-optimal energetic ROI.



928 animals and the fact that smaller animals turnover protein at faster rates compared to larger species (as illustrated in Fig.  
929 4).

930 Transmembrane proteins have increased activity in membranes with lipids that utilize more polyunsaturated fatty acids  
931 (increased DHA content) (Else and Wu, 1999; Turner et al., 2003; 2005). It is predictable that configurations that call for a  
932 high level of peak biological power density include these type of membranes, which are also more susceptible to lipid  
933 peroxidation, **5**. This contributes towards an elevated rate of membrane-damaging internal entropy production that  
934 generates more frequent membrane lipid turnover and further increases in transmembrane protein turnover rate.

935 The aforementioned metabolic increases generate a need for mitochondrial networks capable of satisfying these high ATP  
936 turnover demands. This is realized with high power density mitochondria, **6**, the characteristics of which are outlined in  
937 Fig. 8. High-output mitochondrial proteins optimized for maximal ATP production are expected with these configurations.  
938 Similar to their cytoplasmic counterparts, high-output mitochondrial proteins will also increase metabolic requirements  
939 and are more susceptible to degradation. Increased mitochondrial membrane fatty acid polyunsaturation / DHA levels  
940 allow for increased peak ATP output through enhanced transmembrane protein activity but cause the mitochondrial  
941 membranes to be more susceptible to lipid peroxidation, **7**. Together with a positive feedback effect from elevated ROS  
942 and oxidative stress levels, **8**, this will increase component-damaging internal entropy production within mitochondria, **9**.  
943 The combination of elevated mitochondrial membrane polyunsaturation / DHA levels and proteins more susceptible to  
944 degradation stipulate that a higher rate of offsetting negative entropy production will be required in order to maintain  
945 mitochondrial quality and preserve youthful organismal homeostasis. This need can only be realized through an  
946 upregulation of mitobiogenesis, which increases the mitochondrial membrane remodeling and protein turnover rate, **10**,  
947 but will coincide with a higher mtDNA replication rate  $r_{rep,mtDNA}$ , **11**. The rate of mutual mtDNA information loss will  
948 increase as a result, **12**. As mtDNA integrity declines, an organism's ability to produce usable energy will become  
949 compromised and worsen progressively. This will generate a downregulation of cellular processes which could largely be  
950 responsible for the aging phenotype.

951 Increased oxidative stress from high power-density mitochondrial configurations is also likely to elevate thermodynamic  
952 potentials in cellular proteins, nuclear DNA and other biomolecules—further contributing towards increased metabolic  
953 requirements due to the need for additional resources for their repair and replacement, **13**. This and the other  
954 aforementioned contributors to increased biomolecular damage and turnover rates could be expected to increase the  
955 rate of cellular turnover. The rate of mutual nuclear DNA information loss will be heightened due to elevated replication  
956 rates, and this will increase the rate at which viable stem cells are depleted, **14**. Increased oxidative stress may also  
957 influence the rate of non-replicative mtDNA damage  $r_{mut,mtDNA}$ , **15**. However, due to reasons already discussed, this  
958 contribution is probably small compared to the effects of an increased replication rate.

959 The loss of mutual DNA information in the individual is unavoidable. Notably, the logic established here describes how the  
960 rate of loss of mutual DNA information may be a function of an organism's peak biological power density requirements,  
961 at least in part. As this rate may be critical in determining the amount of time that passes before youthful homeostasis  
962 can no longer be sustained, a potential link is herein established between an organism's peak biological power density  
963 and longevity; by this token, peak biological power density could be thought of as a high-level longevity determinant.

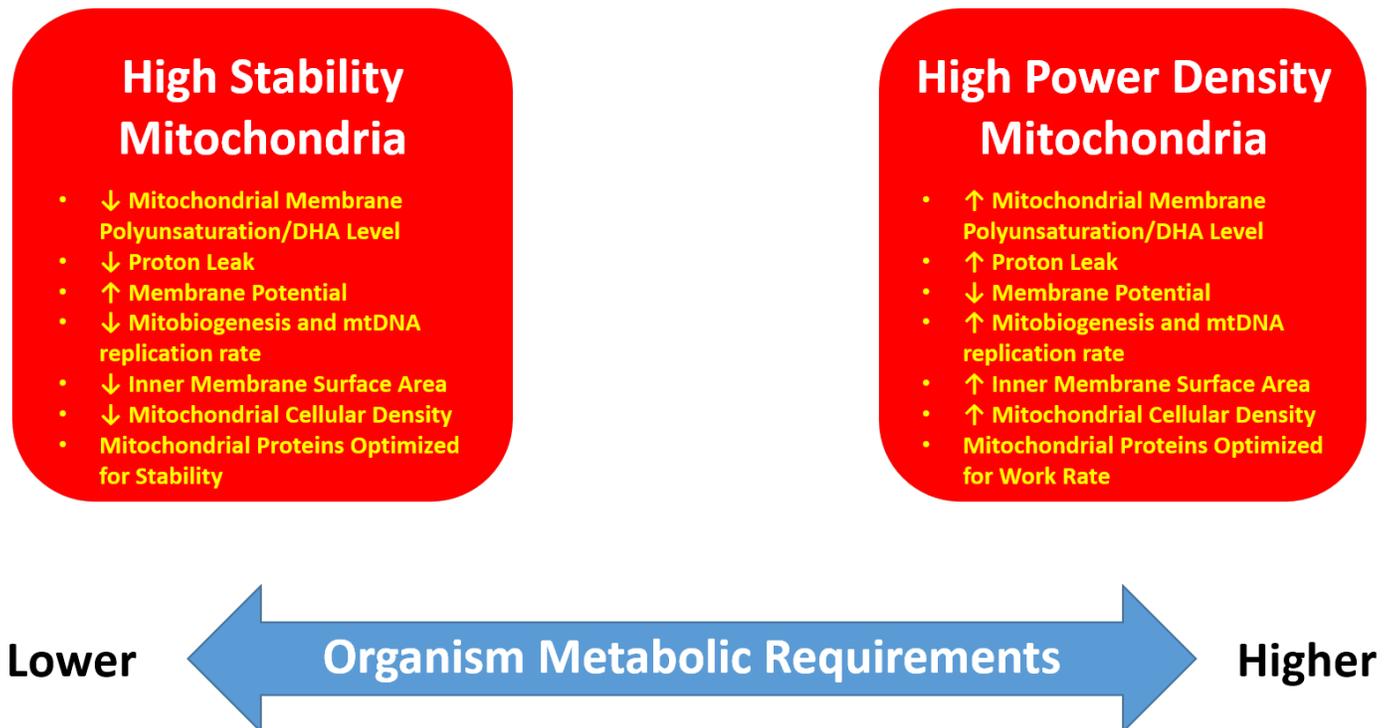


Fig. 8. The characteristics of high stability mitochondria compared to mitochondria optimized for peak biological power density. The requirements of the organism dictate where a particular species falls within the range of configurations between these two extremes.

964 A solution for the second half of the naked mole-rat paradox discussed in section 2.2 can now be proposed. Naked mole-  
965 rats have limited access to oxygen and as a result they have extremely low metabolic rates. The need to maintain a low  
966 metabolism necessitates low-output proteins, as high-output proteins have increased metabolic requirements for a  
967 number of reasons (Fig. 7). The situation is therefore the reverse of the high peak biological power density scenario just  
968 discussed. Lower metabolism will lead to mitochondria that are better optimized for stability (Fig. 8). Consistent with this  
969 notion, naked mole-rats have 1/9<sup>th</sup> the content of DHA in their mitochondrial membranes compared to their similarly-  
970 sized cousin the house mouse (Mitchell et al., 2007). Decreased susceptibility to lipid peroxidation will lower the rate of  
971 damaging internal entropy production, mitobiogenesis, and reduce the rate at which mutual mtDNA information is lost.  
972 Cellular turnover and the rate of mutual nuclear DNA information loss will also decrease. The slower rate of mutual DNA  
973 information loss increases the amount of time that passes before transitions from degradation states characteristic of  
974 youthful homeostasis will occur. As a result, the naked mole-rat exhibits exceptional longevity for its size. The increased  
975 oxidative damage present on biomolecules does not limit longevity as it is not the factor forcing a shift from youthful  
976 homeostasis but is merely indicative of the high degradation states that coincide with prioritizing energetic ROI for  
977 maximizing evolutionary fitness in very hypoxic conditions. I postulate that the exceptional longevity of the naked mole-  
978 rat is primarily a byproduct of the aforementioned requirement for extremely low metabolic rate as opposed to direct  
979 selective pressure for extreme longevity.

#### 980 7.6 Allometric Relationships Describe Peak Biological Power Density Trends that Largely Predict Longevity

981 If peak biological power density is a primary longevity determinant, then how and why does this vary by species? Do  
982 variations in peak biological power density align with allometric trends? Some answers to these questions may arise from  
983 examining how an organism's mass-specific cost of transport (COT) is driven by certain factors. COT is a measure of the  
984 quantity of metabolic energy required to move one unit mass of an organism over one unit distance. In terrestrial animals,  
985 COT negatively correlates with body size (Reilly et al., 2007; Strang and Steudel, 1990; Taylor et al., 1982). The reasons for  
986 the increased locomotor costs in smaller terrestrial organisms have been discussed in detail elsewhere, including Reilly et  
987 al. (2007), and Kilbourne and Hoffman (2013). We will briefly examine some of the more significant causes here. Although

988 the mass-specific metabolic energy consumed per stride remains constant across large and small mammals at the same  
989 stride frequency, larger animals require fewer strides to cover an equivalent distance; this at least partly explains the  
990 reduction in COT with increasing body size (Heglund and Taylor, 1988; Heglund et al., 1982; Kram and Taylor, 1990). The  
991 effect is compounded by the fact that larger mammals have disproportionately longer limbs (positive allometry) (Pontzer,  
992 2007).

993 In general, smaller animals cannot simply decrease their top speeds to offset the increased COT and preserve a low  
994 metabolic rate as they must be able to achieve speeds that are sufficient to evade larger predators. This is demonstrated  
995 by the fact that, although top speed does increase with body mass in mammals (Garland, 1982), the allometric scaling  
996 factor can only partially counteract the increased COT in smaller mammals. In other words, the rate of mass-specific  
997 metabolic energy consumed by smaller mammals to achieve their top speed is greater than that of larger mammals.

998 Posture can also significantly affect COT (Biewener, 1989). Smaller terrestrial animals tend to have limbs that are more  
999 abducted and flexed during movement (Reilly et al., 2007). Larger animals utilize a more upright posture, which confers a  
000 mechanical advantage to anti-gravity muscles. For these reasons, smaller mammals have increased muscular energetic  
001 demands for counteracting the flexing moment of the ground reaction force. Additionally, larger animals are able to  
002 benefit more from elastic storage because the capacity to store energy in tendons positively correlates with tendon cross-  
003 sectional area (Bennett et al., 1986; Biewener and Blickhan, 1988; Biewener et al., 1981). Pendular savings can reduce the  
004 metabolic cost of locomotion and become increasingly relevant as body size increases in erect animals—but are  
005 insignificant in smaller crouched animals (Reilly et al., 2007).

006 For the aforementioned reasons, an increase in the peak biological power density of skeletal muscles and supporting  
007 organs (heart, lungs, etc.), together with a corresponding increase in the metabolic consumption of the same, is expected  
008 in smaller terrestrial animals. As skeletal muscle is the major contributor to non-resting metabolism, it should not be  
009 surprising that field metabolic rate scales with negative allometry (Nagy, 2005).

010 Surface area scales as a function of body mass per the relation  $A \propto M_b^{2/3}$ . The exponent in this case is less than 1, signifying  
011 that the mass-specific capacity for heat exchange decreases as body size increases. Since no thermodynamic process is  
012 100% efficient, a portion of the energy utilized for metabolism is unavoidably converted to heat. The efficiency of the  
013 oxidative phosphorylation machinery in mitochondria is highly optimized and not a function of body mass, as indicated by  
014 the fact that ATP turnover per unit of consumed oxygen does not change with body mass in mammals (Porter and Brand,  
015 1995). Therefore, in the absence of other limiters, the maximum sustainable metabolic rate will be lower in larger  
016 organisms due to their reduced relative capacity to shed metabolic waste heat. This translates to higher theoretical peak  
017 biological power densities in smaller organisms. A converse effect of the surface area to mass ratio is believed to limit the  
018 minimum attainable body size of endothermic amniotes: maintenance of a constant body temperature, below a particular  
019 body size for a given set of environmental living conditions, will require an increasing proportion of metabolic energy as  
020 body size decreases.

021 Another factor may also contribute to the minimum attainable body size and generate increased metabolic requirements  
022 in smaller animals. West and colleagues argued that metabolic rate scaling is constrained by characteristics of the  
023 circulatory system (and other fractal networks) that can be explained by principles from fluid dynamics (West et al., 1997;  
024 West and Brown, 2005). As vessels become smaller, viscosity causes energy to be dissipated at a substantially increased  
025 rate. Additionally, flows become highly damped in smaller vessels and unable to benefit from impedance matching, which  
026 can greatly reduce the energy lost due to reflections in larger vessel branch points. These energy-consuming effects play  
027 an ever increasing role as body size decreases and narrow vessels predominate. West et al. calculated the minimum mass  
028 of a mammal to be  $\sim 1$  gram, based on cardiovascular parameters; this is similar to the mass of the smallest known  
029 mammal, the shrew (West et al., 2002). West's concept assumes that the organism utilizes a fractal-like vascular system,  
030 and is not applicable to organisms such as Hydra which exchange nutrients, gases, and waste by simple diffusion.

031 Although the allometric relationships between BMR and  $M_b$ , and longevity and  $M_b$ , at the species level are well established  
032 and accepted, these describe only general trends; they do not hold true for all species. Clearly, the described allometric  
033 relationships are not imposing a strict, specific value for peak biological power density, metabolic rate, or longevity on a  
034 species or individual based solely on body mass. Rather, they appear to establish median values (averaged across a range  
035 of species) together with upper and lower theoretical bounds on these factors. The optimal compromise between peak  
036 biological power density, longevity and body size for a given species must evolve within these general constraints towards  
037 the configuration that maximizes species fitness. Deviations from the general relationships should be expected. For  
038 example, a species living in an environment with low predatory pressure may receive a fitness benefit from sacrificing  
039 peak athletic performance for increased longevity. Suppose that in this case, it is not necessary for the organism to  
040 function anywhere near the metabolic limit dictated by its capacity for heat exchange to ensure high rates of survival and  
041 fecundity over its lifespan—it receives more fitness benefit from maintaining a reasonable level of fecundity over an  
042 increased lifespan than from a marginal decrease in predation over a shorter lifespan. Another example of an expected  
043 significant deviation from the median are situations where organisms utilize a specific behavioral tactic or enhanced  
044 cognitive capabilities to increase their survival odds in lieu of maximizing peak biological power density. Humans are the  
045 ultimate embodiment of such a strategy.

046 Although BMR correlates with longevity across a wide range of organisms, no significant correlation remains in either  
047 eutherians or birds after correcting for body mass and phylogeny (de Magalhães et al., 2007). One possible explanation  
048 for this can be derived from the fact that peak biological power density, which may be a primary high-level longevity  
049 determinant, need not correlate exactly with BMR. BMR measures the amount of energy used while an organism is at  
050 rest. Field metabolic rate (FMR), which also scales allometrically with  $M_b$ , takes into account BMR as well as  
051 thermoregulation and activity costs. Even within the same class, FMR allometric scaling slopes are frequently different  
052 from BMR slopes (Nagy, 2005). FMR will correlate more closely with peak biological power density than BMR will because  
053 FMR values always exceed BMR and thus are closer to peak sustainable metabolic levels (which is a better measure of  
054 peak biological power density). Even so, FMR still represents a time average of metabolism; organisms that exhibit short  
055 periods of very high metabolic activity (and hence possess higher peak biological power density) could have similar FMRs  
056 to organisms that have much lower peak biological power density but less average variation in metabolic rate, and vice-  
057 versa. This offers a reasonable explanation for how peak biological power density can still be a longevity determinant and  
058 correlate with BMR across species and within distinct phylogenetic groups, even if BMR does not correlate with longevity  
059 after correcting for body mass and phylogeny.

060 Birds have higher BMRs than mammals, yet they live on average approximately three times as long as similar-sized  
061 mammals. Many of the same arguments just mentioned could help explain this discrepancy. Of course, the thermal  
062 physiology of mammals and birds is vastly different and this could contribute to the variation in longevity as a function of  
063 BMR. As pointed out earlier and in agreement with the notion that peak biological power density is a primary longevity  
064 determinant, a common trend line predicts MLSP as a function of mitochondrial membrane peroxidation index for both  
065 birds and mammals (Hulbert et al., 2007).

066 These generalized allometric relationships also do not hold universally when examining the individuals within a species. For  
067 example, larger individuals in some species, such as dogs (Speakman et al., 2003) tend to have shorter lives than smaller  
068 individuals. This may be in part because longevity determinants have evolved, and are genetically engrained, at the species  
069 level; in other words, the genetic elements that specify peak biological power density, membrane composition,  
070 biomolecular turnover rates, stem cell reserve levels, and other factors that contribute towards resisting (or promoting)  
071 permanent thermodynamically-induced changes in biocomponents subject to irreversible losses are mostly preset within  
072 the genome of a species and do not vary significantly as a function of body size. It is not surprising that significant  
073 deviations from the median body size would result in a compromised individual—and that this would include decreased  
074 longevity.

## 8 Longevity Optimization

With sufficient time, the loss of mutual DNA information must lead to reduced viability in individual organisms. Mitochondrial energy production efficiency will be compromised as a result of loss of mitochondrial mutual DNA information, while losses in nuclear DNA are expected to eventually result in a mosaic of random cellular dysfunction.

Once mitochondrial dysfunction has progressed to the point that resource deficits prevent the funding of all cellular processes at youthful levels and/or genetic redundancies are no longer able to sufficiently compensate for other losses in genetic fidelity, an aged phenotype must begin to take shape. It is reasonable to expect that the optimal allocation of resources for preserving maximal survival and fecundity in an aged individual would be different than the configuration used in young adulthood when adequate resources are available to fully fund all cellular processes. Factors most critical to immediate survival are of highest priority to the individual. Therefore, a genotype optimized for an aging individual could be predicted to increasingly deprioritize less vital processes and biocomponents as useable energetic resource availability decreases and dysfunction increases so that more critical biocomponents are preserved in states that are adequate to sustain life and maximize survival potential and fecundity. Eventually, a state will be reached where even vital factors cannot be adequately sustained and the individual's overall condition becomes un conducive to continued life.

Could an anti-aging strategy such as the one described above exist in multicellular organisms? A large number of genetic elements regulating pathways that appear to be related to longevity have been identified (ENCODE Project Consortium et al., 2007). Many scientists believe that these pathways are largely responsible for stipulating the presence of aging and for modulating the rate of aging between species (Austad, 2009; Holliday, 2010; Kirkwood, 2005; Vijg and Campisi, 2008). A proposed complementary hypothesis is that longer-living species have evolved to contain superior mechanisms and/or biomolecules for retarding senescence; some scientists believe that incorporation of these changes into shorter-living organisms could lead to delayed senescence in these other organisms as well.

I submit here an alternative theory proposing that a major function of the putative aging pathways is the optimization of the process of aging to maximize individual longevity and fecundity, and that this is an evolved response. Contained within these pathways, genetic elements that I term "longevity optimizers" work together to elicit a balanced response to the unavoidable progression towards increasing levels of irreversible biomolecular fidelity loss.

To my knowledge, this concept has not been previously discussed or proposed in published literature. There are several likely reasons for this. Firstly, it is not generally acknowledged that the aging of an individual is unavoidable, regardless of genotype. Many popular aging theories (e.g. antagonistic pleiotropy, mutation accumulation, and disposable soma) utilize evolutionary concepts to justify the existence of aging in the individual and do not incorporate fundamental physical law or approach the problem in a multidisciplinary fashion. These theories claim that aging is not unavoidable but rather that it exists because it projects beneficial effects on species fitness in other ways (antagonistic pleiotropy, disposable soma) or that there is insufficient evolutionary pressure to eradicate aging (mutation accumulation). If biological aging is not mandated by fundamental physical law, then there is no need for mechanisms or strategies to resist and optimize it.

Here, rationale and evidence has been provided for why biological aging is in fact an inevitable consequence of fundamental physical law that cannot be overcome by evolution. If this is the case, then it is reasonable to propose the existence of evolved mechanisms to resist and optimize an organism's susceptibility to these effects in order to maximize fitness. For reasons explained in detail in the following sections, I believe that the argument is strong that such mechanisms exist.

A counterargument is that aging optimizations are unlikely to evolve because selective pressures begin to decrease once an individual has reached the age of reproductive maturity. However, as the potential for loss of mutual DNA information begins at conception—not at reproductive maturity—this phenomenon must be suitably combatted at all life stages. Even if selective pressures were entirely absent past the age of reproductive maturity, in order to maximize fitness an individual organism would require strategies for preventing the loss of mutual DNA information from reaching excessive levels and to best handle the mutual DNA information loss that has occurred at all life stages up to this age.

## 8.1 Selective Pressures Favor Genotypes that Attenuate Increases in Mortality and Losses in Fecundity Occurring After Reproductive Maturity

Germline mutations are only rarely beneficial to the organism. Similarly, we can confidently state that, in the absence of compensating mechanisms, any somatic mutation or other form of irreversible degradation to a necessary biocomponent (biomolecule, cell, tissue, etc.) will nearly always impact an individual's instantaneous mortality rate and/or fecundity negatively or, at best, neutrally. Therefore, the integrative effect of the systemic degradation occurring with age must eventually result in negative repercussions for the individual.

In any aging individual organism, those biocomponents that are susceptible to irreversible fidelity losses will be the first biocomponents to incur shifts from their youthful homeostatic states; for most organisms with at least moderate longevity this is likely to be DNA molecules. The continual loss of mutual DNA information must eventually force shifts in the degradation state of other biocomponents. The magnitude of any deleterious impact on individual instantaneous mortality rate and fecundity due to an increase in the degradation state of a biocomponent will vary depending on the function of the biocomponent and the extent of the shift in degradation state.

What are some potential biological responses for minimizing the negative repercussions of unavoidable fidelity loss with age? One such strategy for maximizing survival rate and fecundity in these conditions is a genotype that prioritizes minimizing the degradation state, or that reduces the failure likelihood, of biocomponents most critical to these parameters. We will examine whether such a strategy would be evolutionarily favored.

Hamilton (1966) exploited the Euler-Lotka equation (Euler, 1767; Fisher, 1930; Lotka and Sharpe, 1911) to derive a measure of fitness  $r$  from age-specific survival and fecundity rates.

$$\int_0^{\infty} e^{-rx} l(x) m(x) dx = 1 \quad (23)$$

Here  $l(x)$  represents survival up to age  $x$  and  $m(x)$  is fecundity at age  $x$ . Using a similar framework, Fisher (1930) introduced the concept of age-specific reproductive value  $v(x)$  with the following relation

$$v(x) = \int_x^{\infty} e^{-r(y-x)} \frac{l(y)}{l(x)} m(y) dy \quad (24)$$

Fisher (1930) described reproductive value as a measure of the contribution of individuals of age  $x$  to the future ancestry of a population and stated that (p.27) "the direct action of natural selection must be proportional to this contribution". In other words, genotypes that maximize reproductive value for a given age will be favored by selection over those that produce a lower  $v(x)$ —thus maximizing fitness. Fisher also discussed why reproductive value typically increases from birth before reaching an apex and then declining at more advanced ages. He demonstrated this trend with human population data.

146 Let us examine some plots of reproductive value with age for a hypothetical organism with slightly different genotypes.  
147 Assume that in this organism,  $m(x)$  peaks near reproductive maturity and declines after this age. Also assume that  
148 mortality increases from this point forward, accelerating the rate at which  $l(x)$  is decreasing with age. We will assume  
149 that irreversible fidelity loss is the primary driver of these reductions in  $l(x)$  and  $m(x)$ , aside from a baseline constant  
150 mortality rate. In Fig. 9a, the red curve depicts a reproductive value curve for this organism with a genotype that does not  
151 incorporate any elements for optimizing fecundity and/or survival in response to irreversible losses in fidelity. If the same  
152 values for survival and fecundity up to the age of reproductive maturity are used but losses in  $l(x)$  and  $m(x)$  occurring  
153 after this age are attenuated, peak reproductive value will increase, occur at a later age, and reproductive value will be  
154 maintained longer (Fig. 9a, blue curve). Due to the positive contribution to reproductive value, genes/genotypes that  
155 attenuate the described pattern of losses in  $l(x)$  and/or  $m(x)$  will be evolutionarily favored (i.e. they will increase fitness),  
156 provided they do not negatively influence early reproductive value. Therefore, if genes that attenuate reductions in  $l(x)$   
157 and  $m(x)$  occurring after reproductive maturity due to irreversible fidelity loss exist, then it is likely that these genes will  
158 be selected for and incorporated into an organism's genome.

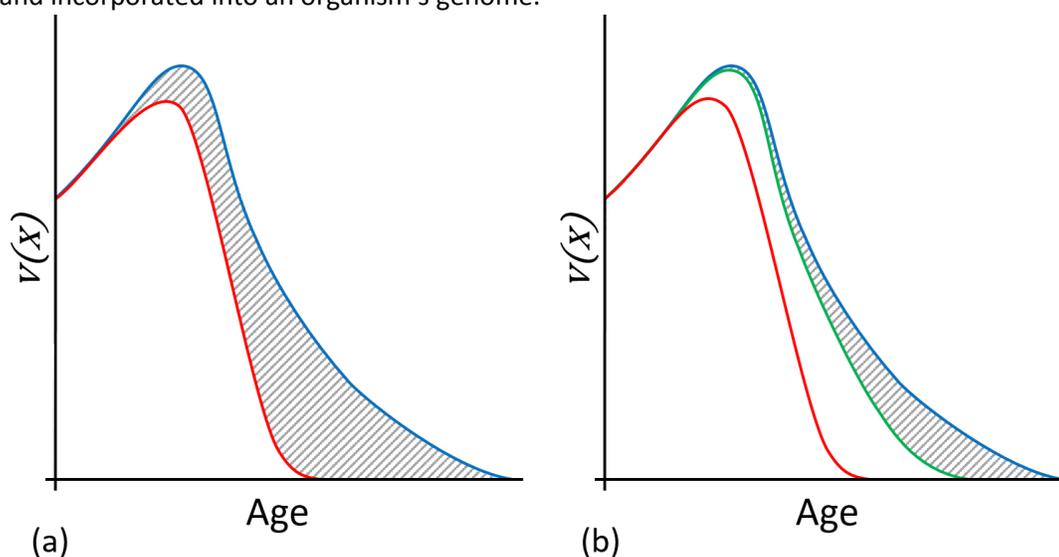


Fig. 9. Two scenarios of reproductive value curves for a hypothetical organism. (a) Optimization (blue curve) of the organismal response to irreversible losses in fidelity (as detailed in text) will provide a fitness advantage compared to a genotype lacking longevity optimizers (red curve), due to the increase in reproductive value depicted by the grey shaded region. (b) Variations on optimization. Three genotypes are illustrated: no longevity optimization (red curve), optimal longevity optimization (blue), and partial longevity optimization (green). All curves were modeled according to parameters described in text and using Eqs. ( 23 ) and ( 24 ). Curves depict calculated trends.

## 159 8.2 Deterioration Management Strategies

160 It is illogical for an organism to have evolved such that fecundity or mortality are negatively affected (at ages where  
161 selective pressure is still above some minimal threshold) due to the disproportionate deterioration, or increased likelihood  
162 of failure, of one or a small number of vital biocomponents. As demonstrated in the previous section, selection would  
163 favor genotypes that avoid susceptibility to the catastrophic failure of a small number of weak links.

164 I propose that through evolution, organisms have developed cellular mechanisms and pathways for managing the  
165 irreversible, and inevitable, losses of fidelity afflicting an aging individual in a progressive and dynamic manner.  
166 Biocomponents most susceptible to degradation effects, and most critical to survival and fecundity, are prioritized. To  
167 illustrate this concept, two terms will be utilized: “managed deterioration” and “unmanaged deterioration”.

168 With unmanaged deterioration, the degradation of critical singular biocomponents would occur at a rate proportional to  
169 the biocomponent's susceptibility to irreversible fidelity loss due to internal entropy production, or the direct and indirect  
170 effects of degradation present in other components (Fig. 10, left). Regardless of their importance to instantaneous

mortality rate or fecundity, the most susceptible components would reach failure levels first—leading to premature reductions in survival probability and fecundity—while other components could still remain at relatively high performance levels (i.e. low degradation states).

In managed deterioration, longevity optimization genes could modulate the rate of deterioration of different biocomponents so that biocomponents of similar importance degrade at comparable rates and/or reach their failure threshold at equivalent ages. Critical biocomponents would be prioritized. Thus, the age at which any one biocomponent reaches a level that compromises fecundity or survival probability is delayed—effectively increasing longevity and overall fitness (Fig. 10, right). This could be accomplished by several means, including:

1. Reallocation of resources, at the cellular level and higher, as usable energetic resource availability becomes compromised to prioritize those biocomponents most important to preserving reproductive value.
2. Adjustment of microenvironmental conditions to decrease the thermodynamic potentials on more vital biocomponents and thereby lower the rate of damage-inflicting internal entropy production.
3. Reduce biocomponent turnover rates to delay the clonal expansion of irreversibly compromised biocomponents (effectively reduces the rate of mutual information loss).
4. Alter physiology such that stresses on more vital biocomponents are reduced and maintained below their operating limits, resulting in a decreased likelihood of failure of biocomponents most critical to preserving fecundity and mitigating increases in mortality.

One possible example of items (2) and (3) is the way by which mutual mtDNA information loss may be attenuated by controlled decreases in mitochondrial fusion and fission in aging individuals. This can be demonstrated by examining the “Mitochondrial Infectious Damage Adaption” (MIDA) model proposed by Figge et al. (2012). Using a probabilistic modelling approach, they showed that the decrease in mitochondrial fusion and fission rates seen with increasing age preserves average mitochondrial quality and delays the age at which mitochondrial quality drops below the minimal level required for cell viability. In short, the age-linked reduction of mitochondrial fusion and fission rates may attenuate mutual mtDNA information loss by reducing the exposure of mtDNA molecules to the high thermodynamic stress conditions encountered during replication and delaying the spread of parasitic mutated mtDNA molecules.

A downside to decelerating mitochondrial fusion/fission rates is that these processes are integral to mitophagy (Twig et al., 2008; Youle and Narendra, 2011). Mitophagy serves to remove the mitochondrial mutants that are detectable (Kowald and Kirkwood, 2011) but is also believed to be critical in preserving the quality (low degradation state) of mitochondrial components by segregating deteriorated components, such as lipids and protein, into mitochondria that will be targeted for destruction. Therefore, a reduction in fusion/fission rates increases the load of ROS products and otherwise damaged mitochondrial components, compromising overall mitochondrial quality (i.e. increasing mitochondrial degradation state). The combination of reduced mitochondrial fusion/fission and the loss of mutual mtDNA information results in mitochondria in aged organisms that produce less usable energy (Yaniv et al., 2013).

Since mutual mtDNA information loss is inevitable, preserving a constant mitochondrial fusion/fission rate will still result in the eventual loss of mitochondrial quality. However, Figge et al. (2012) demonstrated that decelerating mitochondrial dynamics actually preserves mitochondrial quality and extends the limit on longevity implied by mitochondrial dysfunction; this is evidently because the resulting reduction in the rate of mutual mtDNA information loss is more critical to preservation of reproductive value than the tradeoff of increased degradation state in other mitochondrial biocomponents. In the context of the current discussion, the genes responsible for realizing this strategy would be considered longevity optimizers.

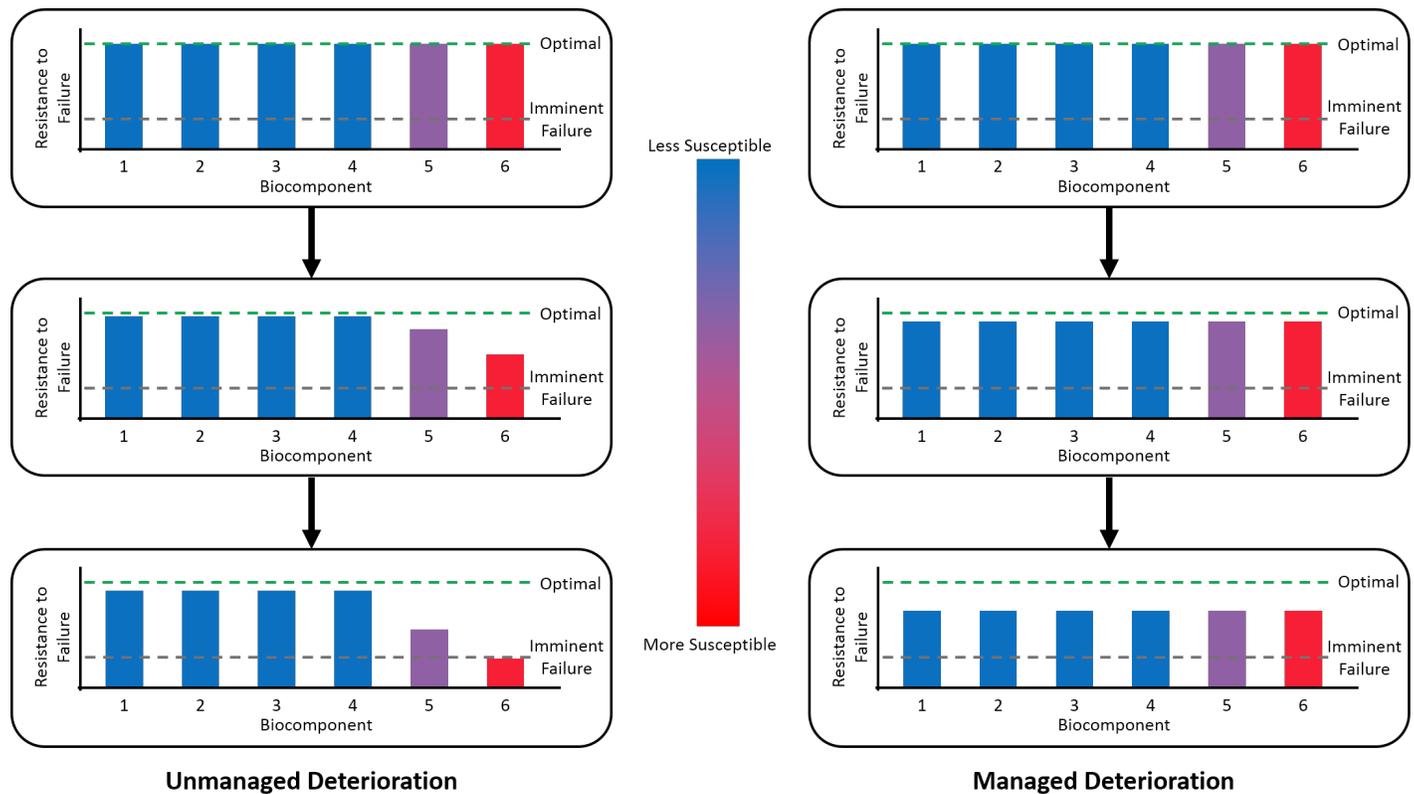


Fig. 10. Demonstration of unmanaged (left) and managed (right) deterioration strategies. A group of arbitrary biocomponents, equally vital to an organism's fecundity/survival, are depicted. Three ages are considered: Top—Young Adult, Center—Middle-Age, Bottom—Elderly. 'Red' indicates that a biocomponent is very susceptible to irreversible degradation (which could be due to direct and/or indirect effects), while 'blue' signifies that a biocomponent has very little or no susceptibility to irreversible degradation. The vertical axis depicts how resistant a biocomponent is to failing, given its current degradation state. In unmanaged deterioration, biocomponents will approach imminent failure at a rate proportional to their susceptibility to the effects of irreversible fidelity loss. Biocomponents most susceptible to irreversible degradation will reach failure levels first and the organism will die prematurely. With managed deterioration, longevity optimization genes produce adjustments in the aging individual which partially offset decreases in resistance to failure of the most vital and susceptible biocomponents (details in main text). By not allowing any one vital factor to reach the imminent failure state at an earlier age than others, managed deterioration strategies may enhance longevity and increase fecundity.

211 Skeletal muscle mass has been shown to decrease substantially with age (Grounds, 1998). The loss of muscle mass with  
 212 age is generally regarded as a purely undesirable physical manifestation of aging. Along this line of thinking, a safe and  
 213 effective therapeutic intervention capable of preventing or attenuating age-related skeletal muscle mass loss is commonly  
 214 viewed as something that would be desirable and beneficial for the health of the elderly. For example, a substantial body  
 215 of evidence suggests that the loss of function in satellite cells is a proximal cause of age-related muscle mass loss (Carlson  
 216 and Conboy, 2007; Sousa-Victor et al., 2014) and interventions have been proposed to "correct" this deficiency (Carlson  
 217 and Conboy, 2007; Dumont et al., 2015; García-Prat et al., 2013; Sousa-Victor et al., 2014; 2015).

218 I propose that an alternative path of reasoning should be considered for explaining this, and perhaps other, age-linked  
 219 traits. Given that cardiac output declines significantly with age in humans (Brandfonbrener et al., 1955) and is rooted in  
 220 functional deficits at the cardiomyocyte level (Guo and Ren, 2006), a reduction of skeletal muscle mass will lower the  
 221 stresses on an age-compromised heart by reducing the volume of blood in the body and decreasing the contractile forces  
 222 required to circulate the blood. This raises the possibility that a decrease of skeletal muscle mass with age is a beneficial,  
 223 evolved response—or at least a tolerated condition—which reduces cardiovascular stress and lowers the mortality risk of  
 224 cardiac events. This is one example of how age-dependent physiological alterations could decrease the likelihood of failure  
 225 of more critical biocomponents in light of inevitable losses in fidelity, as proposed in item (4) from the above list, and serve

226 to extend longevity. To be clear, this hypothesis is not intended to explain extreme muscle wasting outside of normal age-  
227 related trends, which is undoubtedly a genuine pathological condition. In addition, there are certainly a number of  
228 undesirable aspects of age-related skeletal muscle dysfunction. The concept being put forth is the idea that age-dependent  
229 physiological alterations, even those that at first glance appear purely detrimental, may actually serve a purpose in  
230 establishing an optimally balanced configuration in the face of inevitable, and progressively increasing, fidelity loss in the  
231 individual.

232 It is prohibitively difficult to directly establish that the altered age-dependent expression of one gene represents an  
233 evolutionarily established tradeoff with some other gene(s) that extends longevity, as suggested by item (1). The reason  
234 for this is the sheer number of genes, which renders establishment of any correlation a highly multifactorial problem.  
235 However, beyond the evolutionary argument for their existence, there is other evidence suggesting that mechanisms of  
236 this type may exist—specifically, features of the proteomic, gene expression, and epigenetic signatures that have been  
237 found to characterize aging individuals.

238 A meta-analysis of gene expression profiles from mice, rats and humans revealed a characteristic age-associated pattern  
239 of changes in expression of specific genes (de Magalhães et al., 2009). These differential expressions were consistent  
240 across all three species and across multiple tissue types examined. Specific biological processes and functions were  
241 associated with this meta-signature. Lysosomal genes were one group found to be overexpressed with age. This could  
242 represent an adaptive mechanism for counteracting the increased degradation state in proteins (since, due to reductions  
243 in usable energetic cellular resources, protein turnover is reduced with age). A result of decreased protein turnover is that  
244 a greater proportion of proteins may degrade to the extent that they are not effectively ubiquitinated or processed by  
245 proteasomes and must be recycled by other means, i.e. by lysosomes. Although the energetic resources dedicated to  
246 increased lysosomal expression could have been allocated to lessening the severity of the general reduction in protein  
247 turnover, it may be that age-dependent lysosomal overexpression optimizes the overall protein degradation state based  
248 on energetic resource availability and that this represents the best compromise for maximizing reproductive value. A  
249 proteomic analysis of human fibroblasts from healthy adult female donors from 3 age groups (young: 20-30 years; middle-  
250 aged: 40-50; older: 60-70) revealed 43 proteins with age-associated abundance changes (Waldera-Lupa et al., 2014).  
251 Interestingly, this included two proteasome subunits that were significantly down-regulated and two heat shock proteins  
252 that were up-regulated. This further supports the possibility that age-linked responses have evolved that minimize protein  
253 degradation state via optimization of resource allocation.

254 Epigenetic studies have also demonstrated the presence of characteristic aging signatures. DNA methylation expression  
255 patterns have been shown to change across the human lifespan in line with chronological age (Bell et al., 2012; Bocklandt  
256 et al., 2011; Boks et al., 2009; Christensen et al., 2009; Christiansen et al., 2015; Florath et al., 2013; Garagnani et al., 2012;  
257 Gentilini et al., 2012; Hannum et al., 2013; Heyn et al., 2012; Horvath, 2013; McClay et al., 2013; Rakyan et al., 2010).  
258 Predictors have also been developed that can reliably estimate the age of human cells from any human tissue type based  
259 on epigenomic DNA methylation profiles (Hannum et al., 2013; Horvath, 2013). One of these predictors has also been  
260 demonstrated to be applicable to chimpanzees, although less so to gorillas (Horvath, 2013). Importantly, a significant  
261 correlation was also observed between cell passage number and predicted age in both induced pluripotent and embryonic  
262 stem cells. This supports the notion that age-related epigenetic signatures do not simply represent accumulated regulatory  
263 dysfunction, but that at least some component of this signature represents a progressive and dynamic response to the  
264 loss of mutual DNA information—possibly in part through a telomere-related mechanism. Furthermore, a number of  
265 characteristic age-related epigenetic changes have been found to be tissue-type dependent (Christensen et al., 2009;  
266 Thompson et al., 2010), supporting the hypothesis that longevity optimization may extend to the tissue-level.

267 In sum, the above findings support the feasibility of the notion that an age-associated reallocation of resources indeed  
268 occurs and is not limited to the cellular level but functions with some degree of tissue specificity (item 1 from above list).  
269 These findings also further support the existence of items (2), (3), and (4).

### 8.3 Longevity Optimization Strategies from Early Adulthood May Serve as Templates for Those Used in Later Life

The theory advanced here proposes that selective pressures have led to the evolution of genetic optimizations that attenuate the rate of loss of mutual DNA information (and other irreversible fidelity losses) and the detrimental effects of these losses in aging individuals. There can be little doubt that in the face of inevitable, irreversible and progressive fidelity loss, a diverse array of intermediate configurations would be required to realize optimal aging during all stages of life. As compromised biomolecules reach non-trivial levels even during early adulthood (Ben-Zvi et al., 2009; Greaves et al., 2014), it is reasonable to propose that longevity optimizers have evolved to incorporate complex modulatory strategies to ensure optimal adjustments to the corresponding overall state of an aging individual.

This suggests that evolved, early adult-life longevity optimization pathways may serve as the basis for at least some of a late-life longevity optimization strategy. It could be largely through the extrapolation of these early adult-life mechanisms that the maximal lifespan of an organism is able to extend well beyond the age of peak reproductive value, particularly in species such as humans where older individuals are kept in protected environments. The use of pre-existing genes and pathways as a basis for later-life optimizations may also explain how genetic elements could evolve to a highly optimized state for relatively advanced ages, even though selective pressure decreases with age (Hamilton, 1966; Medawar, 1952; Williams, 1957). If genes and pathways for early adult-life longevity optimization were already present within an organism's genome, the extension of these strategies for late-life longevity optimization may require considerably less selective pressure.

Since selective pressure does decrease with age, it would likely still require an extremely long time for very late-life longevity optimizations to evolve to fully maximize longevity extension potential. Therefore, it could be predicted that longevity optimization in any given organism will be somewhat below ideal. This concept is illustrated in Fig. 9b. Utilizing a hypothetical organism with survival and fecundity parameters similar to those described in section 8.1, it can be shown that a genotype lacking any longevity optimization will exhibit a relatively steep drop in reproductive value with progressing age after the age of peak reproductive value (red curve). Incorporating longevity optimizer genes capable of maximally attenuating losses in fecundity, and increases in mortality, with age into the same organism will preserve higher reproductive values into later ages (blue curve). Now suppose that longevity optimization is close to ideal for ages near peak fecundity but becomes progressively less ideal as age increases and selective pressure decreases; this would result in a reproductive value curve between the two described extremes (green curve). I propose that this last curve is representative of the evolved state of the typical metazoan. The gray shaded region thus represents the "intervention potential"—the maximal gains in reproductive value attainable by further genetic longevity optimizations or through artificial manipulation of individuals (i.e. drugs and therapies, excluding therapies that replenish mutual DNA information). Although beyond the scope of the current discussion, by examining statistics of proportionate mortality by pathological condition and other population data, it may be possible to predict the ideal longevity optimization curve for a particular organism (blue curve in Fig. 9b).

### 8.4 Entropy-Driven Managed Deterioration in Further Detail

It is theorized here that metazoans have evolved to make compensatory adjustments as individuals age so as to minimize the deleterious effects of thermodynamic phenomena on reproductive value—resulting in survival, for the moment, but nonetheless unable to avoid an ever-increasing negative phenotype. These longevity optimizers may protect the biocomponents of an organism at all levels (biomolecules, cells, tissues, and organs) that are most critical to immediate survival and fecundity by sacrificing other aspects of health, leading to a diverse "spread the misery" phenotype. In essence, the diversity of the biocomponents affected during aging and the relatively high degree of conservation of the aging phenotype across taxa may be largely manifestations of these compromises. A more detailed depiction of this theory links further aspects of the aging process (Fig. 11).

MtDNA mutations are ubiquitous in aged mammals (Wallace, 1999), equating to the loss of mutual mtDNA information with age. The described theory incorporates the concept that these losses, resulting from the effects of the second law, are a driving force towards the deceleration of mitochondrial fusion/fission, **1** (bold numbers in this section refer to Fig.

315 11)—mitigating, but unable to prevent, further losses in mutual mtDNA information. This deceleration is metered (Figge  
316 et al., 2012) in response to an ever-increasing systemic mutational load (Cao et al., 2001; Kennedy et al., 2013; Kraytsberg  
317 et al., 2006). As an individual ages, losses in mutual mtDNA information and reduced fusion/fission rates lead to elevated  
318 levels of mitochondrial ROS products and compromised mitochondrial components (increased degradation state), **2**. This  
319 reduces the peak amount of usable cellular energy (ATP) that a mitochondrion from an aged individual can produce (Yaniv  
320 et al., 2013), **3**. This deficit becomes progressively worse with age.

321 Once mitochondrial dysfunction exceeds a threshold, youthful homeostasis can no longer be preserved. A shortage of  
322 sufficient ATP to fund all cellular processes at youthful levels results in the aforementioned reallocation of resources and  
323 physiological alterations, **4**. I propose that these adjustments have evolved, are balanced and adaptive, and are largely  
324 signified by the epigenetic state of a cell—which has been found to have distinctive signatures for different ages (Bell et  
325 al., 2012; Bocklandt et al., 2011; Boks et al., 2009; Christensen et al., 2009; Christiansen et al., 2015; Day et al., 2013;  
326 Florath et al., 2013; Gentilini et al., 2012; Hannum et al., 2013; Heyn et al., 2012; Horvath, 2013; McClay et al., 2013;  
327 Rakyan et al., 2010; Thompson et al., 2010). The resulting age-dependent epigenetic signatures should not be confused  
328 with epimutations, where distribution is mostly random (Heyn et al., 2012).

329 Protein production is slowed in the cells of an aging animal (Ben-Zvi et al., 2009) and fewer resources are dedicated to  
330 maintaining the proteome (Douglas and Dillin, 2010; Hwang et al., 2007). These mandatory energy-conserving events  
331 reduce the ability of cells to counter the degradative effects of internal entropy production and to preserve youthful  
332 biomolecular homeostasis, leading to a continuous but slowly accelerating increase in biocomponent degradation states,  
333 **5**. This is exemplified by the increased levels of damaged, misfolded and polymerized proteins seen with age (Balch et al.,  
334 2008). Since ATP is also required to help protect the nuclear and mitochondrial genomes from permanent losses, nuclear  
335 and mitochondrial DNA integrity is gradually further compromised as usable energy becomes more scarce, **6**, as  
336 demonstrated by higher sustained levels of unrepaired damage (Bailey et al., 2004; Wallace, 1999). This increases the  
337 probability of sustaining further permanent losses in mutual DNA information.

338 Losses in mutual nuclear DNA information with age contribute to increased cell-to-cell stochasticity in gene expression  
339 (Bahar et al., 2006) and clonal mosaicism (Lodato et al., 2015), causing average cellular performance to decrease. The loss  
340 of mutual DNA information will also decrease stem cell viability and consume stem cell reserves, in addition to generating  
341 losses in the number and viability of nonmitotic somatic cells, **7**.

342 Dysfunctional telomeres can activate the DNA damage response pathway, engaging tumor protein p53 and leading to  
343 promotion of apoptosis or replicative senescence (Deng et al., 2008). Telomere attrition is upregulated in aged cells  
344 (Passos et al., 2007). This is an evolved mechanism, distinct from the length reduction that occurs during replication,  
345 believed to partially offset the increased likelihood of developing cancerous mutations in age-compromised cells (Campisi,  
346 2005), **8**. This adaptive response involves the preferential degradation of telomeric DNA in conditions of increased  
347 mitochondrial superoxide production (Passos et al., 2007; Petersen et al., 1998; Zglinicki, 2002), as occurs with aging.

348 Epigenome maintenance is downregulated in aged mammals (Cencioni et al., 2013). As internal entropy production will  
349 continue to result in insults to the once tightly-regulated epigenome and fewer resources are dedicated to its  
350 maintenance, the number of unrepaired spontaneous epigenome mutations will increase with age (Chambers et al., 2007),  
351 **9**. This, combined with the downregulation of conventional DNA damage repair mechanisms (Beerman et al., 2014; Zhang  
352 et al., 2010), contributes to an ever-increasing risk of developing cancer (Hansen et al., 2011), as seen with advancing age  
353 (American Cancer Society, 2013).

354 Inevitably, the result of cellular component-level degradation will be compromised cellular performance, **10**—albeit less  
 355 overall performance loss than if the damage had not been apportioned. This loss in cellular performance will lead to a  
 356 concomitant loss in performance of the macro structures that they constitute: tissues, **11**, organs and the overall organism.  
 357 Cells will also be compromised in their ability to perform specialized functions—leading to inflammation, compromised  
 358 immune function and increased susceptibility to disease.

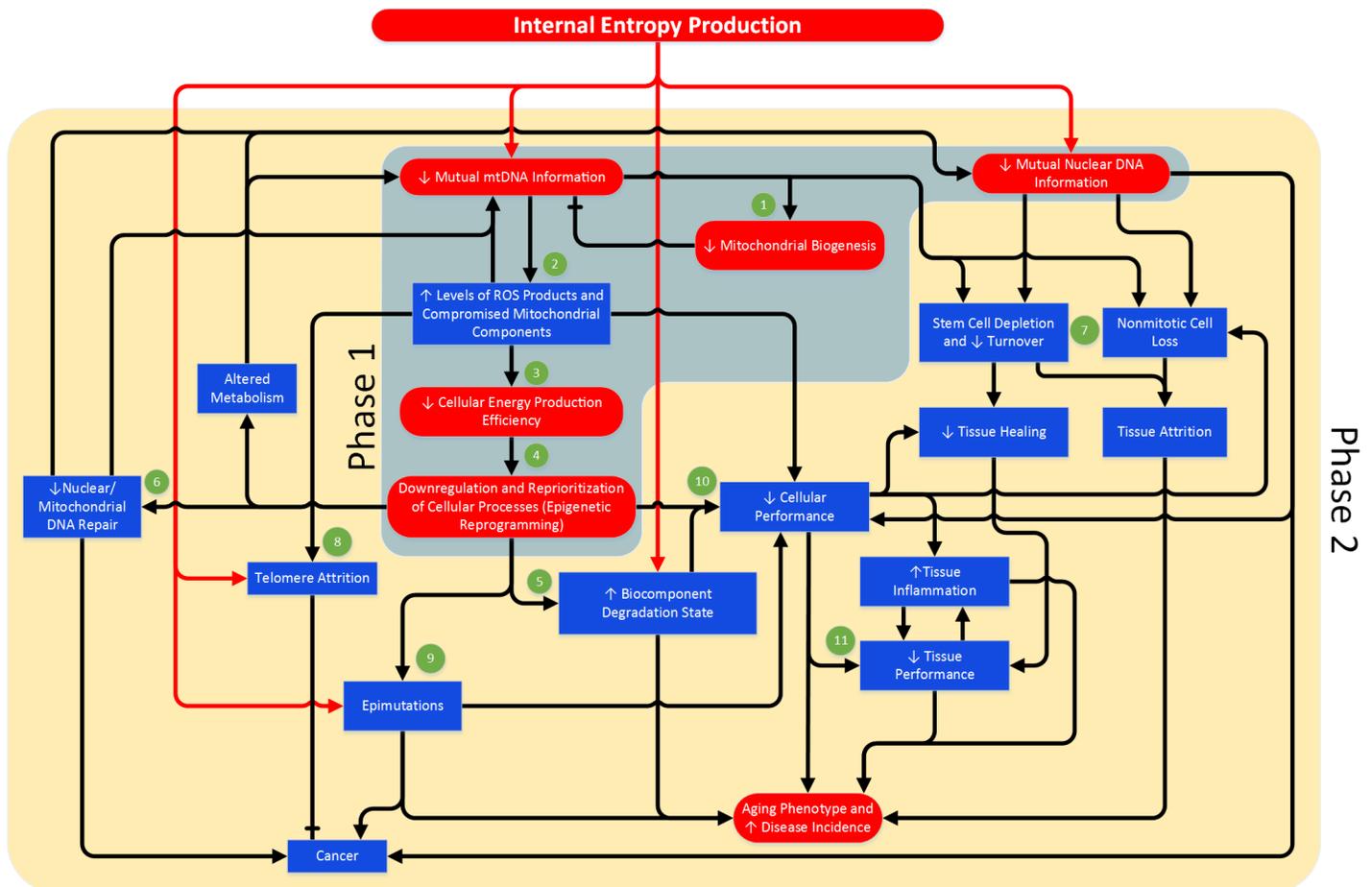


Fig. 11. A more detailed look at the higher-level interactions implicated in this theory during the progression of the aging phenotype. The red lines are used to highlight where the degradative effects of internal entropy production are exerted. Bold numbers in section 8 text refer to this figure.

## 359 9 Connecting the Dots

### 360 9.1 Differentiating between Longevity Determinants and Longevity Optimizers

361 It is proposed here that two groups of factors contribute to the intrinsic longevity of a species: 1) longevity determinants  
 362 and 2) longevity optimizers. The first term has been utilized in previous literature but the definition used here is somewhat  
 363 different. It is important to differentiate between these distinct, but occasionally overlapping, groups. Longevity  
 364 determinants are defined as factors that directly or indirectly specify or influence the basal rate of loss of fidelity in any  
 365 biocomponent (biomolecule, organ, tissue, etc.) susceptible to irreversible fidelity loss (such as DNA). This is accomplished  
 366 either by manipulation of the magnitude of the thermodynamic forces affecting these structures, the level of redundancy,  
 367 replication rate, or by influencing the ability of said biocomponents to resist the thermodynamic forces present in their  
 368 microenvironments. The genetic arrangements that ultimately determine an organism's basal longevity are driven by  
 369 fundamental physical law and evolutionary factors, and are further contingent on the exact environment and  
 370 environmental interaction factors in which the species exists (Fig. 12). Every genetic factor that specifies a phenotypic  
 371 characteristic that influences the basal rate of aging can be viewed as a longevity determinant, as can the phenotypic  
 372 characteristics themselves. Some of the macro-level characteristics that may be classified as longevity determinants are

373 peak biological power density, physical size, athletic ability, and metabolic rate. At the micro-level, longevity determinants  
374 may include: stem cell reserves, membrane composition, biomolecular performance, biomolecular stability, degree of  
375 genetic redundancy within DNA molecules, and the thermodynamic potentials on biocomponents subject to irreversible  
376 fidelity loss. Environmental determinants of species basal longevity include temperature/climate, resource availability  
377 (food, oxygen, etc.), predation pressure and other factors that mandate tradeoffs between fecundity/mortality and  
378 longevity. Survival strategies, and behavior in general, can also influence basal longevity by providing competitive  
379 advantages that result in reduced negative repercussions associated with characteristics that serve a role in longevity  
380 determination.

381 A number of the relationships between longevity determinants and basal longevity across species can be represented with  
382 simple mathematic formulae. For example, the general relationships between longevity and body mass, and longevity and  
383 metabolic rate, are well characterized. At the micro-level, relationships between membrane composition and longevity  
384 are well known. Due to the fact that no single factor alone determines longevity in an organism, it is not surprising that  
385 these relationships are unable to hold invariably across taxa.

386 In contrast to a longevity determinant, a longevity optimizer is any genetic element that increases basal longevity by  
387 contributing towards an effect that generally becomes progressively more dominant over an organism's lifespan and  
388 effectively delays the severity, or rate of progression, of the aged phenotype. As an individual ages, longevity optimizers  
389 reallocate resources and alter physiology such that the organism's overall state maximizes instantaneous survival rate and  
390 fecundity at all ages. In summary, longevity determinants define an organism's basal longevity while longevity optimizers  
391 seek to further maximize longevity through dynamic adjustments during the aging process which ultimately serve to  
392 balance the aging phenotype.

393 Typically, the putative "aging pathways/genes"<sup>8</sup> have been branded as such because they were found to contain genetic  
394 elements which, when altered, modulated longevity in some model organism (often of low complexity, e.g. fruit fly or  
395 nematode) or produced a distinct effect on a characteristic(s) typically associated with the aging phenotype. The problem  
396 with this method of identifying aging pathways/genes is that it fails to incorporate, and does little to elucidate, many of  
397 the high-level factors that are likely involved in the determination of organismal longevity. Observations of singular  
398 connections between genetic elements and particular phenotypes demonstrates only that the gene is responsible for  
399 modulating those characteristics—it should not imply that the gene is responsible for aging, nor does it necessarily reveal  
400 anything about the aging process.

401 I posit that the current catalog of putative aging pathways/genes fails to include a large number of genetic elements  
402 involved in determining longevity; even worse, this has directed research focus away from the high-level factors that are  
403 truly important. For example, physical size implies physiological limits on peak biological power density and metabolism  
404 that must be balanced with other factors affecting longevity. Yet, despite this and the clear allometry of longevity across  
405 species, the genetic elements specifying physical size, and aspects of physiology related to physical size, are not generally  
406 considered to be longevity determinants. The logic commonly utilized to identify so-called aging pathways/genes diverts  
407 attention from more overriding principles that may help to explain the differences in longevity between species and  
408 instead focuses on individual components—obfuscating the true relevance of any particular factor to the overall process  
409 of aging. For these reasons, I believe that the current putative aging pathways/genes represent, at best, a grossly  
410 incomplete set of the factors truly relevant to longevity determination.

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<sup>8</sup> As I subscribe to the belief that aging is a chance-driven catabolic process rather than a genetically-engrained behavior (Hayflick, 2007a; 2007b), I view the terms "aging pathways" and "aging genes" as misnomers. I use these terms here only to make reference to current literature. "Longevity determinants" and "longevity optimizers" are more appropriate terms for these factors, and this is used when referring to concepts discussed in this paper.

411 The framework proposed here attempts to establish a hierarchy to aid in the identification and categorization of the actors  
412 involved in the determination of species longevity. Longevity determinants are considered separately from the forces that  
413 drive aging (fundamental physical law and evolutionary theory) (Fig. 12). The subdivision of longevity determinants into  
414 genotypic, phenotypic, and environmental elements allows for a clearer depiction of the interplay between the drivers  
415 and these different factors. Longevity optimizers are classified into a separate group as well. Although these elements also  
416 affect longevity (they could be thought of as “secondary” longevity determinants), considering the factors specifying basal  
417 longevity separately from those that dynamically optimize the aging process brings further conceptual clarity to the theory  
418 of aging presented here.

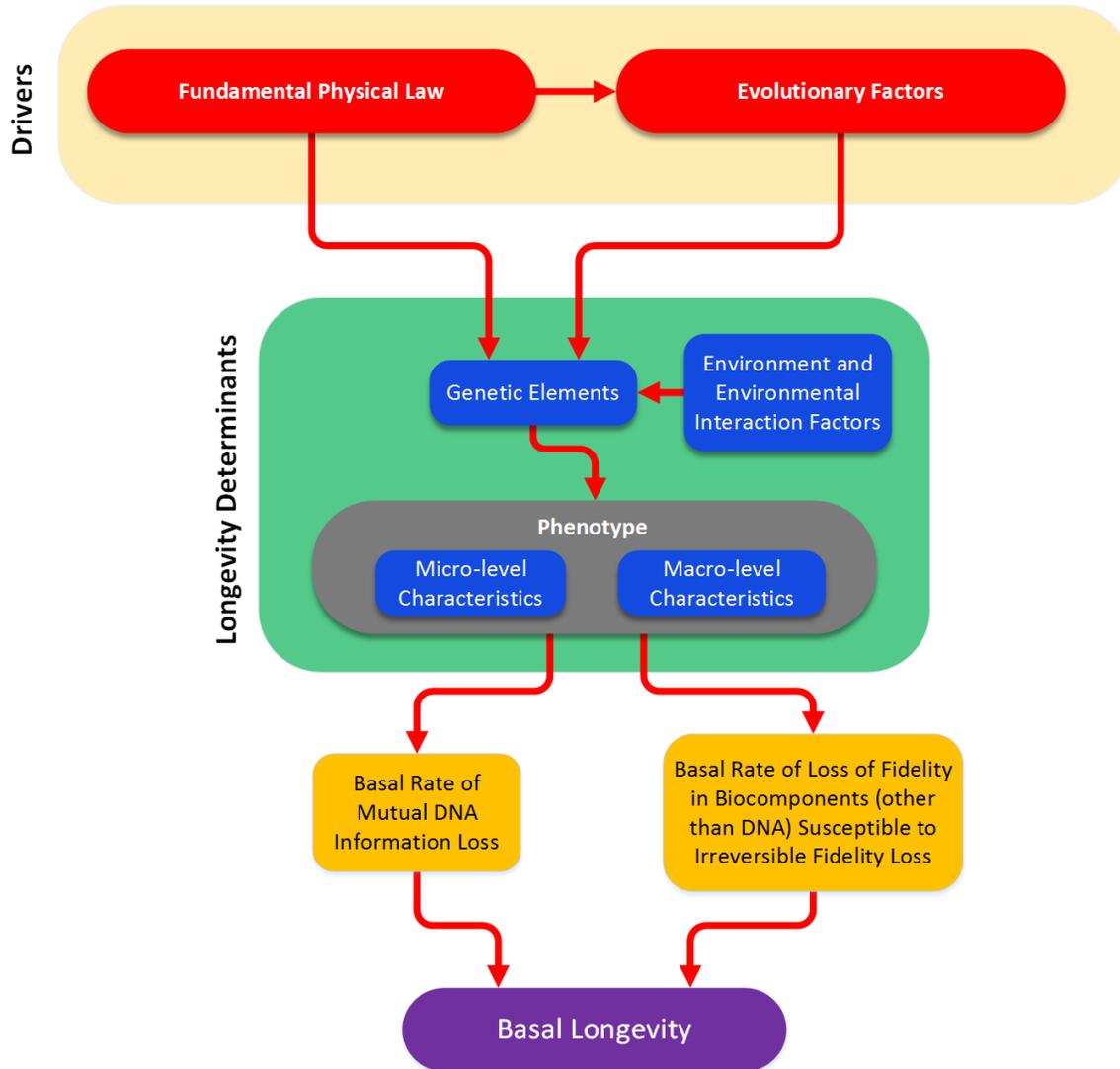


Fig. 12. The proposed relationship between longevity determinants, the root causes of aging (explained by fundamental physical law and evolutionary theory) and basal longevity in multicellular organisms.

419

## 9.2 The Number of Genetic Elements Serving as Longevity Determinants/Optimizers Likely Correlates Positively with Organismal Complexity

It follows from the previous discussion that highly complex organisms would be expected to possess a larger number of longevity determinants and optimizers compared to less complex organisms. The reasons for this are fairly intuitive and can be explained by considering the characteristics implicated in increasing organismal complexity. Complex organisms are likely to have more cell and tissue types and to have increased specialization in these structures. Cellular interactions are more numerous and more sophisticated. Signaling pathways and their associated biomolecules, though often highly conserved, may utilize additional component derivatives to increase complexity. Due to this sophistication, more complex organisms will tend to have an increased number of opportunities for problems to occur. Complex organisms require additional “protective” mechanisms to temper this increase in vulnerability, further contributing to increased organismal complexity.

As an individual ages, functional deficits will eventually become unavoidable. The repair and replacement of biocomponents less vital to survival or preservation of fecundity may be deprioritized before more vital biocomponents. To minimize the severity of the aging phenotype in an older individual, alterations in resource allocation must be continuous and dynamic, and other physiological adjustments must also occur to effectively prioritize the functionality of those biocomponents most critical to survival. For these reasons, even simple organisms could conceivably display a relatively high level of sophistication in their longevity optimization pathways.

In organisms with a greater number of biocomponents and potential interactions, additional corrective factors must exist to manage these elements and provide an ideal configuration during all phases of aging. Furthermore, these adjustment mechanisms must also allow for a variable and dynamic response, in accordance with an organism’s current state of degradation. This logic suggests that the number of longevity determinants and optimizers should, in general, positively correlate with organismal complexity across species. The FOXO subfamily of forkhead transcriptions factors is a core player in one such conserved pathway that is implicated in longevity, but shows variation in its sophistication (Calnan and Brunet, 2008). While invertebrates have only one *FoxO* gene, there are four *FoxO* family members in mammals, each with distinct but occasionally overlapping functions. *Sirtuins* are another gene family implicated in longevity determination that demonstrate significantly greater diversification in mammals compared to invertebrates.

If there are indeed a greater number of longevity determinants and optimizers in more complex organisms, then it follows that significant longevity increases in complex organisms would require manipulation of a multitude of longevity determinants and, likely, alterations to longevity optimization pathways. On the other hand, this may explain how the longevity of simple organisms might benefit significantly from manipulation of only one or a few longevity determinants. Examination of conserved pathways known to be related to longevity support this hypothesis. In invertebrates, insulin-like growth factor 1 (IGF-1) and insulin bind to a single receptor, whereas in mammals distinct receptors are used for IGF-1 and insulin. Specific mutations in this receptor can reduce metabolism and greatly increase longevity in *Caenorhabditis elegans* (Kenyon et al., 1993; Kenyon, 2010) while longevity increases in the more complex *Drosophila melanogaster* are much lower (Tatar et al., 2001). Manipulation of the IGF-1 and insulin pathways in mice imparts only a modest increase in longevity (Blüher et al., 2003; Selman et al., 2008). These observations illustrate the relationship between organismal complexity and the increasingly multifactorial nature of longevity determination.

## 9.3 The Rigidity of Longevity

Beyond the supposition that significant longevity increases in more complex organisms are likely to require manipulation of considerably more elements than in simpler organisms, physiological barriers also constrain the longevity possibilities. It may be possible to assess the plasticity, or rigidity, of longevity for a particular species by these two factors: complexity and physiology. Selective pressures have led to highly optimized physiology, based on compromises between other factors affecting fitness (peak biological power density, physical size, etc.). Consider the potential implications of lowering the mass-specific metabolic rate of a mouse to 1/8<sup>th</sup> its normal rate (approximately that of a human). The physiology of a murine heart is appropriate for the level of performance of the individual murine cardiomyocytes that it is comprised of

465 and for the demands of a mouse body. Reducing the metabolic rate by such a large amount would likely mandate a loss  
466 in the peak biological power density of cardiomyocytes. These cardiomyocytes would be less able to generate the  
467 contractile forces necessary to counter the energy dissipation inherent to the murine circulatory system and hence blood  
468 circulation may be insufficient for sustaining life. Only with fundamental changes to the configuration of the vasculature,  
469 which would require additional genomic alterations, could this energy dissipation factor be reduced. Yet, even with a  
470 configuration optimized for efficiency over performance, viable metabolic rates will be constrained to those values  
471 capable of satisfying certain physical requirements. Governing models derived from principles of fluid dynamics have been  
472 proposed (West et al., 1997; West and Brown, 2005) that provide an example of this type of phenomenon. Although the  
473 circulatory system is perhaps the easiest example to conceptualize, there are many other potential negative physiological  
474 implications of manipulating singular longevity determinants such as metabolism. A number of other tissues would be  
475 similarly affected in this scenario, such as the liver and brain. This logic also offers an additional reason for why the  
476 aforementioned metabolic manipulations found to increase longevity in simple model organisms do not translate well to  
477 more complex organisms. While such modifications are well tolerated by organisms such as *Caenorhabditis elegans*, which  
478 evidently remain viable at greatly reduced metabolic rates, comparable changes to metabolic rate in mammals are likely  
479 to render the organism nonviable. It should also be noted that, even in *Caenorhabditis elegans*, reducing metabolic rate  
480 via genetic manipulation likely lowers fitness. This fitness cost would explain why *Caenorhabditis elegans* has not evolved  
481 to incorporate such changes in their genome; the tradeoff between increased longevity and other factors affecting fitness  
482 is not sufficient to select for these changes.

483 For these reasons I hypothesize that longevity exhibits a degree of rigidity that increases with organismal complexity and  
484 is also closely tied to physiology. If accurate, this highlights the naivety of longevity extension efforts to identify and  
485 manipulate genes that could significantly increase human longevity without compromising health or performance, and  
486 the futility of attempting to use simple “model” organisms such as *Caenorhabditis elegans* as the vehicle for such efforts.

## 487 10 Summary and Conclusions

488 Aging is the greatest risk factor for severe pathology. Yet, most “aging research” is focused on age-associated pathologies,  
489 rather than the fundamental biology of aging (Hayflick, 2000; 2007b). Because of this, and despite the fact that a number  
490 of pathways related to longevity have been identified and elucidated, it could be strongly argued that scientists today  
491 know little more about the true reasons for aging than was known 50 years ago. This is exemplified by the lack of any  
492 consensus theory of aging. Even worse, despite the continued accumulation of serious anomalies challenging common  
493 aging theories, the scientific community remains complacent. The multitude of aging theories, the discontinuities between  
494 them, and the failure of the scientific community to agree on the root causes of aging, while disappointing, represents a  
495 clear opportunity to revisit this problem with a multidisciplinary and somewhat radical approach.

496 In many aging theories proposed during the last half century, evolutionary theory has been relied on heavily, and often  
497 singularly, to explain aging. Yet, an evolutionary-based model of senescence that does not incorporate, or at least consider,  
498 more fundamental physical law (e.g. physics) is incomplete. Previous attempts to undermine the relevance and  
499 importance of thermodynamics in aging (Mitteldorf, 2010) are misguided, as they fail to recognize and accept the impact  
500 of the second law on mutual information flow within an organism. Nonequilibrium thermodynamic theory stipulates that  
501 biomolecules will suffer degradative insults with time. DNA molecules cannot escape the inevitability that some of these  
502 insults will result in changes to the genetic sequence. As it is not possible to select for only neutral or advantageous  
503 configurations in the individual, the loss of mutual DNA information requires that the viability of an individual must  
504 eventually decrease after some period of time unless the individual dies first. The species is also susceptible to the loss of  
505 mutual DNA information but through selection is able to preserve species fitness. In any case, mutual DNA information  
506 cannot be retained indefinitely in either the species or in individuals.

507 Although evolutionary theory predicts that senescence will arise inevitably due to declining selective pressure with age  
508 (Hamilton, 1966), this is redundant for aging to occur. Furthermore, blanket acceptance of declining selective pressure as  
509 the sole cause of aging is a logical fallacy (converse error). The rate at which mutual DNA information is lost in an individual

510 can clearly be impacted by factors that otherwise affect species fitness. This is most easily conceptualized by examining  
511 the flow of genetic information through the individual cells of an organism and considering those factors that may increase  
512 or decrease the probability of an irreversible insult occurring to a DNA molecule. The predicted effect of some of these  
513 factors (many of which can be described by allometric trends) on the rate of mutual DNA information loss suggests the  
514 presence of a negative correlation between the rate of mutual DNA information loss and longevity. For this reason, it is  
515 reasonable to propose that the loss of mutual DNA information may be a more critical determinant of longevity than  
516 declining selective pressure in many organisms.

517 Living organisms are nonequilibrium systems. As such, they are constantly producing internal entropy which leads to  
518 biomolecular degradation. This degradation must be continually countered in order to prevent structure from quickly  
519 deteriorating to a nonviable state. It is not possible to attain ideal biomolecular performance (a degradation state of zero)  
520 in any population of biomolecules. The degradation state of a biomolecular ensemble is determined in part by the rate at  
521 which degraded molecules are replaced and damage is repaired. Biomolecular degradation state also depends on how  
522 well biomolecules resist thermodynamic potentials and the magnitude of the potentials themselves—as stipulated by  
523 biomolecular structure, the microenvironment and the time distribution between the high thermodynamic potential  
524 “active” molecular state and the resting state. These parameters are specified by an organism’s genotype and may vary  
525 considerably between organisms.

526 Aging is perceived to commence when an individual is no longer able to maintain a youthful steady state—although  
527 individuals actually begin to lose mutual DNA information at conception. Biomolecular and cellular turnover rate alone  
528 cannot explain why youthful steady-state homeostasis is lost. An obvious vulnerability lies in any biocomponent that lacks  
529 renewal capacity. Given the short half-life of most biomolecules other than DNA, those that can be expressed are unlikely  
530 to be the vulnerable factors in organisms with at least moderate longevity. As DNA molecules are the information-  
531 containing biomolecules encoding all other biomolecules, the absolute integrity of the information in DNA molecules  
532 would have to be retained universally within an individual to avoid a shift from the existing steady state. This, of course,  
533 is not possible. Cellular pooling (via stem cells) increases DNA information redundancy and must be scaled appropriately  
534 within an organism to ensure that mutual DNA information is preserved for a sufficiently long period of time and at  
535 adequate levels to satisfy the longevity requirements of the organism. With age, undersized stem-cell pools would result  
536 in progenitor cells that prematurely reach the Hayflick limit (Hayflick and Moorhead, 1961) and/or stem cells with  
537 excessive losses in mutual DNA information.

538 Increased biomolecular activity results in a higher rate of loss of mutual DNA information due to increased exposure of  
539 DNA molecules to the high thermodynamic stress conditions of replication and an increased rate of clonal expansion of  
540 compromised DNA molecules. This affects intracellular pools of mtDNA, as well as the fidelity of nuclear DNA. The loss of  
541 mutual DNA information will consume redundancy until a pathological phenotype is unavoidable. There are fitness  
542 tradeoffs associated with the establishment and preservation of information redundancy. Organisms must establish a  
543 balance such that their internal entropy management strategy is sufficient to preserve mutual DNA information for an  
544 adequate period of time—to meet the longevity requirements of the organism—but not so burdensome as to lower  
545 fitness. This balance is, of course, represented by a species’ evolved genotype.

546 It is an interesting observation that organisms with shorter longevity expend more energy on biomolecular repair and  
547 replacement. Although this is the precise opposite of what the disposable soma theory of aging would predict, it is, in fact,  
548 quite expected and straightforward to explain. Internal entropy production is higher in organisms with shorter longevity  
549 due to their increased peak biological power density; this necessitates a greater rate of negative entropy production,  
550 which is represented by upregulated biomolecular repair and replacement. A consequence of the increased metabolic  
551 activity required to achieve this is that higher thermodynamic stress is placed on DNA molecules (largely through increased  
552 replication rates)—resulting in an increase in the rate of loss of mutual DNA information and reduced longevity. The  
553 establishment of a link between peak biological power density, metabolism, and longevity may help to explain the  
554 existence of many of the species longevity trends that have been found to describe metazoans. The basic premise of the

555 disposable soma theory is thus fatally flawed and should be rejected—longevity is not positively correlated with the  
556 proportion of energy directed towards repair and replacement, but rather is likely largely determined by an organism’s  
557 overall entropy management strategy.

558 Longevity determinants are encoded within the genome; however, genes are not the only longevity determinants. The  
559 phenotypic characteristics represented by those genetic elements should also be considered longevity determinants.  
560 Phenotype is contingent on the environment and environmental interaction factors, and is constrained, defined, and  
561 driven by fundamental physical law and evolutionary factors (Fig. 12). The relationship between these drivers and  
562 phenotype is the fundamental core of a theoretical framework that may help to explain why organisms age and why  
563 longevity varies between species as it does. This thinking is quite a departure from the current mainstream approach,  
564 which focuses on establishing direct relationships between particular genes and their observable effects on the aging  
565 phenotype—leading to short-sighted conclusions of cause and effect that reveal very little of the true essence of  
566 organismal aging.

567 I propose that the putative aging pathways are also involved in molding the progression of the aging phenotype. As mutual  
568 DNA information loss escalates to the point where youthful homeostasis can no longer be preserved, longevity optimizers  
569 adjust the configuration of an organism to lessen the effects of irreversible fidelity loss on the viability of the individual.  
570 While longevity optimizers may be an important component of an organism’s entropy management strategy, it is useful  
571 to view these factors as separate from the primary longevity determinants because their mode of action is distinct.

572 If managed deterioration, as described here, is a true component of the aging process, then there is little doubt that  
573 scientists are drawing some incorrect conclusions from studies focused on manipulation of the aging phenotype. The  
574 *retrospective* approach taken by most scientists to demystifying aging, where the end results are studied and one attempts  
575 to work backwards to establish causality, has virtually no chance of success. Manipulation of many of the genetic elements  
576 implicated in longevity can indeed alter certain aspects of the aging phenotype. Yet, the complexity of the aging phenotype  
577 and the many intercorrelations between longevity determinants and optimizers make it very difficult to draw meaningful  
578 inferences towards the true causes of aging, absent a solid theoretical framework of the aging process. Instead, this  
579 approach will lead to incorrect presumptions regarding the culpability of a particular factor as a root-level longevity  
580 determinant or “cause” of aging. A *prospective* approach is required to both recognize the possibility of the presence of  
581 managed deterioration and to understand and properly interpret experimental results involving longevity determinants  
582 and optimizers.

583 While evolutionary theory alone cannot explain aging, neither can other theories that approach this problem from a  
584 singular field of study—a multidisciplinary approach is required. The theoretical framework discussed here utilizes  
585 concepts from physics, information theory, as well as evolutionary theory. This theory differs from most others in that it  
586 does not ask, “what could possibly go wrong?” but rather, “what will inevitably go wrong and why?” While the theory put  
587 forth here is well supported by the findings of others in diverse fields, it is admittedly not devoid of speculative  
588 components. Additional data, such as the species differences in mitobiogenesis rates, would bring better clarity to  
589 important questions that remain and would be very helpful in refining the argument presented here.

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