

1 **How transposons drive evolution of virulence in a fungal pathogen**

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22 **Abstract**

23 Genomic plasticity enables adaptation to changing environments, which is especially relevant
24 for pathogens that engage in arms races with their hosts. In many pathogens, genes
25 mediating aggressiveness cluster in highly variable, transposon-rich, physically distinct
26 genomic compartments. However, understanding of the evolution of these compartments,
27 and the role of transposons therein, remains limited. We now show that transposons are the
28 major driving force for adaptive genome evolution in the fungal plant pathogen *Verticillium*
29 *dahliae*. Highly variable genomic regions evolved by frequent segmental duplications
30 mediated by erroneous homologous recombination, often utilizing transposons, leading to
31 genetic material that is free to diverge. Intriguingly, the duplicated regions are enriched in
32 active transposons that further contribute to local genome plasticity. Thus, we provide
33 evidence for genome shaping by transposons, both in an active and passive manner, which
34 impacts the evolution of pathogen aggressiveness.

35 **Introduction**

36 Genomic plasticity enables organisms to adapt to environmental changes and occupy novel
37 niches. While such adaptation occurs in any organism, it is particularly relevant for
38 pathogens that engage in co-evolutionary arms races with their hosts (Raffaele and Kamoun
39 2012; Seidl and Thomma 2014; Dong et al. 2015). In these interactions, hosts utilize their
40 surveillance system to detect invaders and mount appropriate defenses, which involves
41 detection of invasion patterns by immune receptors, while pathogens secrete so-called
42 effector molecules that support host colonization to counteract these immune responses
43 (Rovenich et al. 2014; Cook et al. 2015). The tight interaction, in which the microbe tries to
44 establish a symbiosis that the host tries to prevent, exerts strong selection pressure on both
45 partners and incites rapid genomic diversification (McDonald and Linde 2002).

46 Sexual reproduction is an important mechanism to establish genotypic diversity by
47 combining genetic information of two parental lineages, followed by meiotic recombination
48 leading to novel combinations of existing alleles (McDonald and Linde 2002; de Visser and
49 Elena 2007; Heitman 2010; Speijer et al. 2015). However, not all eukaryotes regularly
50 reproduce sexually, including many fungal phyla that are thought to reproduce mainly
51 asexually (Heitman et al. 2007; Flot et al. 2013). However, also in such asexual organisms
52 adaptive genome evolution occurs, which is mediated by various mechanisms ranging from
53 single-nucleotide polymorphisms to large-scale structural variations that can affect
54 chromosomal shape, organization and gene content (Seidl and Thomma 2014). *Verticillium*
55 *dahliae* is a soil-borne fungal pathogen that infects susceptible hosts through their roots and
56 colonizes the water-conducting xylem vessels, leading to vascular wilt disease (Fradin and
57 Thomma 2006). Despite its presumed asexual nature, *V. dahliae* is a highly successful
58 pathogen that causes disease on hundreds of plant hosts (Fradin and Thomma 2006;
59 Klosterman et al. 2009; Inderbitzin and Subbarao 2014). *Verticillium* wilt diseases are difficult
60 to control due to the long viability of fungal resting structures in the soil, the broad host range
61 of the pathogen, and inability of fungicides to eliminate the pathogen from infected xylem
62 tissues. Moreover, little genetic resistance is available (Fradin and Thomma 2006). Thus,

63 novel methods for disease control are urgently required, which requires increased
64 understanding of the biology of the fungus in its interaction with host plants (Fradin and
65 Thomma 2006).

66 Using comparative genomics, we recently identified genomic rearrangements in *V.*
67 *dahliae* that lead to extensive chromosomal length polymorphisms between strains (de
68 Jonge et al. 2013). Moreover, the genomes of *V. dahliae* strains were found to contain highly
69 dynamic, repeat-rich regions that are only present in subsets of the *V. dahliae* population,
70 and thus have been referred to as lineage-specific (LS) (Klosterman et al. 2011; de Jonge et
71 al. 2013). Intriguingly, LS regions are enriched for *in planta*-induced effector genes that
72 contribute to fungal virulence (de Jonge et al. 2013). Therefore, it was hypothesized that
73 elevated genomic plasticity in LS regions contributes to diversification of effector repertoires
74 of *V. dahliae* lineages and thus mediates their aggressiveness (de Jonge et al. 2013; Seidl
75 and Thomma 2014). In a similar fashion, many filamentous pathogens evolved so-called
76 ‘two-speed’ genomes with gene-rich, repeat-poor genomic compartments that contain core
77 genes that mediate general physiology and evolve slowly, whereas plastic, gene-poor and
78 repeat-rich compartments are enriched in effector genes that mediate aggressiveness in
79 interactions with host plants and evolve relatively quickly (Raffaele and Kamoun 2012).

80 Plastic, fast-evolving genomic compartments in plant and animal pathogen genomes
81 concern particular regions that are either embedded within the core chromosomes or reside
82 on conditionally dispensable chromosomes (Thon et al. 2006; Fedorova et al. 2008; Haas et
83 al. 2009; Ma et al. 2010; Goodwin et al. 2011; Klosterman et al. 2011; Rouxel et al. 2011; de
84 Jonge et al. 2013). Irrespective of the type of organization of the ‘two-speed’ genome, the
85 fast-evolving compartment is generally enriched for transposable elements (TEs). These
86 elements have been hypothesized to actively promote genomic changes by causing DNA
87 breaks during excision, or by acting as a substrate for rearrangement (Seidl and Thomma
88 2014). Nevertheless, the exact role of TEs in the evolution of effector genes currently
89 remains unknown, as well as the molecular mechanisms and the evolutionary trajectories
90 that govern genome plasticity (Dong et al. 2015). Thus far, in-depth studies of the precise

91 roles of TEs were hampered by fragmented pathogen genome assemblies caused by
92 limitations in sequencing technologies, leading to poor assemblies of repeat-rich areas
93 particularly (Faino et al. 2015; Thomma et al. 2015). We recently obtained gapless *V. dahliae*
94 whole-genome assemblies using a combination of long-read sequencing and optical
95 mapping (Faino et al. 2015). Here, we exploit these novel assemblies for in-depth
96 investigation into the molecular mechanisms that are responsible for genomic variability, and
97 are instrumental for adaptive genome evolution in the plant pathogen *V. dahliae*.

98 **Results**

99 *Genomic rearrangements in Verticillium dahliae are associated with extensive sequence*
100 *similarity*

101 To investigate the molecular mechanisms that underlie genomic rearrangements in *V.*
102 *dahliae*, we performed whole-genome alignments between strains JR2 and VdLs17 (Faino et
103 al. 2015), revealing 24 synteny disruptions (Fig.1A; Supplemental Fig. 1). To further increase
104 the resolution of these synteny breakpoints, we subsequently aligned long (average ~9 kb)
105 sequencing reads derived from strain VdLs17 (Faino et al. 2015) to the completed, gapless
106 assembly of *V. dahliae* strain JR2, and reads of which the two sides aligned to two distinct
107 genomic locations were used to determine breakpoints at high-resolution (Supplemental Fig.
108 2). After manual refinement, this procedure yielded 19 large-scale chromosomal
109 rearrangements, 13 of which concern inter-chromosomal translocations and 6 concern intra-
110 chromosomal inversions (Fig. 1; Table 1). Of these 19 rearrangements, seven were confined
111 to regions smaller than 100 bp (Table 1). The remaining 12 genomic rearrangements, in
112 particular intra-chromosomal inversions, concern to larger genomic regions that could not be
113 further refined due to the presence of strain-specific and/or repeat-rich regions (Fig. 1B).

114 We subsequently assessed the occurrence of the 13 inter-chromosomal
115 rearrangements in nine other *V. dahliae* strains by querying paired-end reads derived from
116 these genomes for pairs of discordantly mapped reads (i.e. both reads fail to map at the
117 expected distance and/or location) when mapped onto the assembly of *V. dahliae* strain JR2
118 (Supplemental Fig. 3). This analysis revealed distinct rearrangement patterns among various
119 strains (Supplemental Fig. 3B). For example, while some synteny breakpoints identified in *V.*
120 *dahliae* strain JR2 are either specific to *V. dahliae* strain VdLs7 (Chr1: 2,843,014-2,843,020;
121 Supplemental Fig. 4A) or common to all other *V. dahliae* strains (Chr1: 8,013,865-8,013,868;
122 Supplemental Fig. 4B), some are observed in only a subset of *V. dahliae* strains (Chr3:
123 3,130,776-3,130,781; Supplemental Fig. 4C). Thus, genomic rearrangements commonly
124 occur in different *V. dahliae* strains, suggesting a common mechanism driving their
125 formation.

126 Genomic rearrangements are generally caused by double-strand DNA breakages
127 followed by unfaithful repair by DNA repair mechanisms that utilize homologous sequences
128 to repair such breaks (Hedges and Deiningner 2007; Krejci et al. 2012; Seidl and Thomma
129 2014). Repetitive genomic elements, such as transposable elements (TEs), may give rise to
130 genomic rearrangements by providing an ectopic substrate that interferes with faithful repair
131 of the original break (Hedges and Deiningner 2007; Seidl and Thomma 2014). Notably, out of
132 19 chromosomal rearrangements identified in *V. dahliae* strain JR2, 15 co-localize with a
133 repetitive element (Table 1; Supplemental Table 1). However, even though genomic
134 rearrangements in *V. dahliae* were previously associated with LTR-type retrotransposons (de
135 Jonge et al. 2013), we were neither able to confirm this association nor systematically
136 associate these structural variations to any other class of repetitive elements when using the
137 completely assembled genomes of *V. dahliae* strains JR2 and VdLs17 (Faino et al. 2015)
138 (Table 1). To understand the mechanisms that contributed to their formation, we studied the
139 13 inter-chromosomal rearrangements between *V. dahliae* strain JR2 and VdLs17 in more
140 detail. Of these 13 rearrangements, 12 could be reconstructed in detail (Fig. 1B; Table 1;
141 Supplemental Fig. 5). Eight of these 12 syntenic breakpoints occur over highly similar TEs in
142 both strains, albeit that they belong to different TE families (Fig. 1C; Table 1; Supplemental
143 Fig. 5). Closer inspection of the four remaining breakpoints revealed a TE at two of the
144 breakpoints in *V. dahliae* strain VdLs17, while this TE was absent at those breakpoints in the
145 JR2 strain. For the final two breakpoints no association to a TE was found in either strain, but
146 extended sequence similarity surrounding the rearrangement site was identified (Fig. 1C).
147 Therefore, we conclude that not necessarily TEs or their activity, but rather stretches of
148 sequence similarity are associated with ectopic chromosomal rearrangements in *V. dahliae*,
149 likely mediating unfaithful homology-based DNA repair. Since TEs are more abundant
150 compared to other (types of) sequences, these are more likely to become substrate for
151 double-strand repair pathways.

152 *Lineage-specific genomic regions in Verticillium dahliae evolved by segmental genomic*
153 *duplications*

154 Chromosomal rearrangements can lead to ectopic insertions, duplications or deletions of
155 genomic material, which play relevant roles in pathogen evolution during the arms race with
156 host plants (Seidl and Thomma 2014). Whole-genome alignments between *V. dahliae* strains
157 JR2 and VdLs17 revealed four large (>10 kb) repeat-rich genomic regions that do not display
158 synteny between the strains (Supplemental Fig. 6; Supplemental Table 2), suggesting that at
159 least part of the genomic material is absent in the respective other *V. dahliae* strain and
160 indicating extensive differential gene loss at these specific genomic locations. Moreover,
161 mapping of reads from nine additional strains (de Jonge et al. 2012) onto the JR2 and
162 VdLs17 assemblies revealed absence of read coverage mainly at their respective LS regions
163 (Supplemental Fig. 7). Notably, several inter-chromosomal rearrangements co-localize with
164 these LS regions (Fig. 1A), suggesting that genomic rearrangements contribute to the
165 formation of LS regions.

166 Chromosomal rearrangements not only lead to deletion of genetic material, e.g. in LS
167 regions (Fig. 1A), but also foster genomic duplications (Seidl and Thomma 2014). Even
168 though duplicated genes have previously been observed in LS regions of *V. dahliae*
169 (Klosterman et al. 2011), the extent of such duplications and their role in the evolution of LS
170 regions remains unknown. To determine the extent of segmental duplications in LS regions,
171 we employed two approaches. First, we performed whole-genome nucleotide alignments of
172 *V. dahliae* strain JR2 to itself to identify highly similar (>80% identity), large-scale duplication
173 events (Fig. 2A). Unanticipated, the vast majority of highly similar large-scale duplications
174 occurs within LS regions, while only very few of such duplications occur outside these
175 regions (Fig. 2A). Second, we performed homology detection between protein-coding genes
176 in *V. dahliae* strain JR2, establishing a set of ~1,000 paralogous sequences (Fig. 2B).
177 Notably, 40% of the 418 genes located in LS regions have a paralog (Fig. 2B), which is a
178 4.5x enrichment when compared to the core genome where only 7% of the ~11,000 genes

179 has a paralog (hypergeometric test; $p = 1.31 \times 10^{-69}$). Therefore, duplications of genomic
180 material are instrumental for the constitution of LS regions in *V. dahliae* (Fig. 2B).

181 The high level of similarity between sequences located at LS regions (Fig. 2A)
182 suggests that the duplications occurred rather recently. To firmly establish when these
183 duplications occurred during the evolution of *V. dahliae*, we used the rate of synonymous
184 substitutions per synonymous site (K_s) between paralogous gene pairs as a proxy for time
185 since these sequences diverged (Fig. 2C). While the K_s distribution of paralogous pairs
186 located in the core genome displays a single peak, indicating a single and distinct period in
187 which the majority of these duplications occurred, the distribution of paralogous pairs where
188 at least one gene is located in the LS regions displays two distinct peaks (Fig. 2C). Notably,
189 the older of the two peaks coincides with the peak observed for the core paralogs (Fig. 2C),
190 indicating that the expansion of core genes and a subset of genes in LS regions occurred in
191 the same period. The additional peak points towards duplications that occurred more recently
192 (Fig. 2C). To place these periods in relation to speciation events, we estimated K_s
193 distributions for orthologous gene pairs between *V. dahliae* strain JR2 and a number of
194 closely related fungi from the taxonomic class of Hypocreomycetidae (Fig. 2D). Within this
195 group of close relatives, the tomato wilt pathogen *Fusarium oxysporum* f.sp. *lycopersici* was
196 the first one to diverge from the last common ancestor, while the most recent split was the
197 divergence of *V. dahliae* strains JR2 and VdLs17 (Fig. 2D). The first duplication period
198 affected both the core genome and LS compartments, and occurred after the divergence of
199 *F. oxysporum* f.sp. *lycopersici*, but before the divergence of *Colletotrichum higginsianum*, the
200 causal agent of anthracnose leaf-spot disease of crucifers (Fig. 2D). Notably, all fungi that
201 diverged after speciation of *F. oxysporum* f.sp. *lycopersici* display a peak in the K_s
202 distribution for paralogous gene pairs at approximately the same period, although *A.*
203 *alcalophilium* shows a less pronounced peak (Fig. 2D). The second duplication event in *V.*
204 *dahliae* strain JR2 that specifically concerned genes located at LS regions occurred much
205 more recently, after the speciation of *Verticillium alfalfae* (Fig. 2D). As it was previously
206 shown that genes in the LS regions are particularly relevant for pathogen aggressiveness in

207 *V. dahliae* (de Jonge et al. 2013), this suggests that recent gene duplications are contributing
208 to the evolution of aggressiveness.

209 The recent duplications that affected LS regions have generated raw genetic material
210 that can be subject to subsequent rapid evolutionary diversification, leading to novel or
211 altered gene functionality, but can also be subject to differential loss of one of the duplicated
212 gene copies (Fig. 3A; Supplemental Fig. 8). In general, LS regions in *V. dahliae* strain JR2
213 display considerable gene loss, since for ~100 of the ~400 genes located in LS regions no
214 ortholog could be detected in *V. dahliae* strain VdLs17. For example, a repeat-rich, ~100 kb
215 LS region that contains ~20 genes that duplicated on chromosome 2 displays loss of multiple
216 genes (Fig. 3A; Supplemental Fig. 8), a process that is similarly observed in VdLs17. Thus,
217 differential gene loss significantly contributed to the diversification of LS regions. To
218 determine if LS regions display signs of increased gene diversification and selection pressure
219 acting on protein-coding genes, we used the rate of nonsynonymous substitutions per
220 nonsynonymous site (Ka) as well as the ratio of Ka to Ks values calculated between
221 orthologous gene pairs of *V. dahliae* strain JR2 and the closest related *Verticillium* species,
222 *V. alfalfae*, as a proxy. Genes located at LS regions display moderately increased Ka values,
223 as well as Ka/Ks values, when compared to genes residing in the core genome (median of
224 0.05 compared to 0.02, and 0.37 compared to 0.17, respectively). While these indicate
225 accelerated sequence divergence of genes located within LS regions, the moderate
226 differences also corroborate previous results (de Jonge et al. 2013; Seidl et al. 2015),
227 suggesting that sequence divergence only plays a minor role in *V. dahliae* genome evolution.

228

229 *The Ave1 effector gene is located in a highly dynamic genomic region*

230 As shown above, highly dynamic LS regions are characterized by frequent gene duplications
231 (Fig. 2), and by differential gene loss (Fig. 3; Supplemental Fig. 8). Moreover, effector genes
232 located in LS regions play decisive roles in pathogen-host interactions (de Jonge et al. 2013).
233 For example, Ave1 is an important LS effector that determines *V. dahliae* aggressiveness on
234 various host plants (de Jonge et al. 2012). As expected in a co-evolutionary arms race

235 (Thomma et al. 2011; Cook et al. 2015), host recognition of this effector evolved as tomato
236 plants that carry the immune receptor *Ve1* recognize this effector to establish immunity
237 (Fradin et al. 2009; de Jonge et al. 2012). Consequently, race 2 strains of *V. dahliae* lost
238 *Ave1*, thus evading recognition by *Ve1*, leading to the capacity to infect *Ve1* tomato plants.

239 In *V. dahliae* strain JR2, *Ave1* is embedded in a gene-sparse and repeat-rich LS
240 region on chromosome 5 (Fig. 3B-E; ~550,000-1,050,000). Notably, the average number of
241 single nucleotide polymorphisms (SNPs) inferred from three race 1 and eight race 2 strains
242 of *V. dahliae* is significantly reduced in the area surrounding the *Ave1* locus (between
243 680,000 and 720,000) when compared with the surrounding genomic regions (Fig. 3B), but
244 also compared to genome-wide SNP levels in LS and core regions (Supplemental Fig. 9). As
245 *Ave1* is a strong contributor to virulence on plants lacking *Ve1* (de Jonge et al. 2012),
246 selection pressure may drive the high level of conservation and lack of SNPs (Fig. 3B) in this
247 region in race 1 strains.

248 Phylogenetic analyses revealed that *Ave1* was likely acquired by *V. dahliae* through
249 horizontal gene transfer from plants (de Jonge et al. 2012). Intriguingly, however, *V. dahliae*
250 race 1 strains do not occur as a monophyletic clade in the *V. dahliae* population
251 (Supplemental Fig. 3A) (de Jonge et al. 2013), and thus it remains unclear how the *Ave1*
252 locus distributed throughout the *V. dahliae* population. To assess if *Ave1* was gained or lost
253 multiple times, we studied the genomic region surrounding the *Ave1* locus in the LS region.
254 As expected, alignments of genome assemblies of multiple *V. dahliae* strains revealed the
255 absence of *Ave1* in all race 2 strains (Supplemental Fig 10A). By mapping paired-end reads
256 derived from genomic sequencing of various *V. dahliae* strains onto the genome assembly of
257 *V. dahliae* strain JR2 we observed clear differences in coverage levels between *V. dahliae*
258 race 1 and race 2 strains that carry or lack the *Ave1* gene, respectively (Fig. 3C;
259 Supplemental Fig. 10B). While *V. dahliae* race 1 strains, including JR2, displayed an even
260 level of read coverage over the *Ave1* locus, indicating that this region is similar in all race 1
261 stains, no read coverage of the *Ave1* gene was found for race 2 strains (Fig. 3C-D).
262 Intriguingly, read coverage surrounding the *Ave1* gene revealed that race 2 strains can be

263 divided into three groups depending on the exact location of the read coverage drop (Fig.
264 3C). Whereas one group of isolates does not display any read coverage up to 720 kb, two
265 groups display distinct regions in which the read coverage around the *Ave1* locus drops (Fig.
266 3C-E: red lines around 668 kb and green lines around 672 kb). Our finding strongly suggests
267 that the *Ave1* locus was lost multiple times from the *V. dahliae* population, pointing to strong
268 selection pressure posed by the Ve1 immune receptor on *V. dahliae* to lose *Ave1*. In
269 conclusion, the *Ave1* locus is situated in a highly dynamic and repeat-rich region (Fig. 3C).
270 Therefore, the most parsimonious evolutionary scenario is that the *Ave1* locus was
271 horizontally acquired either directly or indirectly from plants once, followed by multiple losses
272 in independent lineages that encountered host plants that carried Ve1 or functional homologs
273 of this immune receptor (Thomma et al. 2011; de Jonge et al. 2012; Zhang et al. 2014). It is
274 tempting to speculate that the transposable elements that flank the *Ave1* locus (Fig. 3C)
275 contributed to the evolution of the *Ave1* locus by facilitating swift loss of the effector gene
276 upon recognition by the Ve1 immune receptor.

277

278 *Lineage-specific genomic regions in Verticillium dahliae contain active transposable* 279 *elements*

280 While the activity of TEs is not associated with the formation of extensive genome
281 rearrangements, LS regions in *V. dahliae* are highly enriched for TEs, and their presence and
282 potential activity may contribute to accelerated evolution of these genomic regions. In *V.*
283 *dahliae*, the most abundant class of TEs are retrotransposons that transpose within the
284 genome using an RNA intermediate (Wicker et al. 2007; Faino et al. 2015) (Supplemental
285 Table 1). We assessed TE dynamics by querying the transcriptional activity of TEs using *in*
286 *vitro* RNA-Seq data derived from *V. dahliae* strain JR2(de Jonge et al. 2012). Notably, the
287 majority of TEs in *V. dahliae* are not transcribed and thus likely not active (Supplemental
288 Table 1), while transcribed and therefore likely active TEs are found in LS regions
289 (Supplemental Fig. 11).

290 To further assess if and how TEs influence the evolution of LS regions, we explored
291 TE dynamics in the genome of *V. dahliae* strain JR2. Each copy of a TE in the genome is
292 derived from an active ancestor that, once transposed and integrated into the genome,
293 accumulates mutations that, over evolutionary time, will render the TE inactive. The relative
294 age of individual TEs can thus be estimated based on sequence divergence from a
295 consensus sequence that can be derived from present-day copies of any given TE. Using the
296 Jukes-Cantor distance (Jukes and Cantor 1969), which corrects the divergence between TEs
297 and their consensus sequence for multiple substitutions, we estimated the divergence times
298 for TEs in the *V. dahliae* strain JR2 genome (Fig. 4). This analysis showed that TEs mainly
299 transposed and expanded in two distinct periods (Fig. 4A). Notably, a considerable amount
300 of ‘younger’ TEs, i.e. with small Jukes-Cantor distance to their consensus sequence,
301 localizes in LS regions while the majority of ‘older’ TEs reside in the core genome (Fig. 4A).
302 Next, we attempted to determine the relative period in which the majority of TEs in the
303 genome of *V. dahliae* strain JR2 transposed. To this end, we derived Jukes-Cantor
304 distributions for orthologous genes of *V. dahliae* strain JR2 and individual closely related
305 fungi as a proxy of divergence between these species (Fig. 4C). These distributions display
306 the same pattern of species divergence when derived from phylogenetic analyses
307 (Supplemental Fig. 12) as well as from the Ks distributions between orthologous gene pairs
308 (Fig. 2D). By comparing the Jukes-Cantor distributions derived from TEs and from
309 orthologous genes, we revealed that ‘older’ TEs transposed around the separation of *V.*
310 *dahliae* and *V. alfalfa*, while the ‘younger’ TEs transposed after *V. dahliae* speciation (Fig.
311 4C). Notably, ‘younger’ TEs tend to be transcriptionally active, while ‘older’, more diverged
312 TEs tend to be transcriptionally silent (Fig. 4B). Thus, the expansion of younger TEs is recent
313 and mainly concerns the active TEs localized at LS regions (Fig. 4), strongly suggesting that
314 TE-transpositions contribute to the genetic plasticity of LS regions.

315 **Discussion**

316 Many plant pathogens contain a so-called ‘two-speed’ genome where effector genes reside
317 in genomic compartments that are considerably more plastic than the core of the genome,
318 facilitating the swift evolution of effector catalogs that are required to be competitive in the
319 host-pathogen arms race (Raffaele and Kamoun 2012; Dong et al. 2015). Generally, effector
320 compartments are enriched in transposable elements (TEs), and it has been speculated that
321 they promote genomic flexibility and drive accelerated evolution of these genomic
322 compartments (Gijzen 2009; Haas et al. 2009; Ma et al. 2010; Raffaele et al. 2010; Rouxel et
323 al. 2011; Raffaele and Kamoun 2012; de Jonge et al. 2013; Wicker et al. 2013; Grandaubert
324 et al. 2014; Seidl and Thomma 2014; van Hooff et al. 2014; Dong et al. 2015; Faino et al.
325 2015; Seidl et al. 2015). Nevertheless, the exact role of TEs in the evolution of effector
326 genes, as well as the molecular mechanisms and the evolutionary trajectories that govern
327 the formation of the ‘two-speed’ genome, and the corresponding local genome plasticity,
328 remained unknown (Raffaele and Kamoun 2012; Dong et al. 2015). Here, we studied the
329 evolution of the ‘two-speed’ genome of the vascular wilt pathogen *V. dahliae*, which was
330 significantly facilitated by the recent establishment of gapless whole-genome assemblies of
331 two highly similar *V. dahliae* strains that, despite their high (>99%) degree of sequence
332 identity, display severe genomic rearrangements (Faino et al. 2015; Thomma et al. 2015).
333 We identified ~2 Mb repeat-rich, lineage-specific (LS) regions between the two *V. dahliae*
334 strains (Fig. 1; Supplemental Fig. 6-7) that are significantly enriched in TEs and contain all
335 thus far functionally analyzed effector genes, including *Ave1* (Fig. 3C) (de Jonge et al. 2012;
336 de Jonge et al. 2013). Moreover, we also determined a significant number of synteny
337 breakpoints that are associated with genomic rearrangements to high resolution, and we
338 were able to reconstitute most of the rearrangements in detail (Fig. 1; Table 1; Supplemental
339 Fig. 5). Previously, we showed a strong association between LS compartments and the
340 occurrence of genomic rearrangements (de Jonge et al. 2013). Even though this correlation
341 was overestimated due to significant errors in the publically available genome assembly of *V.*
342 *dahliae* strain VdLs17 (Klosterman et al. 2011) for which we later revealed a considerable

343 number of erroneous chromosomal inversions (Faino et al. 2015) (Table 1), we nevertheless
344 observed that three out of four large-scale LS regions are associated with chromosomal
345 rearrangements (Fig. 1), corroborating that chromosomal rearrangements significantly
346 contribute to the evolution of LS regions in *V. dahliae*. We were not able to associate every
347 LS region with a genomic rearrangement (Fig. 1), like we were also not able to exactly
348 reconstitute each genomic rearrangement (Fig. 1; Supplemental Fig. 5). However, it can
349 easily be anticipated that complex rearrangements occurred in which genetic material in
350 close proximity was lost. Furthermore, likely these rearrangements (continuously) occurred
351 over longer evolutionary timescales (Supplemental Fig. 3; Supplemental Fig. 4), and
352 subsequent rearrangement events may have erased 'scars' of previous rearrangements.
353 Thus, even though not every genomic rearrangement leads to a new LS region, large-scale
354 genomic alterations are the driving force for their formation in *V. dahliae*.

355 Genomic rearrangements can lead to a multitude of structural variations, including
356 translocations, duplications and gene loss (Seidl and Thomma 2014). Here, we show that the
357 LS compartments of *V. dahliae* strain JR2 evolved by frequent segmental duplications that
358 yielded genetic material that subsequently obtained the freedom to diverge (Fig. 2B).
359 Intriguingly, these duplications occurred recently, namely after divergence of *V. dahliae* and
360 *V. alfalfae* (Fig. 2). However, this is not the only wave of duplications that shaped the *V.*
361 *dahliae* genome, as an earlier duplication period was found that concerns duplications found
362 in the core genome (Fig. 2). Notably, this duplication event was not only observed in *V.*
363 *dahliae*, but also in related species including *V. alfalfae*, *V. albo-atrum*, *A. alcalophilium* and
364 *C. higginsianum*, yet not in *F. oxysporum*, suggesting that this duplication occurred after the
365 speciation of *F. oxysporum* from the last common ancestor of the more recently evolved
366 fungi (Fig. 2). Given the high abundance of duplicated genes at a confined point in evolution,
367 it is tempting to speculate that a single genome-wide event, for example a whole-genome
368 duplication or hybridization, gave rise to these duplicates. Potentially, this particular
369 duplication event determined that, despite their similar life style and physiology, *V. dahliae*
370 evolved LS regions that are embedded within its core genome, while *F. oxysporum* evolved

371 LS genetic material as conditionally dispensable chromosomes that can be horizontally
372 transferred (Ma et al. 2010).

373 Based on our data, which indicate that TEs can be implicated in many yet not all
374 genomic rearrangements, it is conceivable that homologous recombination using TE
375 sequences as a substrate, rather than TE activity, is responsible for the establishment of
376 gross rearrangements. In this manner, TEs have passively contributed to the genome
377 evolution of *V. dahliae*, and to the extensive genomic plasticity that can be observed between
378 *V. dahliae* strains (Fig. 1). In addition to the passive roles of TE in genome evolution, we also
379 obtained evidence for the involvement of TE activity in the evolution of *V. dahliae*.
380 Intriguingly, while the majority of TEs in *V. dahliae* is transcriptionally silent and thus inactive,
381 transcriptionally active and thus actively transposing TEs were observed in LS regions (Fig.
382 4, Supplemental Fig. 9). Similarly, transcription and specific induction of TE activity has been
383 observed previously in *V. dahliae* strain VdLs17 (Amyotte et al. 2012). These independent
384 observations are further corroborated by our dating analyses suggesting that TEs that
385 localize in LS regions are significantly 'younger' when compared with those residing in the
386 core genome (Fig. 4). Active transposition can contribute to genome plasticity by causing
387 gene deletions at their target sites. Moreover, TEs in proximity of genes can also profoundly
388 influence their expression, as shown for the barley smut fungus *Ustilago hordei* where a TE
389 insertion in the promoter of the *UhAvr1* effector changed its expression, leading to loss of
390 host recognition (Ali et al. 2014). Interestingly, frequent gene loss is observed within the
391 segmental duplications that constitute the LS regions (Fig. 2; Supplemental Fig. 8). Similarly,
392 the LS effector *Ave1* is located in an LS region (Fig. 3) with several transposable elements
393 (TE) in its direct vicinity (de Jonge et al. 2012) (Fig. 3C), and clear evidence for repeated loss
394 in the *V. dahliae* population was obtained in our study. Although based on our data these
395 transposons cannot directly be implicated in the acquisition of *Ave1* from plants, they likely
396 facilitated the multiple losses of *Ave1* that are observed in independent lineages incited by
397 selection pressure posed by the *Ve1* resistance gene of tomato, or functional homologs that
398 may occur in other plants (Fradin et al. 2009; Thomma et al. 2011; de Jonge et al. 2012;

399 Zhang et al. 2013). However, although *Ave1* resides in a LS region, and LS regions are
400 characterized by the presence of active TEs, it remains unclear whether the frequent loss of
401 *Ave1* is actually mediated by TE activity. It has similarly been hypothesized that ectopic
402 genomic rearrangements caused by homology-based DNA repair pathways, possibly
403 passively mediated by TEs, drive the frequent loss of the *Avr-Pita* effector gene in isolates of
404 the rice blast fungus *Magnaporthe oryzae* that encountered the *Pita* resistance gene of rice
405 (Orbach et al. 2000; Chuma et al. 2011). Similar processes likely contribute to the frequent
406 recovery of *Avr-Pita* in *M. oryzae* strains relieved from selection pressure of *Pita*, leading to
407 translocation and duplications of this effector gene in dynamic genomic regions (Chuma et al.
408 2011).

409 Genome-wide studies in several fungi aiming to study chromatin – the complex of
410 DNA and proteins - revealed that TE-rich regions are generally associated to highly
411 condensed chromatin, the so-called heterochromatin, that restricts transcription and TE
412 activity (Lewis et al. 2009; Connolly et al. 2013; Galazka and Freitag 2014). Therefore, TEs
413 can influence the expression of neighboring genes such as effectors, as they can direct the
414 formation of heterochromatic regions (Lewis et al. 2009). In the saprophytic fungus
415 *Neurospora crassa*, heterochromatin formation at TEs is directed by remnants of Repeat
416 Induced Point mutations (RIP), a pre-meiotic process that actively induces point mutations in
417 TEs (Selker 1990; Lewis et al. 2009; Lewis et al. 2010). In the pathogenic fungus
418 *Leptosphaeria maculans*, effectors are located in TE-rich regions that were subjected to
419 extensive RIP mutations (Rouxel et al. 2011). Notably, effectors within these regions display
420 signatures of nucleotide mutations caused by the RIP process, fostering rapid effector
421 diversification (Fudal et al. 2009; Daverdin et al. 2012). LS regions in *V. dahliae* are TE-rich
422 and effector genes are directly flanked by TEs (de Jonge et al. 2012; de Jonge et al. 2013;
423 Seidl et al. 2015)(Fig. 3). Only few transposable elements in *V. dahliae* display typical RIP
424 mutations, which might indicate that *V. dahliae* possessed an active RIP mechanism
425 (Klosterman et al. 2011; Amyotte et al. 2012), likely connected to sexual cycle, in its
426 evolutionary past. However, all currently known *V. dahliae* strains, but also other *Verticillium*

427 species have been described as asexual and therefore RIP is no longer likely to occur. *V.*
428 *dahliae* effectors in LS regions do not display evidence for RIP mutations that could
429 contribute to effector diversification or to formation of heterochromatic regions. Despite the
430 fact that LS regions do not show overrepresentation of secreted genes, we previously
431 observed that effector genes residing in the LS regions are considerably overrepresented in
432 the *V. dahliae* transcriptome upon plant infection (de Jonge et al. 2013). In the present study,
433 we highlight that TEs that reside in LS regions are relatively young and several are
434 transcriptionally active. Therefore, we hypothesize that these regions, in contrast to the core
435 genomic compartments, are either not yet targeted by heterochromatin formation or carry
436 different chromatin marks, or that LS regions represent facultative heterochromatin that can
437 dynamically change its conformation, thereby influencing DNA accessibility and thus effector
438 gene expression. For example, in the soybean pathogen *Phytophthora sojae* expression of
439 the effector *Avr3a* is repressed by chromatin-based mechanism (Qutob et al. 2013; Gijzen et
440 al. 2014). Suppression of effector expression leads to avoidance of recognition by the host
441 surveillance system, yet allows for swift effector recovery and activation on susceptible hosts
442 (Gijzen 2009; Qutob et al. 2013). Chromatin-based regulation of effector genes and genes
443 encoding other virulence factors has been observed in several pathogenic fungi (Connolly et
444 al. 2013; Qutob et al. 2013; Chujo and Scott 2014; Soyer et al. 2014; Soyer et al. 2015). This
445 suggests that facultative heterochromatin located in dynamic compartments of the two-speed
446 genome, e.g. LS regions in *V. dahliae*, is pivotal to regulate effector and virulence gene
447 expression.

448 Chromosome condensation of heterochromatic regions supposedly restricts genomic
449 rearrangements (Galazka and Freitag 2014), yet these regions in the plant pathogens
450 *Fusarium graminearum* are enriched for genomic rearrangements (Connolly et al. 2013). In
451 *V. dahliae*, LS regions are formed by recent duplications and harbor active TEs, suggesting
452 that these regions are susceptible to structural variation such as genomic rearrangements. A
453 recent study in yeasts and mammals suggests that genomic rearrangements occur between
454 open chromatin in regions that are in close proximity within the nucleus (Berthelot et al.

455 2015). Moreover, this study concludes that genomic rearrangements between TEs alone are
456 not sufficient to fully explain the rearrangements that were observed (Berthelot et al. 2015).
457 Expression of clustered virulence genes in the human malaria pathogen *Plasmodium*
458 *falciparum* is tightly regulated by chromatin and, even though these virulence clusters are
459 located on different chromosomes, they co-localize in close proximity within the nucleus (Ay
460 et al. 2014). Even though these co-localized clusters have been associated with condensed,
461 and thus repressive chromatin, extensive ectopic chromosomal rearrangements between
462 clusters have been observed (Freitas-Junior et al. 2000; Lopez-Rubio et al. 2009; Jiang et al.
463 2013; Ay et al. 2014), suggesting that the chromatin structure plays roles in their evolution. In
464 fungi, however, co-localization of effector genes in the nucleus has not yet been reported.
465 Based on initial work in other eukaryotes, we conceive that complex chromatin structures in
466 pathogenic fungi will not only influence coordinated effector expression in LS regions, but
467 also genomic rearrangements, thereby further linking genome and chromatin structure to
468 genome evolution. Thus, further insight into chromatin biology of plant pathogens will be
469 instrumental to significantly accelerate our knowledge on the evolution of the ‘two-speed’
470 genome, and on fungal aggressiveness.

471 **Methods**

472 *Genome annotation and dynamics*

473 Gene predictions for the whole-genome assembly of *V. dahliae* strain JR2(Faino et al. 2015)
474 was performed using the Maker2 software (Holt and Yandell 2011). To this end, RNA-seq
475 reads derived from different *in vitro* and *in planta* conditions(de Jonge et al. 2012) were
476 mapped to the genome using Tophat2 (default settings) (Trapnell et al. 2009). Additionally,
477 gene sequences derived from previous genome annotations as well as protein sequences
478 from 35 fungal proteomes were used as additional evidence (Klosterman et al. 2011; de
479 Jonge et al. 2013; Seidl et al. 2015).

480 Homology between 13 fungal species was assessed using OrthoMCL (default
481 settings)(Li et al. 2003). Sequence similarity between proteins was established by all-vs.-all
482 analyses using BLASTp (E-value cutoff 1e-5, soft filtering)(Altschul et al. 1990). Ks values
483 between gene pairs, as defined by OrthoMCL families, were calculated using the Nei-
484 Gojobori algorithm included in the KaKs_Calculator 2.0 package(Wang et al. 2010). The
485 coding sequences of gene pairs were aligned using protein alignment as a guide. The
486 phylogenetic tree was generated by RAxML(Stamatakis 2006) using concatenated protein
487 sequences of 3,492 single-copy orthologs that are conserved among eight fungal species,
488 where only a single representative species was chosen for the fungal genera *Colletotrichum*
489 and *Fusarium* (Supplemental Fig. 12).

490 Repetitive elements were identified as described in Faino et al. (2015). Briefly,
491 repetitive elements were identified using RepeatScout, LTR_Finder and LTRharvest, and the
492 repetitive elements identified by the different software were combined (non-redundant).
493 Repetitive elements were further classified as described by Wicker et al. (2007). Open
494 reading frames within the transposable elements were identified by BlastN and BlastX
495 (Camacho et al. 2009) searches against NCBI NR databases as well as by InterProScan
496 (Jones et al. 2014). Repetitive elements that could not be classified were defined as
497 'unknown'. Expression of repetitive elements was assessed based on RNA sequencing data
498 derived from *V. dahliae* strain JR2 grown in *in vitro* media (Czapek Dox) (de Jonge et al.

499 2012). Single reads were mapped onto the genome assembly of *V. dahliae* strain JR2 using
500 Tophat2 (default parameters) (Trapnell et al. 2009). Mapped reads were summarized using
501 the R package GenomicAlignments ('summarizeOverlaps') (Lawrence et al. 2013), and the
502 expression per repetitive element, excluding simple repeats and repeats overlapping genes,
503 as well as per gene was reported as Reads Per Kilobase of transcript per Million mapped
504 reads (RPKM).

505 To estimate divergence time of transposable elements, each individual copy of a
506 transposable element was aligned to the consensus of its family using needle, which is part
507 of the EMBOSS package (Rice et al. 2000). The consensus sequence for each transposable
508 element family was determined by performing multiple-sequence alignment of all copies
509 belonging to the same family using mafft (Kato and Standley 2013) (each individual copy
510 needed to be longer than 400 bp). For the consensus sequence, only columns with > 1
511 aligned sequence (excluding gaps) were considered, for which the nucleotide occurring in
512 the majority of sequences was used for the consensus sequence (ties, a nucleotide randomly
513 chosen from the tie was picked). The sequence divergence between transposable elements
514 and the consensus was corrected using the Jukes-Cantor distance, which corrects the
515 divergence (p) by the formula $d = -3/4 \log_e(1 - 4/3p)$ (Jukes and Cantor 1969).

516

517 *Identification of genomic rearrangements*

518 Whole-genome alignments between chromosomes of the genome assemblies of *V. dahliae*
519 strains JR2 and VdLS17 (Faino et al. 2015) were performed using nucmer (default settings),
520 which is part of the MUMmer 3.0 package (Kurtz et al. 2004). To remove spurious hits, the
521 alignments were subsequently filtered by length, retaining alignments > 15 kb and 99%
522 identity. These parameters were chosen based on the average nucleotide identity between
523 the two *V. dahliae* strains (99.98%), as well as the average length of unique sequences in
524 the genome. These whole-genome alignments were further mined for genomic
525 rearrangements and associated synteny breakpoints in *V. dahliae* strain JR2. The identified
526 synteny breakpoints were further refined by mapping PacBio long-sequencing reads derived

527 by genomic sequencing of *V. dahliae* strain VdLS17 to the genome of *V. dahliae* strain JR2
528 using Blasr (default settings) (Chaisson and Tesler 2012), followed by manual refinement.
529 GEvo (Lyons et al. 2008) was used to identify syntenic regions between *V. dahliae* strains
530 JR2 and VdLS17, where only gene-coding regions were used as anchors between the
531 syntenic chromosomal regions.

532 To assess the presence or absence of genomic rearrangements in other *V. dahliae*
533 strains, paired-end reads derived from genome sequencing (de Jonge et al. 2012) were
534 mapped onto the genome of *V. dahliae* strain JR2 using BWA (BWA-mem algorithm) (Li and
535 Durbin 2010). Genomic regions surrounding the identified genomic rearrangements (± 4 kb)
536 were visually evaluated for the quantity of concordantly and discordantly mapped reads as
537 well as orphan reads.

538

539 *Identification and analyses of highly dynamic genomic regions*

540 Whole-genome alignments between chromosomes of the complete genome assemblies of *V.*
541 *dahliae* strains JR2 and VdLS17 (Faino et al. 2015) were performed using nucmer (settings: -
542 maxmatch), which is part of the MUMmer 3.0 package (Kurtz et al. 2004). Alignments
543 separated by gaps <500 bp were merged in unique and contiguous alignments. LS regions
544 were manually defined by identifying regions accumulating alignments breaks and TEs.
545 Additionally, genomic reads derived from ten *V. dahliae* strains (de Jonge et al. 2012) were
546 mapped on the *V. dahliae* strain JR2 and VdLS17, respectively, using Bowtie2 (default
547 settings) (Langmead et al. 2009). The genomic coverage was determined by BEDtools
548 (Quinlan and Hall 2010). The phylogenetic tree of eleven different *V. dahliae* strains was
549 generated using RealPhy (Bertels et al. 2014) using either *V. dahliae* strain JR2 or VdLS17
550 as a reference strain.

551 The presence/absence analysis of the *Ave1* locus was performed by aligning paired-
552 end reads from DNA sequencing of eleven *V. dahliae* strains (de Jonge et al. 2012)
553 (including JR2) to the assembled genome of *V. dahliae* strain JR2 using BWA (BWA-mem
554 algorithm) (Li and Durbin 2010). We averaged the raw read depth per genomic position in

555 genomic windows (window-size 5 kb; slide 500 bp (Figure 3D; E) and window-size 500 bp;
556 slide 100 bp (Supplemental Fig 10B), respectively), and subsequently performed a G+C
557 correction similarly as previously described (Yoon et al. 2009). Briefly, we adjusted these
558 averaged raw read depth (ARD) based on the observed deviation of read depth for a given
559 G+C percentage. To this end, we first determined the average ARD for G+C percentages
560 ranging from 0-100% (by 1%). Subsequently, we corrected the ARD using the formula ARD_{c_i}
561 $= ARD_i * (m/m_{G+C})$, where ARD_{c_i} is the corrected ARD in the i th window, ARD_i is the ARD in
562 the i th window, m is the average ARD over all windows, and m_{G+C} is the average ARD for all
563 windows with the same G+C percentage as the i th window (Yoon et al. 2009). Additionally,
564 the genomic reads of each individual additional *V. dahliae* strain were assembled using A5
565 pipeline (v.20140113) (Tritt et al. 2012). The assembled genomes were aligned to the
566 genome assembly of *V. dahliae* strain JR2 genome using nucmer (settings: -maxmatch),
567 which is part of the MUMmer 3.0 package (Kurtz et al. 2004). Overlaps between genomic
568 coordinates of different genome features, e.g. lineage-specific regions, genes or
569 transposable elements, were assessed by BEDtools (v2.24.0) (Quinlan and Hall 2010) or by
570 the R package GenomicRanges (Lawrence et al. 2013).

571 Single nucleotide polymorphisms (SNPs) were identified using GATK v2.8.1 (DePristo
572 et al. 2011). Briefly, paired-end reads derived from ten *V. dahliae* strains (de Jonge et al.
573 2012) were mapped onto the complete genome assembly of *V. dahliae* strain JR2 (Faino et
574 al. 2015) using BWA (BWA-mem algorithm) (Li and Durbin 2010). Using GATK v2.8.1
575 (DePristo et al. 2011), mapped reads were locally realigned to minimize the number of
576 mismatches over all reads, and subsequently genomic variants (SNPs) were called using
577 GATK's UnifiedGenotyper (default settings; emitting threshold 20, haploid organism) and
578 resulting variants were quality filtered (quality > 50; phred-scaled quality score for the
579 assertion), depth > 10 and allelic frequency > 0.9). SNPs derived from different strains were
580 summarized in non-overlapping windows of 1 kb, and the number of SNPs derived from the
581 individual strains were averaged per window. Absence of a SNP in a particular strain was
582 only considered if the corresponding position displayed read coverage.

583 **Data access**

584 The genome assemblies of *V. dahliae* strain JR2 and VdLS17 are available from NCBI under
585 the assembly number GCA_000400815.2 and GCA_000952015.1, respectively (Faino et al.
586 2015). Paired-end reads derived from genomic sequencing of *V. dahliae* strains as well as
587 RNA sequencing reads were generated by de Jonge et al. (2012) (PRJNA169154). Genome
588 sequences and annotation for *Fusarium oxysporum* f. sp. *lycopersici*, *Colletotrichum*
589 *higginsianum* were retrieved from the Ensembl fungi database (<http://fungi.ensembl.org/>).
590 The data for *Acremonium alcalophilum* were downloaded from JGI database, and the data
591 for *V. alfalfa* MS102 (Klosterman et al. 2011) were obtained from the *Verticillium* database at
592 the Broad Institute.

593

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598

599 **Author Contributions**

600 LF conceived the study, participated in its design and coordination, performed analyses, and
601 contributed to writing of the manuscript; MFS conceived the study, participated in its design
602 and coordination, performed analyses, and wrote the manuscript; GCMB produced biological
603 materials used in the study; XSK and MP performed analyses; AHJW participated in the
604 establishment of high-quality genome assemblies; BPHJT conceived the study, participated
605 in its design and coordination, and wrote the manuscript. All authors read and approved the
606 final manuscript.

607

608 **Competing Financial Interests**

609 A.H.J.W. is a full-time employee of KeyGene N.V., a company offering next-generation
610 sequencing services, including PacBio sequencing. The remaining authors declare no
611 competing financial interests.

612 **Figure Legends**

613 **Figure 1 – Extensive rearrangements in *Verticillium dahliae* genomes are mediated by**

614 **repetitive elements.** (A) Syntenic regions, indicated by ribbons, between chromosomes of
615 the two highly similar *V. dahliae* strains JR2 (chromosomes displayed in white) and VdLs17
616 (chromosomes displayed in grey) reveal multiple synteny breakpoints caused by inter-
617 chromosomal rearrangements, highlighted by red arrows for the JR2 genome. Red bars on
618 the chromosomes indicate lineage-specific genomic regions (LS) that lack synteny in the
619 other strain. To facilitate visibility, some chromosomes of *V. dahliae* strain VdLs17 have been
620 reversed and complemented (indicated by asterisks). (B) Synteny blocks displayed on the
621 chromosomes of *V. dahliae* strain JR2 colored based on synteny with VdLs17 chromosomes.
622 LS regions are shown in white and indicate loss of synteny. (C) Detailed view of the genomic
623 regions surrounding five synteny breakpoints that are highlighted by boxes in (b).
624 Rearrangements over short homologous regions such as repetitive elements (black boxes)
625 or genes (colored boxes) resulted in inter-chromosomal rearrangements (translocations). *V.*
626 *dahliae* strain VdLs17 genes were inferred by mapping of the *V. dahliae* strain JR2 genes to
627 the genome assembly of *V. dahliae* strain VdLs17. Dashed grey lines indicate rearrangement
628 sites.

629

630 **Figure 2 - Whole-genome alignments of *Verticillium dahliae* strain JR2 reveals two**

631 **duplication events.** (A) Circos diagram illustrating sequence alignments within *V. dahliae*
632 strain JR2. Black lines indicate genomic regions with sequence similarity. The inner circle
633 shows LS regions (red lines), the middle circle indicates clusters of LS regions and the outer
634 circle shows the identity between pairs of secondary alignments. Each cluster of LS region is
635 colour coded: LS1 in blue, LS2 in yellow, LS3 in brown and LS4 in light blue (see Table S2).
636 (B) Circos diagram illustrating paralogous gene pairs in *V. dahliae* strain JR2. Paralogous
637 gene pairs of which at least one member is located at the LS regions are connected with blue
638 lines, while paralogous gene pairs located in the core genome are connected with red lines.
639 (C) Ks distribution of paralogs of which both genes are located in the core genome (red) or at

640 least one paralogs is located in an LS region (blue). (D) Duplication events are estimated by
641 calculating the Ks value for paralogous gene pairs and displayed in the line graph. Speciation
642 events are estimated by calculating the Ks value for orthologous gene pairs based on genes
643 from *V. dahliae* strains JR2 and their respective orthologs in the other genomes and
644 displayed in the box plot. Distributions and median divergence times between orthologous
645 pairs, displayed by box plots, were used to estimate relative speciation events.

646

647 **Figure 3 – Gene loss after segmental duplications within the *V. dahliae* strain JR2**

648 **genome.** (A) Example of a segmental duplication between LS regions located on
649 chromosome 2. Red ribbons indicate regions of homology between the two loci. Blue arrows
650 indicate gene models present only at one of the two loci while green and red arrows indicate
651 common genes and transposable elements, respectively. (B) Single nucleotide
652 polymorphism (SNP) density (mean number of SNPs per 1 kb) over the *Ave1* locus indicates
653 depletion of SNPs in the *Ave1* region when compared with neighboring regions. (C) A large
654 genomic region on chromosome 5 of *V. dahliae* strain JR2 containing the *Ave1* gene (red
655 box) is characterized by presence/absence polymorphisms between strains. Lines indicate
656 the corrected average read depth (per 5 kb window, 500 bp slide) of paired-end reads
657 derived from genomic sequencing of eleven *V. dahliae* strains. Different colors indicate
658 distinct patterns of coverage across the *Ave1* locus. Genes and transposable
659 elements/repeats (excluding simple repeats) are indicated. (D) Magnification in of the *Ave1*
660 locus, highlighting the four dominating coverage patterns. (E) Detailed view of paired-end
661 reads derived from *V. dahliae* strains VdLs17 and St100, revealing distinct regions where the
662 sequence coverage drops.

663

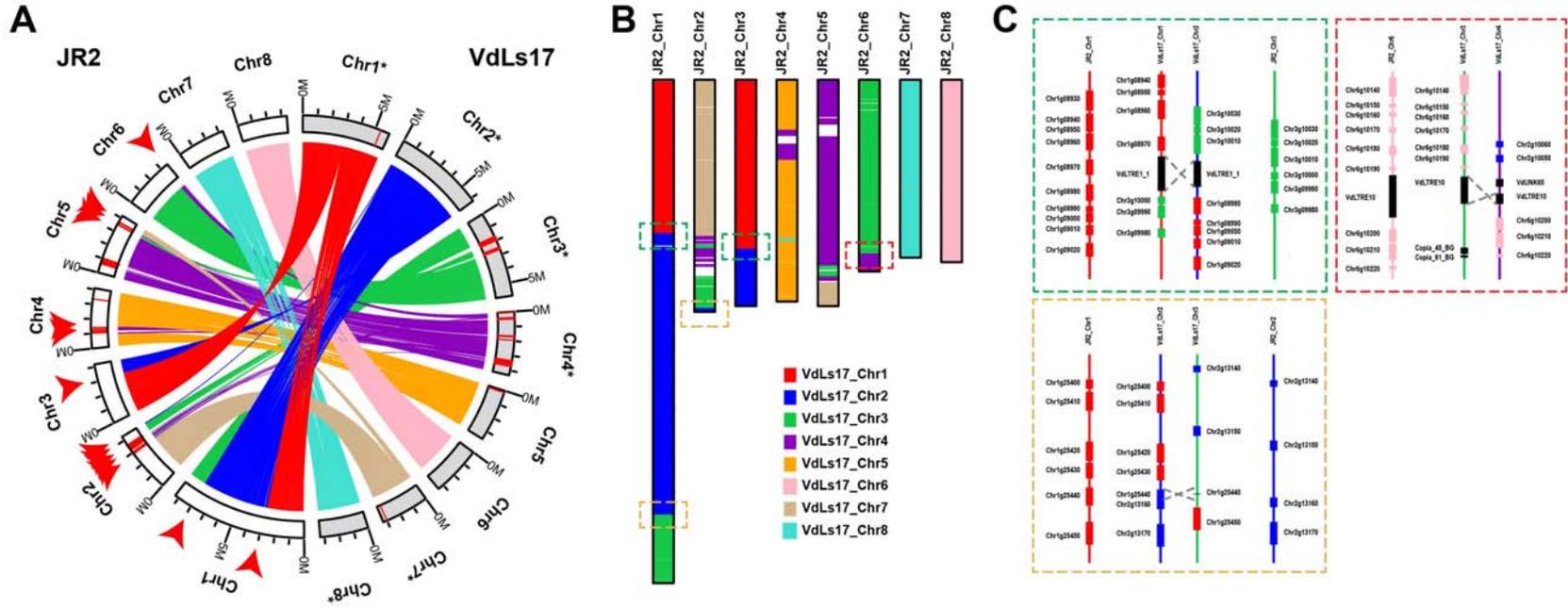
664 **Figure 4 – Dynamics of transposable elements in the genome of *Verticillium dahliae***

665 **strain JR2.** (A) The divergence time of transposable elements identified in the genome of *V.*
666 *dahliae* strain JR2 (Faino et al. 2015) was estimated using the Jukes-Cantor distance
667 calculated between repeat copies and their consensus sequence. The distributions of

668 divergence times between transposable elements located in the core genome (red) and in
669 the LS regions (blue) differ. Estimations of speciation events in the evolutionary history of *V.*
670 *dahliae* are indicated by triangles based on analyses in (C). (B) The distributions of
671 divergence times between expressed/active ($\log_{10}(\text{RPKM}+1) \geq 1$) transposable elements
672 (red) and non-expressed (blue) transposable elements differ. Estimations of speciation
673 events are indicated by triangles. (C) Speciation events are estimated by calculating the
674 Jukes-Cantor distance for orthologous gene pairs based on genes from *V. dahliae* strains
675 JR2 and their respective orthologs in the other genomes. Distributions and median
676 divergence times between orthologous pairs, displayed by box plots, were used to estimate
677 relative speciation events.

678 **Figures**

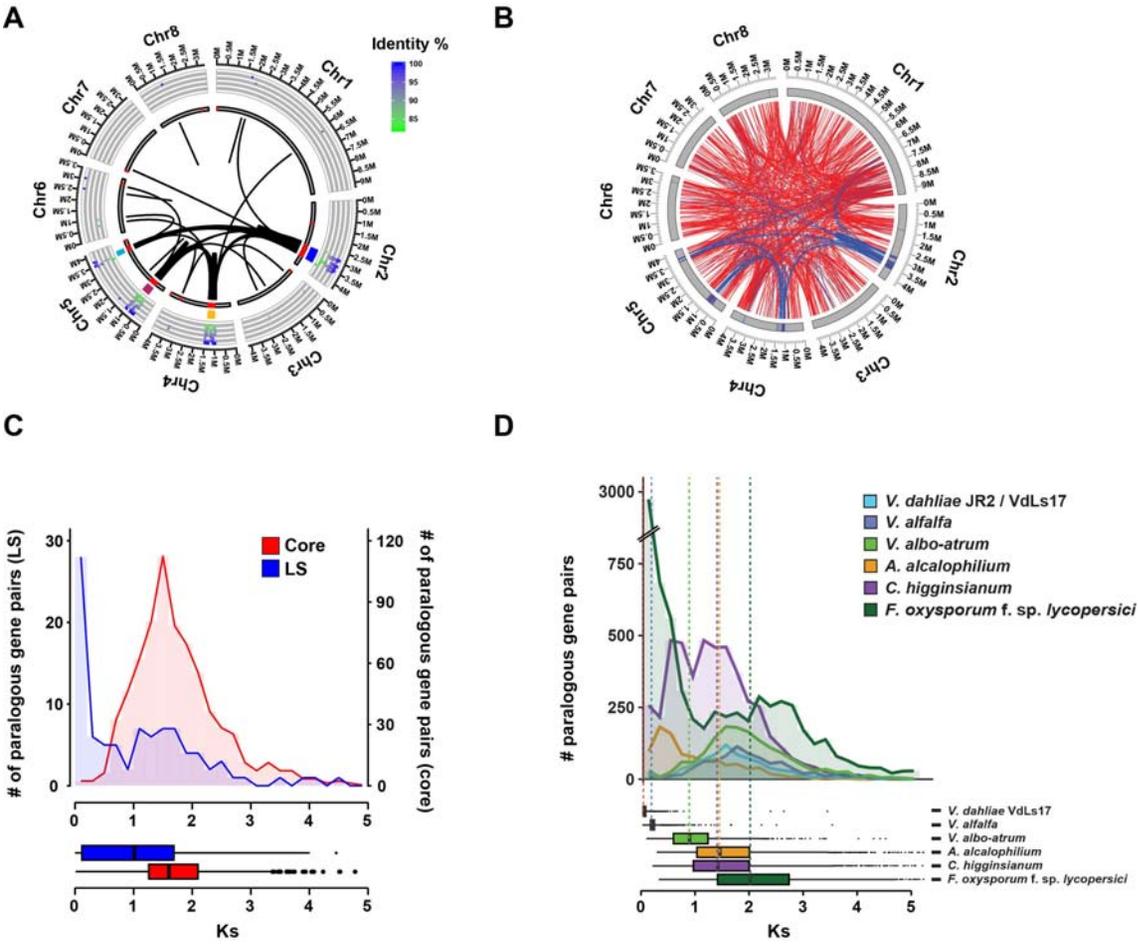
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680

681 **Figure 1**

682



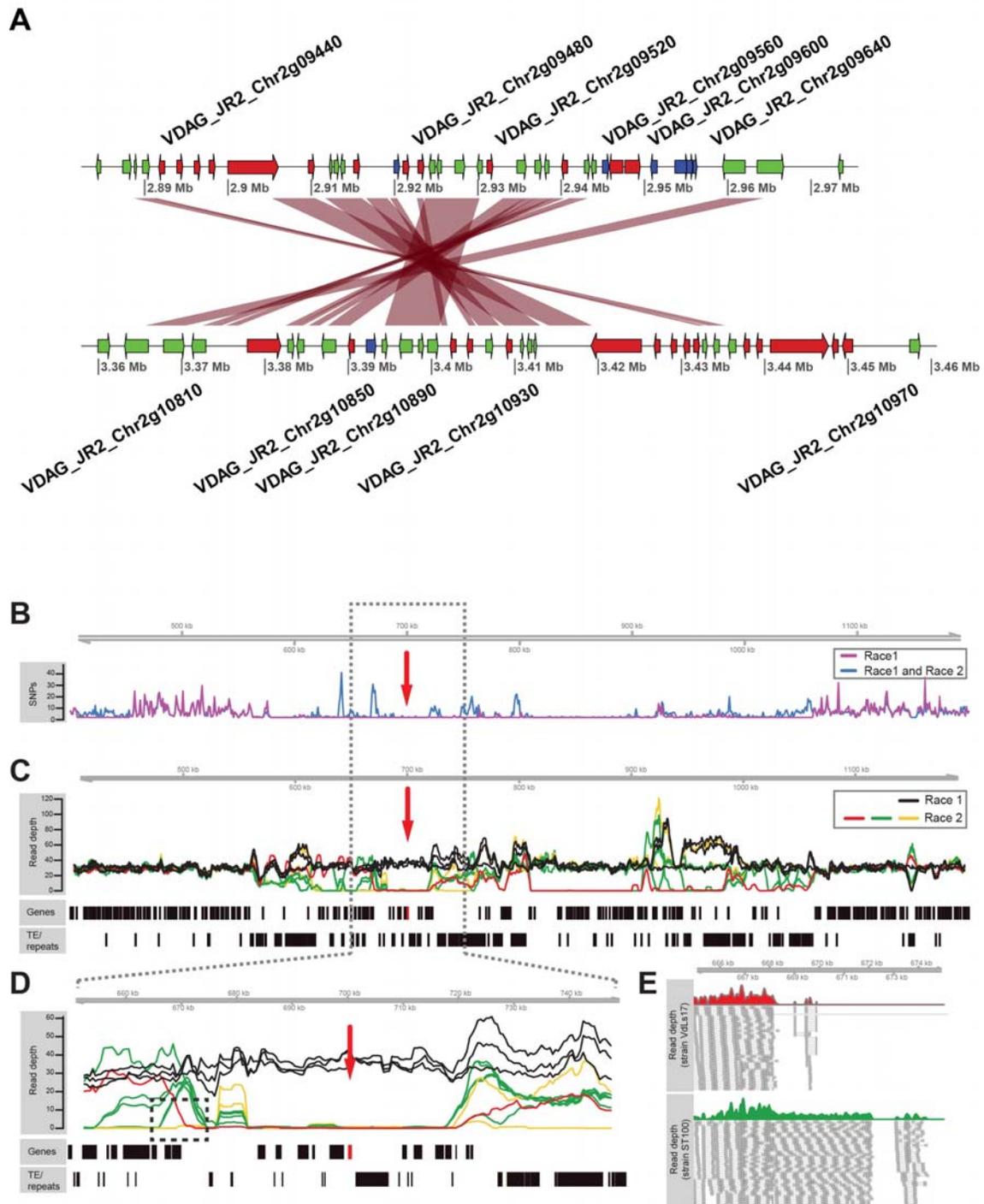
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Figure 2

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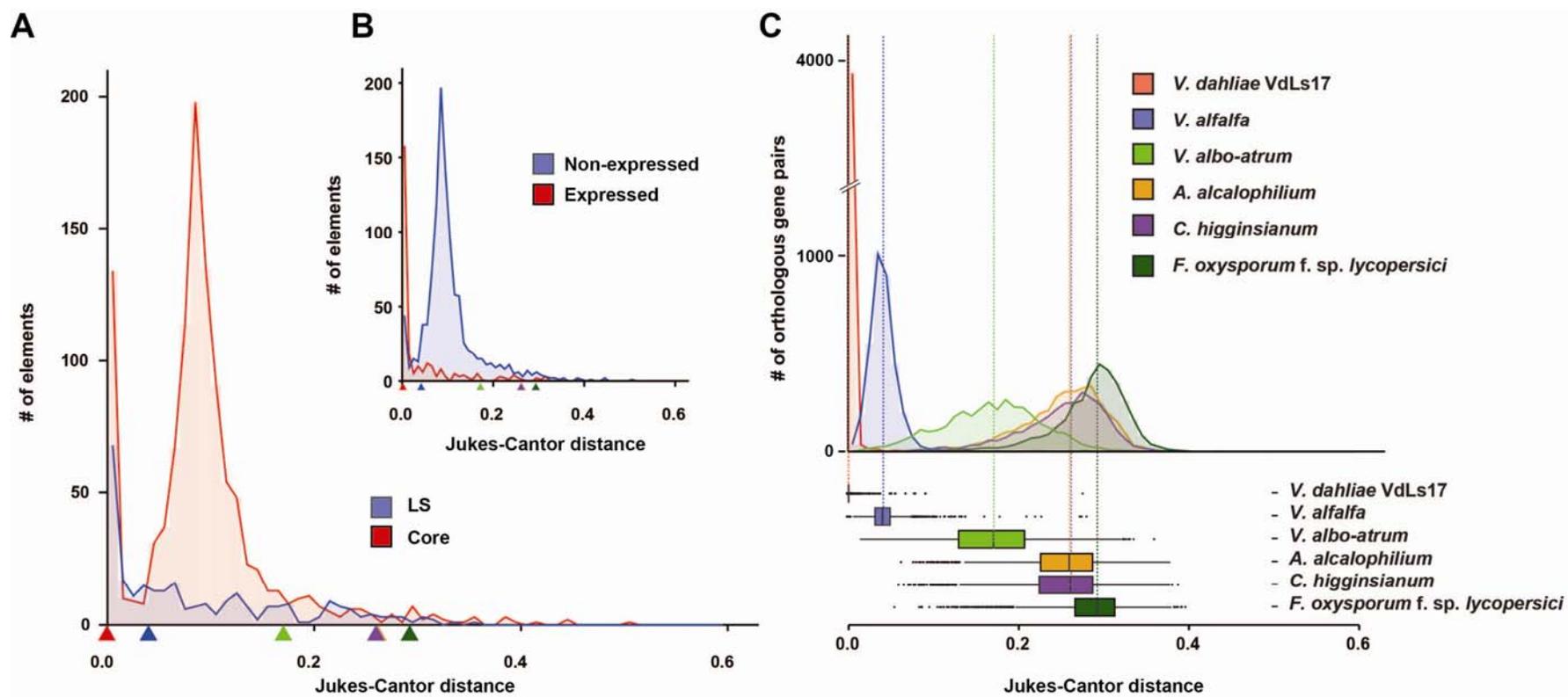


687

688 **Figure 3**

689

690 **Figure 4**



691

692

693 **Tables**694 **Table 1:** Genomic location of synteny breakpoints identified in *Verticillium dahliae* strain JR2 and the closest flanking genomic element.

Chr.	Synteny break point *				Flanking genomic element					
	Start	End	Interval (bp)	Type **	Start	End	Strand	Genomic element ***	Distance to breakpoint (bp)	Index ****
Chr1	2,843,014	2,843,020	6	TRA [#]	2,840,162	2,843,030	+	VDAG_JR2_Chr1g08970	0	a
Chr1	7,140,631	7,162,273	21,643	INV	7,162,232	7,162,992	+	VdLTRE8	0	
Chr1	7,227,694	7,243,623	15,930	INV	7,242,070	7,248,997	+	VdLTRE12	0	
Chr1	8,013,865	8,013,868	3	TRA [#]	8,013,244	8,014,018	-	VDAG_JR2_Chr1g25440	0	b
Chr2	2,876,424	2,897,525	21,102	TRA	2,896,858	2,898,045	+	VdUNK31	0	c
Chr2	3,025,499	3,031,900	6,401	TRA	3,032,060	3,032,744	-	VdLTRE8	166	d
Chr2	3,120,447	3,122,897	2,451	TRA	3,122,730	3,124,038	+	VdUNK65	0	e
Chr2	3,334,994	3,620,790	285,796	TRA	3,620,790	3,629,961	-	VdLTRE10	0	f
Chr2	4,225,478	4,225,575	97	TRA [#]	4,225,210	4,226,425	-	VDAG_JR2_Chr2g13160	0	b
Chr3	149,893	166,205	16,313	INV	164,460	166,293	+	VdUNK19	0	
Chr3	226,642	226,647	5	INV	225,927	226,658	+	VdLTRE8	0	
Chr3	3,130,776	3,130,781	5	TRA [#]	3,129,525	3,130,711	-	VDAG_JR2_Chr3g10000	45	a
Chr4	1,464,458	1,480,146	15,689	TRA	1,479,722	1,479,771	+	5SrRNA-1_BG	0	
Chr5	2,171,284	2,202,070	30,787	INV	2,195,136	2,202,070	+	VdLTRE2_1	0	
Chr5	2,367,806	2,390,749	22,944	INV	2,390,710	2,390,856	+	VdUNK100	0	
Chr5	3,423,150	3,423,640	491	TRA	3,422,285	3,423,644	+	VdLTRE12	0	d
Chr5	3,642,999	3,644,451	1,453	TRA	3,644,182	3,650,054	+	VdLTRE1_1	0	f
Chr5	3,686,406	3,686,412	6	TRA	3,685,349	3,686,592	-	VdUNK11	0	c
Chr6	3,218,241	3,218,279	39	TRA [#]	3,212,527	3,220,016	+	VdLTRE10	0	e

695 *Location of synteny breakpoints are determined by comparison between *Verticillium dahliae* strains JR2 and VdLS17.

696 **Synteny breakpoints are classified as inter-chromosomal translocation (TRA) or as intra-chromosomal inversion (INV). Rearrangement events
697 labelled with # are graphically displayed in Figure 1C.

698 ***The closest genomic elements comprise genes and repeats (see also Supplementary Table 2).

699 ****The letter label in the last column (Index) indicates the panel in Supplementary Fig. 5 in which the particular rearrangement is graphically
700 displayed.

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